# Homework 13

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#### $\mathbf{a}$

Problem 5.9 part (a): Show that EXACT INDSET  $\in \pi_2^p$ .

#### Proof.

EXACT INDSET can be expressed as the following language in first-order logic:

 $\text{EXACT INDSET} = \big\{ (G, k) \mid \forall \text{ Independent Sets } S_1 \in G, \exists \text{ an Independent Set } S_2 \in G \text{ such that } |S_2| = k \text{ and } |S_2| > = |S_1| \big\}.$ 

By the definition of  $\pi_2^p$ , EXACT INDSET  $\in \pi_2^p$ .

#### b

Problem 5.11: Show that SUCCINCT SET-COVER  $\in \Sigma_2^p$ .

#### Proof:

SUCCINCT SET COVER can be expressed as the following language in first-order logic:

SUCCINCT SET COVER =  $\{(S, k) \mid \exists S' \subseteq S, \forall \text{ possible assignments of } S', \text{ then } |S'| <= k \text{ and } S' \text{ true} \}.$ 

By the definition of  $\Sigma_2^p$ , SUCCINCT SET COVER  $\in \Sigma_2^p$ .

### $\mathbf{c}$

Problem 5.13: Show that VC-DIMENSION  $\in \Sigma_3^p$ .

### Proof:

VC-DIMENSION can be expressed as the following language in first-order logic:

VC-DIMENSION =  $\{(C, k) \mid \exists X \subseteq U, \forall X' \subseteq X, \exists i, \text{ such that } |X| >= k \text{ and } C_i \cup X = X' \}.$ 

By the definition of  $\Sigma_3^p$ , VC-DIMENSION  $\in \Sigma_3^p$ .

### $\mathbf{d}$

Problem 5.9 part(b): Show that EXACT INDSET  $\in$  DP.

#### Proof:

Let  $L_1 = \{(G, k) \mid \exists$  an Independent Set S, such that  $|S| = k\}$ .  $L_1 \in \text{NP}$  because  $L_1 \in \Sigma_1^p$  by definition and  $\Sigma_1^p = \text{NP}$ .

Let  $L_2 = \{(G, k) \mid \forall \text{ Independent Sets } S, \text{ such that } |S| <= k\}.$   $L_2 \in \text{co-NP}$  because it is in  $\pi_1^p$  by definition and  $\pi_1^p = \text{co-NP}$ .

Since the intersection of two sets requires both constraints to be met:

Let  $L = L_1 \cap L_2 = \{(G, k) \mid \forall \text{ Independent Sets } S_1, \exists \text{ an Independent Set } S_2, \text{ such that } |S_1| <= k \text{ and } |S_2| = k \} = \text{EXACT IND SET.}$ 

Finally, since  $L = L_1 \cap L_2$  and  $L_1 \in NP$  and  $L_2 \in co-NP$ , then  $L \in DP$ .

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Problem 5.3: Show that if 3SAT is polynomial-time reducible to  $\overline{3SAT}$ , then PH = NP.

#### Proof:

First we will show that if 3SAT is polynomial-time reducible to  $\overline{3SAT}$ , then NP = co-NP:

Let  $L \in \text{co-NP}$ . Then  $\overline{3\text{SAT}} \leq_P L$  since  $\overline{3\text{SAT}}$  is co-NP-complete. Next,  $3\text{SAT} \leq_P \overline{3\text{SAT}} \leq_P L$  by our original assumption, so L is reducible to 3SAT. Since  $3\text{SAT} \leq_P L$ , there exists a TM with input and advice tapes which accepts L in polynomial time, so  $L \in \text{NP}$ . Thus,  $L \in \text{co-NP} \to L \in \text{NP}$ . Now let  $L \in \text{NP}$ . Then.... prove pt 1.b

Next we will show that if NP = co-NP, then  $\Sigma_2^p = \text{NP} = \pi_2^p = \text{co-NP}$ : Proof for pt 2 goes here..

Finally, we can repeat the logic used to show that  $\Sigma_2^p$  and  $\pi_2^p$  collapse into NP and co-NP to show that higher levels of the polynomial time hierarchy collapse into NP and co-NP. Thus if 3SAT is polynomial-time reducible to  $\overline{3SAT}$ , then PH = NP.