

# Modeling Lake Trophic State: A Random Forest Approach

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## Abstract

Productivity of lentic ecosystems has been well studied and it is widely accepted that as nutrient inputs increase, productivity increases and lakes transition from lower trophic state (e.g. oligotrophic) to higher trophic states (e.g. eutrophic). These broad trophic state classifications are good predictors of ecosystem condition and ecosystem services and disservices (e.g. recreation, aesthetics, fisheries, and harmful algal blooms). While the relationship between nutrients and trophic state provides reliable predictions, it requires *in situ* water quality data in order to parameterize the model. This limits the application of these models to lakes with existing and, more importantly, available water quality data. To expand our ability to predict trophic state in lakes without water quality data, we take advantage of the availability of a large national lakes water quality database (i.e. the National Lakes Assessment), land use/land cover data, lake morphometry data, other universally available data, and modern data mining approaches to build and assess models of lake trophic state that may be more universally applied. We use random forests and random forest variable selection to identify variables to be used for predicting trophic state and we compare the performance of two sets of models of trophic state (as determined by chlorophyll *a* concentration). The first set of models estimates three different trophic state classification with *in situ* as well as universally available data and the second set of models uses universally available GIS data only. Overall accuracy for models built from *in situ* and universal data ranged from 67% to 87%. For the universal data only models, overall accuracy ranged from 49% to 76%. Lastly, presence and abundance of cyanobacteria is often strongly associated with trophic state. To test this we examine the association between estimates of cyanobacteria abundance and measured chlorophyll *a* and find a positive relationship. These results suggest that predictive models of lake trophic state may be improved with additional information on the landscape surrounding lakes and that those models provide additional information on the presence of potentially harmful cyanobacteria taxa. Lastly, the source code and data for this manuscript are freely available from <https://github.com/USEPA/LakeTrophicModelling>.

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## 1 Introduction

Productivity in lentic systems is often categorized across a range of trophic states (e.g. the trophic continuum) from early successional (i.e. oligotrophic) to late successional lakes (i.e. hypereutrophic) with lakes naturally occurring across this range (Carlson 1977). Oligotrophic lakes occur in nutrient poor areas or have a more recent geologic history, are often found in higher elevations, have clear water, and are usually favored for drinking water or direct contact recreation (e.g. swimming). Lakes with higher

38 productivity (e.g. mesotrophic and eutrophic lakes) have greater nutrient loads, tend to be less clear,  
39 have greater density of aquatic plants, and often support more diverse and abundant fish communities.  
40 Higher primary productivity is not necessarily a predictor of poor ecological condition as it is natural  
41 for lakes to shift from lower to higher trophic states but this is a slow process. However, at the highest  
42 productivity levels (hypereutrophic lakes) biological integrity is compromised (Hasler 1969, Smith et al.  
43 1999, Schindler and Vallentyne 2008).

44 Monitoring trophic state allows the identification of rapid shifts in trophic state or locating lakes with  
45 unusually high productivity (e.g. hypereutrophic). These cases are indicative of lakes under greater  
46 anthropogenic nutrient loads, also known as cultural eutrophication, and are more likely to be at risk  
47 of fish kills, fouling, and harmful algal blooms (Smith 1998, Smith et al. 1999, 2006). Given the  
48 association between trophic state and many ecosystem services and disservices, being able to accurately  
49 model trophic state could provide a first cut at identifying lakes with the potential for harmful algal  
50 blooms (i.e. from cyanobacteria) or other problems associated with cultural eutrophication. This type  
51 of information could be used for setting priorities for management and allow for more efficient use of  
52 limited resources.

53 As trophic state and related indices can be best defined by a number of *in situ* water quality parameters  
54 (modeled or measured), most models have used this information as predictors (Imboden and Gächter  
55 1978, Salas and Martino 1991, e.g., Carvalho et al. 2011, Milstead et al. 2013). This leads to accurate  
56 models, but also requires data that are often sparse and not always available, thus limiting the population  
57 of lakes for which we can make predictions. A possible solution for this is to build models that use widely  
58 available data that are correlated to many of the *in situ* variables. For instance, landscape metrics of  
59 forests, agriculture, wetlands, and urban land in contributing watersheds have all been shown to explain  
60 a significant proportion of the variation (ranging from 50-86%, depending on study) in nutrients in  
61 receiving waters (Jones et al. 2001, 2004, Seilheimer et al. 2013). Building on these previously identified  
62 associations might allow us to use only landscape and other universally available data to build models.  
63 Identifying predictors using this type of ubiquitous data would allow for estimating trophic state in  
64 both monitored and unmonitored lakes.

65 Many published models of nutrients and trophic state in freshwater systems are based on linear modelling

66 methods such as standard least squares regression or linear mixed models (Jones et al. 2001, e.g.,  
67 2004). While these methods have proven to be reliable, they have limitations (e.g. independence and  
68 distribution assumptions, and outlier sensitivity). Using data mining approaches, such as random  
69 forests, avoids many of the limitations, may reduce bias and often provides better predictions (Breiman  
70 2001, Cutler et al. 2007, Peters et al. 2007). For instance, random forests are non-parametric and thus  
71 the data do not need to come from a specific distribution (e.g. Gaussian) and can contain collinear  
72 variables (Cutler et al. 2007). Second, random forests work well with very large numbers of predictors  
73 (Cutler et al. 2007). Lastly, random forests can deal with model selection uncertainty as predictions are  
74 based upon a consensus of many models and not just a single model selected with some measure of  
75 goodness of fit.

76 To build on past work, we have identified five areas in which our research contributes. First, we update  
77 trophic state modelling efforts with the use of random forests. Second, we assess the accuracy of  
78 predicted trophic state in lakes with the a) *in situ* and universally available GIS data and then b)  
79 with the universally available GIS data only. Third, we identify important variables for describing lake  
80 trophic state. Fourth, we explore associations between trophic state and cyanobacteria, a key taxa in  
81 harmful algal blooms, so that we may begin to understand how changes in trophic state may be linked  
82 to an important ecosystem disservice. Lastly, a key goal of this research is to provide full access to the  
83 data and code we used in this research. As such, this paper, the code, and the data used in the models  
84 is made available as an R package from <https://github.com/USEPA/LakeTrophicModeling>.

## 85 2 Methods

### 86 2.1 Data and Study Area

87 We utilize three primary sources of data for this study, the National Lakes Assessment (NLA), the  
88 National Land Cover Dataset (NLCD), and lake morphometry modeled from the NHDPlus and  
89 National Elevation Data Set (Homer et al. 2004, USEPA 2009, Xian et al. 2009, Hollister and Milstead  
90 2010, Hollister et al. 2011, Hollister 2014). All datasets are national in scale and provide a unique  
91 snapshot view of the condition of lakes in the conterminous United States during the summer of 2007.

92 The NLA data were collected during the summer of 2007 and the final data were released in 2009  
93 (USEPA 2009). With consistent methods and metrics collected at 1056 locations across the conterminous  
94 United States (Figure 1), the NLA provides a unique opportunity to examine broad scale patterns in  
95 lake productivity. The NLA collected data on biophysical measures of lake water quality and habitat as  
96 well as an assessment of the phytoplankton community. For this analysis we examined the water quality  
97 measurements and total cyanobacteria abundance from the National Lakes Assessment (USEPA 2009).

98 Adding to the monitoring data collected via the NLA, we use the 2006 NLCD data to examine landscape-  
99 level drivers of trophic status in lakes. The NLCD is a national land use/land cover dataset that also  
100 provides estimates of impervious surface. We calculated total proportion of each NLCD land use land  
101 cover class and total percent impervious surface within a 3 kilometer buffer surrounding each lake  
102 (Homer et al. 2004, Xian et al. 2009). A three kilometer buffer was selected as an intermediate measure  
103 of the adjacent neighborhood; the three kilometer buffer size is greater than the immediate parcel but  
104 smaller than regional and whole-basin measures.

105 To account for unique aspects of each lake and characterize lake productivity, we also used measures  
106 of lake morphometry (i.e. depth, volume, fetch, etc.). As these data are difficult to obtain for large  
107 numbers of lakes over broad regions, we used modeled estimates of lake morphometry (Hollister and  
108 Milstead 2010, Hollister et al. 2011, Hollister 2014). These included: surface area, shoreline length,  
109 Shoreline Development, Maximum Depth, Mean Depth, Lake Volume, Maximum Lake Length, Mean  
110 Lake Width, Maximum Lake Width, and Fetch.

## 111 2.2 Predicting Trophic State with Random Forests

112 Random forest is a machine learning algorithm that aggregates numerous decision trees in order to  
113 obtain a consensus prediction of the response categories (Breiman 2001). Bootstrapped sample data are  
114 recursively partitioned according to a given random subset of predictor variables and a predetermined  
115 number of decision trees are developed. With each new tree, the sample data subset is randomly selected  
116 and with each new split, the subset of predictor variables are randomly selected. A detailed discussion  
117 of the benefits of a random forest approach is beyond the scope of this paper. To find out more see  
118 Breiman (2001) and Cutler et al. (2007).

