

Cancer-related cognitive impairment is associated with perturbations in inflammatory pathways

Kate Oppegaard^{a,1}, Carolyn S. Harris^{a,1}, Joosun Shin^a, Steven M. Paul^a, Bruce A. Cooper^a, Alexandre Chan^b, Joaquin A. Anguera^c, Jon Levine^{c,d}, Yvette Conley^e, Marilyn Hammer^f, Christine A. Miaskowski^{a,c}, Raymond J. Chan^g, Kord M. Kober^{a,*}

^a School of Nursing, University of California, 2 Koret Way – N631Y, San Francisco, CA 94143-0610, USA

^b School of Pharmacy and Pharmaceutical Sciences, University of California Irvine, 147B Bison Modular, Irvine, CA 92697, USA

^c School of Medicine, University of California, 675 Nelson Rising Lane, San Francisco, CA 94158, USA

^d School of Dentistry, University of California, 513 Parnassus Ave, MSB, San Francisco, CA 94117, USA

^e School of Nursing, University of Pittsburgh, 440 Victoria Building, 3500 Victoria Street, Pittsburgh, PA 15261, USA

^f Dana-Farber Cancer Institute, 450 Brookline Avenue, LW523, Boston, MA 02215, USA

^g Caring Futures Institute, College of Nursing and Health Sciences, Flinders University, Bedford Park SA5042, Australia

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ABSTRACT

Cancer-related cognitive impairment (CRCI) is a significant problem for patients receiving chemotherapy. While a growing amount of pre-clinical and clinical evidence suggests that inflammatory mechanisms underlie CRCI, no clinical studies have evaluated for associations between CRCI and changes in gene expression. Therefore, the purpose of this study was to evaluate for differentially expressed genes and perturbed inflammatory pathways across two independent samples of patients with cancer who did and did not report CRCI. The Attentional Function Index (AFI) was the self-report measure used to assess CRCI. AFI scores of <5 and of >7.5 indicate low versus high levels of cognitive function, respectively. Of the 185 patients in Sample 1, 49.2% had an AFI score of <5 and 50.8% had an AFI score of >7.5. Of the 158 patients in Sample 2, 50.6% had an AFI score of <5 and 49.4% had an AFI score of >7.5. Data from 182 patients in Sample 1 were analyzed using RNA-seq. Data from 158 patients in Sample 2 were analyzed using microarray. Twelve KEGG signaling pathways were significantly perturbed between the AFI groups, five of which were signaling pathways related to inflammatory mechanisms (e.g., cytokine-cytokine receptor interaction, tumor necrosis factor signaling). This study is the first to describe perturbations in inflammatory pathways associated with CRCI. Findings highlight the role of cytokines both in terms of cytokine-specific pathways, as well as pathways involved in cytokine production and cytokine activation. These findings have the potential to identify new targets for therapeutics and lead to the development of interventions to improve cognition in patients with cancer.

1. Introduction

While advances in cancer treatments have increased survival rates, they are not without significant adverse effects. Cognitive impairment, which is often associated with chemotherapy and originally referred to as “chemobrain,” is one such adverse effect. However, because recent evidence suggests that cognitive impairment is associated with other

types of cancer treatment as well as with the cancer itself, the mechanism-neutral term cancer-related cognitive impairment (CRCI) has been adopted [1]. CRCI includes changes in a wide range of cognitive functions (e.g., memory, learning, attention, concentration, processing speed, executive function) [2]. In terms of its impact, patients with CRCI report decrements in job performance and productivity [3,4], as well as increases in interpersonal and social strain [5],

* Corresponding author at: Department of Physiological Nursing, University of California, 2 Koret Way – N631Y, San Francisco, CA 94143-0610, USA.

E-mail addresses: kate.oppegaard@ucsf.edu (K. Oppegaard), carolyn.harris@ucsf.edu (C.S. Harris), joosun.shin@ucsf.edu (J. Shin), steven.paul@ucsf.edu (S.M. Paul), bruce.cooper@ucsf.edu (B.A. Cooper), a.chan@uci.edu (A. Chan), joaquin.anguera@ucsf.edu (J.A. Anguera), jon.levine@ucsf.edu (J. Levine), yconley@pitt.edu (Y. Conley), marilyn.hammer@dfci.harvard.edu (M. Hammer), chris.miaskowski@ucsf.edu (C.A. Miaskowski), Raymond.Chan@flinders.edu.au (R.J. Chan), kord.kober@ucsf.edu (K.M. Kober).

¹ Shared first-authorship – both authors contributed equally to this work.

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embarrassment [5], and distress [6]. Despite the large number of studies that have evaluated a variety of interventions for CRCI (e.g., cognitive training, pharmacologic, exercise; for reviews see [7–12]), improvements in cognitive function are inconsistent. The development of effective interventions for CRCI is hampered by a poor understanding of its underlying mechanism(s) [13]. Given that CRCI is reported by as many as 75% of patients undergoing cancer treatment [14], continued research is warranted to determine its underlying mechanism(s).

As noted in several reviews [2,13,15–18], one of the most frequently hypothesized mechanisms for CRCI involves the direct and indirect effects of inflammation. Inflammation appears to play a central role in CRCI because this process occurs as a result of the cancer itself and/or cancer treatments [19]. Specifically, cytokines are dysregulated in response to the presence of tumor cells [20], chemotherapy [18], radiation therapy [21], and/or stress [22,23].

Only five studies were identified that evaluated for associations between self-reported cognitive impairment and serum or plasma levels of pro- or anti-inflammatory cytokines [24–28]. In two studies of patients receiving chemotherapy [24,28], no associations were found between serum cytokines and self-reported cognitive impairment. However, in a series of three studies from two overlapping cohorts of women with breast cancer receiving chemotherapy [25–27], associations were found between CRCI and altered levels of plasma cytokines. Across these three studies [25–27], while one evaluated for associations with tumor necrosis factor (TNF)- α and interleukin (IL)-6 [26], the other two [25,27] included several additional cytokines (i.e., IL-1 β , IL-2, IL-4, IL-8, IL-10, granulocyte-macrophage colony-stimulating factor, interferon- γ). In all of these studies, increased levels of IL-6 were associated with higher levels of perceived cognitive impairment [25–27]. In the two studies that found associations with higher levels of IL-4 [25,27], while one found decrements [27] the other found *improvements* in self-reported cognitive function [25].

