

# CS340 - Analysis of Algorithms

Divide and Conquer II

## **Announcements:**

Hw5 released

Due November 3rd

Divide and Conquer Quiz upcoming.

November 6th in Lab?

Project feedback sent

# Warmup

Consider the following pseudo code, which searches for a number  $x$  in an array  $A[1,...,m]$  by calling  $\text{BSearch}(A, x, 1, m)$

**Function**  $\text{BSearch}(\text{array } A, \text{integer } x, \text{integer left}, \text{integer right})$

```
    if left > right
    then
        | return -1
    end
    m = (left+right)/2
    if A[m] = x
    then
        | return m
    end
    else
        | if A[m] > x
        then
            | return BSearch(A, x, left, m-1)
        end
    end
    else
        | return BSearch(A, x, m+1, right)
    end
```

Note that the function operates on an input size of  $\text{right} - \text{left} + 1$ . Write the recurrence  $T(n)$  that denotes the maximum number of steps  $\text{BSearch}$  makes on an input of size  $n$ . In particular,

1. In the pseudocode above, identify the non-recursive parts and estimate their running time in big-Oh notation.
2. In the pseudocode above, identify the recursive parts and estimate their running time using  $T(n)$ .
3. Given the recurrence for  $T(n)$ . Don't worry about rounding. Do not forget the base case.

# Master Theorem

If  $T(n) = aT(n/b) + O(n^d)$  for constants  $a > 0$ ,  $b > 1$ ,  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

How to apply it:

1. Identify  $a$ ,  $b$ , and  $d$  from the recurrence relation
2. Calculate  $\log_b(a)$
3. Compare  $d$  to  $\log_b(a)$  to determine which of the three cases applies

# Merge Sort Psuedo Code

```
mergeSort(A, p, r){  
    if (p<r) {  
        m = (p+r)/2  
        mergeSort(A, p, m)  
        mergeSort(A, m+1, r)  
        merge(A, p, m, r)  
    }  
}
```

```
merge(A, p, m, r){  
    new B[0, r-p]  
    i=p; j=m+1; k=0  
    while(i<=m and j<=r){  
        if (A[i]<=A[j])  
            B[k++] = A[i++]  
        else  
            B[k++] = A[j++]  
    }  
    while(i<=m) B[k++]=A[i++]  
    while(j<=r) B[k++]=A[j++]  
    copy B back to A  
}
```

# Termination of Merge Sort

```
mergeSort(A, p, r){  
  if (p<r) {  
    m = (p+r)/2  
    mergeSort(A, p, m)  
    mergeSort(A, m+1, r)  
    merge(A, p, m, r)  
  }  
}
```

Sizes of subproblems decreases by at least 1 in each recursive call, so merge sort will terminate in finite time

# Correctness of Merge Sort

- Structural induction
  - base:  $|A| = 1$
  - IH: assume mergeSort works  $\forall i, 1 \leq i \leq n - 1$
  - $n$ :
    - divide works
    - $m - p + 1 < n, r - m < n \rightarrow$  apply IH on the two recursive calls
    - needs a lemma that proves merge works on two sorted lists
- Lemma needs another induction

# Correctness of Merge

```
merge(A, p, m, r){
  new B[0, r-p]
  i=p; j=m+1; k=0
  while(i<=m and j<=r){
    if (A[i]<=A[j])
      B[k++] = A[i++]
    else
      B[k++] = A[j++]
  }
  while(i<=m) B[k++]=A[i++]
  while(j<=r) B[k++]=A[j++]
  copy B back to A
}
```

Subarrays are sorted.

