The Natural Selection on Infectious Disease Resistance and It's effect on contemporary Health

by C. Justin Cook

Sara Ariza Laura V. León

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About the author

C. Justin Cook

https://cjustincook.weebly.com/

Assistant Professor of Economics at University of California, Merced.



About the author

C. Justin Cook

Interests:

- Economic Growth.
- Health.
- Development.
- Macrogenoeconomics.

Education:

- Louisiana State University, Baton Rouge, LA USA.
 - Ph.D., Economics, 2012. Dissertation (Advisor: Areendam Chanda): Investigating the Role of Genetic Variation in Explaining Long Run Economic Outcomes
 - M.S., Economics, 2009.
 - B.S., Economics (Concentration in Empirical Studies), 2006.

Abstract

"This paper empirically tests the association between genetically determined resistance to infectious disease and cross-country health differences. A country-level measure of genetic diversity for the system of genes associated with the recognition and disposal of foreign pathogens is constructed. Genetic diversity within this system has been shown to reduce the virulence and prevalence of infectious diseases and is hypothesized to have been naturally selected from historical exposure to infectious pathogens. Base estimation shows a statistically strong, robust, and positive relationship between this constructed measure and country-level health outcomes in times prior to, but not after, the international epidemiological transition."

Introduction

Prior to the major medical discoveries associated with the international epidemiological transition, infectious diseases were a major determinant of mortality and subsequent differences in life expectancy across countries.

- The discovery and widespread use of effective medicines in the late 1940s to early 1950s is labeled by Acemoglu and Johnson (2007) as the international epidemiological transition.
- In 1940, the average cross-country life expectancy at birth was 47 years with a standard deviation of 12 years; however, for 1980 the average life expectancy grew to 66 years, and the standard deviation across countries fell to 9 years.

Introduction

Question?

What were the causes of the initial cross-country disparities in the virulence of infectious diseases?

Hypothesis

Innate resistance did influence country-level response to infectious disease prior to the international epidemiological transition, however, the effects of innate resistance are dissipated by more efficacious health technologies.

Introduction

The HLA system

The measure of genetic resistance is found within the human leukocyte antigen (HLA) system. The HLA is responsible for locating foreign proteins in order to direct cells of the immune system to initiate an immune response and is broken into two major classes, class I and class II, with both classes being associated with the recognition of certain pathogens (Piertney&Oliver, 2006).

- Using country-level aggregations of ethnic-level genetic data, the author constructs a cross-country measure for diversity within the HLA system: HLA heterozygosity.
- HLA heterozygosity or Expected heterozygosity is defined as the probability that two randomly selected individuals differ in regard to genetic variants, or alleles, for a particular locus.

Background

Historic Differences in Infectious Disease Environments

The number of infectious diseases humans face increased substantially with the introduction of agriculture, commonly referred to as the **Neolithic revolution** (Wolfe, Dunavan, and Diamond (2007))

- Agriculture allowed the development of large, dense, and sedentary populations.
- The domestication of animals in the Neolithic provided closer contact between animals and humans.

The timing of the Neolithic revolution is associated with contemporary differences across populations in genetic diversity within the HLA system.

Background

The Natural Selection of HLA Heterozygosity

The set of genes comprising the HLA system represents one of the most genetically diverse regions of the **genome** (Jeffery Bangham, 2000).

- This high level of diversity is hypothesized to have been naturally selected as a mechanism of resistance to infectious pathogens (HughesYeager, 1997; Penman et al., 2013; Spurgin Richardson, 2010).
- This natural selection for diversity within the HLA system is from balancing selection.
- Balancing selection results from two distinct reasons (Slade McCallum, 1992):
 - Overdominance.
 - Frequency dependence.

Background

Out-of-Africa Migration and Genetic Diversity

The overall level of genetic diversity within a population has recently been shown to be a function of the population's migratory distance from East Africa (Ashraf Galor, 2013; Ramachandran et al., 2005).

- Modern human populations originated within East Africa (roughly Ethiopia) and subsequently migrated to all other continents, excluding Antarctica.
- Given that the entire set of genetic diversity was contained within the initial East African population and that migrating populations contain only a subset of this diversity, a strong, negative, and linear association exists between the distance along migration routes a country is from East Africa and the genomic diversity of populations within a country.

HLA Heterozygosity

Ashraf and Galor (2013; hereafter AG) explore the role of genetic variation in explaining historical and contemporary levels of development. In order to measure genetic diversity, they use the expected heterozygosity, roughly defined as: "the probability that two randomly selected individuals differ with respect to the gene in question" (AG, p. 3).