Taken together, these findings suggest that inflammatory mechanisms play a role in self-reported changes in cognitive function. However, the positive associations were with a single sample of patients with breast cancer who had CRCI assessed using the Functional Assessment of Cancer Therapy-Cognitive Function scale. The inconsistent findings across the five studies [24–28] may be related to the timing of the measures in relationship to the receipt of chemotherapy and the relatively small sample sizes in two of the studies [24,28]. In addition, two of the studies did not report whether they controlled for diurnal variations in serum cytokines [24,28]. Additional studies are needed that use other biomarkers to evaluate for associations between inflammatory mechanisms and CRCI.

Three pre-clinical studies were identified that evaluated for changes in gene expression associated with CRCI [29–31]. In a study of mice treated with cyclophosphamide and mitomycin-C [31], perturbations in the cytokine-cytokine receptor interaction pathway were identified in the prefrontal cortex. In another study that evaluated cytokine profiles and gene expression changes in hippocampal tissue of mice treated with doxorubicin [29], upregulation of pro-inflammatory cytokines correlated with decreases in recognition and memory. Subsequent reversal of inflammation correlated with improvements in cognitive function. In a third study that evaluated the role of inflammation and oxidative stress in the hippocampus [30], rats treated with cyclophosphamide and doxorubicin demonstrated elevated cytokine levels and activation of the mitogen-activated protein kinase (MAPK) signaling pathway in hippocampal tissue, similar to changes observed in the aging brain. While the findings from these pre-clinical studies suggest a link between inflammatory pathways and CRCI [29–31], direct comparisons with patients are difficult because all three studies evaluated brain tissue and only one of them [29] utilized behavioral testing (i.e., novel object recognition, fear conditioning, object to place tasks) to evaluate cognition. However, given the growing amount of pre-clinical and clinical evidence that suggests that inflammatory mechanisms underlie CRCI and the absence of clinical studies on associations between CRCI and changes in gene

expression, we evaluated for differentially expressed genes and perturbed inflammatory pathways across two independent samples of patients with cancer who did and did not report CRCI.

2. Methods

2.1. Patients and settings

This study is part of a larger, longitudinal study of the symptom experience of oncology outpatients receiving chemotherapy whose details are published elsewhere [32,33]. Eligible patients were ≥ 18 years of age; had a diagnosis of breast, gastrointestinal, gynecological, or lung cancer; had received chemotherapy within the preceding four weeks; were scheduled to receive at least two additional cycles of chemotherapy; were able to read, write, and understand English; and gave written informed consent. Patients were recruited from two Comprehensive Cancer Centers, one Veteran's Affairs hospital, and four community-based oncology programs.

2.2. Study procedures

The study was approved by the Institutional Review Board at each of the study sites. Of the 2234 patients approached, 1343 consented to participate (60.1% response rate). The major reason for refusal was being overwhelmed with their cancer treatment. Eligible patients were approached in the infusion unit during their first or second cycle of chemotherapy by a member of the research team to discuss study participation and obtain written informed consent. Data from the enrollment assessment (i.e., assessment of cognitive function in the week prior to the patient's second or third cycle of chemotherapy) were used in this analysis. Blood for ribonucleic acid (RNA) isolation was collected at the enrollment assessment. Medical records were reviewed for disease and treatment information. For this study, a total of 717 patients provided a blood sample for the gene expression analyses (see Supplemental Fig. 1).

2.3. Instruments

Demographic and clinical characteristics – Demographic information was obtained using a self-report questionnaire. Functional status was assessed using the Karnofsky Performance Status (KPS) scale [34]. The occurrence, treatment, and functional impact of 13 common medical conditions were assessed using the Self-Administered Comorbidity Questionnaire (SCQ) [35]. Alcohol consumption, behaviors, and associated problems were measured using the Alcohol Use Disorders Identification test (AUDIT) [36].

Cancer-related cognitive impairment (CRCI) assessment – The 16-item Attentional Function Index (AFI) assesses an individual's perceived effectiveness in performing daily activities that are supported by attention and working memory [37]. A higher total mean score on a 0 to 10 numeric rating scale indicates better cognitive function [37]. Total scores are grouped into categories of attentional function (i.e., <5 low function, 5.0 to 7.5 moderate function, >7.5 high function) [38]. The Cronbach's α for the total AFI score was 0.93.

MAX2 index – The toxicity of each patient's chemotherapy regimen was rated using the MAX2 index. Briefly, the MAX2 score is the average of the most frequent grade 4 hematologic toxicity and the most frequent grade 3 to 4 nonhematologic toxicity reported in publications of a regimen and correlates well with the average overall risk of severe toxicity for that regimen [39,40].

2.4. Coding of the emetogenicity of the chemotherapy regimens and antiemetic regimens

The coding of the emetogenicity of the chemotherapy regimens and antiemetic regimens were described previously [41]. Briefly, the

Table 1

Differences in Demographic and Clinical Characteristics Between Patients in Sample 1 (RNA Seq) with Low and High Attentional Function Index Scores.

