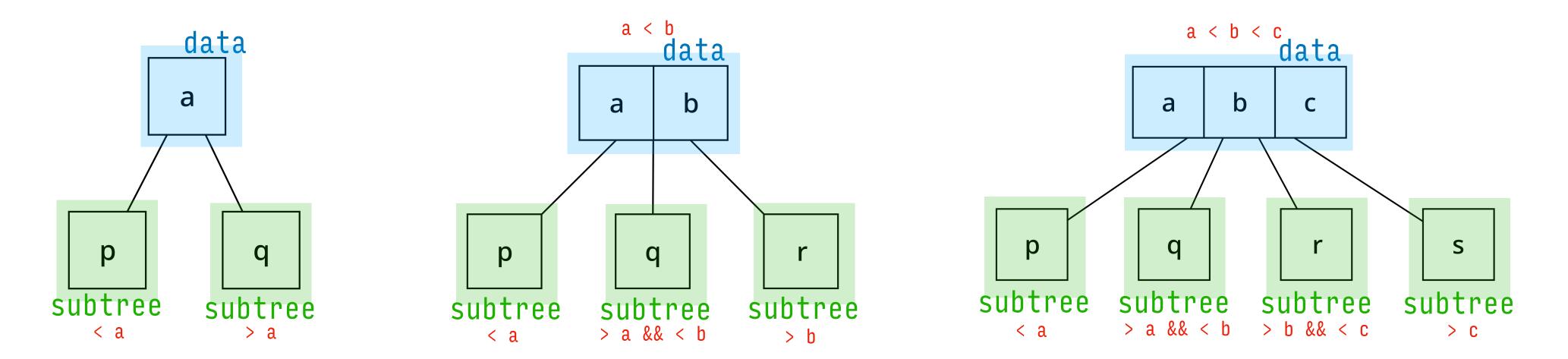
# Derivations

**Concepts of Programming Languages Lecture 6** 

#### Practice Problem



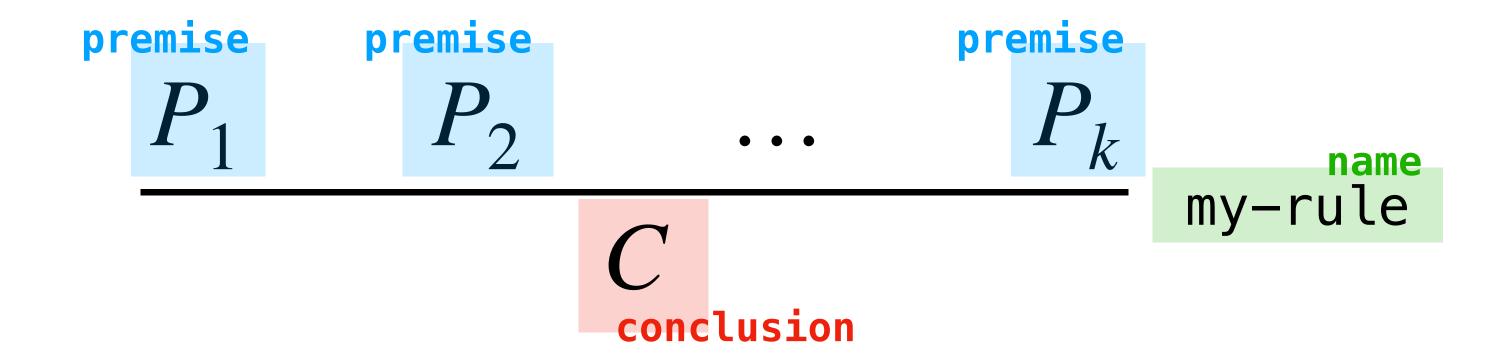
A **2-3-4 tree** is a self-balancing tree structure with three possible kinds of nodes, shown above. Write an ADT to represent 2-3-4 trees

