

CS460: Intro to Database Systems

Class 14: Log-Structured-Merge Trees

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<https://midas.bu.edu/classes/CS460/>

Useful when?

- ✓ Massive dataset
- ✓ Rapid updates/insertions
- ✓ Fast lookups

⇒ LSM-trees are for you.

Why now?

Patrick O'Neil
UMass Boston



Invented in
1996



1980

1990

2000

2010

Time



levelDB



DynamoDB

amazon
web services



cassandra



HBASE

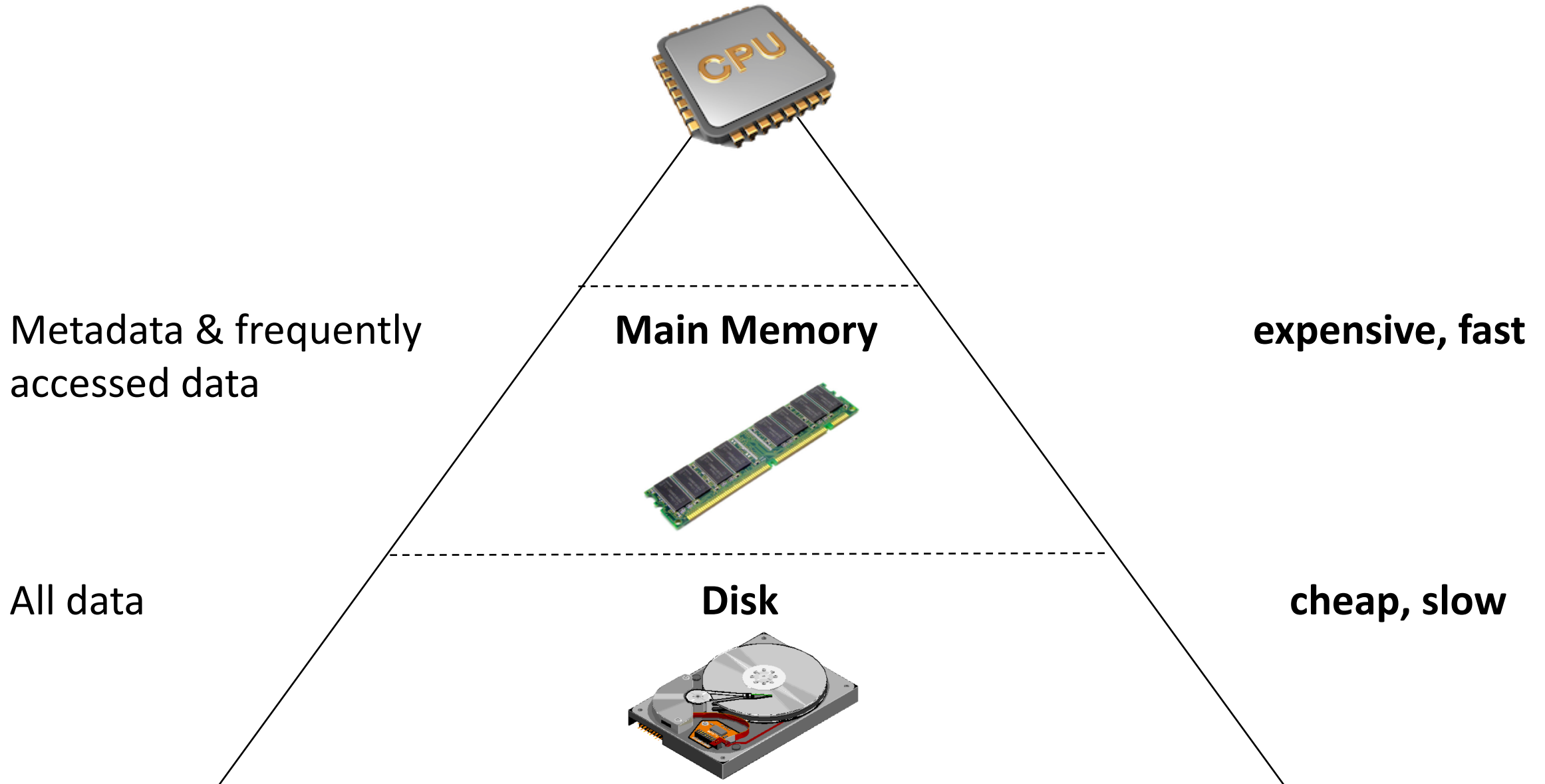


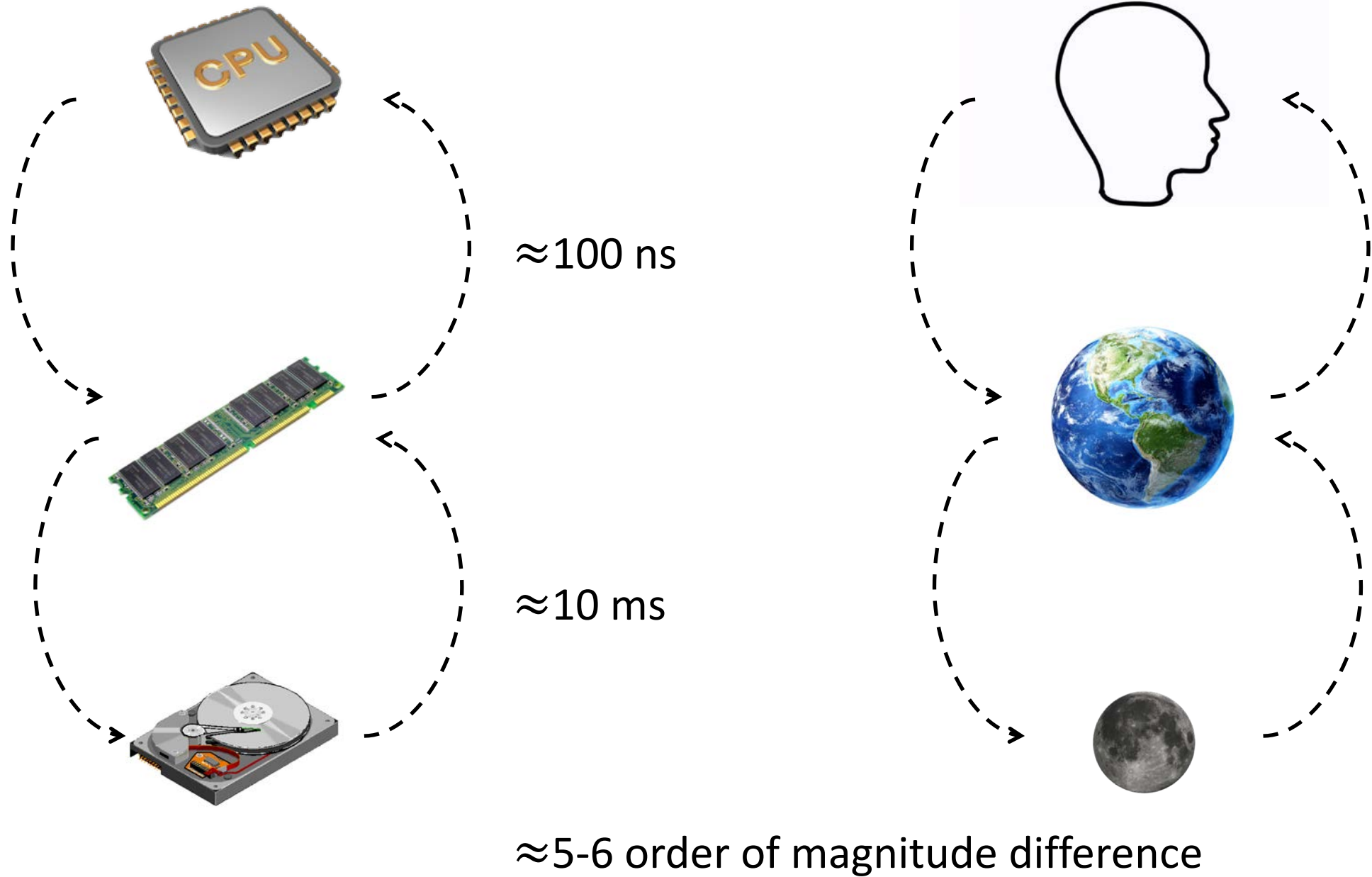
Outline

1. Storage devices
2. Indexing problem & basic solutions
3. Basic LSM-trees
4. Leveled LSM-trees
5. Tiered LSM-trees
6. Bloom filters

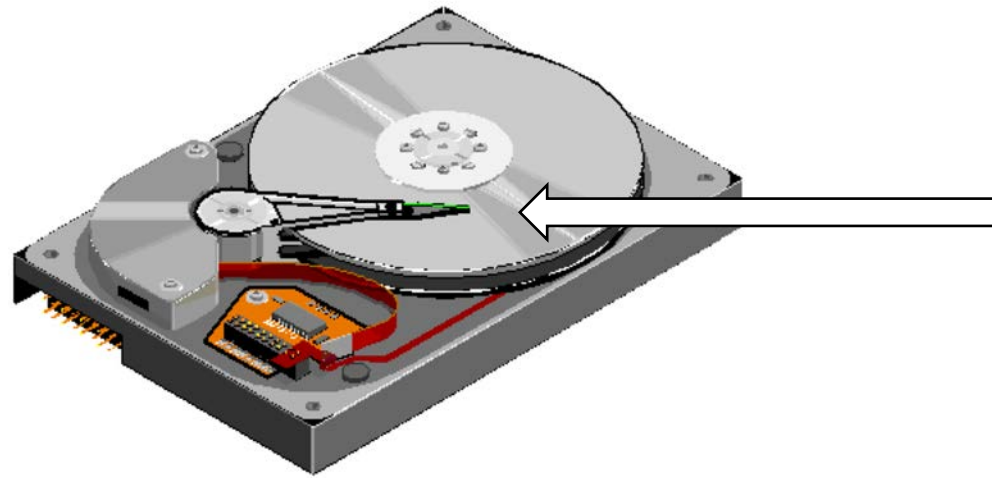
Storage devices

The Memory Hierarchy





Why is disk slow?



Disk head

Random access is slow

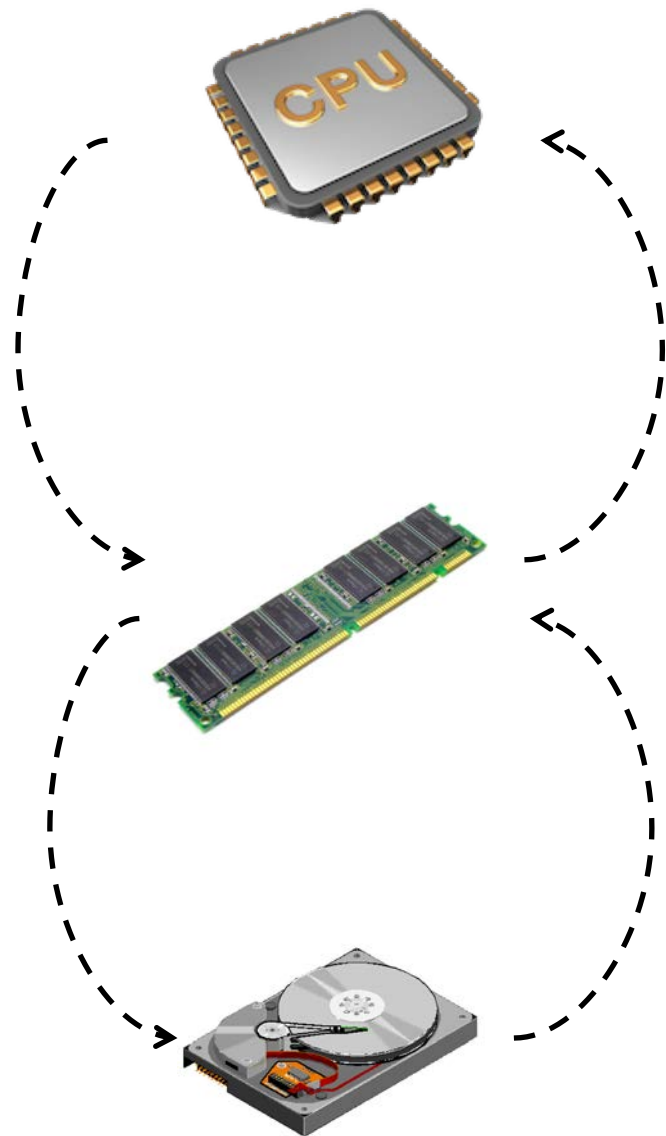


move disk head

Sequential access is faster



let disk spin

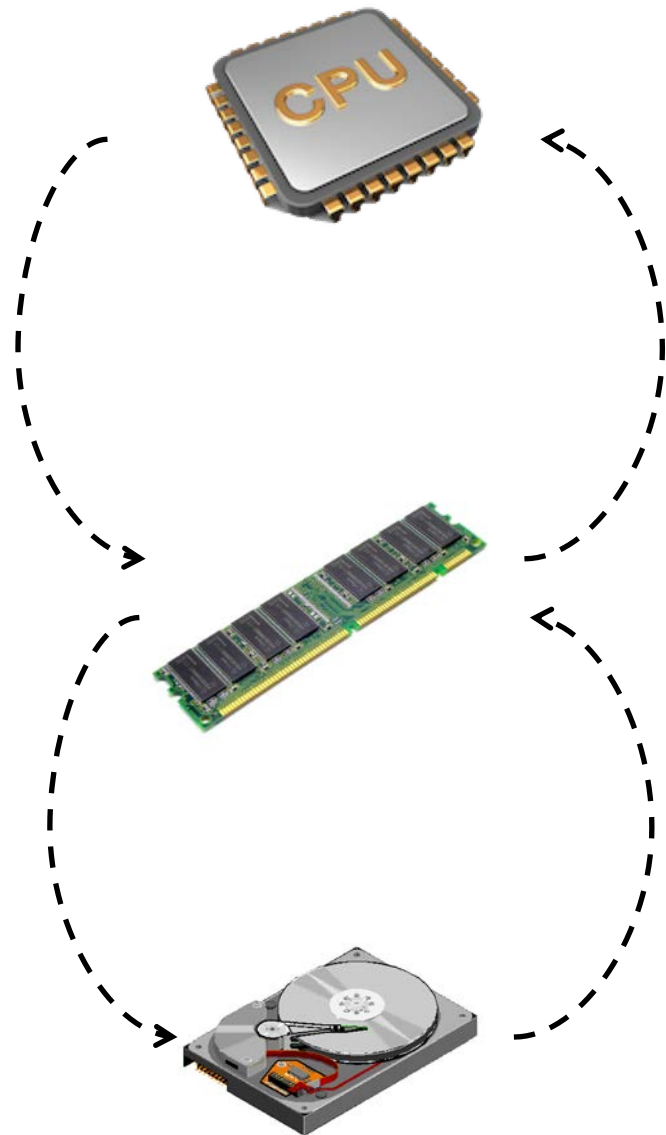


64 byte chunks
Words

Fine access granularity

4 kilobyte chunks
Blocks

Coarse access granularity



64 byte chunks
Words

Fine access granularity

4 kilobyte chunks
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Coarse access granularity

Outline

1. **Storage devices**
2. Indexing problem & basic solutions
3. Basic LSM-trees
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Outline

1. Storage devices
2. **Indexing problem & basic solutions**
3. Basic LSM-trees
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Indexing Problem & Basic Solutions

Indexing Problem



names \longrightarrow phone numbers

Structure on disk?