Random forests are able to handle numerous correlated variables without a decrease in prediction accuracy; however, one possible downfall to this approach is that the resulting model may be difficult to interpret. This is a problem often faced in gene selection and in that field, a variable selection method based on random forest has been successfully applied and implemented in the R Language as the `varSelRF` package (Díaz-Uriarte and De Andres 2006). With this method, a minimum set of variables that maximizes model accuracy is provided. This allows us to start with a full suite of predictor variables from which to select a minimum, easier to interpret set of variables. One issue with the approach in `varSelRF` is that because of the randomization inherent in random forests it is possible to get variation in the minimum selected set of variables. To account for this we repeated `varSelRF` 100 times. In our case, repeating the procedure 100 times quickly converged on a set of all possible important variables.

## 2.3 Model Details

Using both the `varSelRF` and `randomForest` R packages we ran models for six sets of variables and trophic state classifications (Liaw and Wiener 2002, Diaz-Uriarte 2010). These included three different combinations of the chlorophyll *a* trophic states as the dependent variables and using all variables (*in situ* and GIS variables) or the GIS only variables (i.e. no *in situ* information) as the independent variables in the random forest. A listing of all considered variables is in Appendix 1. Trophic state was defined using the NLA chlorophyll *a* trophic state cut offs and the three combinations of trophic state were used to highlight the possible error caused by misclassification of adjacent classes, such as mesotrophic and eutrophic (Table 1). Our separation of predictors was chosen so that we could highlight the additional predictive performance provided by adding the *in situ* water quality variables on top of the GIS only variables. Lastly, we used only complete cases (i.e. missing data were removed) so the total number of observations varied between models.

The six model combinations were:

- **Model 1:** Chlorophyll *a* trophic state (4 class) = All variables (*in situ* water quality, lake morphometry, and landscape)
- **Model 2:** Chlorophyll *a* trophic state (3 class) = All variables (*in situ* water quality, lake

morphometry, and landscape)

- **Model 3:** Chlorophyll *a* trophic state (2 class) = All variables (*in situ* water quality, lake morphometry, and landscape)
- **Model 4:** Chlorophyll *a* trophic state (4 class) = GIS Only variables (lake morphometry, and landscape)
- **Model 5:** Chlorophyll *a* trophic state (3 class) = GIS Only variables (lake morphometry, and landscape)
- **Model 6:** Chlorophyll *a* trophic state (2 class) = GIS Only variables (lake morphometry, and landscape)

Our modelling work flow was as follows:

1. Use `iterVarSelRF` in the `LakeTrophicModelling` R package to identify a minimal set of variables that maximize accuracy of the random forest algorithm (Diaz-Uriarte 2010, Hollister et al. 2014). This subset of variables, the reduced model, is calculated for each of our 6 models.
2. Using R's `randomForest` package, we pass the reduced models selected with `iterVarSelRF` and assess model performance (Liaw and Wiener 2002).

## 2.4 Measures of Model Performance and Variable Importance

We assessed the performance of the random forest models by comparing the total prediction accuracy and the kappa coefficient of the final confusion matrix. For each of the models, the final predictions were compared to the original data via a confusion matrix. A confusion matrix shows agreement and disagreement with predicted values forming the columns of the matrix and observed values, the rows. The total accuracy (i.e. percent correctly predicted) was calculated. Since some agreement can be expected by chance alone, it is also useful to take this type of error into account. For this we calculated the kappa coefficient from the confusion matrix for each model as well (Cohen 1960, Hubert and Arabie 1985). The kappa coefficient can range from -1 to 1 with 0 equalling the agreement expected by chance alone. Values greater than 0 represent agreement greater than would be expected by chance, with values greater than 0.61 considered “substantial” agreement (Landis and Koch 1977). Negative values

are rare and would indicate no agreement between the predicted and observed values. Additionally, random forest builds each tree on bootstrapped, random subsets of the original data, thus, a separate independent validation dataset is not required and random forest error estimates are expected to be unbiased (Breiman 2001).

Lastly, the random forest algorithm explicitly measures variable importance as mean decrease in Gini. The Gini Index is a measure of how well the data are classified into homogeneous groups. For every node, the splitting variables are permuted and the change in actual Gini and permuted Gini is recorded. The mean decrease Gini is a summed and standardized value for each variable (Breiman 2001). Higher values of mean decrease Gini suggest a higher importance for that variable.

### 3 Results

Our complete dataset includes 1148 lakes; however 5 lakes did not have chlorophyll *a* data. Thus, the base dataset for our modelling was conducted on data for 1143 lakes. As chlorophyll *a* is used to create the trophic state classifications, it was necessary to remove these data because no chlorophyll *a* trophic state could be determined for these lakes. The lakes were well distributed both across the four trophic state categories (Table 1) and spatially throughout the United States (Figure 1).

#### 3.1 Models

Accuracy for the models built with all predictors ranged from 67% to 87% and the kappa coefficient had a minimum value of 0.55 and maximum of 0.73. The GIS only models had a total accuracy between 49% and 76% and kappa coefficient between 0.3 and 0.52. The importance of variables for the models including the *in situ* data were fairly stable while there was considerably more variation in variable importance for the three different GIS only models. Additionally, as each model contained a different subset of variables and since we removed observations with missing data, the total number of lakes varied across the models. Details for each model are discussed below.

*Model 1: 4 Trophic States ~ All Variables*

196 Total number of lakes for Model 1 was 1142 and the reduced model for Model 1 included turbidity,  
197 total phosphorus, total nitrogen, nitrogen:phosphorus ratio, total organic carbon, potassium, ecoregion,  
198 maximum depth, organic ions, and dissolved organic carbon. Of these, turbidity, total phosphorus, and  
199 total nitrogen were the three most important predictors of the four classes of trophic state (Figure 2).  
200 Total accuracy for Model 1 was 67% and the Cohen's Kappa was 0.55 (Table 2).

#### 201 *Model 2: 3 Trophic States ~ All Variables*

202 For Model 2 there were 1130 observations and the reduced model included turbidity, total phosphorus,  
203 total nitrogen, total organic carbon, nitrogen:phosphorus, longitude, pH, estimated organic anions,  
204 elevation, maximum depth, dissolved organic carbon, potassium, latitude, ecoregion, chloride, ammonium  
205 and percent cropland (Figure 3). The top predictors for 3 trophic state classes were again turbidity,  
206 total phosphorus, and total nitrogen (Figure 3). Model 2 accuracy was 80% and the Cohen's Kappa  
207 was 0.61 (Table 3).

#### 208 *Model 3: 2 Trophic States ~ All Variables*

209 Model 3 was built with 1142 lakes and the reduced model was similar to Model 1 and Model 2. This  
210 model included turbidity, total phosphorus, total nitrogen, nitrogen:phosphorus, potassium, ecoregion,  
211 elevation, total organic carbon, growing degree days, longitude, sodium, maximum depth, estimated  
212 organic anions, latitude, and dissolved organic carbon (Figure 4). The top three predictors were the  
213 same as Models 1 and 2. (Figure 4). Total accuracy for Model 3 was 87% and the Cohen's Kappa was  
214 0.73 (Table 4).

#### 215 *Model 4: 4 Trophic States ~ GIS Only Variables*

216 Model 4 had a sample size of 1138. The selected variables for the Model 4 were longitude, latitude,  
217 elevation, estimated mean lake depth, percent evergreen forest, estimated maximum lake depth, percent  
218 cropland, and ecoregion (Figure 5). The three most important variables were longitude, latitude, and  
219 elevation (Figure 5); however, all variables except ecoregion were almost equally important. Total  
220 accuracy for Model 4 was 49% and the Cohen's Kappa was 0.3 (Table 5).

#### 221 *Model 5: 3 Trophic States ~ GIS Only Variables*



222 A total of 1138 lakes were used for model 5 which included estimated mean lake depth, percent cropland,  
223 longitude, latitude, percent evergreen forest, elevation, estimated maximum lake depth, estimated lake  
224 volume, percent deciduous forest, percent developed open space, ecoregion, percent woody wetland,  
225 and percent shrub/scrub (Figure 6). The most important variables for model 5 were estimated mean  
226 lake depth, percent cropland, and longitude. (Figure 6). Total accuracy for Model 5 was 68% and the  
227 Cohen's Kappa was 0.35 (Table 6).

#### 228 *Model 6: 2 Trophic States ~ GIS Only Variables*

229 Model 6 was built using 1138 total observations. The variable selection process for Model 6 produced a  
230 reduced model with ecoregion, growing degree days, percent evergreen forest, percent cropland, elevation,  
231 estimated mean lake depth, longitude, latitude, watershed area, estimated maximum lake depth, percent  
232 developed open space, percent deciduous forest, and estimated lake volume (Figure 7). The three most  
233 important variables were ecoregion, growing degree days, and percent evergreen. (Figure 7). Ecoregion  
234 had the highest importance with a slow decline in importance for the remainder of the variables. Total  
235 accuracy for Model 6 was 76% and the Cohen's Kappa was 0.52 (Table 7).

## 236 4 Discussion

### 237 4.1 Trophic State Probabilities

238 One of the powerful features of random forests is the ability to aggregate a very large number of  
239 competing models or trees. Each tree provides an independent prediction or vote for a possible outcome.  
240 In the context of our trophic state models, we have 10,000 votes for each lake. These values may be  
241 interpreted as the probability that a lake is in a given trophic state. For instance, for a single lake  
242 (National Lake Assessment ID = NLA06608-0005), the vote probabilities for Model 1 were 81% for  
243 oligotrophic, 19% for mesotrophic, 0% for eutrophic, and 0% for hypereutrophic. This suggests little  
244 uncertainty in the predicted oligotrophic state.

