# Scene Classification via a Gradient Boosting Random Convolutional Network Framework

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Abstract—Due to the recent advances in satellite sensors, a large amount of high-resolution remote sensing images is now being obtained each day. How to automatically recognize and analyze scenes from these satellite images effectively and efficiently has become a big challenge in the remote sensing field. Recently, a lot of work in scene classification has been proposed, focusing on deep neural networks, which learn hierarchical internal feature representations from image data sets and produce state-of-the-art performance. However, most methods, including the traditional shallow methods and deep neural networks, only concentrate on motivation training a single model. Meanwhile, neural network ensembles have proved to be a powerful and practical tool for a number of different predictive tasks. Can we find a way to combine different deep neural networks effectively and efficiently for scene classification? In this paper, we propose a gradient boosting random 神经网络convolutional network (GBRCN) framework for scene classification, which can effectively combine many deep neural networks. As far as we know, this is the first time that a deep ensemble framework has been proposed for scene classification. Moreover, in the experiments, the proposed method was applied to two challenging high-resolution data sets: 1) the UC Merced data set containing 21 different aerial scene categories with a submeter resolution and 2) a Sydney data set containing eight land-use categories with a 1.0-m spatial resolution. The proposed GBRCN framework outperformed the state-of-the-art methods with the UC Merced data set, including the traditional single convolutional network approach. For the Sydney data set, the proposed method again obtained the best accuracy, demonstrating that the proposed framework can provide more accurate classification results than the state-of-the-art methods.

> Index Terms—Convolutional networks (CNets), gradient boosting machine (GBM), scene classification.

### I. Introduction

ECENT years have witnessed a boom in the numbers of different satellite sensors, which now provide us with a large volume of very high resolution (VHR) remote sensing images. How to automatically recognize and analyze scenes

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from these VHR images effectively and efficiently has drawn great interest in the remote sensing field [1]. However, due to the complex composition and the large number of land-cover types, it is still a difficult task.

There have been many attempts over the years to recognize and analyze scenes from VHR images effectively and efficiently. The majority of the early approaches, such as the bag-of-visualwords (BoVW) based scene classification method [2], simply count the occurrences of the low-level features [e.g., color histogram, local binary pattern, and scale-invariant feature transform (SIFT)] in an image and assign a semantic label to the image according to its content. Although the BoVW approach is highly efficient, a large semantic gap between the low-level features and the high-level semantic meanings still exists, which severely limits the ability to handle complex image scenes. The traditional BoVW-based methods are mainly based on low-level features [2]–[5], and low-level features cannot precisely represent the semantics of the images because of the so-called semantic gap. To bridge this gap, some authors have applied latent Dirichlet allocation [6]–[8], a hierarchical probabilistic model, for the unsupervised learning of the topic allocation, based on the visual word distribution. Under these models, each image is represented as a finite mixture of an intermediate set of topics, which are expected to summarize the scene information [9]. These approaches extract the low-level feature representations from the image and build mid-level features to overcome the semantic gap, but they still ignore the high-level features and the hierarchical feature representations of the image图像的分层特征表示

Recently, a lot of work in scene classification has been focused on the learning of hierarchical internal feature representations from image data sets [10], [11]. These methods are called "deep learning" methods. Good internal feature representations are hierarchical. In an image, pixels are assembled into edgelets, edgelets are assembled into motifs, motifs are assembled into parts, parts are assembled into objects, and finally, objects are assembled into scenes [10]. This suggests that recognizing and analyzing scenes from VHR images should involve multiple trainable feature extraction stages stacked on top of each other, and we should learn the hierarchical internal feature representations from the image. Convolutional networks (CNets) are a popular deep learning method and have recently enjoyed great success in large-scale visual recognition [11].

To train a neural network model, the model weight is a 具有许多局 nonconvex optimization problem with many local minima. The 部最小值的 the errors of the networks. Ensembles of networks have been 网络集合可 proved to be able to alleviate the problem [12]. An earlier 以改善

CNet?

模型权重是

该问题

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task?

用一种 方法来 结合多 种深度

overview of different ways of combining neural networks was reported in [13]. We can also consider an ensemble as building a set of "strong" models, which can be further combined to produce a better prediction. The most common ensemble 这样的集合 chniques, such as random forests, rely on simple averaging of 方法 依赖the models in the ensemble. However, how to combine the clas-于简单的 sifiers effectively and efficiently is a critical problem. Recently, 模型均值 a boosting framework called gradient boosting machine (GBM) has shown considerable promise in a wide range of practical applications. GBM is a useful practical tool for different predictive tasks, and it can consistently provide more accurate results than the conventional single machine learning models [14]. During the last few years, many empirical studies have been published, which use decision trees as the base learners for GBM [15], [16]. All of these studies have reported impressive improvements in the generalization behavior, suggesting that GBM is a powerful machine learning technique.