Lookup cost?

Insertion cost?



Results Catalogue

Compare and contrast data structures.

What to use when?

Data Structure	Lookup cost	Insertion cost
Sorted array		
Log		
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

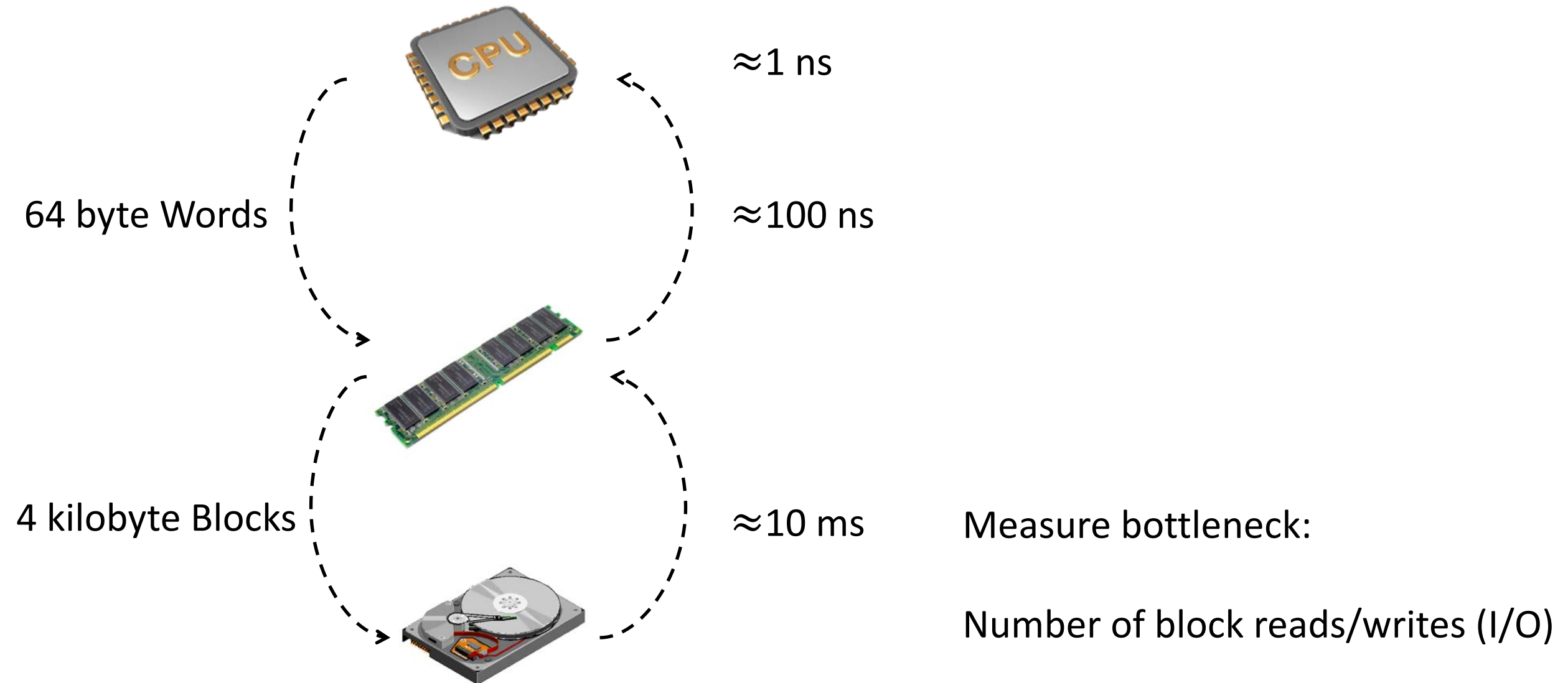
Results Catalogue

Compare and contrast data structures.

What to use when?

Data Structure	Lookup cost	Insertion cost
Sorted array		
Log		
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

Modeling Performance



Sorted Array

N entries

B entries fit into a disk block

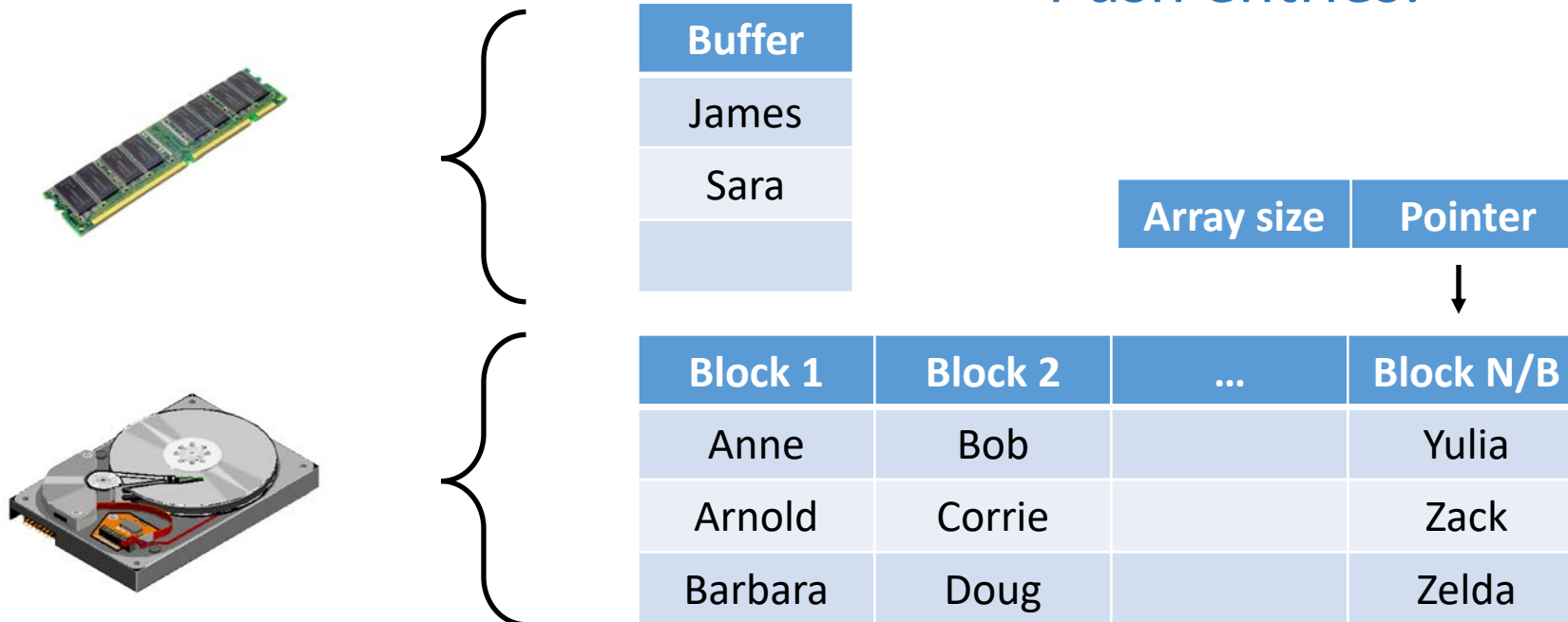
Array spans **N/B** disk blocks

Lookup method & cost?

Binary search: $O\left(\log_2\left(\frac{N}{B}\right)\right)$ I/Os

Insertion cost?

Push entries: $O\left(\frac{1}{B} \cdot \frac{N}{B}\right)$ I/Os



Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log		
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log		
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

Log (append-only array)

N entries

B entries fit into a disk block

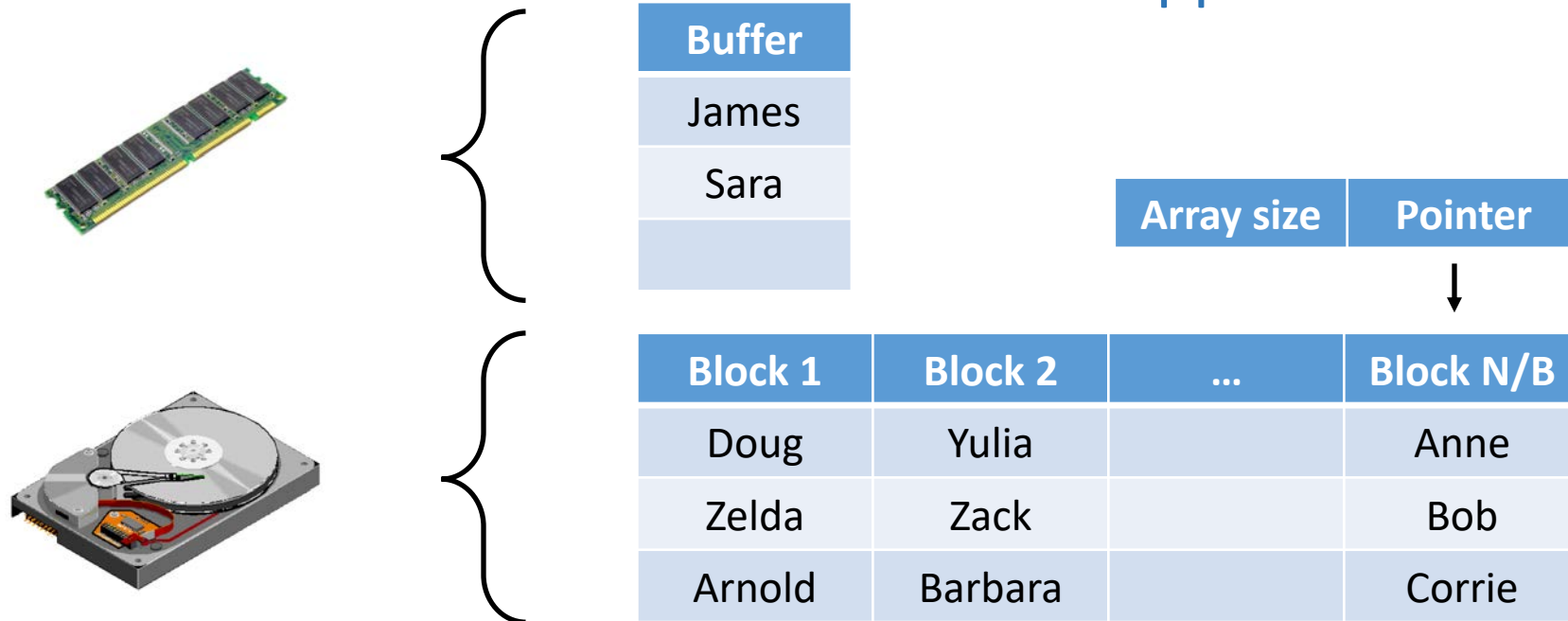
Array spans **N/B** disk blocks

Lookup method & cost?

Scan: $O\left(\frac{N}{B}\right)$

Insertion cost?

Append: $O\left(\frac{1}{B}\right)$



Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log	$O(N/B)$	$O(1/B)$
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log	$O(N/B)$	$O(1/B)$
B-tree		
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

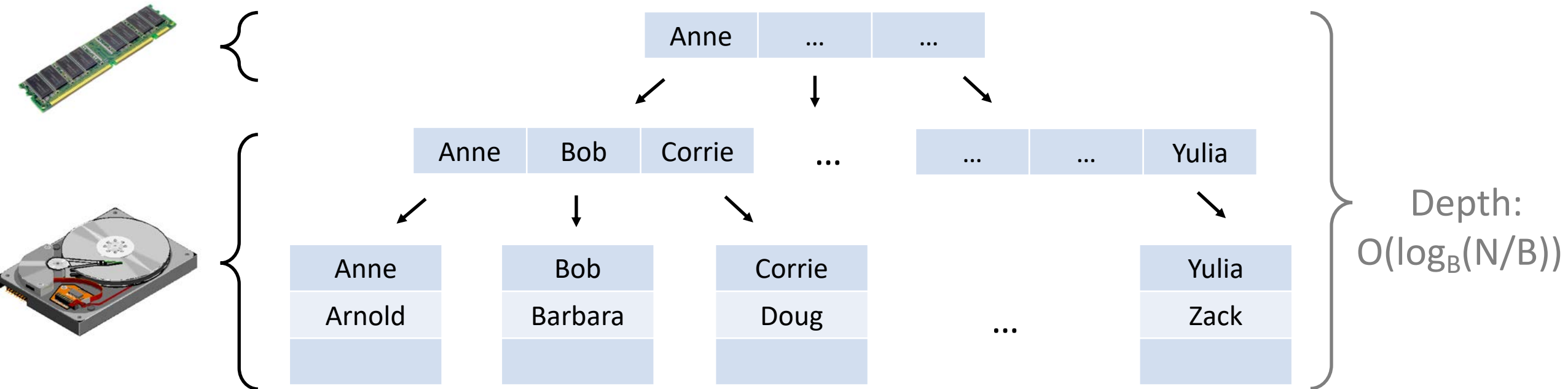
B-tree

Lookup method & cost?

