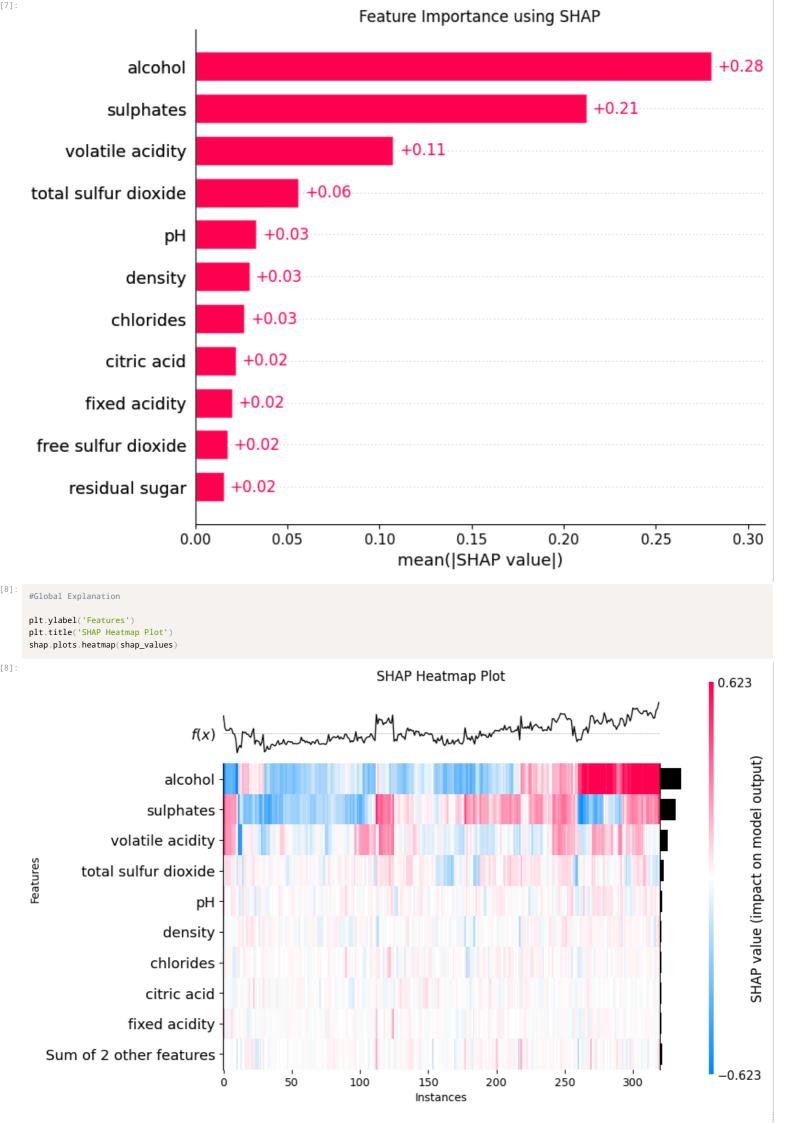
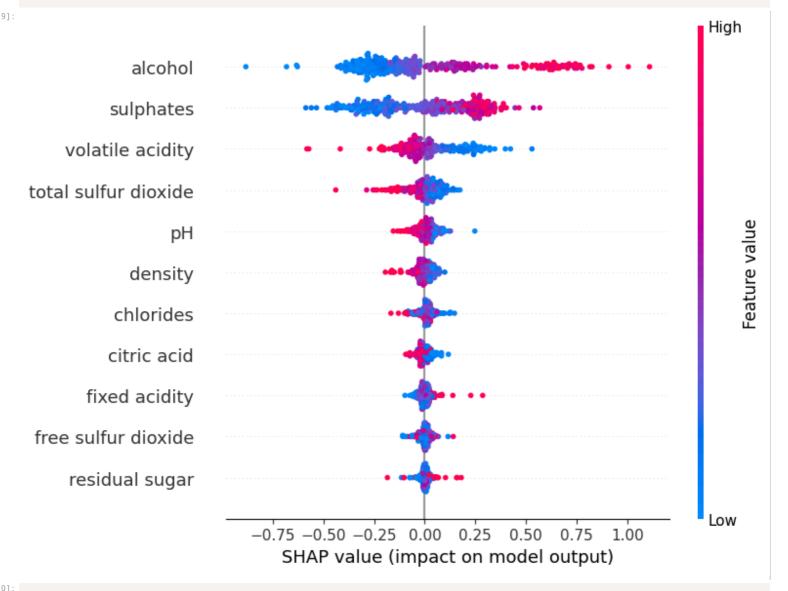
EXP 7 Shap