245 Further, the maximum probability for each lake can be used as a measure of how certain the random  
246 forest model was of the prediction. We would expect higher total accuracy for lakes that had more

247 certain predictions. Some lakes may have many votes for a single trophic state and few votes for  
248 other trophic states and these would thus have a large maximum probability and the random forest  
249 predictions would be more certain. Alternatively, the 10,000 votes could have been spread more equally  
250 across the trophic state classes for a lake and that lake would have a small maximum probability and  
251 the final predictions would be less certain. This should be evident by looking at the total classification  
252 accuracy of lakes given their maximum probability is above a certain point. To test this we can examine  
253 the accuracy of trophic state predictions across the full range of trophic state probabilities, similar to an  
254 approach outlined by Paul and MacDonald (2005) and implemented by Hollister et al. (2008). We utilize  
255 this approach and examine the change in total accuracy as a function of the maximum probability for  
256 each lake. As expected, lakes with higher maximum vote probabilities were more accurately predicted  
257 (Figure 8). The increasing trend suggests that even for models with lower overall accuracy there can  
258 also be a large number of individual cases that are predicted with high accuracy.

## 259 4.2 Variable Selection and Importance

260 There was a great deal of agreement on the important variables for each set of models. In line with past  
261 predictive modeling of cyanobacteria abundance and not surprisingly, the *in situ* models consistently  
262 select the water quality variables (turbidity, total nitrogen, total phosphorus, and N:P ratios) as  
263 important variables (Downing et al. 2001). While there is variation in the response of cyanobacteria to  
264 changes in relative nutrient concentrations, the general pattern suggests that limiting nutrients have  
265 considerable impact once amounts increase beyond expected levels.

266 The mechanistic role of turbidity on lake trophic state is more complex. Light availability in turbid  
267 waters is lower than in clear waters. This would suggest a negative relationship between turbidity  
268 and chlorophyll *a*. Second, chlorophyll *a* can also be a component of turbidity and lakes with higher  
269 chlorophyll *a* concentrations will also be more turbid. Last, chlorophyll *a* is not the only component of  
270 of turbidity and turbid waters can be caused by, for example, increased sediment loads or tannin. This  
271 would be a cause for concern with linear models; however, linearity is not an assumption of tree-based  
272 modelling approaches such as random forest.

273 Our models with the GIS-only variables captured the large scale spatial pattern of the trophic status

274 gradient of lakes across the United States. We reliably saw latitude and longitude and ecoregion selected  
275 as important variables. It is also possible that other variables selected as important are also capturing  
276 a portion of this trend. For instance, elevation and growing degree days both have obvious spatial  
277 components, but may also be accounting for variation in temperature.

278 The land use/land cover variables were also important in describing trophic state patterns. Like elevation  
279 and growing degree days, broad scale spatial patterns are inherent in the data. For instance, the relative  
280 continental position of mountains in the United States is the spatial inverse of the distribution of  
281 agricultural lands. However, it is known that forests are positively associated with lower nutrient loads  
282 where as agricultural land shows a negative association. These more local scale relationships with land  
283 use/land cover likely provide additional predictive power to the information in the broader scale data.

284 Lastly, morphometry (e.g. depth and volume) also proved to be important in the prediction of lake  
285 trophic state. As morphometry shows little to no broad scale spatial pattern and is unique to a given  
286 lake, these data are likely illuminating the local, lake scale drivers of trophic state. As only depth and  
287 volume were selected, this likely shows the importance of in-lake nutrient processing and residence time.

### 288 4.3 Associating Trophic State and Cyanobacteria

289 Cyanobacteria biomass should be closely associated with trophic state as cyanobacteria contribute to  
290 the chlorophyll concentration in a lake. If these associations are strong enough we may be able to  
291 expand models such as those reported here to also predict probability of cyanobacteria blooms. To  
292 test if trophic state can be used to differentiate cyanobacteria abundance, we examine distribution of  
293 cyanobacteria abundance for each trophic state and also explored linear associations between chlorophyll  
294 *a* and cyanobacteria abundance.

295 The distribution of cyanobacteria abundance showed separation between all of the trophic state  
296 classifications (Figures 9, 10, and 11) and there was a significant linear relationship ( $r^2=0.33$ ) between  
297 chlorophyll *a* and cyanobacteria abundance (Figure 12). Furthermore, Yuan et al. (2014) used the  
298 2007 NLA to demonstrate that total nitrogen and chlorophyll *a* concentrations were good predictors of  
299 World Health Organization microcystin (a toxin produced by some cyanobacteria) criteria exceedences.

300 These results suggest that trophic state is indeed an acceptable proxy for cyanobacteria abundance and  
301 that in lakes with higher trophic state it is also reasonable to expect higher cyanobacteria.

## 302 5 Conclusions

303 Our research goals were to explore the utility of a widely used data mining algorithm, random forests,  
304 in the modelling of lake trophic state. Further, we hoped to examine the utility of these models when  
305 built with only ubiquitous GIS data, which allows estimation of trophic state for all lakes in the United  
306 States. We were able to successfully predict a variety of trophic state classes. With the GIS only models  
307 our total accuracy ranged from 49% to 76%, and with the full suite of data our model accuracy had a  
308 minimum accuracy of 67% and maximum accuracy of 87%.

309 While some of the models (i.e. Model 4) showed relatively low prediction accuracies, another feature of  
310 the random forest, votes, can provide additional information. In addition to providing a single estimate  
311 of trophic state for each lake, our models also indicated the probability that a lake was classified in any of  
312 the categories. These probabilities may be mapped directly to show the uncertainty of a given predicted  
313 class. Furthermore, as the certainty of prediction increases, so does overall trophic state classification  
314 accuracy (Figure 8). These results suggest that our models will provide reasonable estimates of trophic  
315 state across the United States.

316 There was great deal of agreement on the important variables for each set of models. For the combined  
317 *in situ* and GIS models, the *in situ* water quality variables drove the predictions. This is expected. For  
318 the GIS only models, the results were more nuanced with three broad categories routinely being selected  
319 as important: broad scale spatial patterns in trophic state, land use/land cover controls of trophic state,  
320 and local, lake-scale control driven by lake morphometry. Lastly, associations between trophic state and  
321 cyanobacteria showed that, at the broad scale of the 2007 NLA, there is a linear relationship between  
322 chlorophyll *a* and cyanobacteria abundance and that using trophic state as a proxy for cyanobacteria  
323 has potential.

324 These broad categories and the association between trophic state and total cyanobacteria abundance raise  
325 three important considerations related to managing eutrophication. First, the broad scale patterning

suggests regional trends. This is important because it suggests that efforts to monitor, model and manage eutrophication and cyanobacteria should be undertaken at both national and regional levels. Second, while direct control of water quality in lakes would have a large impact, the land use/land cover drivers (i.e. non-point sources) of water quality are also important, and better management of the spatial distribution of important classes such as forest and agriculture can provide some level of control on trophic state and amount of cyanobacteria present. Third, in-lake processes (i.e. residence time, nutrient cycling, etc.) are, as expected, very important and need to be part of any management strategy. Building on these efforts through updated models, direct prediction of cyanobacteria, and additional information on the regional differences will help us get a better handle on the broad scale dynamics of productivity in lakes and the potential risk to human health from cyanobacteria blooms.

## 6 Acknowledgements

We would like to thank Farnaz Nojavan, Nathan Schmucker, John Kiddon, Joe LiVolsi, Tim Gleason, and Wayne Munns for constructive reviews of this paper. This paper has not been subjected to Agency review. Therefore, it does not necessary reflect the views of the Agency. Mention of trade names or commercial products does not constitute endorsement or recommendation for use. This contribution is identified by the tracking number ORD-011075 of the Atlantic Ecology Division, Office of Research and Development, National Health and Environmental Effects Research Laboratory, US Environmental Protection Agency.