Tree search: $O\left(\log_B\left(\frac{N}{B}\right)\right)$

Insertion method & cost?

Tree search & append: $O\left(\log_B\left(\frac{N}{B}\right)\right)$



Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

B-trees



“It could be said that the world’s information is at our fingertips because of B-trees”

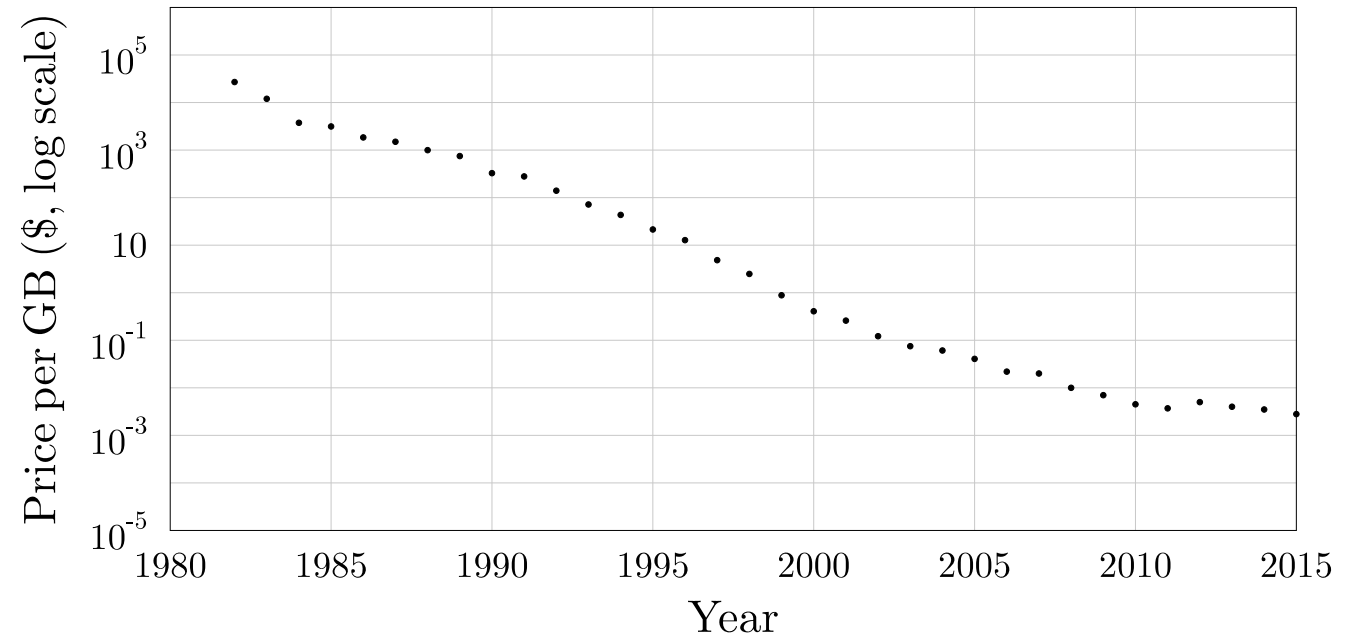
Goetz Graefe Microsoft, HP Fellow, now
Google ACM Software System Award

B-trees are no longer sufficient

Cheaper to store data

Workloads more insert-intensive

We need better insert-performance.



Results Catalogue

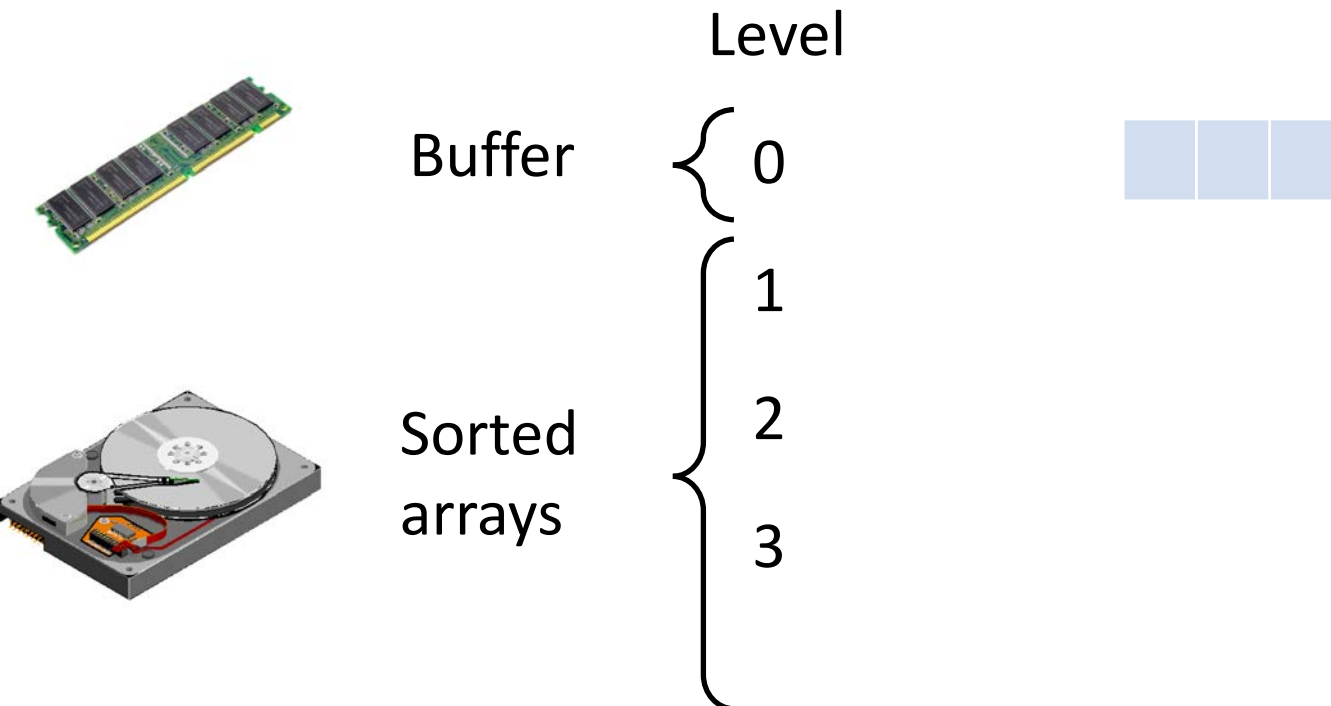
Goal to combine

sub-constant insertion cost
logarithmic lookup cost

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B^2)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
Basic LSM-tree		
Leveled LSM-tree		
Tiered LSM-tree		

Basic LSM-trees

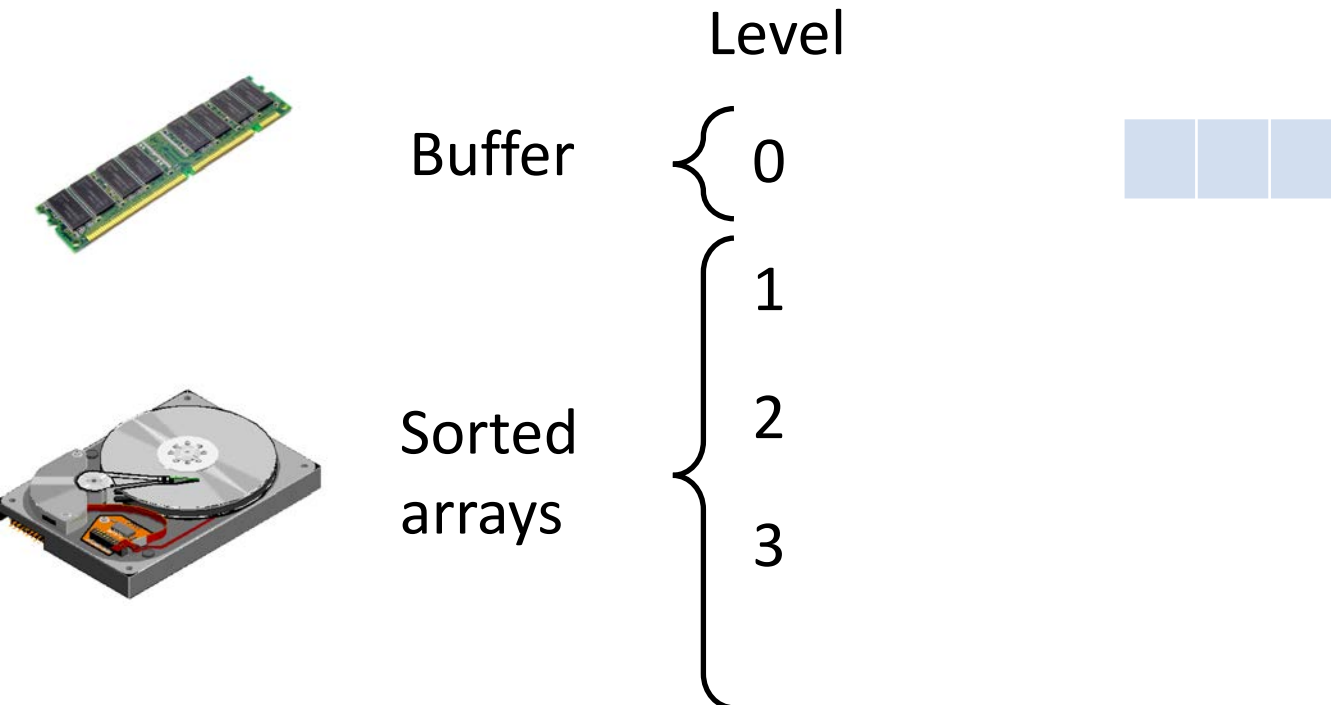
Basic LSM-tree



Basic LSM-tree

Design principle #1:

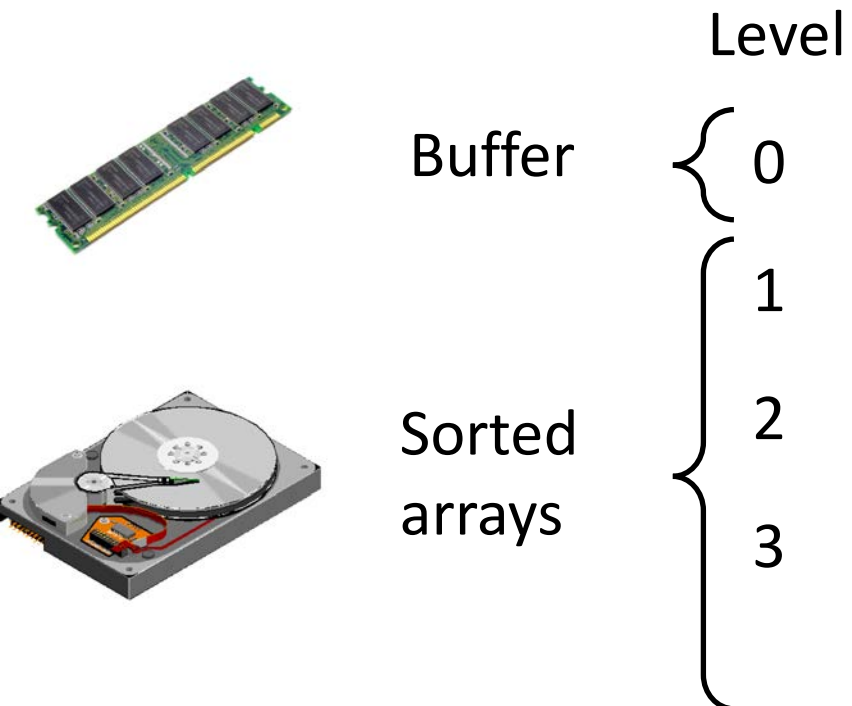
optimize for insertions by buffering



Basic LSM-tree

Design principle #1:

optimize for insertions by buffering



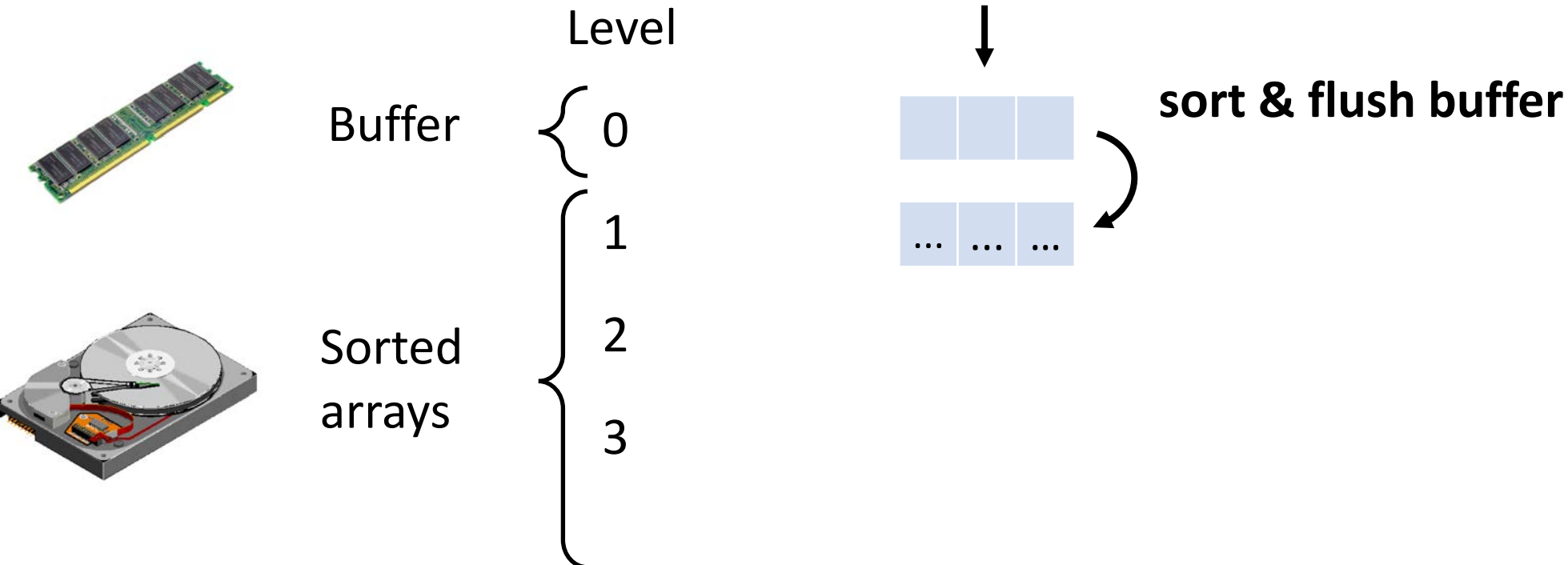
Inserts



Basic LSM-tree

Design principle #1:

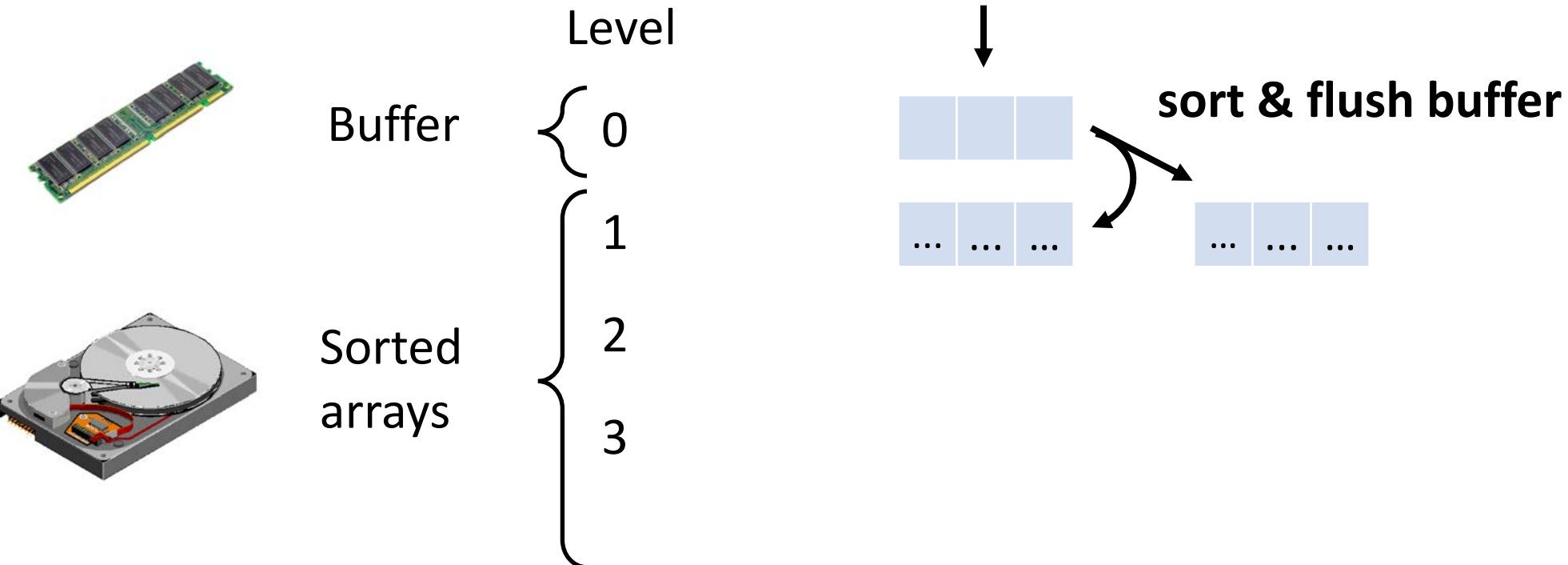
optimize for insertions by buffering



Basic LSM-tree

Design principle #1:

optimize for insertions by buffering



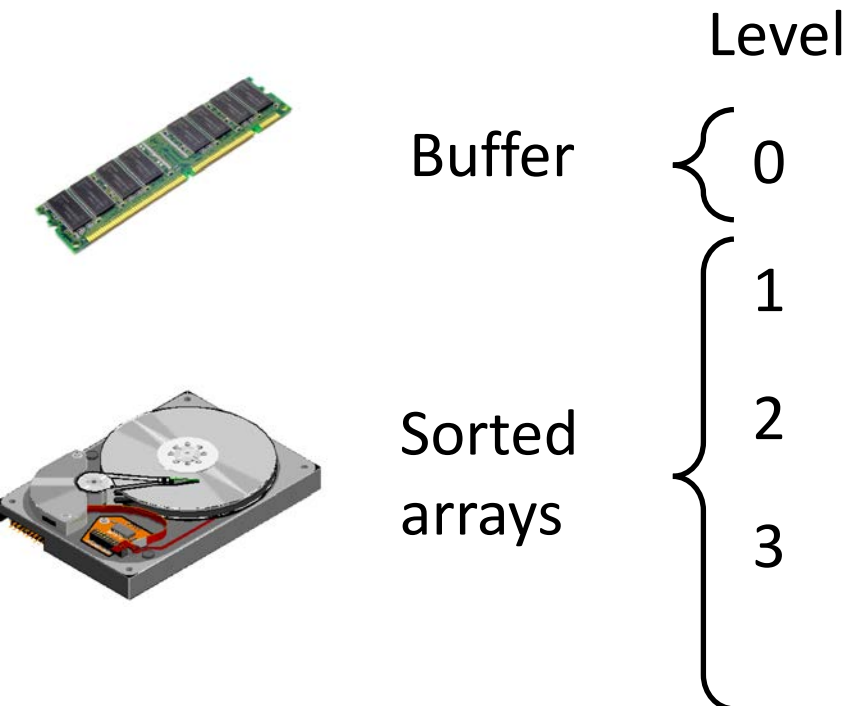
Basic LSM-tree

Design principle #1:

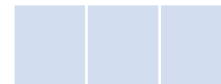
optimize for insertions by buffering

Design principle #2:

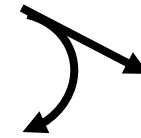
optimize for lookups by sort-merging arrays



Inserts



sort & flush buffer



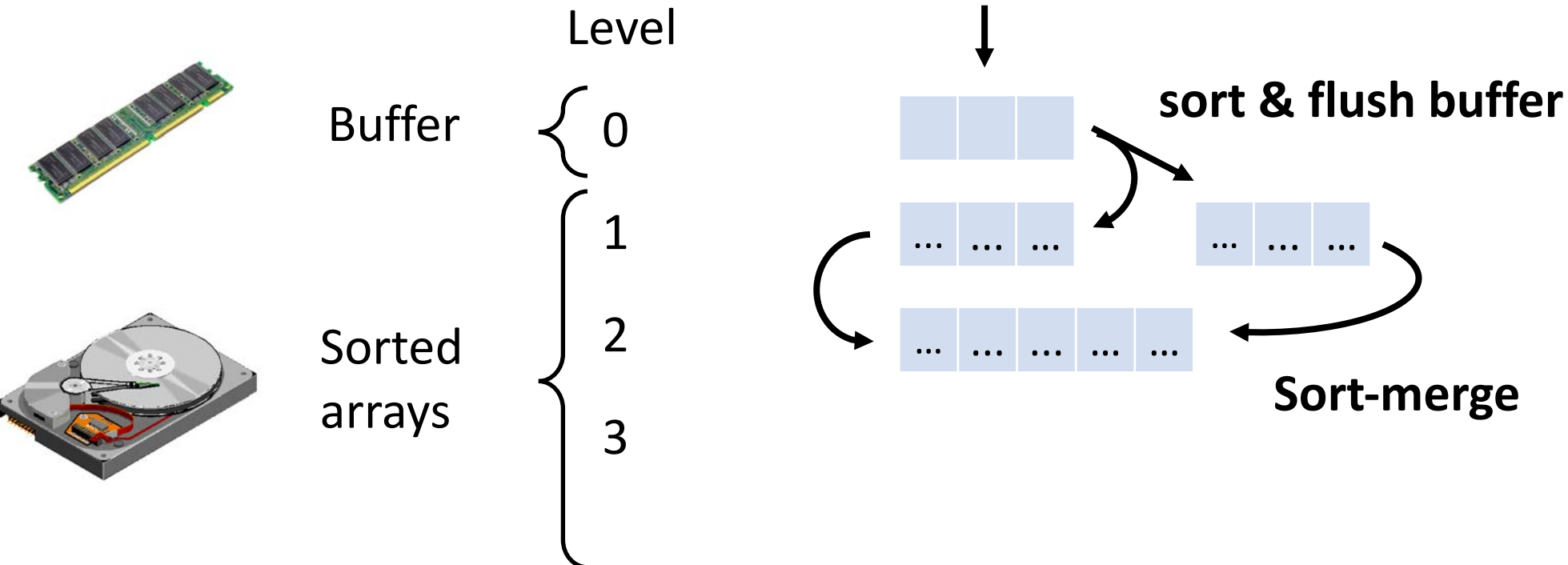
Basic LSM-tree

Design principle #1:

optimize for insertions by buffering

Design principle #2:

optimize for lookups by sort-merging arrays



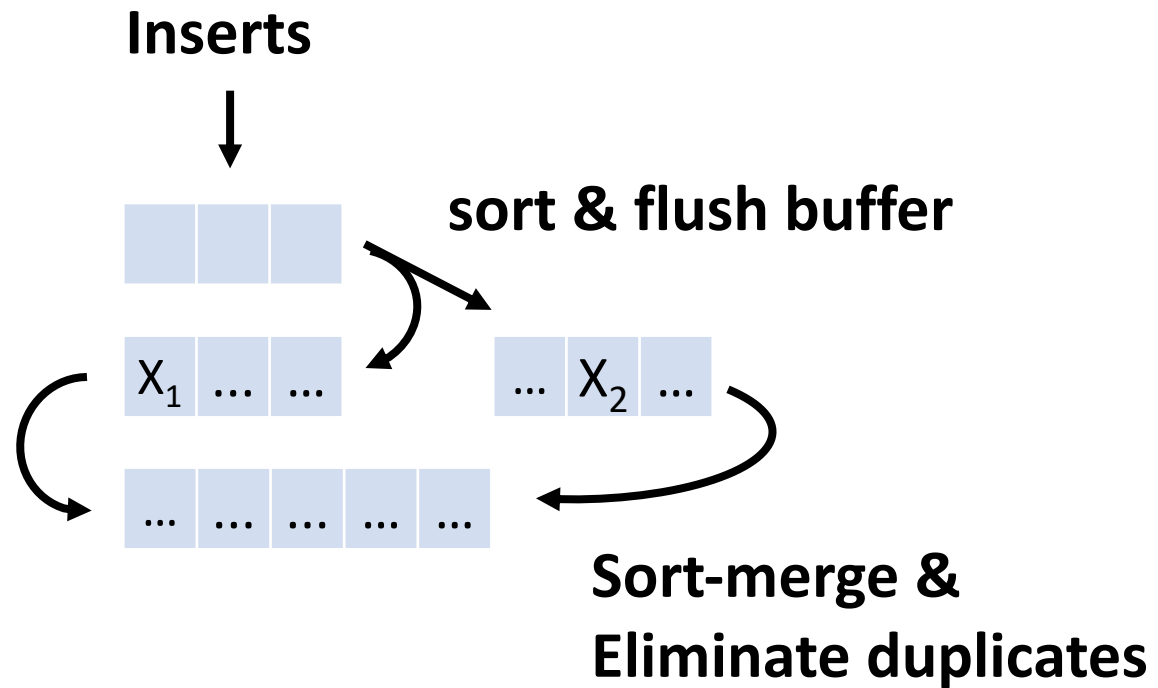
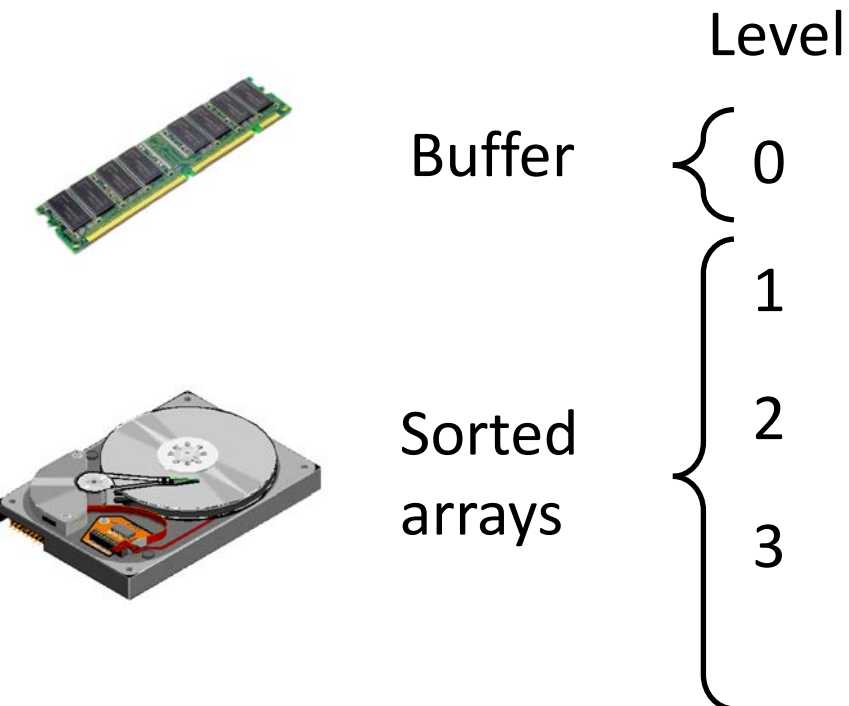
Basic LSM-tree

