Tropical Forests and Climate Policy

New science underscores the value of a climate policy initiative to reduce emissions from tropical deforestation.

Raymond E. Gullison,¹ Peter C. Frumhoff,^{2*} Josep G. Canadell,³ Christopher B. Field,⁴ Daniel C. Nepstad,⁵ Katharine Hayhoe,⁶ Roni Avissar,⁷ Lisa M. Curran,⁸ Pierre Friedlingstein,⁹ Chris D. Jones,¹⁰ Carlos Nobre¹¹

¬ropical deforestation released ~1.5 billion metric tons of carbon (GtC) to the atmosphere annually throughout the 1990s, accounting for almost 20% of anthropogenic greenhouse gas emissions (1). Without implementation of effective policies and measures to slow deforestation, clearing of tropical forests will likely release an additional 87 to 130 GtC by 2100 (2), corresponding to the carbon release of more than a decade of global fossil fuel combustion at current rates. Drought-induced tree mortality, logging, and fire may double these emissions (3), and loss of carbon uptake (i.e., sink capacity) as forest area decreases may further amplify atmospheric CO₂ levels (4).

A combination of sovereignty and methodological concerns led climate policy-makers to exclude "avoided deforestation" projects from the 2008–12 first commitment period of the Kyoto Protocol's Clean Development Mechanism (CDM) (5). The United Nations Framework Convention on Climate Change (UNFCCC) recently launched a 2-year initiative (6) to assess technical and scientific issues and new "policy approaches and positive incentives" for Reducing Emissions from Deforestation (RED) in developing countries. This process was initiated at the request of several forest-rich developing nations, an indication of willingness to explore approaches to reduce deforestation that do not intrude upon national sovereignty. Recent technical progress in estimating and monitoring carbon emissions

¹Biodiversity Research Centre, University of British Columbia, Vancouver, BC, Canada, V6T 1Z4. ²Union of Concerned Scientists, Cambridge, MA 02238-9105, USA. 3Global Carbon Project, Commonwealth Scientific and Industrial Research Organization (CSIRO) Marine and Atmospheric Research, Canberra, ACT 2601, Australia, ⁴Department of Global Ecology, Carnegie Institution, Stanford, CA 94305, USA. 5Woods Hole Research Center, Woods Hole, MA 02543, USA. 6Department of Geosciences, Texas Tech University, Lubbock, TX 79409-1053, USA. 7Department of Civil and Environmental Engineering, Duke University, Durham, NC 27708-0287, USA. 8Tropical Resources Institute, Yale School of Forestry and Environmental Studies, New Haven, CT 06511, USA. 9Institut Pierre Simon La Place and Laboratory of the Science of Climate and Environment (IPSL/LSCE), Unité mixte de recherche 1572, Commissariat à l'Energie Atomique (CEA)-CNRS, 91191 Gif sur Yvette, France. 10 Met Office Hadley Centre for Climate Prediction and Research, Exeter, Devon EX1 3PB UK. 11Centro de Previsão de Tempo e Estudos Climáticos (CPTEC), Cachoeira Paulista, SP, Brazil.

from deforestation (7) and diverse climate policy and financing proposals to help developing countries reduce their deforestation emissions (8) are currently being reviewed by the UNFCCC Subsidiary Body on Scientific and Technical Advice.

Whether a successful RED policy process can make an important contribution to global efforts to avoid dangerous climate change depends on two issues. First, are the potential carbon savings from slowing tropical deforestation sufficient to contribute substantially to overall emissions reductions? Second, is it likely that tropical forests (and the forest carbon) protected from defor-

estation will persist over coming decades and centuries in the face of some unavoidable climate change? The available evidence indicates that the answer to both questions is yes, especially in a future with aggressive efforts to limit atmospheric CO₂.

Potential savings for a range of deforestation levels are shown in the figure (above). Reducing deforestation rates 50% by 2050 and then maintaining them at this level until 2100 would avoid the direct release of up to 50 GtC this century (equivalent to nearly 6 years of recent annual fossil fuel emissions, and up to 12% of the total reductions that must be achieved from all sources through 2100 to be consistent with stabilizing atmospheric concentrations of CO₂ at 450 ppm (1) (figs. S1 to S5). Emissions reductions from reduced deforestation may be among the least-expensive mitigation options available (9). The IPCC estimates that reductions equal to or greater than the scale suggested here could be achieved at \leq U.S.\$20 per ton CO₂ (1, 10).