Characteristic	High AFI (score of > 7.5) 50.8% (n = 94)	Low AFI (score of < 5) 49.2% (n = 91)	Statistics
	Mean (SD)	Mean (SD)	
Age (years)	58.4 (10.0)	54.6 (13.2)	t=2.23, p=0.027
Education (years)	16.1 (3.1)	15.7 (3.0)	t=1.01, p=0.315
Body mass index (kg/m ²)	26.6 (5.1)	27.0 (7.1)	t=-0.43, p=0.665
KPS score	83.6 (11.6)	72.0 (11.7)	t=6.78, p<0.001
Number of comorbidities	2.1 (1.2)	3.1 (1.6)	t=-4.88, p<0.001
SCQ score	4.5 (2.5)	7.5 (4.0)	t=-6.13, p<0.001
AUDIT score	2.7 (2.3)	3.1 (3.1)	t=-0.90, p=0.369
Time since diagnosis (years)	1.6 (2.9)	1.9 (3.2)	
Time since diagnosis (median)	0.44	0.45	U, p=0.308
Number of prior cancer treatments	1.5 (1.3)	1.6 (1.5)	t=-0.32, p=0.751
Number of metastatic sites including lymph node involvement	1.3 (1.2)	1.2 (1.2)	t=0.65, p=0.515
Number of metastatic sites excluding lymph node involvement	0.8 (1.0)	0.7 (1.0)	t=0.21, p=0.837
MAX2 score	0.17 (0.08)	0.19 (0.08)	t=-1.85, p=0.066
	% (n)	% (n)	
Gender			
Female	68.1 (64)	84.6 (77)	FE, p=0.010
Male	31.9 (30)	15.4 (14)	
Ethnicity			
White	64.9 (61)	57.1 (52)	X ² =7.90,
Black	13.8 (13)	22.0 (20)	p=0.048
Asian or Pacific Islander	9.6 (9)	2.2 (2)	No significant post hoc contrasts
Hispanic, Mixed, or Other	11.7 (11)	18.7 (17)	
Married or partnered (% yes)	63.7 (58)	57.3 (51)	FE, p=0.446
Lives alone (% yes)	19.6 (18)	24.4 (22)	FE, p=0.476
Childcare responsibilities (% yes)	17.8 (16)	24.7 (22)	FE, p=0.278
Care of adult responsibilities (% yes)	8.2 (7)	11.0 (9)	FE, p=0.606
Currently employed (% yes)	47.9 (45)	24.2 (22)	FE, p=0.001
Income			
<\$30,000	18.4 (16)	28.9 (24)	
\$30,000 to <\$70,000	19.5 (17)	26.5 (22)	U, p=0.044
\$70,000 to <\$100,000	24.1 (21)	15.7 (13)	
≥\$100,000	37.9 (33)	28.9 (24)	
Specific comorbidities (% yes)			
Heart disease	3.2 (3)	7.7 (7)	FE, p=0.208
High blood pressure	29.8 (28)	35.2 (32)	FE, p=0.530
Lung disease	4.3 (4)	14.3 (13)	FE, p=0.022
Diabetes	11.7 (11)	16.5 (15)	FE, p=0.401
Ulcer or stomach disease	6.4 (6)	7.7 (7)	FE, p=0.780
Kidney disease	0 (0)	0 (0)	n/a
Liver disease	5.3 (5)	8.8 (8)	FE, p=0.401
Anemia or blood disease	6.4 (6)	15.4 (14)	FE, p=0.059
Depression	6.4 (6)	40.7 (37)	FE, p<0.001
Osteoarthritis	10.6 (10)	14.3 (13)	FE, p=0.508
Back pain	21.3 (20)	44.0 (40)	FE, p=0.002
Rheumatoid arthritis	2.1 (2)	6.6 (6)	FE, p=0.165
Exercise on a regular basis (% yes)	70.7 (65)	62.1 (54)	FE, p=0.268
Smoking current or history (% yes)	26.1 (24)	40.4 (36)	FE, p=0.058
Cancer diagnosis			X ² =14.04, p=0.003
Breast	34.0 (32)	40.7 (37)	NS
Gastrointestinal	45.7 (43)	22.0 (20)	0>1
Gynecological	14.9 (14)	22.0 (20)	NS
Lung	5.3 (5)	15.4 (14)	NS
Type of prior cancer treatment			
No prior treatment	25.3 (23)	25.8 (23)	
Only surgery, CTX, or RT	40.7 (37)	44.9 (40)	X ² =1.75,
Surgery & CTX, or surgery & RT, or CTX & RT	22.0 (20)	14.6 (13)	p=0.627
Surgery & CTX & RT	12.1 (11)	14.6 (13)	
CTX cycle length			
14 day cycle	51.1 (48)	37.4 (34)	X ² =3.67,
21 day cycle	42.6 (40)	56.0 (51)	p=0.159
28 day cycle	6.4 (6)	6.6 (6)	
Emetogenicity of CTX			X ² =2.48,
Minimal/low	17.0 (16)	18.7 (17)	p=0.289

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Table 1 (continued)

Characteristic	High AFI (score of > 7.5) 50.8% (n = 94)	Low AFI (score of < 5) 49.2% (n = 91)	Statistics
	Mean (SD)	Mean (SD)	
Moderate	69.1 (65)	59.3 (54)	X ² =1.95, p=0.582
High	13.8 (13)	22.0 (20)	
Antiemetic regimens			
None	4.3 (4)	4.4 (4)	t=37.89, p<0.001
Steroid alone or serotonin receptor antagonist alone	17.4 (16)	16.5 (15)	
Serotonin receptor antagonist and steroid	54.3 (50)	46.2 (42)	
NK-1 receptor antagonist and two other antiemetics	23.9 (22)	33.0 (30)	
Mean AFI score at enrollment	8.3 (0.7)	3.8 (0.9)	

Abbreviations: AFI = Attentional Function Index; AUDIT = Alcohol Use Disorders Identification Test; CTX = chemotherapy; FE = Fisher's exact test; kg = kilograms; KPS = Karnofsky Performance Status; m² = meter squared, n/a = not applicable; NK-1 = neurokinin-1; NS = not significant; RT = radiation therapy; SCQ = Self-administered Comorbidity Questionnaire; U = Mann-Whitney U test.

Multinational Association for Supportive Care in Cancer guidelines [42] were used to classify each chemotherapy drug in the regimen based on its emetogenic potential. Each antiemetic regimen was coded into one of four groups (Tables 1 and 2).

2.5. Acquisition and processing of gene expression data

The methods used for the gene expression analyses are described in detail elsewhere [33]. In brief, gene expression of total RNA isolated from peripheral blood of the 717 patients who provided a blood sample was quantified for 357 patients using RNA-sequencing (RNA-seq) (i.e., Sample 1) and for 360 patients using microarray (i.e., Sample 2).

2.6. Data analyses

Demographic and clinical data – Demographic and clinical data from the two patient samples were analyzed separately using SPSS Version 27 (IBM Computation, Armonk, NY). To evaluate for differences in gene expression using an extreme phenotype approach, patients were classified into two groups based on their AFI scores (i.e., <5 = low cognitive function versus > 7.5 = high cognitive function). For each gene expression platform, differences in demographic and clinical characteristics between the two groups were evaluated using parametric and non-parametric tests. Logistic regression analyses were used to determine significant covariates for inclusion in the differential expression analyses.