• Expected heterozygosity is calculated with the frequency of gene variants, or alleles, at a particular site on the genome, or locus:

$$H_{\text{exp}} = 1 - \frac{1}{m} \sum_{l=1}^{m} \sum_{l=1}^{kl} p_i^2$$
 (4.1)

Where:

pi represents the fraction of allele i within each population and expected heterozygosity is found by the average across m loci.

The author measures of interest is referred to as HLA heterozygosity.

- This measure intends to capture balancing selection within the HLA system from historical exposure to infectious pathogens and is not representative of the entire genoma.
- HLA heterozygosity is constructed with data on SNPs from the Allele Frequency Database at Yale University, referred to as ALFRED (Kidd et al., 2003).
- A SNP (single-nucleotide polymorphism) is a single change along a strand of DNA.
- ALFRED provides allele frequencies for anthropologically defined ethnicities, providing genetic data for 156 SNPs within 19 HLA genes for 51 distinct ethnic groups. These ethnic data are then aggregated to the country level by matching ethnic compositions within Alesina et al. (2003)

HLA Heterozygosity

- The country-level measure of HLA heterozygosity is the weighted average of ethnic-level HLA heterozygosities, where weights are determined by the fraction of the contemporary population associated with each ethnicity.
- Through this method, the author constructed genetic diversity scores for 175 countries, of which 131 are used in the baseline regression model.

Data **HLA** Heterozygosity

Table A1. List of Ethnicities by Region in ALFRED
Africa
Bantu, Biaka, Mandenka, Mbuti, San, Yoruba
Americas
Amerindians, Karitiana, Maya, Pima, Surui
East Asia
Cambodian, Dai, Daur, Han, Hezhe, Japanese, Korean,
Lahu, Miao, Naxi, She, Tu, Tujia, Uyghur, Xibe, Yi
Europe
Adygei, Basque, Estonian, French, Italian, Orcadian, Russian, Sardinian
Middle East
Balochi, Bedouin, Brahui, Burusho, Druze, Hazara, Kalash,
Mongolian, Mozabite, Oroqen, Palestenian, Pashtun, Sindhi, Yakut
Oceania
Melanesian, Papuan New Guinean

Notes: This table provides the ethnic groups by region for which ALFRED has data. Our country-level measure of HLA heterozygosity is based on genetic data for these ethnicities.

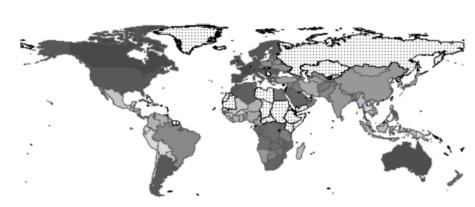
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Health Outcomes prior to the International Epidemiological Transition

- The author consider a number of country-level health outcomes prior to the discovery and diffusion of medical technologies associated with the international epidemiological transition.
- These include both predicted mortality from infectious disease and life expectancy in 1940, as well as life expectancy in 1960.
- The use of 1940s data, while truly before the epidemiological transition, is problematic due to a lack of data in relatively poor countries, leading to possible selection bias. Therefore, the primary dependent variable is country level life expectancy at birth in 1960

Data HLA Heterozygosity

FIGURE 1.—CROSS-COUNTRY HLA HETEROZYGOSITY



Darker areas represent increased HLA heterozygosity. HLA heterozygosity is calculated using contemporary populations.

Explaining HLA Heterozygosity

Table 1 shows the summary statistics for :i) HLA heterozygosity and ii) the overall level of genetic diversity from Ashraf and Galor (2013).

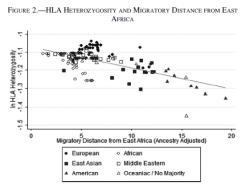
Table 1.—Summary Statistics: HLA Heterozygosity verses Aggregate Heterozygosity							
Variable	N	Mean	SD	Minimum	Maximum		
HLA heterozygosity by continent	131	0.3183	0.0207	0.2347	0.3529		
Europe	32	0.3343	0.010	0.3184	0.3529		
Africa	37	0.3158	0.0176	0.2844	0.3352		
Asia	35	0.3149	0.0146	0.2711	0.3298		
Americas	24	0.3078	0.0253	0.2588	0.3503		
Oceania	3	0.3022	0.0590	0.2347	0.3439		
Overall heterozygosity by continent	131	0.7248	0.0272	0.6279	0,7653		
(Ashraf & Galor, 2013)							
Europe	32	0.7342	0.0057	0.7217	0.7429		
Africa	37	0.7444	0.0097	0.7282	0.7653		
Asia	35	0.7208	0.023	0.682	0.7519		
Americas	24	0.6912	0.0324	0.6279	0.7446		
Oceania	3	0.6983	0.0360	0.6573	0.7248		