$n_l$  = # of elems consumed from left subarray (i - p)

$n_r$  = # of elems consumed from right subarray (j - m+1)

Invariant  $P(n_l, n_r)$ : after consuming  $n_l$  elements from the left and  $n_r$  elems from the right, B contains the smallest elements from  $A[p..m]$  and  $A[m+1..r]$  in sorted order

Base case:  $P(0,0)$

IH:  $P(k_l, k_r)$  holds for  $0 \leq k_l, k_r < n_l, n_r$

Case 1:  $A[p+n_l-1] \leq A[m+1+n_r]$

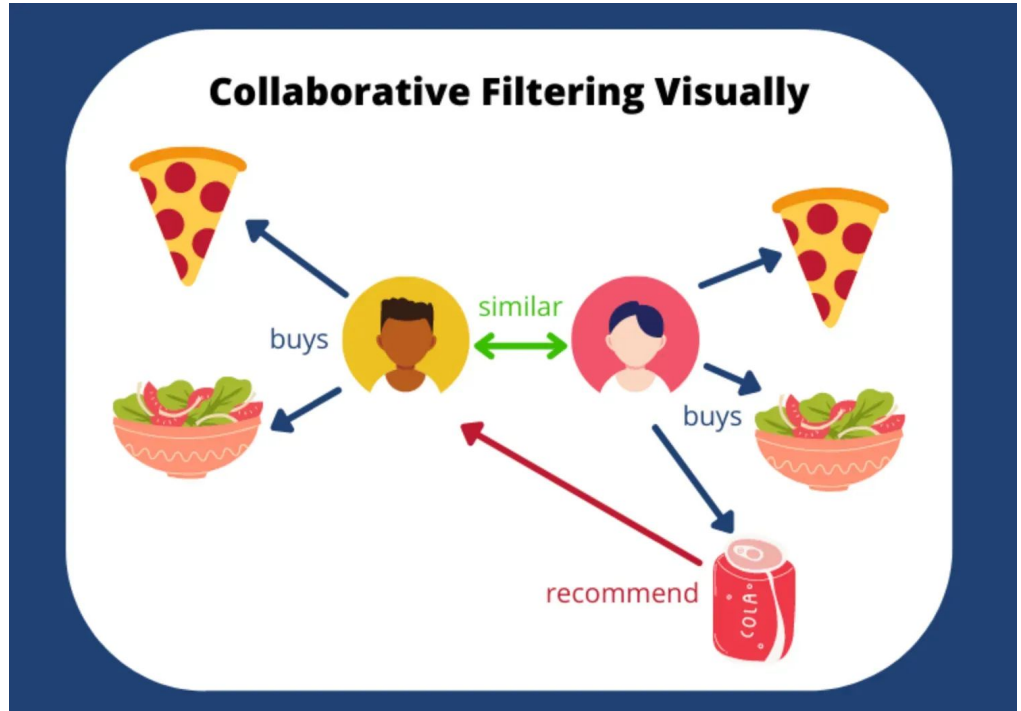
Case 2:  $A[p+n_l] > A[m+1+n_r-1]$



# Merge Sort Applications

# Collaborative Filtering

- Match your preferences with those of other people online
- Identify people with similar tastes
- Recommend things that these other people have liked



# Problem Formulation

Suppose you are given rank-ordered lists of movies

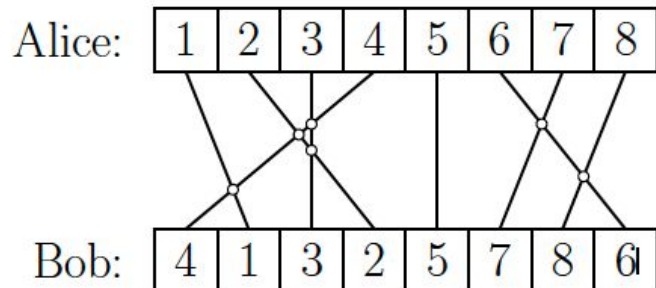
- Determine similarity between lists
- Find people with similar tastes

| Movie Title             | Alice | Bob | Carol |
|-------------------------|-------|-----|-------|
| Gone with the Wind      | 1     | 4   | 6     |
| Citizen Kane            | 2     | 1   | 8     |
| The Seven Samurai       | 3     | 3   | 4     |
| The Godfather           | 4     | 2   | 1     |
| Titanic                 | 5     | 5   | 7     |
| My Cousin Vinny         | 6     | 7   | 2     |
| Star Wars               | 7     | 8   | 5     |
| Plan 9 from Outer Space | 8     | 6   | 3     |

