Augmented Lagrangian DIC (AL-DIC) Code Manual (v3)

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Contents

Preface	2
Section 0. Introduction	3
Section 1. MATLAB mex set up	4
1.1. Test MATLAB mex setup	4
1.2. Install mex C/C++ compilers	4
Section 2. Load DIC images and set up DIC parameters	6
2.1. Load DIC images	
2.2. Define region of interest (ROI)	9
2.3. Set up DIC parameters	10
2.4. Extensions: Additional parameters setup when dealing with image sequence	11
Section 3. DIC Initial guess based on Fourier transform (FFT) cross correlation	13
Section 4. ALDIC first local step: IC-GN iterations	15
Section 5. ALDIC first global step	17
Section 6. ALDIC ADMM iterations	18
Section 7. Check convergence	19
Section 8. Compute strain and output figures	19
8.1. Smooth displacement field if needed	19
8.2. Compute the strain field	19
8.3. Plot and save results	22
Acknowledgment	23
References	23

Preface

In this Manual, all the lines needed to input to the MATLAB command window are marked with black background color; all the lines printed on the MATLAB command window are written in red color. Important words and details are highlighted in yellow background color.

If you are dealing with multi-frames in an image sequence, feel free to modify this code, for example, modify lines which need manual input or comment lines which always pop out figures, to save computation time. Most important workspace variables are summarized in Table 3.

For full details, and to use this code, please cite our paper:

Yang, J. and Bhattacharya, K. Exp. Mech. (2019) 59: 187. https://doi.org/10.1007/s11340-018-00457-0.

Any question or good suggestions are welcome, please feel free to contact me at: <u>jyang526@wisc.edu</u>. Thank you for your usage and hope enjoy your DIC post-processings!

Section 0. Introduction

AL-DIC is a fast, parallel-computing hybrid DIC algorithm, which combines advantages of local subset DIC method (fast computation speed, and parallel computing) and finite-element-based global DIC method (guarantee global kinematic compatibility and decrease noise).

For full details, and to use this code, please cite our paper:

Yang, J. and Bhattacharya, K. *Exp. Mech.* (2019) 59: 187. https://doi.org/10.1007/s11340-018-00457-0.

Here I highlight some advantages of AL-DIC algorithm:

- It's a fast algorithm using distributed parallel computing.
- Global kinematic compatibility is added as a global constraint in the form of augmented Lagrangian, and solved using Alternating Direction Method of Multipliers scheme.
- Both displacement fields and affine deformation gradients are correlated at the same time.
- No need of much manual experience about choosing displacement smoothing filters.
- It works well with compressed DIC images and adaptive mesh. See our paper: Yang, J. & Bhattacharya, K. *Exp Mech* (2019). https://doi.org/10.1007/s11340-018-00459-y;
- Both accumulative and incremental DIC modes are implemented to deal with image sequences, which is especially quite useful for very large deformations.

Section 1. MATLAB mex set up

Execute this section and we will try to build "mex" functions from C/C++ source codes for image grayscale value interpolation, where both bi-cubic (by default) and bi-cubic splines interpolations are implemented in this code. For example, I use bi-cubic interpolations where the associated mex set up file is called "ba_interp2.cpp".

1.1. Test MATLAB mex setup

First, we test whether there is already a C/C++ compiler installed on your computer by inputting "mex-setup" on the MATLAB command window. If an available C/C++ compiler is already installed, please skip Section 1.2 and jump to Section 1.3.

1.2. Install mex C/C++ compilers

The step of installing mex C/C++ compilers is a common step for users to run C/C++ codes with MATLAB [6] [7]. For Windows users, you can follow these steps:

- Download: TDM-gcc compiler from: http://tdm-gcc.tdragon.net/
- Install TDM-gcc compiler on your computer. For example, I install it at: 'C:\TDM-GCC-64'. In practice, we find that this TDM-gcc compiler only works if installed on the first level main disks, such as "C:\", "D:\", "E:\", etc.
- Restart MATLAB and Input "setenv('MW_MINGW64_LOC', 'YourTDMGCCPath'); mex setup;" on the MATLAB command window to check whether "mex" is set up successfully or not. Don't forget to replace the above YourTDMGCCPath using your own installation location of TDM-gcc package in the last step.