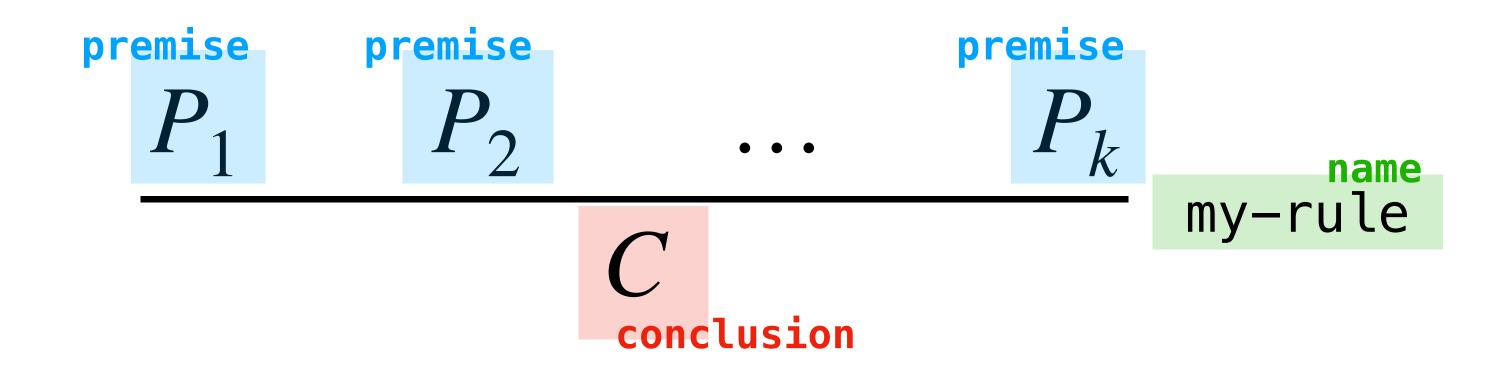
(If you have extra time, try implementing search for 2-3-4 trees)

#### Outline

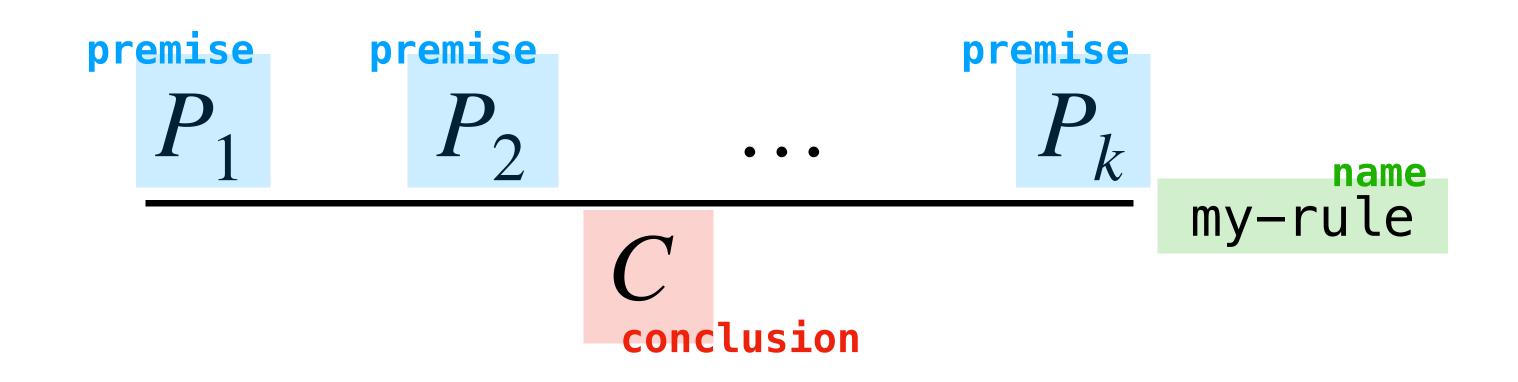
- » Discuss derivations in general
- » See how to read and write derivations
- >> Go through a couple examples

