# Analysis of Somewhat Homomorphic Encryption Over the Integer Ring

Bryan Kaperick

January 14, 2017

## 1 Preliminaries

#### 1.1 Symmetric Modulus

Traditionally, the modulus operator can be defined as follows

**Definition 1.1.** Define  $q_a(b) = \lfloor \frac{b}{a} \rfloor$ . Then, define  $a \pmod{b} = b - q_a(b)a$ , which is equivalent to setting  $a \pmod{b}$  to be the representative in [0,b) for the residue class containing a for the congruence relation of congruence modulo b.

However, for the purposes of this paper, it will be seen that a slightly altered definition is much more convenient.

**Definition 1.2.** Define  $q_a(b) = \lfloor \frac{a}{b} \rfloor$ , where  $\lfloor \cdot \rfloor$  returns the nearest integer to the input value (rounding up for multiples of one-half). Again, define  $a \pmod{b} = b - q_a(b)a$ .

While notationally annoying, this approach makes much more sense once the *idea* of this scheme is understood. In general, the scheme relies on recovering a noisy approximation of a multiple of the secret key, so in this respect, it is more natural to allow a symmetric distribution of noisy approximations to all be in the same *class*. More on this later.

#### 1.2 Rounding Operator

In these notes it is often necessary to round a number to the nearest integer. The following notation is used,

**Definition 1.3.** Let  $x \in \mathbb{R}$ . Then,  $\lfloor x \rceil$  is equal to the integer closest to x (rounding down if equidistant).

#### 2 Goals of Scheme

This scheme is intended to be a homomorphic encryption scheme equipped to allow evaluation of the encrypted data on arbitrary binary addition and multiplication circuits (up to a predetermined depth) such that the evaluated data almost surely decrypts correctly.

# 3 Motivation for Approach

The main idea is to map a bit to an arbitrary integer multiple of the secret key — also an integer — with some additional noise added. Let S be the space of integer multiples of the secret key, s. Let  $x, y \in S$ . Observe that with integer addition and multiplication, S forms a ring.

Proof.  $S = \{x | \exists n \in \mathbb{Z}, x = n \cdot s\}$ . Let  $x, y \in S$ . If  $x = n \cdot s$  and  $y = m \cdot s$  for some  $n, m \in \mathbb{Z}$ , then clearly  $x + y = n \cdot s + m \cdot s = (n + m) \cdot s$ , so the operation is closed. Integer addition is commutative. Every integer  $n \in \mathbb{Z}$  has additive inverse -n, and both  $n \cdot s$  and  $-n \cdot s$  are in S. Clearly  $0 \cdot s$  is in S, satisfying conditions for the identity. Thus, S is a group under addition.

Multiplication is also closed with respect to the integers, is associative and distributes over addition. 1 satisfies as the identity element. Thus, multiplication acts as the second binary operation, and  $(S, +, \cdot)$  is a ring.

This fact is the foundational motivation behind this scheme. Since adding and multiplying elements of S will also be elements of S, so the goal is to develop a scheme which maps these operations of S to the equivalent operations on the unencrypted bits corresponding to those elements of S. The security of the scheme comes from adding noise to the elements of S to make the act of retrieving S difficult.

#### 3.1 Noisy Ring $S_n$

To formalize the notion of noise in this ring, we will discuss a new ring,  $S_n$ . First, we begin with the set of integers,  $\mathbb{Z}$ . We define a congruence relation on  $\mathbb{Z}$ ,

**Definition 3.1.** Fix  $s \in \mathbb{Z}^+$ . Let  $a, b \in \mathbb{Z}$ . We will say a is equivalent to b, or  $a \equiv b$ , if  $q_s(a) = q_s(b)$ . That is, if  $\lfloor \frac{a}{s} \rfloor = \lfloor \frac{b}{s} \rfloor$ . This is equivalent to defining the relation as the following: Decompose a and b into a = xs + n and b = ys + m for some  $x, y \in \mathbb{Z}$  and  $m, n \in (-s/2, s/2]$ . Then,  $a \equiv b$  if and only if x = y.

This relation clearly satisfies symmetry, reflexivity and transitivity. The equivalency classes of this relation partition  $\mathbb{Z}$  into neighborhoods around each multiple of s. This can be enumerated by denoting  $C_i$  to be the equivalency class around  $i \cdot s$ , so

$$\mathbb{Z} = \bigcup_{i \in \mathbb{Z}} \mathcal{C}_i.$$

Now, let  $S_n$  be the set of these equivalency classes.

$$S_n = \{\ldots, C_{-2}, C_{-1}, C_0, C_1, C_2, \ldots\}.$$

Now, define the following binary operations,  $\oplus$  and  $\odot$ .