E.g. Input: setenv('MW_MINGW64_LOC','C:\TDM-GCC-64'); mex -setup; Command window screen should output:

```
MEX configured to use 'MinGW64 Compiler (C)' for C language compilation.

Warning: The MATLAB C and Fortran API has changed to support MATLAB

variables with more than 2^32-1 elements. You will be required

to update your code to utilize the new API.

You can find more information about this at:

https://www.mathworks.com/help/matlab/matlab_external/upgrading-mex-files-to-use-64-bit-api.html.

To choose a different language, select one from the following:

mex -setup C++

mex -setup FORTRAN
```

ForWindows users, please uncomment line "setenv('MW_MINGW64_LOC','C:\TDM-GCC-64')", correct 'C:\TDM-GCC-64' to 'YourTDMGCCPath', and then execute main_ALDIC.m Section 1. Command window screen will print the following words:

Comment: If you see some error messages as below, it's because the mex file is already executed. Please comment line (mex -O ba_interp2.cpp;), and run Section 1 one more time.

Section 2. Load DIC images and set up DIC parameters

This section is to load DIC reference and deformed images and set up DIC parameters. (You need to put your images on the MATLAB path beforehand.) All the DIC parameters will be stored in "DICpara", and all the DIC ROI mesh information will be stored in "DICmesh" after executing Section 3. Here we make a brief summary of both these two data structure in Table 1 and Table 2.

Table 1. Summary of DIC parameters in "DICpara"

MATLAB workspace	DIC parameter	Description
DICpara variable name		
LoadImgMethod	Method to load images	Assign image folder path to load all the included
		images or load images manually.
gridxyROIRange	Define DIC region of interest	Define ROI by clicking the top-left and right-
	(ROI)	bottom corner points.
winsize	Assign subset size	DIC local subset size in ALDIC Subproblem 1.
winstepsize	Assign subset step	The step between neighboring local subsets, or the
		finite element size in ALDIC Subproblem 2.
Subpb2FDOrFEM	Method to solve ALDIC	Finite difference and finite element methods are
	Subproblem 2	implemented in this code.
ClusterNo	Set up parallel pool	ALDIC Subproblem 1 can be sped up by applying
		parallel computing.
NewFFTSearch	Method to update initial guess	In solving an image sequence, we can directly apply
	(for image sequence)	the result of last frame as the initial guess for the
		next frame.
ImgSeqIncUnit	Choose accumulative or	Accumulative DIC is always to compare with the
	incremental DIC mode	first reference frame; while the incremental DIC is
		updating the reference frame after a certain number
		(≥1) of frames.
ImgSeqIncROIUpdate	In incremental mode, ROI can	It's recommended to manual update ROI at the
	be updated at the same time of	same time of updating reference image for
	updating reference image.	measuring large deformations.

Table 2. Summary of DIC parameters in "DICmesh"

MATLAB workspace	DIC parameter	Description
DICmesh variable name		
coordinatesFEM,	Coordinates of nodal points in	Linear Q4 elements are used in the current version
elementsFEM	the finite element mesh and	code. However, it can be extended to other type of
	their connectivity	finite elements with arbitrary shape function.
dirichlet, neumann	FE-mesh nodal points at the	Indices of nodal points at ROI borders are assigned
	boundary	as Dirichlet or Neumann boundary conditions.
x0, y0, M, N	Regular rectangular FE-mesh	Regular rectangular FE-mesh nodal points can be
	nodal grids	represented in grids [x0,y0] with size as [M,N].
coordinatesFEMWorld,	FE-mesh in the world	Coordinates in y-direction are transferred to world
y0World	coordinates	coordinates from intrinsic coordinates.