Although much useful work has been done, most of the studies have focused on decision trees, and no related research into CNets has been reported in the literature. For the first time, this paper 佳地整合 optimally incorporates GBM into a CNet framework. Moreover, due to the extreme flexibility of GBM, we introduce a new multiclass soft-max loss function to combine the GBM with CNet framework. However, because of the limitation of the training data in the satellite image and the high computation complexity of the ensemble CNet framework, we also develop a novel random CNet (RCNet), which can reuse the weight which is learned in each CNet model and reduce the computation complexity.

> In this paper, we systematically consider the following questions. Are "strong" models like CNets suitable for an ensemble framework? Does the GBM framework work well for CNets? Due to the flexibility of GBM and the architecture of CNets, how should we choose the loss function for scene classification? Because of the high computational complexity of CNets, is there a way to reuse the weight which is learned in each CNet model and reduce the number of parameters?

The major contributions of this paper are as follows.

- 1) We propose a gradient boosting random convolutional network (GBRCN) framework for scene classification.
- 2) We introduce a multiclass soft-max loss function into the GBRCN framework.
- 3) We propose a novel base learner, the RCNet, which can reuse the weight which is learned in each CNet model and reduce the number of parameters, so that the feature extraction procedure is more effective.

The rest of this paper is organized as follows. In Section II, we briefly review the related works about CNets and introduce the ensemble method for neural networks. In Section III, we describe the GBRCN framework in detail. The details of the experiments and the results are presented in Section IV. Finally, Section V concludes this paper with a discussion of the results and our ideas for future work.

## II. RELATED WORK

In this section, we briefly introduce the classical deep learning method, the CNet, and the ensemble method for neural networks. 神经网络的集合方法

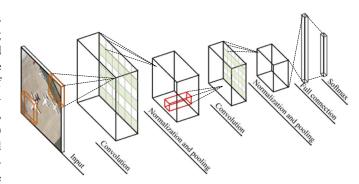


Fig. 1. Typical CNet architecture with two feature extraction stages.

The CNet [17], [18] is a trainable multilayer architecture composed of multiple feature extraction stages. Each stage consists of three layers: 1) a convolutional layer; 2) a nonlinearity layer; and 3) a pooling layer. The architecture of a CNet is designed to take advantage of the 2-D structure of the input image. A typical CNet is composed of one, two, or three of such feature extraction stages, followed by one or more traditional fully connected layers and a final classifier layer, as shown in Fig. 1. Each layer type is described in the following.

Convolutional Layer: The input to the convolutional layer is a 3-D array with r 2-D feature maps of size  $m \times n$ . Each component is denoted as  $x_{m,n}^i$ , and each feature map is denoted as  $x^i$ . The output is also a 3-D array  $m_1 \times n_1 \times k$ , composed of k feature maps of size  $m_1 \times n_1$ . The convolutional layer has k trainable filters of size  $l \times l \times q$ , also called the filter bank W, which connect the input feature map to the output feature map. The convolutional layer computes the output feature map  $z^s = \sum_{i=1}^{q} W_i^s * x^i + b_s$ , where \* is the 2-D discrete convolution operator and b is a trainable bias parameter.

Nonlinearity Layer: In a traditional CNet, this layer simply consists of a pointwise nonlinearity function applied to each component in the feature map. The nonlinearity layer computes the output feature map  $a^s = f(z^s)$ ,  $f(\cdot)$  is commonly chosen to be a rectified linear unit (ReLU), and  $f(x) = \max(0, x)$ .

**Pooling Layer:** The pooling layer involves executing a max operation over the activations within a small spatial region G of each feature map:  $p_G^s = \max_{i \in G} a_i^s$ . To be more precise, a pooling layer can be thought of as consisting of a grid of pooling units spaced s pixels apart, each summarizing a small spatial region of size  $p^*p$  centered at the location of the pooling unit.

After the multiple feature extraction stages, the entire network is trained with back-propagation [19] of a supervised loss function such as the classic least-squares output, and the target output y is represented as a 1 - of - K vector, where K is the number of outputs and L is the number of layers

$$J(\theta) = \sum_{i=1}^{N} \left( \frac{1}{2} \|h(x_i, \theta) - y\|^2 \right) + \lambda \sum_{l=1}^{L} \operatorname{sum} \left( \left\| \theta^{(l)} \right\|^2 \right)$$
 损失函数 (1)

where  $h(x_i, \theta)$  denotes the CNet function,  $\theta$  is the learned parameter,  $\lambda$  is a regularization term (also called a weight decay term), N is the number of training samples, and l indexes the layer number. Our goal is to minimize  $J(\theta)$  as a function of  $\theta$ .

