

# **Stack Machines**

**Concepts of Programming Languages**  
**Lecture 25**

# Outline

- » Finish our demo implementation of HM-
- » Discuss **stack-based languages** and **stack machines**
- » Demo an implementation of compiling arithmetic expressions

# Practice Problem

$\text{fun } x \rightarrow \text{fun } y \rightarrow \text{fun } z \rightarrow x \ z \ (y \ z)$

Determine the principle type of the above expression

$\forall a. \forall b. \forall c. (a \rightarrow b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c$

**Solution**  $\text{fun } x \rightarrow \text{fun } y \rightarrow \text{fun } z \rightarrow x \ z \ (y \ z)$

$\vdash \lambda x. \lambda y. \lambda z. x \ z \ (y \ z) : \alpha \rightarrow \beta \rightarrow \gamma \rightarrow \eta \vdash C$

$\{x : \alpha, y : \beta, z : \gamma\} \vdash (x \ z) \ (y \ z) : \eta \vdash$   $\delta \doteq \varepsilon \rightarrow \eta, \alpha \doteq \gamma \rightarrow \delta$   
 $\beta \doteq \gamma \rightarrow \varepsilon$

$\Gamma \vdash x \ z : \delta \vdash \alpha \doteq \gamma \rightarrow \delta$

$\Gamma \vdash x : \alpha \vdash \emptyset$

$\Gamma \vdash z : \gamma \vdash \emptyset$

$\Gamma \vdash y \ z : \varepsilon \vdash \beta \doteq \gamma \rightarrow \varepsilon$

$\Gamma \vdash y : \beta \vdash \emptyset$

$\Gamma \vdash z : \gamma \vdash \emptyset$

~~$\delta \doteq \varepsilon \rightarrow \eta$~~   $v \doteq \vdash$

~~$\alpha \doteq \gamma \rightarrow \delta$~~   $v \doteq \vdash$

~~$\beta \doteq \gamma \rightarrow \varepsilon$~~   $v \doteq \vdash$

$S = \{ \delta \mapsto \varepsilon \rightarrow \eta$

$\boxed{\alpha} \mapsto \gamma \rightarrow \varepsilon \rightarrow \eta$

$\boxed{\beta} \mapsto \gamma \rightarrow \varepsilon$

$S \tau = S(\boxed{\alpha} \rightarrow \boxed{\beta} \rightarrow \gamma \rightarrow \eta)$

$(\gamma \rightarrow \varepsilon \rightarrow \eta) \rightarrow (\gamma \rightarrow \varepsilon) \rightarrow \gamma \rightarrow \eta$

$\forall a. \forall b. \forall c. (a \rightarrow b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow a \rightarrow c$

# Recap

# Recall: Principle Types

$$\Gamma \vdash e : \tau \dashv \mathcal{C}$$

The constraints  $\mathcal{C}$  defined a *unification problem*. Given a most general unifier  $\mathcal{S}$  we can get the "actual" type of  $e$ :

$$\text{principle}(\tau, \mathcal{C}) = \forall \alpha_1 \dots \forall \alpha_k. \mathcal{S}\tau \text{ where } \text{FV}(\mathcal{S}\tau) = \{\alpha_1, \dots, \alpha_k\}$$

i.e, the **principle type** of  $e$  (note: it may not exist). Every type we *could* give  $e$  is a *specialization* of  $\forall \alpha_1, \dots, \alpha_k. \mathcal{S}\tau$

# Recall: HM<sup>-</sup> (Typing Variables)

$$\frac{(x : \forall \alpha_1 . \forall \alpha_2 \dots \forall \alpha_k . \tau) \in \Gamma \quad \beta_1, \dots, \beta_k \text{ are fresh}}{\Gamma \vdash x : [\beta_1 / \alpha_1] \dots [\beta_k / \alpha_k] \tau \dashv \emptyset} \quad (\text{var})$$

If  $x$  is declared in  $\Gamma$ , then  $x$  can be given the type  $\tau$  *with all free variables replaced by **fresh variables***