2.1. Load DIC images

2.1.1. Load DIC images within certain folder

If we select method "0: Select images folder" to load DIC images, you will be asked to select the location of image folder, and all the DIC images in this folder will be loaded. In the accumulative DIC mode, the first frame is assumed to be the fixed reference image, and all the other frames in the image sequence are deformed images whose deformation are calculated based on the Lagrangian description. In the incremental DIC mode, the reference image can be updated once after every a certain number of frames. After loading DIC images, please jump to Section 2.4.

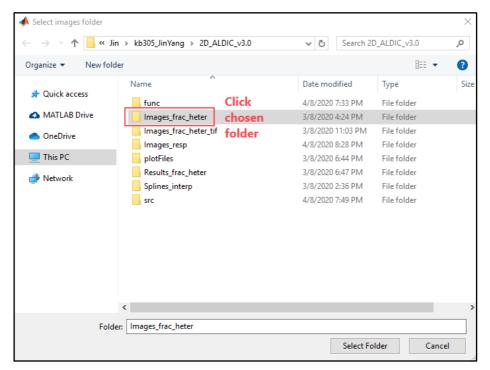


Figure 1. Loading DIC images by selecting the folder which includes all DIC images. For example, after clicking chosen folder "Images_frac_heter", then all the images in this folder will be loaded.

2.1.2. Load DIC images with prefix of image name

If we select method "1" to load DIC images, you will be asked to input prefix text words of DIC image sequence frames (this image folder should be already added to MATLAB path). For example, if all the DIC images are named in the format as: "img_0001.tif", "img_0002.tif", …, "img_0100.tif", you should input "img_0*.tif" on MATLAB command window to load all the DIC images started with prefix "img_0" and in the "tif" format. After loading DIC images, please jump to Section 2.4.

```
What is prefix of DIC images? E.g. img_0*.tif.
Input here:
```

Comment: If you choose this method to load images, all the images should be saved in the "current Folder", or you should enter the image folder as the "current Folder". In practice, Section 2.1 method is preferred than Section 2.2

2.1.3. Load DIC images manually

If we select method "2" to load DIC images, you need to load DIC images frame by frame manually.

```
--- Please load first image ---
--- Please load next image ---
```

And then you can choose your images in the folder.

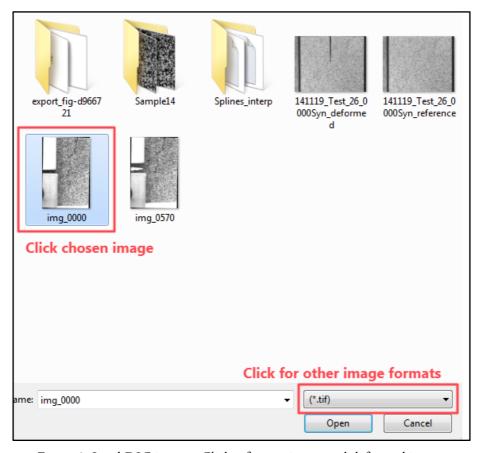


Figure 2. Load DIC images. Click reference image and deformed image.

Comment: I set first image as the reference image and following images as deformed images. and I always manipulate the deformed image transform back to the reference image to calculate the deformation field: which is based on the Lagrangian description.

If you want to describe the deformation in the Eulerian description, you should choose the reference image as the second image, and choose the deformed image as the first image, and manipulate the reference image transform to the deformed image.

```
Do you want to load more deformed images? (0-yes; 1-no)
```

Input "0" if you want to continue uploading images and input "1" if you want to stop uploading images. Current version: Please always input "1".

E.g., We choose first image as "img_0000.tif", and choose second image as "img_0570.tif".

2.2. Define region of interest (ROI)

After loading DIC images, you will be asked to choose ROI left-top and right-bottom two corner points from the image directly. On the screen, it displays:

```
--- Choose ZOI two boundary points from the left-top to the right-bottom ---
```

First click a left-top point and then click a right-bottom point to define your ROI on the DIC image.



Figure 3. Click left-top and right-bottom corner points to define DIC region of interest (ROI).

After clicking both the left-top and right-bottom corner points, the command window screen will display their coordinates in the unit of pixels.

