Lists

Concepts of Programming Languages Lecture 4

Practice Problem

type shape =

| Rect of & base: fbat; height: }

Define the variant **shape** which makes this function type-check.

Outline

- >> Introduce lists, look at several examples
- » Discuss tail recursion, in particular its connection
 to lists
- » Look at the notion of a derivation

Learning Objectives

- » Implement basic functions on lists
- Determine when a function is tail-recursive, and convert simple recursive implementations to tail recursive implementations
- >>> Build short typing derivation

Recap

```
let point : float * float = (2.0, 3.0)
let student : string * int = ("Franco", 244342)
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(I expect that these are familiar)

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let student : string * int = ("Franco", 244342)
```

Tuples are ordered unlabeled fixed-length heterogeneous collections of data

(I expect that these are familiar)

These are useful for returning multiple arguments from a function

Recall: Records

```
type point = { x_cord : float ; y_cord : float }
let origin : point = { x_cord = 0. ; y_cord = 0. }

type user = {
  name : string ;
  email : string ;
  num_posts : int ;
}
```

Recall: Records

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let origin : point = { x_cord = 0. ; y_cord = 0. }

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type user = {
  name : string ;
  email : string ;
  num_posts : int ;
}
```

Records are unordered labeled fixed-length heterogeneous collections of data

They are useful for organizing large collections of data (akin to database records)

Recall: Simple Variants

```
type os = BSD | Linux | MacOS | Windows
```

A **simple variant** is a user-defined type for values of a fixed collection of possibilities

Type names are **lower_case** and Constructors names are **Upper_case**

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Type names are **lower_case** and Constructors names are **Upper_case**

Recall: Data-Carrying Variants

```
type linux_distro = Arch | Fedora | NixOS | Ubuntu
type os
  = BSD of int * int
  | Linux of linux_distro * int
  MacOS of int
   Windows of int
let supported (sys : os) : bool =
 match sys with
  BSD (major , minor) -> major > 2 && minor > 3
```

Variants can carry data, which allows us to represent more complex structures

Recall: Data-Carrying Variants

```
type linux_distro = Arch | Fedora | NixOS | Ubuntu
           type os
             = BSD of int * int
              Linux of linux_distro * int
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Note the syntax | Windows of int
           let supported (sys : os) : bool =
             match sys with
             BSD (major , minor) -> major > 2 && minor > 3
-> true
```

Variants can carry data, which allows us to represent more complex structures

What about variable length data?

Lists

What is a list?