# Recap



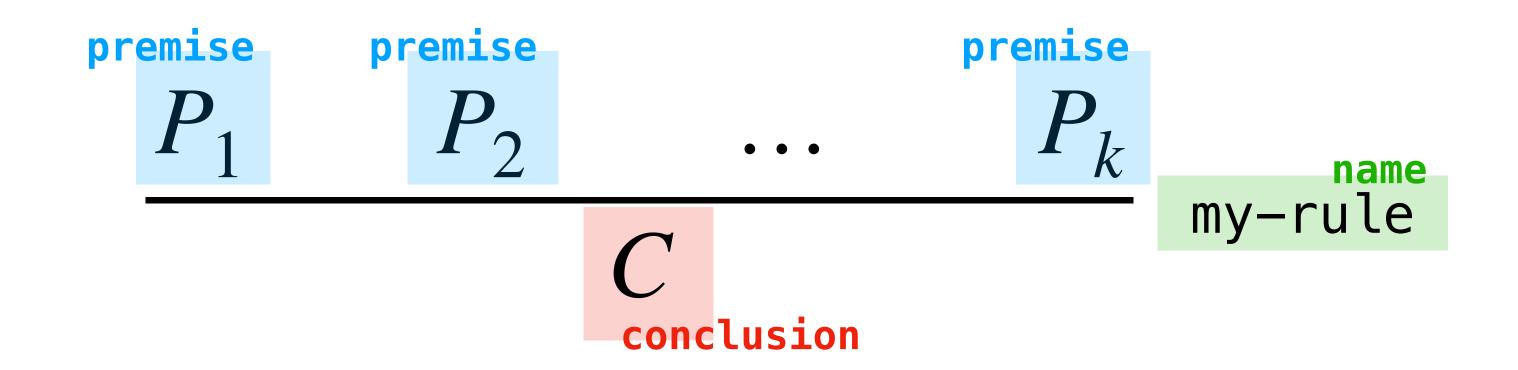


The general form of an inference rule has a collection of **premises** and a **conclusion** all of which are **judgments** 



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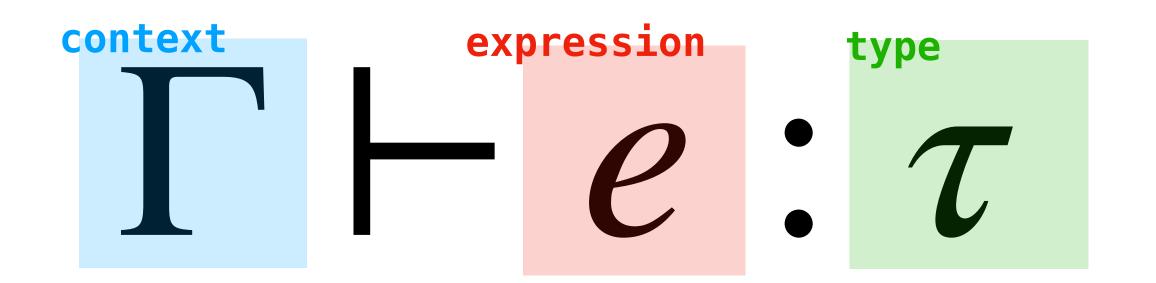
There may be no premises, this is called an axiom



#### We can read this as:

If the judgments  $P_1$  through  $P_k$  hold, then the judgment C holds (by my-rule)

# Typing Judgments



A typing judgment a compact way of representing the statement:

e is of type  $\tau$  in the context  $\Gamma$ 

A **typing rule** is an inference rule whose premises and conclusion are typing judgments

```
\Gamma = \{ x : int, y : string, z : int -> string \}
```

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```

A context is a set of variable declarations

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A variable declaration  $(x:\tau)$  says: "I declare that the variable x is of type  $\tau$ "