*This is where the polymorphism magic happens*

**Fresh variables can be unified with anything**

# Recall: Putting everything together

input: program  $P$  (sequence of top-level let-expressions)

$\Gamma \leftarrow \emptyset$

**FOR EACH** top-level let-expression  $\text{let } x = e \text{ in } P$ :

1. *Constraint-based inference*: Determine  $\tau$  and  $\mathcal{C}$  such that  $\Gamma \vdash e : \tau \dashv \mathcal{C}$  is derivable
2. *Unification*: Solve  $\mathcal{C}$  to get a most general unifier  $\mathcal{S}$  (**TYPE ERROR** if this fails)
3. *Generalization*: Quantify over the free variables in  $\mathcal{S}\tau$  to get the principle type  $\forall \alpha_1 \dots \forall \alpha_k. \mathcal{S}\tau$  of  $e$
4. Add  $(x : \forall \alpha_1 \dots \forall \alpha_k. \mathcal{S}\tau)$  to  $\Gamma$



# demo

(finishing up type inference)

# Stack Machines

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» instruction sets for virtual stack machines, e.g., JVM, CPython, Lua (not any more), OCaml bytecode interpreter *(these aren't exactly programming languages)*



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Virtual machines are typically implemented as **bytecode interpreters**, where "programs" are streams of bytes and a command is represented as a byte (plus possibly some extra data)