SIMPLE SHAP on ML MODEL(Local and Global)

```
import pandas as pd
      import shap
      from sklearn.ensemble import RandomForestRegressor
     df=pd.read_csv('winequality-red.csv')
                                                                                                      pH sulphates alcohol
    fixed acidity volatile acidity citric acid residual sugar chlorides free sulfur dioxide total sulfur dioxide density
                                                                                                                          quality
     0 7.4
                  0.70
                               0.00
                                                     0.076
                                                              11.0
                                                                              34.0
                                                                                              0.9978
                                                                                                     3.51 0.56
                                                                                                                   94
     1 7.8
                  0.88
                               0.00
                                        2.6
                                                     0.098
                                                              25.0
                                                                              67.0
                                                                                              0.9968
                                                                                                     3.20 0.68
                                                                                                                   9.8
     2 7.8
                  0.76
                               0.04
                                        2.3
                                                     0.092
                                                              15.0
                                                                              54.0
                                                                                              0.9970
                                                                                                     3.26 0.65
                                                                                                                   9.8
     3 11.2
                  0.28
                               0.56
                                                              17.0
                                                                              60.0
                                        1.9
                                                     0.075
                                                                                              0.9980
                                                                                                     3.16
                                                                                                          0.58
                                                                                                                   9.8
                               0.00
     4 7.4
                  0.70
                                        19
                                                     0.076
                                                             11.0
                                                                              34 0
                                                                                              0 9978
                                                                                                     3.51 0.56
                                                                                                                   94
[3]:
     df.isnull().sum()
[3]: fixed acidity
    volatile acidity
                              0
    citric acid
                              0
    residual sugar
    free sulfur dioxide
    total sulfur dioxide
                             0
    density
    sulphates
    alcohol
                              0
    quality
                              0
    dtype: int64
[4]: from sklearn.model_selection import train_test_split
     X=df.drop('quality',axis=1)
     y=df['quality']
     X\_train, X\_test, y\_train, y\_test=train\_test\_split(X, y, test\_size=0.2, random\_state=42)
      {\tt model=RandomForestRegressor()}
     model.fit(X_train,y_train)
     y_pred=model.predict(X_test)
     from sklearn.metrics import r2_score,mean_squared_error
      r2=r2_score(y_test,y_pred)
     mse=mean_squared_error(y_test,y_pred)
     print("r2:",r2)
     print("mse:",mse)
     r2: 0.5210324123193713
     mse: 0.31300812499999997
     import shap
      exp=shap.Explainer(model)
      {\tt shap\_values=exp}({\tt X\_test})
     #Global Explanation
      import matplotlib.pyplot as plt
      plt.title('Feature Importance using SHAP')
      shap.plots.bar(shap\_values,max\_display=12)
      \verb| #shap.summary_plot(shap_values, X_test, plot_type="bar", show=False) can use this also... \\
```

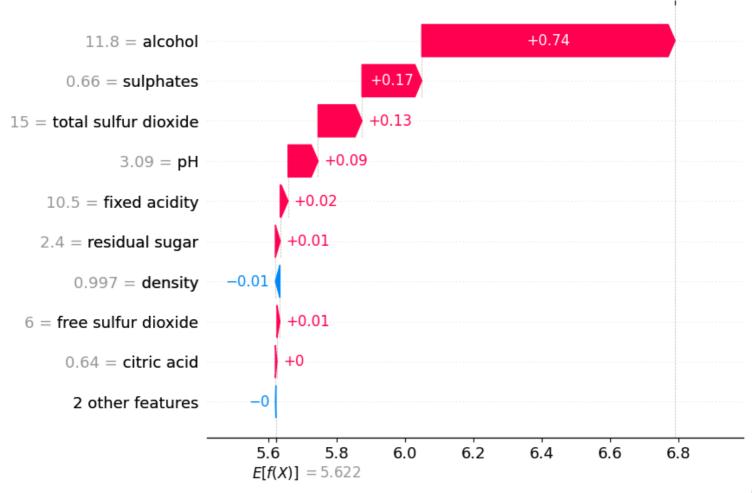






 $\label{thm:bound} \mbox{\#Local Explainability using waterfall plot for 50th instance ${\rm shap.plots.waterfall(shap_values[10])}$$





#KERNEL EXPLAINER

12]:

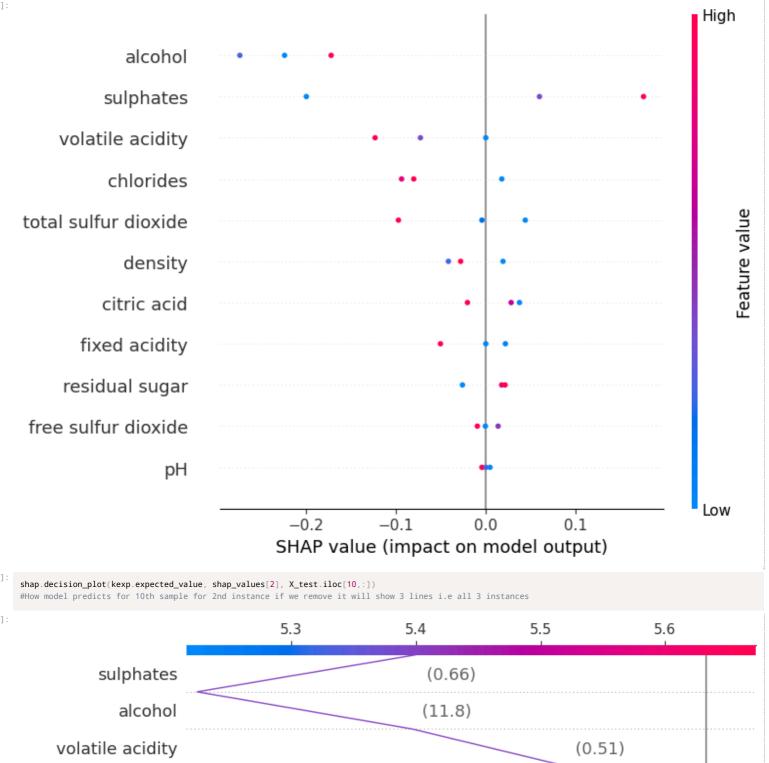
18]:

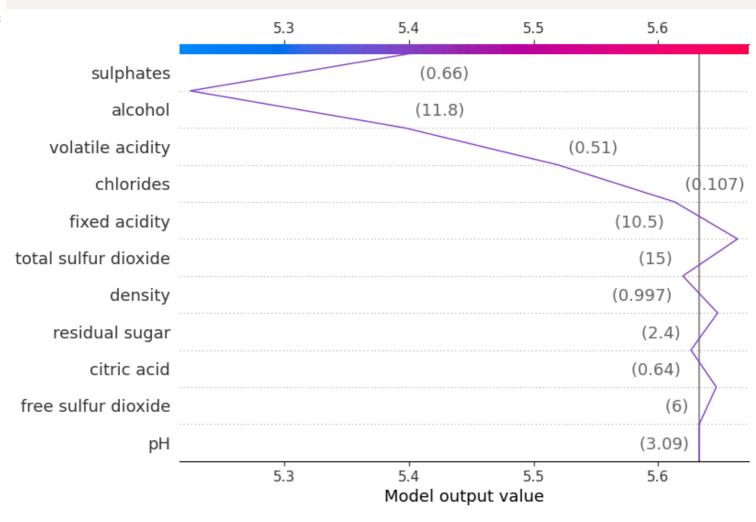
 $\label{lem:kexpshap} $$ kexp=shap.KernelExplainer(model.predict,X_train) $$ shap_values=kexp.shap_values(X_test.iloc[:3]) $$$

Using 1279 background data samples could cause slower run times. Consider using shap.sample(data, K) or shap.kmeans(data, K) to summarize the background as K samples.

0%| | 0/3 [00:00

#Global Interpretability
#X_test.iloc[:3] because we found only 3 shapely values
shap.summary_plot(shap_values,X_test.iloc[:3])





SHAP ON DL MODELS

```
import shap
import numpy as np
import tensorflow as tf
import numpy as np
import pandas as pd
from tensorflow.keras.preprocessing.image import load_img, img_to_array
import matplotlib.pyplot as plt
from tensorflow.keras.layers import Dense,Flatten,MaxPool2D,Conv2D,Input
from tensorflow.keras.models import Model
from sklearn.model_selection import train_test_split
# Load and preprocess dataset
full_ds = tf.keras.utils.image_dataset_from_directory(
   r'cat_dog',  # Root folder with subfolders as class names
    image_size=(224, 224),
    # color_mode='grayscale'
).map(lambda x, y: (x / 255.0, y))
# Convert dataset to numpy arrays
x_full, y_full = [], []
for images, labels in full_ds
    x_full.append(images.numpy())
    y_full.append(labels.numpy())
x_full = np.concatenate(x_full, axis=0)
y_full = np.concatenate(y_full, axis=0)
# Train-test split
x_train, x_test, y_train, y_test = train_test_split(x_full, y_full, test_size=0.3, random_state=42)
def build_cnn(inp_shape=(224,224,3),num_cls=2):
    inp=Input(shape=inp_shape)
    x=Conv2D(16,(3,3),activation='relu')(inp)
    x=MaxPool2D((2,2))(x)
    x = Conv2D(32,(3,3),activation = "relu")(x)
    x=MaxPool2D((2,2))(x)
    x \hbox{=} \hbox{Conv2D}(32\,,(3\,,3)\,,\hbox{activation='relu'})(x)
    x=MaxPool2D((2,2))(x)
    x=Flatten()(x)
    x=Dense(64,activation='relu')(x)
    outputs = Dense(num\_cls, activation = 'softmax')(x)
    {\tt model=Model(inputs=inp,outputs=outputs)}
    return model
model = build_cnn()
model.summary()
# Compile and train the model
model.compile(optimizer='adam', loss='sparse_categorical_crossentropy', metrics=['accuracy'])
model.fit(x\_train,\ y\_train,\ epochs=5)
```

Found 132 files belonging to 2 classes.