Differential expression and pathway impact analyses (PIA) – Differential expression was quantified using generalized linear models that were implemented separately for each sample (i.e., using edgeR [43] for Sample 1 and limma [44] for Sample 2) [33]. These analyses were adjusted for demographic and clinical characteristics that differed between patients who did and did not report CRCI, based on their AFI scores. In addition, the models included surrogate variables not associated with CRCI to adjust for potential batch effects [45]. The differential expression results were summarized as the log fold-change and *p*-value for each gene. Only genes that had a common direction of expression (i.e., the same sign for the log fold-change) were retained for subsequent analyses (n = 5,235). Sequence loci data were annotated with Entrez gene identifier. The gene symbols were annotated using the HUGO Gene Nomenclature Committee resource database [46]. The differential expression results of the two datasets were merged at the gene level using the Entrez gene identifier. Fisher's Combined Probability test was used to combine the differential gene expression results from both datasets using the uncorrected *p*-values [47,48].

To evaluate these results and interpret them in the context of CRCI-related mechanisms, we used PIA to test for patterns in higher orders of biology [33]. PIA includes potentially important biological factors (e.g., gene-gene interactions, flow signals in a pathway, pathway topologies),

the magnitude (i.e., log fold-change), and *p*-values from the combined differential expression analysis [49]. The PIA included the results of the combined differential expression analysis for all genes having a common direction of differential expression (i.e., cutoff free) to determine probability of pathway perturbations (pPERT) using Pathway Express [50]. A total of 214 signaling pathways were defined using the Kyoto Encyclopedia of Genes and Genomes (KEGG) database [51]. Fisher's Combined Probability test was used to combine the PIA tests from both datasets using the uncorrected *p*-values [47,48]. The significance of the combined transcriptome-wide PIA analysis was assessed using a family wise error rate (FWER) of 1% under the Bonferroni method [50]. Finally, we evaluated these results for inflammatory pathways.

3. Results

3.1. RNA-seq performance

Of the 357 patients whose gene expression was quantified using RNA-seq (i.e., Sample 1), 193 were in the extreme phenotype groups (i.e., AFI < 5 = Low group versus AFI > 7.5 = High group). Five of these patients were excluded as outliers or for poor RNA quantification (Supplemental Fig. 1). Of the remaining 188 evaluable patients, an additional three patients were excluded for missing phenotypic data after imputation. Of the remaining 185 patients whose phenotype data were evaluated (Table 1), three patients were excluded from the gene expression analysis as outliers based on the multidimensional scaling plots. Median library threshold size was 9,273,000 reads. Following quality control filters, 13,301 genes were included in the final analysis. The common dispersion was estimated as 0.179, yielding a biological coefficient of variation of 0.423 well within the expected value for clinical samples [52]. Data from 182 patients in Sample 1 (i.e., Low group (n = 89), High group (n = 93)) were analyzed using RNA-seq (Supplemental Fig. 1).

3.2. Microarray performance

Of the 360 patients whose gene expression was quantified using microarray (i.e., Sample 2), 179 were in the extreme phenotype groups (i.e., AFI < 5 = Low group versus AFI > 7.5 = High group). Three of these patients were identified as outliers using distance array signal intensity distributions with arrayQualityMetrics (Supplemental Fig. 1) [53]. Of the remaining 176 evaluable patients, an additional 18 patients were excluded for missing phenotypic data. The phenotype data for the remaining 158 patients were evaluated (Table 2). All of the samples demonstrated good hybridization performance for biotin, background negative, and positive control assays on the arrays. Limma was used for background correction, quantile normalization, and log2 transformation [44]. Of the initial probes evaluated for quality (n = 46,542), 1953

Table 2

Differences in Demographic and Clinical Characteristics Between Patients in Sample 2 (Microarray) with Low and High Attentional Function Index Scores.