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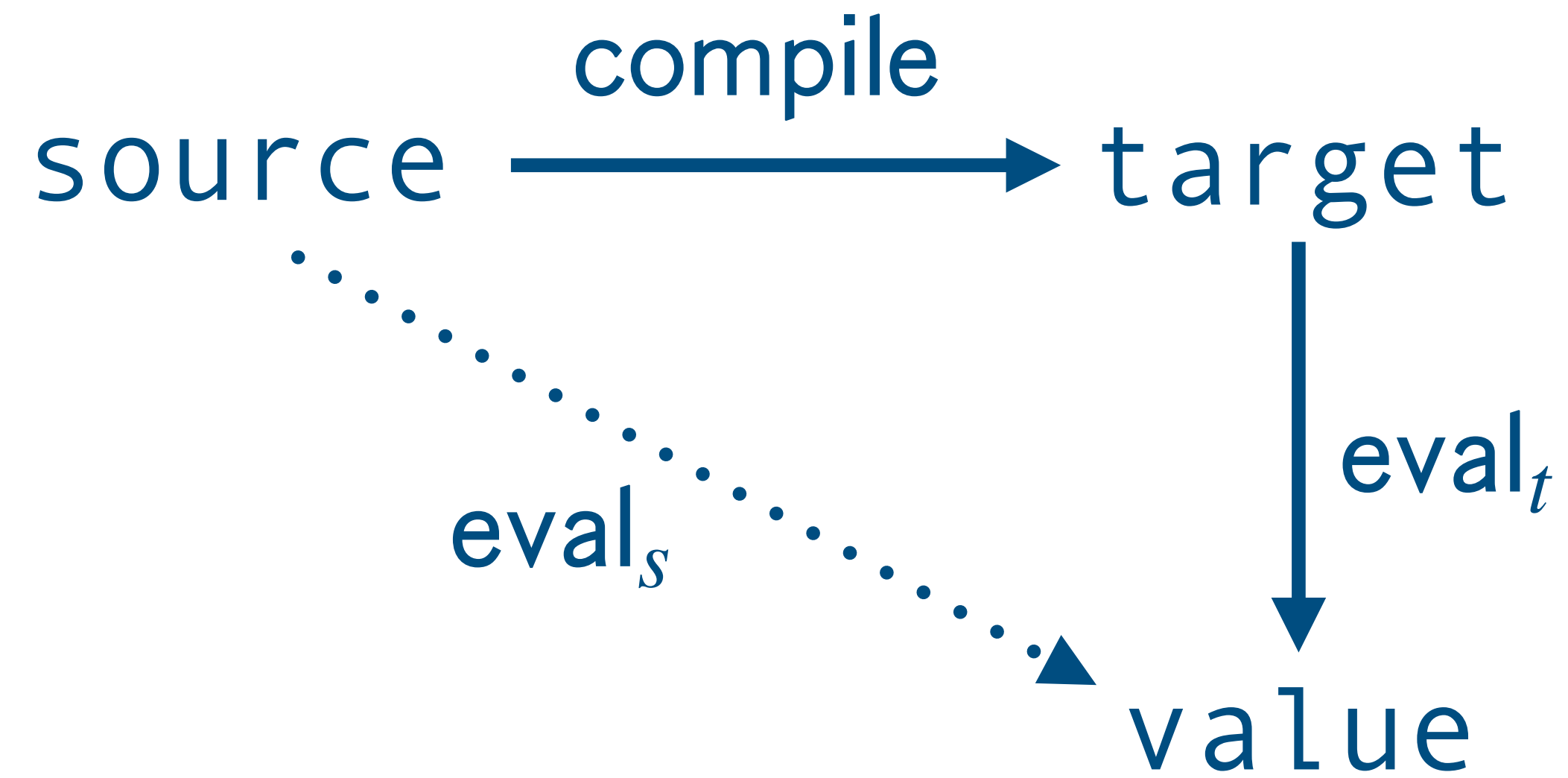
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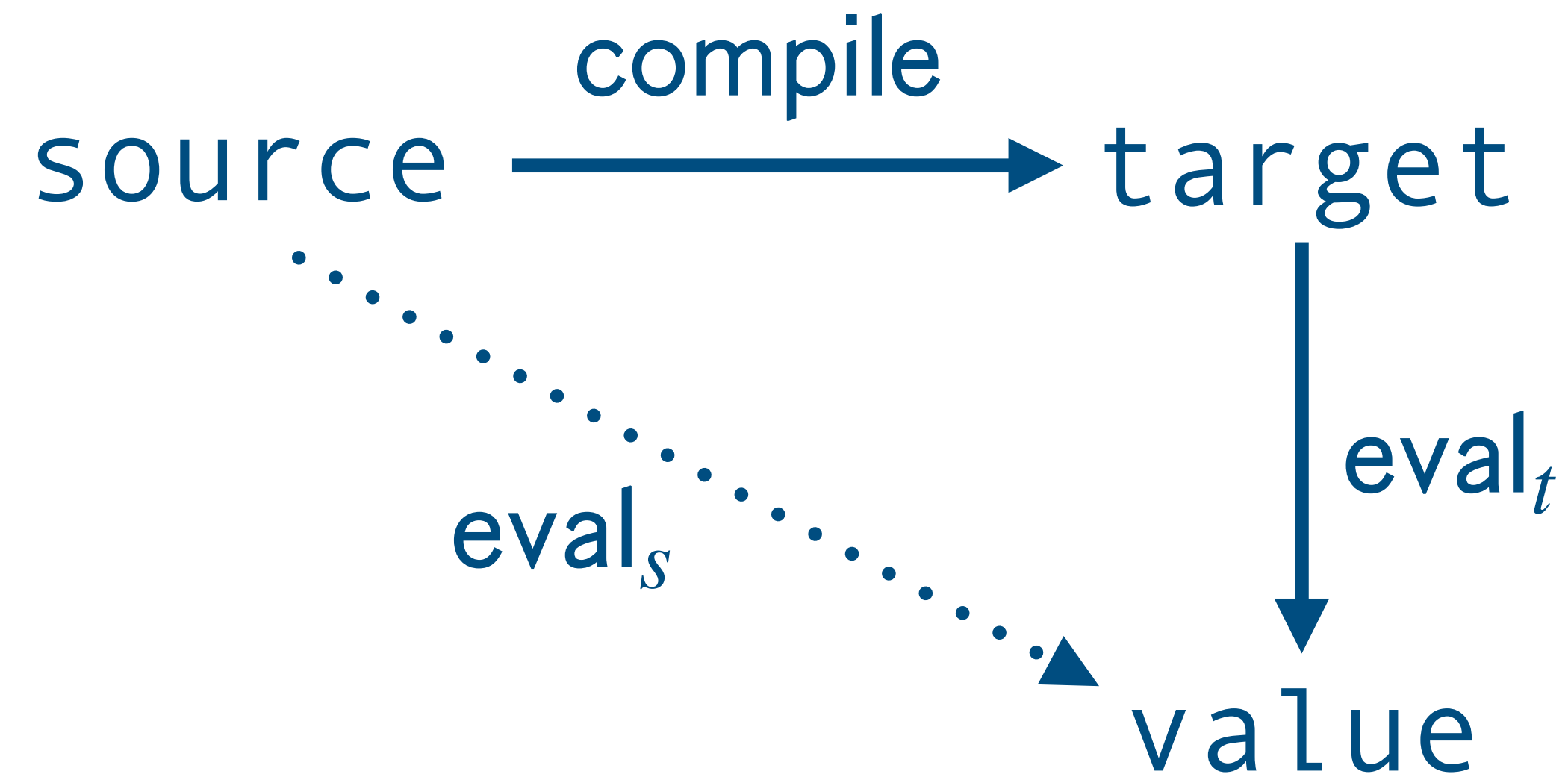
**Efficiency (sort of):** They can be implemented in low-level languages, and so will generally be faster than the interpreters we build in this course (though not as fast as natively compiled code)

