

Formal Grammar

Concepts of Programming Languages
Lecture 11

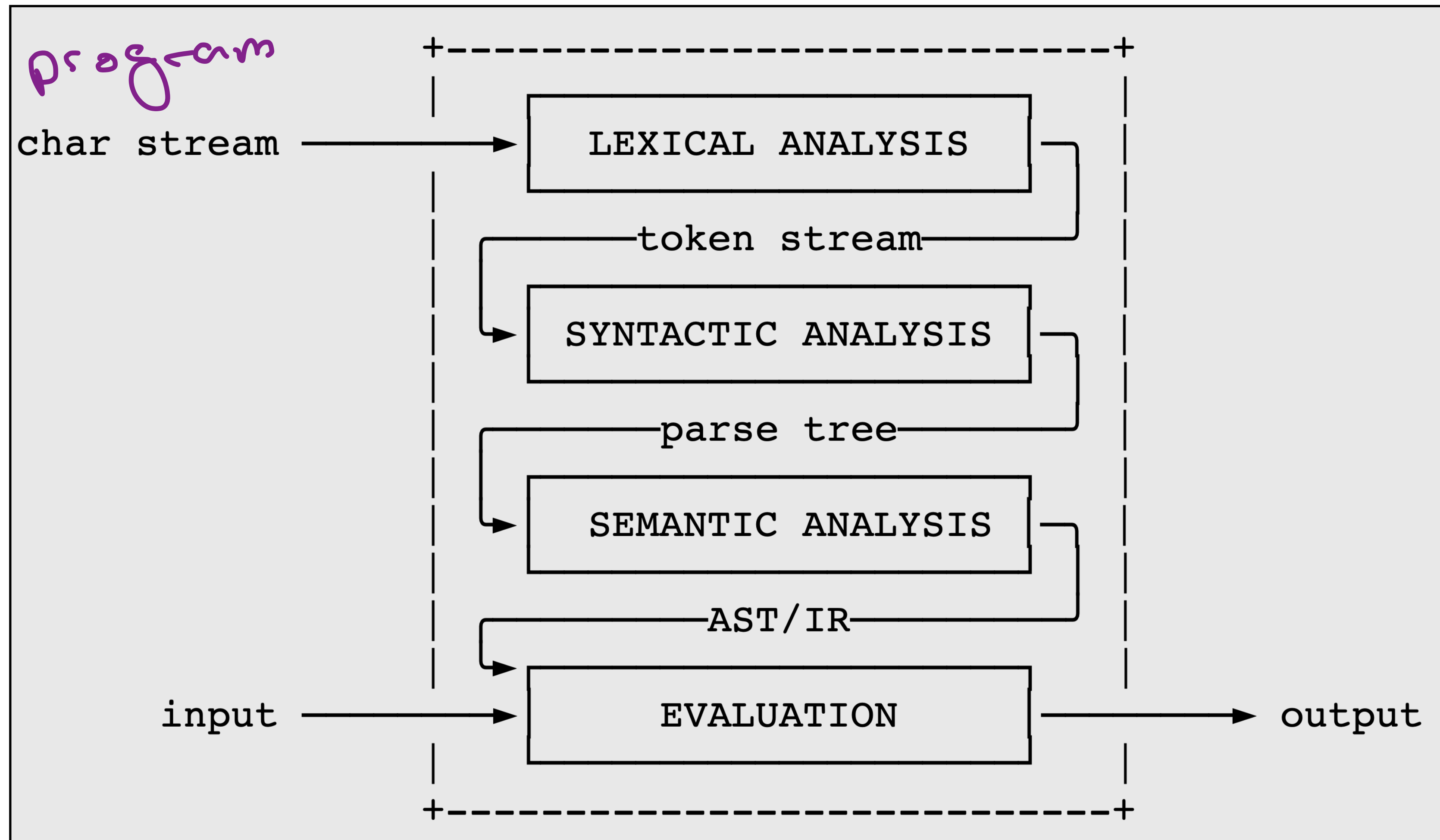
Outline

Discuss briefly the **interpretation pipeline**, and how it will look in the context of this course

Introduce **formal grammars**, a mathematical framework for thinking about syntax and parsing

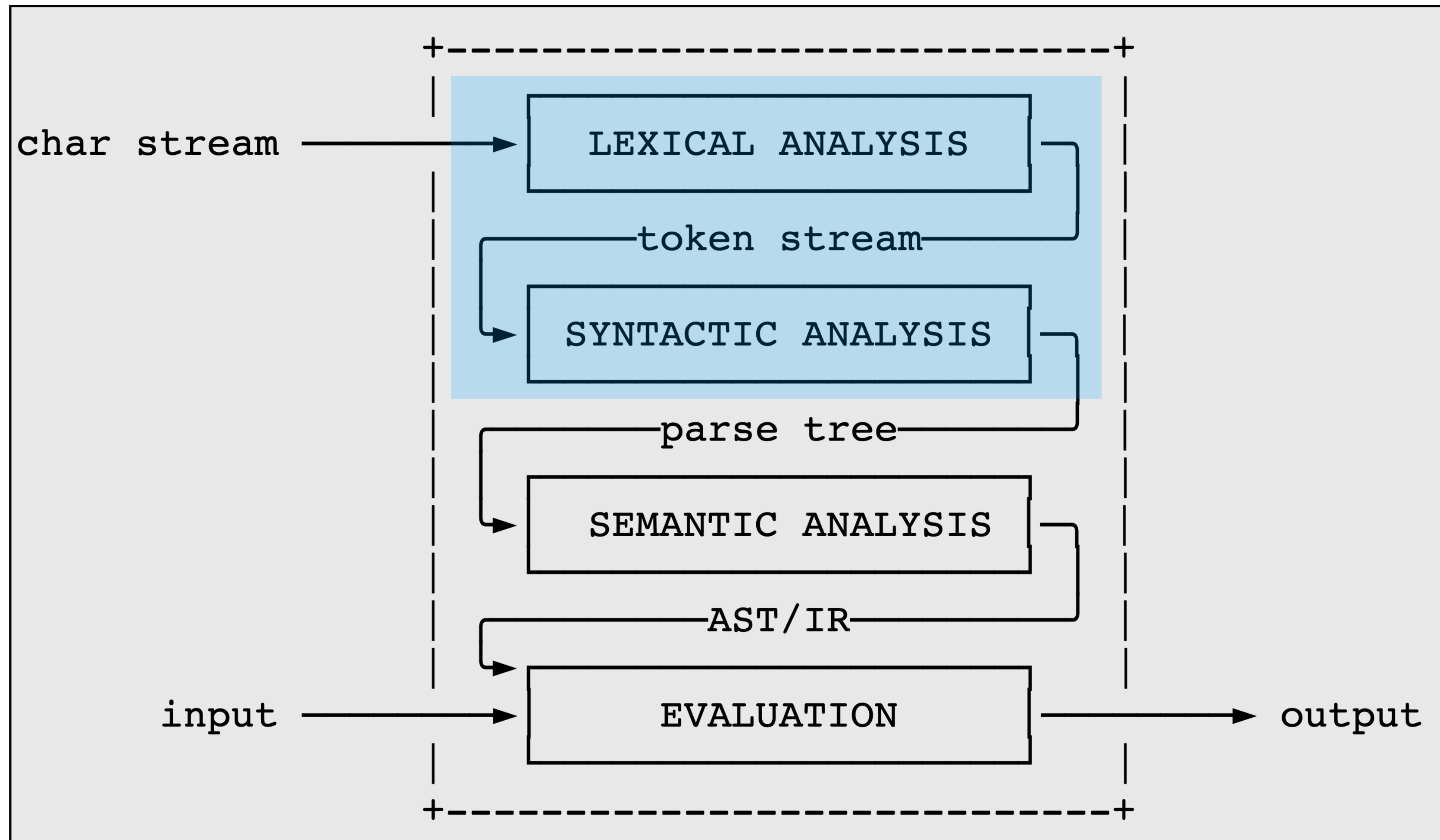
The Interpretation Pipeline

The Picture



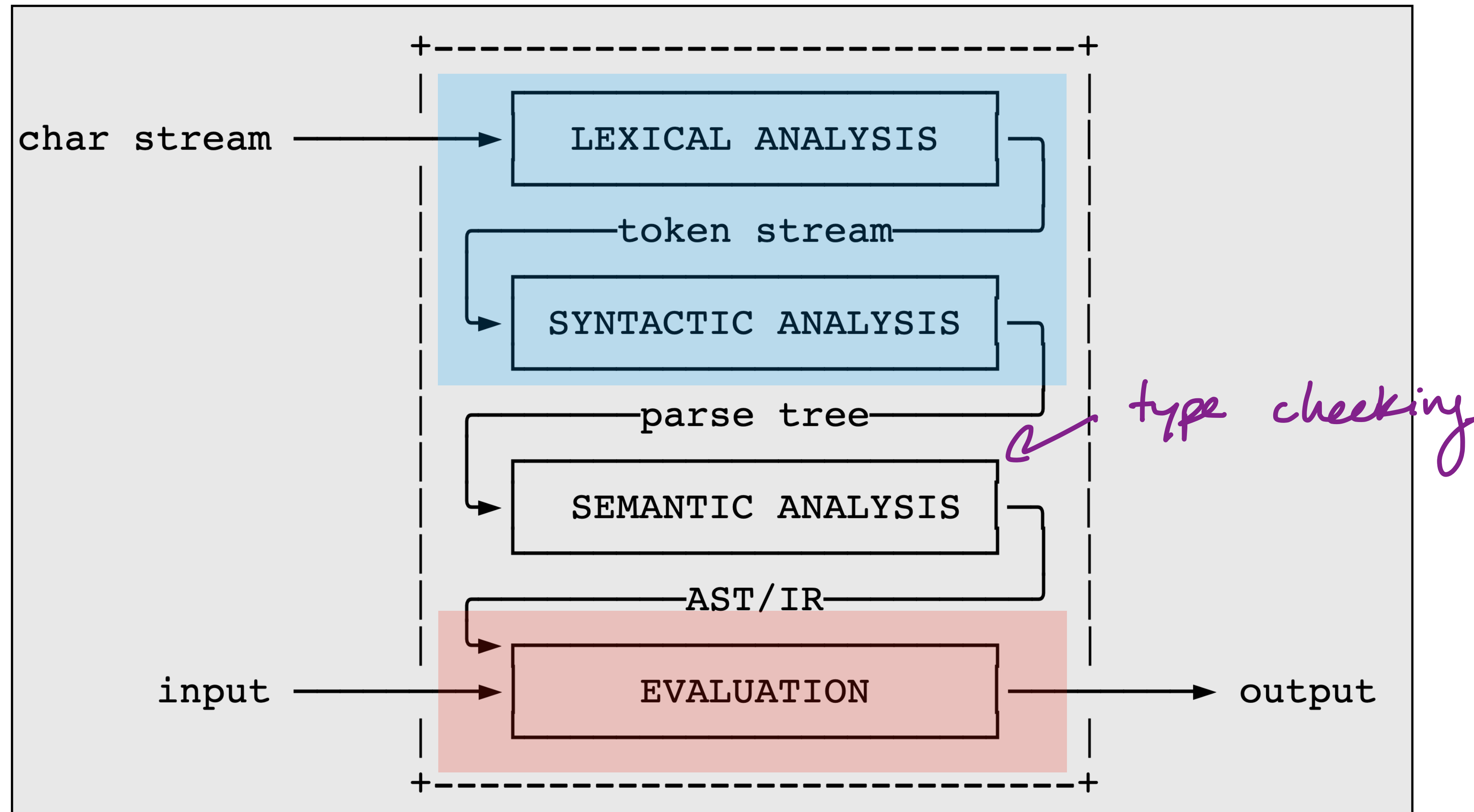
The Picture

parsing (this week)



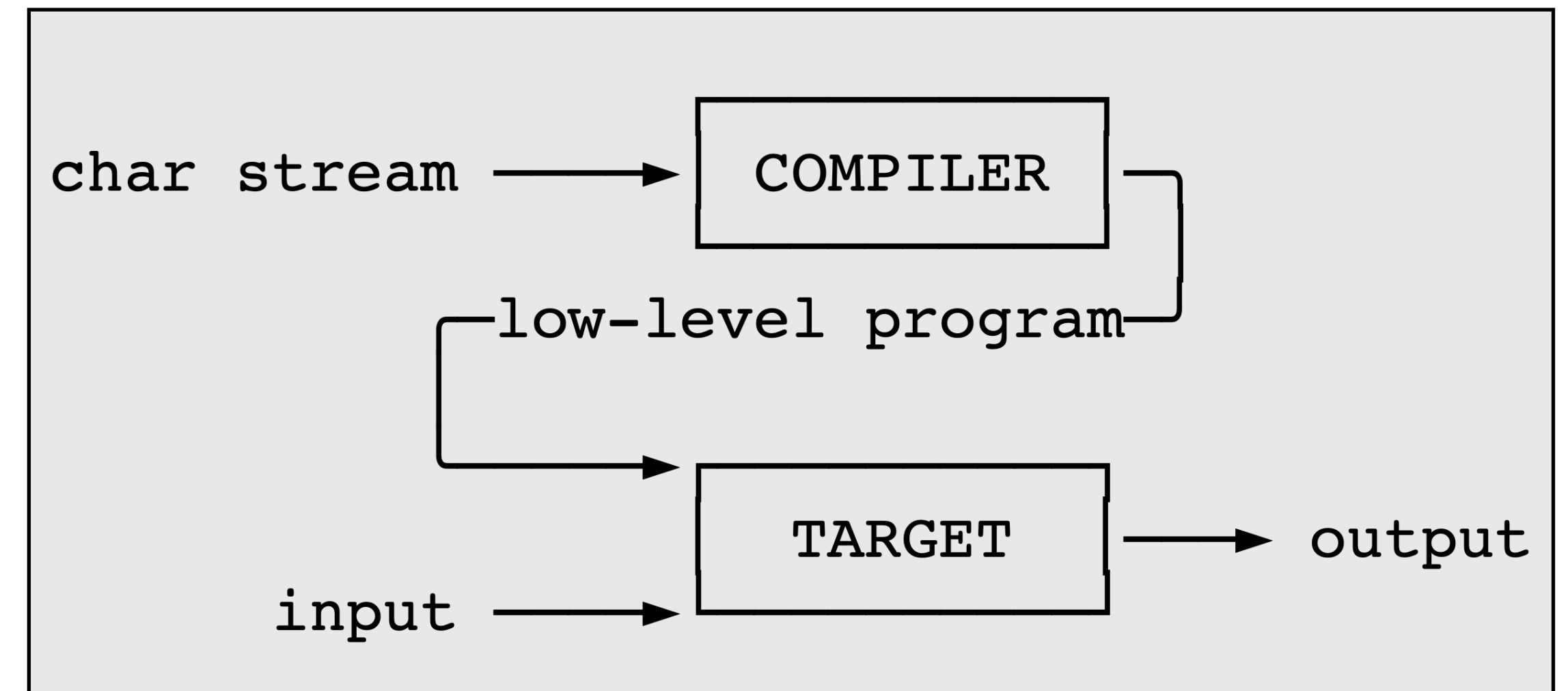
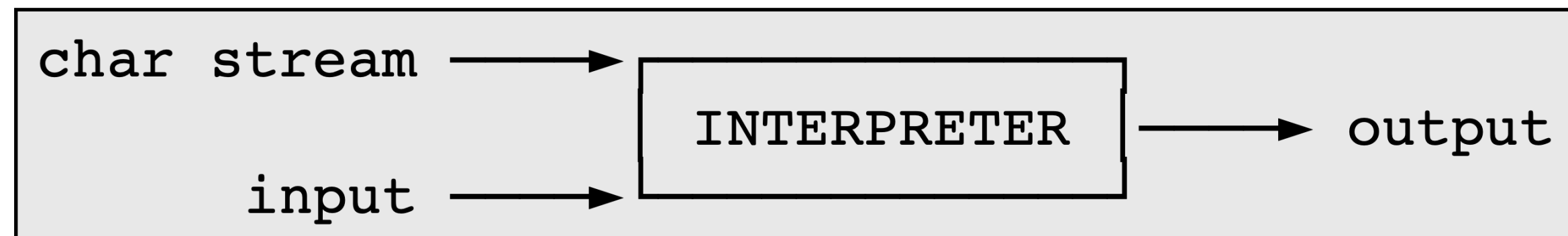
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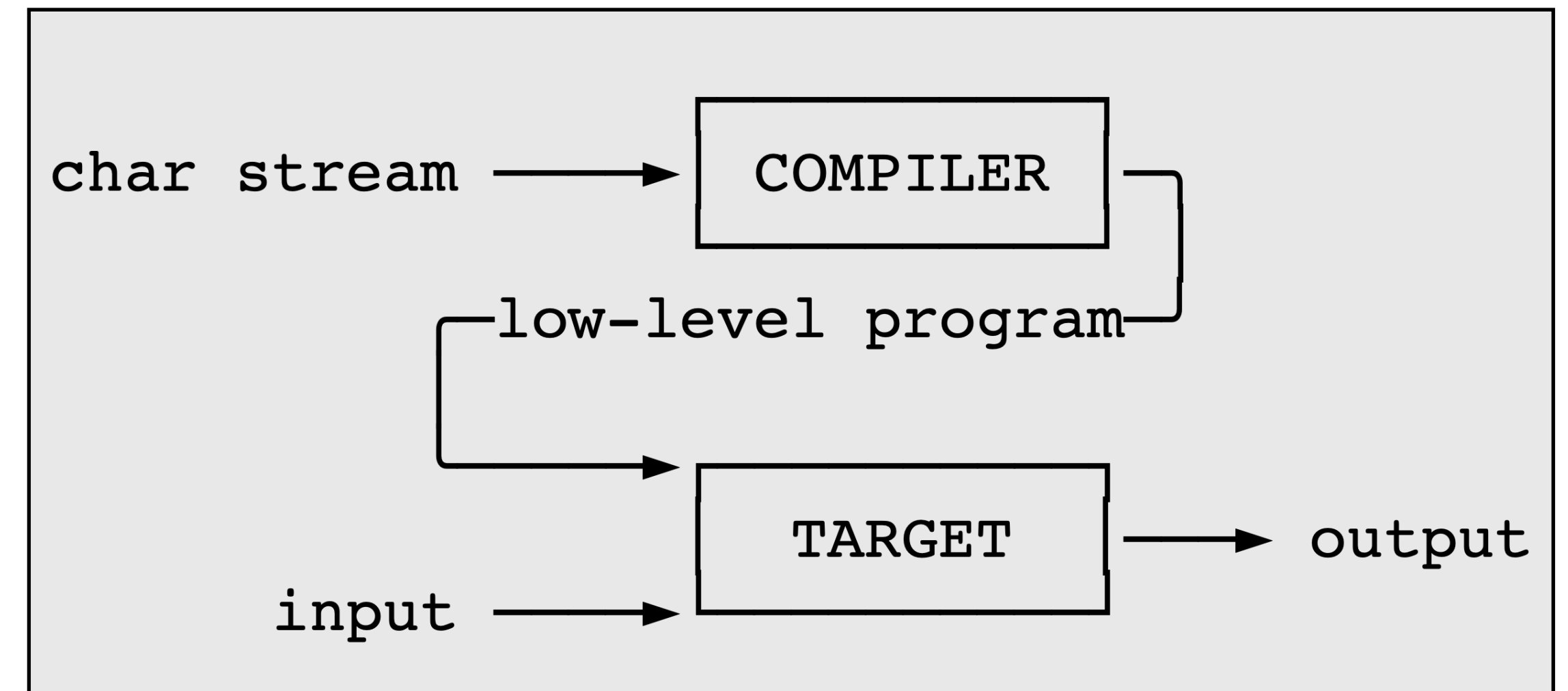
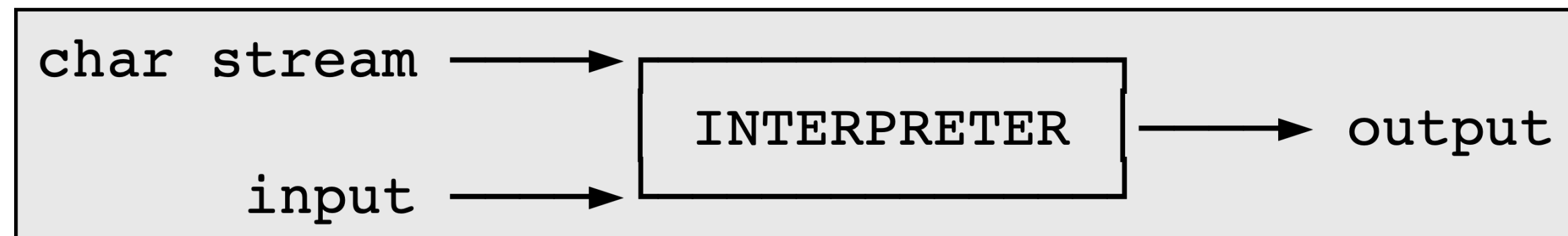