到CNet 框架中

模型中学 到的权重 并降低计 算复杂度 To train the CNet, we apply stochastic gradient descent with back-propagation to optimize the function [20].

Considered as a single model, the CNet approach has recently achieved great success and obtained a lot of state-ofthe-art results in image understanding. In order to increase the generalization performance, an ensemble of a number of neural networks can significantly improve the generalization ability of a neural network system. The common approaches to an ensemble are plurality voting or majority voting [12] for classification tasks and simple averaging [21] or weighted averaging [22] for regression tasks. In [20] and [23], the authors showed that training a multiple CNet model and simple averaging of the models in the ensemble could improve the performance. In [24], Alvaro et al. proposed an artificial neural network ensemble model to estimate global solar radiation from Meteosat satellite images. Meher et al. also evaluated different combining criteria for the multispectral remote sensing image classification task using an ensemble of adaptive rule-based granular neural networks [25]. In [22], Perrone et al. also presented a weighted averaging scheme for neural networks and showed that the averaging could improve the performance. In [26], Schwenk proposed adaptive boosting of a multilayer network for character recognition, which also obtained a significant improvement. The adaptive boosting algorithm is a specific model under GBM that uses a simple exponential loss function, which limits the performance ability. However, to date, no studies have been reported on how to build a general ensemble framework with CNets using the GBM concept.

## III. GBRCN

In this section, the novel modified RCNet and its corresponding GBRCN framework are proposed. The motivation behind our method is to design an ensemble framework for the RCNets and to combine this with a more flexible loss function that is suitable for the RCNet base function.

# A. GBRCN

Compared to the traditional machine learning algorithms, the principal difference of the boosting methods is that optimization is undertaken in the function space. That is, we parameterize the function estimate  $\hat{f}$  in the additive functional form

估值函数空间里面优化 这是增量 
$$\hat{f}(x) = \hat{f}^M(x) = \sum_{t=0}^M \hat{f}_t(x)$$
 (2) 一个模型是一个映射函数

where M is the number of functions,  $\hat{f}_0$  is the initial estimated function, and  $\{\hat{f}_t(x)\}_1^M$  are the function increments, also called "boosts."

In order to distinguish it from the overall ensemble function estimates f(x), we denote the base-learner function as  $h(x,\theta)$ , in which we use the RCNet as our base learner, which is described in the next section.

Given a set of N training examples of the form  $(x, y)_{i=1}^{N}$ , the goal of supervised learning is to reconstruct the unknown functional dependence  $x \xrightarrow{f} y$  with our estimation  $\hat{f}(x)$ and to minimize a specified loss function  $\Psi(y, f)$ , where  $x = (x_1, \dots, x_d)$  refers to the d-dimensional input variables and y refers to the corresponding label of the response variable

$$\hat{f}(x) = y$$
 选择使loss最小的那个 (3)

$$\hat{f}(x) = \operatorname*{arg\,min}_{f(x)} \Psi\left(y, \hat{f}(x)\right). \tag{4}$$

Compared to a conventional machine learning algorithm, we calculate the function increment according to a "greedy 分段贪心? stagewise" approach with the base learner. For the function estimate, the optimization rule is therefore defined as

$$\hat{f}_t \leftarrow \hat{f}_{t-1} + \mathop{
ho_t}_h(x, heta_t)$$
 这个后面说用 反向传播(5) 版机梯度下降确定

$$\hat{f}_{t} \leftarrow \hat{f}_{t-1} + \rho_{t} h(x, \theta_{t})$$
 这个后面说用 随机梯度下降确定 
$$(\rho_{t}, \theta_{t}) = \operatorname*{arg\,min}_{\rho, \theta} \sum_{i=1}^{N} \Psi\left(y, \hat{f}_{t-1} + \rho h(x_{i}, \theta)\right). \tag{6}$$

In practice, given a specific loss function  $\Psi(y, f)$  and a base-learner function  $h(x,\theta)$ , the solution to the parameter estimation can be difficult to obtain. In this paper, we use a multiclass soft-max loss function and the RCNet as the base learner, and we look for the solution to the function increment in the function space.