# Looking Ahead: Compilation



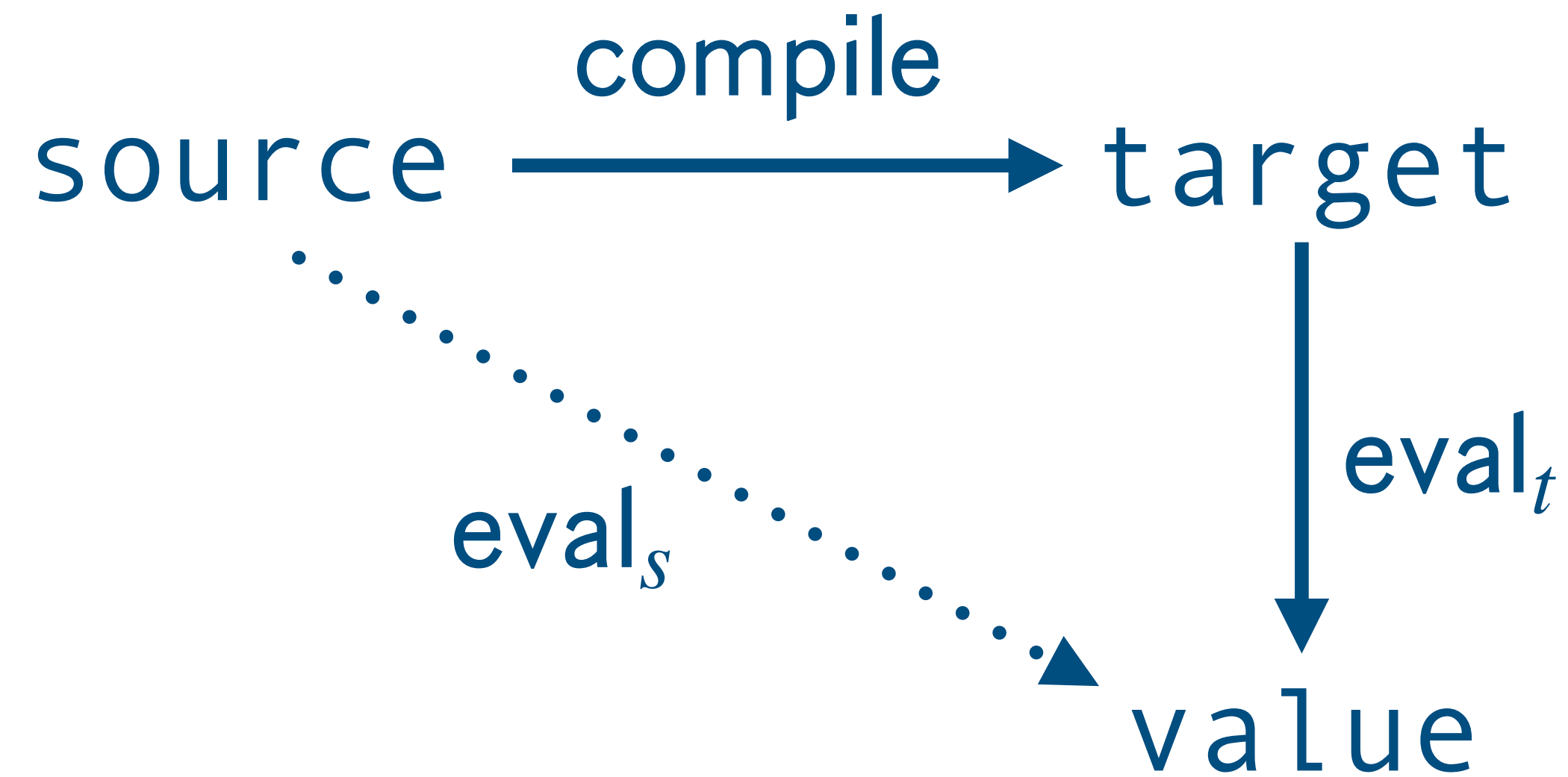


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**Compilation** is the process of translating a program in one language to another, maintaining semantic behavior