semantics (after the break)

A Note on Compilation

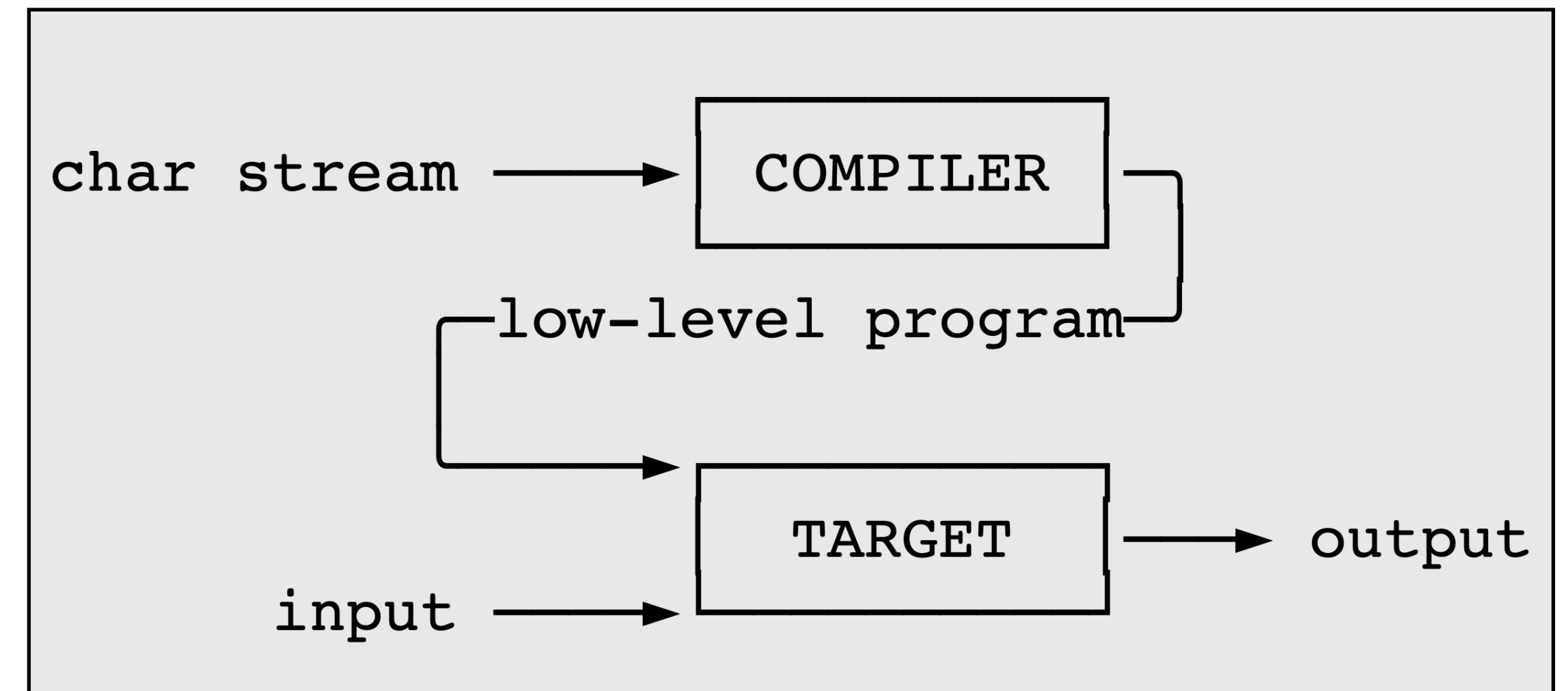
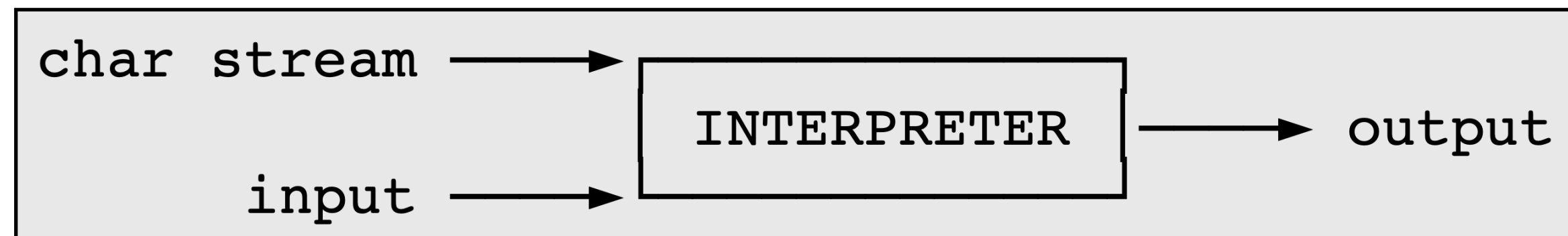


A Note on Compilation



We will be building programs that directly read and evaluate programs (**interpreters**)

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In a different course you may write a program which *translates* programs into another language which can then be evaluated elsewhere (**compilers**, we'll cover this briefly)

The Mini-Projects

There will be **three** mini-projects, each 2 weeks long.

For each project, you will build an interpreter.

You'll be given:

- » the syntax
- » the type rules (not in project 1)
- » the semantics

Today

We need a formal language for describing the syntax of programming languages

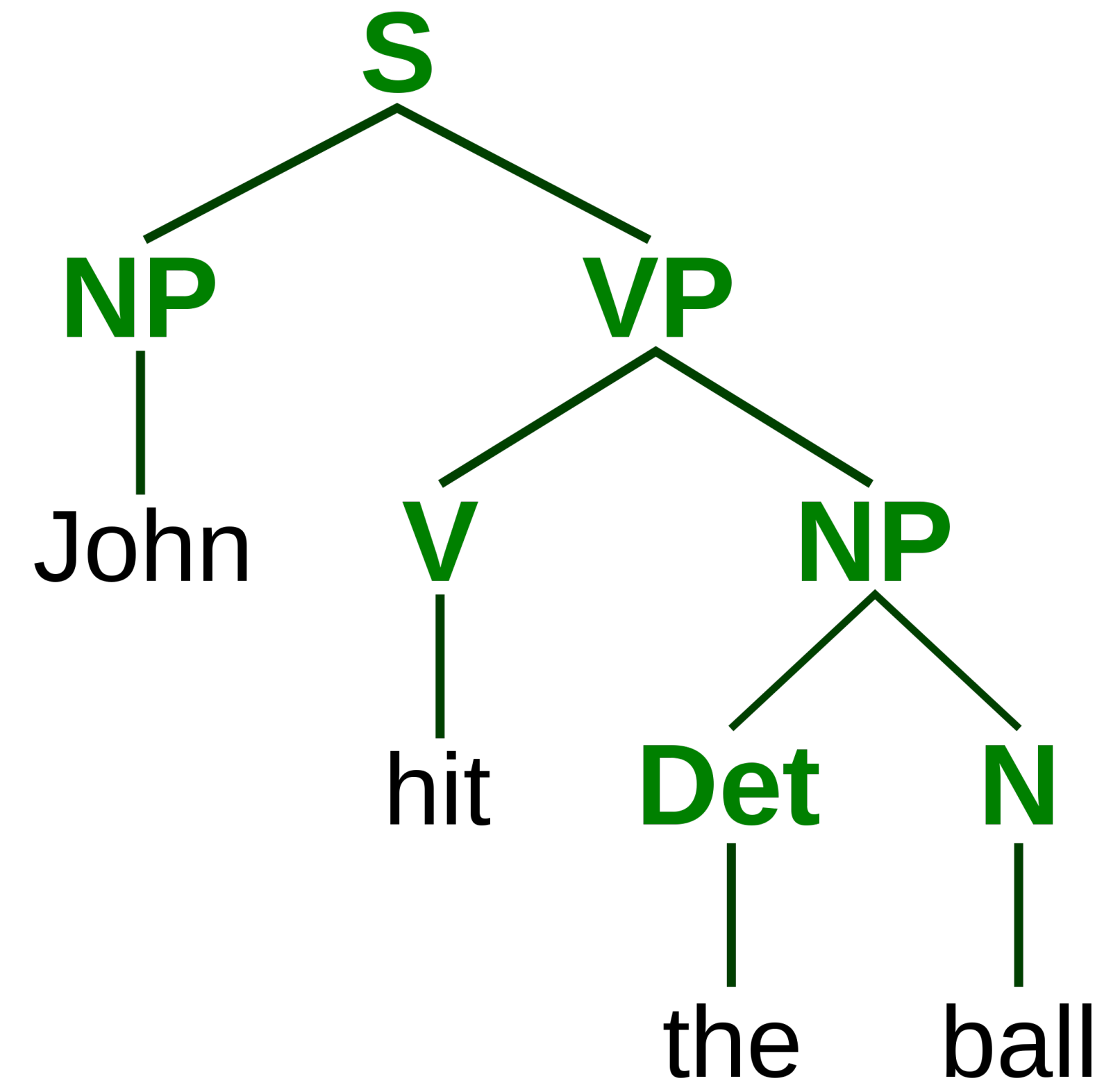
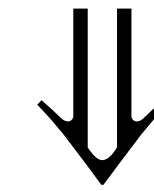
This is part of the study of **formal language theory**

Nearly every PL out there (including OCaml) is described using **Backus–Naur Form (BNF) Grammars**.

Formal Grammar

What is Grammar?

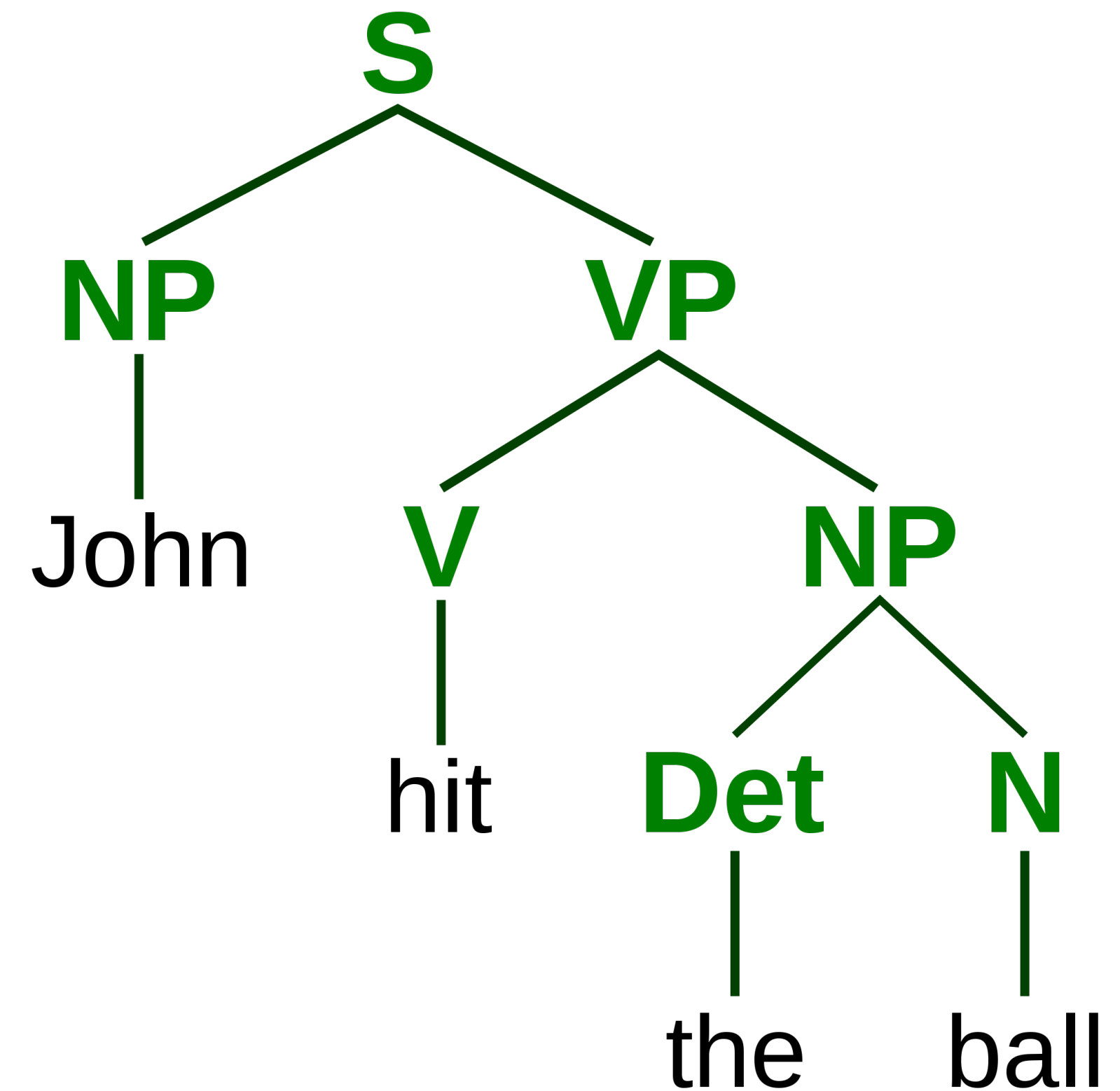
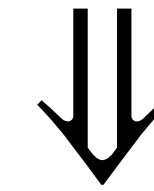
John hit the ball



What is Grammar?

Grammar refers to the rules which govern what statements are well-formed

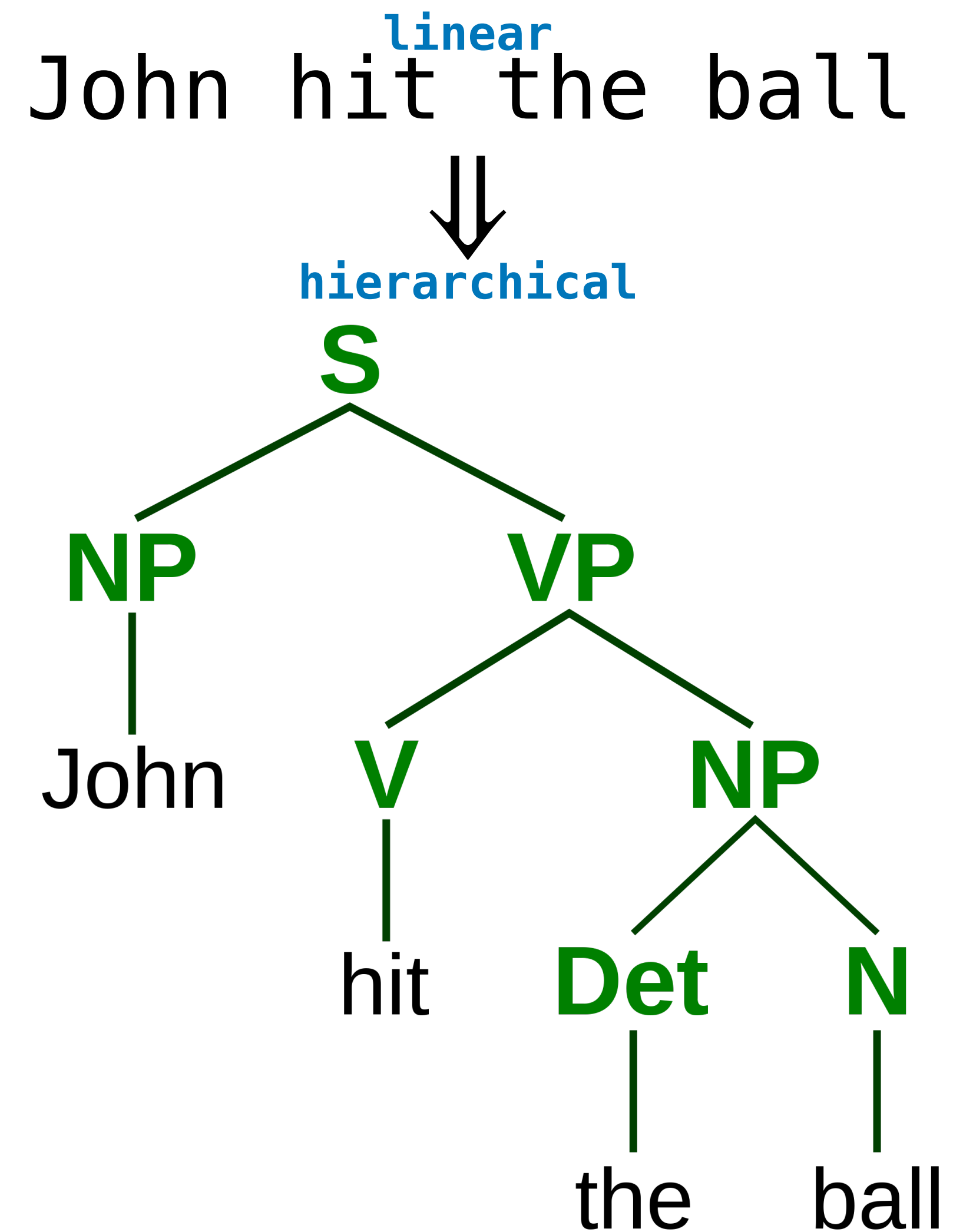
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What is Grammar?