## B. Soft-Max Loss Function for Classification

To use the GBRCN for the scene classification task, we have to provide the specific choice of loss function and base learner. In this section, we describe a multiclass soft-max loss function combined with the RCNet for the scene classification. The loss function is

$$\Psi\left(y,\hat{f}(x)\right) = -\sum_{k=1}^{K} y_k \log \, p_k(x) \qquad \begin{array}{c} \text{K是分类数} \\ \text{f-hat} \\ \text{th} \end{array}$$

where the target label y is represented as a 1 - of - K vector, where K is the number of classes,  $\hat{f}(x)$  is the overall ensemble function estimate, and

$$p_k(x) = \frac{\exp(f_k(x))}{\sum_{l=1}^K \exp(f_l(x))}.$$
 (8)

In practice, given the soft-max loss function and the RCNet base learner, the solution to (6) can be difficult to obtain. To deal with this, it is proposed to choose a base-learner function  $h(x, \theta_t)$  to be the most parallel to the negative gradient  $g_t(x)$ along the observed data

$$g_t(x) = \left[\frac{\partial \Psi\left(y, f(x)\right)}{\partial f(x)}\right]_{f(x) = \hat{f}_{t-1}(x)}$$
 计算负梯度 (9)

Instead of looking for the general solution in the function space, this permits the replacement of a potentially very difficult optimization task with a classic least-squares minimization

$$\theta_t = \underset{\theta}{\arg\min} \sum_{i=1}^{N} \left[ -g_t(x_i) + h(x_i, \theta) \right]^2.$$
 (10)

Substituting (8) into (7) and taking the first derivatives, we have

$$-g_t(x) = -\left[\frac{\partial \Psi(y, f(x))}{\partial f(x)}\right]_{f(x) = \hat{f}_{t-1}(x)} = y - p(x). \quad (11)$$

Given the approximate negative gradient function  $h(x, \theta_t)$ , the multiplier  $\rho_t$  in (6) is calculated as follows:

$$\rho_{t,k} = \arg\min_{\rho} \sum_{i=1}^{N} \sum_{k=1}^{K} \Psi\left(y_{k}, \hat{f}_{t-1,k} + \rho_{t,k} h_{k}(x_{i}, \theta)\right).$$
 (12)

This formula has no closed-form solution. We apply stochastic gradient descent to find the multiplier  $\rho_t$ . The update rule is described as follows.

For simplicity, we only consider the situation with a single data point. Substituting (8) into (12) and taking the first derivatives, we have (13)–(15), shown at the bottom of the page, where 1(c = k) is the indicator function. This function takes a value of 1 when c = k, and 0 otherwise.

# Shared Filter Stage one Wighter: Stage two Wighter: Stage three $W_{ijther:3}$ Softmax $\rho_i h_i(\mathbf{x}, \theta)$ Loss Function

Input image

Fig. 2. Overall network architecture of the GBRCN framework.

## C. Base Learner: RCNet

We now describe the RCNet base learner for the function estimate. As mentioned before, the CNet [17], [18] is a trainable multilayer architecture composed of multiple feature extraction stages, followed by one or more traditional fully connected layers and a final classifier layer.

Due to the specific architecture of the CNet, we can view each feature extraction stage as a feature learning process from the low-level features to the high-level feature concepts [27]. Recently, using a "pretrained" CNet and sharing the parameters between the feature extraction stages in different CNets have

been attracting a lot of attention [28]. How to reuse or share the parameters learned from the base learner in the GBM framework, thereby reducing the number of parameters, has become a critical problem.

The simplest way is to share all of the parameters between the feature extraction stages in different CNet functions. However, this will result in overfitting. Inspired by the randomness in the random forest method, we have developed a random version of CNet called RCNet, which randomly shares some of the parameters with a filter bank, as shown in Fig. 2.

## 更新权重的过程,这是一个整合算法

$$\Psi\left(y,\hat{f}(x)\right) = -\sum_{k=1}^{K} 1(y_k = c) \log p_k(x) = -\log p_c(x) \tag{13}$$

$$\nabla \rho_{t,k} = \frac{\partial}{\partial \rho_{t,k}} - \log p_c(x) \tag{14}$$

$$= -\frac{1}{p_c(x)} \frac{\partial}{\partial \rho_{t,k}} \left( \frac{\exp\left(f_{t-1,c}(x) + \rho_{t,c}h_c(x)\right)}{\sum_{k=1}^{K} \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)} \right)$$

$$= -\frac{1}{p_c(x)} \cdot \left( \frac{\exp\left(f_{t-1,c}(x) + \rho_{t,c}h_c(x)\right)}{\sum_{k=1}^{K} \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)} - \frac{\exp\left(f_{t-1,c}(x) + \rho_{t,c}h_c(x)\right) \cdot \frac{\sum_{k=1}^{K} \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)}{\partial \rho_{t,k}}} \right)$$

$$= -\frac{1}{p_c(x)} \cdot \left( \frac{1(c = k) \cdot \exp\left(f_{t-1,c}(x) + \rho_{t,c}h_c(x)\right)}{\sum_{k=1}^{K} \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)} \cdot h_c(x) \right)$$