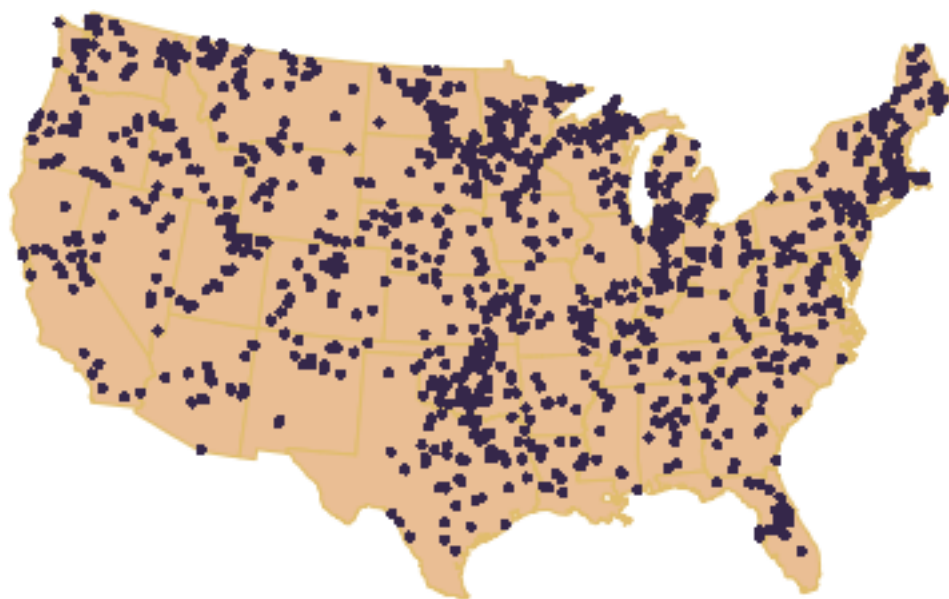


Figure 1: Map of the distribution of National Lakes Assessment Sampling locations

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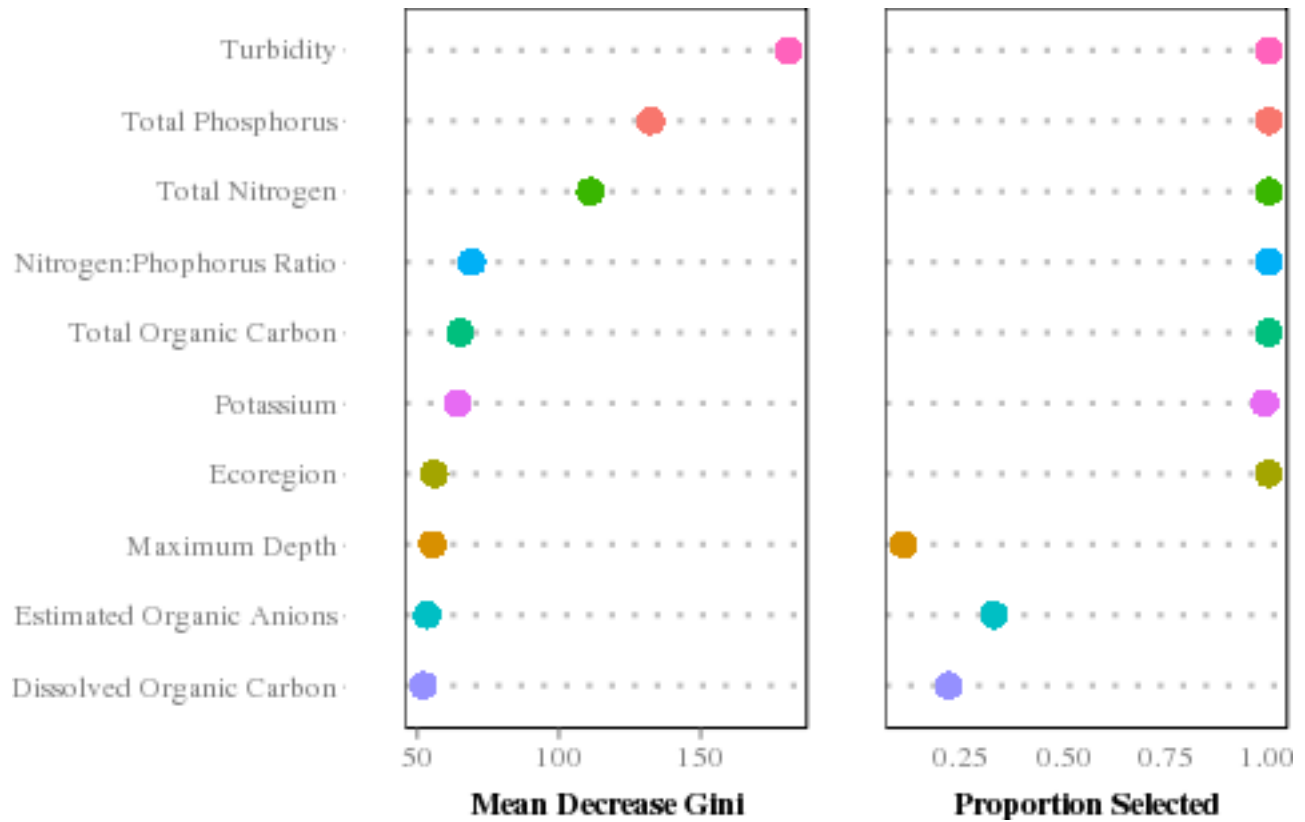


Figure 2: Importance plot for Model 1, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.

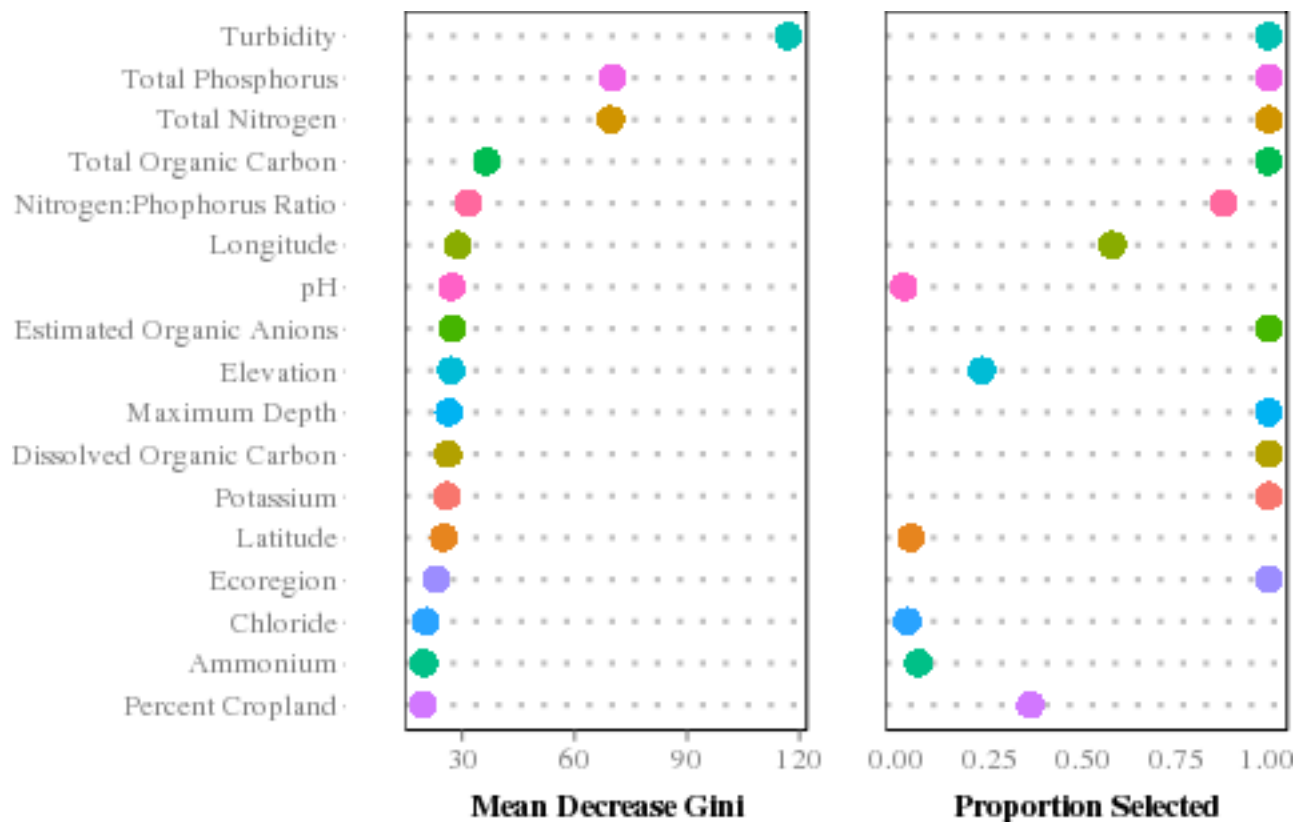


Figure 3: Importance plot for Model 2, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.



