

# CS340 - Analysis of Algorithms

Divide and Conquer III

## **Announcements:**

Hw5 Due next Monday November 3rd

Divide and Conquer Quiz - November 6th in Lab

Project Checkpoint 2 due Thursday:

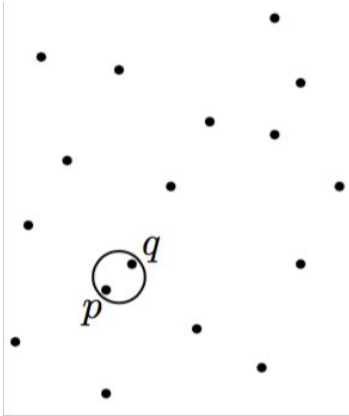
- Actually run your program
- Plot runtime with increasing sizes of problems (use script to generate different inputs increasing your dominant variable)
- If the curve is not obvious, run regression

# Closest Pair

# Closest Pair

Given  $n$  points in a plane, find the pair of points that is closest together

Use cases: traffic control, collusion detection, astrophysics, data analysis etc.



# Closest Pair

Let's consider the problem of points in a **2D** plane

$P = \{p_1, \dots, p_n\}$  where each point  $p_i$  has coordinates  $(x_i, y_i)$

Distance between two points:

$$\|pq\| = \sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$

# Closest Pair

**Input:**  $P = \{ \overset{P_1}{(0,0)}, \overset{P_2}{(3,4)}, \overset{P_3}{(10,0)}, \overset{P_4}{(10,3)} \}$

**Brute Force:** Calculate distance between all pairs and return the pair with smallest dist

$$\|P_1P_2\| = \dots$$

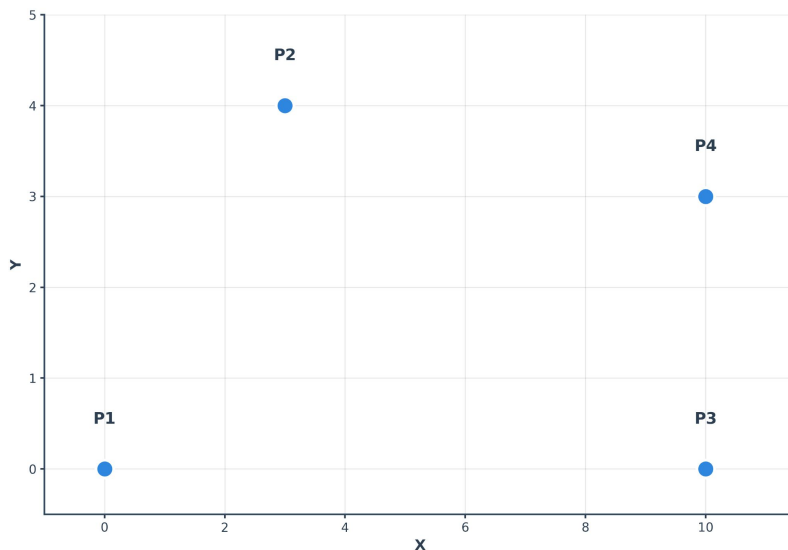
$$\|P_1P_3\| = \dots$$

....

Runtime?

$$O(n^2)$$

$$\|pq\| = \sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$



# Closest Pair in 1D

Let's consider a simpler version: 1 dimension

$P_1 \ P_2 \ P_3 \ P_4$   
**Input:**  $P = \{ 7, 15, 2, 18 \}$

$$\|pq\| = \sqrt{(p_x - q_x)^2}$$

Algorithm:

- Sort inputs
- Walk through the list and compute distance from each  $P_i$  to its neighbor  $P_{i+1}$
- One of the computed distances must be the smallest between pairs

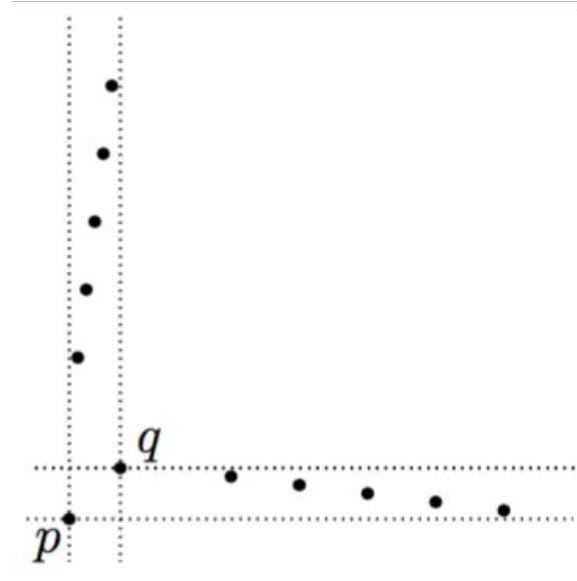
Key: two closest points are adjacent in sorted order

# Closest Pair

Does sorting help us in 2D?

