

Simple Types

Concepts of Programming Languages
Lecture 18

Outline

Have a high-level discussion of **type theory** in general

Introduce and analyze the **simply-typed lambda calculus** (STLC)

~~Demo an **implementation** of the STLC~~

Recap

Recall: The Environment Model

$$\langle \mathcal{E}, e \rangle \Downarrow v$$

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Now the **configurations** in our semantics have nonempty state

Recall: Closures

$$(\mathcal{E}, e)$$

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Definition. A **closure** is an expression together with an environment

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The environment *captures* bindings which a function needs

Functions need to *remember* what the environment looks like in order to behave correctly according to lexical scoping

Recall: Named Closures

$(\text{name}, \mathcal{E}, \lambda x. e)$

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To implement recursion, we need to be able to *name* closures

The idea. Named closures will put themselves into their environment *when they're called*

Recall: Lambda Calculus⁺⁺ (Syntax)

```
<expr> ::=  $\lambda$ <var>.<expr>
          | <var>
          | <expr><expr>
          | let <var> = <expr>
            in <expr>
          | let rec <var> <var> = <expr>
            in <expr>
          | <num>
```

Recall: Lambda Calculus⁺⁺ (Semantics)

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values and variables

$$\frac{}{\langle \mathcal{E}, \lambda x. e \rangle \Downarrow (\mathcal{E}, \lambda x. e)}$$

$$\frac{}{\langle \mathcal{E}, n \rangle \Downarrow n}$$

$$\frac{\mathcal{E}(x) \neq \perp}{\langle \mathcal{E}, x \rangle \Downarrow \mathcal{E}(x)}$$

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application (unnamed closure)

$$\frac{\langle \mathcal{E}, e_1 \rangle \Downarrow (\mathcal{E}', \lambda x. e) \quad \langle \mathcal{E}, e_2 \rangle \Downarrow v_2 \quad \langle \mathcal{E}'[x \mapsto v_2], e \rangle \Downarrow v}{\langle \mathcal{E}, e_1 e_2 \rangle \Downarrow v}$$

[Handwritten red annotations: $[v_2/x]e$ above the third premise, and a red box around $\mathcal{E}'[x \mapsto v_2]$ in the third premise]

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let expressions

$$\frac{\langle \mathcal{E}, e_1 \rangle \Downarrow v_1 \quad \langle \mathcal{E}[x \mapsto v_1], e_2 \rangle \Downarrow v_2}{\langle \mathcal{E}, \text{let } x = e_1 \text{ in } e_2 \rangle \Downarrow v_2}$$

$$\frac{\langle \mathcal{E}[f \mapsto (f, \mathcal{E}, \lambda x. e_1)], e_2 \rangle \Downarrow v_2}{\langle \mathcal{E}, \text{let rec } f x = e_1 \text{ in } e_2 \rangle \Downarrow v_2}$$

Practice Problem

```
let x = 0 in
let g = fun y -> x + 1 in
let x = 1 in
let f = fun y -> g x in
let x = 2 in
f
```

What (closure) does the following expression evaluate to? You don't need to give the derivation

Answer

\emptyset

$\{x \mapsto 0\}$

$\{x \mapsto 0, g \mapsto (\{x \mapsto 0\}, \lambda y. x + 1)\}$

$\{x \mapsto 1, g \mapsto (\{x \mapsto 0\}, \lambda y. x + 1)\}$

$(\{x \mapsto 1, g \mapsto (\{x \mapsto 0\}, \lambda y. x + 1)\}, \lambda y. g\ x)$

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let g = fun y -> x + 1 in
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```

demo

Type Theory

What is a Type?

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let f : int -> int = ...
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This description can be used to *restrict* the use of the expression *within* a program

What is a Type?