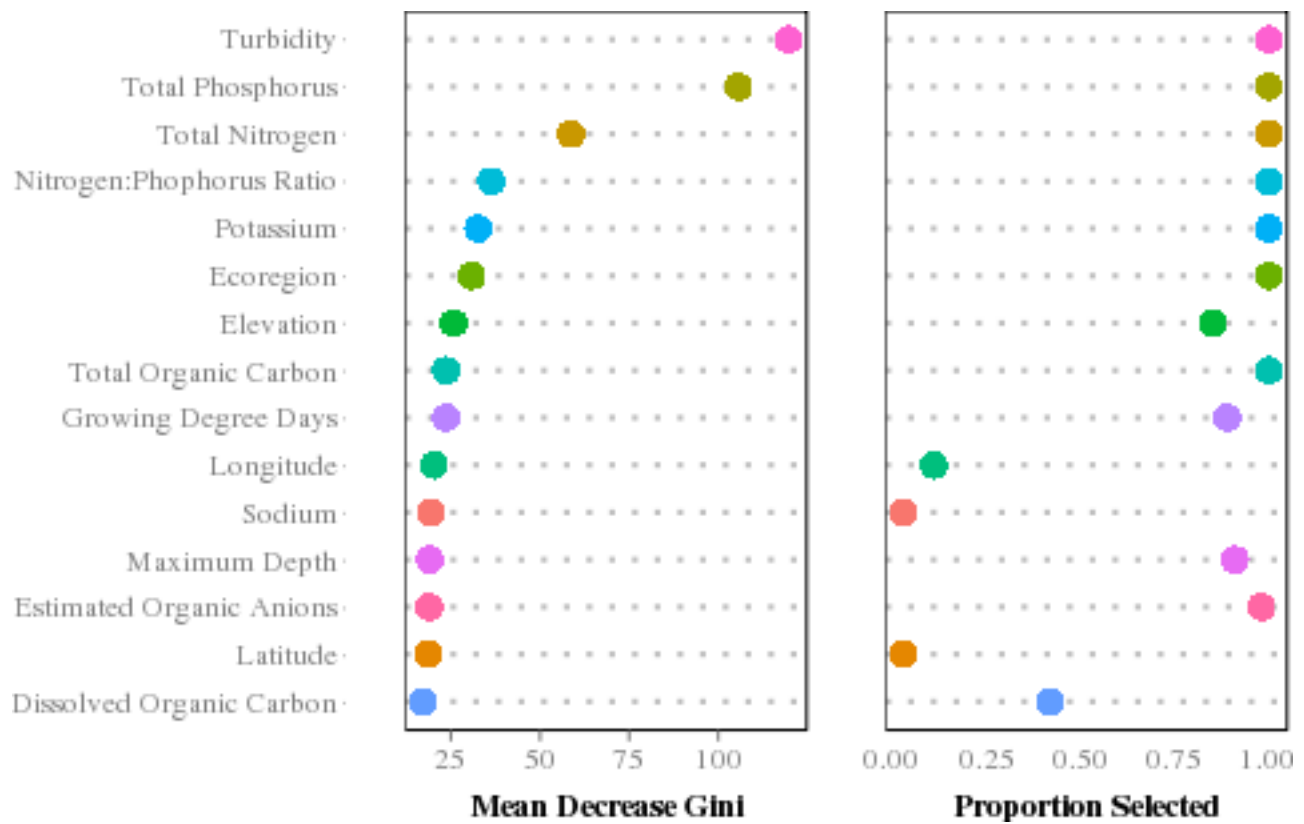


Figure 4: Importance plot for Model 3, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.

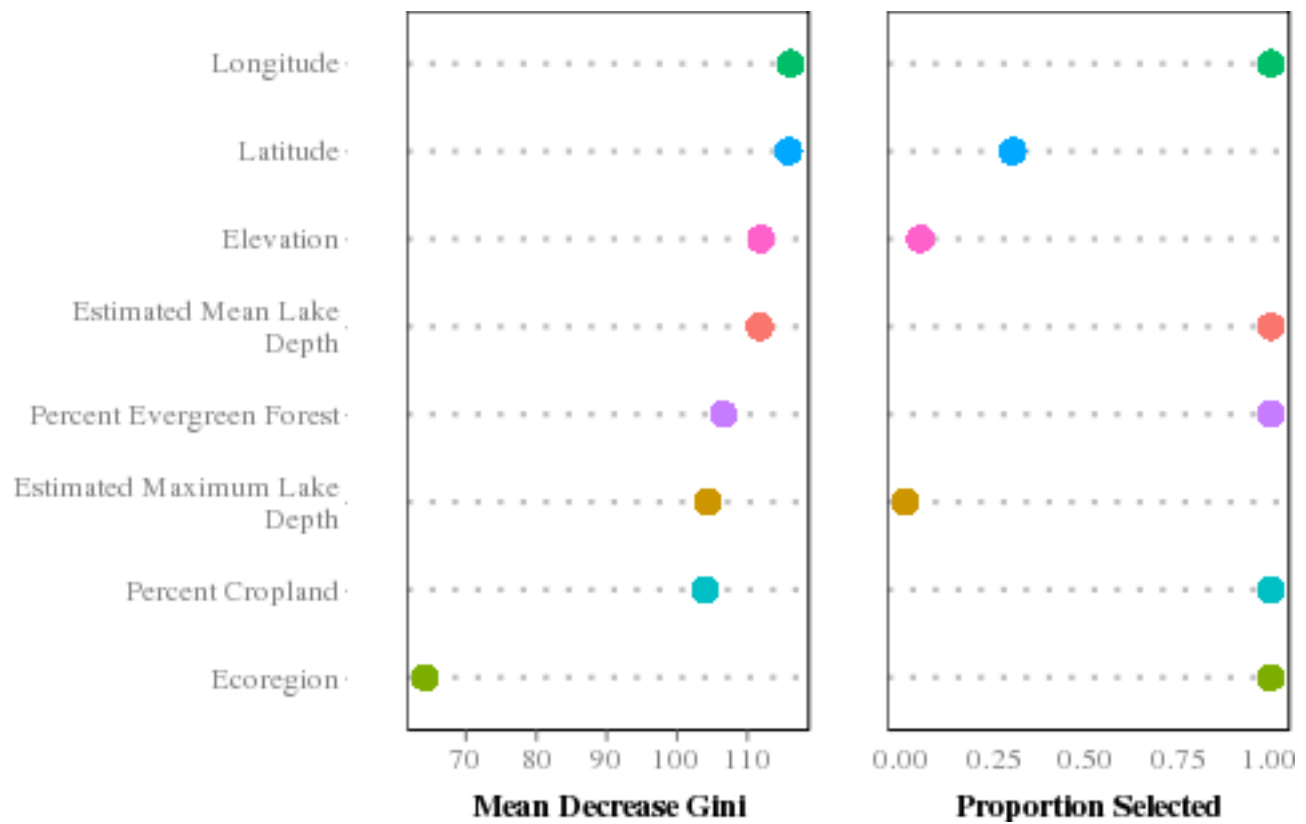


Figure 5: Importance plot for Model 4, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.

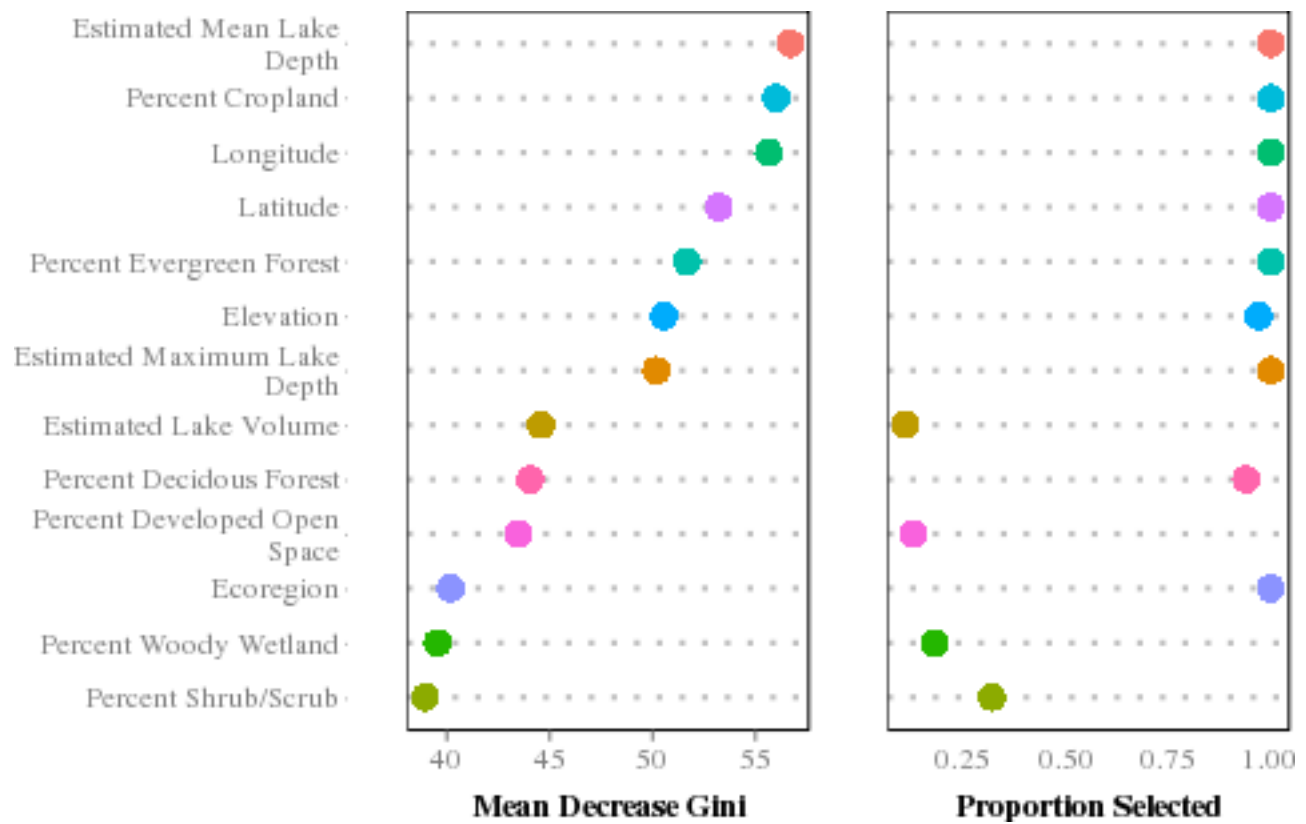


Figure 6: Importance plot for Model 5, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.