Sort by x-coordinate (or y-coordinate)

Focusing on one coordinate doesn't help





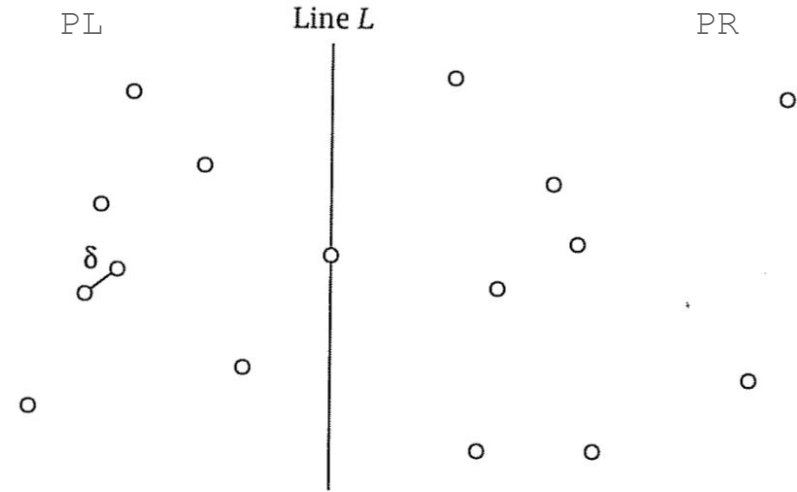
# Closest Pair - Divide and Conquer

Divide and Conquer:

- Find closest pair in left half of  $P$
- Find closest pair in right half of  $P$

Divide step:

Split  $P$  evenly into  $PL$  and  $PR$  via a line  $L$



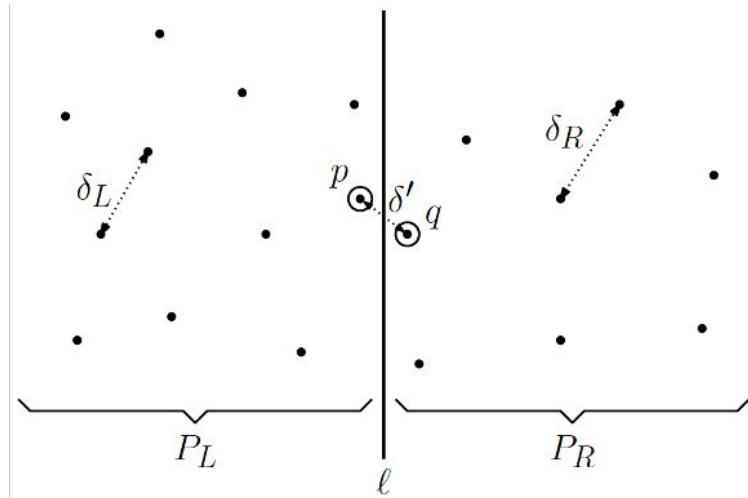
Base case?

$$P = 3$$

# Closest Pair - Divide and Conquer

- Find closest pair in left half of P
- Find closest pair in right half of P
- Combine: use these to find overall solution

$$\delta = \min(\delta_L, \delta_R) \text{ ? No!}$$

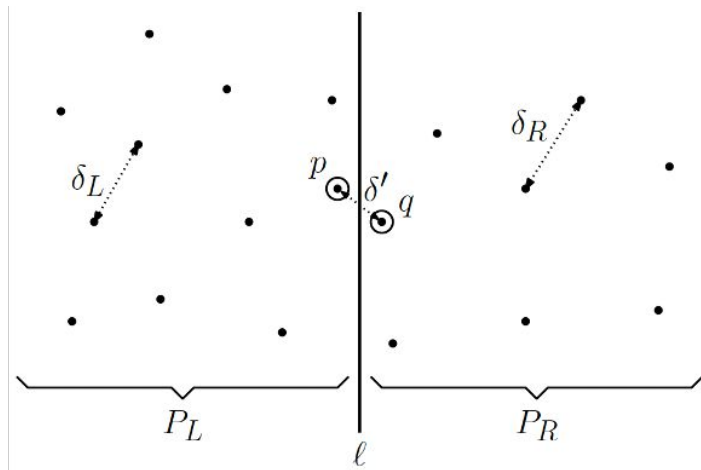


Combine is the tricky part. Pairs that occur across the split

(Remember our inversion counting?)

