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Structure of the multimodular endonuclease *FokI* bound to DNA

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FokI is a member of an unusual class of bipartite restriction enzymes that recognize a specific DNA sequence and cleave DNA nonspecifically a short distance away from that sequence^{1–3}. Because of its unusual bipartite nature, *FokI* has been used to create artificial enzymes with new specificities^{4–7}. We have determined the crystal structure at 2.8 Å resolution of the complete *FokI* enzyme bound to DNA. As anticipated, the enzyme contains amino- and carboxy-terminal domains corresponding to the DNA-recognition and cleavage functions, respectively. The recognition domain is made of three smaller subdomains (D1, D2 and D3) which are evolutionarily related to the helix–turn–helix-containing DNA-binding domain of the catabolite gene activator protein CAP⁸. The CAP core has been extensively embellished in the first two subdomains, whereas in the third subdomain it has been co-opted for protein–protein interactions. Surprisingly, the cleavage domain contains only a single catalytic centre, raising the question of how monomeric *FokI* manages to cleave both DNA strands. Unexpectedly, the cleavage domain is sequestered in a 'piggyback' fashion by the recognition domain. The structure suggests a new mechanism for nuclease activation and provides a framework for the design of chimaeric enzymes with altered specificities.

FokI exists as a monomer and recognizes an asymmetric DNA sequence 5'-GGATG-3', cleaving DNA phosphodiester groups 9 and 13 base pairs (bp) away from the recognition site (Fig. 1). The structure shows the enzyme approaching DNA from the major-groove side, where it appears to surround the DNA (Fig. 2). Subdomain D1 of the recognition domain covers the DNA major

groove, recognizing base pairs at the 3' end of the recognition sequence (GGATG). D1 is a compact structure of eight helices ($\alpha 1$ to $\alpha 8$), two loops (L1 and L2), a β -sheet ($\beta 1$ to $\beta 3$) and an N-terminal arm (Fig. 3a). Helices $\alpha 4$, $\alpha 5$ and $\alpha 6$ and loops L1 and L2 make up the modified helix–turn–helix (HTH) motif. The short helices $\alpha 4$ and $\alpha 5$ share the same helical axis, as if they were part of a single α -helix into which L1 has been inserted. Together, $\alpha 4$ and $\alpha 5$ ($\alpha 4/5$) form the first helix of the HTH motif; $\alpha 6$ is the second helix (also known as the recognition helix) which lies against the DNA major groove. The turn expected between $\alpha 4/5$ and $\alpha 6$ is replaced by L2. Both loops reach out like fingers from the helices to make base-specific contacts with the DNA. D1 connects to D2, which contacts base pairs at the 5' end of the recognition sequence (GGATG). D2 is an extended, triangular-shaped structure of six helices ($\alpha 1$ to $\alpha 6$), a β -sheet ($\beta 1$, $\beta 2$, $\beta 5$), a β -hairpin ($\beta 3$, $\beta 4$), and a short loop L1 (Fig. 3a). As in D1, the basic HTH motif is extensively modified. $\alpha 2$ and $\alpha 5$ comprise the two α -helices of the HTH motif, with $\alpha 5$ lying in the DNA major groove. The 'turn' is replaced by loop L1, a pair of antiparallel helices $\alpha 3$ and $\alpha 4$, and a short segment T1 connecting $\alpha 4$ to $\alpha 5$. D3 is most similar to CAP and related proteins such as histone H5, HNF-3 γ and the biotin operon repressor (BirA)^{8–11} (Fig. 3a). Despite this similarity, D3 barely touches the DNA. Remarkably, its recognition helix lies outside the DNA major groove and is used primarily to piggyback the cleavage domain onto the recognition domain. Thus, we observe an almost identical HTH motif being used in a different context. In the CAP-related protein–DNA complexes, the motif is used to recognize the DNA bases, whereas in D3 the motif is used primarily to mediate protein–protein interactions.

