Lazy evaluation

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Introduction

Natural semantics of lazy evaluation

Extensions to natural semantics

Deriving an abstract machine

STG



Motivations

- to understand lazy evaluation
 - to understand purely functional language implementations (e.g. STG behind GHC)
- ▶ to be able to implement lazy evaluation efficiently

Lazy evaluation

Lazy evaluation is an $\it evaluation\ strategy$ for $\lambda\mbox{-expressions}.$

Key feature:

- ▶ no work duplication
 - Caveat: work may be duplicated within λ -abstractions



λ -calculus with mutually recursive let bindings

- $\rightarrow \lambda x \mapsto x$

Variable representation

Possibilities:

- 1. $\mathbf{v} \in \mathcal{V}$, an infinite set of symbols
 - renaming of variables is needed to avoid name conflicts
- each variable is the same and we count shadowings (called De-Bruijn indices)
 - recalculation of shadowings is needed in operations

Variable representation is an orthogonal choice which we postpone.

More extensions to λ -calculus

- ▶ 1 + 2 * 3

Evaluation judgement

$$e \Downarrow e'$$

- **▶** 1 ↓ 1
- ▶ $1 + 2 * 3 \Downarrow 7$
- $\lambda x \mapsto x \ \downarrow \ \lambda x \mapsto x$
- $\lambda x \mapsto 1+1 \Downarrow \lambda x \mapsto 1+1$
- $(\lambda x \mapsto x) (\lambda y \mapsto y) \Downarrow \lambda y \mapsto y$
- $| \operatorname{let} \mathbf{x} = 1 + 1 \operatorname{in} \mathbf{z} + \mathbf{x} | | \operatorname{let} \mathbf{x} = 1 + 1 \operatorname{in} \mathbf{z} + \mathbf{x}$

Heap

Let us call *heap* the top-level let bindings of expressions:

let
$$\underbrace{\mathbf{x} = 1; \mathbf{y} = 2}_{\text{heap}}$$
 in $\underbrace{\mathbf{x} + \mathbf{y}}_{\text{main expression}}$

We ensure that each expression has a heap:

$$e \rightsquigarrow \operatorname{let} main = e \operatorname{in} main$$

or

$$e \rightsquigarrow \operatorname{let} \varepsilon \operatorname{in} e$$

LAM-rule

 λ -abstractions and literals are ready to use, nothing to do with them:

$$\frac{}{\operatorname{\mathsf{Iet}}\,\Gamma\operatorname{\mathsf{in}}\,n\,\,\Downarrow\,\,\operatorname{\mathsf{Iet}}\,\Gamma\operatorname{\mathsf{in}}\,n}\,\,^{\operatorname{LAM}}$$

n stands for a λ -abstraction or a literal.

LET-rule

Let bidings are added to the heap:

$$\frac{ \mathsf{let}\, \Gamma; \Delta \, \mathsf{in}\, e \ \Downarrow \ \mathsf{let}\, \Theta \, \mathsf{in}\, e'}{ \mathsf{let}\, \Gamma \, \mathsf{in}\, (\mathsf{let}\, \Delta \, \mathsf{in}\, e) \ \Downarrow \ \mathsf{let}\, \Theta \, \mathsf{in}\, e'} \ \mathrm{LET}$$

$$\frac{\overline{|\det x = 1 + 1; y = 1 * 1 \ln x + y} \; \Downarrow \; \det x = 2; y = 1 \ln 3}{\det x = 1 + 1 \ln (|\det y = 1 * 1 \ln x + y)} \; \Downarrow \; \det x = 2; y = 1 \ln 3}$$
 Let