 $\Gamma = \{ x : int, y : string, z : int -> string \}$ 

A context is a set of variable declarations

A variable declaration  $(x:\tau)$  says: "I declare that the variable x is of type  $\tau$ "

A context keeps track of all the types of variables in the "environment"

### Recall: Reading Typing Judgements

```
{b:bool} H if b then 2 else 3:int
```

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```
In English: Given that b is a bool, the expression if b then 2 else 3 is an int
```

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{b:bool} H if b then 2 else 3:int

In English: Given that b is a bool, the expression if b then 2 else 3 is an int

The context allows us to determine the type of an expression relative to the types of variables

# Recall: Integer Addition Typing Rule

$$\frac{\Gamma \vdash e_1 : \mathsf{int}}{\Gamma \vdash e_1 + e_2 : \mathsf{int}} \text{ (addInt)}$$

If  $e_1$  is an int (in any context  $\Gamma$ ) and  $e_2$  is an int then (in any context  $\Gamma$ )  $e_1+e_2$  is an int (in any context  $\Gamma$ )

```
{b:bool} ⊢ if b then 2 else 3:string
```

```
{b:bool} H if b then 2 else 3:string
```

A judgement is a statement in the same way that "there are infinitely many twin primes" or "pigs fly" is a statement

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We haven't proved anything by writing down a typing judgment

**Today:** We will talk about **typing derivations**, which are used to demonstrate that expressions actually have their expected types in our PL

# Derivations

Derivations allow us to prove that a typing judgment holds with respect to a collection of inference rules

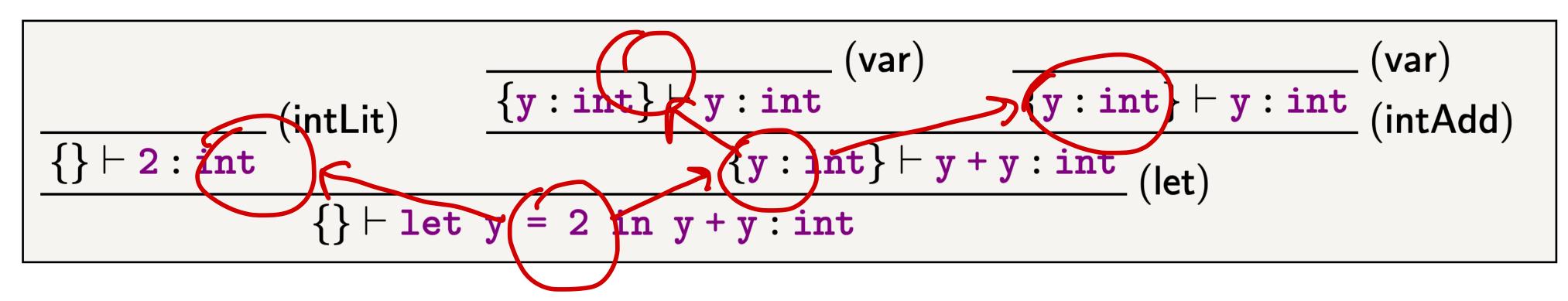
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>> each node is labeled with a typing judgment



Derivations allow us to *prove* that a typing judgment holds with respect to a collection of inference rules

Formally, a derivation is a tree in which:

- >> each node is labeled with a typing judgment
- » and typing judgment follows from the typing judgments at it's children by an inference rule

### Applying Rules

```
\frac{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}}{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}} \text{ (cons)}
```

```
\frac{\{x: int\} \vdash x+1: int}{\{x: int\} \vdash (x+1):: []: int list} (cons)
```

### Applying Rules

```
\frac{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}}{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}} \text{ (cons)}
```

So far, we've used rules as ways of describing the behavior of a PL

### Applying Rules