```
let _ = 1 :: 2 :: 3 :: []
let _ = 1 :: (2 :: (3 :: []))
let _ = [1; 2; 3 ]
```

A list is an ordered variable-length homogeneous collection of data

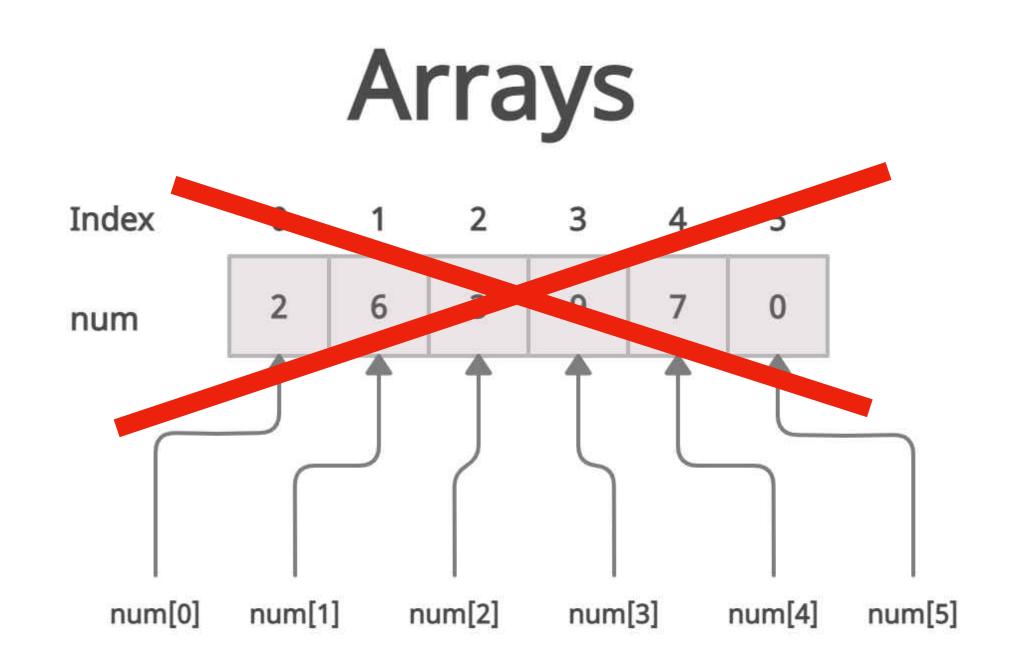
Many important operations on data can be represented as operations on lists (e.g., updating all users in a database)

What is a list not?

A list is not an array. We don't have constant-time indexing

A list is not mutable. No data structures in FP are mutable

(You should think of a list structurally as more like a linked list, sort of)



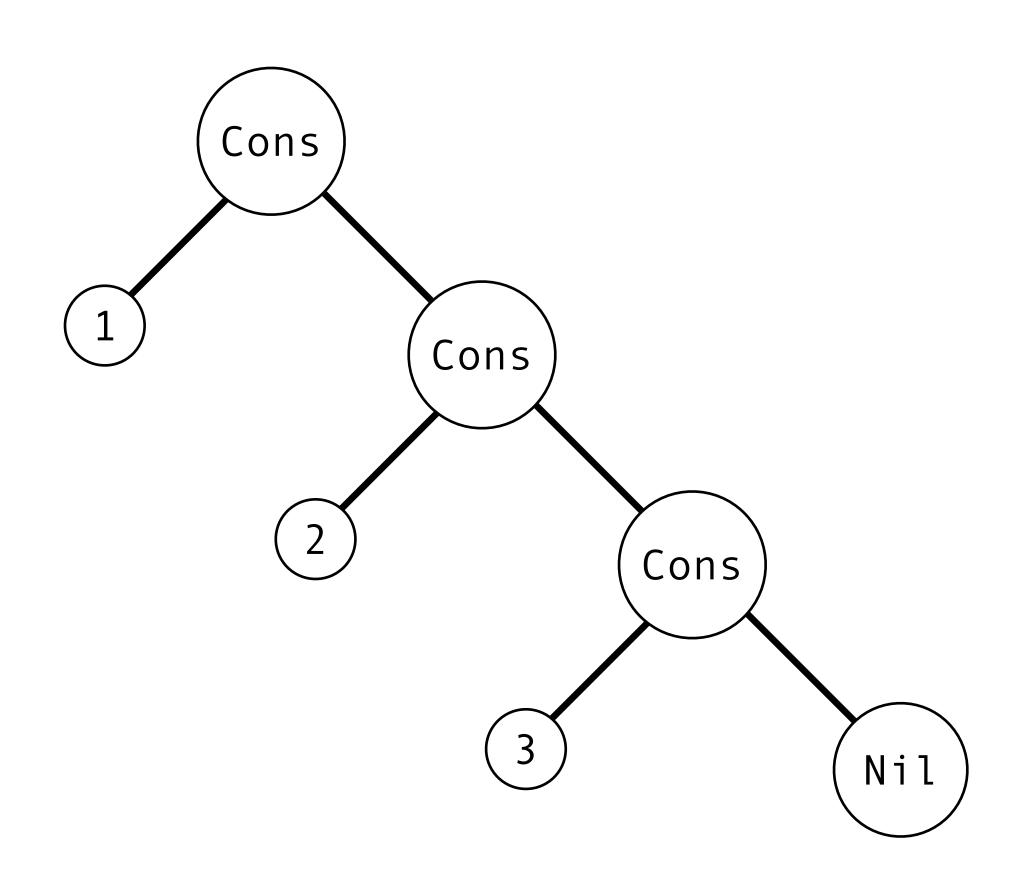
The Picture

We can think of the list

1::2::3::[]

as a leaning tree with data a leaves

(this will generalize to other algebraic data types)



[] is a well-formed expression

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If e_1 is a well-formed expression and e_2 is a well-formed expression, then e_1 :: e_2 is a well-formed expression

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If e_1 is a well-formed expression and e_2 is a well-formed expression, then e_1 :: e_2 is a well-formed expression

If e_1,\dots,e_n are well-formed expressions, then [e_1 ; ... ; e_n] is a well-formed expression

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x: xs stands for the list xs with x prepended to it. The symbol: is pronounced "cons" and is a right associative operator

x1:: xx:: ...: xx :: []

[x1; x2;...; xn] is a list literal. It's shorthand for a list of a known length
```