Design principle #1:

optimize for insertions by buffering

Design principle #2:

optimize for lookups by sort-merging arrays



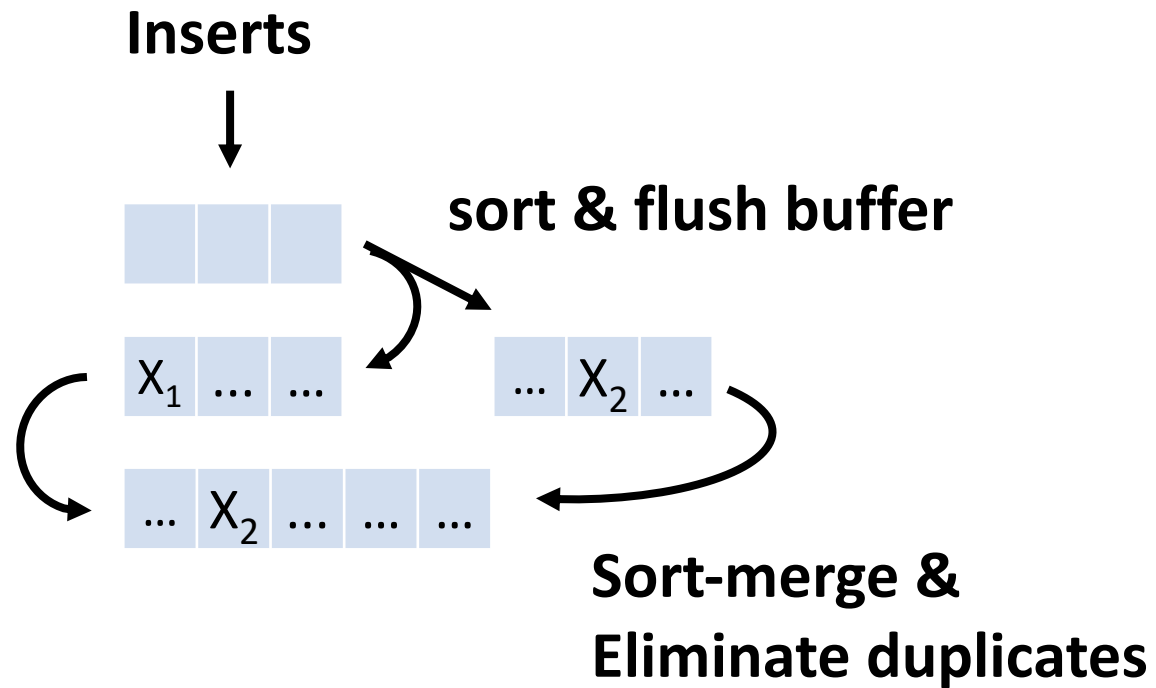
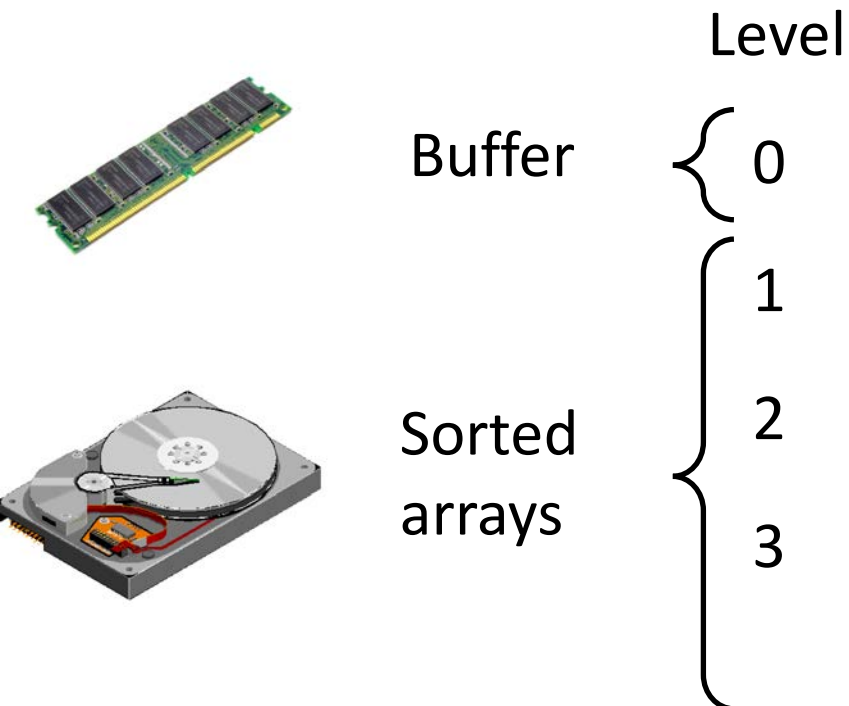
Basic LSM-tree

Design principle #1:

optimize for insertions by buffering

Design principle #2:

optimize for lookups by sort-merging arrays



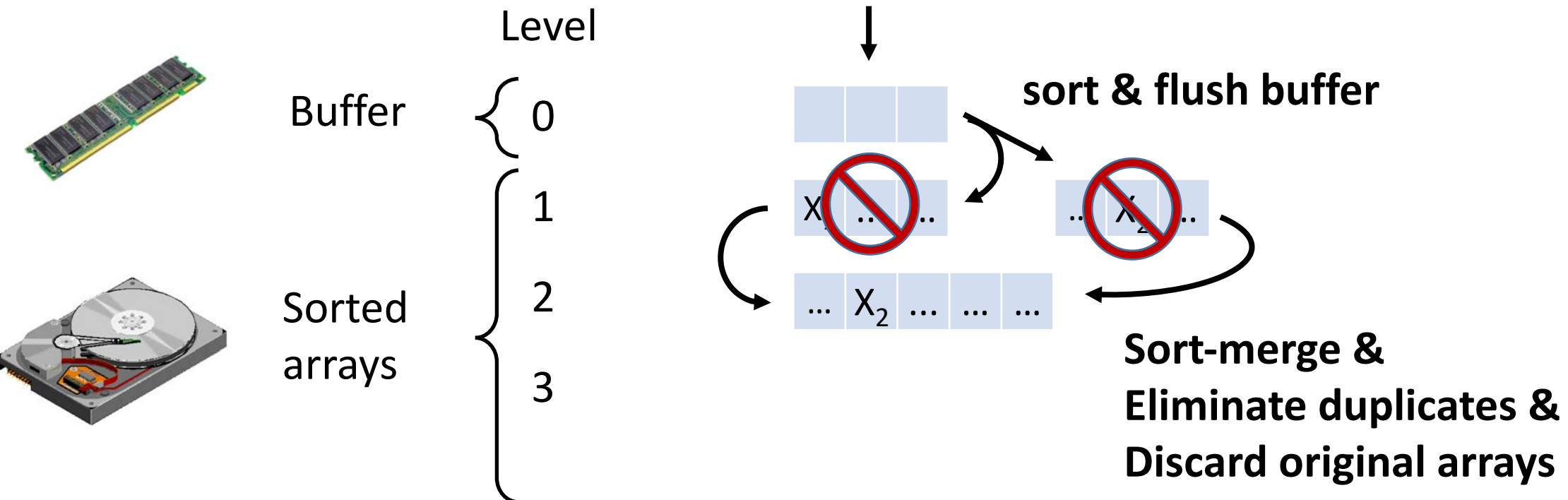
Basic LSM-tree

Design principle #1:

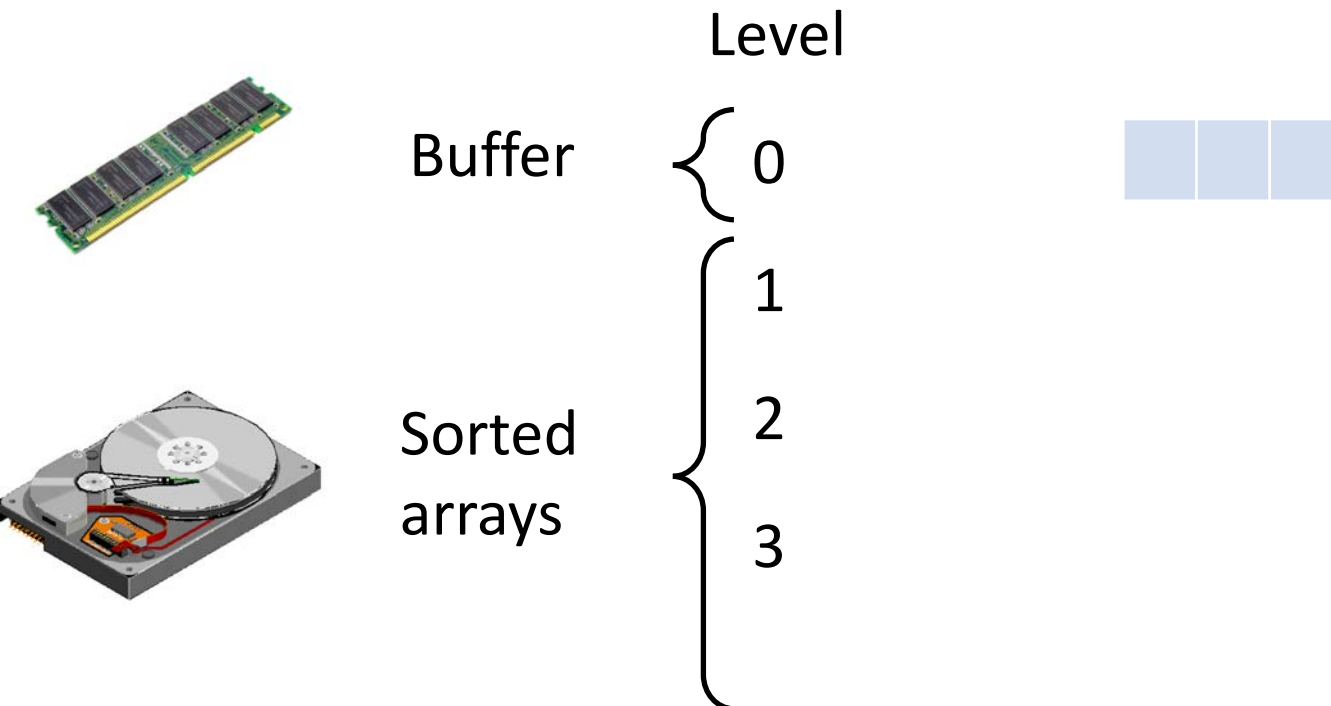
optimize for insertions by buffering

Design principle #2:

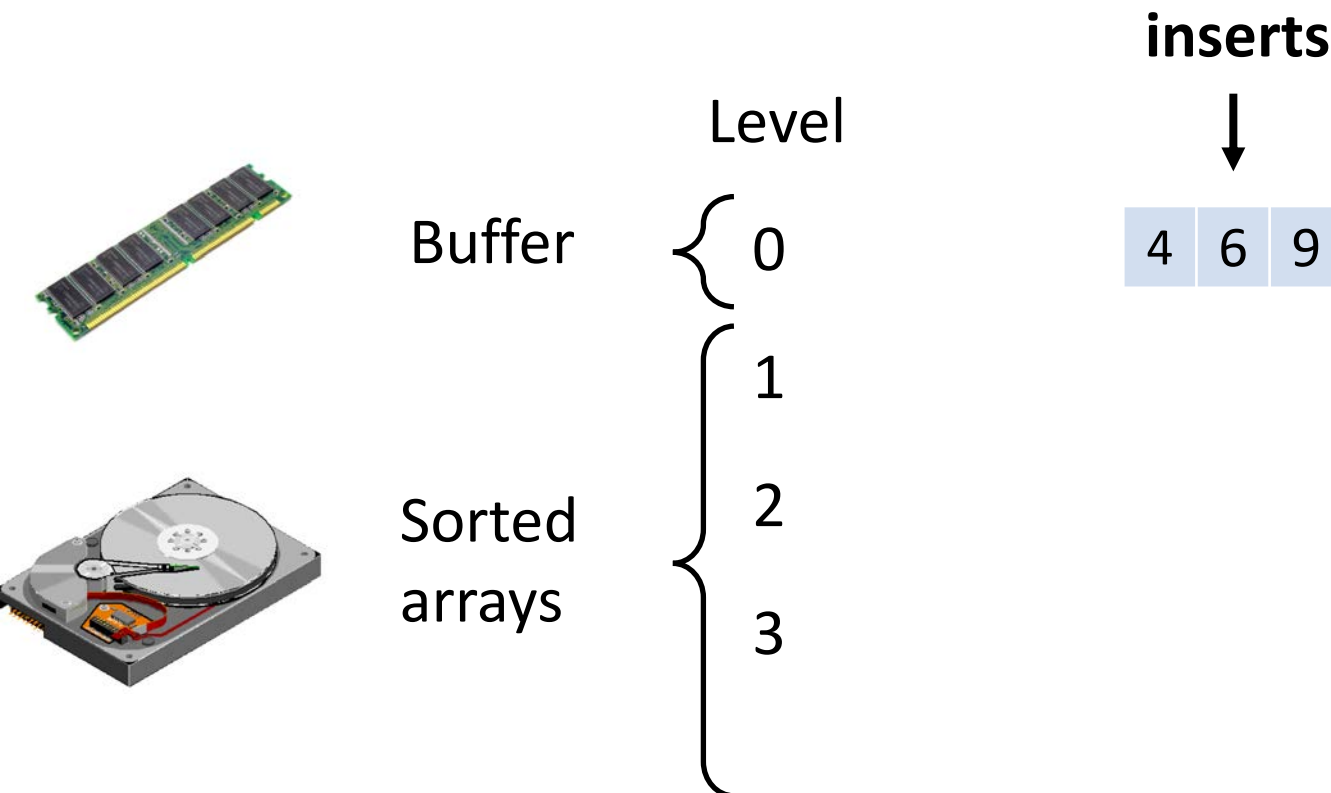
optimize for lookups by sort-merging arrays