```
\frac{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}}{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}} \text{ (cons)}
```

So far, we've used rules as ways of describing the behavior of a PL

When we build typing derivations, we instantiate the metavariables in the rule at particular expressions, contexts, etc.

### Building from the Ground Up

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But we can't just apply rules, because it's possible that the premises of a rule also need to be demonstrated

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This is how we get our tree structure: we apply rules from the ground up

# Axioms (When are we done?)

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We know that we can stop building a derivation once we need to derive a premise with an **axiom**, i.e., a rule with no premises

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We know that we can stop building a derivation once we need to derive a premise with an **axiom**, i.e., a rule with no premises

In our case, this will almost always be "literal" or "variable" rules

# 33+ the :int

### Integer Literals

(1)  $\frac{n \text{ is an int lit}}{\Gamma \vdash n : \text{int}} \text{ (intLit)} \frac{n \text{ is an int lit}}{n \Downarrow n} \text{ (intLitEval)}$ 

- 1. If n is an integer literal, then it is of type int in any context
- 2. If n is an integer literal, then it evaluates to the number it represents

#### A Note about Side Conditions

we don't write "1 is an integer literal"

If a premise is a side-condition this it is not included in the derivation

Side conditions need to hold in order to apply the rule, but they don't appear in the derivation itself

We will try to always write side conditions in green

#### **Float Literals**

```
\frac{\text{n is an float lit}}{\Gamma \vdash \text{n : float}} \text{ (floatLit)} \quad \frac{\text{n is an float lit}}{\text{n } \psi n} \text{ (floatLitEval)}
```

- 1. If n is an float literal, then it is of type float in any context
- 2. If n is an float literal, then it evaluates to the number it represents

#### **Boolean Literals**

```
(1) \frac{(2)}{\Gamma \vdash \text{true} : \text{bool}} \text{ (trueLit)} \qquad \frac{(2)}{\Gamma \vdash \text{false} : \text{bool}} \text{ (falseLit)}
(3) \frac{(3)}{\text{true} \Downarrow \top} \text{ (trueLitEval)} \qquad \frac{(4)}{\text{false} \Downarrow \bot} \text{ (falseLitEval)}
```

- 1. true is of type bool in any context
- 2. false if of type bool in any context
- 3. true evaluates to the value T
- 4. false evaluates to the value  $\perp$

#### Variables

$$\frac{(v:\tau) \in \Gamma}{\Gamma \vdash v:\tau} \text{ (intLit)}$$

If v is declared to be of type  $\tau$  in the context  $\Gamma$ , then v is of type  $\tau$  in  $\Gamma$ 

Variables cannot be evaluated (more on this when we talk about substitution and well-scopedness)

## Back to the Example

```
 \frac{ \frac{}{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{var}) \quad \frac{}{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{var}) \quad }{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{int} \mathsf{Add}) } 
 \frac{\{y: \mathtt{int}\} \vdash y: \mathtt{int}}{\{\} \vdash \mathtt{let} \ y = 2 \ \mathtt{in} \ y + y: \mathtt{int}} (\mathtt{let}) }
```

We need  $\{\} \vdash 2 : int in order to proof that the bottom typing judgment holds$ 

Now we know that this follows from the **intLit** rule, which says that 2 is always an int, by fiat

# Okay, I know that was a lot, let's take a step back

```
\frac{}{\{\}\vdash 2: int\}} (intLit) \frac{}{\{y: int\}\vdash y: int} (var) - \frac{}{\{y: int\}\vdash y: int} (var)}{\{y: int\}\vdash y: int} (intAdd) - \frac{}{\{\}\vdash 1et \ y = 2 \ in \ y+y: int} (let)
```

A derivation is just a math-y way of writing a natural language prove that a typing derivation holds

A derivation is just a math-y way of writing a natural language prove that a typing derivation holds

(In fact, most mathematical arguments can be represented formally as derivation trees, this is the called **proof theory**)

```
 \frac{ \frac{}{\{y: int\} \vdash y: int} (var) }{\{y: int\} \vdash y: int} \frac{\{y: int\} \vdash y: int}{\{y: int\} \vdash y: int} (intAdd) }{\{y: int\} \vdash y: int}
```

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})
```