Grammar refers to the rules which govern what statements are well-formed

Grammar gives **linear** statements (in natural language or code) their **hierarchical** structure



Grammar vs. Semantics

I taught my car in the refrigerator. ✓

VS.

My the car taught I refrigerator. ✗

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Grammar is not (typically) interested in
meaning, just structure

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(As we will see, it is useful to separate these two concerns)

Grammars for Programming Languages

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Formal grammars for PL
tell us which **programs**
are well-formed

Grammars for Programming Languages

```
# let f x = x + 1;;  
val f : int -> int = <fun>
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# let rec x = x x x x ;;  
Line 1, characters 14-15:  
1 | let rec x = x x x x ;;  
                        ^
```

**Error: This expression has type ...
but an expression was ex ...
The type variable 'a occ ...**

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```
# let rec f x = f x + 1 - 1;;  
val f : 'a -> int = <fun>
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```
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val f : 'a -> int = <fun>  
# let x = List.hd [];;  
Exception: Failure "hd".
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*(In OCaml, well-formed programs
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# let x = List.hd [];;  
Exception: Failure "hd".  
# let x = ;;  
Line 1, characters 8-10:  
1 | let x = ;;  
              ^^
```

Error: Syntax error

How do we formally represent
well-formed sentences?

An Example

the cow jumped over the moon

An Example

the cow jumped over the moon

How do we know this a well-formed sentence?

An Example

<article> cow jumped over the moon

An Example

<article> <noun> jumped over the moon

An Example

<noun-phrase> jumped over the moon

An Example

<noun-phrase> jumped over <article> moon

An Example

<noun-phrase> jumped over <article> <noun>

An Example

<noun-phrase> jumped over <noun-phrase>

An Example

<noun-phrase> jumped over <noun-phrase>

a thing jumped over a thing

An Example

<noun-phrase> jumped <prep> <noun-phrase>

An Example

<noun-phrase> jumped <prep-phrase>

An Example

<noun-phrase> <verb> <prep-phrase>

An Example

<noun-phrase> <verb-phrase>

An Example

<noun-phrase> <verb-phrase>

a thing did a thing

An Example

<sentence>

An Example

<sentence>

*We know it's a sentence because it has the right
kind of hierarchical structure*

A Derivation

<sentence>
<noun-phrase> <verb-phrase>
<noun-phrase> <verb> <prep-phrase>
<noun-phrase> jumped <prep-phrase>
<noun-phrase> jumped <prep> <noun-phrase>
<noun-phrase> jumped over <noun-phrase>
<noun-phrase> jumped over <article> <noun>
<noun-phrase> jumped over <article> moon
<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon
<article> cow jumped over the moon
the cow jumped over the moon

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<sentence>
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<noun-phrase> jumped over the moon
<article> <noun> jumped over the moon

<article>

the cow jumped over the moon

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the cow jumped over the moon

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<noun-phrase> jumped over the moon