Example

Construct a function **generate** which, given integers **n**, returns a list consisting of the first **n** positive integers

Lists (Typing)

$$\frac{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}}{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}} \text{ (cons)}$$

The empty list [] is of type τ list in any context Γ (for any type τ)

If e_1 is of type τ in the context Γ and e_2 is of type τ **list** in the context Γ then e_1 :: e_2 is of type τ **list** in the context Γ

Homogeneity

$$\frac{}{\Gamma \vdash []:\tau \text{ list}}$$
 (nil)

$$\frac{\Gamma \vdash e_1 : \tau \qquad \Gamma \vdash e_2 : \tau \text{ list}}{\Gamma \vdash e_1 : : e_2 : \tau \text{ list}} \text{ (cons)}$$

Notice that this rule enforces that all elements in a list must be the same type

Lists (Semantics, Formally)

$$\frac{e_2 \Downarrow [v_2,...,v_k]}{e_1 :: e_2 \Downarrow [v_1,v_2,...,v_k]} \text{ (consEval)}$$
 anphy list value

The empty list [] evaluate to the empty list (as a value)

If e_1 evaluates to v_1 and e_2 evaluates to the list value $[v_2, ..., v_k]$ then e_1 :: e_2 evaluates to the list value $[v_1, v_2, ..., v_k]$

Lists (Semantics, Informally)

 $[2 + 3; 4 * 12; 2 - 1] \downarrow [5/, 48/, 1]$

We evaluate the list $[e_1; e_2; \dots; e_k]$ by evaluating each element of the list (from right to left)

Destructing Lists

```
match l with

([]) -> (* something *)

(X)::(XS) -> (* something else *)

Nonempty

arbitrary var. varee
```

As with any type in OCaml, we can use pattern matching to destruct lists

With pattern matching, we describe the value we want based on the shape of the list we're matching on

Example

Implement the function **length** where **length 1** is the number of elements in **l**

Implement the function **double** where **double 1** is the same as the list **1** but with every element doubled

```
val remove_all_negatives : int list -> int list
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If we want to "update" a list, we have to produce an entirely new list

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All data structures in FP are immmutable

If we want to "update" a list, we have to produce an entirely new list

In reality the data is not literally duplicated, there are optimizations which allow for shared data

Practice Problem

Implement the function

remove_all_negatives : int list -> int list

where **remove_all_negative 1** is the same as the list **1** but with all negative numbers removed

Weak Matching on Lists (Syntax)

If e,e_1,e_2 are well-formed expressions and x,y are valid variable names, then

```
match e with | [] -> e_1 | x :: y -> e_2
```

is a well-formed expression

(this is "weak" matching because we're not using patterns, we're assuming two fixed branches, e.g. no deep matching)

Weak Matching on Lists (Typing)

$$\frac{\Gamma \vdash e:\tau' \text{ list}}{\Gamma \vdash \text{match } e \text{ with } | [] \rightarrow e_1 | x :: y \rightarrow e_2:\tau} \text{(matchList)}$$

If e' is of type τ' **list** in the context Γ and e_1 is of type τ in the context Γ and e_2 is of type τ in the context Γ with $(h:\tau')$ and $(t:\tau')$ and $(t:\tau')$ added, then the entire match expression is of type τ

Weak Matching on Lists (Semantics 1)

$$\frac{e \Downarrow \varnothing \qquad e_1 \Downarrow v}{\mathsf{match} \ e \ \mathsf{with} \ | \ [\,] \ -> \ e_1 \ | \ x \ :: \ y \ -> \ e_2 \Downarrow v} \ (\mathsf{matchListEvalNil})$$

If e evaluates to the empty list \varnothing and e_1 evaluates to v, then the entire match expression evaluates to v

Weak Matching on Lists (Semantics 2)

substitute la for x in ez

$$\frac{e \Downarrow h :: t \qquad e_2' = \boxed{[t/y][h/x]e_2} \qquad e_2' \Downarrow v}{\mathsf{match} \ e \ with \ | \ [\] \ -> \ e_1 \ | \ x \ :: \ y \ -> \ e_2 \Downarrow v} (\mathsf{matchListEvalCons})$$

If

- $\gg e_1$ evaluates to a nonempty list h::t with first element h and remainder t
- » the expression e_2 with h substituted for x and t substituted for y evaluates to y

then the entire match statement evaluates to v

Weak Matching on Lists (Semantics 2)