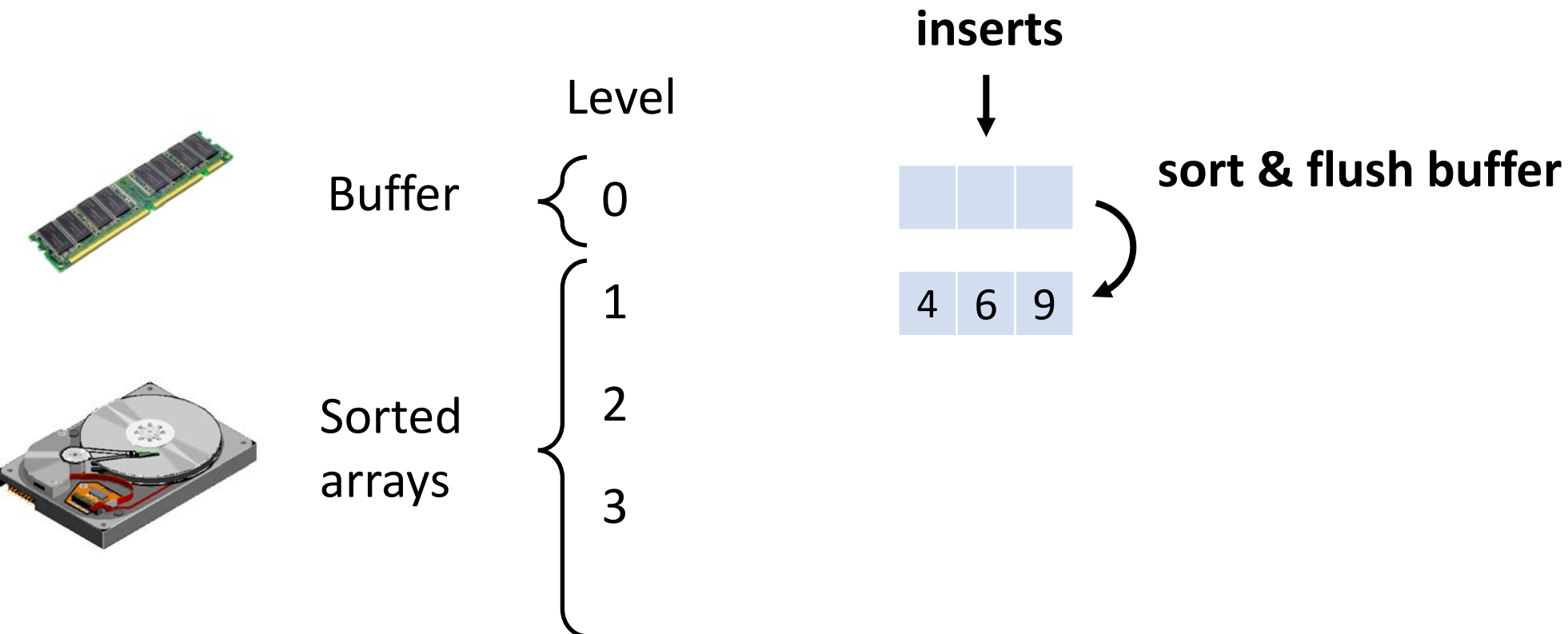
Basic LSM-tree – Example



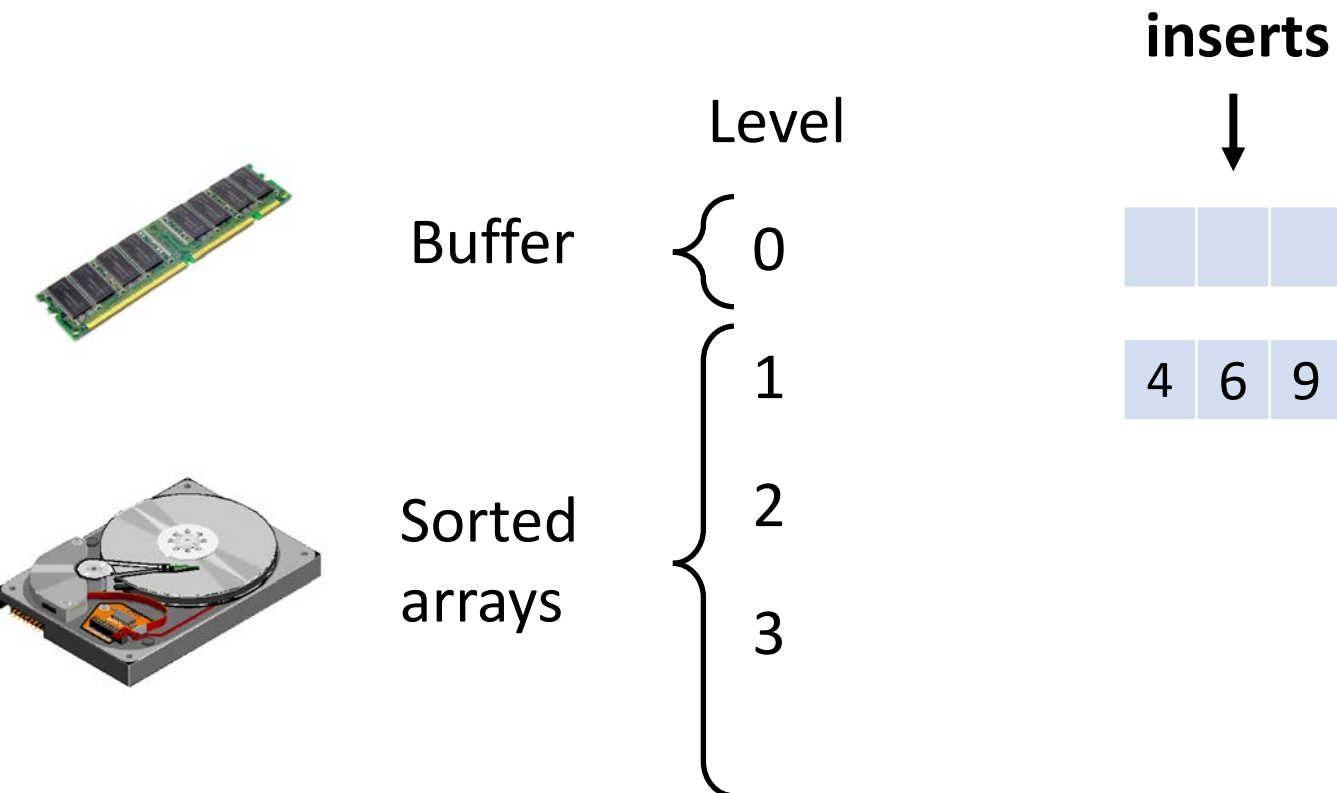
Basic LSM-tree – Example



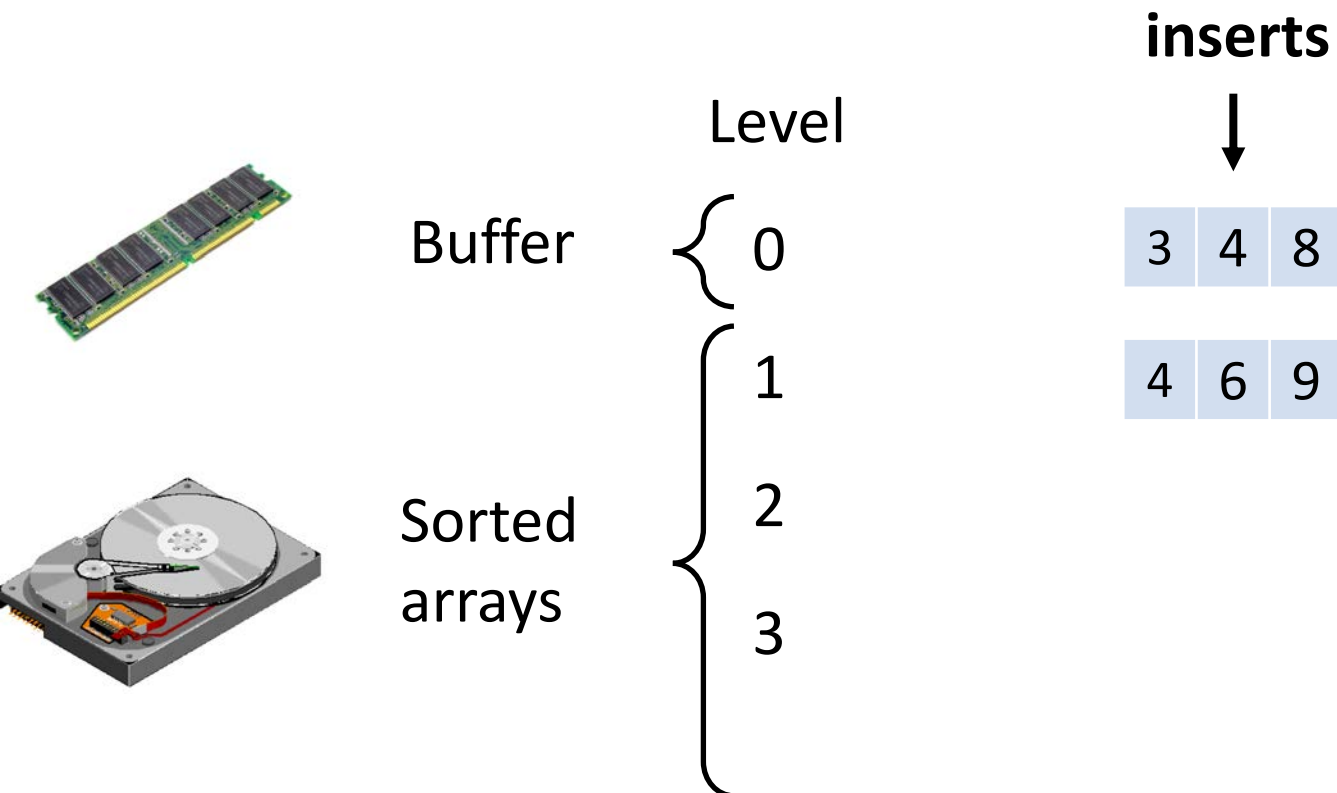
Basic LSM-tree – Example



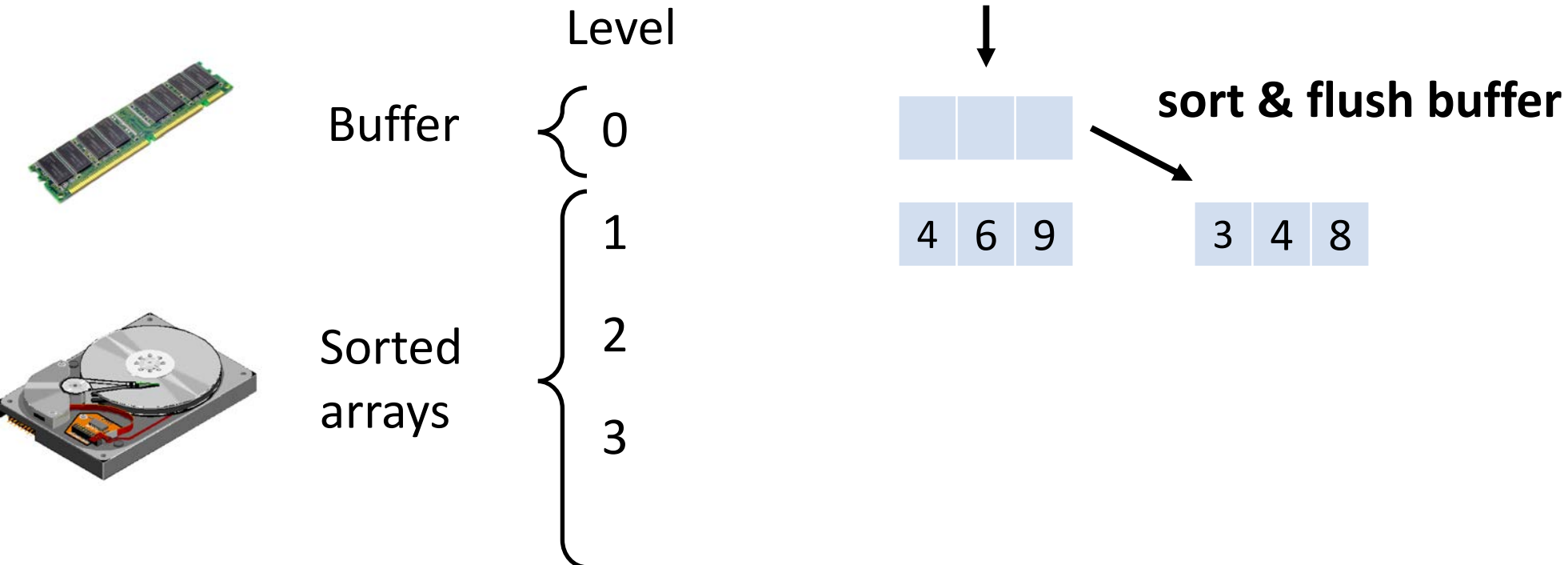
Basic LSM-tree – Example



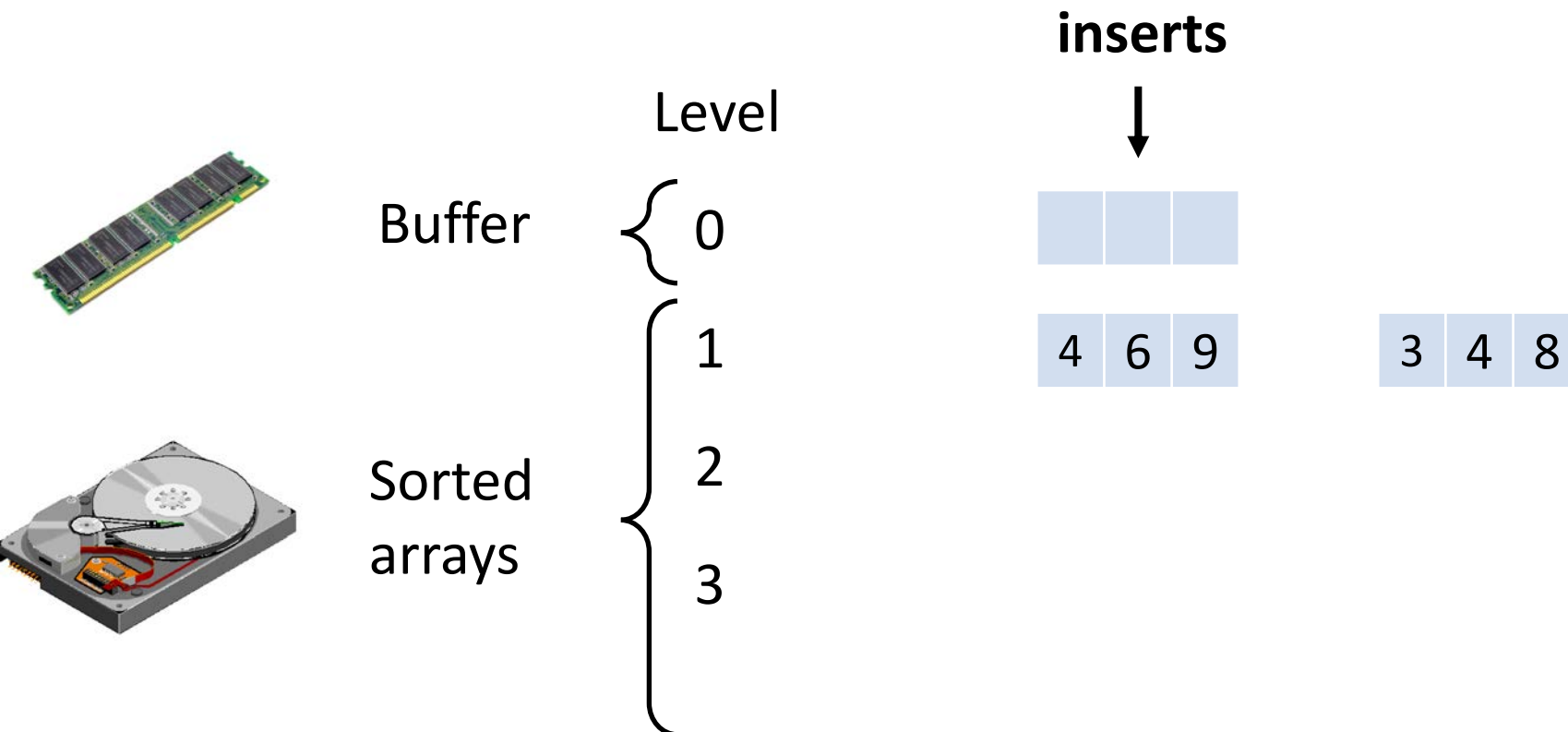
Basic LSM-tree – Example



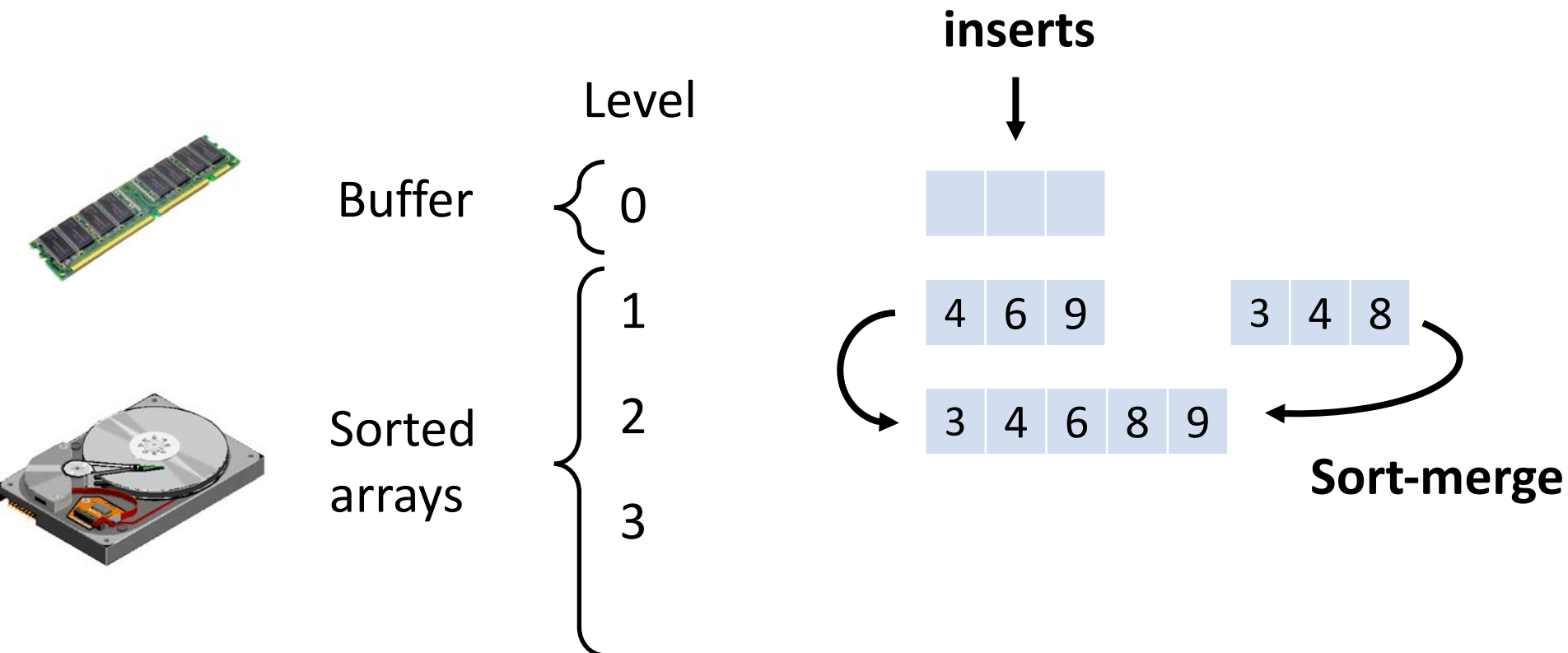
Basic LSM-tree – Example



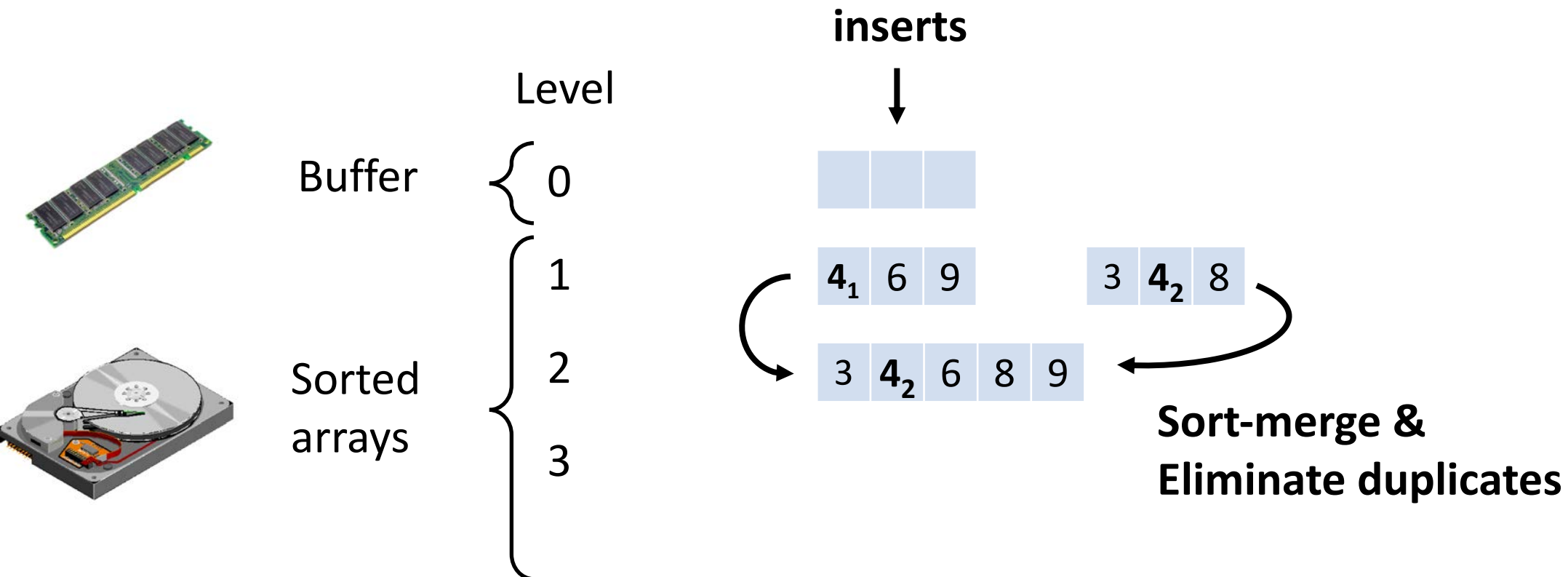