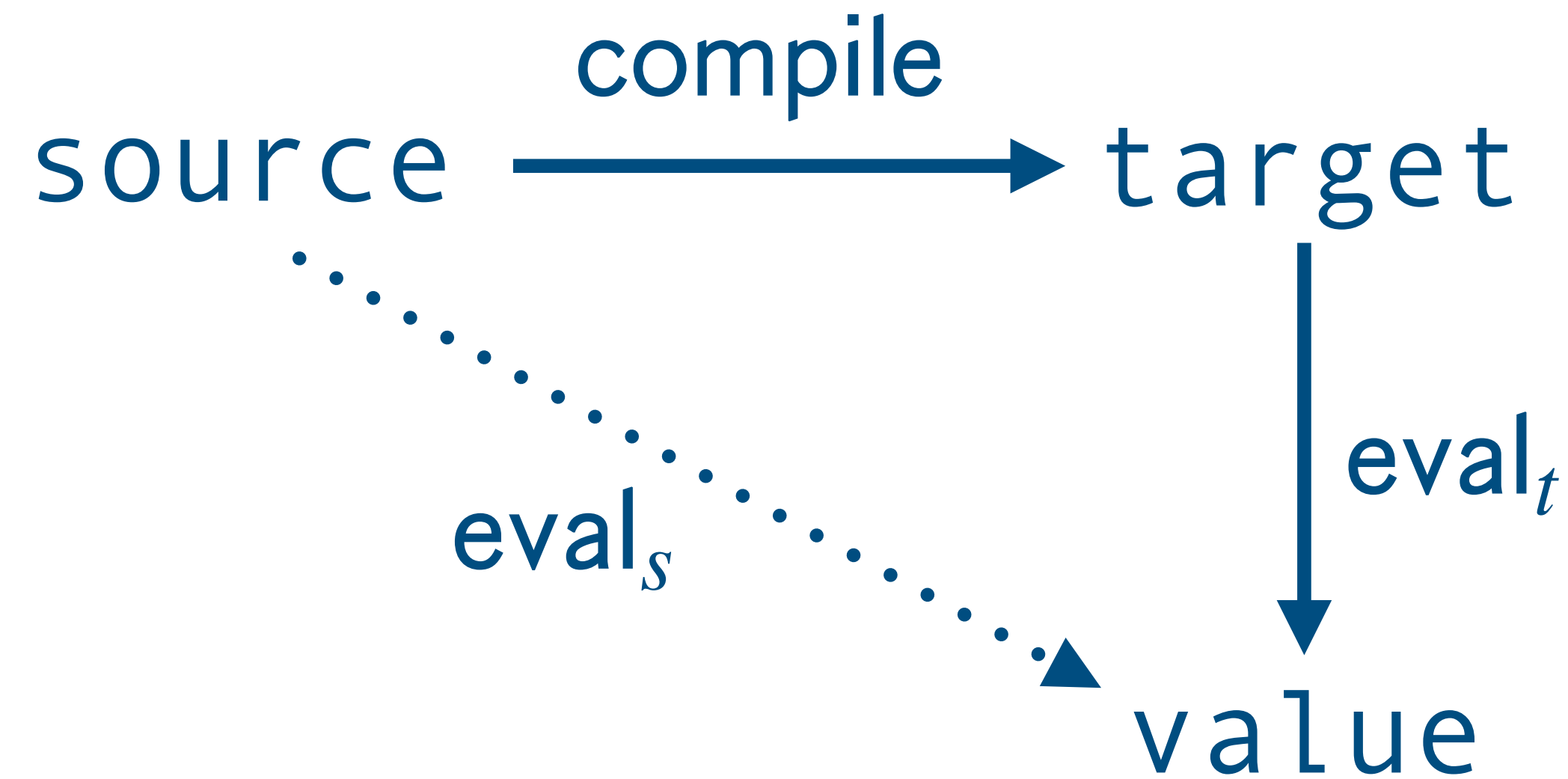
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The simple case for today: *every arithmetic expression can be represented as an equivalent expression in reverse polish notation*