Characteristic	High AFI (score of > 7.5) 49.4% (n = 78)	Low AFI (score of < 5) 50.6% (n = 80)	Statistics
	Mean (SD)	Mean (SD)	
Age (years)	57.9 (10.5)	53.6 (12.4)	t=2.33, p=0.021
Education (years)	16.6 (2.7)	16.0 (3.0)	t=1.44, p=0.152
Body mass index (kg/m ²)	25.6 (5.3)	28.1 (6.2)	t=-2.69, p=0.008
KPS score	83.8 (10.2)	74.2 (10.9)	t=5.72, p<0.001
Number of comorbidities	2.1 (1.1)	2.9 (1.5)	t=-3.33, p=0.001
SCQ score	4.7 (2.4)	6.6 (3.3)	t=-4.16, p<0.001
AUDIT score	2.6 (1.8)	3.2 (3.1)	t=-1.17, p=0.247
Time since diagnosis (years)	2.5 (4.2)	2.5 (3.6)	U, p=0.143
Time since diagnosis (median)	0.42	0.61	
Number of prior cancer treatments	1.9 (1.8)	2.1 (1.6)	t=-0.86, p=0.393
Number of metastatic sites including lymph node involvement	1.4 (1.4)	1.2 (1.1)	t=0.96, p=0.336
Number of metastatic sites excluding lymph node involvement	0.9 (1.2)	0.7 (1.0)	t=0.98, p=0.329
MAX2 score	0.17 (0.08)	0.17 (0.08)	t=-0.26, p=0.793
	% (n)	% (n)	
Gender			
Female	74.4 (58)	85.0 (68)	FE, p=0.115
Male	25.6 (20)	15.0 (12)	
Ethnicity			
White	74.0 (57)	67.5 (54)	X ² =2.70, p=0.440
Black	14.3 (11)	11.3 (9)	
Asian or Pacific Islander	6.5 (5)	11.3 (9)	
Hispanic, Mixed, or Other	5.2 (4)	10.0 (8)	
Married or partnered (% yes)	82.1 (64)	51.2 (41)	FE, p<0.001
Lives alone (% yes)	11.5 (9)	26.3 (21)	FE, p=0.025
Childcare responsibilities (% yes)	20.8 (16)	26.3 (21)	FE, p=0.456
Care of adult responsibilities (% yes)	9.7 (7)	12.2 (9)	FE, p=0.792
Currently employed (% yes)	48.7 (38)	21.3 (17)	FE, p<0.001
Income			
<\$30,000	10.3 (8)	32.5 (26)	U, p<0.001
\$30,000 to <\$70,000	12.8 (10)	25.0 (20)	
\$70,000 to <\$100,000	14.1 (11)	16.3 (13)	
≥\$100,000	62.8 (49)	26.3 (21)	
Specific comorbidities (% yes)			
Heart disease	6.4 (5)	5.0 (4)	FE, p=0.744
High blood pressure	24.4 (19)	33.8 (27)	FE, p=0.222
Lung disease	14.1 (11)	11.3 (9)	FE, p=0.638
Diabetes	6.4 (5)	10.0 (8)	FE, p=0.565
Ulcer or stomach disease	2.6 (2)	5.0 (4)	FE, p=0.682
Kidney disease	1.3 (1)	1.3 (1)	FE, p=1.000
Liver disease	7.7 (6)	3.8 (3)	FE, p=0.325
Anemia or blood disease	14.1 (11)	17.5 (14)	FE, p=0.664
Depression	7.7 (6)	41.3 (33)	FE, p<0.001
Osteoarthritis	11.5 (9)	17.5 (14)	FE, p=0.368
Back pain	16.7 (13)	35.0 (28)	FE, p=0.011
Rheumatoid arthritis	1.3 (1)	3.8 (3)	FE, p=0.620
Exercise on a regular basis (% yes)	80.8 (63)	63.7 (51)	FE, p=0.021
Smoking current or history (% yes)	32.1 (25)	39.7 (31)	FE, p=0.404
Cancer diagnosis			
Breast	37.2 (29)	45.0 (36)	X ² =4.40, p=0.221
Gastrointestinal	20.5 (16)	25.0 (20)	
Gynecological	24.4 (19)	22.5 (18)	
Lung	17.9 (14)	7.5 (6)	
Type of prior cancer treatment			
No prior treatment	20.8 (16)	10.0 (8)	X ² =3.71, p=0.294
Only surgery, CTX, or RT	39.0 (30)	47.5 (38)	
Surgery & CTX, or surgery & RT, or CTX & RT	22.1 (17)	22.5 (18)	
Surgery & CTX & RT	18.2 (14)	20.0 (16)	
CTX cycle length			
14 day cycle	30.8 (24)	41.3 (33)	X ² =2.01, p=0.366
21 day cycle	60.3 (47)	52.5 (42)	
28 day cycle	9.0 (7)	6.3 (5)	
Emetogenicity of CTX			
Minimal/low	21.8 (17)	25.0 (20)	X ² =0.30, p=0.863
Moderate	59.0 (46)	55.0 (44)	
High	19.2 (15)	20.0 (16)	

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Table 2 (continued)

Characteristic	High AFI (score of > 7.5) 49.4% (n = 78)	Low AFI (score of < 5) 50.6% (n = 80)	Statistics
	Mean (SD)	Mean (SD)	
Antiemetic regimens			
None	12.2 (9)	6.6 (5)	$\chi^2=3.06$, $p=0.383$
Steroid alone or serotonin receptor antagonist alone	20.3 (15)	19.7 (15)	
Serotonin receptor antagonist and steroid	50.0 (37)	46.1 (35)	
NK-1 receptor antagonist and two other antiemetics	17.6 (13)	27.6 (21)	
Mean AFI score at enrollment	8.4 (0.7)	4.0 (0.8)	$t=36.03$, $p<0.001$

Abbreviations: AFI = Attentional Function Index; AUDIT = Alcohol Use Disorders Identification Test; CTX = chemotherapy; FE = Fisher's exact test; kg = kilograms; KPS = Karnofsky Performance Status; m² = meter squared; NK-1 = neurokinin-1; RT = radiation therapy; SCQ = Self-administered Comorbidity Questionnaire; U = Mann-Whitney U test.

probes had insufficient expression measurements (Illumina detection p -value < 0.05) and were excluded, leaving 44,589 probes for analysis. Data from 158 patients in Sample 2 (i.e., Low group (n = 80) and High group (n = 78)) were analyzed using microarray (Supplemental Fig. 1).

3.3. Differences in demographic and clinical characteristics

Of the 185 patients with phenotypic data in Sample 1, 49.2% had an AFI score of < 5 and 50.8% had an AFI score of > 7.5 (Table 1). Compared to the High group, patients in the Low group were significantly younger, more likely to be female, more likely to have a lower annual income, and less likely to be employed. In addition, patients in the Low group had lower KPS scores; a higher number of comorbidities; higher SCQ scores; were more likely to self-report a diagnosis of lung disease, depression, or back pain; and were less likely to have gastrointestinal cancer.

Table 3

Multiple Logistic Regression Analyses Predicting Low Attentional Function Index Group Membership.

Sample 1 (n = 185)			
Predictors	Odds Ratio	95% CI	p-value
Age	0.96	0.92, 0.99	0.041
Ethnicity			
White	1.00		
Black	0.10	0.01, 0.70	0.020
Asian or Pacific Islander	2.31	0.79, 6.69	0.124
Hispanic, Mixed, or Other	1.44	0.45, 4.56	0.538
Currently employed	0.30	0.12, 0.74	0.009
Karnofsky Performance Status score	0.93	0.90, 0.97	<0.001
Self-administered Comorbidity Questionnaire score	1.24	1.06, 1.47	0.009
Self-reported diagnosis of depression	3.81	1.20, 12.17	0.024
Cancer diagnosis			
Breast cancer	1.00		
Gastrointestinal cancer	0.27	0.10, 0.72	0.008
Gynecological cancer	0.77	0.24, 2.46	0.654
Lung cancer	2.18	0.43, 10.99	0.343
Overall model fit: $df = 11$, $\chi^2 = 101.00$, $p < 0.001$			
Sample 2 (n = 158)			
Predictors	Odds Ratio	95% CI	p-value
Married or partnered	0.29	0.12, 0.69	0.005
Karnofsky Performance Status score	0.92	0.88, 0.96	<0.001
Self-reported diagnosis of depression	5.22	1.86, 14.68	0.002
Self-reported diagnosis of back pain	2.55	1.03, 6.33	0.043
Overall model fit: $df = 4$, $\chi^2 = 61.49$, $p < 0.001$			

Abbreviations: CI = confidence interval; df = degrees of freedom; OR = odds ratio.