<article> <noun>
the cow jumped over the moon

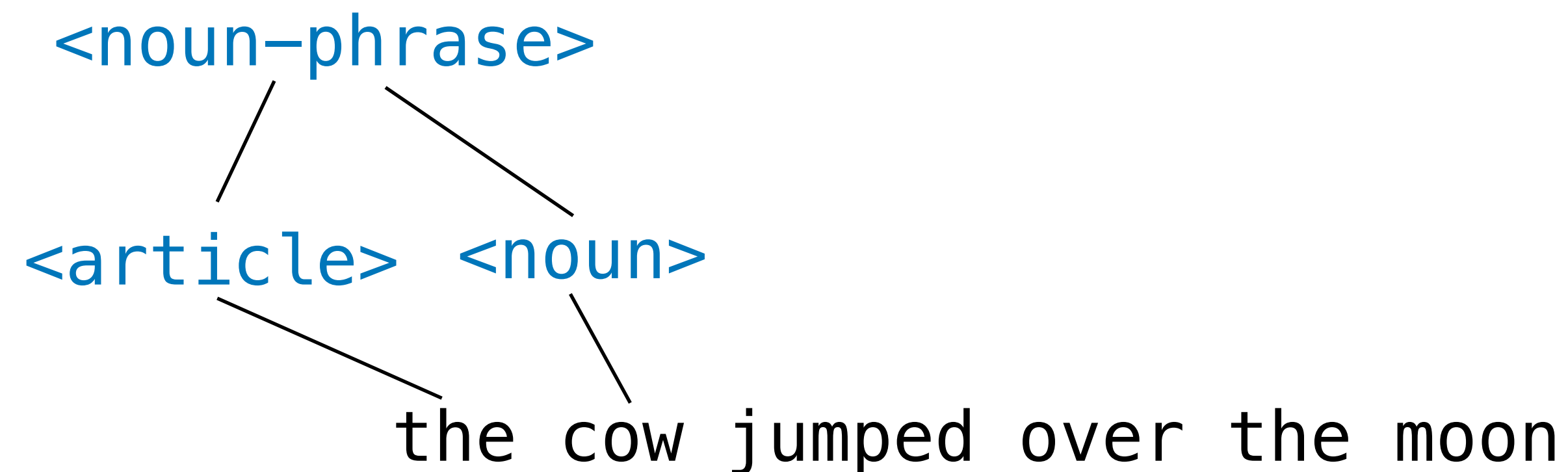
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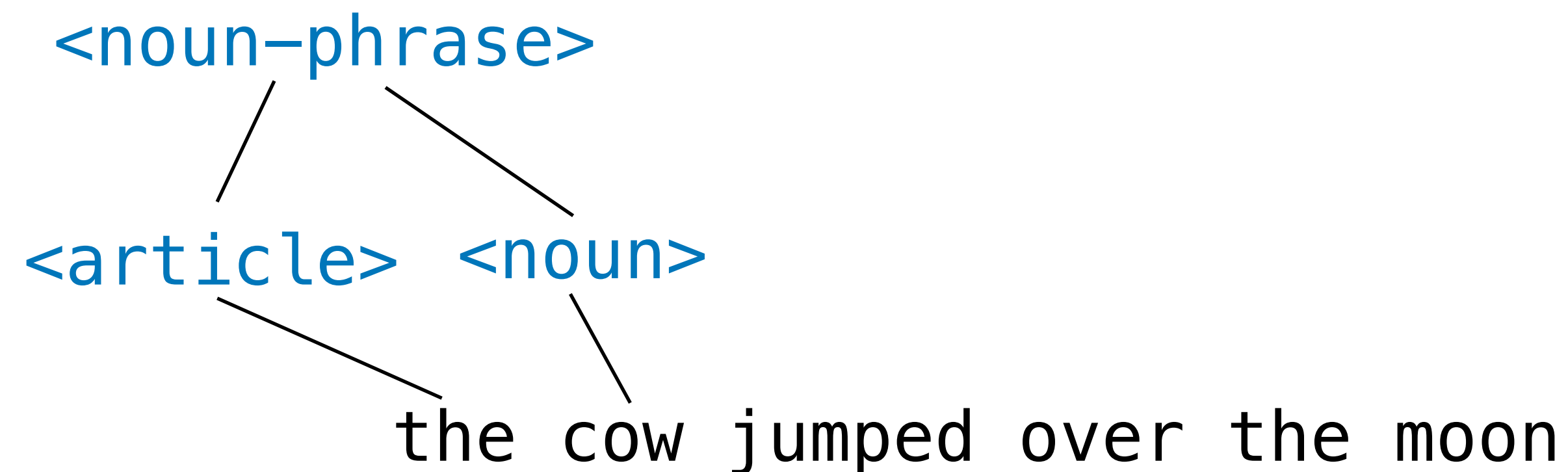
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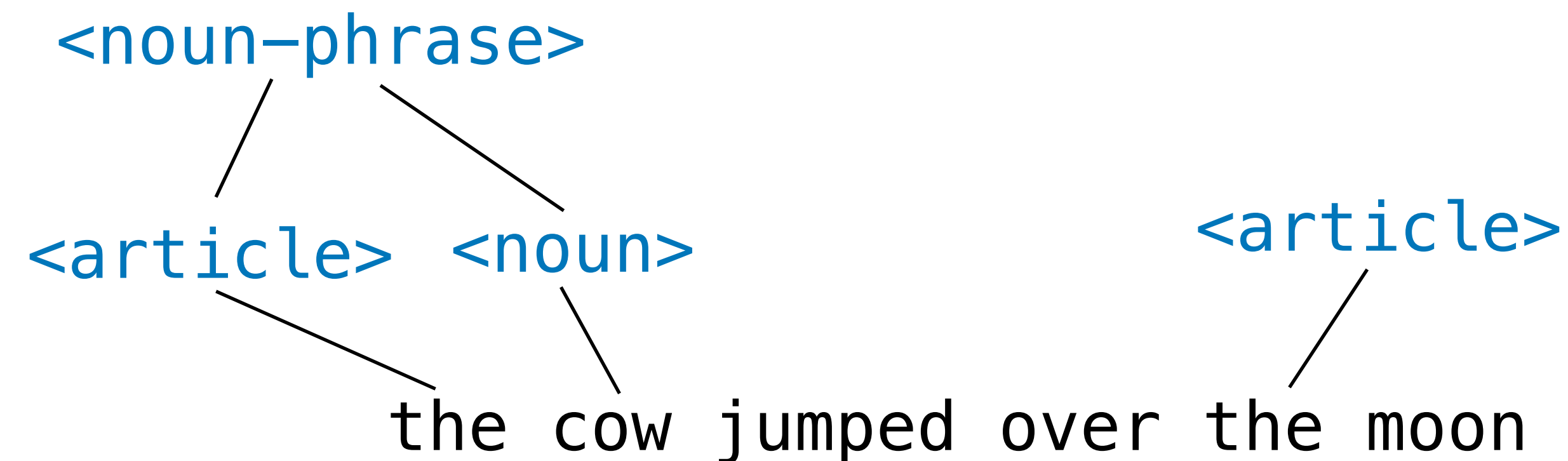
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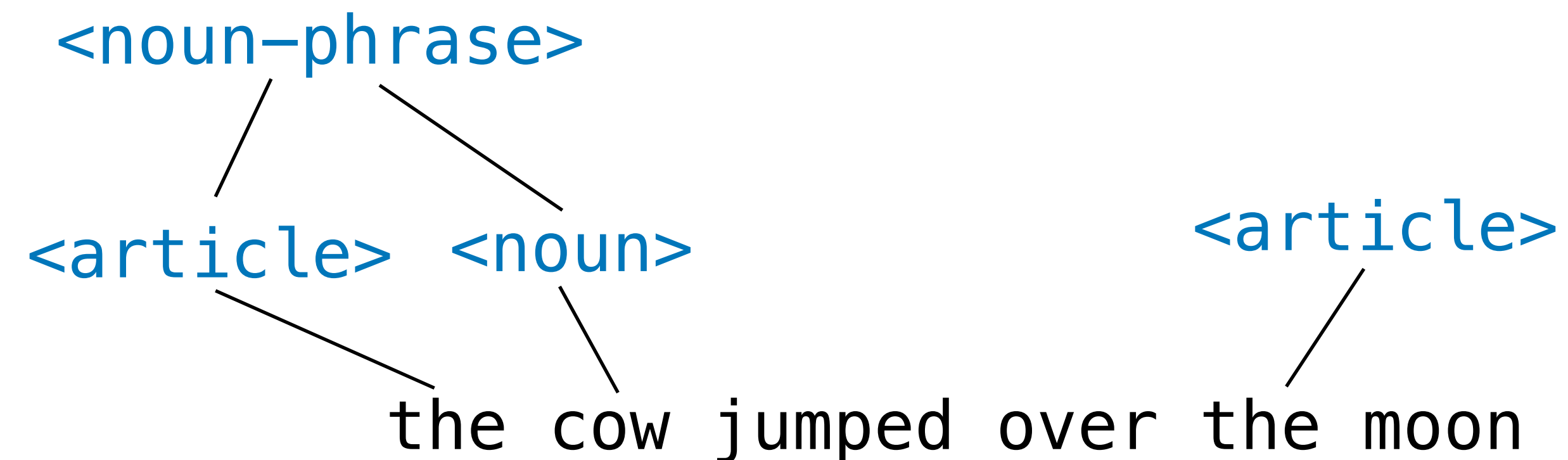
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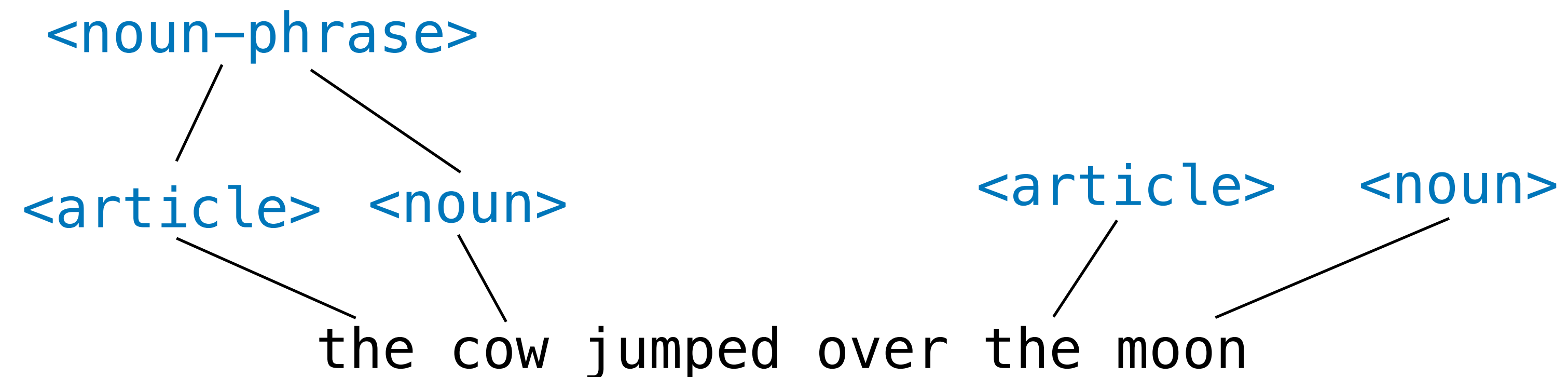
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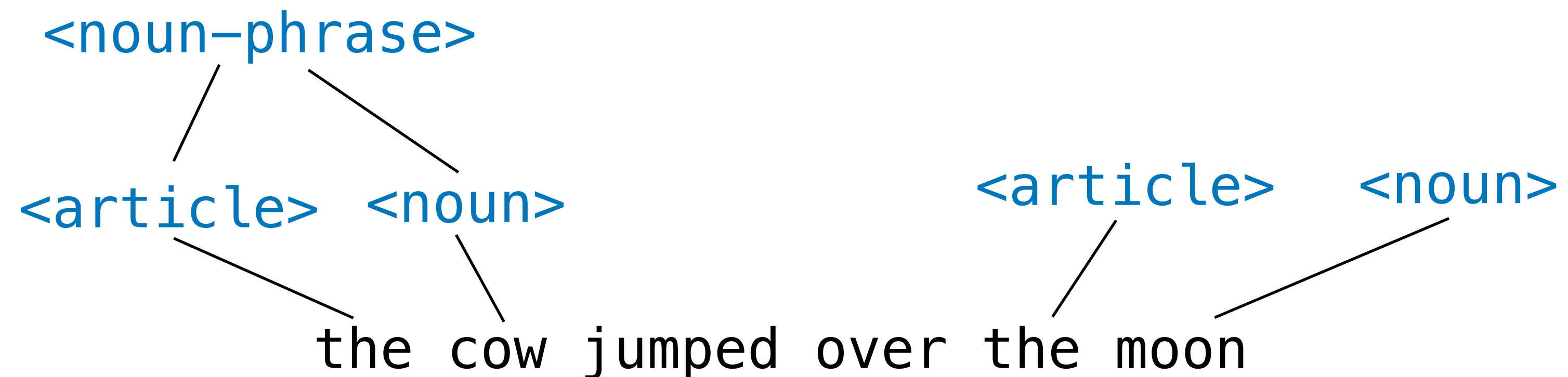
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<noun-phrase>
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<noun-phrase>
 <article> <noun>

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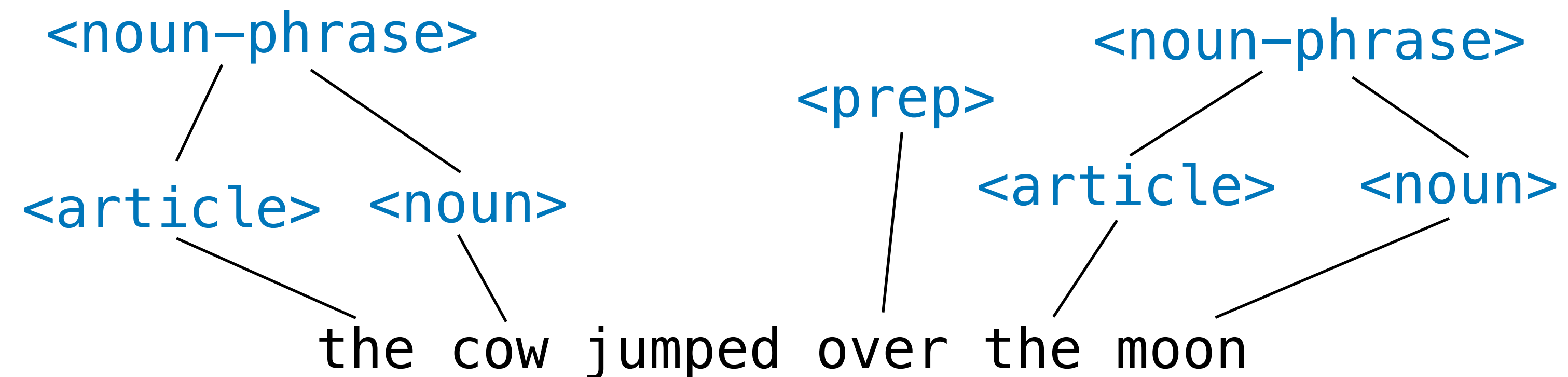
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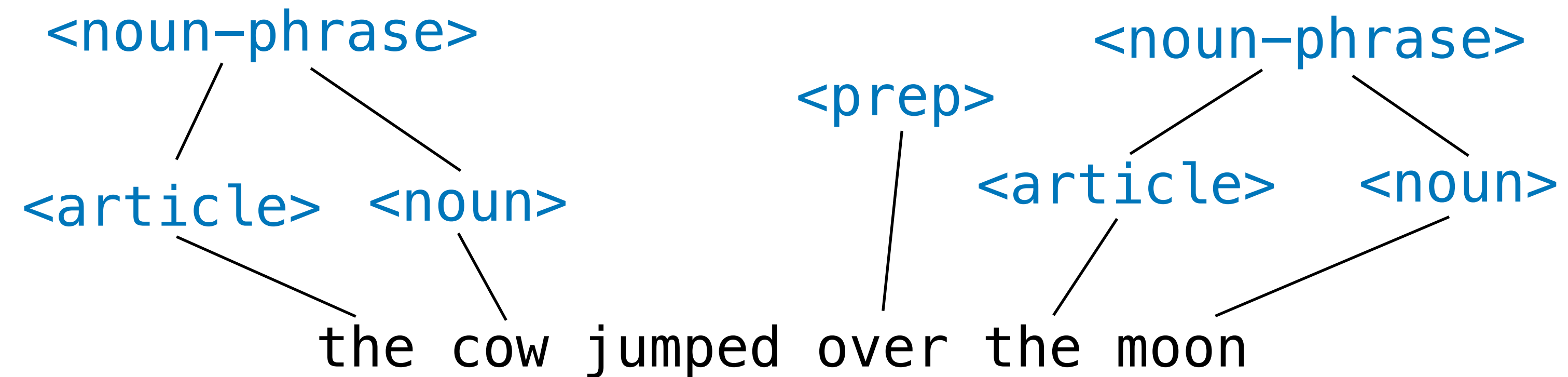
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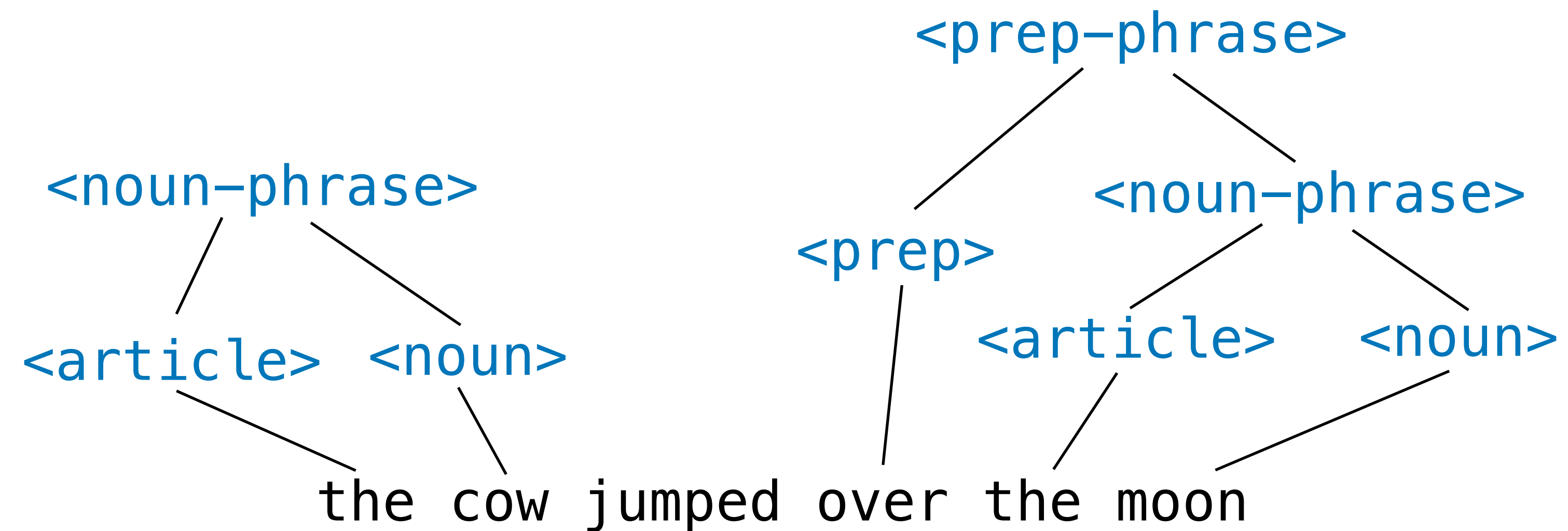


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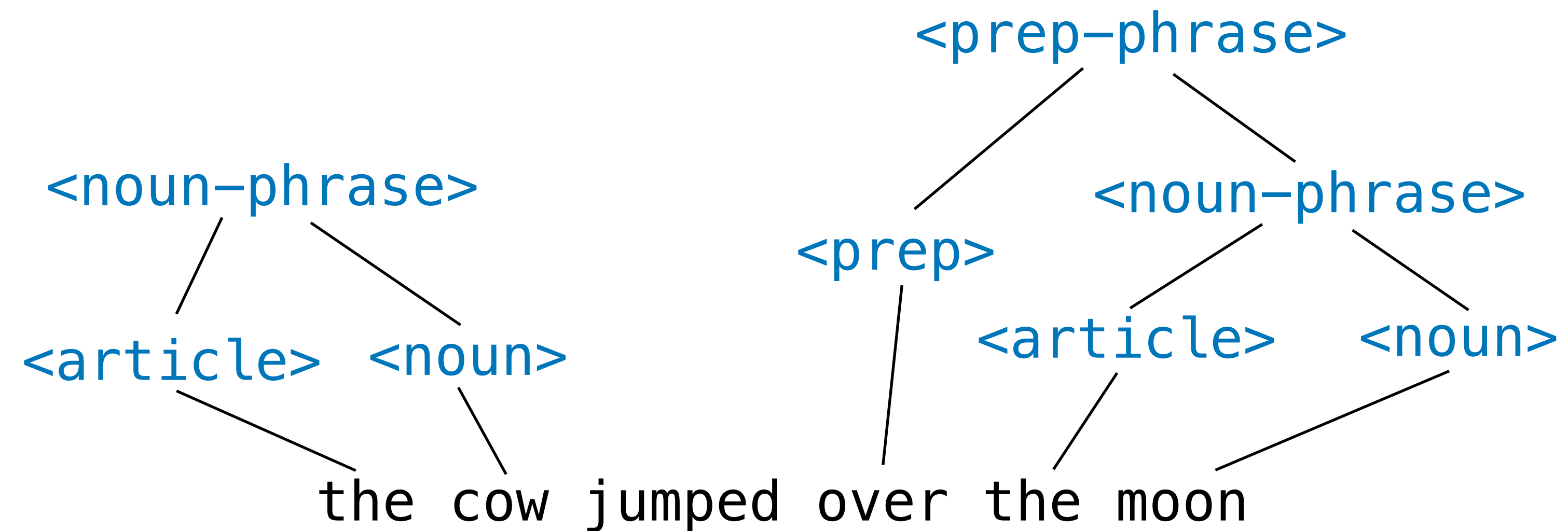


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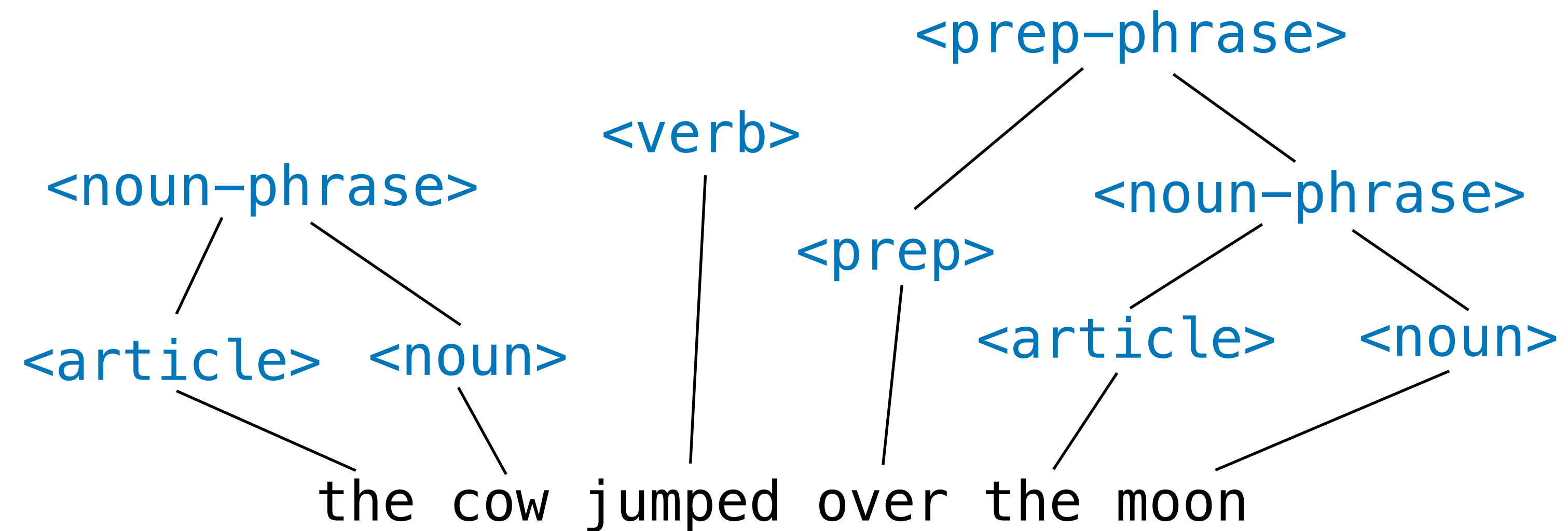
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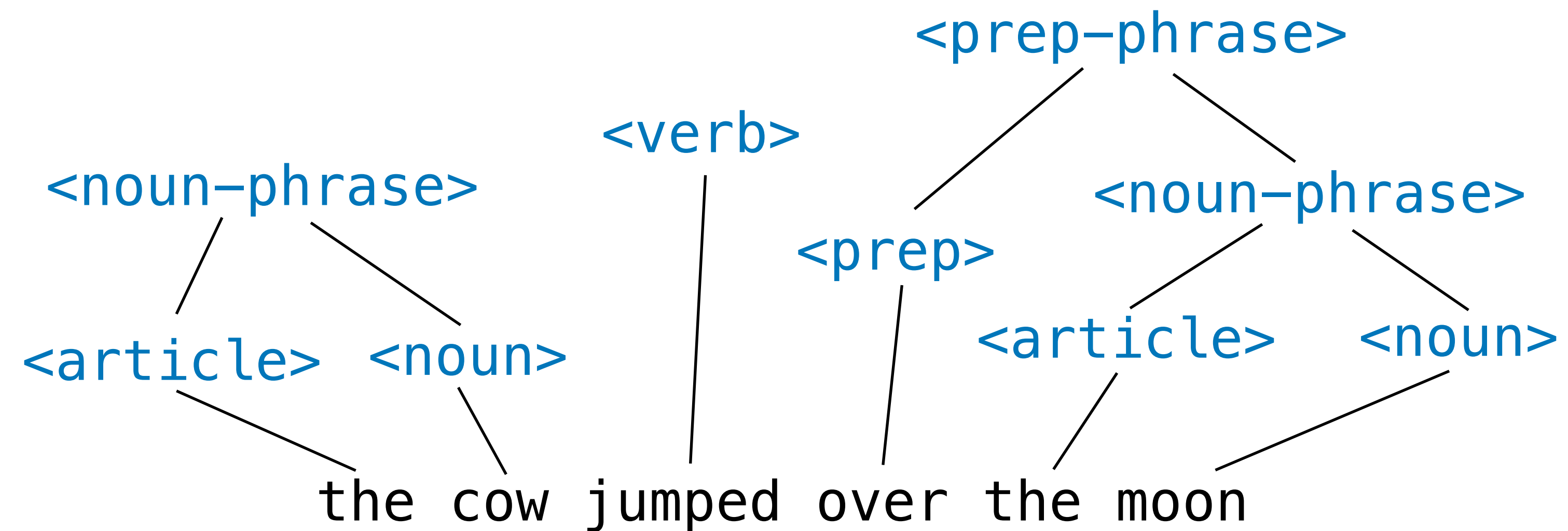


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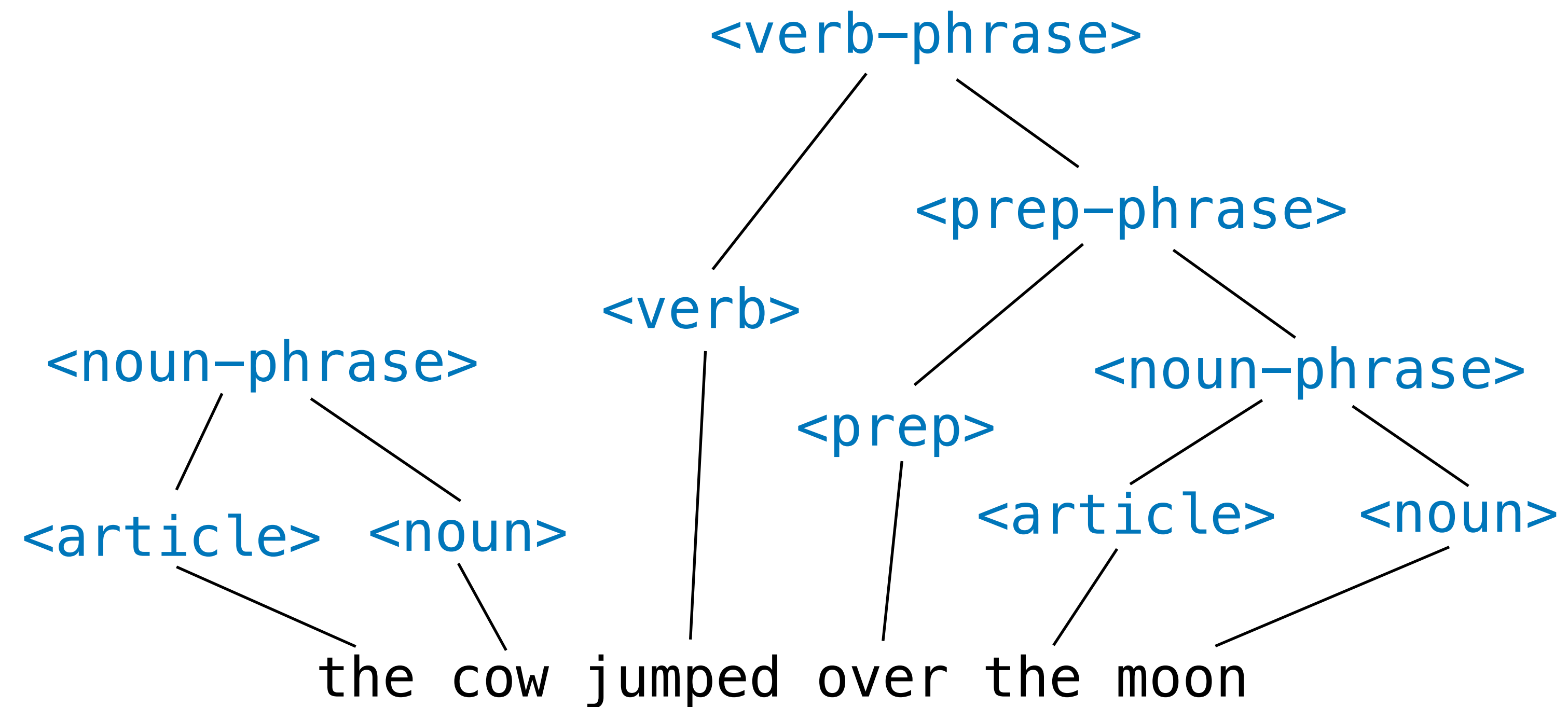
<noun-phrase>

<verb-phrase>



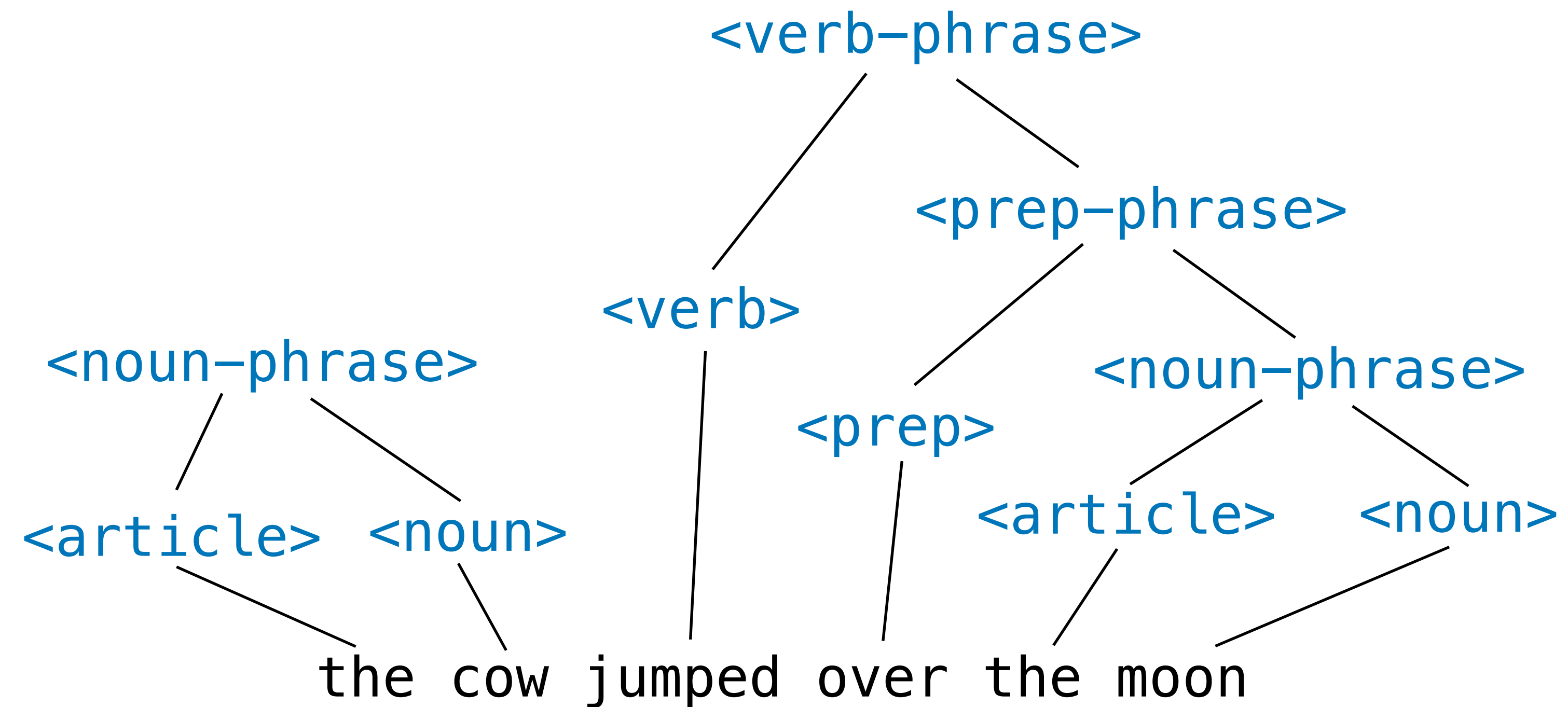
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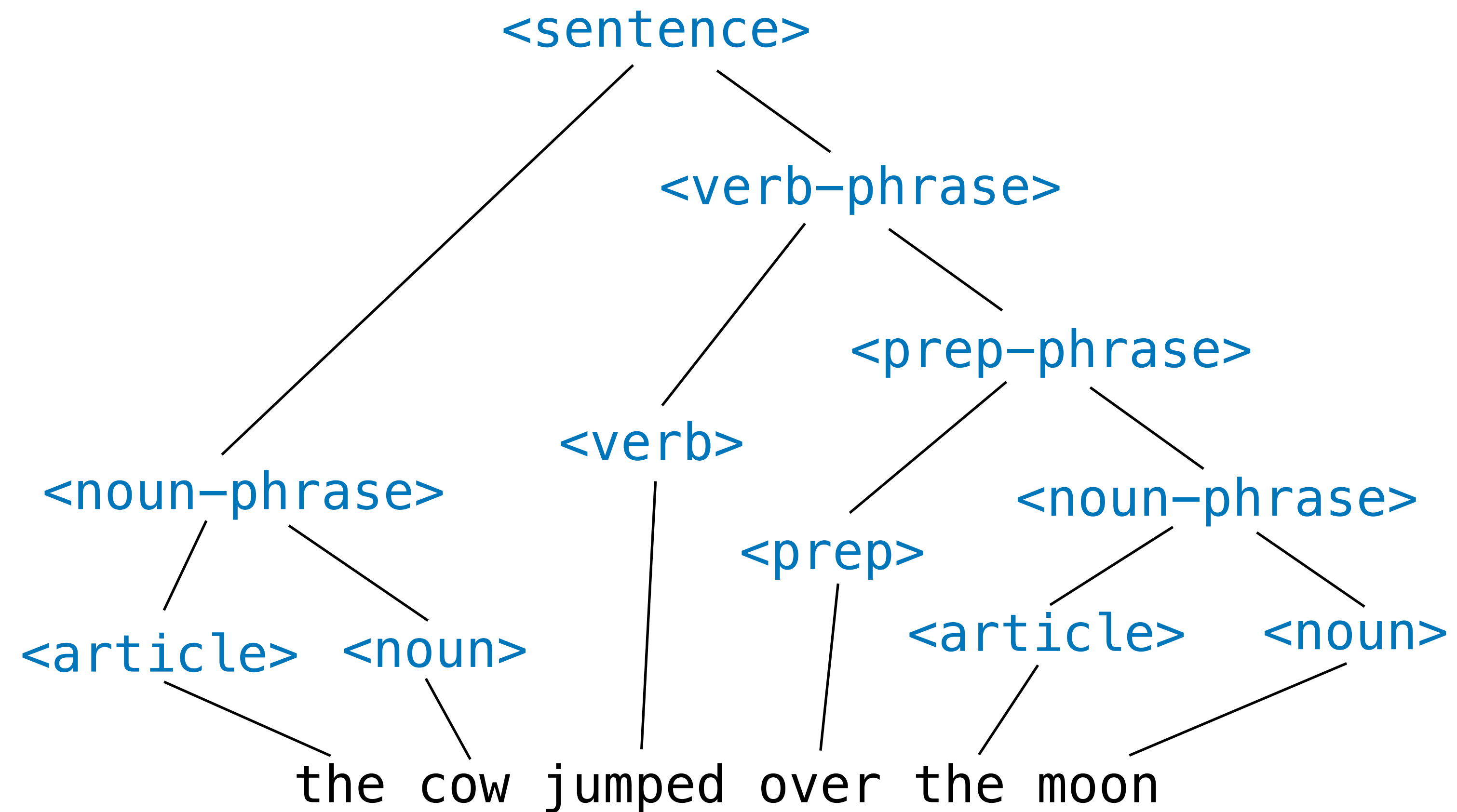


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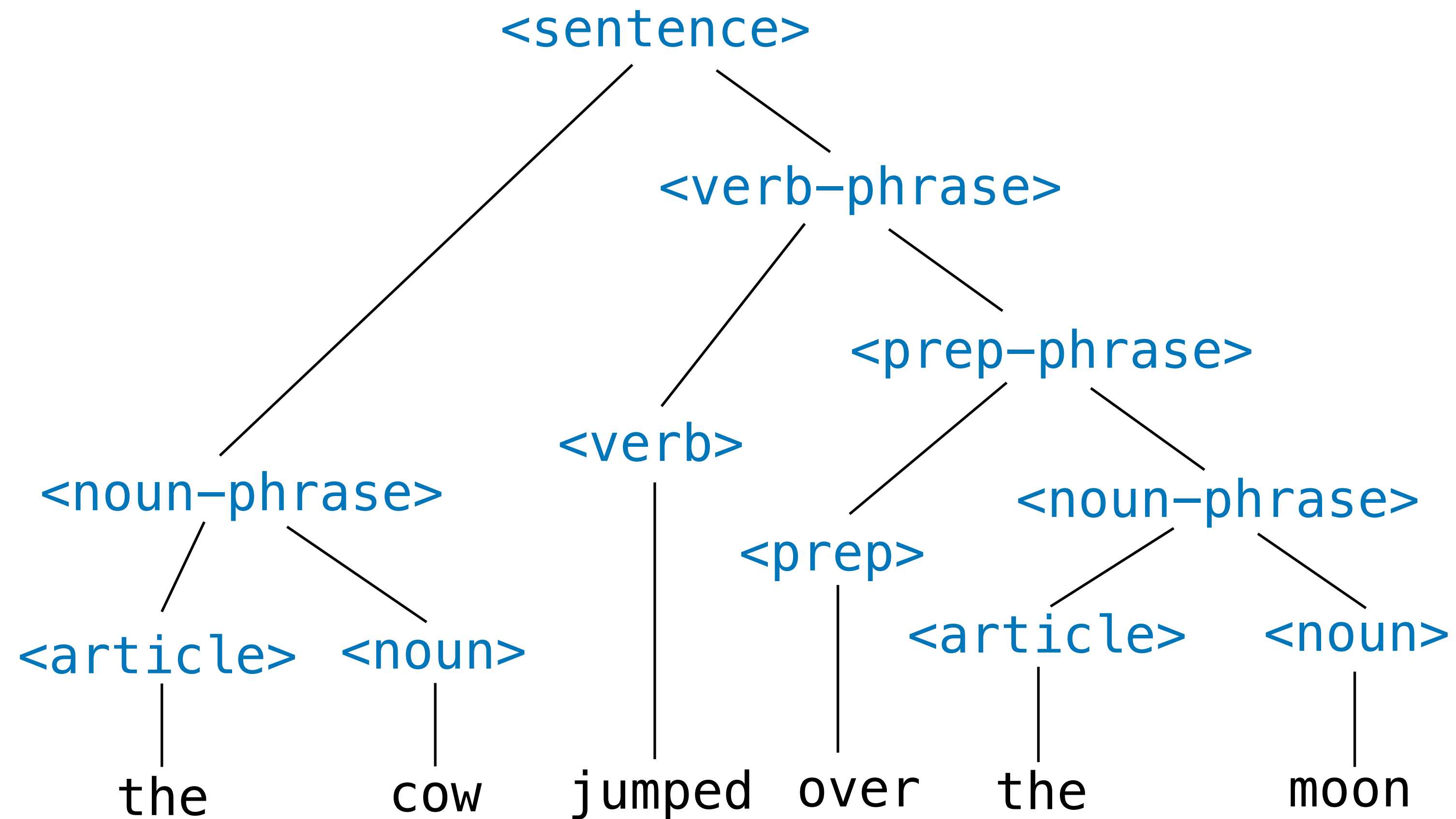
<sentence>



A Derivation



A Parse Tree



A derivation encodes hierarchical structure

Definitions (Symbols and Sentences)

<noun-phrase> jumped over <noun-phrase>

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Nonterminal symbols are symbols we will be allowed to "expand" (e.g., <article>)

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Terminal symbols are symbols cannot be further expanded (e.g. *moon*)

A **sentential form** is a sequence of terminal or nonterminal symbols

A **sentence** is a sequence of *only terminal* symbols

Production Rules

$\langle \text{non-term} \rangle ::= \textit{sent-form1} \mid \textit{sent-form2} \mid \dots$

Production Rules

`<non-term> ::= sent-form1 | sent-form2 | ...`

A **(BNF) production rule** describes what we can replace a non-terminal symbol with in a derivation

Production Rules

$\langle \text{non-term} \rangle ::= \text{sent-form1} \mid \text{sent-form2} \mid \dots$

"can be replaced with"

"or"

"or"

A (BNF) **production rule** describes what we can replace a non-terminal symbol with in a derivation

alternative

The "|" means: we can replace it with one or the other sentential forms on either side of the "|"

Recall: Let-Expressions (Syntax Rule)

$\langle \text{expr} \rangle ::= \text{let } \langle \text{var} \rangle = \langle \text{expr} \rangle \text{ in } \langle \text{expr} \rangle$

If x is a valid variable name, and e_1 is a well-formed expression and e_2 is a well-formed expression then

$\text{let } x = e_1 \text{ in } e_2$

is a well-formed expression

Example

<sentence> ::= <noun-phrase> <verb-phrase>

<verb-phrase> ::= <verb> <prep-phrase>

<noun> ::= cow | moon

BNF Grammar