$$-\frac{\exp\left(f_{t-1,c}(x) + \rho_{t,c}h_c(x)\right) \cdot \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)}{\left(\sum_{k=1}^{K} \exp\left(f_{t-1,k}(x) + \rho_{t,k}h_k(x)\right)\right)^2} \cdot h_k(x)$$

$$= -\frac{1}{p_c(x)} \cdot (1(c = k) \cdot p_c(x) \cdot h_c(x) - p_c(x) \cdot p_k(x) \cdot h_k(x))$$

$$= -(1(c = k) \cdot h_c(x) - p_k(x) \cdot h_k(x)) \rho_{t,k} \leftarrow \rho_{t-1,k} - \nabla \rho$$
(15)

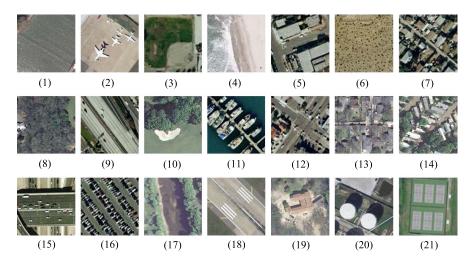


Fig. 3. Example images associated with the 21 land-use categories in the UC Merced data set: 1) agricultural, 2) airplane, 3) baseballdiamond, 4) beach, 5) buildings, 6) chaparral, 7) denseresidential, 8) forest, 9) freeway, 10) golfcourse, 11) harbor, 12) intersection, 13) mediumresidential, 14) mobilehomepark, 15) overpass, 16) parkinglot, 17) river, 18) runway, 19) sparseresidential, 20) storagetanks, and 21) tenniscourt.

During each RCNet function, we randomly sample a  $W_{\rm filter}$  from the shared filter bank  $W_{\rm sfilter}$  to construct the feature extraction stage of the RCNet function and simultaneously update the filter parameter in the RCNet function and shared filter bank. The filter size of the shared filter bank is larger than the filter in the RCNet function, which means that the different RCNets share some of the filter parameters, thereby decreasing the number of parameters in the whole framework. The randomness also maintains the diversity between the different RCNet base learners.

## 两个超参数: D. Hyperparameters M----迭代的次数 shrinkage-----正则化参数

The GBM framework has two important hyperparameters. The most important hyperparameter is the number of base learners M or "boosting iterations" in the ensemble. However, a larger value of M increases the model complexity and can lead to overfitting. Another hyperparameter is the regularization parameter called "shrinkage."

The simplest form of regularization through shrinkage is direct proportional shrinkage [29], [30]. In this case, the effect of the shrinkage is directly defined as the parameter  $\lambda \in (0,1]$ . The regularization is applied to the final step in the gradient boosting algorithm 就是收缩超参数

$$\hat{f}_t \leftarrow \hat{f}_{t-1} + \lambda \rho_t h(x, \theta_t). \tag{16}$$

It is a common pattern that, the smaller the value of parameter  $\lambda$ , and therefore the lower the shrinkage, the better the generalization [14]. In this paper, we set the parameter as  $\lambda = 1/(M+1)$ . Clearly, choosing a smaller value of  $\lambda$  will increase the number of iterations M, which is required for convergence to a similar empirical loss minimum when using a larger value of  $\lambda$  [29].

# E. Procedure of the GBRCN

The detailed procedure of the proposed GBRCN is described in Algorithm 1. One RCNet base-learner function is achieved

from steps 3 to 5, and the output of the base-learner function and multiplier is added to the previous function estimate. We repeat this process until the M RCNet base-learner functions and multipliers are achieved.

# Algorithm 1 Gradient Boosting Random Convolutional Network

## **Inputs**:

- -input data  $(x, y)_i^N$
- -number of iterations M
- -shrinkage parameter  $\lambda = 1/(M+1)$

## Algorithm:

- 1. Initialize  $f_0(x) = 0, k = 1, ..., K$
- 2. for t = 1 to M do
- 3. compute the negative gradient  $g_t(x)$
- 4. fit an RCNet base-learner function

$$h(x,\theta_t) = \sum_{i}^{N_c} \left( \frac{1}{2} \|h(x,\theta_t) - g_t(x)t\|^2 \right) + \lambda \sum_{l}^{L} sum \left( \left\|\theta^{(l)}\right\|^2 \right)$$

5. find the best gradient descent multiplier  $\rho_t$ 

$$\rho_{t,k} = \arg\min_{\rho} \sum_{i=1}^{N} \sum_{k=1}^{K} \Psi\left(y_{k}, \hat{f}_{t-1,k} + \rho_{t,k} h_{k}(x_{i}, \theta)\right)$$

6. update the function estimate:

$$\hat{f}_t \leftarrow \hat{f}_{t-1} + \lambda \rho_t h(x, \theta_t)$$

# 7. end for

# IV. EXPERIMENTS AND ANALYSIS

In this section, we first describe the data sets used for the experiments and the parameter settings of the proposed method. The results obtained for the scene classification are then discussed.