# Collaborative Filtering

- Label movies from 1 to  $n$  according to Alice's ranking
- Order the labels according to Bob's ranking
- See how many are "out of order"

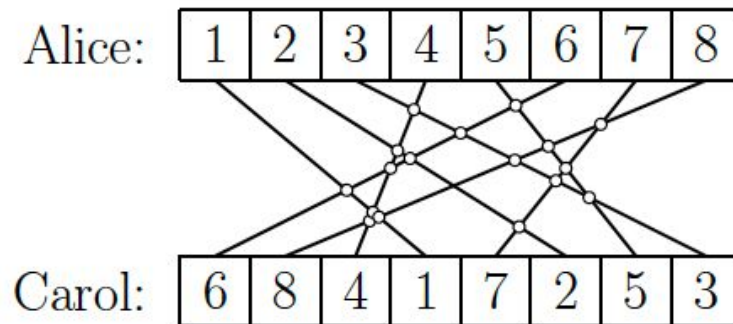
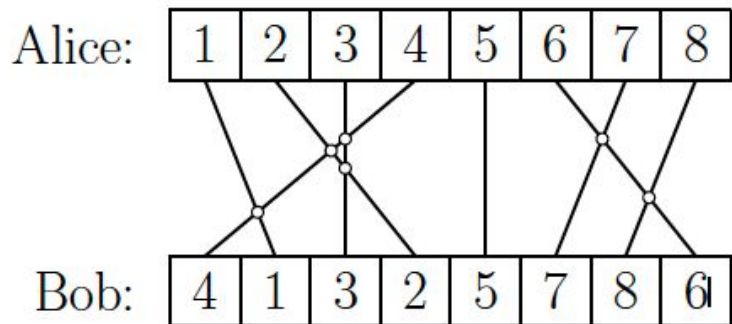
Given a sequence of  $n$  numbers  $a_1, \dots, a_n$  (Bob's rankings), how far is this list from being sorted in ascending order?



# Inversions

Given two lists of preferences  $L_1$  and  $L_2$  define an inversion to be a pair of movie preferences  $x$  and  $y$ , such that  $L_1$  has  $x$  before  $y$  and  $L_2$  has  $y$  before  $x$

We say two indices  $i < j$  form an inversion if  $a_i > a_j$



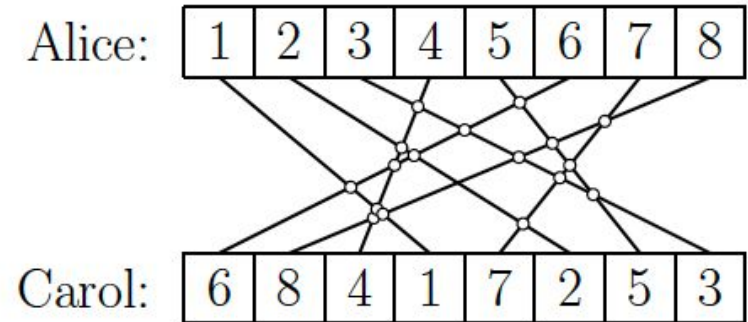
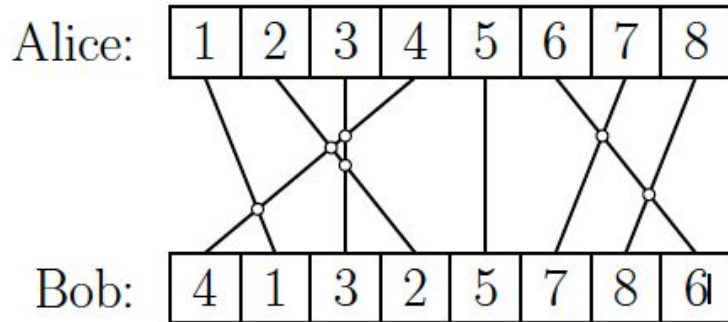
# Inversions

Inversions between Alice and Bob?