```
<sentence> ::= <noun-phrase> <verb-phrase>
<verb-phrase> ::= <verb> <prep-phrase>
                  | <verb>
<prep-phrase> ::= <prep> <noun-phrase>
<noun-phrase> ::= <article> <noun>
<article> ::= the
<noun> ::= cow
          | moon
<verb> ::= jumped
<prep> ::= over
```

BNF Grammar

A **BNF grammar** is defined by a collection of production rules and a **starting (nonterminal) symbol**

```
<sentence> ::= <noun-phrase> <verb-phrase>
<verb-phrase> ::= <verb> <prep-phrase>
                  | <verb>
<prep-phrase> ::= <prep> <noun-phrase>
<noun-phrase> ::= <article> <noun>
<article> ::= the
<noun> ::= cow
          | moon
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A **BNF grammar** is defined by a collection of production rules and a **starting (nonterminal) symbol**

Note. We don't specify the symbols of a grammar, they are implicit in the rules

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<sentence> ::= <noun-phrase> <verb-phrase>
<verb-phrase> ::= <verb> <prep-phrase>
                  | <verb>
<prep-phrase> ::= <prep> <noun-phrase>
<noun-phrase> ::= <article> <noun>
<article> ::= the
<noun> ::= cow
          | moon
<verb> ::= jumped
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Note. We don't specify the start symbol, it's the left nonterminal symbol in the **first rule**

starting
↓

<sentence>	::=	<noun-phrase> <verb-phrase>
<verb-phrase>	::=	<verb> <prep-phrase> <verb>
<prep-phrase>	::=	<prep> <noun-phrase>
<noun-phrase>	::=	<article> <noun>
<article>	::=	the
<noun>	::=	cow moon
<verb>	::=	jumped
<prep>	::=	over

Example

<expr>	::=	<op1>	<expr>	
	 	<op2>	<expr>	<expr>
	 	<var>		
<op1>	::=	not		
<op2>	::=	and	 	or
<var>	::=	x	 	y z

Example

<expr> ::= <op1> <expr>
 | <op2> <expr> <expr>
 | <var>

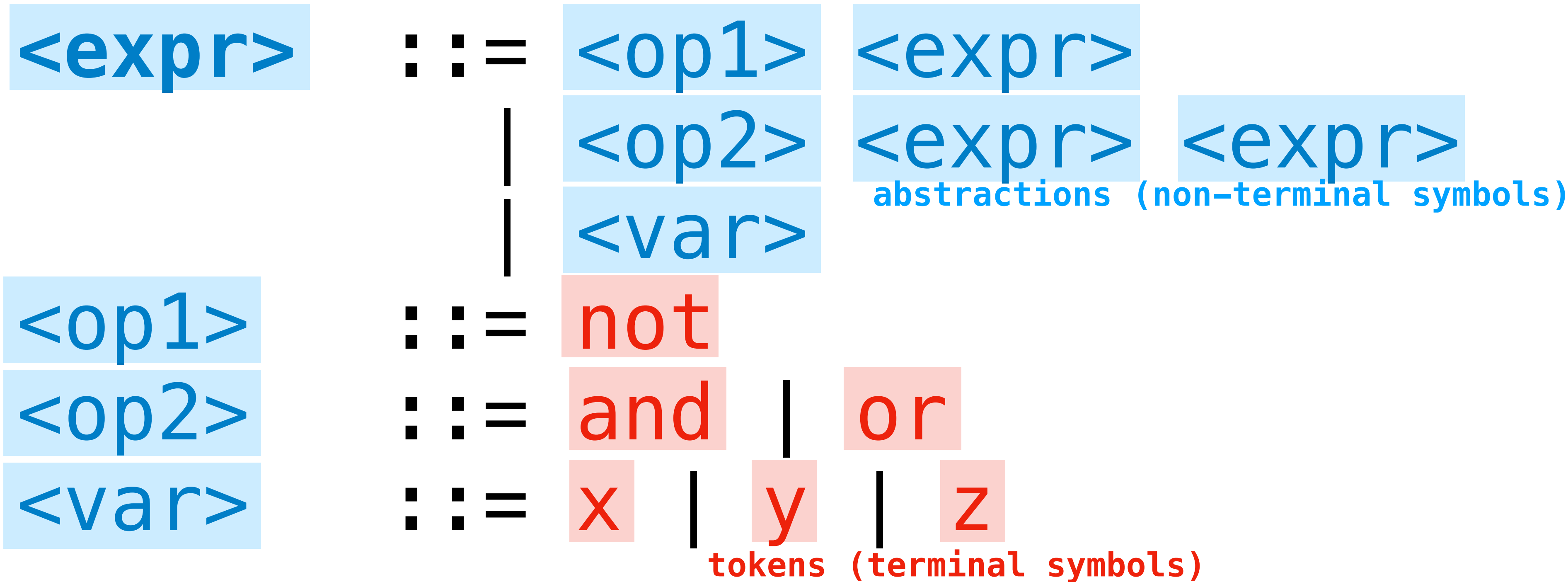
<op1> ::= not

<op2> ::= and | or

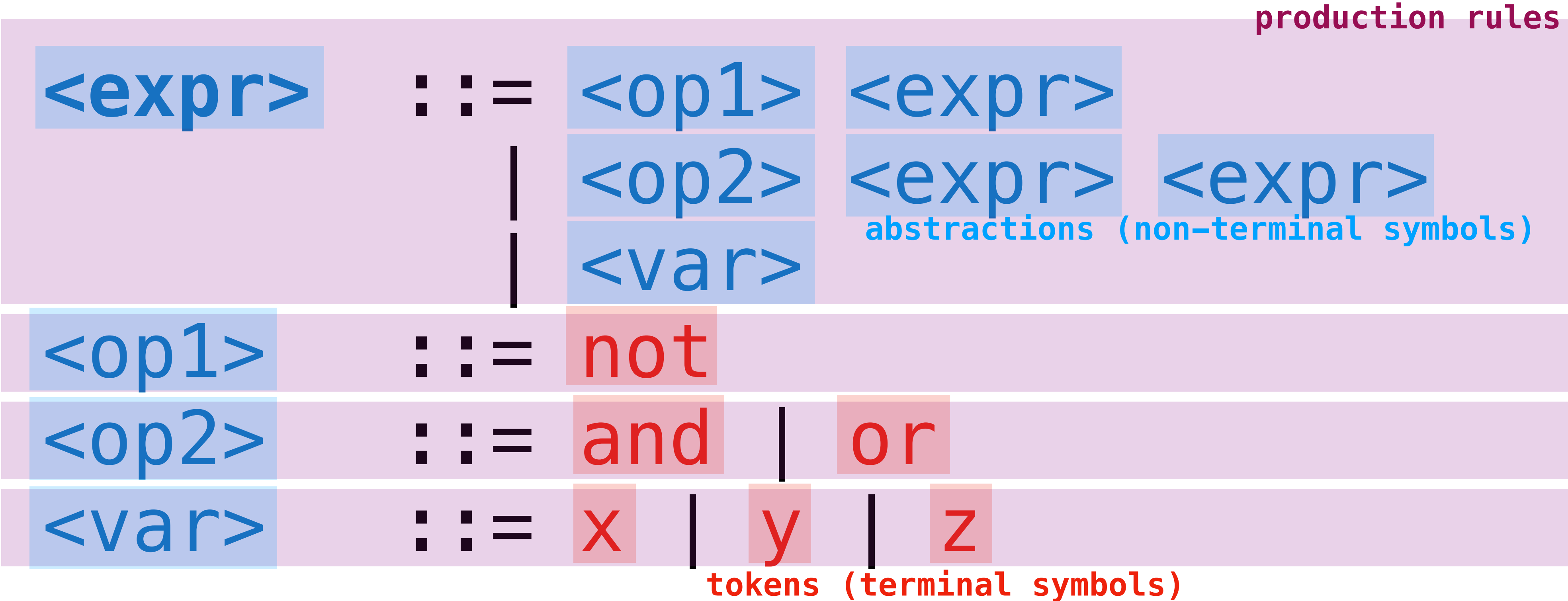
<var> ::= x | y | z

tokens (terminal symbols)