The expression let y = 2 in y + y is an int because

```
\frac{}{ \left\{\begin{array}{ll} \begin{array}{ll} \hline \\ \end{array} \end{array} \right\} \vdash 2 : int} (intLit) & \frac{}{\left\{\begin{array}{ll} y : int\right\} \vdash y : int} (var) \\ \hline \\ \left\{\begin{array}{ll} \begin{array}{ll} \\ \end{array} \end{array} \right\} \vdash 2 : int} (intAdd) \\ \hline \\ \left\{\begin{array}{ll} \end{array} \right\} \vdash 1et \ y = 2 \ in \ y + y : int \end{array} \right. (let)
```

```
\frac{\Gamma \vdash e_1 : \tau_1}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \tau_2} \text{ (let)}
```

The expression let y = 2 in y + y is an int because

>> 2 is an int by fiat (and so y is being assigned to a well-typed expression)

```
\frac{\{y: int\} \vdash y: int}{\{y: int\} \vdash y: int} (var) \qquad \qquad \{y: int\} \vdash y: int} (var) \qquad \qquad \{y: int\} \vdash y: int}{\{y: int\} \vdash y: int} (let)
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```

```
\frac{\mathbf{n} \text{ is an integer literal}}{\Gamma \vdash \mathbf{n} : \mathbf{int}} \text{ (intLit)}
```

» 2 is an int by fiat (and so y is being assigned to a well-typed expression)

```
\frac{\{y: int\} \vdash y: int\}}{\{y: int\} \vdash y: int\}} (var) = \frac{\{y: int\} \vdash y: int\}}{\{y: int\} \vdash y: int\}} (var) = \frac{\{y: int\} \vdash y: int\}}{\{y: int\} \vdash y: int\}} (let)
```

 $\frac{\Gamma \vdash e_1 : \tau_1}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2}$  (let)

- The expression let y = 2 in y + y is an int because
- >> 2 is an int by fiat (and so y is being assigned to a well-typed expression)
- >> and, assuming y is an int, y + y is an int because

$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

```
 \frac{ }{ \{\} \vdash 2 : int \}} \underbrace{ \frac{ \{y : int\} \vdash y : int \}}{ \{y : int\} \vdash y : int }}_{ \{y : int\} \vdash y : int } \underbrace{ (var) }_{ \{y : int\} \vdash y : int }}_{ \{y : int\} \vdash y : int } \underbrace{ (intAdd) }_{ \{y : int\} \vdash y : int }}_{ \{y : int\} \vdash y : int } \underbrace{ (var) }_{ \{y : int\} \vdash y : int }}_{ \{y : int\} \vdash y : int } \underbrace{ (var) }_{ \{y : int\} \vdash y : int }}_{ \{y : int\} \vdash y : int }
```

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})
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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} = \Gamma \Gamma \left( \mathtt{addInt} \right)$$

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathsf{let} \ x = e_1 \ \mathsf{in} \ e_2 : \tau_2} \ (\mathsf{let})
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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

$$rac{(x: au)\in\Gamma}{\Gammadash x: au}$$
 (var)

```
\frac{ \frac{}{\{y: int\} \vdash y: int} (var)}{\{y: int\} \vdash y: int} \frac{\{y: int\} \vdash y: int}{\{y: int\} \vdash y: int} (intAdd)}{\{y: int\} \vdash y: int} (let)
```

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathbf{let})
```

- The expression let y = 2 in y + y is an int because
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$$rac{\Gamma dash e_1 : ext{int}}{\Gamma dash e_1 + e_2 : ext{int}}$$
 (addInt)

$$rac{(x: au)\in\Gamma}{\Gammadash x: au}$$
  $(\mathsf{var})$ 

```
 \frac{ }{ \{\} \vdash 2 : int \}} \underbrace{ \frac{ (var)}{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (var)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (intAdd)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (let)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (var)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (var)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int} \underbrace{ (var)}_{\{y : int\} \vdash y : int}}_{\{y : int\} \vdash y : int}
```