As already noted, subdomains D1 and D2 make almost all of the base-specific contacts with the DNA. The DNA maintains a B-form DNA conformation without any major bends or kinks. Interactions between D1 and DNA occur along four segments of the subdomain. The N-terminal arm, loop L1, and the recognition helix $\alpha 6$ form specific contacts in the major groove, whereas loop L2 makes contacts in the minor groove. D2, on the other hand, contacts DNA exclusively in the major groove by $\alpha 4$ and T1 of the 'turn', and the recognition helix $\alpha 5$. The recognition helices of D1 and D2 approach DNA differently. The D1 recognition helix is most similar to the canonical form, packing against the major groove with its α -helical axis roughly perpendicular to the DNA axis¹². In contrast, the recognition helix of D2 juts away from the DNA, its α -helical axis tilted by $\sim 35^\circ$ with respect to the plane of the base pairs. The 'angle of attack' is reminiscent of the way the α -helices of the zinc-fingers of protein Zif268 approach DNA¹³. As a unit, the HTH of D2 is flipped 180° with respect to the HTH of D1, analogous to the inverse orientations of the HTH motifs of the homeodomain and the POU-specific domain of the Oct-1 POU domain¹⁴.

The protein–DNA interactions are extensive. For instance, almost all of the hydrogen-bond donor and acceptor groups in the major groove of the recognition sequence are involved in direct contacts with the protein. This complementarity at the protein–DNA interface ensures that only the *FokI* recognition sequence can form all of the interactions. The three guanines of the recognition sequence (GGATG) make bidentate hydrogen bonds with arginine and lysine residues, and the adenines of the third and fourth base

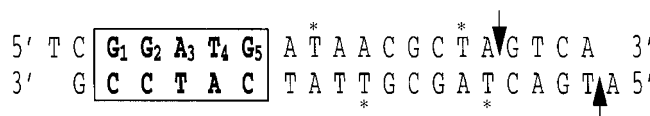


Figure 1 Sequence of the 20-bp DNA fragment used to co-crystallize *FokI*. The recognition sequence is numbered and the sites of cleavage are indicated by arrows. Asterisks denote the thymine residues substituted by iodouracils in the derivative Iodo (Table 1). This oligomer can be cleaved by the enzyme (data not shown).

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pairs (GGATG) form bidentate hydrogen bonds with glutamine and asparagine residues (see Fig. 3b,c for details). We also observe novel interactions involving an aromatic tryptophan residue (Trp 105), which is wedged against the non-polar edges of three consecutive pyrimidines of base pairs 4 to 6 (GGATGA) in the major groove with impressive van der Waals complementarity (Fig. 3c). To our knowledge, this is the first time that a tryptophan residue has been observed in such a context, and it appears to represent a particularly efficient way of specifying consecutive pyrimidine residues in the major groove.

The cleavage domain has a structure remarkably similar to a subunit of the dimeric endonuclease *Bam*HI (ref. 15) (Fig. 4). The resemblance was unexpected as the two enzymes lack sequence similarity. Furthermore, *Bam*HI exists as a dimer and cleaves at a specific DNA site, and *Fok*I exists as a monomer and cleaves at a nonspecific site¹⁵. The *Fok*I cleavage domain has a compact α/β architecture consisting of a mixed six-stranded β -sheet (β 1 to β 6) with α -helices (α 1, α 2, α 3, α 6 and α 4, α 5) on each side (Fig. 4). The

similarity with *Bam*HI allows us to align the active sites of the two enzymes, which occur at the end of a β -meander formed by strands β 1, β 2, β 3 in *Fok*I and strands β 3, β 4, β 5 in *Bam*HI (Fig. 4). Remarkably, the three residues Asp 450, Asp 467 and Lys 469 that are important for catalysis in *Fok*I (ref. 16) overlap exactly with the catalytic residues Asp 94, Glu 111 and Glu 113 of *Bam*HI (ref. 15). The identification of only a single catalytic centre raises the question of how monomeric *Fok*I manages to cleave both DNA strands. Consistent with the notion of a single active site, it has been shown that changing either Asp 450 or Asp 467 to an alanine in *Fok*I results in the loss of cleavage of both DNA strands¹⁶. Thus, in order for the enzyme to cleave both strands, we suggest that it either dimerizes on DNA or that its cleavage domain flips between two orientations, cleaving first one DNA strand and then the other.

Probably the most surprising feature of our complex is the position of the cleavage domain (Fig. 2). Our expectation was that the cleavage domain would be located in a major groove, cleaving DNA one turn away from the recognition sequence.