[1]: Model: "functional"

| Layer (type) | Outpu | t Shape | 1 | Param # |
|--------------------------------|-------|-----------------|---|-----------|
| input_layer (InputLayer) | (None | , 224, 224, 3) | | 0 |
| conv2d (Conv2D) | (None | , 222, 222, 16) | | 448 |
| max_pooling2d (MaxPooling2D) | (None | , 111, 111, 16) | | 0 |
| conv2d_1 (Conv2D) | (None | , 109, 109, 32) | | 4,640 |
| max_pooling2d_1 (MaxPooling2D) | (None | , 54, 54, 32) | | 0 |
| conv2d_2 (Conv2D) | (None | , 52, 52, 32) | | 9,248 |
| max_pooling2d_2 (MaxPooling2D) | (None | , 26, 26, 32) | | 0 |
| flatten (Flatten) | (None | , 21632) | | 0 |
| dense (Dense) | (None | , 64) | | 1,384,512 |
| dense_1 (Dense) | (None | , 2) | | 130 |

```
[1]: Total params: 1,398,978 (5.34 MB)
[1]: Trainable params: 1,398,978 (5.34 MB)
[1]: Non-trainable params: 0 (0.00 B)
[1]: Epoch 1/5
3/3 8s 1s/step - accuracy: 0.4907 - loss: 0.8789
Epoch 2/5
3/3 7s 2s/step - accuracy: 0.3986 - loss: 0.8807
Epoch 3/5
3/3 4s 1s/step - accuracy: 0.5482 - loss: 0.6682
Epoch 4/5
3/3 4s 1s/step - accuracy: 0.6075 - loss: 0.6305
```

```
img_path = 'lion.jpg' # change this path
     img = load\_img(img\_path, \ target\_size=(224, \ 224))
     img_array = img_to_array(img)
     img_preprocessed = np.expand_dims(img_array, axis=0)
     # Show top predicted class
     background = np.ones((1, 224, 224, 3)) * 255
     preds = model.predict(img_preprocessed)
     explainer = shap.DeepExplainer(model, background)
     shap_values = explainer.shap_values(img_preprocessed)
     # 3. Visualize SHAP values for the image
     plt.figure(figsize=(8, 4))
     shap.image_plot(shap_values, img_preprocessed)
[2]:
1/1 -
                             Os 327ms/step
   support has been removed in eager mode and some static graphs may not be supported. See PR #1483 for discussion.
    E:\Xai_Req_Setup\Python3109\lib\site-packages\keras\src\models\functional.py:238: UserWarning: The structure of `inputs` doesn't match the expected
    structure.
    Expected: keras_tensor
    Received: inputs=['Tensor(shape=(1, 224, 224, 3))']
      warnings.warn(msg)
    E:\Xai_Req_Setup\Python3109\lib\site-packages\keras\src\models\functional.py:238: UserWarning: The structure of `inputs` doesn't match the expected
    structure.
    Expected: keras_tensor
    Received: inputs=['Tensor(shape=(2, 224, 224, 3))']
      warnings.warn(msg)
    Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers). Got range [0.0..255.0].
    Clipping input data to the valid range for imshow with RGB data ([0..1] for floats or [0..255] for integers). Got range [-3.765308065339923e-
    10..2.8535396268125623e-10].
                                 -0.5
                                                 0.0
                                                                0.5
                                                                                1.0
                                           SHAP value
                                                                                       1e-10
     import cv2
     # Convert SHAP values to single channel heatmap
     heatmap = np.abs(shap_values[0][0]).mean(axis=-1) # shape: (224, 224)
     heatmap = cv2.resize(heatmap, (224, 224))
     \texttt{heatmap = (heatmap - heatmap.min()) / (heatmap.max() - heatmap.min())}
     # Convert original image to uint8
     original_uint8 = (img_preprocessed[0] * 255).astype(np.uint8)
     # Overlay heatmap on original image
     \verb"plt.imshow(original_uint8")"
     plt.imshow(heatmap, cmap='jet', alpha=0.5)
     plt.axis('off')
     plt.title("SHAP Overlay")
     plt.show()
```

Epoch 5/5

7s 2s/step - accuracy: 0.6299 - loss: 0.6084

[3]:

SHAP Overlay