# Closest Pair - Combine

- $\delta = \min(\delta_L, \delta_R)$
- $\delta$  is not necessarily right
- We need the closest pair between the sets
- Think of  $\delta$  as an upper bound
- Restriction:
  - Find  $(p, q)$  where  $p \in P_L$  and  $q \in P_R$  such that  $\|pq\| < \delta$ , if one exists



Brute Force: check distance of all pairs across the split

Runtime complexity?

$$O(n^2)$$

# Quadratic Combine...

An  $O(n^2)$  combine step would give us an overall runtime complexity of:

$$T(n) = 2T(n/2) + O(n^2)$$

$$a = 2, b = 2, d = 2$$

If  $T(n) = aT(n/b) + O(n^d)$  for constants  $a > 0$ ,  $b > 1$ ,  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

$$O(n^2)$$

No better than brute force!

# Linear Combine

An  $O(n)$  combine step would give us an overall runtime complexity of:

$$T(n) = 2T(n/2) + O(n)$$

$$a = 2, b = 2, d = 1$$

If  $T(n) = aT(n/b) + O(n^d)$  for constants  $a > 0$ ,  $b > 1$ ,  $d \geq 0$ , then

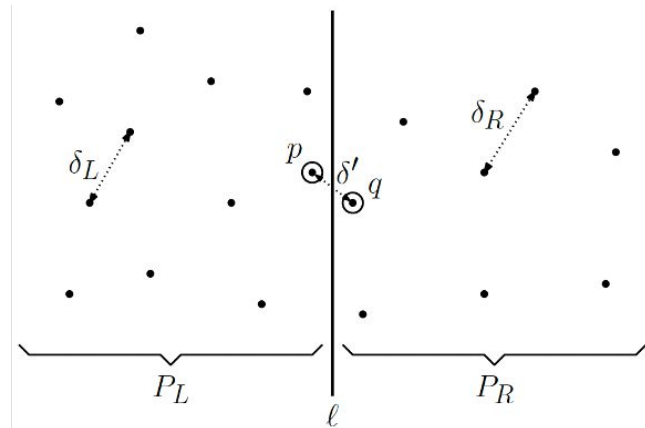
$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

$$O(n \log n)$$

## How to combine

$$\delta = \min(\delta_L, \delta_R)$$

- $\delta$  is not necessarily right
- We need the closest pair between the sets
- Think of  $\delta$  as an upper bound
- Restriction:
  - Find  $(p, q)$  where  $p \in P_L$  and  $q \in P_R$  such that  $\|pq\| < \delta$ , if one exists
  - We can afford  $O(n)$



We can't check every pair of points across the split. It's too expensive.