Table 1 Crystallographic analysis

	Native	Hg 1*	Hg 2*	Hg 3*	Au	Sm	Iodo
Detector	CCD	CCD	IPs	CCD	CCD	CCD	IPs
Resolution (Å)	2.8	3.0	3.0	3.0	3.0	4.1	3.0
Unique reflections	26,243	17,014	18,759	20,950	16,268	7,046	20,397
Data coverage (%)	98.5	78	87	97	75	82	94
R_{merge} (%)†	7.5	6.2	8.0	7.5	4.6	2.8	8.2
Phasing statistics:							
R_{iso} (%)‡		9.0	8.1	10.2	7.1	7.7	14.4
Phasing power (isomorphous)§		1.74	1.73	1.41	1.35	1.45	
Phasing power (anomalous)¶			1.38	1.35	1.85	1.68	
Refinement statistics:							
(8 to 2.8 Å)							
Reflections, $F > 2\sigma(F)$	24,931						
R -factor (%)	21.4						
R -free (%)‡	29.6						
R.m.s. bond lengths (Å)	0.011						
R.m.s. bond angles (°)	1.7						

CCD, charge-coupled device; IPs, imaging plates.
* The mercurial derivatives differ in the times of soak and the relative occupancies and positions of the heavy-atom sites.
† $R_{\text{merge}} = \sum |I_{\text{obs}} - \langle I \rangle| / \sum I$, calculated for all data.
‡ $R_{\text{iso}} = \sum |F_p - F_{ph}| / \sum F_p$, where F_p and F_{ph} are the structure factor amplitudes of the native and derivative data, respectively.
§ Phasing power (isomorphous) = $\langle |F_h| \rangle / \text{r.m.s.}(\epsilon)$, where $\langle |F_h| \rangle$ is the mean calculated amplitude for the heavy-atom model and ϵ is the lack of closure error.
¶ Phasing power (anomalous) = $\langle |\Delta F_h| \rangle / \text{r.m.s.}(\epsilon')$, where $\langle |\Delta F_h| \rangle$ is the mean calculated Bijvoet difference from the heavy-atom model and ϵ' is the lack of closure for anomalous differences.
‡ Calculated with 3% of the reflection data excluded from refinement.

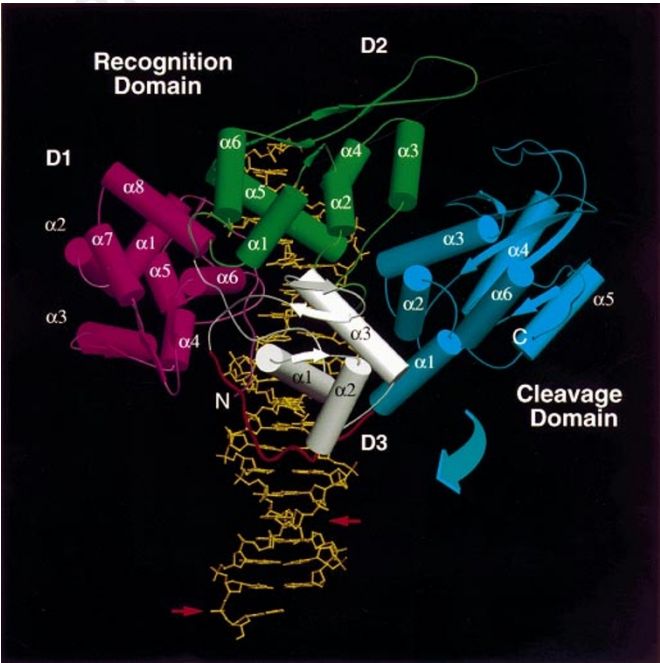


Figure 2 The complete *Fok*I enzyme (579 amino acids) bound to DNA. The recognition domain consists of three smaller subdomains D1, D2 and D3 shown in purple, green and white, respectively. The cleavage domain is shown in blue and the linker segment connecting it to the recognition domain is shown in red. The DNA (yellow) is the 20-bp DNA fragment shown in Fig. 1. Small arrows indicate the sites of cleavage. The large arrow conveys the proposed motion of the cleavage domain toward the cleavage site. The structure assignment is as follows: D1, α 1(17–25), α 2(32–45), α 3(49–58), β 1(66–67), α 4(68–72), L1(73–86), α 5(87–91), L2(92–103), α 6(104–116), β 2(120–123), β 3(128–131), α 7(133–140), α 8(146–156); D2, α 1(160–168), β 1(175–176), α 2(177–182), L1(183–196), α 3(197–205), α 4(211–218), T1(219–221), α 5(222–236), β 2(240–242), β 3(245–248), β 4(258–261), β 5(264–267), α 6(269–277); D3, α 1(303–319), β 1(323–324), α 2(325–335), α 3(341–353), β 2(358–361), β 3(364–367); linker (373–387); cleavage domain, α 1(389–400), α 2(406–414), α 3(421–434), β 1(439–442), β 2(451–454), β 3(462–469), α 4(479–491), β 4(514–521), α 5(528–539), β 5(543–547), α 6(548–560), β 6(575–576).