III.A heterozygosity is a measure for genetic variation with the III.A system, which is responsible for the recognition of foreign puthogens. Overall heterozygosity is a measure for genetic variation across the entire generic markers, or markers due to anothen genetic direct heterose instalated populations. Due to the origination of modern human populations within Africa, aggregate heterozygosity is a declining, linear function of the migratory distance from East Africa, Using genetic diversity data for 53 ethnic groups and the migratory distance from East Africa, Ashraf and Galor (2013) predict a countra-level measure of heterozygosity. These variables are excludant in a spender does until the appeal of variables.

Acording to the results, African countries are found to have the highest levels of overall diversity, while countries from Europe contain the greatest amount of diversity within the HLA system.

Explaining HLA Heterozygosity

Figure 2 plots the measure of diversity, HLA heterozygosity, as a linear function of migratory distance from East Africa.



This figure displays the cross-country relationship between HLA heteroxygosity and an ancestry-adjusted measure of migratory distance from East Africa. Countries are shaded by the majority of the population being from a noted region within the ALFRED data. Note that countries with populations from Europe and Middle East contain greater levels of HLA heteroxygosity than is predicted by the linear trend.

Explaining HLA Heterozygosity

From figure 2, countries with a majority population from Europe and the Middle East tend to break from the linear association between HLA heterozygosity and migratory distance from East Africa, containing higher-than-predicted levels of HLA heterozygosity.

The role of both the migratory distance from East Africa and the Neolithic revolution in explaining HLA heterozygosity is tested in *table* 2.

Explaining HLA Heterozygosity

TABLE 2.—EXPLAINING HLA HETEROZYGOSITY

	Dependent Variable: In HLA Heterozygosity					
	(1)	(2)	(3)	(4)	(5)	(6)
In Years since Neolithic Revolution	0.0211**	0.0300***				
(Ancestry Adjusted, 1500–1960)	(0.0098)	(0.0086)				
In No. of Potential Domesticate Animals			0.0266***	0.0361***		
Ancestry Adjusted, 1500–1960)			(0.0064)	(0.0051)		
In Population Density in 1 CE			((0.0144***	0.0158***
(Ancestry Adjusted, 1500-1960)					(0.0043)	(0.0033)
Migratory Distance from East Africa		-0.0121***		-0.0133***		-0.0124**
(Ancestry Adjusted, 1500-1960)		(0.0014)		(0.0015)		(0.0015)
N	131	131	89	89	113	113
R^2	0.0217	0.4265	0.1176	0.5968	0.0626	0.4578

This table displays the relationship of factors associated with HLA betterozygosity. I consider four main determinants: the years a country has practiced agriculture, the availability of domesticate animals, the density of historic populations, and the migratory distance from East Africa. The Needlifte revolution is the ultimate cause of differential disease environes by providing domestic contact with domesticate animals. Domesticate animals are associated with the initiation of many infectious diseases, whereas historically drines populations provide necessary hosts. Due to the serial founder effect, migratory patterns from East Africa have strong associations with overall genetic devention. A contract of the provided sign.

HLA beterozygosity is a measure for genetic variation with the HLA system, which is responsible for the recognition of foreign puthogens. Sources and definitions of all variables are given within the appendix of variables. Ancestry-adjusted measures use the matrix of migration between 1500 and 1960 from Chanda, Cook, and Putterman (2014). OLS coefficients are reported in each column. Significant *10%, **5%, ***1%.

Choust standard errors are in patenthesis.