Example



Example



Example

non terminal

<code><sentence></code>	<code>::=</code>	<code><noun-phrase></code> <code><verb-phrase></code>
<code><verb-phrase></code>	<code>::=</code>	<code><verb></code> <code><prep-phrase></code> <code><verb></code>
<code><prep-phrase></code>	<code>::=</code>	<code><prep></code> <code><noun-phrase></code>
<code><noun-phrase></code>	<code>::=</code>	<code><article></code> <code><noun></code>
<code><article></code>	<code>::=</code>	the
<code><noun></code>	<code>::=</code>	cow moon
<code><verb></code>	<code>::=</code>	jumped
<code><prep></code>	<code>::=</code>	over

terminal

What are the nonterminal and terminal symbols of this grammar?

Derivations and Parse Trees

Derivations and Parse Trees

Definition. A **derivation** is a
sequence of sentential forms
(beginning at the start symbol) in
which each form is the result of
replacing a non-terminal symbol in
the previous form according to a
production rule

Derivations and Parse Trees

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Definition. A **leftmost derivation** is a derivation in which the leftmost nonterminal symbol is replaced in each line

$\langle \text{expr} \rangle ::= \langle \text{op1} \rangle \langle \text{expr} \rangle$

Derivations and Parse Trees

$\langle \text{op1} \rangle ::= \text{not}$

$\langle \text{var} \rangle ::= y$

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Definition. A **leftmost derivation** is a derivation in which the leftmost nonterminal symbol is replaced in each line

$\langle \text{expr} \rangle$
 $\langle \text{op2} \rangle \langle \text{expr} \rangle \langle \text{expr} \rangle$
and $\langle \text{expr} \rangle \langle \text{expr} \rangle$
and $\langle \text{op1} \rangle \langle \text{expr} \rangle \langle \text{expr} \rangle$
and not $\langle \text{expr} \rangle \langle \text{expr} \rangle$
and not $\langle \text{var} \rangle \langle \text{expr} \rangle$
and not x $\langle \text{expr} \rangle$
and not x $\langle \text{var} \rangle$
and not x y

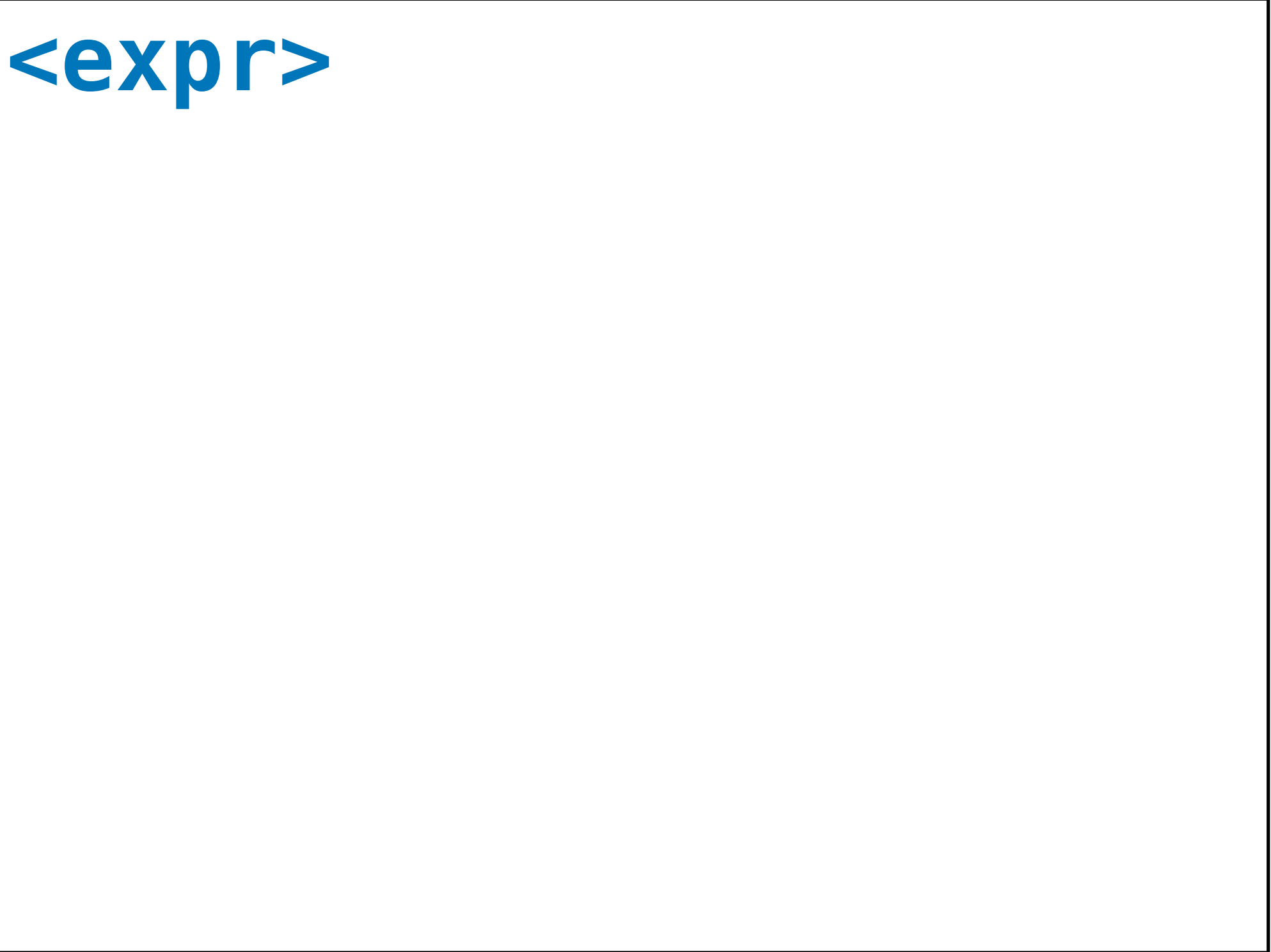
Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

Derivations and Parse Trees

<expr>	::=	<op1>	<expr>	
			<op2>	<expr> <expr>
			<var>	
<op1>	::=	not		
<op2>	::=	and		or
<var>	::=	x		y z

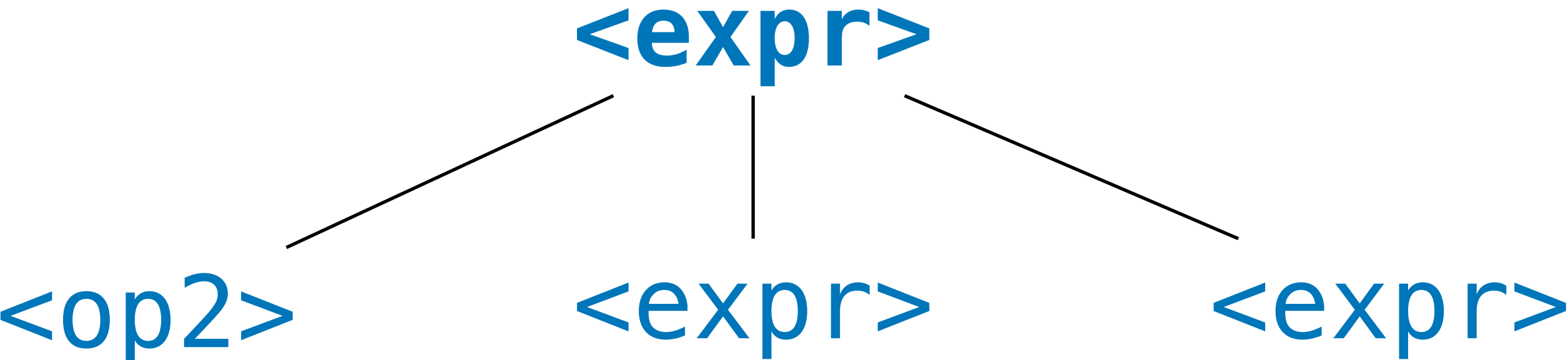
<expr>



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	 or
<var>	::=	x	 y z

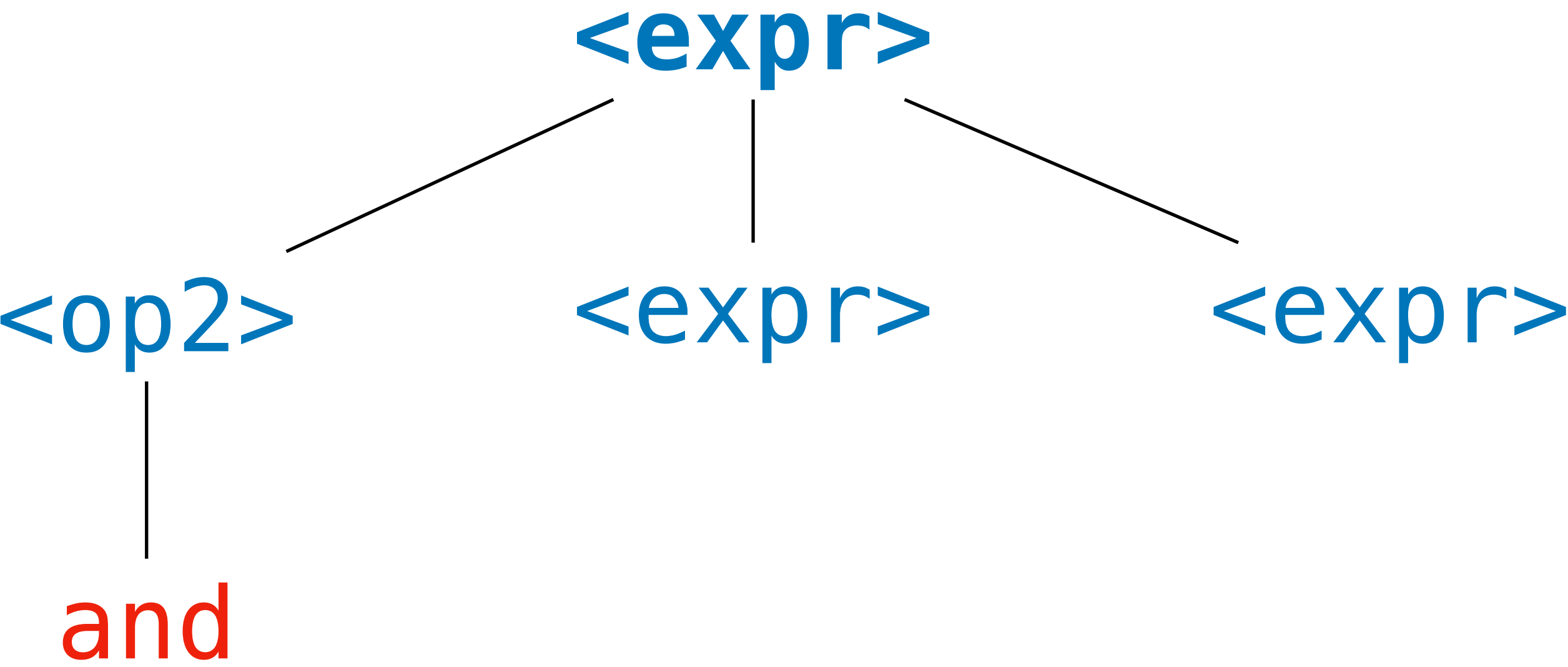
<expr>
<op2> **<expr>** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and or	
<var>	::=	x y z	

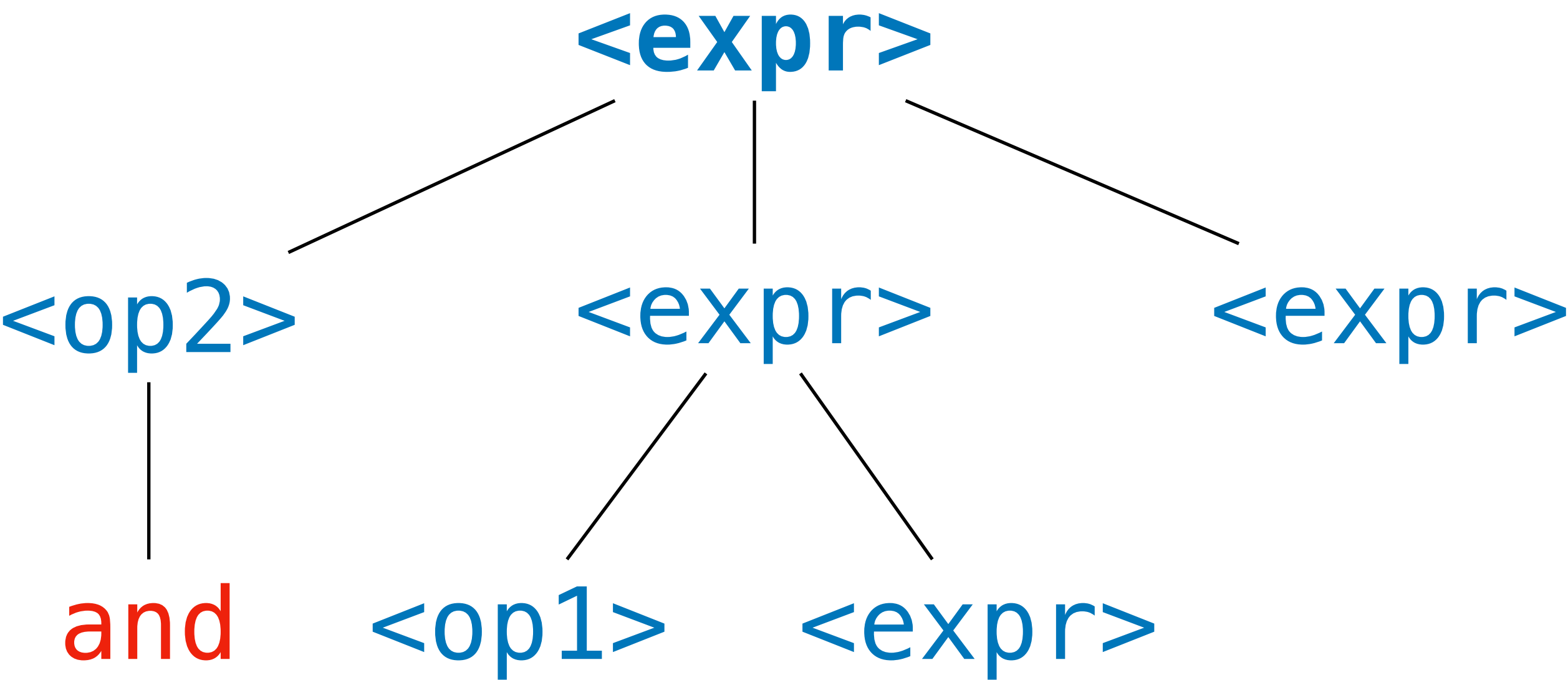
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