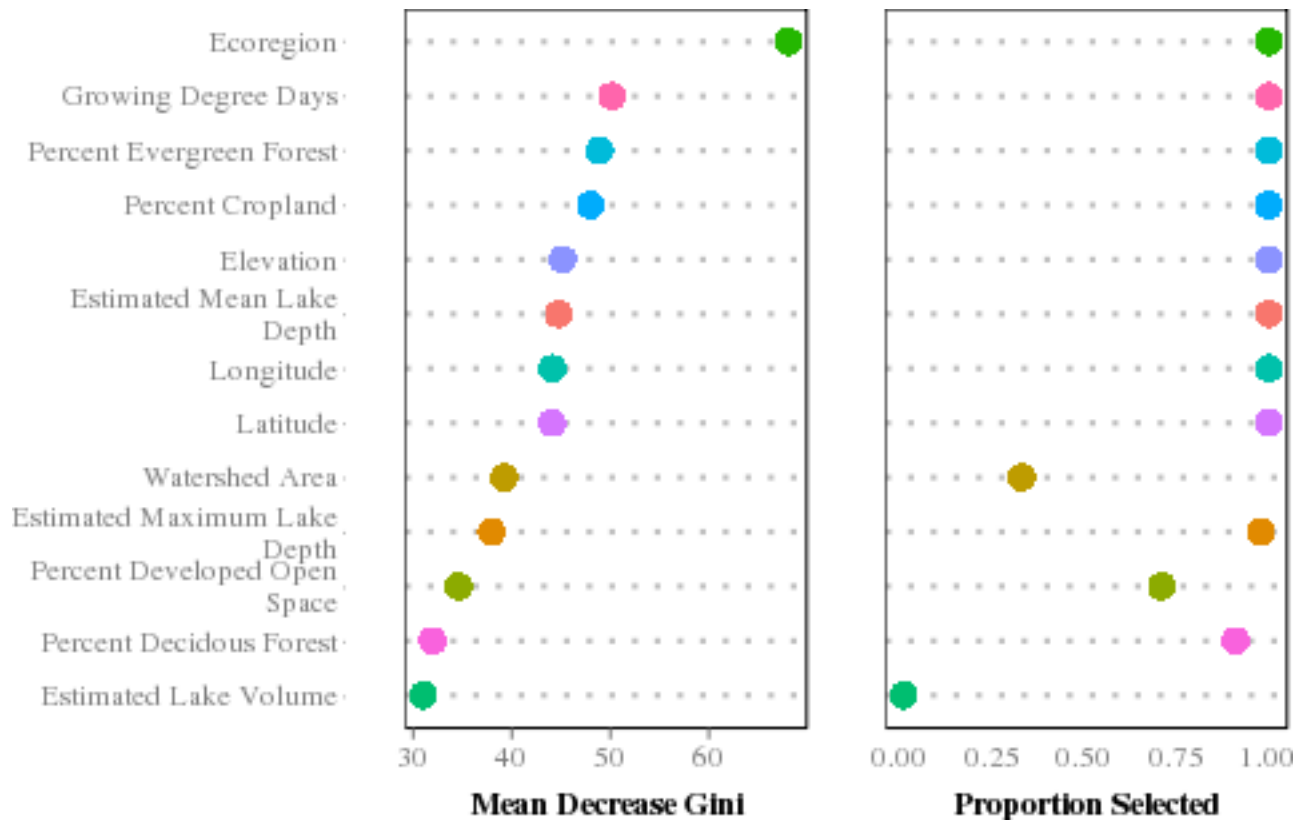


Figure 7: Importance plot for Model 6, shows mean decrease gini and proportion of times a variable was selected for inclusion in the model. Higher values of mean decrease gini indicate higher importance.