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HLA Heterozygosity, Country-Level Health Outcomes, and the International Epidemiological Transition

Equation used to test that genetic resistance to infectious disease, measured by HLA heterozygosity, is positively associated with country-level health outcomes prior to the international epidemiological transition.,

$$\ln y_i^{t < i.e.t.} = \alpha + \beta_1 (\ln HLA_i) + \beta_2' \mathbf{X_i} + \beta_3' \mathbf{I_i^c} + \epsilon_i$$
 (5.1)

HLA Heterozygosity, Country-Level Health Outcomes, and the International Epidemiological Transition

$$\ln y_i^{t < i.e.t.} = \alpha + \beta_1 (\ln HLA_i) + \beta_2' \mathbf{X_i} + \beta_3' \mathbf{I_i^c} + \epsilon_i$$

- i: is a country indicator.
- $yt \le i.e.t.$: i represents aggregate health outcomes prior to the international epidemiological transition.
- β_1 : measures the effect of HLA heterozygosity.
- X_i : is a vector of country-level controls, including ethnic fractionalization, agricultural productivity, geography, and an ancestry-adjusted measure for the agricultural transition.
- I_i^c : is an indicator variable as to whether country i is within continent c.
- ϵ_i : is the cross-country error term.

Explaining HLA Heterozygosity

TABLE 3.—THE EFFECT OF HLA HETEROZYGOSITY PRIOR TO THE INTERNATIONAL EPIDEMIOLOGICAL TRANSITION

	In Predicted M	lortality in 1940	In Life Expectancy in 19		In Life Exped	ctancy in 1960
Dependent Variable	(1)	(2)	(3)	(4)	(5)	(6)
In HLA Heterozygosity	-5.0080*** (0.7117)	-3.8610*** (1.1282)	2.1436*** (0.3310)	1.0771*** (0.3771)	1.6200*** (0.2455)	1.0151*** (0.1899)
In Ethnic Fractionalization		-0.3970 (0.3961)		(0.1338)		-0.1210 (0.0927)
In Years since Neolithic Revolution		0.5975**		-0.0031		0.0370
(Ancestry Adjusted, 1500-1960)		(0.2358)		(0.0549)		(0.0397)
In Fraction of Arable Land		(0.1220)		-0.1060*** (0.0366)		-0.0087 (0.0160)
In Suitability of Agriculture		(0.0362		(0.0530**		(0.0080
In Abs. Latitude		-0.3260** (0.1297)		0.0980**		(0.0199
Continent fixed effects	No	Yes	No	Yes	No	Yes
N	73	73	71	71	131	131
R^2	0.3488	0.5133	0.3329	0.7192	0.2271	0.7446

This table displays the relationship between HLA beterozygosity and Acomoglu and Johnson's (2007) measures of health before the epidemiological transition as well as the baseline estimation, which uses life expectancy at brith in 1960 as the main dependent variable. The use of 1960 data is intended to increase the sample in terms of both size and global representation. Old-unmbered columns provide the simple bivariate relationship between HLA beterozygosity and the alternative measures of premoderical health, and even-numbered columns include bearing controls and continent fixed effects.

reattomap between H.A. heteroxygosity as a measure of genetic variation with the H.A. system, which is responsible for the recognition of foreign pathogens. Sources and definitions of all variables are given within the appendix of variables. Continent fixed effects consist of dummies for Africa, the Americas, Asia, and Europe. Ancesty-adjusted measures use the matrix of migration between 1500 and 1960 from Chanda et al. (2014). OLS coefficients are reported in each column. Significiant ¹100, ⁴150, ⁴156, ⁴

HLA Heterozygosity, Country-Level Health Outcomes, and the International Epidemiological Transition

The Estimates in *table 3* consider three alternatives for measuring country-level health outcomes prior to the epidemiological transition:

- the mortality rate from fifteen infectious diseases in 1940.
- life expectancy at birth in 1940.
- life expectancy at birth in 1960.

HLA Heterozygosity, Country-Level Health Outcomes, and the International Epidemiological Transition

Table 4 explores the effect of HLA heterozygosity on life expectancy from 1960 to 2010 and tests the effect of HLA heterozygosity, or innate resistance, in post epidemiological transition environments. It shows that 1960 is an early enough period to capture the effects of innate resistance.