Instead, we find that the cleavage domain is packed alongside the recognition domain and is prevented from associating with the DNA-cleavage site. This is in agreement with footprinting studies on *FokI* with DNA which show a lack of protection at the cleavage site^{16–18}. From our structure, the cleavage domain can be brought to the major groove for cleavage by simple rotations around the linker segment. The sequestering of the cleavage domain may explain how *FokI* manages to regulate its cleavage activity until it is required. The compact configuration we observe may be the state in which the enzyme scans the DNA looking for the correct site, and upon finding it, in the presence of Mg^{2+} , the cleavage domain swings over to the major groove for DNA cleavage. The recognition domain sequesters the cleavage domain through an extensive set of protein–protein interactions (Fig. 5). These interactions are primarily electrostatic and mediated by subdomains D2 and D3 of the recognition domain, the linker segment, and helices $\alpha 1$ and $\alpha 3$ and strand $\beta 1$ of the cleavage domain.

For the enzyme to become activated for cleavage, these protein–protein interactions would need to be replaced by intermolecular interactions with the DNA. The binding of Mg^{2+} may provide part of the free energy for the configurational switch of the enzyme by promoting the formation of the active site. Evidence for sequestration of the cleavage domain by the recognition domain also comes from an unusual class of mutants¹⁹, isolated using a genetic screen to identify amino-acid substitutions that enable *FokI* to cleave DNA in cells expressing the cognate methylase activity. When applied to the restriction endonuclease *EcoRI*, a similar screen produced mutants that cleaved DNA at non-cognate sites and the mutations occurred in residues involved in DNA recognition²⁰. In the *FokI* screen, eight mutations (G188K, P196S, T343I, S388N, S395F, E407K, E410K, D421N) were reported and two (P196S, D421N) were studied in detail¹⁹. Unlike *EcoRI*, both of these mutants cleaved only at the cognate *FokI* site, including hemimethylated sites in which one or the other DNA strand was methylated. Remarkably, we find that seven of the eight mutations occur at the interdomain interface and

would be expected to relax the domain–domain association (Fig. 5). The mutants T343I, S388N and S395F would disrupt the hydrogen-bonding network between residues Ser 395, Lys 387 and Glu 339 that connects the cleavage domain to D3. Mutants G188K, E407K and E410K insert a positive charge in the vicinity of residue Lys 427, destabilizing the electrostatic environment at the interface. Replacement of Pro 196 with a serine residue will weaken a key hydrophobic interaction between D2 and helix $\alpha 3$ of the cleavage domain, and may also disrupt a nearby hydrogen bond between Ser 194 and Lys 427. To explain their data, Waugh and Sauer postulated that there may be a rate-limiting step in the *FokI* cleavage reaction that involves the dissociation of the cleavage domain from the recognition domain which is accelerated by these mutants to allow cleavages of even hemimethylated DNA sites¹⁹. The compact nature of our complex and the mapping of these mutations to the interdomain interface is consistent with this new mechanism of enzyme activation.

The *FokI* complex has implications for the evolution of HTH proteins. The *FokI* structure reveals unprecedented modifications to the basic CAP core, including the insertion of large loops and secondary structural elements in subdomains D1 and D2. These extra elements act as additional prongs both to grip the DNA and to mediate protein–protein interactions between the subdomains. These embellishments are so extensive that the structural alignment program DALI (ref. 21) was needed to elucidate the CAP core. The D3 recognition helix lies outside the major groove, suggesting that the HTH motif can perform other roles besides DNA recognition. An analogous mechanism can be found in multi-zinc-finger proteins such as TFIIIA^{22,23} and GLI²⁴, in which some zinc-fingers penetrate the DNA major groove to recognize specific base pairs, and others lie outside the major groove to fulfil other functions. The evolutionary success of the HTH motif in both prokaryotes and eukaryotes appears to be due partly to its versatility in accommodating modifications both in structure and in function.