Reducing deforestation not only avoids the release of the carbon stored in the conserved forests, but by reducing atmospheric carbon, it also helps to reduce the impacts of climate change on remaining forests. The experience of the 1997–98 El Niño Southern Oscillation Event (ENSO) demonstrates how climate change can interact with land-use change to put large areas of tropical forests and their carbon at risk. The extended dry conditions triggered by the ENSO across much of the



Estimated cumulative reductions in carbon emissions achievable by 2100 through reducing tropical deforestation. Calculations assume (i), deforestation rates observed in the 1990s decline linearly from 2010–50 by either 20 or 50%, and (ii) that deforestation stops altogether when either 15 or 50% of the area remains in each country that was originally forested in 2000 (1).

Amazon and Southeast Asia increased tree mortality and forest flammability, particularly in logged or fragmented forests. Globally, increased forest fires during the 1997–98 ENSO released an extra 2.1 ± 0.8 GtC to the atmosphere (11).

Even in non-ENSO years, global warming may be putting tropical forest regions at risk of more frequent and severe droughts. Over the last 5 years, a number of Amazon Basin and Southeast Asian droughts have been uncoupled from ENSO events but have coincided with some of the warmest global average temperatures on record.

In recent decades, carbon losses from tropical deforestation have been partly or largely offset by a tropical sink (12). Forest sinks are, however, unlikely to continue indefinitely, and continued warming will likely diminish and potentially even override any fertilization effects of increasing CO2. Climate change might also adversely impact tropical forests by reducing precipitation and evapotranspiration, making them drier, more susceptible to fires, and more prone to replacement by shrublands, grasslands, or savanna ecosystems (13), which store much less carbon. In the Amazon Basin, continued deforestation may disrupt forest water cycling, amplifying the negative impacts of climate change (1).

A new generation of coupled climatecarbon models is being used to explore the prospects for the persistence of tropical forests in a changing climate. A widely discussed early

^{*}Author for correspondence. E-mail: pfrumhoff@ucsusa.org

study projected that business-as-usual increases in CO₂ and temperature could lead to dramatic dieback and carbon release from Amazon forests (14), raising concerns that high sensitivity of tropical forests to climate change might compromise the long-term value of reduced deforestation, with dieback releasing much of the carbon originally conserved. However, of 11 coupled climate-carbon cycle models using the IPCC's mid-to-high range A2 emissions scenario, 10 project that tropical forests continue to act as carbon sinks, albeit declining sinks, throughout the century (fig. S6). The moderate sensitivity indicated by the new results suggests that reducing deforestation can result in longterm carbon storage, even with substantial climate change. Aggressive efforts to reduce industrial and deforestation emissions would likely further reduce the rate of decline and risk of reversal of the tropical sink (1) (fig. S6).

While no single climate policy approach is likely to address the diverse national circumstances faced by forest-rich developing countries seeking to reduce their emissions, there



Most deforestation for cattle production in Amazonia yields unproductive pasture but releases hundreds of tons of CO₂ per hectare. Compensating landowners to keep their land in forests instead of creating pastures could be done at relatively low carbon prices (16).

are promising examples of countries with adequate resources and political will that have been able to reduce forest clearing (10, 15). In some countries, it may be possible at relatively low cost to reduce emissions from deforestation and forest degradation that provide little or no benefit to local and regional economies. For example, reducing accidental fire and eliminating forest clearing on lands that are inappropriate for agriculture are two promising low-cost options for reducing greenhouse gas emissions in Brazil and Indonesia.

Other measures are unlikely to be implemented at large scales without financial incentives that may be feasible only within the framework of comprehensive environmental

service payments, such as through carbonmarket financing (16, 17). In forests slated for timber production, for example, moderate carbon prices could support widespread adoption of sustainable forestry practices that both directly reduce emissions and reduce the vulnerability of logged forests to further emissions from fire and drought exacerbated by global warming. On forested lands threatened by agricultural expansion, financing could provide significant incentives for forest retention and enable, for example, more effective implementation of land-use regulations on private property and protected area networks (18).

Parties to the UNFCCC should consider adopting a range of options, from capacity building supported by traditional development assistance to carbon-market financing to help developing countries meet voluntary national commitments for reductions in forest-sector emissions below historic baselines (7). Voluntary commitments, which were put forward by several tropical forest nations (19), would substantially address a concern associated with

the project-based approach of the CDM that emissions reductions from a site-specific project might simply be offset by increased deforestation elsewhere (10).

Key requirements for effective carbon-market approaches to reduce tropical deforestation include strengthened technical and institutional capacity in many developing countries, agreement on a robust system for measuring and monitoring emissions reductions, and commitments to deeper reductions by industrialized countries to create demand for RED carbon credits and to ensure that these reductions are not simply traded off against less emission reductions from fossil fuels.