**Definition 3.2.** Let  $C_i, C_j \in S_n$  be equivalency classes as described above. Then, define this operation as  $C_i \oplus C_j = C_{i+j}$ .

**Definition 3.3.** Let  $C_i, C_j \in S_n$  be equivalency classes as described above. Then, define this operation as  $C_i \odot C_j = C_{i \cdot j}$ .

Since both operations return elements of  $S_n$ , they are both closed. It is simple to show that these satisfy the necessary conditions to make  $(S_n, \oplus, \odot)$  a ring.

This structure will serve as a stronger model for discussing the encryption scheme. The  $\oplus$  and  $\odot$  operators mimic the interaction of two integers near a multiple of s.

## 4 Implementation

#### 4.1 Special Distribution, $\mathcal{D}_{\gamma,\rho}(p)$

We define  $\mathcal{D}_{\gamma,\rho}(p)$  and analyze it prior to discussing the encryption scheme. We define  $\mathcal{D}_{\gamma,\rho}(p)$ ,

**Definition 4.1.** Let  $s \in \mathbb{Z}$  be odd and positive. Now define the distribution of interest as

$$\mathcal{D}_{\gamma,\rho}(p) = \{ choose \ q \leftarrow \mathbb{Z} \cap [0, 2^{\gamma}/s), \quad r \leftarrow \mathbb{Z} \cap (-2^{\rho}, 2^{\rho}), \quad output \ x = sq + r \}.$$

Random variables drawn from  $\mathcal{D}_{\gamma,\rho}(p)$  are simply noisy multiples of s with certain size restrictions. r is the noise parameter, with  $\rho$  dictating the size, in bits of r. Notice it is evenly distributed over sq. Since for  $x \leftarrow \mathcal{D}_{\gamma,\rho}(p)$ , x = sq + r, if  $\rho = 0$  then r = 0 so  $x \in \mathcal{S}$ . However, with nonzero noise, we see that if x = sq + r, then  $x \in \mathcal{C}_q \in \mathcal{S}_n$ . So, this distribution can be seen as choosing a random element of  $\mathcal{S}_n$  and then a random element within a subset of that equivalency class.

The noise level determines how far from the nearest multiple of s an element from  $\mathcal{D}_{\gamma,\rho}(p)$  can be.

#### 4.2 Overview of Scheme

First,  $\lambda$ , the security parameter is set. Then, the following parameters are set

- $\gamma$  is the bit-length of the integers in the public key,
- $\nu$  is the bit-length of the secret key (which is the hidden approximate-gcd of all the public-key integers),
- $\rho$  is the bit-length of the noise (i.e., the distance between the public key elements and the nearest multiples of the secret key), and
- $\tau$  is the number of integers in the public key.

Then, the KeyGen, Encrypt, Decrypt, and Evaluate functions can be described in terms of these, and the input bit,  $m \in \{0,1\}$ .

#### 4.2.1 KeyGen

The first step is to create the public key, p and the secret key, s. We define s to be an odd  $\nu$ -bit integer, so

$$s \leftarrow (2\mathbb{Z} + 1) \cap [2^{\nu - 1}, 2^{\nu}).$$

To create the public key, we start by sampling  $\mathcal{D}_{\gamma,\rho}(p)$  with  $x_i \leftarrow \mathcal{D}_{\gamma,\rho}(p)$  for all  $i = 0, 1, \ldots, \tau$ . Relabel to ensure  $x_0$  is the largest. Restart this process until  $x_0$  is odd and  $x_0 \pmod{s}$  is even. Then,  $p = \langle x_0, \ldots, x_{\tau} \rangle$ .

#### 4.2.2 Encrypt

Given a bit  $m \in \{0, 1\}$ , we first choose a random subset  $S \subseteq \{1, 2, ..., \tau\}$  and random realization  $r \leftarrow (-2^{\rho'}, 2^{\rho'})$ . The encrypted integer, c is defined

$$c = \left(m + 2r + \sum_{i \in S} x_i\right) \pmod{x_0}.$$

A discussion of why this works is in ??.

#### 4.2.3 Decrypt

Given an integer c which has been encrypted by this scheme, it can be unencrypted by setting

$$m = c \pmod{s} \pmod{2}$$
.

And m is the unencrypted bit.

#### 4.3 Proof of Validity

#### 5 Attacks

- 5.1 Least Significant Bit Guessing
- 5.2 Solving Approximate GCD