Of the 158 patients with phenotypic data in Sample 2, 50.6% had an AFI score of < 5 and 49.4% had an AFI score of > 7.5 (Table 2). Compared to the High group, patients in the Low group were significantly younger, more likely to have a lower annual income, less likely to be employed, less likely to be married or partnered, and more likely to live alone. In addition, patients in the Low group had lower KPS scores; a higher body mass index; a higher number of comorbidities; higher SCQ scores; were less likely to exercise on a regular basis; and were more likely to self-report a diagnosis of depression or back pain.

3.4. Logistic regression analyses

In the logistic regression analysis for Sample 1, seven variables were retained in the final model (i.e., age, ethnicity, current employment status, KPS score, SCQ score, self-reported diagnoses of depression, cancer diagnosis) and were used as covariates in the gene expression analysis (Table 3). Patients who were younger, had a lower KPS score, and a higher SCQ score were more likely to belong to the Low group. In addition, patients who were employed had a 70% decrease in the odds of belonging to the Low group. Patients who reported their ethnicity as Black had a 90% decrease in the odds of belonging to the Low group. Having a diagnosis of depression was associated with a 3.81 times increase in the odds of belonging to the Low group. Patients with gastrointestinal cancer had a 73% decrease in the odds of belonging to the Low group.

With Sample 2, four variables were retained in the final logistic regression model (i.e., married or partnered, KPS score, self-reported diagnoses of depression and back pain) and were used as covariates in the gene expression analysis (Table 3). Patients with a lower KPS score were more likely to belong to the Low group. Patients who were married or partnered had a 71% decrease in the odds of belonging to the Low group. Having a diagnosis of depression was associated with 5.22 times increase in the odds of belonging to the Low group. Patients with a diagnosis of back pain had a 2.55 times increase in the odds of belonging to the Low group.

3.5. Differentially expressed genes and pathways between the two AFI groups

Of the 14 surrogate variables identified for Sample 1, one was associated with AFI scores and was excluded from the final model. The final differential expression model for Sample 1 included 13 surrogate variables and the seven significant demographic and clinical characteristics. Of the 16 surrogate variables identified for Sample 2, two were associated with AFI scores and were excluded from the final model. The final differential expression model for Sample 2 included 14 surrogate variables and the four significant demographic and clinical characteristics.

Fold changes and p -values for the differentially expressed genes were included in the PIA of the 214 KEGG signaling pathways. Using Fisher's combined probability method, the combined PIA identified 12 KEGG

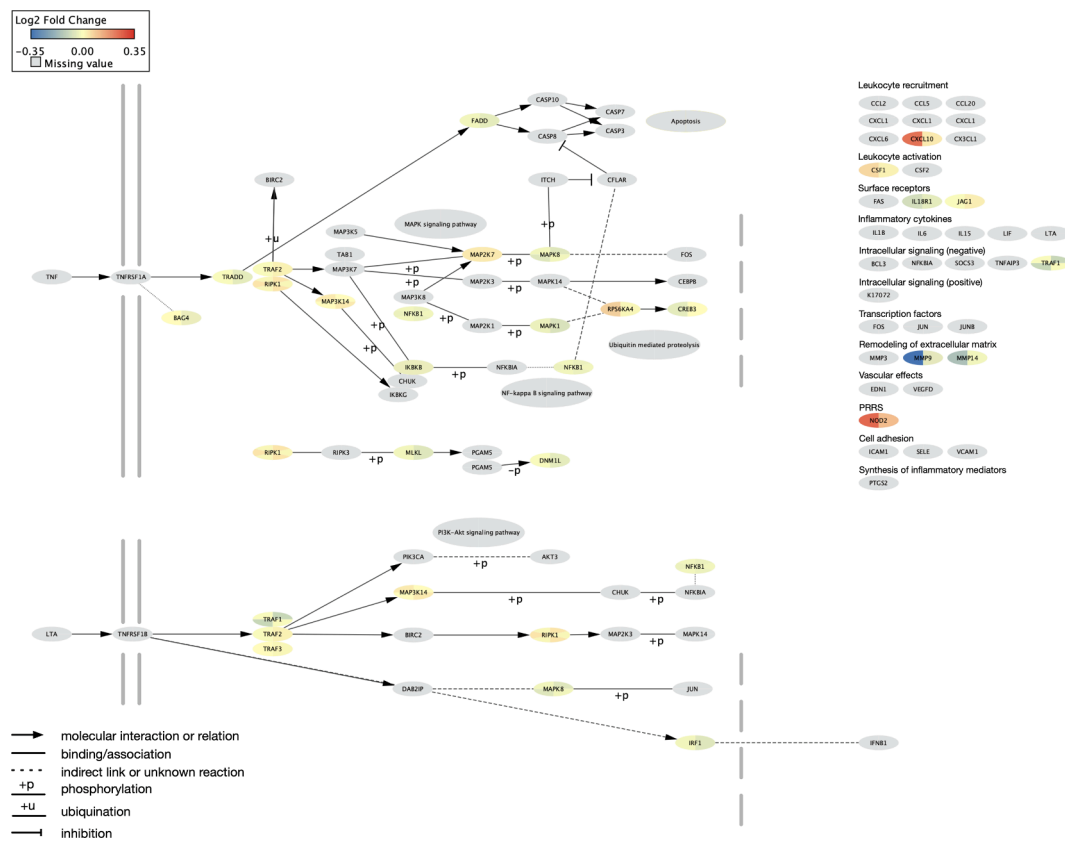


Fig. 1. A network representation of the KEGG tumor necrosis factor (TNF) signaling pathway (hsa04668). Genes and their products are depicted as nodes shaped as ellipses. Nodes with missing data are shaded gray. The log2 fold change of differential gene expression between patients with low Attentional Function Index (AFI) as compared to high AFI scores are included in a pie representation for each ellipse. Sample 1 values are on the left side of the pie and Sample 2 are on the right. Edges are depicted by the interaction between the nodes.

Table 4
Perturbed Inflammatory KEGG Pathways Between Patients with Low and High Attentional Function Index Scores.

