# CS 1510 Homework 5

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#### a

SJF is not optimal on the following input I:

 $J_1 = (0, 2)$ 

 $J_2 = (1, 2)$ 

For each time t:

- 1. Run  $J_1$  (it is the only choice)
- 2. Run  $J_2$  (arbitrarily, since  $J_1$  and  $J_2$  are the same size.)
- 3. Run  $J_2$  (arbitrarily).  $J_2$  is completed now, so  $C_2=3$
- 4. Run  $J_1$  (it is the only choice).  $J_1$  is completed now, so  $C_1 = 4$

The total completion time for SJF(I) is  $C_1 + C_2 = 4 + 3 = 7$ But a more optimal solution opt(I) exists. For each time t:

- 1. Run  $J_1$
- 2. Run  $J_1$ .  $J_1$  is now finished, so  $C_1 = 2$ .
- 3. Run  $J_2$ .
- 4. Run  $J_2$ .  $J_2$  is now finished, so  $C_2 = 4$ .

The total completion time for opt(I) is  $C_1 + C_2 = 2 + 4 = 6$ SJF(I) is not optimal therefore SJF is incorrect.

### b

This proof does not consider the possibility of job j completing between times t and u. If this were the case, and j was initially scheduled to complete before time u, moving it back to u will increase its completion time, making its output not optimal.

### $\mathbf{c}$

Assume that A, the algorithm that implements SRPT, is incorrect and has some input I that makes it give the incorrect output. Define Opt(I) to be the correct output that agrees with A(I), the output from A on I, for the most steps. Also define the first "step", or time interval that A(I) and Opt(I) disagree, to be t. At time t, say that A(I) schedules job  $J_A$  with tuple  $(r_A, x_A)$ , and Opt(I) schedules job  $J_O$  with tuple  $(r_O, x_O)$ . We can construct Opt'(I) by simply swapping  $J_O$  with the next instance of Opt(I) scheduling  $J_A$ , say at time u.

Opt'(I) clearly agrees with A(I) for one more step, as it now also schedules  $J_A$  at time t. The problem addressed in part b of this problem can also be disproven here. We know that  $J_A$  has a shorter time left until completion than  $J_O$ , because of the definition of A. Thus, if  $J_O$  were to complete between times t and u, we could simply swap the entirety of  $J_A$  into the spots that  $J_O$  is ran, and have it complete even earlier, lowering the total completion time. The increased completion time added by having to shift  $J_O$  down would be less than the decreased time from completing  $J_A$  earlier, because  $J_O$  could also be scheduled into the additional slots that  $J_A$  does not need.

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Consider the following algorithm A: Given rooted tree T:

Sort the leaves by value in descending order.

For each leaf l (from greatest value to least):

If any ancestor's current capacity is less than 0, do not include l in the output

Otherwise, include l, and for each ancestor of l set its new capacity to be its current capacity - 1

Proof: Assume to reach a contradiction that our algorithm, hereafter referred to as A, is incorrect. Then there exists an input I on which A produces a suboptimal output. Let OPT(I) be the optimal solution to the problem that agrees with A(I) for the max number of steps i.e. up to leaf n OPT(I) and A(I) have included and excluded the same leaves. Because each step is either including or excluding a leaf, the disagreement can be one of two cases:

- 1. A(I) excluded leaf n and OPT(I) included leaf n:
  Because A(I) and OPT(I) agreed on every leaf up to this step and A always considers the next highest possible value and only excludes leaves when a leaf's ancestors' capacity does not accommodate it, it cannot be the case that OPT(I) includes n and A(I) does not.
- 2. A(I) included leaf n and OPT(I) excluded leaf n: OPT(I) excludes a leaf that A(I) includes either because the parent nodes are at capacity or because there is a better leaf it will select later on. The first case cannot occur, as OPT(I) and A(I) have the same capacity because they have agreed on every leaf up to this point. In the second case, because A always selects the next highest possible value and OPT(I) has agreed with A(I) up to this point, it is impossible for there to be a leaf with greater value than n available for OPT(I) to select later on. Therefore the leaf OPT(I) would select instead of n has to be of equal or lesser value than n and OPT(I)'s solution is equivalent to or lesser than A(I)'s solution.

Therefore OPT(I) can be modified into OPT'(I) where OPT'(I) agrees with A(I) for one more step (including or excluding n), contradicting the statement that OPT(I) is the optimal solution that agrees with A(I) for the most number of steps.

Thus, by contradiction, A(I) is correct.