Q1. What is a lock? Why do we use locks in multithreaded programs?

Answer:

A **lock** is a synchronization mechanism used to **prevent multiple threads** from accessing a **critical section (shared resource)** at the same time.

- It ensures mutual exclusion (only one thread holds the lock).
- Helps prevent race conditions.
- **Q2.** How do we build a lock using Test-And-Set? Explain with acquire() and release() functions.
- Answer:
- Test-And-Set Pseudocode:

```
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int TestAndSet(int *ptr, int new) {
   int old = *ptr;
   *ptr = new;
   return old;
}
```

Lock Structure and Functions:

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```
typedef struct {
    int flag; // 0 = free, 1 = locked
} lock_t;
void init(lock_t *lock) {
    lock -> flag = 0;
}
void acquire(lock_t *lock) {
    while (TestAndSet(&lock->flag, 1) == 1); // spin-wait
}
void release(lock_t *lock) {
    lock->flag = 0;
}
```

Q3. Why do we need to initialize the lock?

Answer:

Initialization sets $lock \rightarrow flag = 0$, meaning the lock is **available**.

Without this, it may be in an unknown or busy state, preventing threads from entering the critical section.

Q4. What is spinning or busy waiting?

Answer:

Spinning means a thread keeps looping (spinning) while checking if the lock is available. It consumes CPU but avoids thread switching overhead. Example:

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```
while (TestAndSet(&lock->flag, 1) == 1); // spinning
```

Q5. What is fairness in locking? How do ticket locks provide fairness?

Answer:

Fairness ensures threads get the lock in order of arrival (like a ticket system).

Ticket Lock:

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```
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typedef struct {
    int ticket;
    int turn;
} ticket_lock_t;
void acquire(ticket_lock_t *lock) {
```

```
int my = FetchAndAdd(&lock->ticket, 1);
while (lock->turn != my); // wait until your turn
}

void release(ticket_lock_t *lock) {
   lock->turn++;
}
```

Each thread gets a ticket and waits for its turn, ensuring fairness.

☑ Q6. What is the concept of load-linked (LL) and store-conditional (SC)?

Answer:

LL/SC is an alternative to Test-And-Set, used in RISC architectures.

- load-linked: Reads value and marks memory address.
- store-conditional: Writes **only if** no other write has occurred at that address.

It allows atomicity without a lock using two instructions.

Q7. What is Fetch-And-Add used for in locks?

Answer:

It is used in **ticket locks** to assign **unique ticket numbers** atomically.

Each thread calls FetchAndAdd(&ticket, 1) and waits until turn == my_ticket.

Q8. What is the difference between spinning and parking in locking?

Answer:

Concept	Spinning	Parking
Behavior	CPU continuously checks lock	Thread sleeps (doesn't use CPU)
Efficiency	Wastes CPU	CPU efficient
Use Case	Short waits	Long waits
Wake-up	Thread checks repeatedly	Thread is woken up by signal

Q9. How does yield() improve spinlocks?

Answer:

```
Using yield() inside the spin loop:

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while (TestAndSet(&lock->flag, 1) == 1) {
    yield(); // Give up CPU to others
}
```

It prevents **CPU hogging** by letting other threads run — useful in **single-CPU systems**.



Q10. How would an exam question look like?

Q: You are given the TestAndSet() function. Write the full code to build a spin lock using it. Show initialization, acquire(), and release() functions. Also, explain the concept of fairness and how ticket locks solve starvation.

Summary Table (Memory Aid)

Concept	Meaning

Lock Prevents concurrent access

Init Sets flag = 0

Acquire Uses TestAndSet or ticket wait

Release Sets flag = 0 or increases turn

Spinning Looping to check lock

Parking Sleep until lock is available

Fairness Turn-based locking like ticket lock TAS Atomic test and update

LL/SC Load memory and store if

unchanged

Fetch-And-Add Used in ticket locks for fairness