**Only check points within  $\delta$  of  $\ell$**

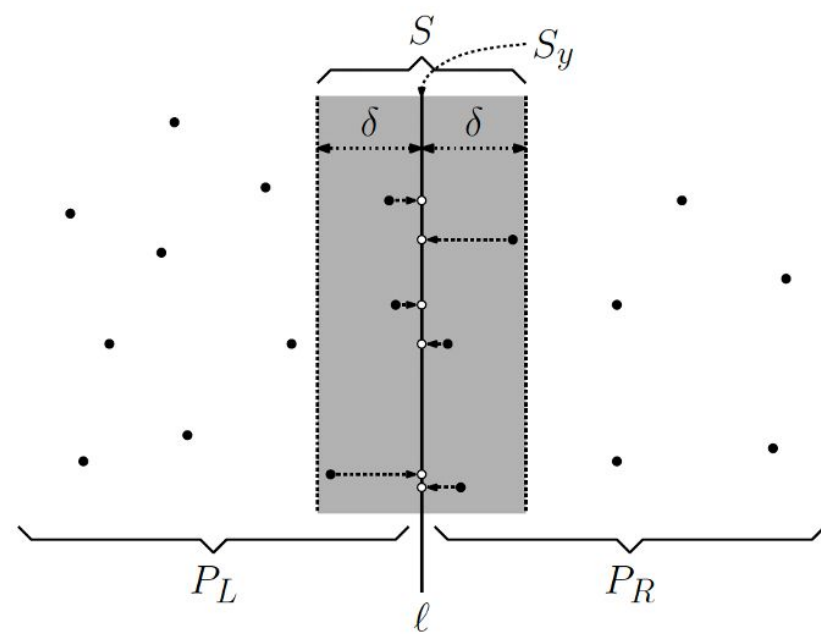
# Combine - Dividing Line

$$\bar{\delta} = \min(\bar{\delta}_L, \bar{\delta}_R)$$

If a pair  $(p, q)$  exists such that  $\|pq\| < \bar{\delta}$ ,

$p$  and  $q$  must be

- across the dividing line
- within distance  $\bar{\delta}$  of the line



Let  $S$  denote the subset of such points in  $P$  (within  $\bar{\delta}$  of the line)

**Given a point  $S_i$ , how many points do we need to check it against?**

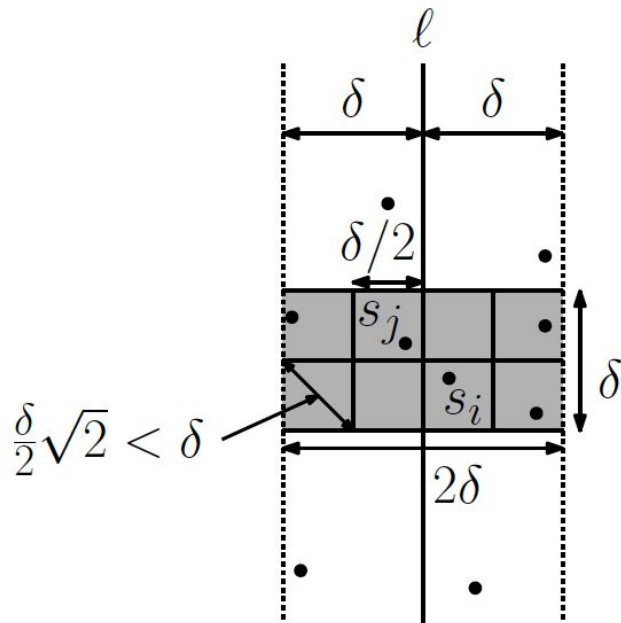
# Combine - Dividing Line

Let  $S_y$  be all points within distance  $\delta$  of the line, sorted by y coordinate

## Lemma 1:

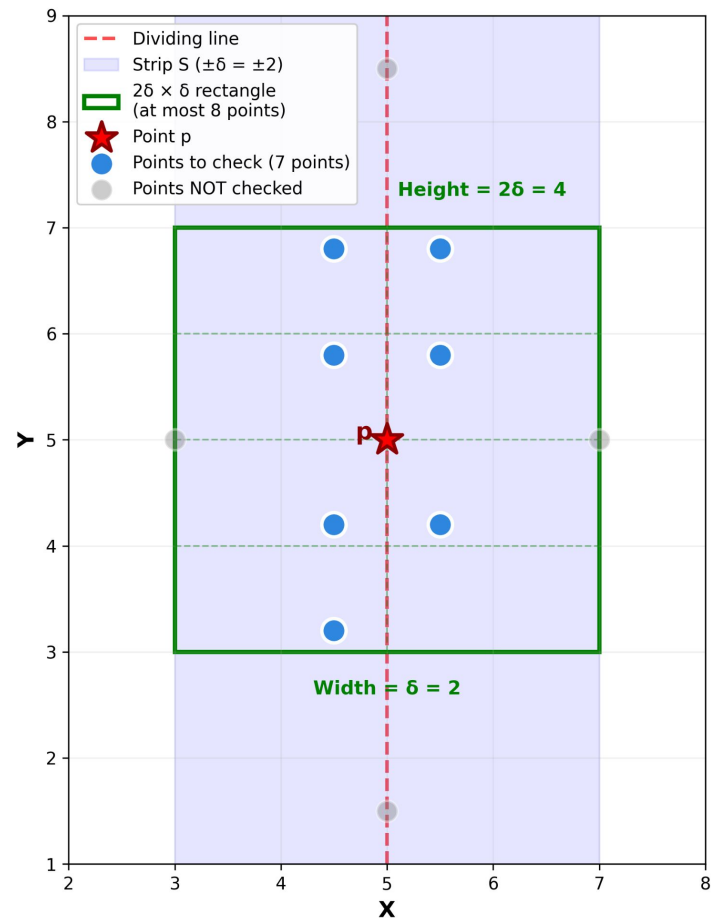
Given any two points:  $s_i, s_j \in S_y$ ,