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Types help us delineate "well-behaved" programs

Trade-offs

$$(\lambda x . xx)(\lambda x . xx)$$

lambda term called Ω

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The goal is to balance:

- » Simplicity/Usability
- » Expressivity
- » Safety/Theoretical Guarantees

OCaml

```
# let big_omega =  
    let little_omega x = x x in  
    little_omega little_omega;;
```

Error: This expression has type 'a -> 'b
but an expression was expected of type 'a
The type variable 'a occurs inside 'a -> 'b

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But OCaml also has strong *type inference* and *polymorphism* to balance these benefits with better ergonomics (these are topics for mini-project 3)

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The more expressive, the more complex the the type system, designing programming languages is finding the balance that works for you

Recall: Typing Judgments

$$\Gamma \vdash e : \tau$$

This judgment reads:

e has type τ in the context Γ

We say that e is **well-typed** if $\cdot \vdash e : \tau$ for some type τ

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Most of what type theorists do is come up with rules for deriving typing judgments

Recall: Contexts

$$\Gamma ::= \cdot \mid \Gamma, x : \tau$$
$$x ::= \text{vars}$$
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In Practice: A context is a set (or ordered list, in some cases) of **variable declarations**

Recall: Contexts

$$\begin{aligned}\Gamma &::= \cdot \mid \Gamma, x : \tau \\ x &::= \text{vars} \\ \tau &::= \text{types}\end{aligned}$$

empty \emptyset

In Theory: A context is an inductively-defined syntactic object, just like a type or a expression

In Practice: A context is a set (or ordered list, in some cases) of **variable declarations**

(a variable declaration is a variable together with a type)

Recall: Inference Rules

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \dots \quad \Gamma \vdash e_k : \tau_k}{\Gamma \vdash e : \tau}$$

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The questions we need to answer:

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The questions we need to answer:

» How do we know what rules to include?

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Inference rules then tell us when we derive a new typing judgment from old typing judgments

The questions we need to answer:

- » How do we know what rules to include?
- » How do we know if we've chosen *good* rules?

Simply-Typed Lambda Calculus

STLC Syntax

$\langle e \rangle ::= () \mid \langle v \rangle \mid \langle e \rangle \langle e \rangle$
 $\quad \mid \text{fun } (\langle v \rangle : \langle \text{ty} \rangle) \rightarrow \langle e \rangle$
 $\langle \text{ty} \rangle ::= \text{unit} \mid \langle \text{ty} \rangle \rightarrow \langle \text{ty} \rangle$
 $\langle v \rangle ::= a \mid \dots \mid z$

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The syntax is the same as that of the lambda calculus except:

STLC Syntax

Syntax

unit

$\langle e \rangle ::= () \mid \text{fun } (\langle v \rangle : \langle ty \rangle) \rightarrow \langle e \rangle$

$\langle ty \rangle ::= \text{unit} \mid \langle ty \rangle \rightarrow \langle ty \rangle$

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The syntax is the same as that of the lambda calculus except:

» we include a unit expression

STLC Syntax

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 $\langle v \rangle ::= a \mid \dots \mid z$

type annotations

$\text{fun}(x : \text{unit}) \rightarrow \text{unit}$

The syntax is the same as that of the lambda calculus except:

- » we include a unit expression
- » we have types, which annotate arguments

STLC Syntax

$$\begin{array}{lcl} \langle e \rangle & ::= & () \mid \langle v \rangle \mid \langle e \rangle \langle e \rangle \\ & & \mid \text{fun } (\langle v \rangle : \langle \text{ty} \rangle) \rightarrow \langle e \rangle \\ \langle \text{ty} \rangle & ::= & \text{unit} \mid \langle \text{ty} \rangle \rightarrow \langle \text{ty} \rangle \\ \langle v \rangle & ::= & a \mid \dots \mid z \end{array}$$

The syntax is the same as that of the lambda calculus except:

- » we include a unit expression
- » we have types, which annotate arguments

This is the first time that **types are a part of our syntax**

Syntax

$$\begin{aligned} e &::= \bullet \mid x \mid \lambda x^\tau . e \mid ee \\ \tau &::= T \mid \tau \rightarrow \tau \\ \cancel{x &::= \text{variables}} \end{aligned}$$

Handwritten annotations:

- \bullet : unit
- x : unit
- τ : type annot.
- T : unit

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$$\frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x^\tau. e : \tau \rightarrow \tau'} \text{abstraction}$$

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These rules enforce that a function can only be applied if we *know* that it's a function

Type Annotations?

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No, but it does change the way typing works

If we include annotations we're using **Church-style typing**. If we drop annotations, we're using **Curry-style typing**

Aside: Church vs. Curry Typing

```
fun x -> x
```

```
fun (x : unit) -> x
```

Aside: Church vs. Curry Typing

```
fun x -> x
```

*: unit → unit ?
: int → int ?*

```
fun (x : unit) -> x
```

: unit → unit

What is the type of the first expression? How about the second?