Fig. 4. (Left) Whole image for image annotation. (Right) Image ground truth. (Bottom) Example images associated with the eight land-use categories from the image: 1) runway, 2) airplane, 3) residential, 4) river, 5) ocean, 6) meadow, 7) industrial, and 8) baresoil.

# A. Description of the Data Sets and Experimental Settings

Two different image data sets were used in the experiments. The first data set chosen for investigation was the well-known UC Merced data set [4]. Fig. 3 shows a few example images representing various aerial scenes that are included in this data set. The images have a resolution of 1 ft per pixel and are of  $256 \times 256$  pixels. In our experiment, we resized the image to  $128 \times 128$  pixels. The data set contains 21 challenging scene UC Mercedcategories, with 100 image samples per class. The data set represents highly overlapping classes, such as denseresidential, mediumresidential, and sparseresidential, which mainly differ in the density of structures. Following the experimental setup in [1], we randomly selected 80% of the samples from each class for training and set the remaining images for testing.

The other image data set was constructed from a large satellite image, which was acquired from Google Earth, of Sydney, Australia. The spatial resolution of this image was about 1.0 m. The large image to be annotated was of  $7849 \times 9073$  pixels, as shown in Fig. 4. There were eight classes of training images: residential, airplane, meadow, rivers, ocean, industrial, baresoil, and runway. Fig. 4 shows some examples of such images. This data set consists of not only the eight defined classes but also some other classes that have not been learned, such as the bridges and the main roads. We manually labeled part of the image to obtain a subregion image data set, in which each subregion was of the size of  $128 \times 128$ , whereby each subimage was supposed to contain a certain scene. The training set for each class contained 25 samples of the labeled images for each class, and the remaining images were used for testing, as shown in Table I.

For the UC Merced data set, we trained the CNet and RCNet functions using stochastic gradient descent, with a batch size of 64, a momentum of 0.9, a weight decay of 0.0005, and a learning rate of 0.01. We trained each RCNet for roughly 500 cycles with the whole training set. 每个RCNet训练大约500次

For the Sydney data set, we trained the CNet and RCNet functions using stochastic gradient descent, with a batch size

TABLE I TRAINING AND TEST SAMPLES FOR THE SYDNEY DATA SET

	Class	Samples		
No	Name	Training	Test	
1	Runway	25	97	
2	Airplane	25	16	
3	Residential	25	381	
3 4 5 6 7 8	River	25	59	
5	Ocean	25	133	
6	Meadow	25	102	
7	Industrial	25	101	
8	Baresoil	25	9	
Total	1	200	898	

of 32, a momentum of 0.9, a weight decay of 0.0005, and a learning rate of 0.01. We trained each RCNet for roughly 800 cycles with the whole training set.

These experiments were run on a personal computer with a single Intel core i7 CPU, an NVIDIA Titan GPU, and 6-GB memory. The operating system was Windows 7, and the implementation environment was under MATLAB 2014a with a CUDA kernel.

## B. Experimental Results With the UC Merced Data Set

To measure the scene classification performance with the UC Merced data set, we first compared the classification accuracies with a single CNet function of different sizes of feature extraction architecture. The different CNet architectures evaluated in this paper are outlined in Table II, one per column. Table III shows the classification performance with the different sizes of feature extraction architecture. The convolutional layer parameters are denoted as "conv(receptive field size)-(number of features)." The results show that using three feature extraction stages achieved the best classification accuracy. The CNet could benefit from increasing the depth of the network moderately. Recent study by He et al. [31] also suggests that CNet can extract a more efficient high-level feature from the deeper network than the shallow network. However, with the



128\*128

80% train 20% test

数据集

TABLE II
DIEEEDENT CNET ADCHITECTURES

			CNet cor	nfigurations				
CNet-A	CNet-B	CNet-C	CNet-D	RCNet-A	RCNet-B	RCNet-C		
	Input image							
Conv3*3-96	Conv3*3-96	Conv3*3-128	Conv3*3-128	Conv3*3-96(128)	Conv3*3-96(128)	Conv3*3-128(160)		
	Maxpool							
Conv3*3-128	Conv3*3-128	Conv3*3-192	Conv3*3-192	Conv3*3-128(160)	Conv3*3-128(160)	Conv3*3-192(256)		
			Max	pool				
	Conv3*3-128	Conv3*3-192	Conv3*3-192		Conv3*3-128(160)	Conv3*3-192(256)		
			Conv3*3-192	[avm a a1	<u> </u>			
			LRN-M					
			Full-com	nect-420				
			Full-con	nect-10				
			Soft-	max				