Pathway ID	Pathway name	Adjusted Global pPERT
hsa04060	cytokine-cytokine receptor interaction	0.0009
hsa04150	mTOR signaling pathway	0.0032
hsa04010	MAPK signaling pathway	0.0261
hsa04657	IL-17 signaling pathway	0.0340
hsa04668	TNF signaling pathway	0.0459

Abbreviations: IL-17 = interleukin 17; KEGG = Kyoto Encyclopedia of Genes and Genomes; MAPK = mitogen-activated protein kinase; mTOR = mechanistic target of rapamycin; pPERT = perturbation *p*-value; TNF = tumor necrosis factor.

signaling pathways that were significantly perturbed between the AFI groups after correcting for multiple hypothesis testing using a common FWER of 5% (adjusted global perturbation *p*-value < 0.05). Five of these 12 KEGG signaling pathways were related to inflammatory mechanisms (Fig. 1, Table 4).

4. Discussion

This study is the first to describe perturbations in inflammatory pathways that were associated with CRCI in patients with cancer receiving chemotherapy. While no gene expression studies in humans were identified, our findings support previous pre-clinical [54] and clinical [2,55,56] research that suggests that chemotherapy induces inflammatory processes in both the peripheral and central nervous systems. Across both samples, the two common characteristics that were

associated with membership to the Low group were a lower functional status and a self-reported diagnosis of depression. Of note, a growing body of literature suggests that changes in cognition and physical function often co-occur, particularly in older adults [57]. In addition, as noted in one review [58], findings from both pre-clinical and clinical studies have identified associations between depressive symptoms and changes in inflammatory mediators including cytokines. The remainder of this discussion focuses on the perturbed KEGG signaling pathways associated with inflammation that were identified, namely: cytokine-cytokine receptor interaction, IL-17, TNF, MAPK, and mechanistic target of rapamycin (mTOR).

4.1. Cytokine-cytokine receptor interaction pathway

In general, cytokines serve as intercellular regulators and play a role in a variety of inflammatory processes [59]. In terms of cognitive function, cytokines are involved in synaptic plasticity, neuro-modulation, and neurogenesis [60]. In patients with cancer, chemotherapy stimulates cytokine production through its effects on both normal and tumor cells [61]. This increase in cytokine production is hypothesized to compromise the integrity of the blood–brain barrier, which allows for circulating cytokines to enter the brain [61]. This cytokine response leads to neuroinflammation and neuronal cell death which manifests as CRCI [2].

In one pre-clinical study that explored the effects of cyclophosphamide and mitomycin on the murine brain [31], perturbations in the cytokine-cytokine receptor interaction pathway were found three weeks after chemotherapy. However, measures of cognitive function were not assessed in this study. In another study that evaluated for pathways associated with six neurodegenerative diseases with progressive

neuronal loss as a common feature (e.g., Alzheimer's disease, Huntington's disease) [62], the cytokine-cytokine receptor interaction pathway was common to all six diseases. Due to the complexity of interactions among cytokines and cytokine receptors, their impact on cognition is likely dependent on a combination of mechanisms [59].

4.2. IL-17 signaling pathway

The IL-17 signaling pathway consists of the IL-17 family of pro-inflammatory cytokines (i.e., IL-17A-F) that are active in acute and chronic inflammatory responses [63]. These cytokines, mainly IL-17A and IL-17F, play key roles in the defense against extracellular pathogens and mediate inflammatory responses in autoimmune and inflammatory conditions [64]. IL-17 receptors activate multiple pathways involved in the production of inflammatory products (e.g., MAPK signaling pathway) and trigger the production of multiple chemokines and cytokines [65]. In addition, studies of murine and human brain epithelial cells demonstrated that IL-17 can alter the integrity of the blood–brain barrier [66,67].

Additional evidence to support involvement of IL-17 in CRCI comes from a study that evaluated for associations between plasma concentrations of seventeen chemokines and cytokines (i.e., monocyte chemoattractant and activating factor (MCP-1), macrophage inflammatory protein (MIP-1 β), granulocyte-colony stimulating factor (G-CSF), granulocyte–macrophage colony stimulating factor, interferon- γ , TNF- α , IL-1 β , IL-2, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12, IL-13, IL-17) and objective measures of cognitive impairment in women with early stage breast cancer [68]. IL-17 concentrations were elevated prior to the initiation of chemotherapy and followed a significant downward trend over the next two years. Prior to the initiation of chemotherapy, lower concentrations of IL-17 were associated with more rapid psychomotor speed. However, at the midpoint of chemotherapy, *higher* IL-17 concentrations were associated with improvements in psychomotor speed, cognitive flexibility, and executive functioning. While the concentrations of IL-17 and five other chemokines and cytokines (MIP-1 β , MCP-1, IL-6, IL-12, G-CSF) changed significantly over the two years, trends in each of these cytokines were variable. The authors hypothesized that these variations were due to the differential responses of the various cytokines to different aspects of the cancer experience (e.g., cancer, chemotherapy, radiation therapy) [68]. These results underscore the complex effect of neuroinflammation on CRCI.

4.3. TNF signaling pathway

Tumor necrosis factor is a cytokine that plays a role in a variety of intercellular signaling pathways. The TNF signaling pathway includes processes that are involved in immunity, cell death, and cell survival, as well as inflammatory and immune functions [69]. Once TNF is activated, it binds to TNFR1 (i.e., TNF- α) or TNFR2 (i.e., TNF- β). Almost all cells express TNFR1. While TNFR2 is less frequently expressed, it is present on cells in the central nervous system (e.g., microglia, neuron subtypes, oligodendrocytes). TNF inhibition plays a role in neuro-inflammatory conditions such as Alzheimer's disease, traumatic brain injury, and stroke [70]. Along with IL-6, TNF- α was implicated as a possible mediator of decreased hippocampal volume and verbal memory difficulties in survivors of breast cancer who were treated with chemotherapy [71]. However, in another sample of patients receiving chemotherapy [26], no associations were found between CRCI and levels of TNF- α .

4.4. MAPK signaling pathway

The highly conserved MAPK signaling pathway plays a role in a number of cellular processes (e.g., proliferation, differentiation, migration) [72]. Expression of varying classes of MAPKs (e.g., p38 MAPKs, extracellular signal-related kinases (ERK1/2), Jun amino-terminal

kinases/stress-activated kinases (JNKs/SAPKs)) occur in humans. The JNK and p38 MAPK pathways are activated by several types of stimuli (e.g., DNA-damaging agents/chemotherapy, radiation, oxidative stress) or proinflammatory cytokines (e.g., TNF- α) [73]. This activation results in neuroinflammation and neuronal apoptosis [74].