Finally, the *FokI* complex provides a framework for the design of

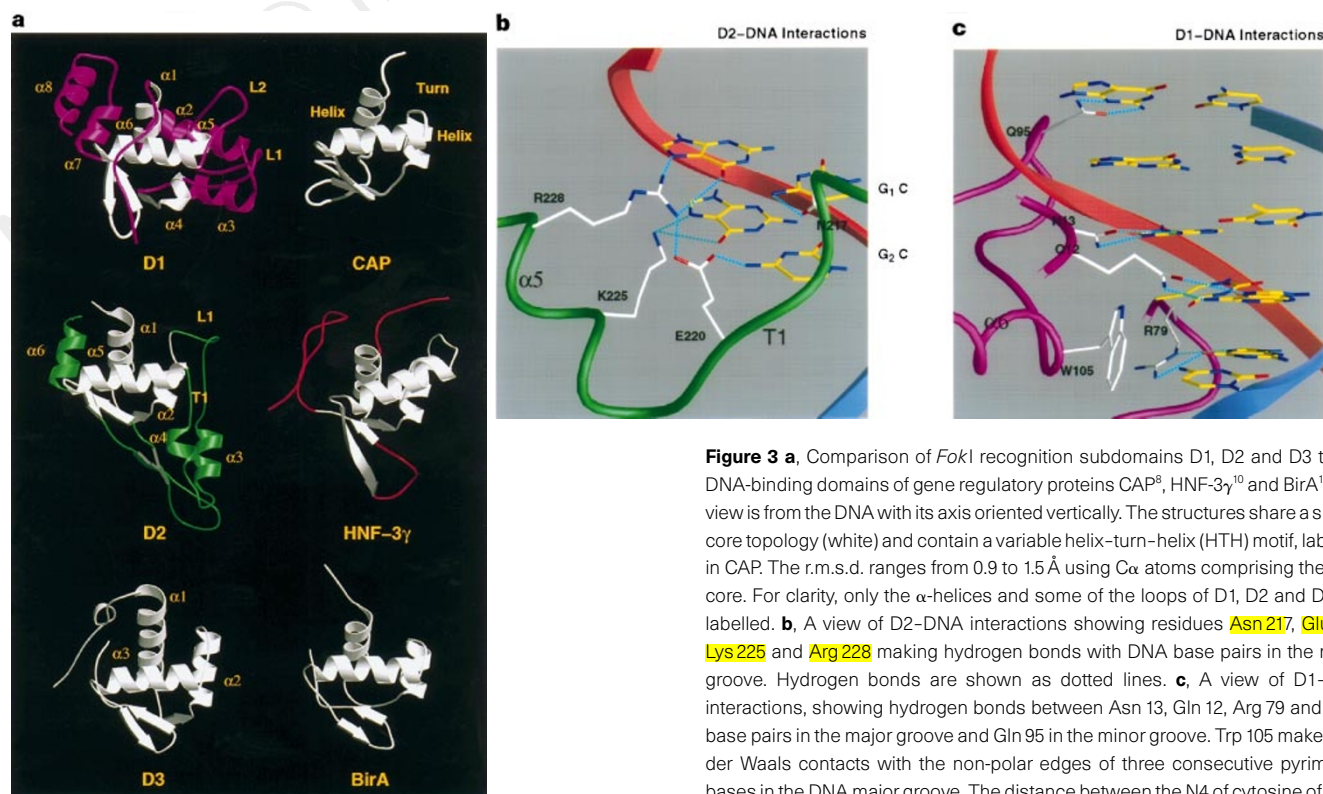


Figure 3 **a**, Comparison of *FokI* recognition subdomains D1, D2 and D3 to the DNA-binding domains of gene regulatory proteins CAP⁸, HNF-3 γ ¹⁰ and BirA¹¹. The view is from the DNA with its axis oriented vertically. The structures share a similar core topology (white) and contain a variable helix–turn–helix (HTH) motif, labelled in CAP. The r.m.s.d. ranges from 0.9 to 1.5 Å using C α atoms comprising the CAP core. For clarity, only the α -helices and some of the loops of D1, D2 and D3 are labelled. **b**, A view of D2–DNA interactions showing residues **Asn 217**, **Glu 220**, **Lys 225** and **Arg 228** making hydrogen bonds with DNA base pairs in the major groove. Hydrogen bonds are shown as dotted lines. **c**, A view of D1–DNA interactions, showing hydrogen bonds between Asn 13, Gln 12, Arg 79 and DNA base pairs in the major groove and Gln 95 in the minor groove. Trp 105 makes van der Waals contacts with the non-polar edges of three consecutive pyrimidine bases in the DNA major groove. The distance between the N4 of cytosine of base pair G₅C and the tryptophan indole ring is 3.9 Å, ruling out a strong aromatic hydrogen bond.