VAR-rule

Lookup the variable on the heap and evaluate it:

$$\frac{ \det \Gamma \operatorname{in} e \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} n }{ \operatorname{let} \Gamma; \ \textit{\textbf{v}} = e \operatorname{in} \textit{\textbf{\textbf{v}}} \ \Downarrow \ \operatorname{let} \Theta; \ \textit{\textbf{\textbf{v}}} = n \operatorname{in} n }$$
 VAR

$$\frac{\frac{\cdots}{|\operatorname{let}\varepsilon\operatorname{in} 1 + 1 \ \Downarrow \ \operatorname{let}\varepsilon\operatorname{in} 2}}{\operatorname{let} \mathbf{x} = 1 + 1\operatorname{in} \mathbf{x} \ \Downarrow \ \operatorname{let} \mathbf{x} = 2\operatorname{in} 2} \ \operatorname{Var}$$

APP-rule

First evaluate the function, then the argument:

$$\frac{ \operatorname{let} \Gamma \operatorname{in} e \ \Downarrow \ \operatorname{let} \Delta \operatorname{in} \lambda \mathbf{v} \mapsto e'' \qquad \operatorname{let} \Delta; \mathbf{v} = e' \operatorname{in} e'' \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'''}{ \operatorname{let} \Gamma \operatorname{in} e \ e' \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'''} \ \operatorname{App}$$

Natural semantics of lazy evaluation (summary)

$$\frac{|\det \Gamma \text{ in } n \ \ \, | \, \det \Gamma \text{ in } n}{|\det \Gamma \text{ in } n \ \, | \, \det \Theta \text{ in } e'} \underbrace{|\det \Gamma \text{ in } (|\det \Delta \text{ in } e) \ \, \, | \, \det \Theta \text{ in } e'}_{|\det \Gamma \text{ in } (|\det \Delta \text{ in } e) \ \, | \, \det \Theta \text{ in } n} \underbrace{|\det \Gamma \text{ in } e \ \, | \, \det \Theta \text{ in } n}_{|\det \Gamma; \ \pmb{v} \ \, | \, \det \Theta; \ \, \pmb{v} \ \, | \, \ln n} \underbrace{|\det \Gamma \text{ in } e \ \, | \, \det \Theta; \ \, \pmb{v} \ \, | \, \ln n}_{|\Delta PP}$$

let Γ in e e' ↓ let Θ in e'''



Addition & multiplication

$$\frac{ \operatorname{let} \Gamma \operatorname{in} e \ \downarrow \ \operatorname{let} \Delta \operatorname{in} \ell \quad \operatorname{let} \Delta \operatorname{in} e' \ \downarrow \ \operatorname{let} \Theta \operatorname{in} \ell' }{ \operatorname{let} \Gamma \operatorname{in} e + e' \ \downarrow \ \operatorname{let} \Theta \operatorname{in} \ell'' } \ \operatorname{Add}$$

$$\frac{ \operatorname{let} \Gamma \operatorname{in} e \ \Downarrow \ \operatorname{let} \Delta \operatorname{in} \ell \qquad \operatorname{let} \Delta \operatorname{in} e' \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} \ell' \qquad \ell * \ell' = \ell''}{ \operatorname{let} \Gamma \operatorname{in} e * e' \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} \ell''} \ \operatorname{Mul}$$

Garbage collection

GC removes unused heap bindings:

$$let \mathbf{x} = 1 in 2 \quad \stackrel{\mathsf{GC}}{\leadsto} \quad let \, \varepsilon \, in \, 2$$

GC can be integrated with evaluation:

$$\mathsf{let}\, \mathbf{x} = 1 + 2\,\mathsf{in}\, \mathbf{x} * \mathbf{x} \; \Downarrow_{\mathsf{GC}} \; \mathsf{let}\, \varepsilon\,\mathsf{in}\, 9$$

Intermediate GCs do not affect the result.