E.g., in the above DIC image, I define a ROI where two corner points are

```
The left-top coordinates are (322.786,74.730)
The right-bottom coordinates are (750.063,1128.439)
```

Comment: If left-top or right-bottom corner points are clicked out of image borders, it will be cropped automatically to the original DIC image size.

Comment: If you don't want to define ROI region from clicking on the images, instead you want to put in some fixed values using code, please go back to main_ALDIC.m and uncomment Line "% gridxROIRange = [gridxROIRange1, gridxROIRange2]; gridyROIRange = [gridyROIRange1, gridyROIRange2];" and modify the values of gridxROIRange and gridyROIRange as you want.

2.3. Set up DIC parameters

Then you are asked to choose local subset size and the subset step. "Subset size" is the edge length of local subset window; while the "subset step" is the distance between two neighboring subsets, and choice of "subset step" can be independent of choice of subset size.

```
--- What is the subset size? ---
Input here:
--- What is the subset step? ---
Input here:
```

Subset size is the window size where we use to obtain initial guess through FFT cross correlation method, or through SSD minimization IC-GN iterations in the ALDIC local step.

Subset step size is also the finite element mesh size in the ALDIC global step.

Practically you can choose subset size the same or a little bit larger than the subset step size. E.g., we both subset size as 20, and subset step is chosen as 10.

We also need to choose the solver method beforehand for ALDIC Subproblem 2 (global step). For this version of code, we can only deal with uniform grid mesh, it almost doesn't matter whether you choose the "Finite difference method" or "Finite element method". Even you choose "Finite difference method", there are still finite element mesh generated (cf "DICmesh" in Matlab workspace) which could help you conduct FEA analysis if you want to combine DIC with other FEA codes/software. My personal experience is that Finite difference method is a little bit more accurate than Finite element method in the ALDIC Subproblem 2 (global step) because of the boundary effects, thus Finite difference method is highly recommended.

```
--- Method to solve ALDIC global step Subproblem 2 ---
1: Finite difference(Recommended)
2: Finite element method
Input here:
```

We need to set up parallel pools or tell MATLAB we don't want to use parallel pools.

```
--- Set up Parallel pool ---
How many parallel pools to open? (Put in 1 if no parallel computing)
Input here:
```

If we don't want to use parallel pools, input "0" or "1". If we want to use parallel computing, put the number of your parallel pools. E.g. Input "4".

MATLAB parallel computing environment can be set in the "Home->Environment->Parallel->Parallel Preferences...". (Reference: https://www.mathworks.com/help/distcomp/parpool.html).

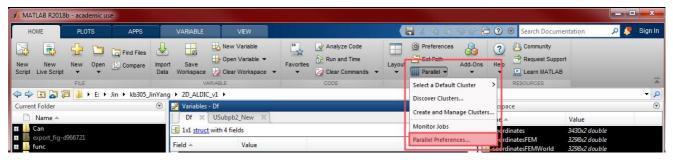


Figure 4. Set MATLAB Parallel Preferences.

2.4. Extensions: Additional parameters setup when dealing with image sequence

If we upload an image sequence with more than two frames, as for each new DIC frame, we can choose to use the displacement results in last frame as the initial guess for the new image frame, or we can just redo FFT initial guess for every new frame. This choice depends on how big relative displacement can be between two consecutive frames. Generally, if the relative displacement field between two consecutive frames is smaller than 5-7 pixels, we can use the deformation result of last frame as the initial guess displacement field for the new frame; otherwise it is suggested that we still need to redo the FFT initial guess process.

```
Since we are dealing with image sequences, for each new DIC frame, do we use last frame result as initial guess or Redo FFT initial guess for every new frame?

0: Use last frame;

1: Redo initial guess.
Input here:
```

E.g., In the example of "Images_frac_heter" image folder, we can use DIC results of last frame as the initial guess for the new frame's deformation field.