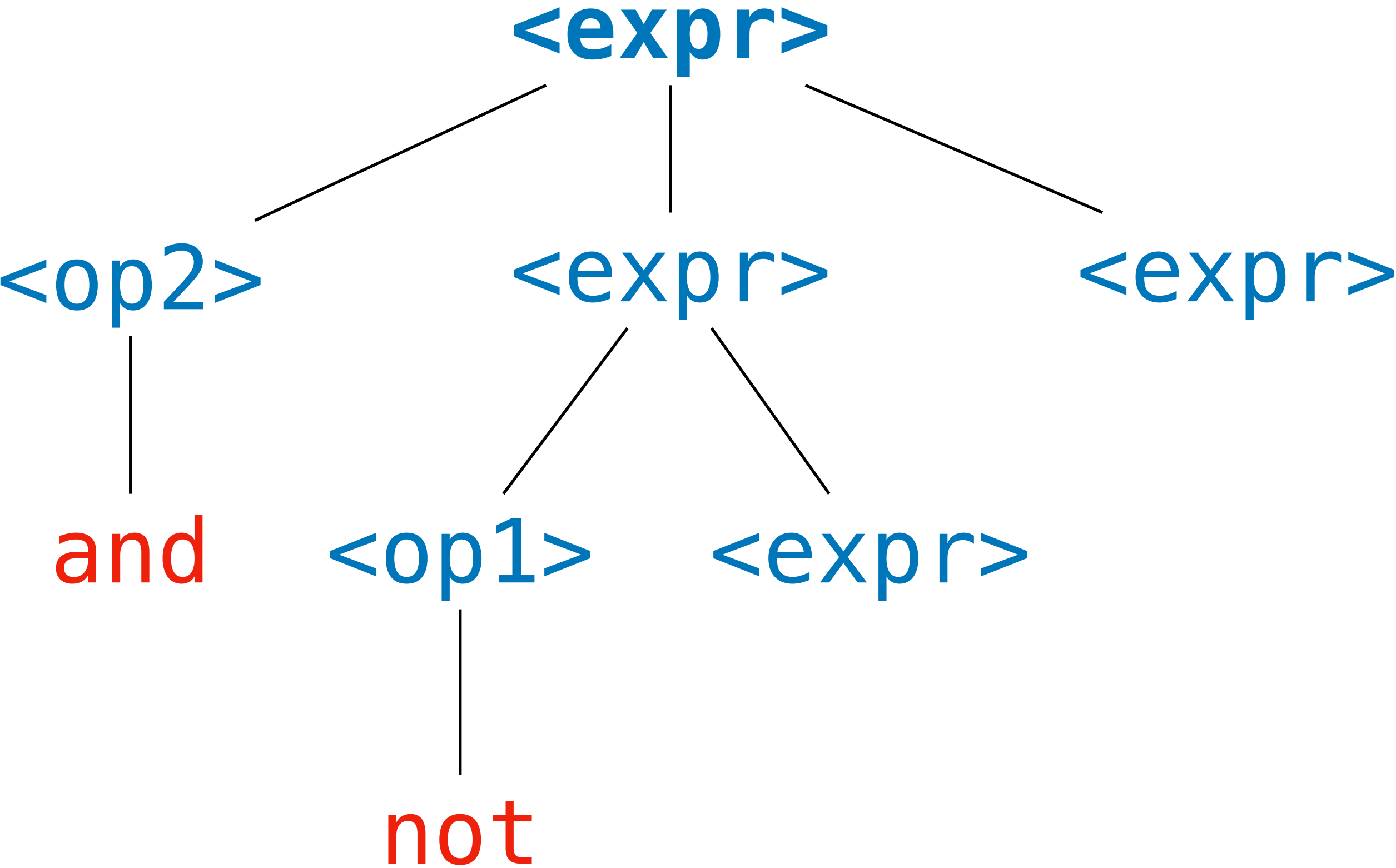
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

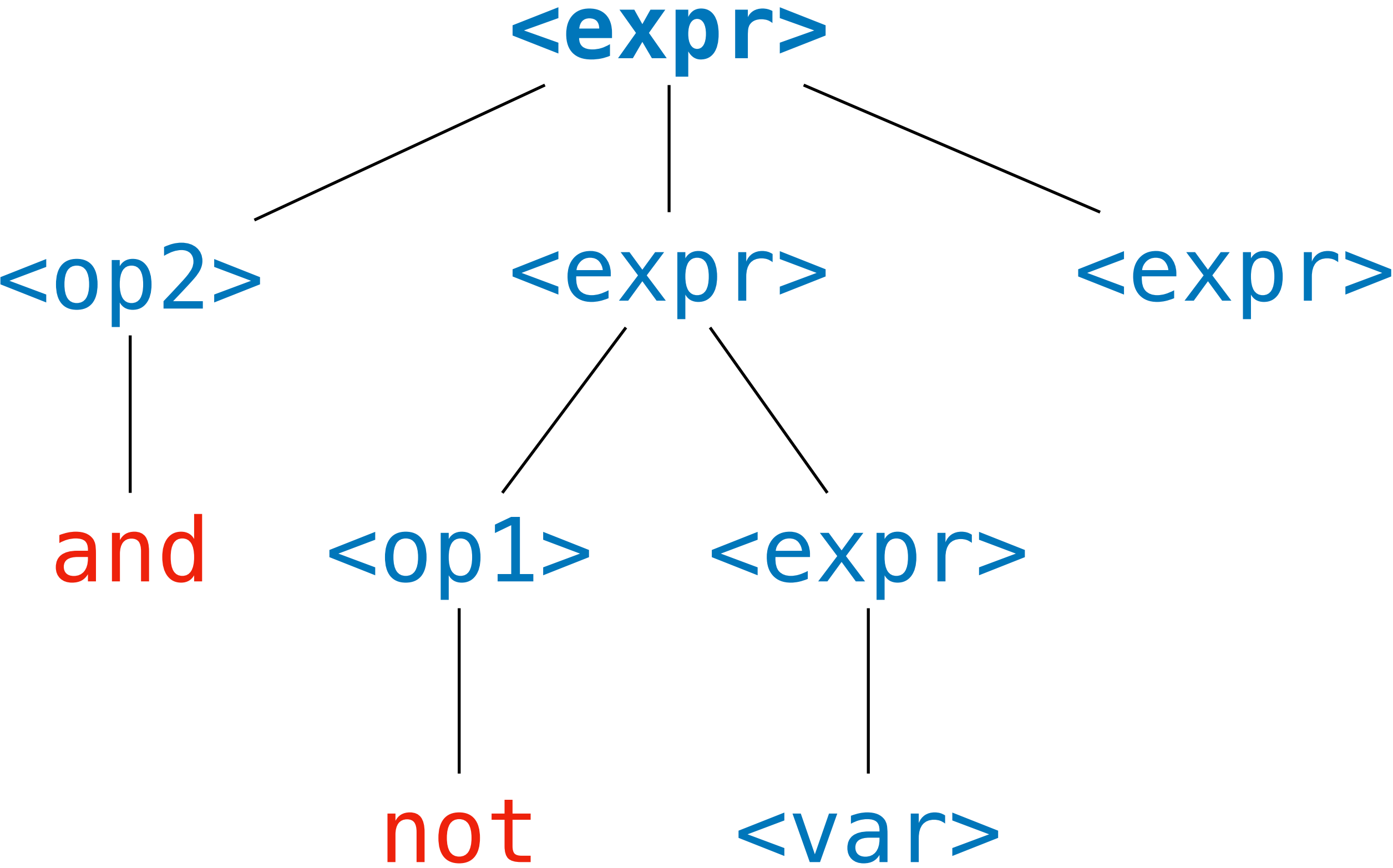
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and **not** **<expr>** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

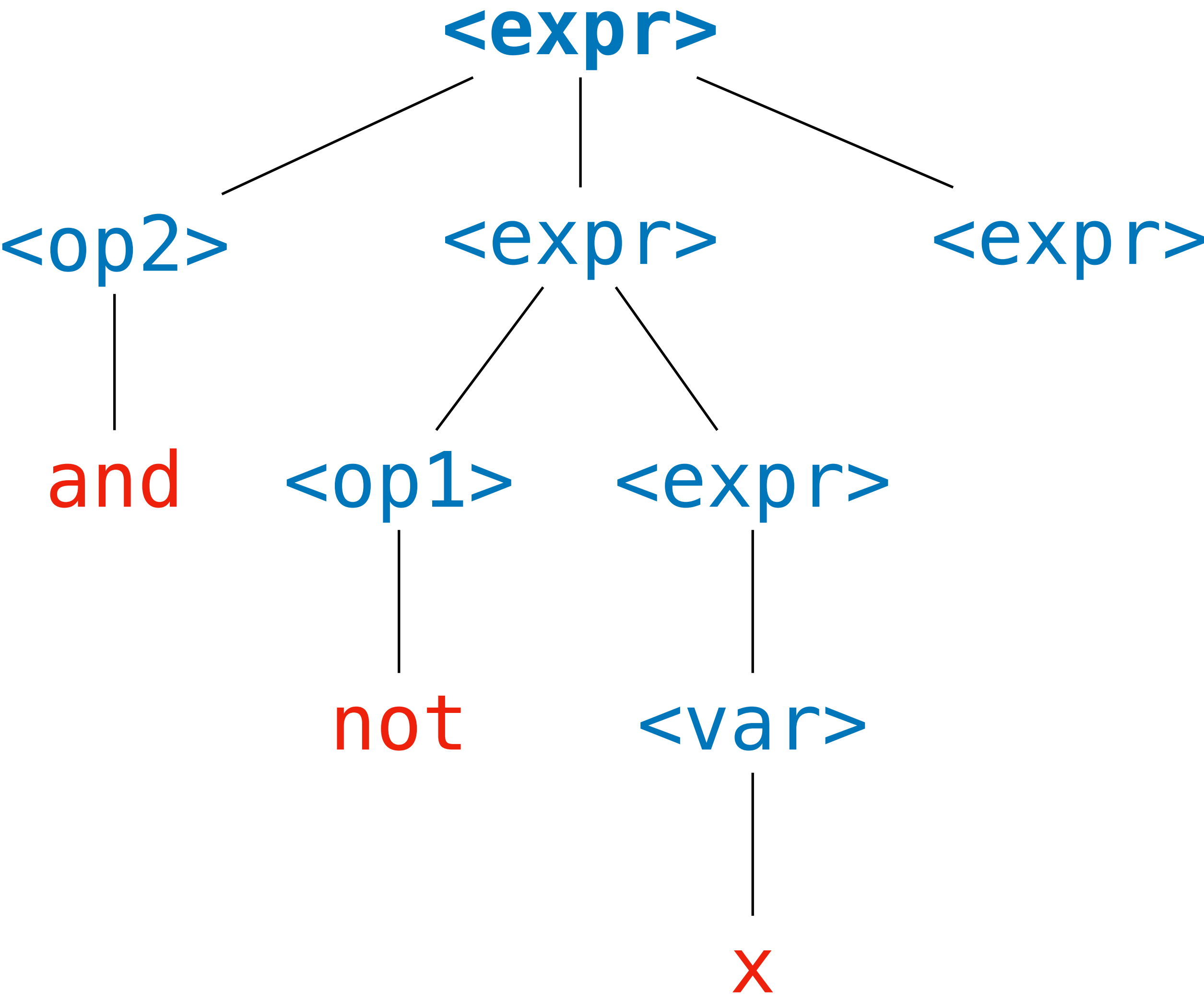
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and **not** **<expr>** **<expr>**
and **not** **<var>** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

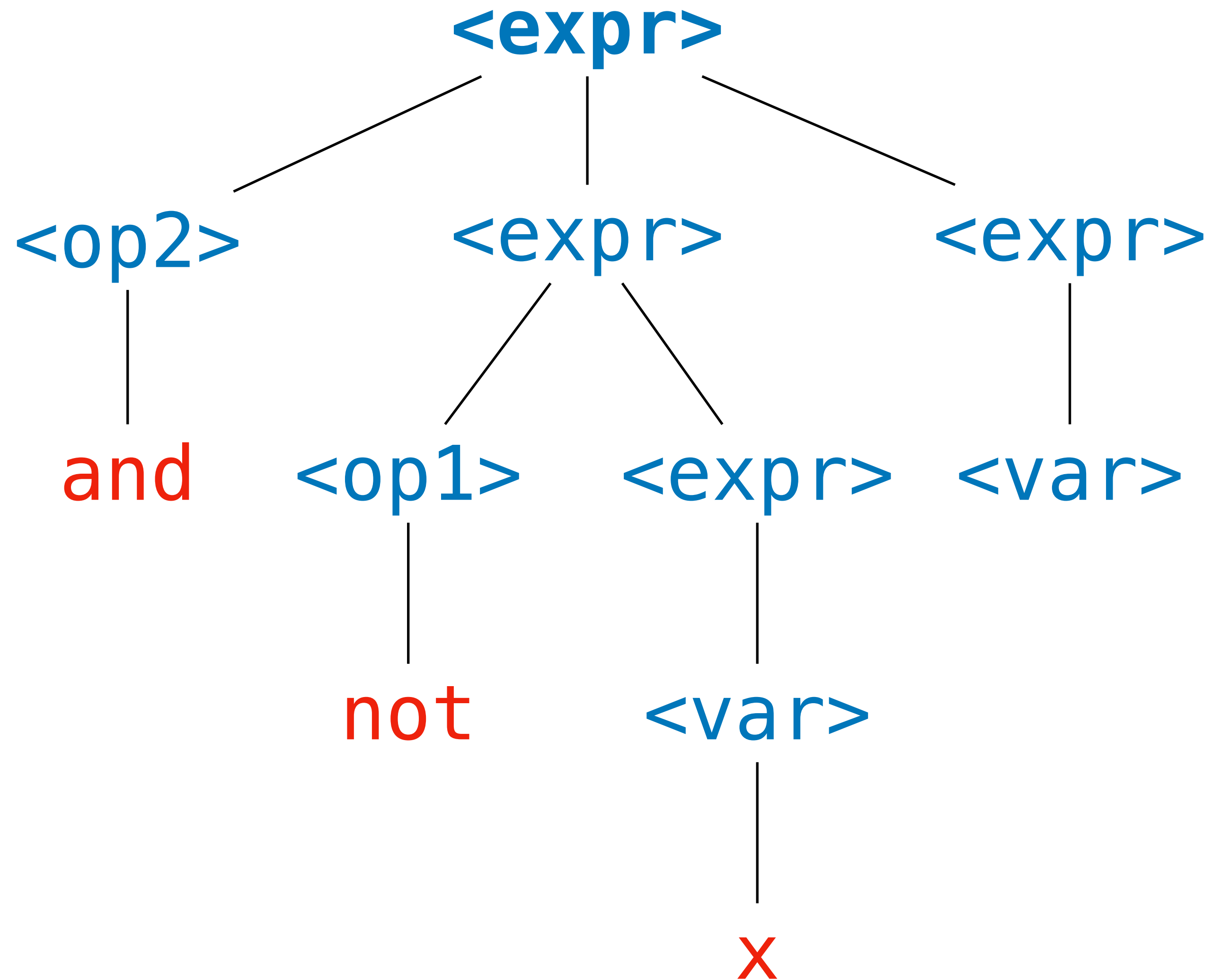
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and not **<expr>** **<expr>**
and not **<var>** **<expr>**
and not **x** **<expr>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

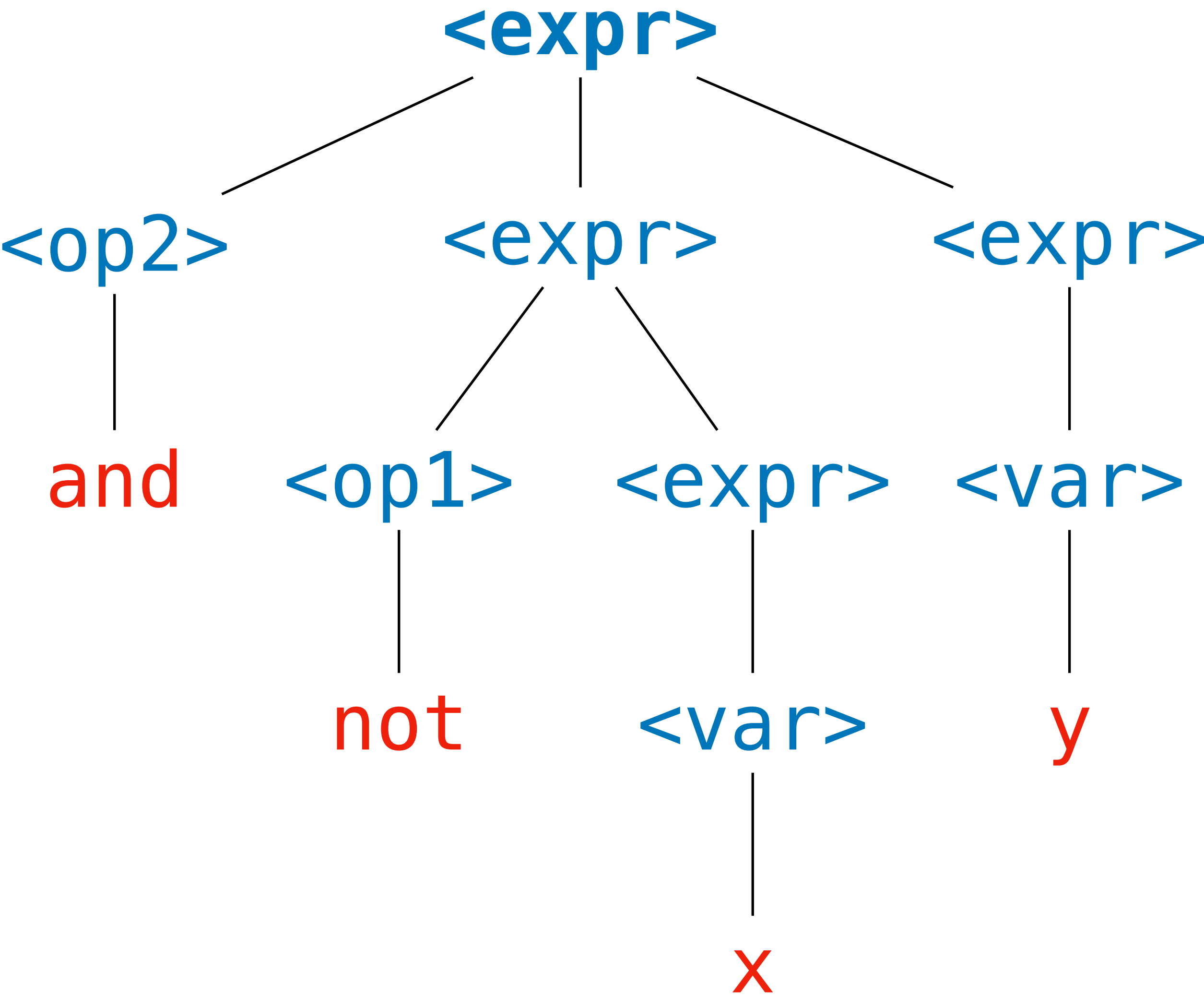
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and not **<expr>** **<expr>**
and not **<var>** **<expr>**
and not **x** **<expr>**
and not **x** **<var>**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and	or
<var>	::=	x	y z