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})
```

- The expression let y = 2 in y + y is an int because
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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

$$rac{(x: au)\in\Gamma}{\Gammadash x: au}$$
 (var)

```
\frac{ \frac{}{\{y: int\} \vdash y: int} (var) }{\{y: int\} \vdash y: int} \frac{\{y: int\} \vdash y: int}{\{y: int\} \vdash y: int} (intAdd) }{\{y: int\} \vdash y: int} 
\{\} \vdash let \ y = 2 \ in \ y + y: int }
```

 $\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})$ 

 $\frac{\mathbf{n} \text{ is an integer literal}}{\Gamma \vdash \mathbf{n} : \mathbf{int}} \text{ (intLit)}$ 

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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

$$rac{(x: au)\in\Gamma}{\Gammadash x: au}$$
 (var)

and so integer-adding these two expressions (y and y) yields an int

```
\frac{ \frac{}{\{y: int\} \vdash y: int} (var) - \frac{}{\{y: int\} \vdash y: int} (var)}{\{y: int\} \vdash y: int} (intAdd) - \frac{}{\{\} \vdash let \ y = 2 \ in \ y + y: int} (let)}
```

 $\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})$ 

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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

$$\dfrac{(x: au)\in\Gamma}{\Gammadash x: au}$$
  $( extsf{var})$ 

and so integer-adding these two expressions (y and y) yields an int

and so assigning y to 2 in y + y yields an int

```
 \frac{ \frac{}{\{y : int\} \vdash y : int}}{\{y : int\} \vdash y : int}} \frac{(var)}{\{y : int\} \vdash y : int}}{\{y : int\} \vdash y : int}} \frac{(var)}{\{intAdd\}} 
 \frac{\{y : int\} \vdash y + y : int}{\{intAdd\}} 
 \{\} \vdash let \ y = 2 \ in \ y + y : int}
```

```
\frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 : \tau_2} \ (\mathsf{let})
```

 $\frac{\mathbf{n} \text{ is an integer literal}}{\Gamma \vdash \mathbf{n} : \mathbf{int}} \text{ (intLit)}$ 

- The expression let y = 2 in y + y is an int because
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$$rac{\Gamma dash e_1 : \mathtt{int}}{\Gamma dash e_1 + e_2 : \mathtt{int}} \ (\mathsf{addInt})$$

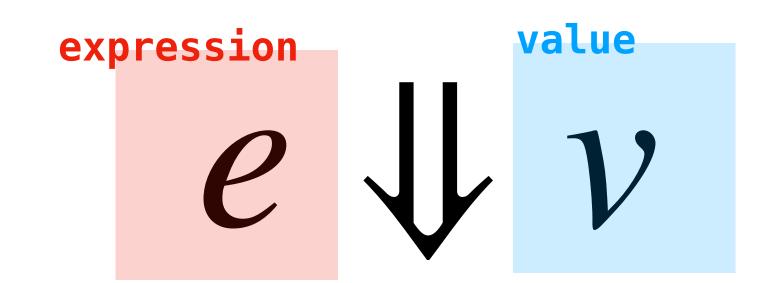
$$rac{(x: au)\in\Gamma}{\Gammadash x: au}$$
 (var)

and so integer-adding these two expressions (y and y) yields an int

and so assigning y to 2 in y + y yields an int

# And all this works for semantics judgements as well

# Recall: Semantic Judgements



A **semantic judgment** is a compact way of representing the statement:

The expression e evaluates to the value v

A semantic rule is an inference rule with semantic judgments

## Recall: Integer Addition Semantic Rule

$$\frac{e_1 \Downarrow v_1}{e_1 + e_2 \Downarrow v} \qquad \frac{v_1 + v_2 = v}{v_1 + v_2} \text{ (evalInt)}$$

If  $e_1$  evaluates to the (integer)  $v_1$  and  $e_2$  evaluates to the (integer)  $v_2$ , and  $v_1 + v_2 = v$ , then  $e_1 + e_2$  evaluates to the (integer) v

#### **Semantic Derivations**