(4, 1), (4, 3), (4, 2), (3, 2), (7, 6), (8, 6)

How many max inversions can there be in a list of  $n$  numbers?

$n^2$



# Brute Force Inversion Counting

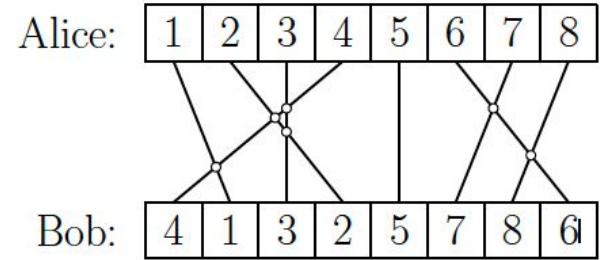
Look at every pair of numbers  $(a_i, a_j)$  and determine whether they constitute an inversion

Runtime?

$O(n^2)$

# Divide and Conquer Inversion Counting

- Base:  $n = 1$ , no inversions
- General
  - divide: split list into two halves of size  $n/2$
  - conquer: compute the number of inversions in each half
  - combine: count the number of inversions between the two halves
- Use merge sort





# Divide and Conquer Inversion Counting

```
COUNT(A)
  n = |A|
  if n ≤ 1 then
    return 0

  mid = n / 2
  l ← COUNT(A[0:mid])
  r ← COUNT(A[mid+1:n])
  s ← MERGE(A[0:mid], A[mid+1:n])
  return l + r + s
```

# Inversion Counting

```
MERGE(L, R)
    i, j, c = 0, 0, 0

    while i ≤ |L| and j ≤ |R| do
        if L[i] ≤ R[j] then
            i++
        else
            j++
            // All remaining elements in L form inversions with R[j]
            c ← c + (|L| - i)

    return c
```

# Inversion Counting

```
MERGE(L, R)
```

```
    i, j, c = 0, 0, 0
```

```
    while i ≤ |L| and j ≤ |R| do
```

```
        if L[i] ≤ R[j] then
```

```
            i++
```

```
        else
```

```
            j++
```

```
            // All remaining elements in L form inversions with R[j]
```

```
            c ← c + (|L| - i)
```

```
    return c
```

```
COUNT(A)
```

```
    n = |A|
```

```
    if n ≤ 1 then
```

```
        return 0
```

```
    mid = n / 2
```

```
    l ← COUNT(A[0:mid])
```

```
    r ← COUNT(A[mid+1:n])
```

```
    s ← MERGE(A[0:mid], A[mid+1:n])
```

```
    return l + r + s
```

# Project Feedback

# Checkpoint 1 Feedback

Greedy criteria: popularity-based (Per class preference count)

Adjust popularity by per class-pair conflict count?

- No: sort by popularity and schedule by room capacity
  - USE ARRAYS - students and classes are all small numbers that can be used as indices
- Yes:
  - Might want to use a more efficient data structure for dynamic recomputation

# Checkpoint 1 Feedback

- Classes should be objects with member variables:  
id, prof, capacity (room count), etc.
- Schedule should be a 2D array of classes indexed by rooms/timeslots:  
 $\text{schedule}[r_i, t_j] = 340$
- Register students after you have generated the schedule not during
- If a student doesn't get into a class they want, leave them unregistered

# Checkpoint 2

- Your program should be executable
- Time analysis verification:
  - a. Measure actual runtime and verify your time analysis as variables grow
  - b. Generate instances of increasing sizes in your dominant variable (use the scripts given)
    - If you don't know the dominant variable, simplify your Big-O expression
  - c. Graph the run time vs size
    - Depending on your big-O,
    - Shape of the curve might be obvious
    - If not, you run regression to obtain a fit curve

# Checkpoint 2

- Also test solution quality!
  - Generate different combinations of s,c,r,t
  - What are the preference scores as a % of max?



# Summary

- Start your HW5
- Quiz on divide and conquer upcoming
- Continue working on project