if  $\|s_i s_j\| < \delta$ , then  $|j - i| \leq 7$





## Closest Pair: Why Check Only 7 Points?



## Lemma 2

By lemma #1, a pair  $(p,q)$  where  $p \in P_L$  and  $q \in P_R$  such that  $\|pq\| < \delta$ , if one exists, can be found by **linear search** in  $S_y$  in 8-point blocks.

# Pseudo Code

```
closestPair(P=(Px, Py)) {
  if (|P|≤3) solve by brute force
  else {
    Split P into PL and PR (via L)
    dL = closestPair(PL) dR = closestPair(PR)
    d = min(dL, dR)
    for (i = 1 to n) {
      if (Py[i] is within distance d of L) {
        append Py[i] to Sy
      }
    }
    d' = findClosest(Sy)
  }
  return min(d, d');
}
```

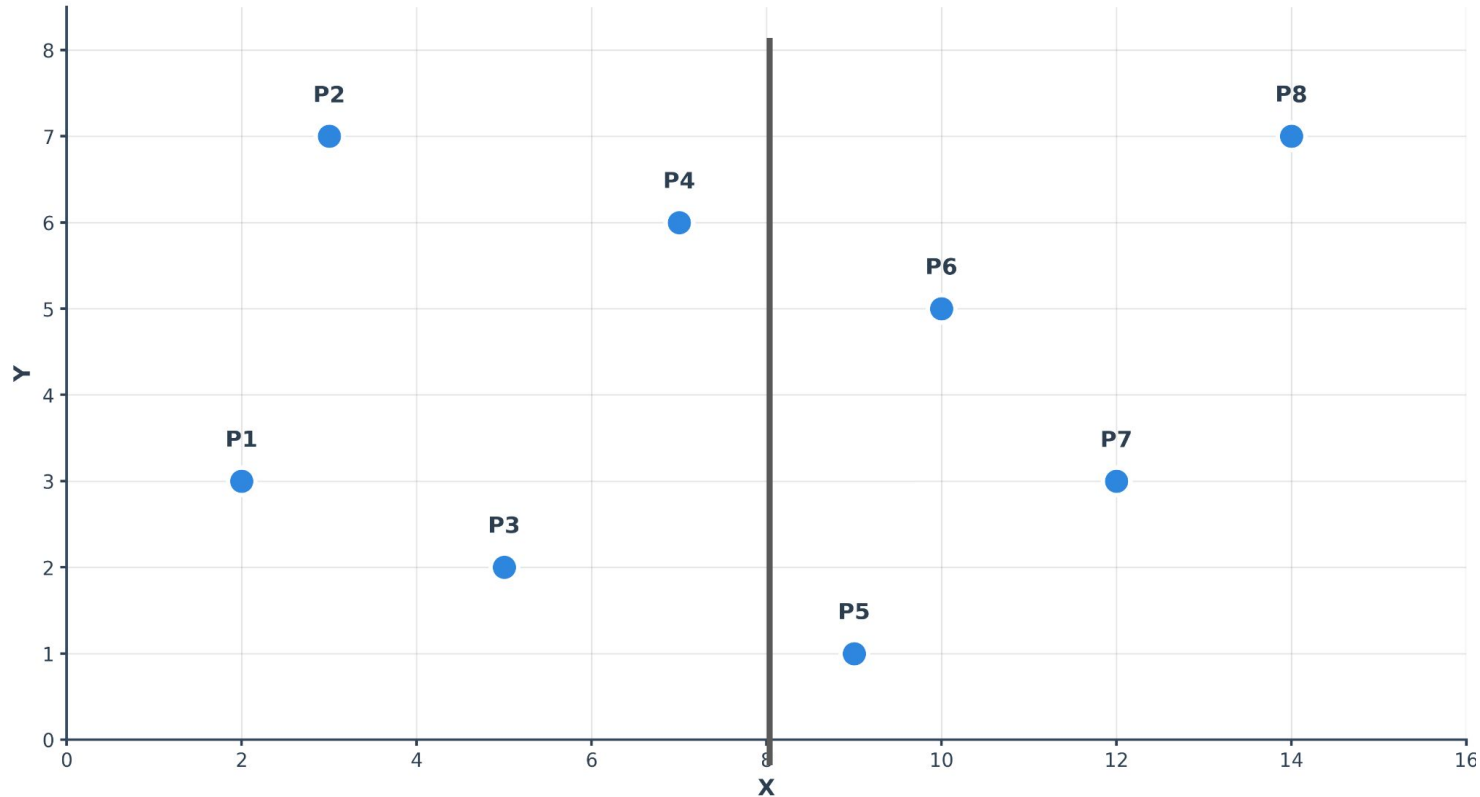
```
findClosest(Sy) {
  m = |Sy|
  d' = infinity
  for (i = 1 to m) {
    for (j = i+1 to min(m, i+7)) {
      if (dist(Sy[i], Sy[j]) < d') {
        d' = dist(Sy[i], Sy[j])
      }
    }
  }
  return d'
}
```