# **Stack-Based Arithmetic**

# Stack-Based Arithmetic (Syntax)

$\langle \text{prog} \rangle ::= \{ \langle \text{com} \rangle \}$  *repetition*

$\langle \text{com} \rangle ::= \text{ADD} \mid \text{SUB} \mid \text{MUL} \mid \text{DIV} \mid \text{PUSH } \langle \text{num} \rangle$

$\langle \text{num} \rangle ::= \mathbb{Z}$

PUSH 2   PUSH 3   ADD

# Stack-Based Arithmetic (Semantics)

$\langle \mathcal{S}, P \rangle$

eg.

$\langle 2 :: 3 :: \emptyset, \text{ADD SUB} \rangle$

A **value** is an integer ( $\mathbb{Z}$ )

A **configuration** is made up of a stack ( $\mathcal{S}$ ) of values and a program ( $P$ ) given by **<prog>**

# Stack-Based Arithmetic (Semantics)

$\langle 2 :: 3 :: \emptyset, \text{ADD } P \rangle \longrightarrow \langle 5 :: \emptyset, P \rangle \longrightarrow \dots$

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{ADD } P \rangle \longrightarrow \langle (m + n) :: \mathcal{S}, P \rangle} \text{ (add)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{SUB } P \rangle \longrightarrow \langle (m - n) :: \mathcal{S}, P \rangle} \text{ (sub)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{MUL } P \rangle \longrightarrow \langle (m \times n) :: \mathcal{S}, P \rangle} \text{ (mul)}$$

$$\frac{n \neq 0}{\langle m :: n :: \mathcal{S}, \text{DIV } P \rangle \longrightarrow \langle (m/n) :: \mathcal{S}, P \rangle} \text{ (div)}$$

$$\frac{}{\langle \mathcal{S}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, P \rangle} \text{ push}$$

$\langle m :: \emptyset, \text{ADD } P \rangle \longrightarrow \text{ERROR}$   
(stack underflow)

could have error config

# Example (Evaluation)

$\langle \emptyset, \text{PUSH } 2 \text{ PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \rightarrow$

$\langle 2 :: \emptyset, \text{PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \rightarrow$

$\langle 3 :: 2 :: \emptyset, \text{S P4 M} \rangle \rightarrow$

$\langle 1 :: \emptyset, \text{P4 M} \rangle \rightarrow$

$\langle 4 :: 1 :: \emptyset, \text{M} \rangle \rightarrow \langle \boxed{4} :: \emptyset, \epsilon \rangle \checkmark$



demo  
(stack machine)

# Compiling Arithmetic Expressions

	<b>n</b>	$\Rightarrow$	<b>PUSH n</b>
$e_1$	<b>+</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>ADD</b>
$e_1$	<b>-</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>SUB</b>
$e_1$	<b>*</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>MUL</b>
$e_1$	<b>/</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>DIV</b>