TABLE 4.—THE EFFECT OF HLA HETEROZYGOSITY AFTER THE INTERNATIONAL EPIDEMIOLOGICAL TRANSITION

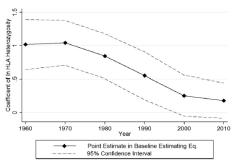
Dependent Variable: In Life Expectancy								
	1960 (1)	1970 (2)	1980 (3)	1990 (4)	2000 (5)	2010 (6)		
In HLA Heterozygosity	1.0151***	1.0405***	0.8421***	0.5490***	0.2512 (0.1541)	0.1788 (0.1335)		
Baseline controls	Yes	Yes	Yes	Yes	Yes	Yes		
Continent fixed effects	Yes	Yes	Yes	Yes	Yes	Yes		
N	131	131	131	131	131	131		
\mathbb{R}^2	0.7446	0.7340	0.6995	0.6886	0.7683	0.7480		

This table provides support for the lessened benefit of genetically determined resistance following the international epidemiological transition and for the use of 1960 data as a valid proxy for health outcomes prior to the diffusion of health technologies associated with epidemiological transition. More contemporary periods are associated with a greater prevalence and use of medical technologies of the epidemiological transition; therefore, the effect of inherent resistance on life nevertexture, becoming inscinicalized different from zero in 2000 CE.

HLA heterozygosity is a measure for genetic variation with the HLA system, which is responsible for the recognition of foreign pullogens. Baseline controls include ethnic fractionalization, an ancestry-adjusted measure for the years a country has practiced agriculture, the fraction of arable land within a country, the satisfability of agriculture within a country, and absolute include. Continent fixed effects consist of dummaies for Africa, the America, Asia, and Europe, CD, Se coefficients are reported in each column. Significant *910%*, **15%

HLA Heterozygosity, Country-Level Health Outcomes, and the International Epidemiological Transition

FIGURE 3.—THE EFFECT OF HLA HETEROZYGOSITY FOLLOWING THE DIFFUSION OF HEALTH TECHNOLOGIES FROM THE INTERNATIONAL EPIDEMIOLOGICAL TRANSITION



This figure displays the point estimates for the coefficient of HLA heterozygosity in table 4. Note that the effect of HLA heterozygosity declines over time. A key hypothesis is that this decline is due to the diffusion of medical technologies associated with the international epidemiological transition.

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Robustness

Controlling for regional ethnic differences.

The relationship between HLA heterozygosity and life expectancy in 1960 may reflect some underlying role of European populations in promoting greater health outcomes. In addition, other populations may have unobserved effects that are also correlated with both HLA heterozygosity and life expectancy in 1960.

Table 5.—Robustness to the Influence of Regional Populations Dependent Variable: In Life Expectancy in 1960

	% European = 0 (1)	% European ∈ (0,1) (2)	% European = 1 (3)	Full (4)
In HLA Heterozygosity	0.7241*	0.8049***	0.7281**	0.8870***
,,,	(0.3623)	(0.2923)	(0.3111)	(0.2872)
Baseline controls	Yes	Yes	Yes	Yes
Continent fixed effects	Yes	Yes	No	Yes
Population fixed effects	No	No	No	Yes
N ·	55	52	24	131
R^2	0.4183	0.5891	0.5162	0.7891

This table performs both a sample truncation and the inclusion of population fixed effect to control for any potential health benefits associated with populations from a particular region. Easterly and Levine (2012) angue that European populations contained both human ceptal and institutional advantages in accumulating wealth. Herefore restrict the sample based on the fraction of the contemporary population that is from Europe in columns 1 to 3. Column - includes population fixed effects; that is, we centrol for the contemporary fraction of each regional population within each country. Controlling for regional populations, with special attention gives to openulations from Europe, does not alter the main finding.

HLA helerozygosity is a measure for genetic variation with the HLA system, which is responsible for the recognition of foreign publicages. ALFRED data provide six regions: Africa, the Americas, East Asia, Europe, the Middle East, and Ceesanii. For country-level population fraction from each region is acculated using entire compositions from Alestina (2000) matched to the entire groups of ALFRED. Baseline countries include elitable fractionalization, an accessive splusted measure for the years a country is not practiced agriculture, the fraction of arable land within a country, the suitability of agriculture within a country, and the proposition of the

Robustness

Omitted variables.

The author includes omitted variables that may be associated with either the measure of HLA heterozygosity or life expectancy in 1960. The additional variables are broken into two classes:

- Exogenous: Include genetic, geographic, and historic population controls (*Table 6*).
- Endogenous: Consist of income, human capital, and demographics in 1960 (*Table 7*).