When postprocessing image sequence with more than two frames, user could decide to perform either accumulative mode DIC or incremental mode DIC. The accumulative mode is the default setup and all the following frames will be compared with the first frame. However, incremental mode is preferred when dealing with extremely large deformations but may lose some accuracy because of the reference image update. We recommend the user to try accumulative mode first, and if accumulative mode doesn't work very well, then try incremental mode. If you choose to use incremental mode, you will further be inquired to input how often you would like to update the reference image:

```
--- Choose accumulative or incremental mode ---
0: Accumulative(By default);
1: Incremental;
Input here:
```

```
Incremental mode: How many frames to update reference image once?
Input here:
```

E.g., I want to update my reference image once every ten frames, so I input: "10". The minimum number you can input is "1", which means to update reference image every frame.

Every time the reference image is updated, you can choose to update the region of interest (ROI) at the same time or not. To achieve this, user will be asked as follows:

```
Update ROI at the same time of updating reference image?
    0: Do not update ROI;
    1: Manually(Recommended);
    2: Automatically;
Input here:
```

E.g., Input "1" or "2" if you want to update ROI at the same time of updating reference image. Theoretically, this ROI update can be done automatically using the solved deformation of the last frame. However, we find it is most robust to update this ROI manually.

Section 3. DIC Initial guess based on Fourier transform (FFT) cross correlation

We use FFT cross correlation for initial guess.

```
--- Whole field initial guess (0) OR Several seeds (1) initial guess? ---
```

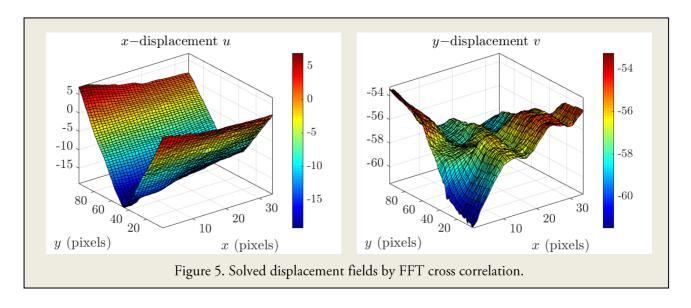
Whole field initial guess (0) is recommended.

```
--- What is your initial guess search zone size? ---
```

You are asked for initial guess search zone size. Usually this value could be larger than the displacement amplitude. For example, we put in "70" in our example. Then the integer initial search starts and it's usually very fast. Once the integer searches are done, on the screen, you will be asked again whether you want to increase or decrease your initial guess search zone size.

```
Are you satisfied with initial guess with current search region? (0-yes; 1-no)
```

Plug in "1" if you want to modify the above parameter "initial guess search zone size" and redo the initial integer guess search. Or you could put in "0" to stop the search process.



Next, due to there may be some bad initial guesses, you can manually eliminate them by setting upper bound and the lower bound of the displacement.

Do you clear bad points by setting upper/lower bounds once more? (0-yes; 1-no)

If you put in " $\mathbf{0}$ ", you will further be asked to set the upper bound and lower bound of the x and y displacements. And then you will be asked until you think the bad points removal is good enough. If you put in " $\mathbf{1}$ ", you will skip this process.

```
% ====== Find bad initial guess points manually by setting bounds ======

What is your upper bound for x-displacement?
What is your lower bound for x-displacement?
What is your upper bound for y-displacement?
What is your lower bound for y-displacement?

Do you clear bad points by setting upper/lower bounds? (0-yes; 1-no)
```

Besides setting upper and lower bounds to remove local bad points, we can continue to remove bad points by pointing them out directly. (In the future, this part would be extended with qDIC codes.)

```
% ====== Find bad initial guess points manually by pointing them =======

'Do you clear bad points by directly pointing x-disp bad points? (0-yes; 1-no)';
'Do you clear bad points by directly pointing y-disp bad points? (0-yes; 1-no)';
```

Directly clicking all the bad points and then click "Enter" key.

If you stop the bad points removal, mesh setting up and assigning initial value will be done automatically.

```
Finish setting up mesh and assigning initial value!
```

Image pixel grayscale gradients will also be computed very fast using finite difference operator and convolution operations.

```
--- Start to compute image gradients ---
--- Computing image gradients done ---
```

Section 4. ALDIC first local step: IC-GN iterations

In this section, we solve ALDIC Subproblem 1 (local step) by ADMM iteration, where distributed parallel computing can be easily implemented.