```
\frac{e \Downarrow h :: t}{\mathsf{match}\ e \ \mathsf{with}\ |\ [] \ -> \ e_1 \ |\ x :: y \ -> \ e_2 \ \Downarrow v} (\mathsf{matchListEvalCons})
```

If

- $\gg e_1$ evaluates to a nonempty list h::t with first element h and remainder t
- » the expression e_2 with h substituted for x and t substituted for y evaluates to y

then the entire match statement evaluates to v

Deep Pattern Matching

Pattern matching is very general. We can match on more complex patterns than just empty and nonempty

Example

Implement the function

delete_every_other : int list -> int list

such that **delete_every_other 1** is the first, third, fifth,..., and so on elements of **1**

A Note on Polymorphism

```
let rec length l =
  match l with
  | [] -> 0
  | x :: xs -> 1 + length xs
```

What is the type of the length function?

Does this function depend on the values in the list?

[1;2;3] ["1";"2";"3"] [[1;1];[2;2];3;3]]
int list string list int list list

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[1;2;3] ["1";"2";"3"] [[1;1];[2;2];3;3]]

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```

The list type is an example of a **parametrized** type. We can uses lists of containing various types (but the elements in one list must all the the same type)

[1;2;3]

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A function is polymorphic if it can be apply to a list parametrized by any type

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A function is polymorphic if it can be apply to a list parametrized by any type

For this, we need type variables to stand for any type: 'a, 'b, 'c,...

```
let rec sum l =
  match l with
  | [] -> 0
  | x :: xs -> x + sum xs
```

Can this function be applied to a list parametrized by any type?

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Answer: No, it can only be applied to int lists

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Answer: No, it can only be applied to int lists

OCaml's type inference is good at "guessing" when functions are polymorphic

Practice Problem

Implement the function

reverse: 'a list -> 'a list

such that **reverse 1** is the same as **1** but in reverse order

Tail Recursion

demo

(mod 2 the wrong way)

Tail Recursion

```
let rec fact n =
   if n <= 0
   then 1
   else n * fact (n - 1)
   not tail recursive</pre>
```

```
let fact n =
  let rec loop acc n =
    if n <= 0
    then acc
    else loop (n * acc) (n - 1)
  in loop
    tail recursive</pre>
```

A recursive function is **tail recursive** if it does not perform any computations on the result of a recursive call.

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Tail-call elimination is an optimization implemented by OCaml's compiler which reuses stack frames, making recursive functions "behave iteratively" when executed

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Tail-call elimination is an optimization implemented by OCaml's compiler which *reuses* stack frames, making recursive functions "behave iteratively" when executed

In Short: Tail-recursive functions are more memory efficient

The Rough Picture

```
let append l r =
  let rec loop l aux =
    match l with
    | [] -> aux
    | x :: xs -> loop (x :: aux) xs
  in loop l r
```

```
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Be careful with tail-recursive functions on lists.

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Does the above program concatenate two lists?

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Does the above program concatenate two lists?

The Moral: Tail recursive functions on lists often reverse the lists

Tail Recursion and Lists

```
let append l r =
  let rec loop l aux =
    match l with
    | [] -> aux
    | x :: xs -> loop (x :: aux) xs
  in loop l r
    should be (List.rev 1)
```

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Example

Implement the function

reverse: 'a list -> 'a list

in a tail-recursive fashion

Typing Derivations

Recall: Judgements are Statements

```
{b:bool} ⊢ if b then 2 else 3:string
```

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```

A judgement is a statement in the same way that "there are infinitely many twin primes" or "pigs fly" is a statement

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A judgement is a statement in the same way that "there are infinitely many twin primes" or "pigs fly" is a statement

We haven't **proved** anything by writing down a typing judgment

Derivations allow us to prove that a typing judgment holds with respect to a collection of inference rules

```
 \frac{ \frac{}{\{y: int\} \vdash y: int} (var) - \frac{}{\{y: int\} \vdash y: int} (var)}{\{y: int\} \vdash y: int} (var) - \frac{}{\{y: int\} \vdash y: int} (intAdd) - \frac{}{\{y: int\} \vdash y: int} (let) }
```

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>> each node is labeled with a typing judgment

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Formally, a derivation is a tree in which:

- >> each node is labeled with a typing judgment
- » and typing judgment follows from the typing judgments at it's children by an inference rule

Applying Rules

```
\frac{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}}{\Gamma \vdash e_1:\tau \quad \Gamma \vdash e_1:\tau \quad \Gamma \vdash e_2:\tau \text{ list}} \text{ (cons)}
```

So far, we've used rules as ways of describing the behavior of a PL

When we build typing derivations, we instantiate the metavariables in the rule at particular expressions, contexts, etc.