 $TABLE\ III$  Comparison of the Different CNET Architectures With the UC Merced Data Set

Method	CNet-A-UCM	CNet-B-UCM	CNet-C-UCM	CNet-D-UCM
Accuracy	87.62%	90.00%	91.67%	90.95%

 $\label{total LEIV} TABLE\,IV$  Comparison of the Different RCNET Architectures With the UC Merced Data Set

Architecture	RCNet-A-UCM		re RCNet-A-UCM RCNet-B-UCM		RCNet-C-UCM				
Base-learner number	6	9	12	6	9	12	6	9	12
Average	89.05%	88.33%	88.33%	90.72%	91.67%	91.67%	92.01%	92.01%	92.39%
GBRCN	89.29%	89.29%	89.05%	92.39%	93.09%	92.86%	93.09%	94.05%	94.53%

TABLE V
COMPARISON OF THE DIFFERENT BASE-LEARNER
FUNCTIONS WITH THE UC MERCED DATA SET

Architecture	RCNet-C-UCM			
Base-learner number	6	9	12	
Average	92.01%	92.01%	92.39%	
GBCN	93.09%	93.57%	93.57%	
GBRCN	93.09%	94.05%	94.53%	

increasing depth of the network to the four-layer CNet-D-UCM, the classification accuracy oscillated slightly where the deep architecture has more parameters to train, and the limitation of the training samples restricted the performance of the deep architecture. What is more, why "deeper is not better" is still an open question, which requires investigations to better understand the relationship between deep architectures and data.

We then compared RCNets with different architectures and base-learner numbers, as shown in Table II. For the RCNets, we used the same architecture as CNet-A, CNet-B, and CNet-C, and we denoted the size of the shared filter bank as "conv(receptive field size)-(number of features)-(size of shared filter bank)." Table IV shows the classification performance with the proposed GBRCN method with different numbers of base-learner functions. From Table IV, we can observe the same result that RCNets could benefit from increasing the depth of the network moderately. We also compared the proposed method to simple averaging of CNets. In all cases, the proposed GBRCN framework improved the generalization performance of the different network architectures. Compared to the simple averaging of CNets, the proposed method RCNet-C-UCM improved the performance from 92.39% to 94.53%, which is significant.

We also evaluated the impact of different base-learner functions. As shown in Table V, we first compared the performance of our GBM framework with traditional CNets base-learner

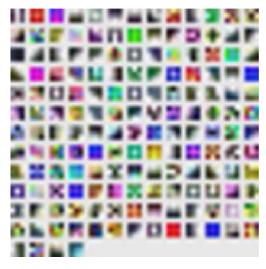


Fig. 5. One hundred sixty convolutional kernels of size  $3\times3\times3$  learned by the first convolutional layer with the UCM data set and RCNet-I-C. All of the kernels are upsampled by bilinear interpolation to 10\*10 for better visualization.

functions (GBCN) to simple average multiple CNets. The result revealed that GBM is an efficient ensemble framework compared to the simple average method. Then, we compared the performance of our GBM framework with RCNet baselearner functions (GBRCN) and CNet base-learner functions (GBCN). From Table V, we can observe that the GBRCN achieves the best classification accuracy, which confirms our purpose that RCNet can efficiently reuse the weight and improve the generalization performance. Based on the results, we can find that the GBM framework is useful in improving the classification performance. However, the huge size of the deep network and the large number of base-learner functions

TABLE VI
COMPARISON WITH THE PREVIOUS REPORTED ACCURACIES WITH THE UC MERCED DATA SET

Method	SPMK [3]	SSC [1]	SSAE[11]	RCNet-C-UCM
Accuracy	74%	81.67%	82.72%	94.53%

TABLE VII

COMPARISON OF THE DIFFERENT CNET ARCHITECTURES WITH THE SYDNEY DATA SET

Method	CNet-A-SYD	CNet-B-SYD	CNet-C-SYD	CNet-D-SYD
Accuracy	93.43%	94.99%	94.99%	94.33%

TABLE VIII

COMPARISON OF THE DIFFERENT RCNET ARCHITECTURES WITH THE SYDNEY DATA SET

Architecture		RCNet-A-SYL	)		RCNet-B-SYL	)		RCNet-C-SYD	)
Base-learner number	6	9	12	6	9	12	6	9	12
Average	95.87%	96.88%	96.88%	95.87%	96.33%	96.11%	96.00%	96.00%	96.11%
GBRCN	98.11%	98.33%	98.45%	98.67%	98.78%	98.78%	98.56%	98.67%	98.67%

may bring the overfitting problem. The novel RCNet which can reuse the weight and reduce the computation complexity also contributed to the performance improvement.