We need a procedure  $\mathcal{C}$  for converting an arithmetic expression into a stack program. *Note the order!*

# Example (Compilation)

4 \* (2 - 3)

$C(2-3) \rightarrow \begin{cases} C(3) \rightarrow \text{PUSH } 3 \\ C(2) \rightarrow \text{PUSH } 2 \\ \text{SUB} \end{cases}$

$C(4) \rightarrow \text{PUSH } 4$

MUL

$\left. \begin{array}{l} \text{PUSH } 3 \\ \text{PUSH } 2 \\ \text{SUB} \\ \text{PUSH } 4 \\ \text{MUL} \end{array} \right\}$

# demo

(compiling arithmetic expressions)

# **Variables**

# Variables (Syntax)

$\langle \text{prog} \rangle ::= \{ \langle \text{com} \rangle \}$

$\langle \text{com} \rangle ::= \text{ADD} \mid \text{SUB} \mid \text{MUL} \mid \text{DIV} \mid \text{PUSH } \langle \text{num} \rangle$   
 $\mid \text{ASSIGN } \langle \text{var} \rangle \mid \text{LOOKUP } \langle \text{var} \rangle$

$\langle \text{num} \rangle ::= \mathbb{Z}$

$\langle \text{var} \rangle ::= \mathbb{I}$

# Variables (Semantics)

$$\langle \mathcal{S}, \mathcal{E}, P \rangle$$

A **value** is an integer ( $\mathbb{Z}$ )

A **configuration** is made up of a stack  $\mathcal{S}$  of values, an environment  $\mathcal{E}$  (mapping of identifiers to values), and a program  $P$  given by **<prog>**

# Variables (Semantics)

$$\frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{ADD } P \rangle \longrightarrow \langle (m + n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(add)} \quad \frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{SUB } P \rangle \longrightarrow \langle (m - n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(sub)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{MUL } P \rangle \longrightarrow \langle (m \times n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(mul)} \quad \frac{n \neq 0}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{DIV } P \rangle \longrightarrow \langle (m/n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(div)}$$

$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(div)}$$

$$\frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{ASSIGN } x P \rangle \longrightarrow \langle \mathcal{S}, \mathcal{E}[x \mapsto n], P \rangle} \text{(asn)} \quad \frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{LOOKUP } x P \rangle \longrightarrow \langle \mathcal{E}(x) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(lkp)}$$



# Variables (Semantics)

basically the same

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$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, \mathcal{E}, P \rangle} (\text{div})$$

new rules

$$\frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{ASSIGN } x P \rangle \longrightarrow \langle \mathcal{S}, \mathcal{E}[x \mapsto n], P \rangle} (\text{asn}) \quad \frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{LOOKUP } x P \rangle \longrightarrow \langle \mathcal{E}(x) :: \mathcal{S}, \mathcal{E}, P \rangle} (\text{lkp})$$

# Example (Evaluation)

PUSH 2 ASSIGN x PUSH 3 ASSIGN y  
LOOKUP x LOOKUP y ADD

# Compiling Let-Expressions (Attempt)

**x**  $\implies$  **LOOKUP**  $x$

**let**  $x = e_1$  **in**  $e_2$   $\implies$   $\mathcal{C}(e_1)$  **ASSIGN**  $x$   $\mathcal{C}(e_2)$

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*Except this isn't quite right*

# Example

let  $y = 1$  in

let  $x = \text{let } y = 2 \text{ in } y$  in

$y$

# Scoping

```
let y = 1 in  
let x = let y = 2 in y in  
y
```

The language we've just described is only good for compiling from languages with **dynamic scoping**

*Next time.* We'll add *closures* so that we can deal with lexical scoping (and functions)

# Summary

**Compilation** is the process of translating a program in a source language into a program in a target language which preserves the semantics

Targeting a **virtual machine** can make the implementation of a language more portable and less complex

We'll need **closures** to deal with lexical scoping correctly