Building from the Ground Up

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But we can't just apply rules, because it's possible that the premises of a rule also need to be demonstrated

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This is how we get our tree structure: we apply rules from the ground up

Axioms (When are we done?)

We know that we can stop building a derivation once we need to derive a premise with an **axiom**, i.e., a rule with no premises

In our case, this will almost always be "literal" or "variable" rules

Integer Literals

```
\frac{\text{n is an int lit}}{\Gamma \vdash \text{n : int}} \text{ (intLit)} \quad \frac{\text{n is an int lit}}{\text{n } \Downarrow n} \text{ (intLitEval)}
```

- 1. If n is an integer literal, then it is of type int in any context
- 2. If n is an integer literal, then it evaluates to the number it represents

Float Literals

```
\frac{\text{n is an float lit}}{\Gamma \vdash \text{n : float}} \text{ (floatLit)} \quad \frac{\text{n is an float lit}}{\text{n } \psi n} \text{ (floatLitEval)}
```

- 1. If n is an float literal, then it is of type float in any context
- 2. If n is an float literal, then it evaluates to the number it represents

String Literals

```
(1) \frac{s \text{ is an string lit}}{\Gamma \vdash s : \text{string}} \text{ (stringLit)} \quad \frac{s \text{ is an string lit}}{s \Downarrow s} \text{ (stringLitEval)}
```

- 1. If s is an string literal, then it is of type float in any context
- 2. If s is an string literal, then it evaluates to the string it represents

Boolean Literals

```
(1) \frac{(2)}{\Gamma \vdash \text{true} : \text{bool}} \text{ (trueLit)} \qquad \frac{(2)}{\Gamma \vdash \text{false} : \text{bool}} \text{ (falseLit)}
(3) \frac{(3)}{\text{true} \Downarrow \top} \text{ (trueLitEval)} \qquad \frac{(4)}{\text{false} \Downarrow \bot} \text{ (falseLitEval)}
```

- 1. true is of type bool in any context
- 2. false if of type bool in any context
- 3. true evaluates to the value T
- 4. false evaluates to the value \perp

Variables

$$\frac{(v:\tau) \in \Gamma}{\Gamma \vdash v:\tau} \text{ (intLit)}$$

If v is declared to be of type τ in the context Γ , then v is of type τ in Γ

Variables cannot be evaluated (more on this when we talk about substitution and well-scopedness)

A Note about Side Conditions

If a premise is a side-condition this it is not included in the derivation

Side conditions need to hold in order to apply the rule, but they don't appear in the derivation itself

Back to the Example

```
 \frac{ \frac{}{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{var}) \quad \frac{}{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{var}) \quad }{\{y: \mathtt{int}\} \vdash y: \mathtt{int}} (\mathtt{int} \mathsf{Add}) } 
 \frac{\{y: \mathtt{int}\} \vdash y: \mathtt{int}}{\{\} \vdash \mathtt{let} \ y = 2 \ \mathtt{in} \ y + y: \mathtt{int}} (\mathtt{let}) }
```

We need $\{\} \vdash 2 : int in order to proof that the bottom typing judgment holds$

Now we know that this follows from the **intLit** rule, which says that 2 is always an int, by fiat

Semantic Derivations

```
\frac{}{\frac{\mathsf{true} \Downarrow \top}{\mathsf{true}}} (\mathsf{trueEval}) \qquad \frac{}{2 \Downarrow 2} (\mathsf{ifEval}) if true then 2 else 3 \Downarrow 2
```

We can also write derivations to prove semantic judgments

The principle is the same, except that the judgments are semantic judgments instead of typing judgments

Example

```
{} Hif true then 2 else 2:int
```

We'll discuss this a lot more

And we'll be giving you a collection of typing rules with examples

Summary

Lists are used to process collections of homogeneous data

We can use **tail-recursion** to make our implementations more memory efficient

We can use **derivations** to *prove* that typing/semantic judgments hold with respect to a collection of inference rules