Execute this section, ALDIC local step using IC-GN algorithm will start and a wait bar will pop out automatically.

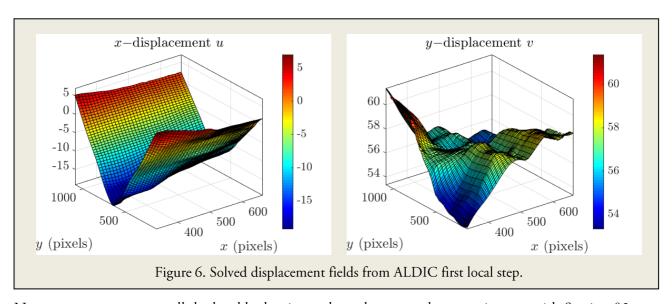
```
--- Set up Parallel pool ---
Starting parallel pool (parpool) using the 'local' profile ...
connected to 4 workers.

Please wait for Subproblem 1 IC-GN iterations!
```

When the solver finishes, a report will print in the command screen and you can further remove some bad points manually.

```
Local ICGN bad subsets %: xxx/xxx=xxxx%
Elapsed time is xxxxxx seconds.

--- Start to manually remove bad points ---
Do you clear bad points by setting upper/lower bounds once more? (0-yes; 1-no)
```



Next, we start to remove all the local bad points, where the removal process is same with Section §3.

```
% ====== Find bad Local IC-GN step points manually by pointing them =======
'Do you clear bad points by directly pointing x-disp bad points? (0-yes; 1-no)';
'Do you clear bad points by directly pointing y-disp bad points? (0-yes; 1-no)';
```

If you put in "0", you will further be asked to set the upper bound and lower bound of the x and y displacements. And then you will be asked until you think the bad points removal is good enough. If you put in "1", you will skip this process.

```
% ====== Find bad guess points manually by setting bounds ======

What is your upper bound for x-displacement?
What is your lower bound for y-displacement?
What is your upper bound for y-displacement?
What is your lower bound for y-displacement?

Do you clear bad points by setting upper/lower bounds? (0-yes; 1-no)
```



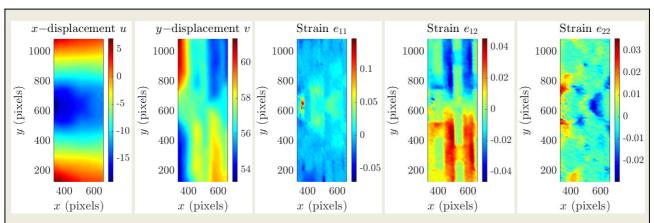


Figure 7. Solved displacement fields and deformation gradient tensors from ALDIC ADMM first local step.

Section 5. ALDIC first global step

After first ALDIC ADMM iteration local step, we run global step in this section. Both finite difference and finite element methods can be applied. For regular grid meshes, both finite difference and finite element method work very well. For arbitrary mesh, finite element method is much easier to apply (Our h-adaptive mesh ALDIC code will come soon. Please contact me if you want h-adaptive finite element method version code: jyang526@wisc.edu).

```
Assemble finite difference operator D
Elapsed time is xxxxxx seconds.
Finish assembling finite difference operator D
***** Start step1 Subproblem2 *****
Elapsed time is xxxxxx seconds.
```

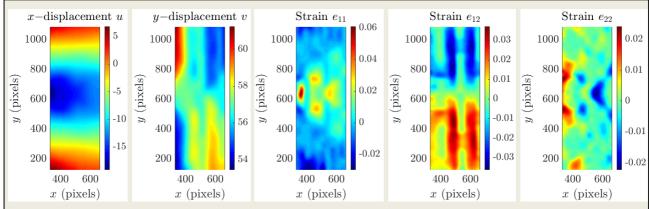


Figure 8. Solved displacement fields and deformation gradient tensors from ALDIC ADMM first global step.

Section 6. ALDIC ADMM iterations

Then we go to overall ALDIC Alternating direction method of multiplers (ADMM) iterations. Run this section, and ADMM iterations will run automatically.

