Ethical category of project *[Complete after approval]*	
Red	☐
Amber	☐
Green	☐

Department of Computer Science and Digital Technologies

UNDERGRADUATE COMPUTING PROJECTS: ETHICS REGISTRATION AND APPROVAL FORM

Section One: Registration *[To be completed by student]*

Title of research project/dissertation	
Researcher's name	
Programme of study	
Academic Year	
Module code	
Supervisor's name	
Second marker's name	
Start Date of Project	

Brief outline of research topic:

Specimen

Short description of proposed research methods including identification of participants:

Ethical considerations in the research project	YES	NO
1. Does your research involve an external organisation or partner?	☐	☐
2. Does your research involve human participants?	☐	☐
3. If yes to Q.2, will you inform the participants about the research?	☐	☐
4. Will you obtain their consent using the standard consent form?	☐	☐
5. Is any deception involved?	☐	☐
6. Do any participants constitute a 'vulnerable group'? (refer to definition of Vulnerable People)	☐	☐
7. Will the research involve the following information?		
Commercially sensitive	☐	☐
Personally sensitive	☐	☐
Politically sensitive	☐	☐
Legally sensitive	☐	☐
8. Is the research likely to have any significant environmental impacts?	☐	☐
9. Are there likely to be any risks for the participants in your research?	☐	☐
10. Are there likely to be any risks for you in conducting the research?	☐	☐
11. If yes [to 5, 6, 7, 8, 9 or 10 above] have you identified steps to address the issues and mitigate any risks to participants, yourself or the environment?	☐	☐

Specimen

Statement to explain how any issues identified above will be addressed and what steps will be taken to mitigate such risks or adverse impacts

Ethical category of research project

Based on the above Ethical Considerations and with reference to the University's Ethical Scrutiny Risk Assessment tool identify the Ethical category of your research project (refer to http://www.northumbria.ac.uk/static/5007/respdf/riskassesmenttool for further guidance):

[Please tick as appropriate]

Red	☐	vulnerable participants; human tissue; sensitive data; risks to participants & researchers etc.
Amber	☐	human participants requiring informed consent; commercially sensitive information etc.
Green	☐	no participants involved; secondary data only; no sensitive data

I have read the University and the Faculty Ethics Policy and Procedures and confirm that the answers I have given above are correct. Where issues arise under items 5, 6, 7, 8, 9 or 10 [above] I have described in writing how I intend to approach these issues in the research.

Researcher's signature .....

Date .....

Section 1 Ethics Registration to be submitted to Principal Supervisor or Module Tutor and allocated to a reviewer as follows:

Green risk - may be approved by Supervisor
Amber risk - to be submitted for approval by one independent reviewer (second marker)
Red risk - to be submitted for approval by two independent members of Faculty Research Ethics Committee

Specimen

Section Two: Approval

Supervisor/Module Tutor's name confirming ethical risk status	

Ethical approval *[Please tick as appropriate]*

Green - Ethical approval is given without conditions (supervisor may approve)	☐
Amber – (to be approved by one independent reviewer) Ethical approval is given with the following conditions: • Information to be provided to all participants • Participant consent to be obtained using the standard Research Participant Consent Form or otherwise in accordance with Faculty procedures • Data to be stored and destroyed securely in accordance with University guidelines • Adherence to Data Protection Act • Anonymity to be offered to participants • Commercial confidentiality to be provided to organisations(s) • Software vulnerabilities, exploits, hazardous software etc. not to be published without prior 'responsible disclosure' to the affected supplier. • Other (please state):	☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐
Red - Project is referred to FREC for approval.by two independent reviewers *Please e-mail the submission to PGR Faculty Support*	☐

Name & role of reviewer 1: ……

Signature ……

Date ……

Name & role of reviewer 2: ……

Signature ……

Date ……

Outcome of Review

Specimen