Beyond protecting the climate, reducing tropical deforestation has the potential to eliminate many negative impacts that may compromise the ability of tropical countries to develop sustainably, including reduction in rainfall, loss of biodiversity, degraded human health from biomass burning pollution, and the unintentional loss of productive forests (16). Providing economic incentives for the maintenance of forest cover can help tropical countries avoid these negative impacts and meet development goals, while also complementing aggressive efforts to reduce fossil fuel emissions. Industrialized and developing countries urgently need to support the RED policy process and develop effective and equitable compensation schemes to help

tropical countries protect their forests, reducing the risk of dangerous climate change and protecting the many other goods and services that these forests contribute to sustainable development.

References and Notes

- 1. Further information can be found in supporting material on *Science* Online.
- R. A. Houghton, in *Tropical Deforestation and Climate Change*, P. Moutinho, S. Schwartzman, Eds. [Amazon Institute for Environmental Research (IPAM), Belém, Brazil, and Environmental Defense, Washington, DC, 2005], pp. 13–21.
- 3. D. A. Nepstad et al., Nature 398, 505 (1999).
- 4. V. Gitz, P. Ciais, Clim. Change 67, 161 (2004).
- 5. W. F. Laurance, Biotropica 39, 20 (2007).
- U.N. Framework Convention on Climate Change (UNFCCC), "Reducing emissions from deforestation in developing countries: Approaches to stimulate action," Conference of the Parties, Montreal, 28 November to 9 December 2005 (FCCC/CP/2005/L.2, UNFCCC, Bonn, Germany, 2005); http://unfccc.int/resource/docs/2005/cop11/eng/l02.pdf.
- UNFCCC, Background paper for the Workshop on Reducing Emissions from Deforestation in Developing Countries, "Part 1: Scientific, socio-economic, technical, and methodological issues related to deforestation in developing countries," 30 August to 1 September, Rome, Italy. [Working paper No. 1(a), UNFCCC, Bonn, Germany, 2006].
- UNFCCC, Report on the Second Workshop on Reducing Emissions from Deforestation in Developing Countries, Cairns, Australia, 7 to 9 March 2007 (FCCC/SBSTA/2007/3, UNFCCC, Bonn, Germany, 2007); http://unfccc.int/resource/docs/2007/sbsta/eng/03.pdf.
- N. Stern, The Economics of Climate Change: the Stern Review (Cambridge Univ. Press, Cambridge, 2006); www.hm-treasury.gov.uk/independent_reviews/stern_review_economics_climate_change/stern_review_report.cfm.
- G. J. Nabuurs et al., in Climate Change 2007: Mitigation of Climate Change (Contribution of Working Group III to the Intergovernmental Panel on Climate Change Fourth Assessment Report, Cambridge Univ. Press, Cambridge, in press), chap. 9.
- 11. G. R. van der Werf et al., Science 303, 73 (2004).
- C. L. Sabine et al., in The Global Carbon Cycle: Integrating Humans, Climate, and the Natural World,
 C. B. Field, M. R. Raupach, Eds. (Island Press, Washington DC, 2004), pp. 17–44.
- M. Scholze, W. Knorr, N. W. Arnell, I. C. Prentice, *Proc. Natl. Acad. Sci. U.S.A.* 103, 13116 (2006).
- 14. P. M. Cox et al., Nature 408, 184 (2000).
- 15. P. E. Kauppi *et al., Proc. Natl. Acad. Sci. U.S.A.* **103**, 17474 (2006).
- K. Chomitz et al., At Loggerheads? Agricultural Expansion, Poverty Reduction, and Environment in Tropical Forests (World Bank, Washington, DC, 2006).
- P. Moutinho, S. Schwartzman, Eds., Tropical Deforestation and Climate Change (IPAM, Belém, Brazil, and Environmental Defense, Washington, DC, 2005).
- 18. B. D. Soares-Filho et al., Nature 440, 520 (2006).
- UNFCCC, Submissions for the Subsidiary Body on Scientific and Technical Advice meeting, Bonn, Germany, 7 to 18 May 2007 (FCCC/SBSTA/2007/MISC.2, UNFCCC, Bonn, Germany, 2007); http://unfccc.int/resource/docs/ 2007/sbsta/eng/misc02.pdf.
- We thank S. Brown, S. Schwartzman, B. Schlamadinger, and T. Johns for comments. C.D.J. was supported by the U.K. Department for Environment, Food and Rural Affairs Climate Prediction Program, under contract PECD 7/12/37. This paper contributes to the Global Carbon Project of the Earth System Science Partnership.

Supporting Online Material

www.sciencemag.org/cgi/content/full/1136163/DC1 Published online 10 May 2007; 10.1126/science.1136163; include this information when citing this paper.