$P = \{(2,3), (3,7), (5,2), (7,6), (9,1), (10,5), (12,3), (14,7)\}$

```
closestPair(P=(Px, Py)) {  
  if (|P|<=3) solve by brute force  
  else {  
    Split P into PL and PR (via L)  
    dL = closestPair(PL) dR = closestPair(PR)  
    d = min(dL, dR)  
    for (i = 1 to n) {  
      if (Py[i] is within distance d of L) {  
        append Py[i] to Sy  
      }  
    }  
    d' = findClosest(Sy)  
  }  
  return min(d, d');  
}
```

```
findClosest(Sy) {  
  m = |Sy|  
  d' = infinity  
  for (i = 1 to m) {  
    for (j = i+1 to min(m, i+7)) {  
      if (dist(Sy[i], Sy[j]) < d') {  
        d' = dist(Sy[i], Sy[j])  
      }  
    }  
  }  
  return d'  
}
```

$$P = \{(2,3), (3,7), (5,2), (7,6), (9,1), (10,5), (12,3), (14,7)\}$$

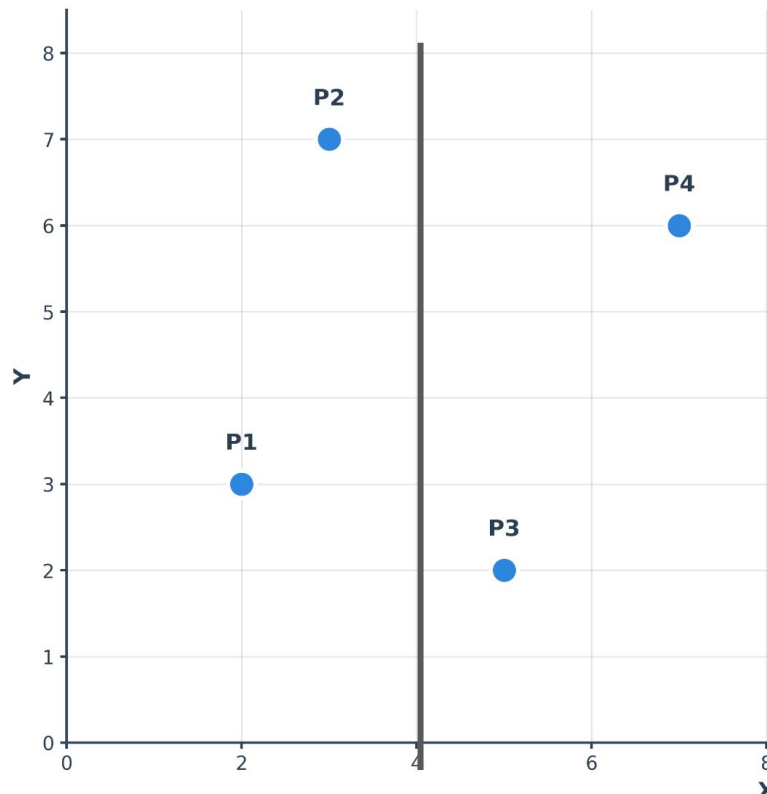


$P = \{(2,3), (3,7), (5,2), (7,6)\}$

```
closestPair(P=(Px, Py)) {
  if (|P|<=3) solve by brute force
  else {
    Split P into PL and PR (via L)
    dL = closestPair(PL) dR = closestPair(PR)
    d = min(dL, dR)
    for (i = 1 to n) {
      if (Py[i] is within distance d of L) {
        append Py[i] to Sy
      }
    }
    d' = findClosest(Sy)
  }
  return min(d, d');
}
```

```
findClosest(Sy) {
  m = |Sy|
  d' = infinity
  for (i = 1 to m) {
    for (j = i+1 to min(m, i+7)) {
      if (dist(Sy[i], Sy[j]) < d') {
        d' = dist(Sy[i], Sy[j])
      }
    }
  }
  return d'
}
```

$$P = \{(2,3), (3,7), (5,2), (7,6)\}$$



$P = \{(9,1), (10,5), (12,3), (14,7)\}$

```
closestPair(P=(Px, Py)) {
  if (|P|<=3) solve by brute force
  else {
    Split P into PL and PR (via L)
    dL = closestPair(PL) dR = closestPair(PR)
    d = min(dL, dR)
    for (i = 1 to n) {
      if (Py[i] is within distance d of L) {
        append Py[i] to Sy
      }
    }
    d' = findClosest(Sy)
  }
  return min(d, d');
}
```

```
findClosest(Sy) {
  m = |Sy|
  d' = infinity
  for (i = 1 to m) {
    for (j = i+1 to min(m, i+7)) {
      if (dist(Sy[i], Sy[j]) < d') {
        d' = dist(Sy[i], Sy[j])
      }
    }
  }
  return d'
}
```