While research on the association between CRCI and the MAPK signaling pathway is limited [75], a growing body of evidence suggests that MAPKs play a role in various cellular functions associated with both memory and learning. Furthermore, inhibition of JNK, p38, and ERK1/2 MAP kinases reduces brain inflammation and neuronal damage [76]. In terms of CRCI, in a recent pre-clinical study [30], increased levels of both JNK and ERK signaling molecules were found in the hippocampus of rats treated with doxorubicin and cyclophosphamide. The authors hypothesized that the upregulation of the JNK and ERK pathways was due in part to inflammatory and oxidative stress responses induced by the chemotherapy.

4.5. mTOR signaling pathway

The mTOR signaling pathway is an evolutionarily conserved serine/threonine protein kinase that has key roles in cell growth, proliferation, metabolism [77], and cytokine production [78]. This pathway consists of two complexes (i.e., mTOR complex 1 (mTORC1) and mTOR complex 2 (mTORC2)) that differ in terms of structure and function [79]. In response to the presence of amino acids, growth factors, oxygen, energy, and stress, mTORC1 regulates cellular growth, lipid metabolism, protein synthesis, cell survival, and autophagy [80]. In contrast, mTORC2 is mainly stimulated by growth factors [81]; mediates cell growth through regulation of the actin cytoskeleton [82]; and may play a role in cell metabolism, proliferation, and survival [83]. Central to this pathway is rapamycin, a naturally occurring macrolide, that has immunosuppressive and anti-proliferative properties [77]. By binding to the 12-kDa FK506-binding protein 12 (FKBP12), rapamycin forms a complex that directly inhibits mTORC1. However, chronic exposure to rapamycin is required to inhibit mTORC2 [84].

While no studies were found that directly linked the mTOR signaling pathway to CRCI, evidence exists to support a link between mTOR signaling and other conditions that result in decrements in cognitive function. For example, alterations in normal mTOR activity are implicated in the development of various neurological diseases, including Alzheimer's disease [85]. The accumulation of amyloid-beta (A β) plaques in the brain is a key characteristic of Alzheimer's disease and is hypothesized to result from decreased autophagy [86]. While pre-clinical and clinical studies have demonstrated that increased levels of A β cause mTOR signaling to increase or decrease, both types of alterations in mTOR signaling result in cognitive deficits [85,86].

In addition, mTOR is involved in synaptic plasticity and memory through the regulation of multiple factors involved in protein synthesis [87]. While multiple pre-clinical studies have demonstrated that inhibition of mTOR signaling improves spatial learning and social memory [85] and protects the integrity of the blood–brain barrier [88], other studies found that alterations in mTOR signaling result in deficits in learning and memory [85]. Given these contradictory findings, further research is warranted to better understand the complex role of mTOR signaling in cognition.

4.6. Strengths and limitations

While this study had a relatively large sample size; included rigorous quality controls; utilized two complimentary methods to measure gene expression; set strict criteria for differential expression and pathway perturbation selection; and provided results from independent tests across two samples, some limitations warrant consideration. Because of its cross-sectional design, longitudinal studies are needed that assess for association between cognitive changes before, during, and after chemotherapy and changes in gene expression and pathway

perturbations. Second, given that this study is the first evaluation of associations between CRCI and gene expression changes, our findings warrant confirmation in independent samples. Third, this study evaluated for CRCI using a single subjective measure. Future research should explore associations between subjectively and objectively measured CRCI and changes in gene expression. Fourth, because CRCI was assessed during chemotherapy, evaluations are warranted with other types of cancer treatment (e.g., radiation therapy, immunotherapy, surgery).

4.7. Conclusion

This study is the first to describe perturbations in inflammatory pathways associated with CRCI. Consistent with previous research [2,89], our findings highlight the role of cytokines both in terms of cytokine-specific pathways, as well as pathways involved in cytokine production and cytokine activation. Of note, two of the pathways identified in this study (i.e., IL-17 and TNF signaling pathways) include cytokines that are known to interact synergistically and contribute to neuroinflammation [90]. In addition, IL-17 receptors trigger the production of cytokines and chemokines and activate the MAPK signaling pathway [65]. Activation of the MAPK signaling pathway, in turn, can trigger increased inflammation. Due to the complex nature of CRCI, significant gaps remain in our understanding of CRCI and the role of neuroinflammation in its development. Given the strength of our findings and the evidence that supports these inflammatory pathways in alterations in memory and learning [75,85,87], and neuroinflammatory diseases [62,70,76,85], continued research is warranted. The findings from this research have the potential to identify new targets for therapeutics and lead to the development of interventions to improve cognition in patients with cancer.

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CRediT authorship contribution statement

Kate Oppegaard: Conceptualization, Methodology, Formal analysis, Writing - original draft, Writing - review & editing, Visualization. **Carolyn S. Harris:** Conceptualization, Methodology, Formal analysis, Writing - original draft, Writing - review & editing, Visualization. **Joo-sun Shin:** Conceptualization, Writing - review & editing. **Steven M. Paul:** Conceptualization, Formal analysis, Data curation. **Bruce A. Cooper:** Conceptualization, Formal analysis, Data curation. **Alexandre Chan:** Conceptualization, Writing - review & editing. **Joaquin A. Anguera:** Conceptualization, Writing - review & editing. **Jon Levine:** Conceptualization, Writing - review & editing. **Yvette Conley:** Conceptualization, Writing - review & editing. **Marilyn Hammer:** Conceptualization, Writing - review & editing. **Christine A. Miaskowski:** Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing - original draft, Writing - review & editing, Visualization, Supervision, Funding acquisition. **Raymond J. Chan:** Conceptualization, Methodology, Formal analysis, Writing - original draft, Writing - review & editing, Visualization. **Kord M. Kober:** Conceptualization, Methodology, Formal analysis, Investigation, Data curation, Writing - original draft, Writing - review & editing, Visualization, Supervision, Funding acquisition.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary material

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.cyto.2021.155653>.

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