The iteration stop tolerance threshold is set as 1e-4 by default. But this threshold can be decided manually by modifying value of "tol2" in the third line of code Section 6.

```
----- Section 6 Start -
***** Start step2 Subproblem1 *****
Local step bad subsets total # is: 0
Elapsed time is 6.078113 seconds.
***** Start step2 Subproblem2 *****
Elapsed time is 0.007632 seconds.
Update local step = 0.018188
Update global step = 0.028851
***** Start step3 Subproblem1 *****
Local step bad subsets total # is: 0
Elapsed time is 6.036331 seconds.
***** Start step3 Subproblem2 *****
Elapsed time is 0.007165 seconds.
Update local step = 0.0027562
Update global step = 0.025722
***** Start step4 Subproblem1 *****
Local step bad subsets total # is: 0
Elapsed time is 5.937378 seconds.
***** Start step4 Subproblem2 *****
Elapsed time is 0.007198 seconds.
Update local step = 0.0019051
Update global step = 0.0018707
************
```

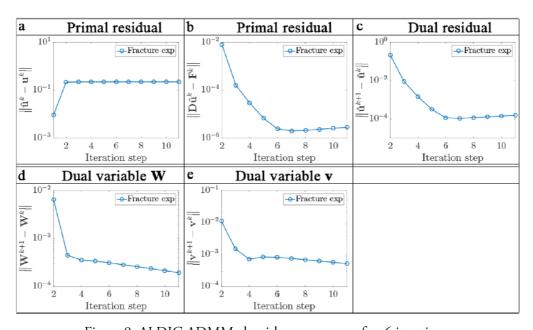


Figure 9. ALDIC ADMM algorithm converges after 6 iterations.

Section 7. Check convergence

This section is to check convergence of ALDIC ADMM iterations and delete some temporary variables in the workspace. This section can be skipped if you don't need it.

Section 8. Compute strain and output figures

8.1. Smooth displacement field if needed

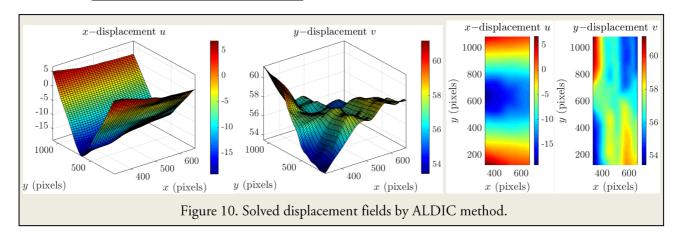
In the Compute-strain section, let's at first smooth the displacement field if needed. In general, if the displacement field is already quite smooth, please don't smooth the displacement field anymore.

```
Do you want to smooth displacement? (0-yes; 1-no)
```

If you put in "0", Here I use the Gaussian smooth filter with standard deviation 0.5,

```
Do you want to smooth displacement once more? (0-yes; 1-no)
```

You can do this smooth process many times until you are satisfied. If you think you should have stronger smoothing effects, please manually change the Gaussian filter parameter "DispFilterSize=0; DispFilterStd=0".



8.2. Compute the strain field

In ALDIC algorithm, deformation gradient is a direct output besides solved displacement field. Besides this direct output, strain field can also be computed from numerically differentiating solved displacement field. We implement total four methods of computing the above strain fields.

- 1) First method is a direct output from ALDIC solved deformation gradient tensor.
- 2) Second method is doing central finite difference of solved displacement fields.

- 3) Third method is based on the plane fitting method to differentiate solved displacement fields. In this method, you will be asked to provide *half* plane width.
- 4) Strain fields can also be computed from the finite element Gauss points.