$$P = \{(9,1), (10,5), (12,3), (14,7)\}$$

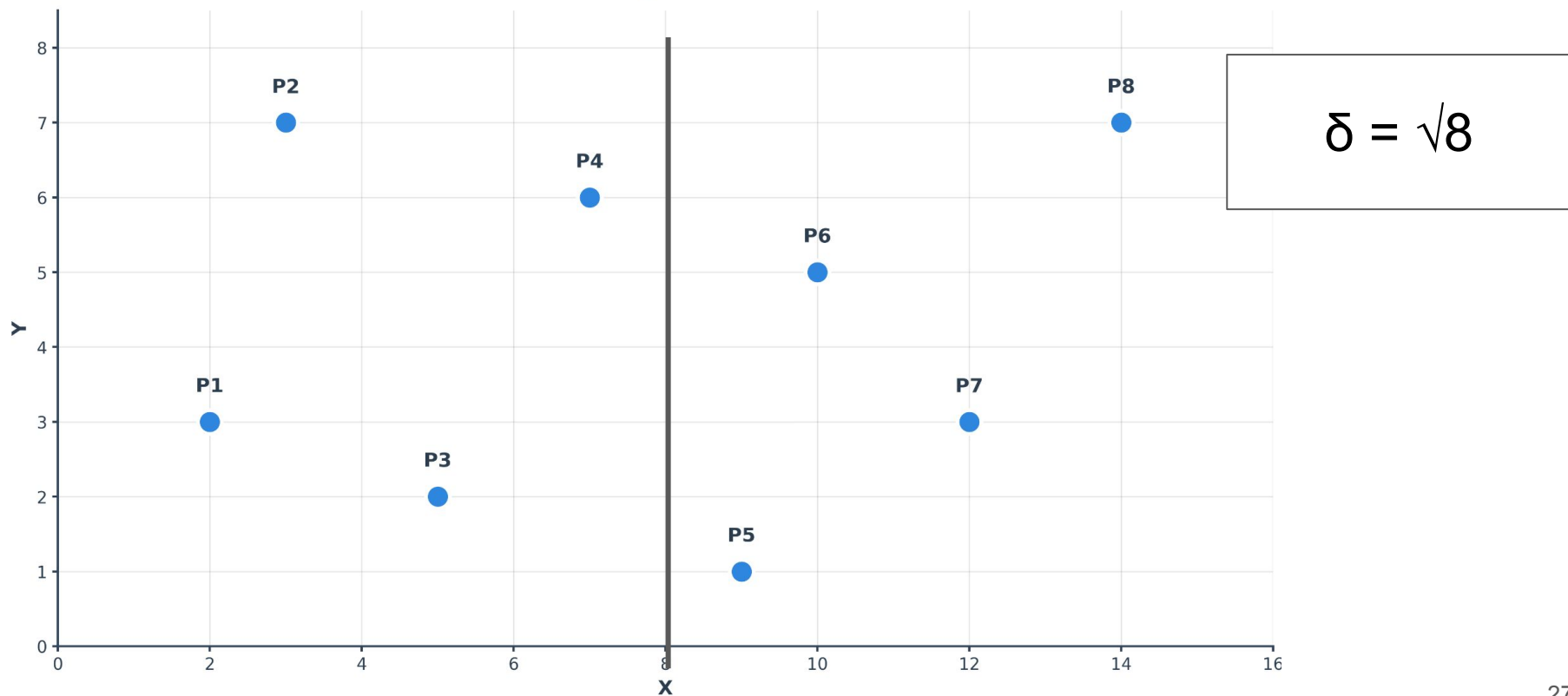


$P = \{(9,1), (10,5), (12,3), (14,7)\}$

```
closestPair(P=(Px, Py)) {
  if (|P|<=3) solve by brute force
  else {
    Split P into PL and PR (via L)
    dL = closestPair(PL) dR = closestPair(PR)
    d = min(dL, dR)
    for (i = 1 to n) {
      if (Py[i] is within distance d of L) {
        append Py[i] to Sy
      }
    }
    d' = findClosest(Sy)
  }
  return min(d, d');
}
```

```
findClosest(Sy) {
  m = |Sy|
  d' = infinity
  for (i = 1 to m) {
    for (j = i+1 to min(m, i+7)) {
      if (dist(Sy[i], Sy[j]) < d') {
        d' = dist(Sy[i], Sy[j])
      }
    }
  }
  return d'
}
```

$$P = \{(2,3), (3,7), (5,2), (7,6), (9,1), (10,5), (12,3), (14,7)\}$$



# Runtime analysis?

```
closestPair(P=(Px, Py)) {  
    if (|P|≤3) solve by brute force  
    else {  
        Split P into PL and PR (via L)  
        dL = closestPair(PL) dR = closestPair(PR)  
        d = min(dL, dR)  
        for (i = 1 to n) {  
            if (Py[i] is within distance d of L) {  
                append Py[i] to Sy  
            }  
        }  
        d' = findClosest(Sy)  
    }  
    return min(d, d');  
}
```

```
findClosest(Sy) {  
    m = |Sy|  
    d' = infinity  
    for (i = 1 to m) {  
        for (j = i+1 to min(m, i+7)) {  
            if (dist(Sy[i], Sy[j]) < d') {  