Basic LSM-tree – Example



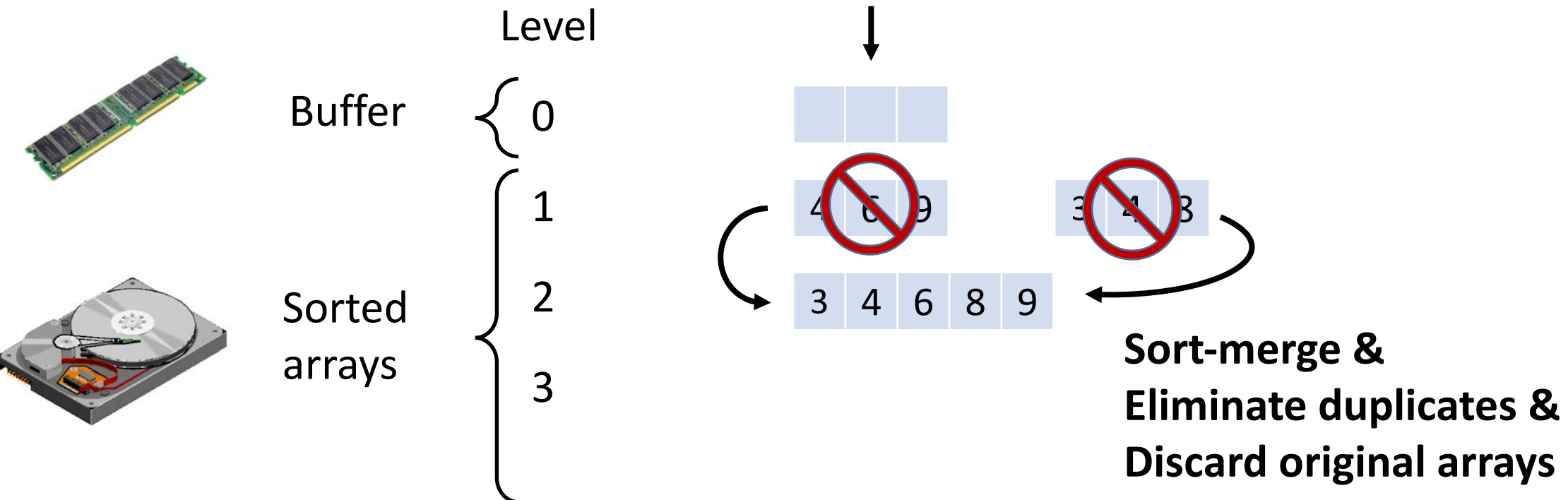
Basic LSM-tree – Example



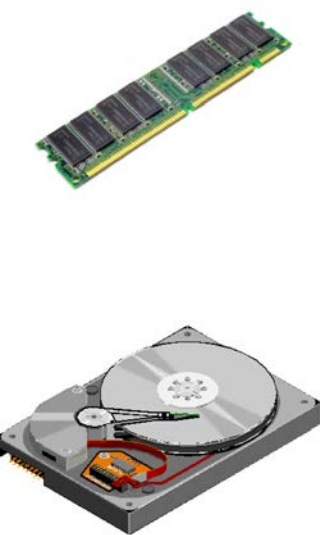
Basic LSM-tree – Example



Basic LSM-tree – Example



Basic LSM-tree – Example



Buffer

Sorted
arrays

Level

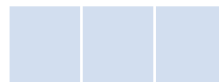
{ 0

1

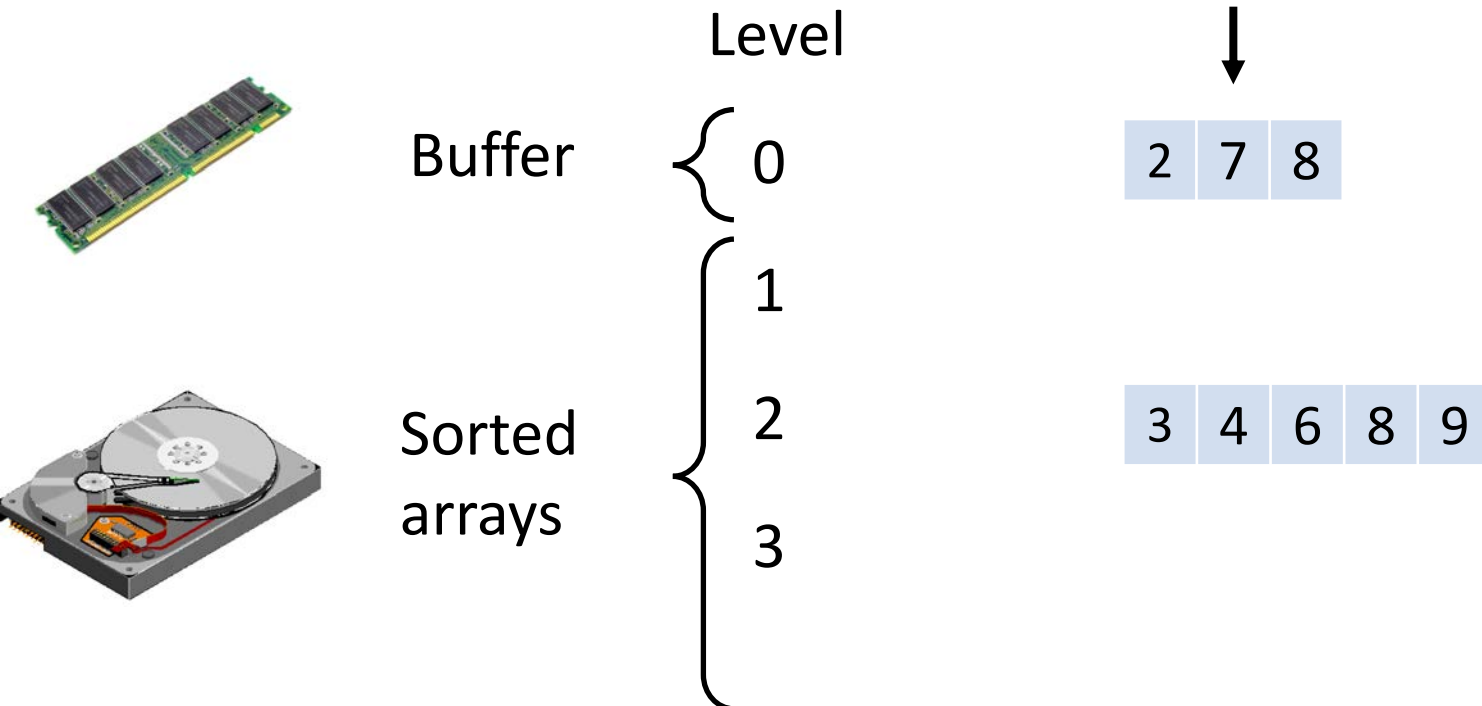
2

3

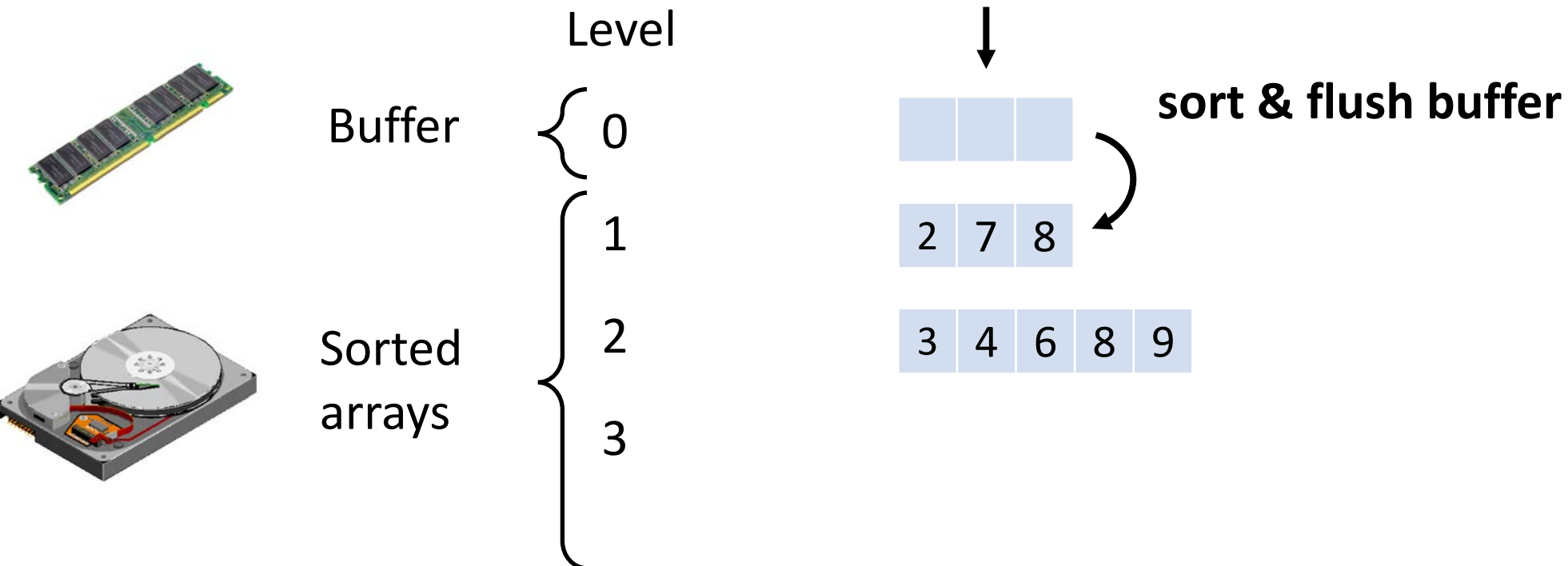
inserts



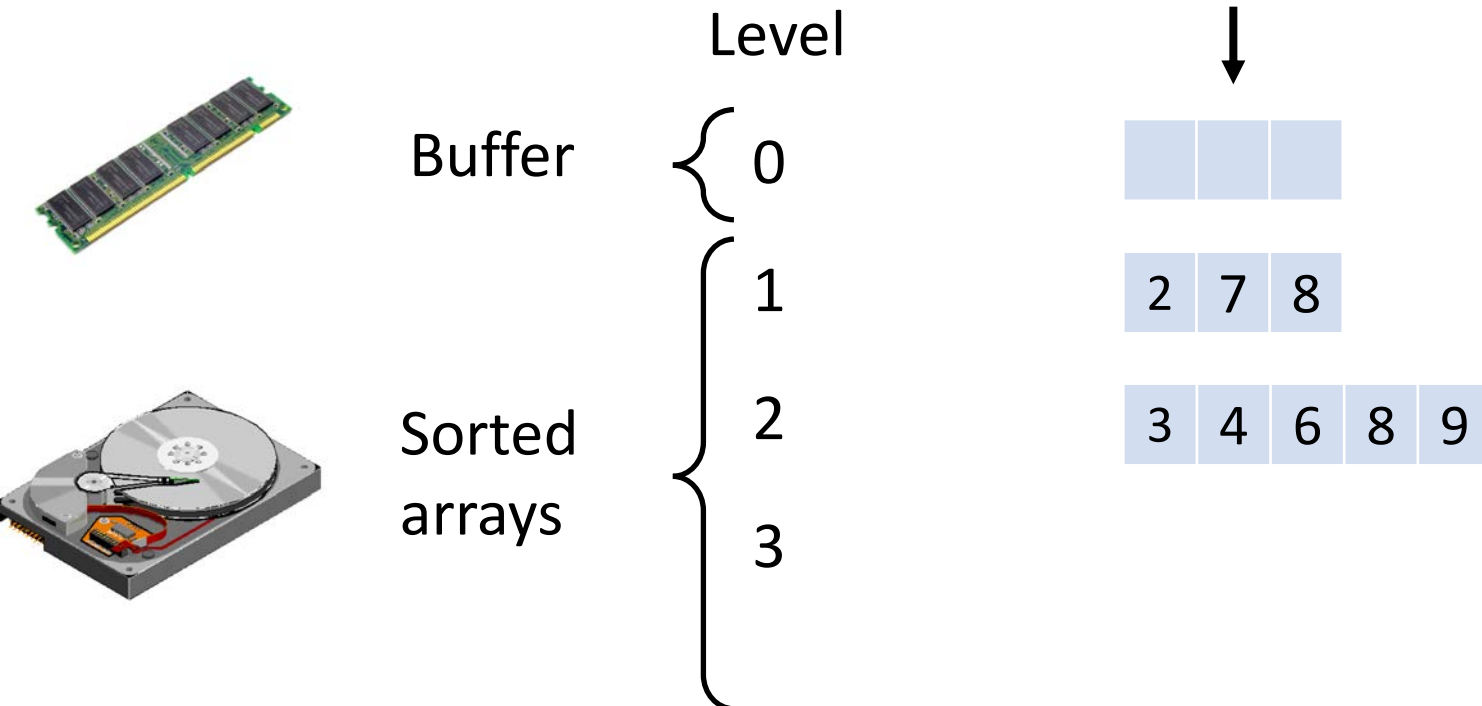