You can choose what method to use following this sentence:

```
What method to use to compute strain?

0: Direct output from ALDIC;

1: Finite difference(Recommended);

2: Plane fitting;

3: Finite element;
Input here:
```

If input "2", please also input half window size for Plane fitting.

```
What is your half window size:
```

Here, three popular types of strains are implemented: infinitesimal strain, Eulerian strain based on deformed configuration, Green-Lagrangian strain. Users can decide what type of strain to compute.

```
Infinitesimal stran or finite strain?
    0: Infinitesimal stran;
    1: Eluerian strain;
    2: Green-Lagrangian strain;
    3: Others: code by yourself;
Input here:
```

E.g., different methods to compute strain fields of our example are shown in Figure 11, and results of multi-frames in the image sequence are stored in "./results_frac_heter/".

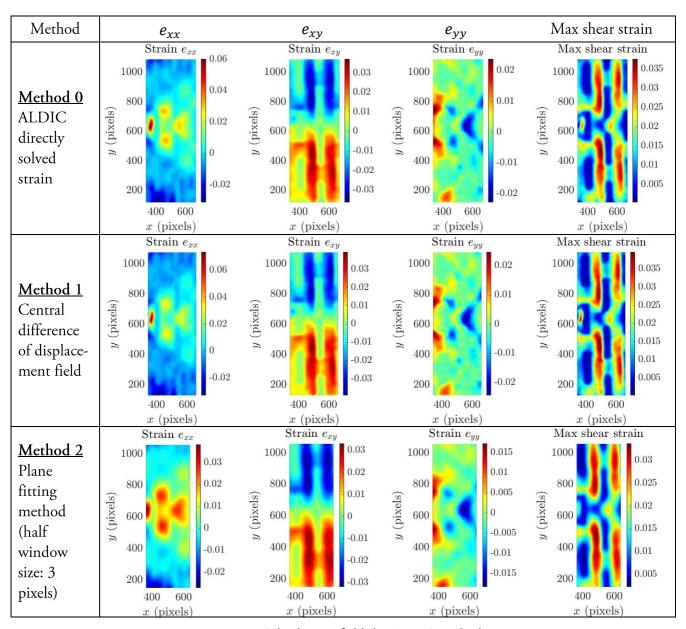


Figure 11. Solved strain fields by ALDIC method.

8.3. Plot and save results

Users can visualize solved displacement and strain field and plot them overlaid with original DIC images ¹. Finally, don't forget to save your results for future use.

```
Save figures into different format:

1: jpeg(Choose transparency 0~1)

2: pdf(Choose transparency = 0)

3: Others: Edit codes in ./plotFiles/SaveFigFiles.m

Input here:

Define transparency for overlaying original images:
Input a real number between 0(Only original images)
and 1(Non-transparent deformation results).
Input here(e.g. 0.5):
```

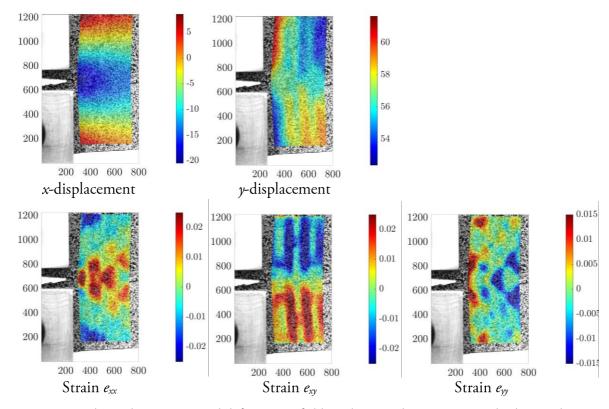


Figure 12. Plot and save computed deformation fields with original DIC images as background.

MATLAB workspace variable	Description
DICpara	ALDIC parameters, see Table 1
DICmesh	ALDIC finite element mesh, see Table 2
ResultDisp	Solved displacements and number of bad subsets during ADMM iterations
ResultDefGrad	Direct solved deformation gradients from ALDIC
ResultStrain	Finally solved strain fields after Section 8
ResultFEMesh	Stored FE-mesh due to reference frame update in incremental mode DIC
ALSub1Time, ALSub2Time	Computation time in solving Subproblem 1 and Subproblem 2

Table 3. Summary of DIC results

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¹ In this code, overlay function can only be output in the "jpg" format. I really appreciate if user/reader has better solution, feel free to contact me.

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