                d' = dist(Sy[i], Sy[j])  
            }  
        }  
    }  
    return d'  
}
```

# Master Theorem

If  $T(n) = aT(n/b) + O(n^d)$  for constants  $a > 0$ ,  $b > 1$ ,  $d \geq 0$ , then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

$$T(n) = 2T(n/2) + O(n)$$

$$O(n \log n)$$

# Proof of Correctness

**By induction:** The algorithm correctly outputs a closest pair of points in  $P$

Base Case: brute force works

Induction Hypothesis: Assume that `closestPair( $n/2$ )` returns the correct  $\delta$

We will use this to show that `closestPair( $n$ )` returns the correct  $\delta$

The closest pair either has

- (a) Both points in  $P_L$
- (b) Both points in  $P_R$
- (c) Both points in  $S_y$

# Proof of Correctness

Induction Hypothesis: Assume that `closestPair(n/2)` returns the correct  $\delta$

The closest pair either has

- (a) Both points in  $P_L$  - correct by IH
- (b) Both points in  $P_R$  - correct by IH
- (c) Both points in  $S_y$  - prove by correctness of `findClosest( $S_y$ )`

By Lemma 1 and Lemma 2, we only need to check 7 points away, so the for loop for `findClosest` is correct.

Finding the smallest of  $\delta_L$ ,  $\delta_R$ , and  $\delta'$  is the closest pair distance.

# Summary

- HW5 due next Monday
- Quiz on divide and conquer Nov 6
- Project Checkpoint 2 due thursday night