Robustness

TABLE 6.—ROBUSTNESS TO EXOGENOUS OMITTED VARIABLES
Dependent Variable: In Life Expectancy in 1960

	(1)	(2)	(3)	(4)
In HLA Heterozygosity	1.0771***	0.7713***	0.8699***	0.5373*
	(0.3401)	(0.2040)	(0.2239)	(0.3093)
Aggregate heterozygosity	-0.2784			0.1385
(Ancestry adjusted, 1500–1960)	(1.1998)			(1.0280)
Fraction of population at risk of contracting malaria		-0.1613***		-0.1730***
		(0.0481)		(0.0487)
% within tropics		-0.0003		-0.0003
•		(0.0006)		(0.0006)
% within desert		-0.0016		-0.0013
		(0.0015)		(0.0014)
Mean distance to coast or river		0.0116		0.0299
		(0.0385)		(0.0381)
Fraction of nonindigenous population			0.0982	0.1203
			(0.0632)	(0.0734)
Baseline controls	Yes	Yes	Yes	Yes
Continent fixed effects	Yes	Yes	Yes	Yes
N	131	131	131	131
R^2	0.7449	0.7741	0.7504	0.7824

A number of potentially confounding exogenous omitted variables are included in the table: aggregate genetic diversity, a measure for the suitability of maintain, the fraction of a country with index to desert, the average distance within a country to a count or their, and the fraction of the population that has migrated into the country as of 1960. The inclusion of these controls, both piecemeal and jointly, does not after the positive, statistically significant effect of HLA neterozygosity, although in the joint estimation of column 5, the coefficient is besended in magnitude and significance fails to the 10% level. HLA neterozygosity is a measure for genetic variation with the HLA system, which is responsible for the recognition of foreign pulsopaces governed administors of all variables are given within the uppendix of variables. Baseline controls include ethnic fractionalization, an accessor adjusted measure for the years a country has practiced agriculture, the fraction of analle land within a country, the suitability of agriculture and the suitability of agriculture in the properties of the column Significant "16%, "35%, "14%, Robust Standard errors are proported in each column. Significant "16%, "35%, "14%, Robust Standard errors are proported in each column. Significant "16%, "35%, "14%, Robust Standard errors are proported in each column. Significant "16%, "35%, "14%, Robust Standard errors are in practical tests."

Robustness

Table 7.—Robustness to Endogenous Omitted Variables
Dependent Variable: In Life Expectancy in 1960

	(1)	(2)	(3)	(4)	(5)
In HLA Heterozygosity	1.0000***	0.6575***	0.6127***	0.7529***	0.5296***
	(0.2118)	(0.2124)	(0.1633)	(0.1944)	(0.1673)
In GDP per Capita in 1960		0.0977***			0.0280*
		(0.0221)			(0.0167)
In Avg. Years of School in 1960			0.1320***		0.1037***
			(0.0195)		(0.0190)
In Population Density in 1960				0.0002	0.0063
				(0.0152)	(0.0126)
In Urbanization Rate in 1960				0.1137***	0.0343*
				(0.0223)	(0.0195)
In Fraction of Population under 15 Years in 1960				-0.0614	-0.0148
·				(0.0887)	(0.0685)
Baseline controls	Yes	Yes	Yes	Yes	Yes
Continent fixed effects	Yes	Yes	Yes	Yes	Yes
N	109	109	109	109	109
R^2	0.7378	0.8062	0.8727	0.8256	0.8897

This table controls for a number of potentially endogenous controls: income, human capital, and demographics in 1960. Given the relationship between income and genetic diversity (Ashtraf & Galor, 2015), controlling for income and related variables is intended to dispet the effect of HLA heterozygosity remains both positive and significant at the 1% level; although, as with joint inclusion of all endogenous omitted variables, the coefficient on HLA heterozygosity is reduced in magnitude in column 3.

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H.A. heterozygodity is a measure for genetic variation with the H.A. system, which is responsible for the recognition of foreign pathogens. Sources and definitions of all variables are given within the appendix of variables. Baseline controls include ethnic fractionalization, an ancestry-adjusted measure for the years a country, but practiced agriculture, the fraction of analyte land within a country, within a country, and absolute latitude. Continent fixed effects consist of dummies for Africa, the Americas, Asia, and Europe. OLS coefficients are reported in each column. Significant *10%, **5%, ***1%. Robust standard errors are in parentheses.

Conclusion

- HLA heterozygosity has a positive, statistically significant, and robust relationship with life expectancy at birth in 1960, a period argued to be before the diffusion of health technologies associated with the international epidemiological transition.
- The strong statistical relationship between HLA heterozygosity and life expectancy is substantially lessened by the introduction of medicines and vaccines, which dissipate any benefits from genetically determined resistance.
- An important source of the variation in HLA heterozygosity is the differential timing date of the Neolithic revolution, which provided the means of development for a much more severe infectious disease environment.

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