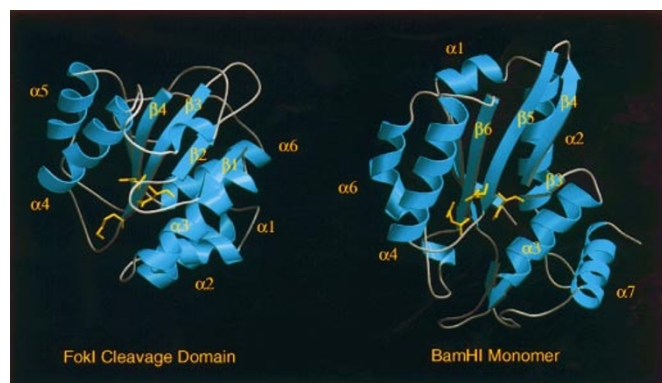


Figure 4 Comparison of *FokI* cleavage domain to a monomer of endonuclease *BamHI*. The two structures share a similar β -sheet core surrounded by α -helices on both sides, and superimpose with an r.m.s.d. of 2.0 Å using 61 C α atoms. The active-site residues of both nucleases occur at one end of the β -sheet and are shown in yellow.

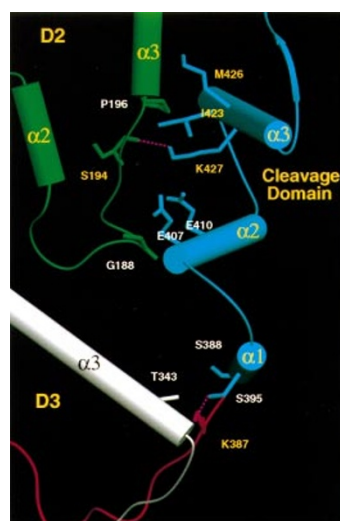


Figure 5 The new class of *FokI* mutants (labelled in white) identified by Waugh and Sauer¹⁹ map to the interdomain interface between the recognition domain and the cleavage domain. The mutations appear to disrupt the interdomain interface, allowing the cleavage domain more readily to dissociate from the recognition domain and swing over to the DNA major groove for cleavage.

new restriction enzymes. Chimaeric enzymes can be created by attaching the cleavage domain of *FokI* to the DNA-binding domains of transcription factors. The structure allows a definition of the linker segment precisely (residues 373 to 387) and allows a modelling of the *FokI* cleavage domain alongside the DNA-binding domains of various transcription factors. The zinc-finger transcription factors Zif268 and Sp1 have received particular attention owing to the possibility of selecting and swapping fingers to create new specificities^{5,6}. The mechanism of nuclease activation implied by our structure may be an additional feature that could be incorporated into the design of artificial enzymes as a way of regulating their activity. □

Methods

FokI was expressed, purified and co-crystallized with a 20-bp DNA oligonucleotide in 2.2 M ammonium sulphate²⁵. The co-crystals diffract to 2.8 Å when cooled to -160°C and exposed to synchrotron radiation. They belong to space group $P2_1$ with unit cell dimensions of $a = 65.6$ Å, $b = 119.3$ Å, $c = 71.5$ Å, and $\beta = 101.4^{\circ}$, and contain a single protein–DNA complex per asymmetric unit. Data were measured at the Cornell High Energy Synchrotron Source (CHESS), using both the charge-coupled device (CCD) detector (beamline A1) and imaging plates (beamline F1) at $\lambda = 0.908$ Å (Table 1). Most of the heavy-atom derivatives were prepared by conventional soaks in different heavy-atom solutions. The Iodo derivative was prepared by substituting iodouracils for four thymine residues on the DNA fragment (Fig. 1). The

heavy-atom positions were refined with PHASIT²⁶ and the resulting MIRAS (multiple isomorphous replacement including anomalous scattering) phases were used to calculate a 3.5 Å map that was continuous and showed clear electron density for the DNA. The position of the DNA matched the iodouracil positions determined from the Iodo derivative. The MIRAS map was further improved by cycles of solvent flattening with SOLOMON²⁷. Using these and subsequent partial model phase combined maps, interspersed with positional and simulated annealing refinements using X-PLOR²⁸, we built 562 residues of the protein and the complete DNA molecule with program O²⁹. Residues 1–3, 252–254 and 282–286 could not be built owing to uninterpretable density. The positional and temperature factor refinements were extended to 2.8 Å resolution²⁸, and the model was verified through extensive simulated annealing omit maps. Near the end of refinement, 172 water molecules were added to the model (B -values <65 Å²) from the inspection of $F_o - F_c$ maps.

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