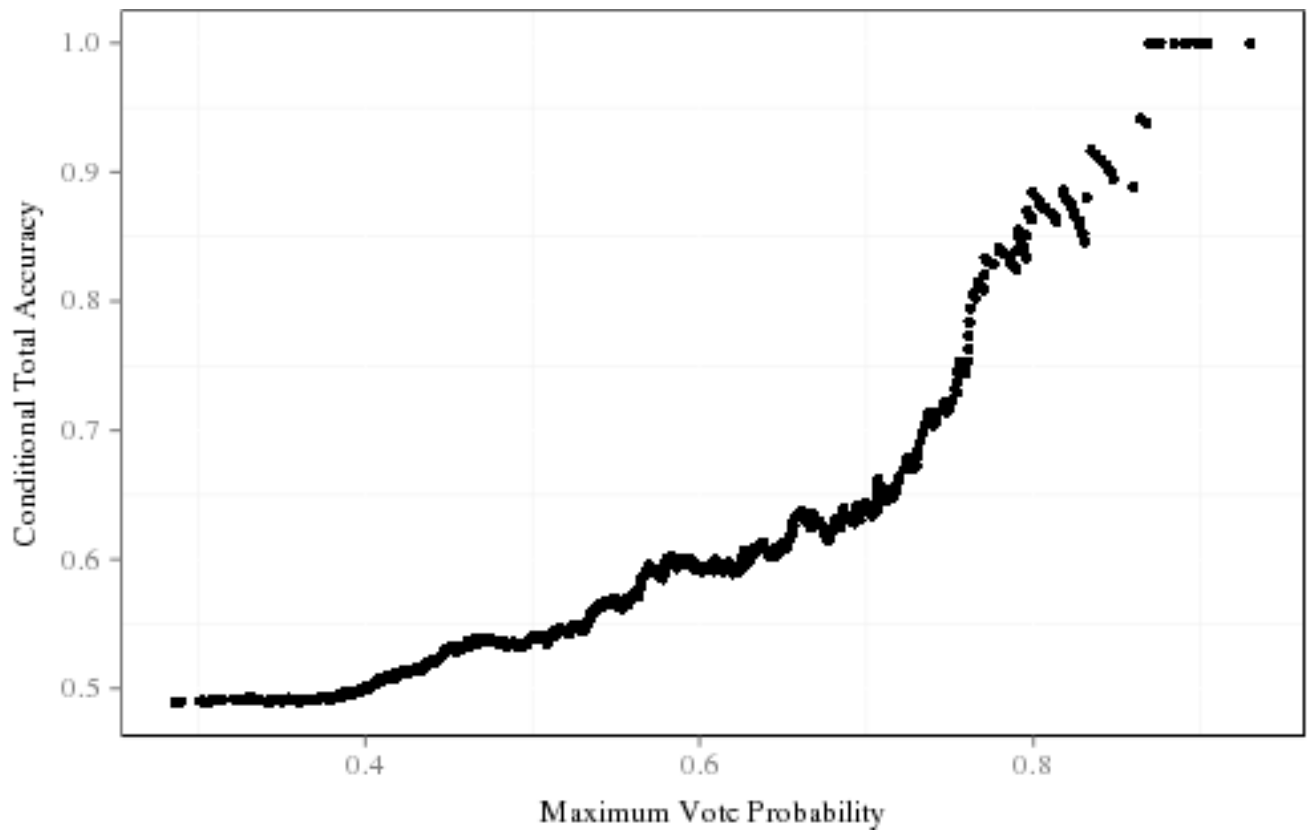


Figure 8: Comparison of certainty of trophic state prediction and total accuracy

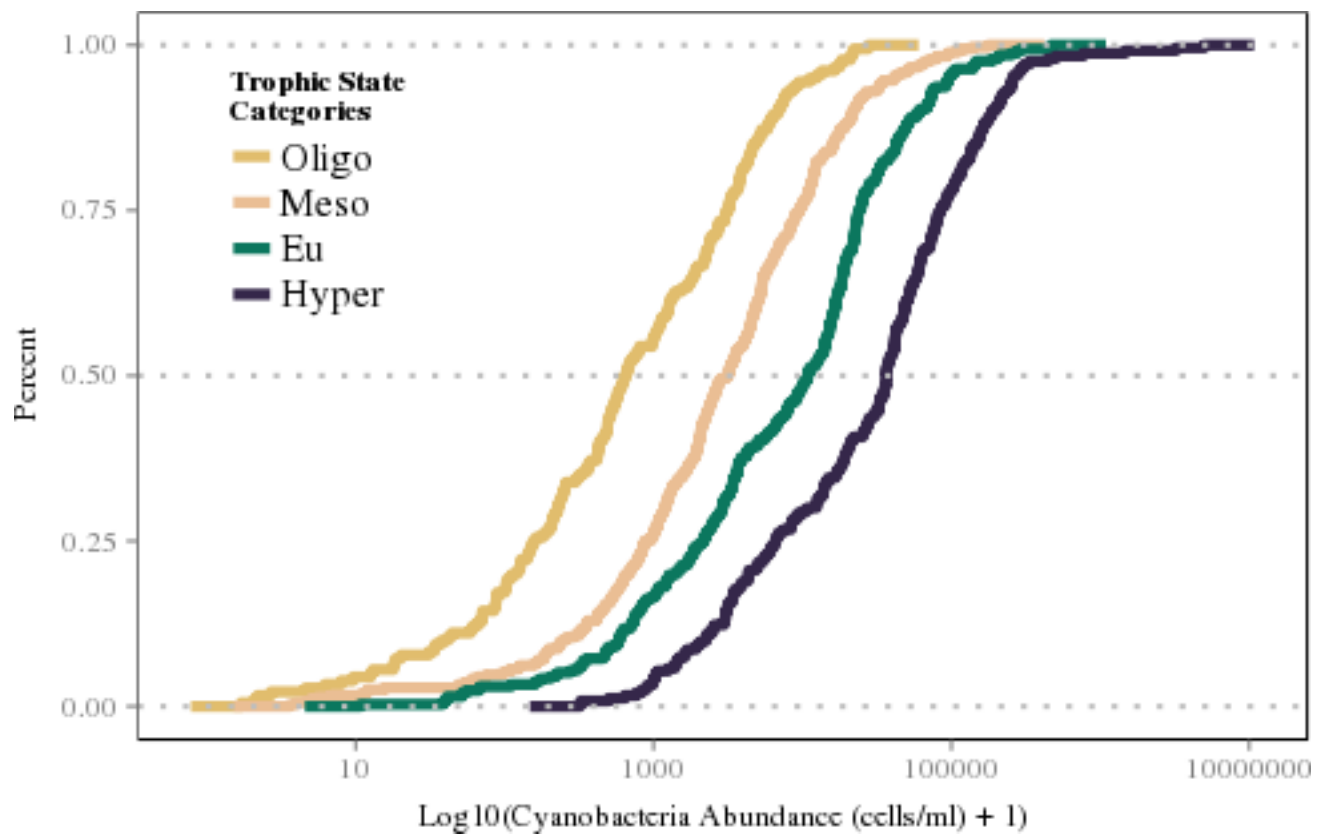


Figure 9: Cumulative distribution function of cyanobacteria abundance for 4 trophic state classes

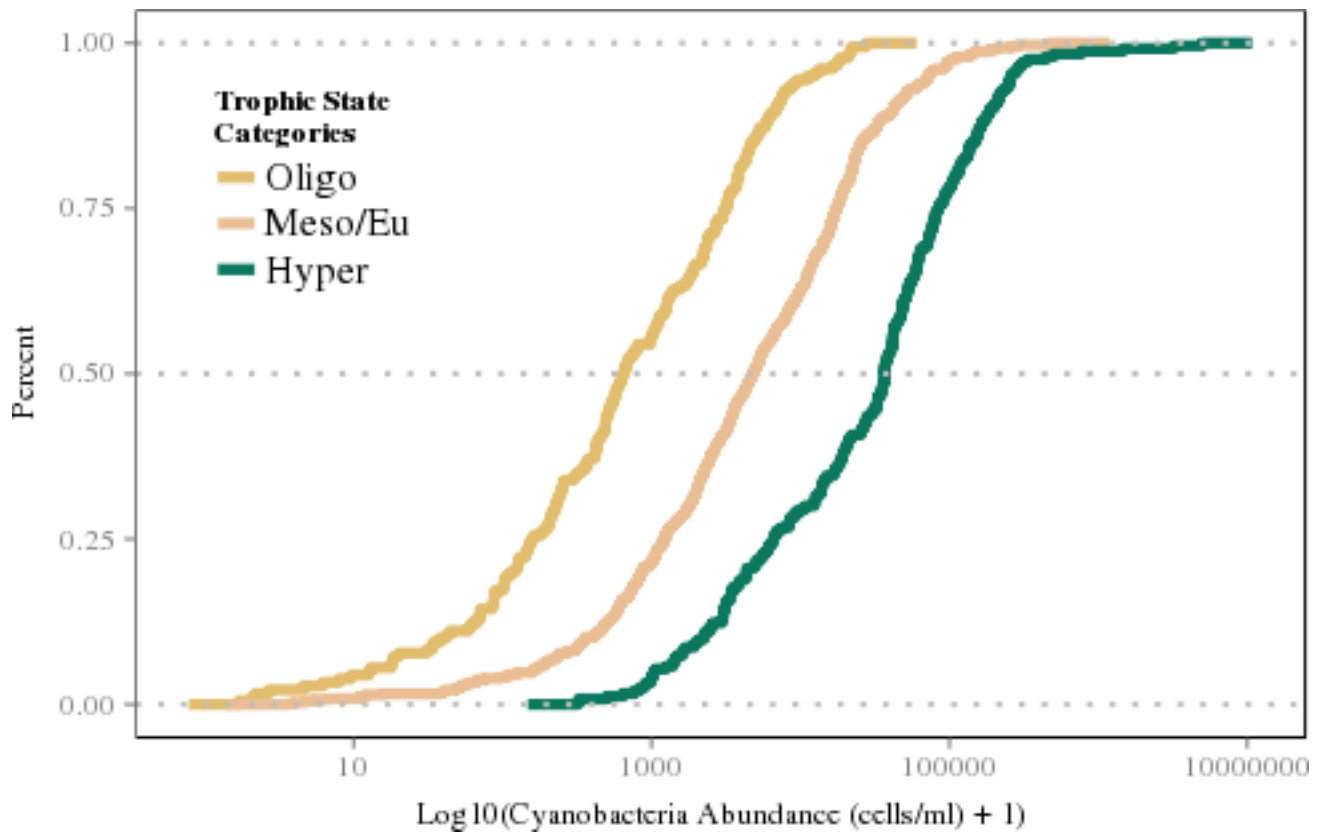


Figure 10: Cumulative distribution function of cyanobacteria abundance for 3 trophic state classes

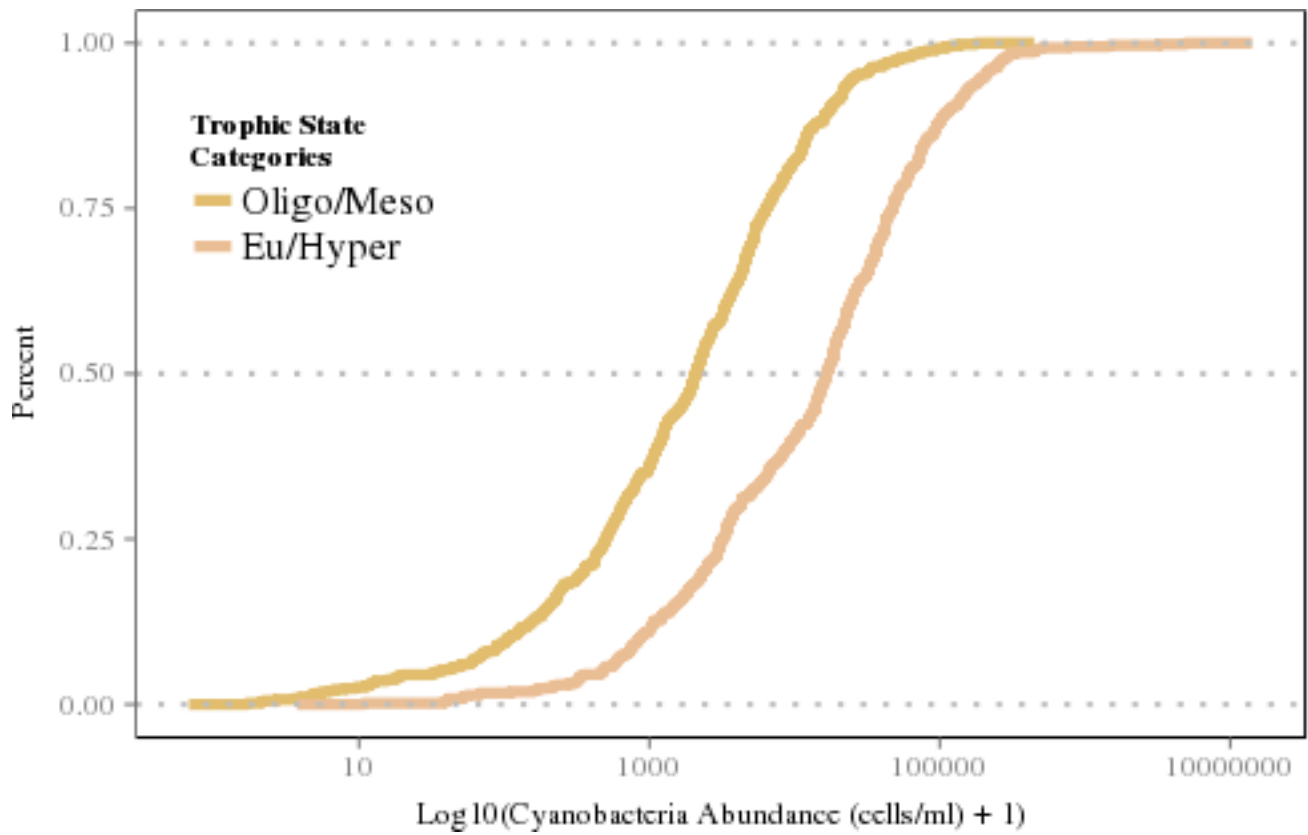


Figure 11: Cumulative distribution function of cyanobacteria abundance for 2 trophic state classes