Aside: Church vs. Curry Typing

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In **Curry-style typing**, the type of an expression is *extrinsic*, the expression is just an expression in the lambda calculus

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In **Church-style typing**, it's *intrinsic*, built into the expression and the semantics

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Using Curry-style typing is not the same as having polymorphism

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Proof. The rough idea is to do induction *on the derivations themselves* (whoa)

In the simply typed lambda calculus with Church-style typing, every expression has a *unique type*

In particular, the function `type_of` is well-defined

STLC Semantics (Review)

$$\frac{}{\langle \mathcal{E}, \lambda x^\tau . e \rangle \Downarrow (\mathcal{E}, \lambda x . e)} \text{ fun} \qquad \frac{}{\langle \mathcal{E}, \bullet \rangle \Downarrow \bullet} \text{ unit} \qquad \frac{}{\langle \mathcal{E}, x \rangle \Downarrow \mathcal{E}(x)} \text{ variable}$$

$$\frac{\langle \mathcal{E}, e_1 \rangle \Downarrow (\mathcal{E}', \lambda x . e) \quad \langle \mathcal{E}, e_2 \rangle \Downarrow v_2 \quad \langle \mathcal{E}'[x \mapsto v_2], e \rangle \Downarrow v}{\langle \mathcal{E}, e_1 e_2 \rangle \Downarrow v} \text{ application}$$

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The semantics are identical

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The semantics are identical

This is part of the point. Type-checking only determines *whether* we go on to evaluate the program (whether it makes sense to)

It doesn't determine **how** we evaluate the program

Example (Church)

$$\lambda x^\tau . xx$$

$$\Omega = (\lambda x. xx) (\lambda x. xx)$$

What happens if we try to give a type to the above expression? What should τ be?

$$\tau = \tau_1 \rightarrow \tau_2 \quad \tau_1 = \tau$$

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$$\tau = \tau, \quad \tau = \tau \rightarrow \tau_2$$

$$= (\tau_1 \rightarrow \tau_2) \rightarrow \tau_2$$

$$= ((\tau_1 \rightarrow \tau_2) \rightarrow \tau_2) \rightarrow \tau_2 = \dots$$

$$\frac{}{x : \tau \vdash x : \tau}$$

$$\frac{}{\lambda : \tau \vdash x : \tau}$$

$$x : \tau \vdash xx : ?$$

$$\emptyset \vdash \lambda x^\tau . xx : ?$$

Practice Problem

• $\vdash \lambda f^{\top \rightarrow \top}. \lambda x^{\top}. fx : (\top \rightarrow \top) \rightarrow \top \rightarrow \top$

Give a derivation for the above judgment

$$\frac{(x : \tau) \in \Gamma}{\Gamma \vdash x : \tau} \quad \frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x^{\tau}. e : \tau \rightarrow \tau'}$$

$$\frac{\Gamma \vdash e_1 : \tau \rightarrow \tau' \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 e_2 : \tau'}$$

Answer

$$\cdot \vdash \lambda f^{\top \rightarrow \top} . \lambda x^{\top} . f x : (\top \rightarrow \top) \rightarrow \top \rightarrow \top$$

How do we know if we've defined
a "good" programming language?

Type Safety

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Theorem. If $\cdot \vdash e : \tau$ then there is a value v such that $\langle \emptyset, e \rangle \Downarrow v$ and $\cdot \vdash v : \tau$

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Theorem. If $\cdot \vdash e : \tau$, then

- » (*progress*) either e is a value or there is an e' such that $e \longrightarrow e'$
- » (*preservation*) If $\cdot \vdash e : \tau$ and $e \longrightarrow e'$ then $\cdot \vdash e' : \tau$