```
\frac{}{\text{true} \Downarrow \top} \text{ (trueEval)} \quad \frac{}{2 \Downarrow 2} \text{ (ifEval)} if true then 2 else 3 \Downarrow 2
```

We can also write derivations to prove semantic judgments

The principle is the same, except that the judgments are semantic judgments instead of typing judgments

# Examples

# Example (Typing)

```
(intlit) (intlit) (intlit) (intlit) (intlit) (intlit)
```

{} ⊢ if true then 2 else 5 : int

(if)

# Example (Evaluation)

if true then 2 else 5  $\Downarrow$  2

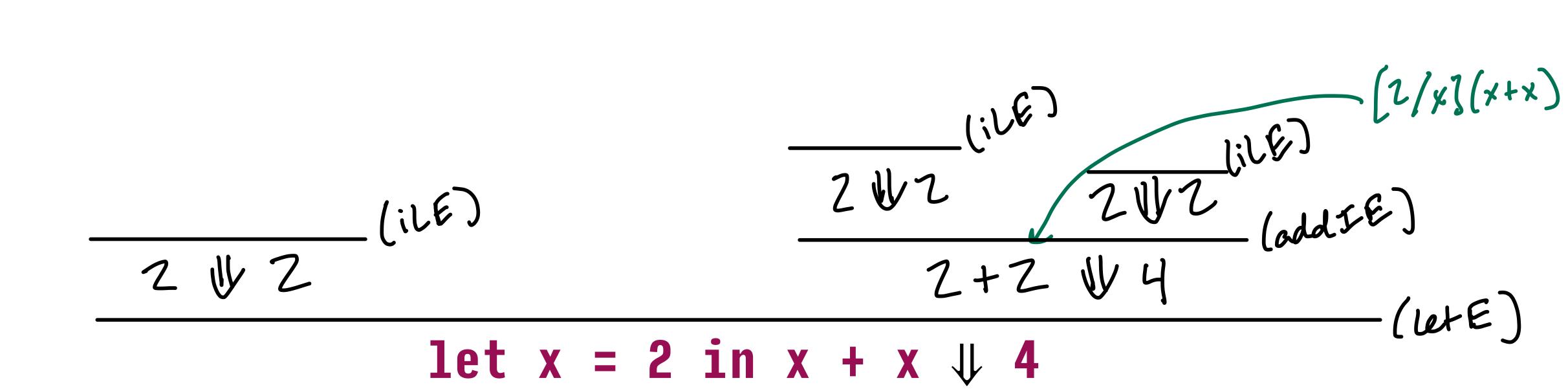
# Example (Typing)

$$\frac{\{intlit\}}{\{3+2:int\}} = \frac{\{intlit\}}{\{3+3:int\}} = \frac{\{intlit\}}{\{3+2+3:int\}} = \frac{\{intlit\}}{\{3+4:int\}} = \frac{\{intlit\}}{\{3+4:$$

# Example (Evaluation)

$$\frac{2 \sqrt{2}}{2 \sqrt{2}} \frac{(i\sqrt{E})}{3\sqrt{3}} \frac{(i\sqrt{E})}{(odd\Sigma E)} \frac{(i\sqrt{E})}{4\sqrt{4}} \frac{(i\sqrt{E})}{(i\sqrt{E})} \frac{2+3\sqrt{4\sqrt{4}}}{4\sqrt{4}} \frac{(i\sqrt{E})}{4\sqrt{4}} \frac$$

# Example (Evaluation)



## Summary

- » Derivations are tree-like proofs that judgments hold with respect to a collection of inference rules
- » Derivations are compact mathematical representations of English language arguments
- >> Learning to write derivations takes practice