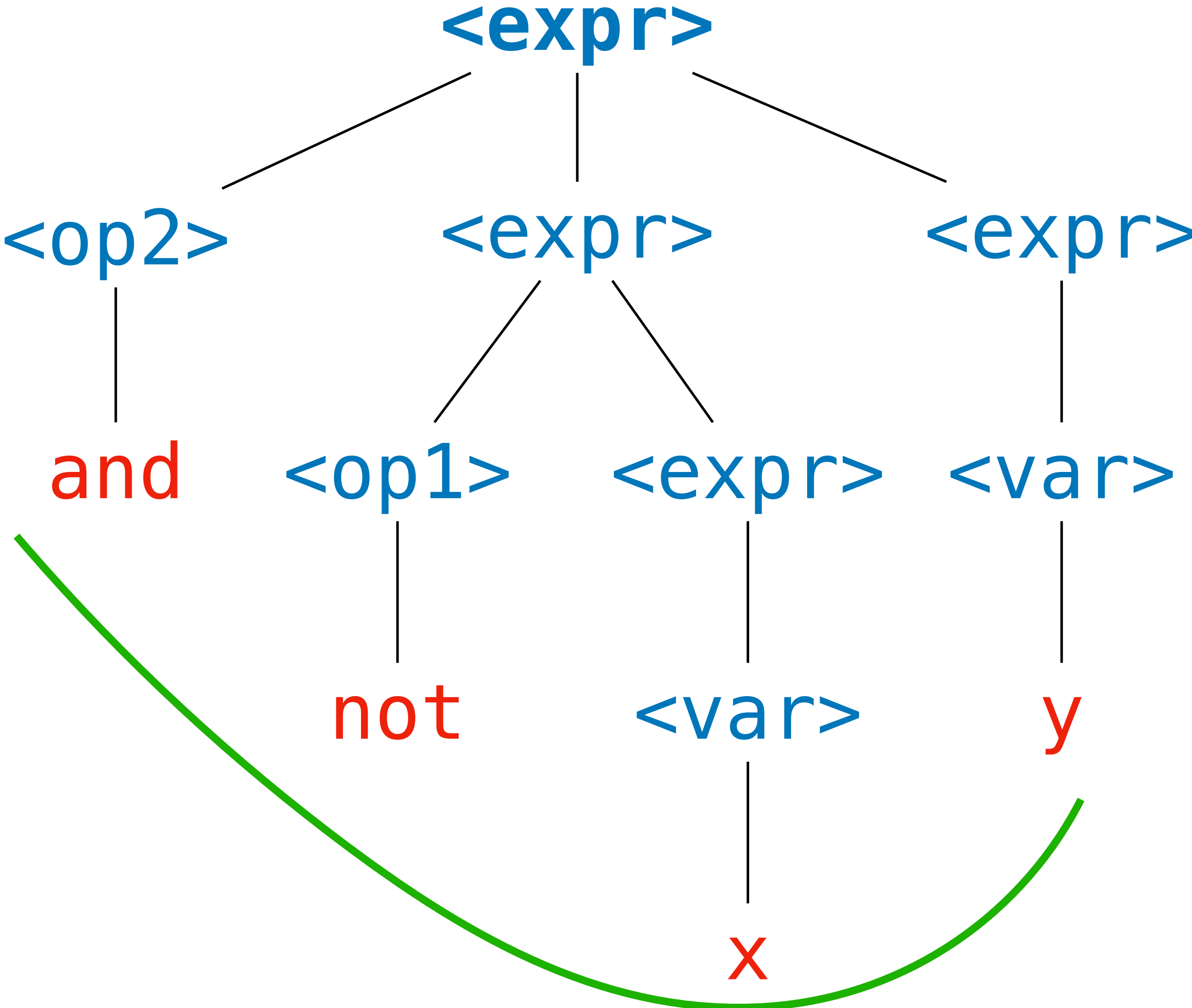
<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and not **<expr>** **<expr>**
and not **<var>** **<expr>**
and not **x** **<expr>**
and not **x** **<var>**
and not **x** **y**



Derivations and Parse Trees

<expr>	::=	<op1>	<expr>
		<op2>	<expr> <expr>
		<var>	
<op1>	::=	not	
<op2>	::=	and or	
<var>	::=	x y z	

<expr>
<op2> **<expr>** **<expr>**
and **<expr>** **<expr>**
and **<op1>** **<expr>** **<expr>**
and **not** **<expr>** **<expr>**
and **not** **<var>** **<expr>**
and **not** **x** **<expr>**
and **not** **x** **<var>**
and **not** **x** **y**



The point: parse trees and
derivations represent the same
hierarchical structure

Why do we care?



Why do we care?



Why do we care?



Why do we care?



We will parse **token streams** into **parse trees**

Why do we care?



We will parse **token streams** into **parse trees**

*It is much easier to **evaluate** something hierarchical than something which is linear*

Practice Problem

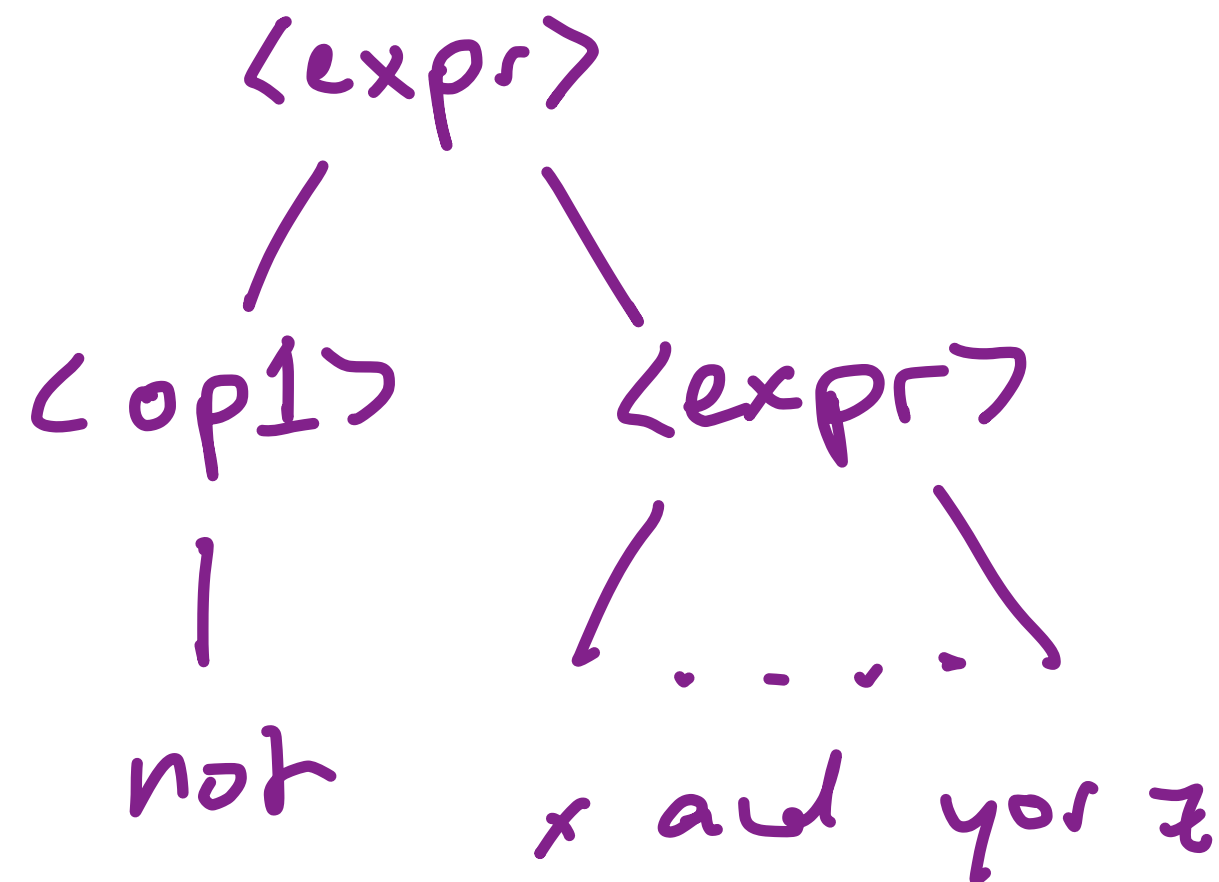
$(\text{not } x \text{ and } y) \text{ or } z$
 $\text{not } x \text{ and } (y \text{ or } z)$
 $\text{not } (x \text{ and } (y \text{ or } z))$

$\langle \text{expr} \rangle$	$::=$	$\langle \text{op1} \rangle \langle \text{expr} \rangle$
		\mid
		$\langle \text{expr} \rangle \langle \text{op2} \rangle \langle \text{expr} \rangle$
		\mid
		$\langle \text{var} \rangle$
$\langle \text{op1} \rangle$	$::=$	not
$\langle \text{op2} \rangle$	$::=$	$\text{and} \mid \text{or}$
$\langle \text{var} \rangle$	$::=$	$x \mid y \mid z$

Give a derivation of not x and y or z in the above grammar, both as a sequence of sentential forms and as a parse tree

(In Python, if x and y and z are **True**, what does this expression evaluate to?)

Answer

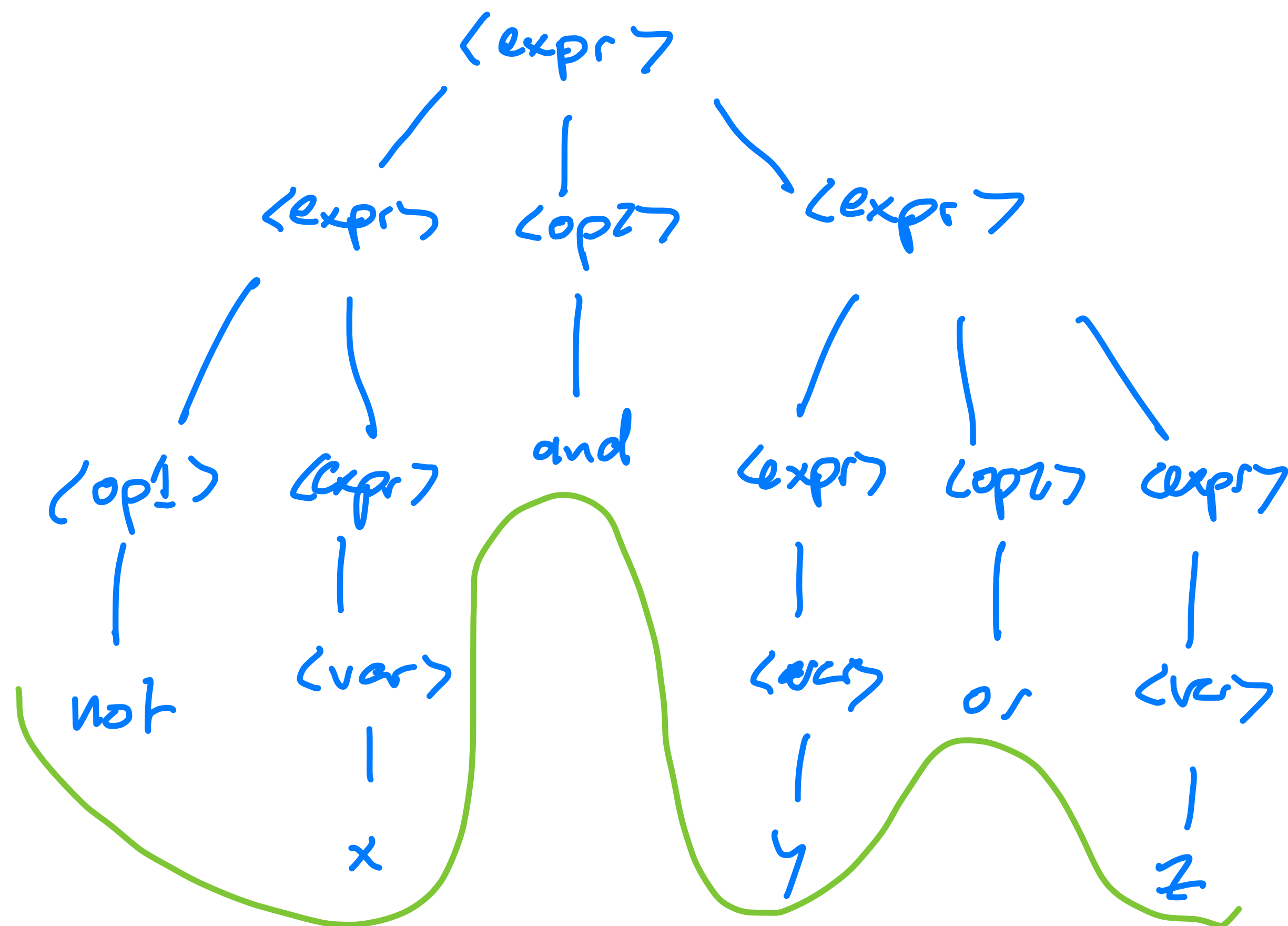


<expr>	::=	<op1> <expr>
		<expr> <op2> <expr>
		<var>
<op1>	::=	not
<op2>	::=	and or
<var>	::=	x y z

not x and y or z

<expr>
 <expr> <op2> <expr>
 <expr> and <expr>
 <expr> and <expr> <op2> <expr>
 <expr> and <expr> or <expr>
 <op1> <expr> and <expr> or <expr>
 not <expr> and <expr> or <expr>
 not <var> and <expr> or <expr>
 not x and <expr> or <expr>

⋮ (exercise)



An Example from 320Caml

```
let x = 2 in if x = z then x else y
```

An Example from 320Caml

`let x = 2 in if x = z then x else y`

How can we demonstrate that this is a well-formed expression?

An Example from 320Caml

`let x = 2 in if x = z then x else y`

How can we demonstrate that this is a well-formed expression?

Answer: We'll build a derivation/parse tree for it with the root `<expr>`!

Recall: Let-Expressions (Syntax Rule)

$$\langle \text{expr} \rangle ::= \text{let } \langle \text{var} \rangle = \langle \text{expr} \rangle \text{ in } \langle \text{expr} \rangle$$

If x is a valid variable name, and e_1 is a well-formed expression and e_2 is a well-formed expression then

$$\text{let } x = e_1 \text{ in } e_2$$

is a well-formed expression

Recall: If-Expressions (Syntax Rule)

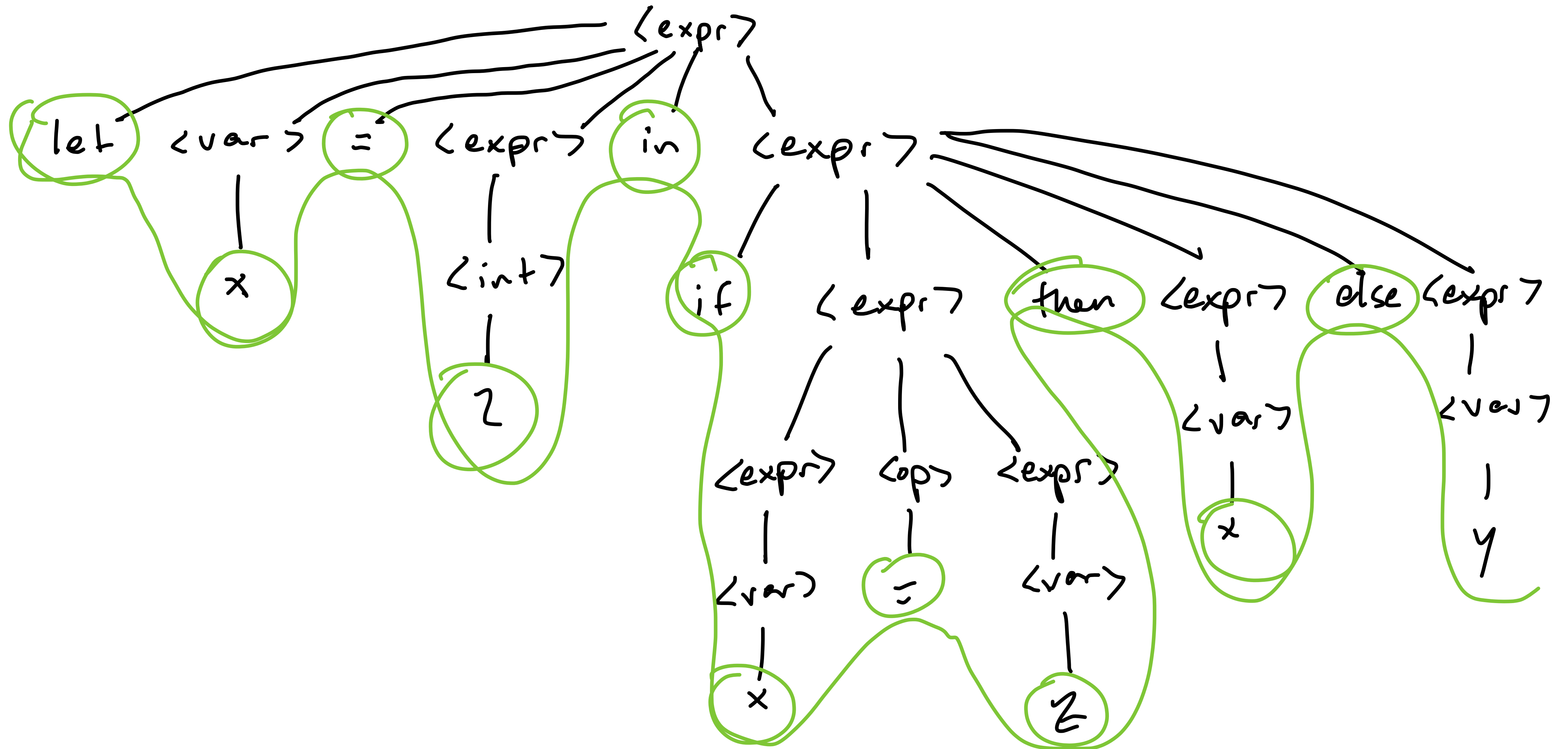
$\langle \text{expr} \rangle ::= \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{expr} \rangle \text{ else } \langle \text{expr} \rangle$

If e_1 is a well-formed expression and e_2 is a well-formed expression and e_3 is a well-formed expression, then

$\text{if } e_1 \text{ then } e_2 \text{ else } e_3$

is a well-formed expression

let x = 2 in if x = z then x else y



Summary

When we specify a PL (e.g., in the projects)
you will be given a *BNF grammar*

You will need to know how to translate this
into a parser

So you will need *practice reading BNF
specifications*