Cycle detection

$$\mathcal{E} \ni e = \dots$$
 $| \perp - \text{error}$

The Var_{\perp} -rule can handle cyclic evaluations:

$$\frac{\operatorname{let} \Gamma; \, \textbf{\textit{v}} = \bot \operatorname{in} \, e \; \Downarrow \; \operatorname{let} \, \Theta; \, \textbf{\textit{v}} = \bot \operatorname{in} \, n}{\operatorname{let} \, \Gamma; \, \textbf{\textit{v}} = e \operatorname{in} \, \textbf{\textit{v}} \; \Downarrow \; \operatorname{let} \, \Theta; \, \textbf{\textit{v}} = n \operatorname{in} \, n} \; \operatorname{Var}_{\bot}$$

 \emph{n} stands for a λ -abstraction, a literal or an error. Example:

$$\frac{\det \mathbf{x} = \perp \operatorname{in} \perp \ \Downarrow \ \operatorname{let} \mathbf{x} = \perp \operatorname{in} \perp}{\operatorname{let} \mathbf{x} = \perp \operatorname{in} \mathbf{x} \ \Downarrow \ \operatorname{let} \mathbf{x} = \perp \operatorname{in} \perp} \underbrace{\operatorname{Var}_{\perp}}{\operatorname{let} \mathbf{x} = \mathbf{x} \operatorname{in} \mathbf{x} \ \Downarrow \ \operatorname{let} \mathbf{x} = \perp \operatorname{in} \perp} \underbrace{\operatorname{Var}_{\perp}}$$

Open expressions

Open expressions can be handled by adding more rules:

$$\frac{\mathbf{v} \notin \operatorname{dom} \Gamma}{\operatorname{let} \Gamma \operatorname{in} \mathbf{v} \ \ \, \operatorname{let} \Gamma \operatorname{in} \mathbf{v}} \operatorname{Var-open}$$

$$\frac{\operatorname{let} \Gamma; \mathbf{v} = \bot \operatorname{in} e \ \ \, \operatorname{let} \Theta; \mathbf{v} = \bot \operatorname{in} h}{\operatorname{let} \Gamma; \mathbf{v} = e \operatorname{in} \mathbf{v} \ \ \, \operatorname{let} \Theta; \mathbf{v} = h \operatorname{in} \mathbf{v}} \operatorname{Var-H}$$

$$\frac{\operatorname{let} \Gamma \operatorname{in} e \ \ \, \operatorname{let} \Theta \operatorname{in} h}{\operatorname{let} \Gamma \operatorname{in} e \ \ \, e' \ \ \, \operatorname{let} \Theta \operatorname{in} h \ \ \, e'} \operatorname{App-H}$$

h stands for an expression opposite of n, i.e. not λ -abstraction, literal or error.

Remark about work duplication

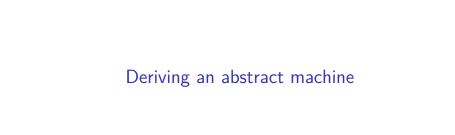
Only *n*-expressions are copied ever.

Copying a literal may not duplicate work.

Copying a λ -abstraction may duplicate work. For example, there will be two additions instead of one:

let
$$\mathbf{f} = \lambda \mathbf{x} \mapsto 1 + 2 \operatorname{in} \mathbf{f} \ 0 * \mathbf{f} \ 0 \ \downarrow \ 9$$

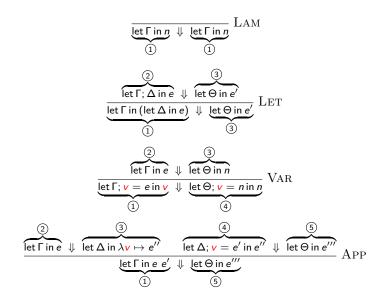
Optimal reduction or full laziness optimization can help in this.



Constructing derivation trees

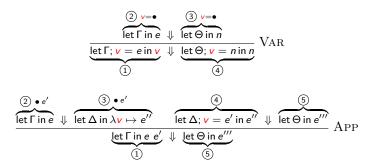
For each expression, a derivation tree for \Downarrow can be made mechanically.

Steps of construction



Machine state

The current expression is not enough to continue the construction. The missing information in the rules:



We need a stack to store the missing information!