For visualization of the learned feature extractors, we show the layer-1 shared feature filters generated from RCNet-C-UCM in Fig. 5. Here, it can be seen that the proposed method tends to learn edges and textural feature extractors.

Finally, we also compared the scene classification performance of the proposed approach with the spatial pyramid matching kernel (SPMK) [3], the SIFT + sparse coding (SSC) approach described in [1], and the saliency-guided sparse autoencoder (SSAE) method in [32]. We compared the reported classification performances with the challenging UC Merced data set. Of the four strategies that we compared, the GBRCN framework produced the best performance, as shown in Table VI.

## C. Experimental Results With the Sydney Data Set

For the Sydney data set, we also compared the classification accuracies with a single CNet function of different sizes of the feature extraction architecture and filter sizes, as shown in Table VII. The experimental analysis shows that the architectures of CNet-B-SYD and CNet-C-SYD produced the best accuracy with a single CNet in this data set. We can get the same result as in the UC Merced data set that CNet could benefit from increasing the depth of the network moderately. However, for the Sydney data set which is simpler and only has eight classes and less training sample, CNet-B is enough to produce the best accuracy.

We then compared RCNets with different architectures. For the RCNets, we used the same architectures that were used with the UCM data set. Table VIII shows the classification performance of the proposed GBRCN method with different numbers of base-learner functions. A similar conclusion can be made for the Sydney data set: The proposed GBRCN framework improved, in all cases, the generalization performance of the different network architectures. Compared to the simple averaging of CNets, the RCNet-B-SYD method produced the best result. We also show the layer-1 shared feature filter generated from RCNet-B-SYD in Fig. 6.

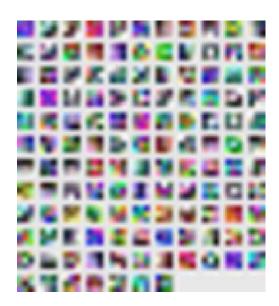


Fig. 6. One hundred twenty-eight convolutional kernels of size  $3 \times 3 \times 3$  learned by the first convolutional layer with the Sydney data set and RCNet-I-B. All of the kernels are upsampled by bilinear interpolation to 10\*10 for better visualization

TABLE IX
COMPARISON OF THE DIFFERENT BASE-LEARNER
FUNCTION WITH THE SYDNEY DATA SET

RCNet-B-SYD				
6	9	12		
95.87%	96.33%	96.11%		
98.45%	98.45%	98.11%		
98.67%	98.78%	98.78%		
	6 95.87% 98.45%	6 9 95.87% 96.33% 98.45% 98.45%		

We also evaluated the impact of base-learner functions. As shown in Table IX, we compared the performance of our GBM framework with CNet base-learner functions (GBCN). From Table IX, we can observe the same phenomenon that GBCN is an efficient ensemble framework compared to simple average multiple CNets. However, compared to GBRCN, when using a large base-learner number, GBCN gets a slight decrease. The result revealed that, when training such a huge size ensemble method, it is efficient and necessary to share the parameter and reuse the weight between the different base-learner functions like RCNet base-learner functions. Furthermore, the result also

在Sydney data set中 提出的 GBRCN 依旧表现 很好

TABLE X

OVERALL ACCURACY FOR THE DIFFERENT METHODS WITH THE SYDNEY DATA SET

Method	SPMK	SSC	SSAE	RCNet-B-SYD
Accuracy	89.67%	91.33%	92.20%	98.78%

shows that the GBM framework and RCNet base-learner functions contribute to the performance improvement equally.

Finally, we compared the final classification accuracies for RCNet-B-SYD and the traditional methods. Table X shows the average overall accuracies for the four methods. The results confirm that using the GBRCN framework is an efficient way to increase the classification accuracy.

#### V. CONCLUSION

In this paper, we have proposed a GBRCN framework for scene classification, which combines many single deep neural networks, and we have developed a novel modified version of the CNet called the RCNet, which is suitable for the GBM framework. The proposed framework gave a state-of-the-art performance with two challenging high-resolution data sets. The experiments showed the following: 1) the RCNet is a useful and accurate base-learner function to construct the neural network ensemble and 2) the novel GBRCN framework can generate more accurate results than the traditional methods. Future work may focus on application of GBRCN on hyperspectral applications [33].

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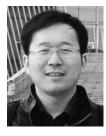
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