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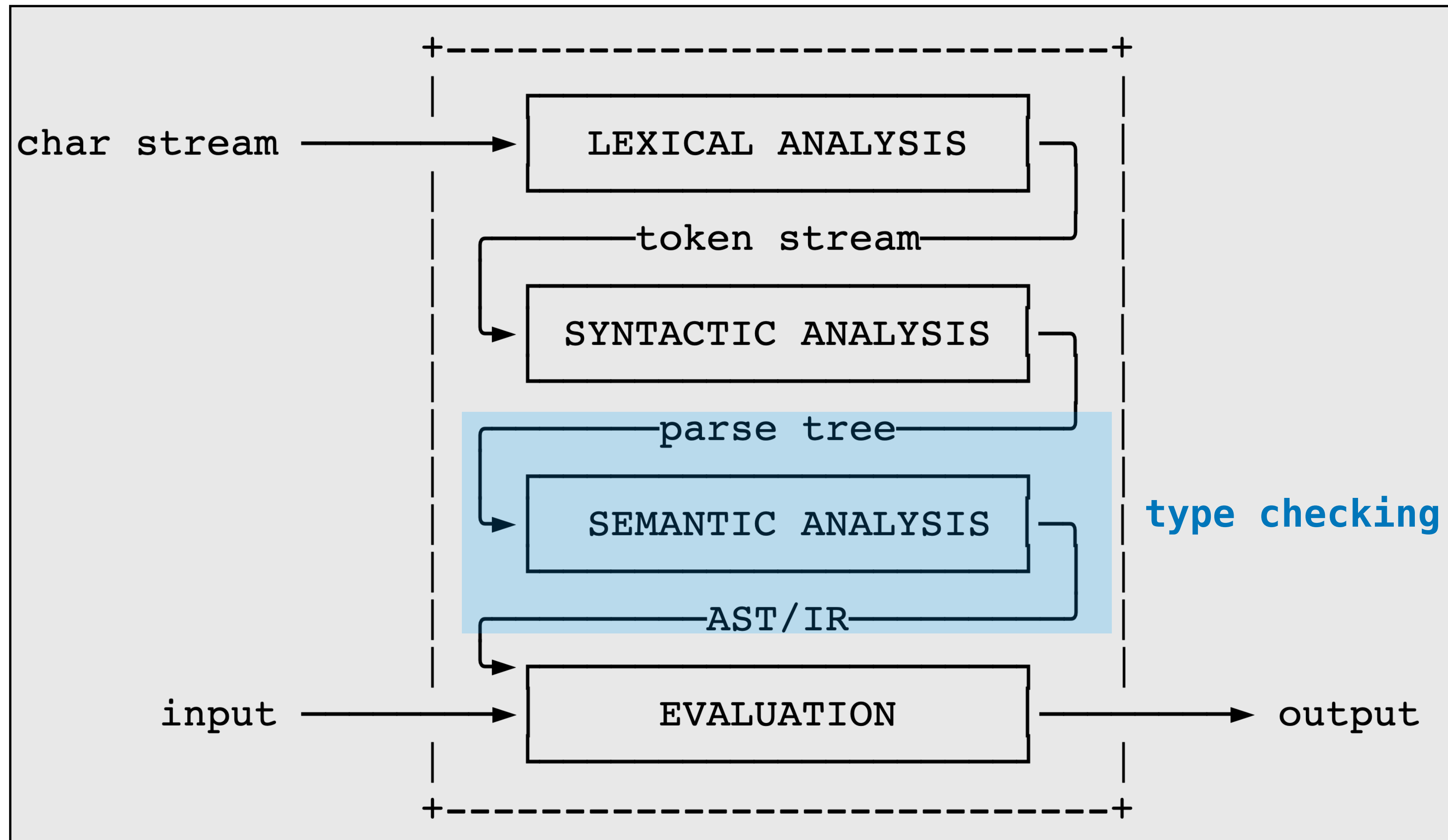
» *(progress)* either e is a value or there is an e' such that $e \longrightarrow e'$ (never gets stuck)

» *(preservation)* If $\cdot \vdash e : \tau$ and $e \longrightarrow e'$ then $\cdot \vdash e' : \tau$

These results are *fundamental*. They tell us that our PL is well-behaved (it's a "good" PL)

Type Checking

The Picture



Type Checking vs. Type Inference

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type_check : expr -> ty -> bool  
type_of   : expr -> ty option
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For STLC, they are both easy

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Our solution: We'll just use type inference

demo

Summary

Type systems delineate well-behaved expressions

Type inference can sometimes be easier to
implement