Expressions with stack

e; S

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\frac{\operatorname{let}(\Gamma; \Delta) \operatorname{in} e; S \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'; S}{\operatorname{let} \Gamma \operatorname{in} (\operatorname{let} \Delta \operatorname{in} e); S \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'; S} \operatorname{LET}
\frac{\operatorname{let}(\Gamma; \mathbf{in} (\operatorname{let} \Delta \operatorname{in} e); S \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'; S}{\operatorname{let}(\Gamma; \mathbf{in} e; \mathbf{v} = \bullet, S \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} n; \mathbf{v} = \bullet, S} \operatorname{VAR}
\frac{\operatorname{let}(\Gamma; \mathbf{v} = e) \operatorname{in} \mathbf{v}; S \ \Downarrow \ \operatorname{let}(\Theta; \mathbf{v} = n) \operatorname{in} n; S}{\operatorname{let}(\Gamma; \mathbf{v} = e) \operatorname{in} \lambda \mathbf{v} \mapsto e''; \bullet e', S \ \operatorname{let} \Delta; \mathbf{v} = e' \operatorname{in} e''; S \ \Downarrow \ \operatorname{let} \Theta \operatorname{in} e'''; S} \operatorname{App}
\frac{\operatorname{let}(\Gamma; \mathbf{v} = e'; S \ \Downarrow \ \operatorname{let} \Theta; \mathbf{v} = e' \operatorname{in} e''; S \ \Downarrow \ \operatorname{let} \Theta; \mathbf{v} = e' \operatorname{in} e'''; S}{\operatorname{let}(\Gamma; \mathbf{v} = e'; S \ \Downarrow \ \operatorname{let} \Theta; \mathbf{v} = e' \operatorname{in} e'''; S \ \Downarrow \ \operatorname{let} \Theta; \mathbf{v} = e' \operatorname{in} e'''; S} \operatorname{App}
```

The abstract machine

Machine state

let
$$\underbrace{\Gamma}_{\text{heap}}$$
 in $\underbrace{e}_{\text{target expression stack}}$; $\underbrace{S}_{\text{target expression stack}}$

Machine rules

$$\begin{array}{lll} & \operatorname{let} \Gamma \operatorname{in} \left(\operatorname{let} \Delta \operatorname{in} e \right); \; S & \rightsquigarrow \operatorname{let} \left(\Gamma; \Delta \right) \operatorname{in} e; \; S & \operatorname{LET} \\ & \operatorname{let} \left(\Gamma; v = e \right) \operatorname{in} v; \; S & \rightsquigarrow \operatorname{let} \Gamma \operatorname{in} e; \; v = \bullet, S & \operatorname{VAR}_1 \\ & \operatorname{let} \Gamma \operatorname{in} n; \; v = \bullet, S & \rightsquigarrow \operatorname{let} \left(\Gamma; v = n \right) \operatorname{in} n; \; S & \operatorname{VAR}_2 \\ & \operatorname{let} \Gamma \operatorname{in} e \; e'; \; S & \rightsquigarrow \operatorname{let} \Gamma \operatorname{in} e; \; \bullet \; e', S & \operatorname{APP}_1 \\ & \operatorname{let} \Gamma \operatorname{in} \lambda v \mapsto e''; \; \bullet \; e', S & \rightsquigarrow \operatorname{let} \left(\Gamma; v = e' \right) \operatorname{in} e''; \; S & \operatorname{APP}_2 \end{array}$$

Machine rules handling addition

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\begin{array}{lll} \operatorname{let}\Gamma\operatorname{in}e+e'; & S & \longrightarrow \operatorname{let}\Gamma\operatorname{in}e; & \bullet+e', S & \operatorname{Add}_1\\ \operatorname{let}\Gamma\operatorname{in}\ell; & \bullet+e, S & \longrightarrow \operatorname{let}\Gamma\operatorname{in}e; & \ell+\bullet, S & \operatorname{Add}_2\\ \operatorname{let}\Gamma\operatorname{in}\ell'; & \ell+\bullet, S & \ell+\ell'=\ell'' & \longrightarrow \operatorname{let}\Gamma\operatorname{in}\ell''; & S & \operatorname{Add}_3 \end{array}