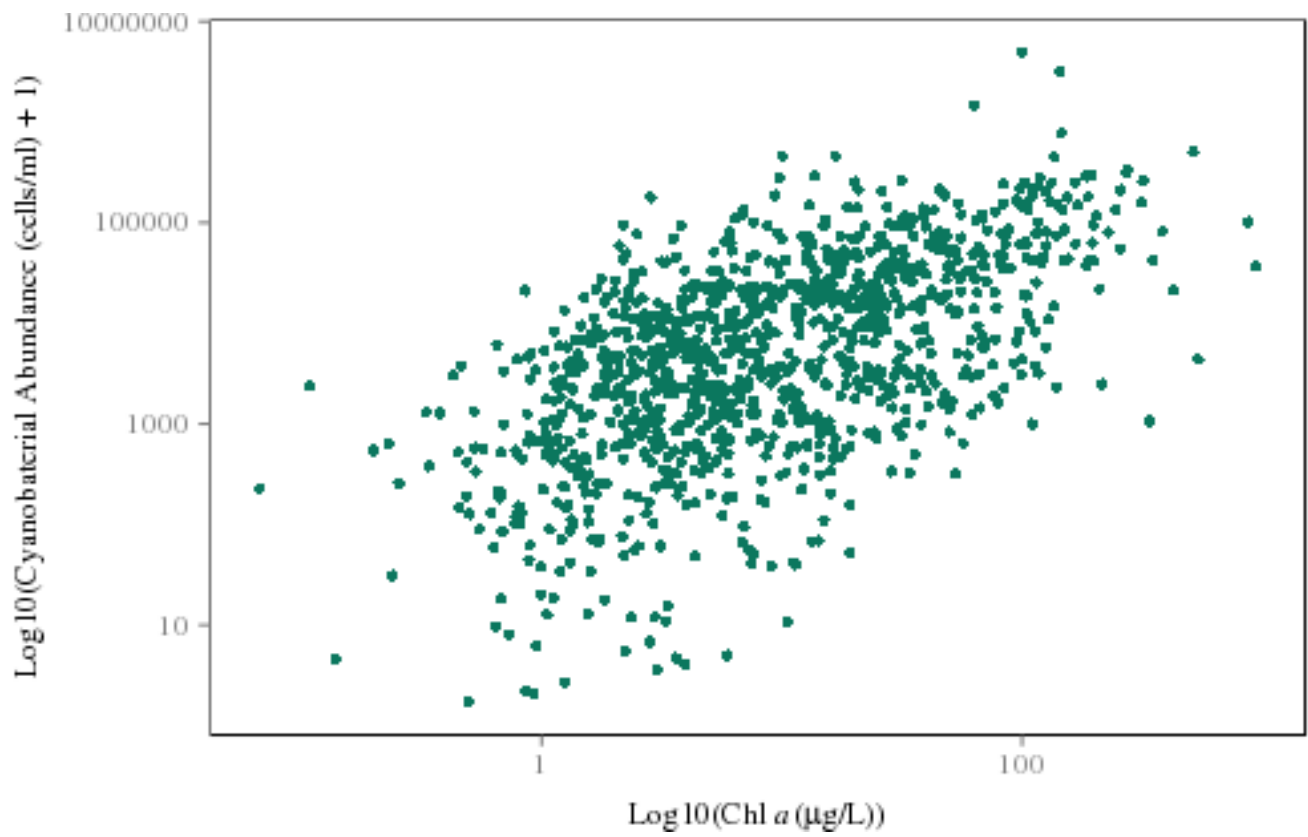


Figure 12: Cholorphyll *a* and cyanobacteria abundance scatterplot

Table 1: Chlorophyll a based trophic state cut-offs with total number of possible observations.

Trophic State (4 class)	Trophic State (3 class)	Trophic State (2 class)	Concentration Cut-off
oligotrophic	oligotrophic	oligotrophic/mesotrophic	$\leq 0.2$
mesotrophic	mesotrophic/eutrophic	oligotrophic/mesotrophic	$>2-7$
eutrophic	mesotrophic/eutrophic	eutrophic/hypereutrophic	$>7-30$
hypereutrophic	hypereutrophic	eutrophic/hypereutrophic	$>30$

Table 2: Random Forest confusion matrix for Model 1. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in ‘Class Accuracy’ column.

	Oligo	Meso	Eu	Hyper	Class Accuracy
Oligo	135	58	4	1	68%
Meso	42	233	77	10	64%
Eu	2	66	222	46	66%
Hyper	0	3	69	174	71%

Table 3: Random Forest confusion matrix for Model 2. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in ‘Class Accuracy’ column.

	Oligo	Meso/Eu	Hyper	Class Accuracy
Oligo	122	74	0	62%
Meso/Eu	43	604	42	88%
Hyper	0	72	173	71%

Table 4: Random Forest confusion matrix for Model 3. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in ‘Class Accuracy’ column.

	Oligo/Meso	Eu/Hyper	Class Accuracy
Oligo/Meso	485	75	87%
Eu/Hyper	77	505	87%

Table 5: Random Forest confusion matrix for Model 4. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in ‘Class Accuracy’ column.

	Oligo	Meso	Eu	Hyper	Class Accuracy
Oligo	94	72	28	2	48%
Meso	50	201	80	30	56%
Eu	21	110	131	73	39%
Hyper	1	34	80	131	53%

Table 6: Random Forest confusion matrix for Model 5. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in ‘Class Accuracy’ column.

	Oligo	Meso/Eu	Hyper	Class Accuracy
Oligo	80	115	1	41%
Meso/Eu	50	585	61	84%
Hyper	0	142	104	42%

Table 7: Random forest confusion matrix for Model 6. Columns show predicted values and rows show observed values. Agreement indicated on diagonal and accuracy for each trophic state indicated in 'Class Accuracy' column.

	Oligo/Meso	Eu/Hyper	Class Accuracy
Oligo/Meso	428	129	77%
Eu/Hyper	147	434	75%



variable_names	description	type
PercentImperv_3000m	Percent Impervious	GIS
WaterPer_3000m	Percent Water	GIS
IceSnowPer_3000m	Percent Ice/Snow	GIS
DevOpenPer_3000m	Percent Developed Open Space	GIS
DevLowPer_3000m	Percent Low Intensity Development	GIS
DevMedPer_3000m	Percent Medium Intensity Development	GIS
DevHighPer_3000m	Percent High Intensity Development	GIS
BarrenPer_3000m	Percent Barren	GIS
DeciduousPer_3000m	Percent Deciduous Forest	GIS
EvergreenPer_3000m	Percent Evergreen Forest	GIS
MixedForPer_3000m	Percent Mixed Forest	GIS
ShrubPer_3000m	Percent Shrub/Scrub	GIS
GrassPer_3000m	Percent Grassland	GIS
PasturePer_3000m	Percent Pasture	GIS
CropsPer_3000m	Percent Cropland	GIS
WoodyWetPer_3000m	Percent Woody Wetland	GIS
HerbWetPer_3000m	Percent Herbaceous Wetland	GIS
AlbersX	Longitude	GIS
AlbersY	Latitude	GIS
LakeArea	Lake Surface Area	GIS
LakePerim	Lake Perimeter	GIS
ShoreDevel	Shoreline Development Index	GIS
DATE_COL	Date Samples Collected	Water Quality
WSA_ECO9	Ecoregion	GIS
BASINAREA	Watershed Area	GIS
DEPTHMAX	Maximum Depth	Water Quality

variable_names	description	type
ELEV_PT	Elevation	GIS
DO2_2M	Dissolved Oxygen	Water Quality
PH_FIELD	pH	Water Quality
COND	Conductivity	Water Quality
ANC	Acid Neutralizing Capacity	Water Quality
TURB	Turbidity	Water Quality
TOC	Total Organic Carbon	Water Quality
DOC	Dissolved Organic Carbon	Water Quality
NH4	Ammonium	Water Quality
NO3_NO2	Nitrate/Nitrite	Water Quality
NTL	Total Nitrogen	Water Quality
PTL	Total Phosphorus	Water Quality
CL	Chloride	Water Quality
NO3	Nitrate	Water Quality
SO4	Sulfate	Water Quality
CA	Calcium	Water Quality
MG	Magnesium	Water Quality
Na	Sodium	Water Quality
K	Potassium	Water Quality
COLOR	Color	Water Quality
SIO2	Silica	Water Quality
H	Hydrogen Ions	Water Quality
OH	Hydroxide	Water Quality
NH4ION	Calculate Ammonium	Water Quality
CATSUM	Cation Sum	Water Quality
ANSUM2	Anion Sum	Water Quality
ANDEF2	Anion Deficit	Water Quality
SOBC	Base Cation Sum	Water Quality

variable_names	description	type
BALANCE2	Ion Balance	Water Quality
ORGION	Estimated Organic Anions	Water Quality
CONCAL2	Calculated Conductivity	Water Quality
CONDHO2	D-H-O Calculated Conductivity	Water Quality
TmeanW	Mean Profile Water Temperature	Water Quality
DDs45	Growing Degree Days	GIS
MaxLength	Maximum Lake Length	GIS
MaxWidth	Maximum Lake Width	GIS
MeanWidth	Mean Lake Width	GIS
FetchN	Fetch from North	GIS
FetchNE	Fetch form Northeast	GIS
FetchE	Fetch from East	GIS
FetchSE	Fetch from Southeast	GIS
MaxDepthCorrect	Estimated Maximum Lake Depth	GIS
VolumeCorrect	Estimated Lake Volume	GIS
MeanDepthCorrect	Estimated Mean Lake Depth	GIS
NPratio	Nitrogen:Phophorus Ratio	Water Quality

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