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$let \mathbf{x} = 1 + 2 in \mathbf{x} * \mathbf{x}; \varepsilon$		$Mul_1 \rightsquigarrow$
$let x = 1 + 2 in x; \bullet * x$		$Var_1 \rightsquigarrow$
let ε in $1+2$; $\mathbf{x} = \bullet, \bullet * \mathbf{x}$		$\mathrm{Add}_1 \rightsquigarrow$
let ε in 1; $\bullet + 2, \mathbf{x} = \bullet, \bullet * \mathbf{x}$		$\mathrm{Add}_2 \rightsquigarrow$
let ε in 2; $1 + \bullet, \mathbf{x} = \bullet, \bullet * \mathbf{x}$	1+2=3	$\mathrm{Add}_3 \rightsquigarrow$
let ε in 3; $\mathbf{x} = \bullet, \bullet * \mathbf{x}$		$\mathrm{Var}_2 \rightsquigarrow$
$let x = 3 in 3; \bullet * x$		$\mathrm{Mul}_2 \leadsto$
$let x = 3 in x; 3 * \bullet$		$\mathrm{Var}_1 \rightsquigarrow$
let ε in 3; $\mathbf{x} = \mathbf{\bullet}, \mathbf{\bullet} * \mathbf{x}$		$\mathrm{Var}_2 \rightsquigarrow$
$let x = 3 in 3; 3 * \bullet$	3*3=9	$\mathrm{Mul}_3 \rightsquigarrow$
$let x = 3in9; \varepsilon$		

Reference

Peter Sestoft: *Deriving a Lazy Abstract Machine* Journal of Functional Programming, 1997.



STG overview

The Spineless Taggless G-machine is an abstract machine for lazy evaluation.

GHC compiler's code generation is based on STG.

Simon Peyton Jones: *Implementing Lazy Functional Languages on Stock Hardware: The Spineless Tagless G-machine*Journal of Functional Programming, 1992.

STG vs. the previous machine

STG has the following differences:

- update flag
- application on atoms only
- ightharpoonup multi-arg application and λ -abstraction
- top-level λ -abstractions only

Update flag (optimization idea)

We infer by static analysis when no update on the heap is needed after evaluating a heap-expression.

VAR-rule splits into two:

$$\frac{ |\det \Gamma \text{ in } e \parallel \det \Theta \text{ in } n}{ |\det \Gamma; \mathbf{v}_{\mathsf{u}} = e \text{ in } \mathbf{v} \parallel |\det \Theta; \mathbf{v} = n \text{ in } n} \text{ Var-update}$$

$$\frac{ |\det \Gamma \text{ in } e \parallel |\det \Theta \text{ in } n}{ |\det \Gamma; \mathbf{v}_{\mathsf{nu}} = e \text{ in } \mathbf{v} \parallel |\det \Theta \text{ in } n} \text{ Var-no-update}$$

Application on atoms only

Different APP-rule:

$$\frac{\operatorname{let}\Gamma\operatorname{in} e\ \Downarrow\ \operatorname{let}\Delta\operatorname{in}\lambda{\color{red}v}\mapsto e'\qquad \operatorname{let}\Delta\operatorname{in} e'[{\color{red}v}\mapsto a]\ \Downarrow\ \operatorname{let}\Theta\operatorname{in} e''}{\operatorname{let}\Gamma\operatorname{in} e\ a\ \Downarrow\ \operatorname{let}\Theta\operatorname{in} e''}\ \operatorname{App},$$

• $e'[v \mapsto a]$ is implemented by *closures* to avoid substitution

Multi-arg application and λ -abstraction

More efficient, but needs more care (push/enter or eval/apply)

Top-level λ -abstractions only

 $\lambda\text{-abstractions}$ can not be inside STG expressions for efficiency and simplicity.

This is achieved by the λ -lifting transformation.

Missing from this presentation

Practical extensions to λ -calculus:

- more literals
- more builtin functions
 - foreign function interface
- constructors
 - algebraic data types
- case expression
 - pattern matching
- type annotation
 - typing

Questions