Basic LSM-tree – Example



Basic LSM-tree – Example

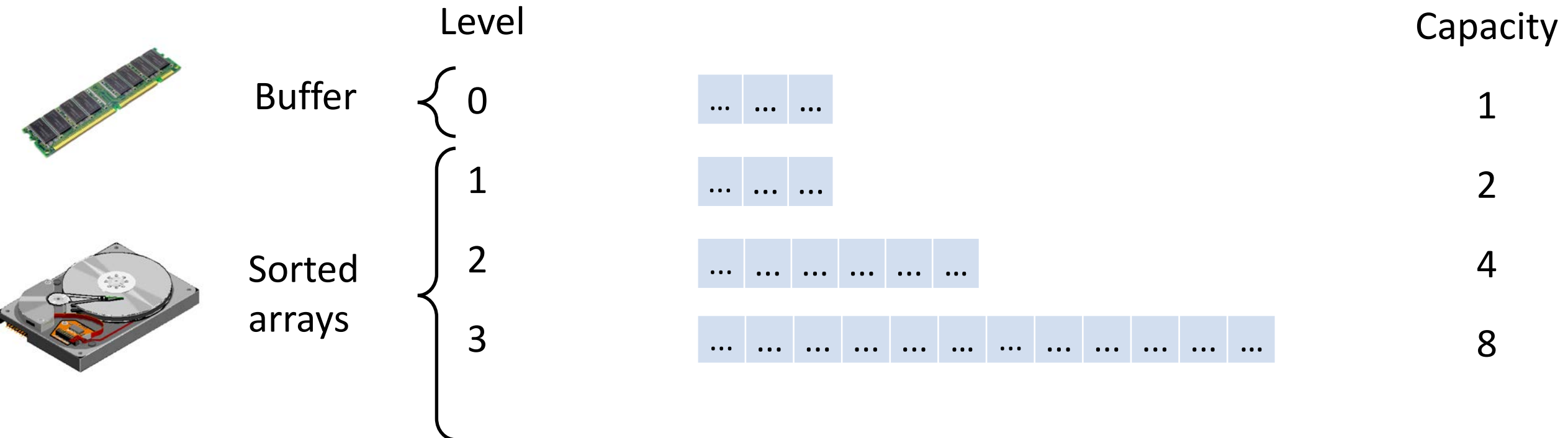


Basic LSM-tree – Example



Basic LSM-tree

Levels have exponentially increasing capacities.



Basic LSM-tree – Lookup cost

Lookup method?

Search youngest to oldest.

$$O\left(\log_2\left(\frac{N}{B}\right)\right)$$

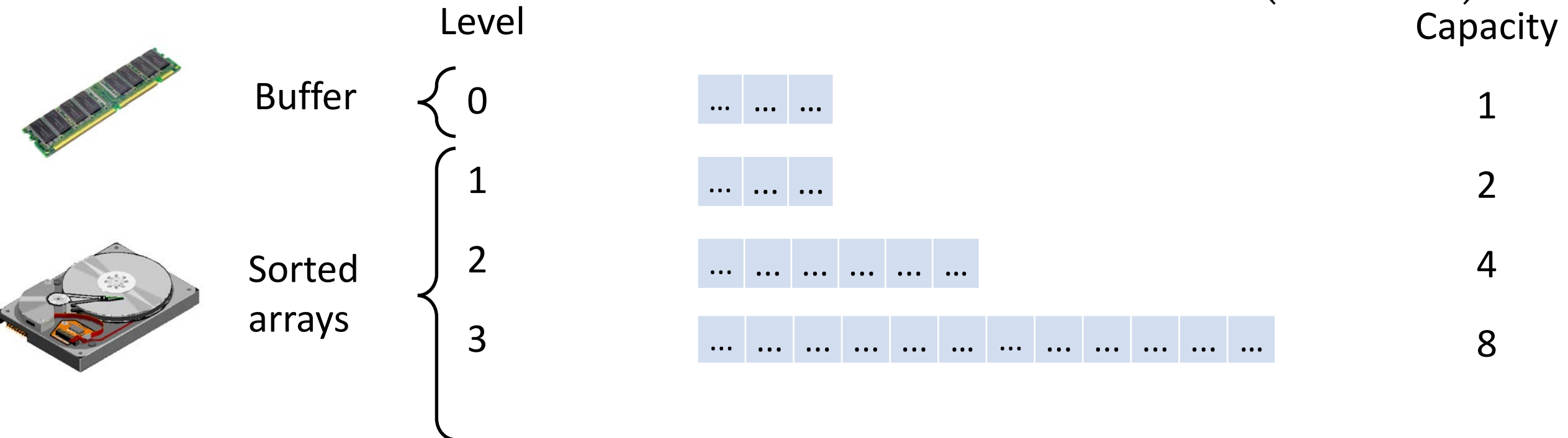
How?

Binary search.

$$O\left(\log_2\left(\frac{N}{B}\right)\right)$$

Lookup cost?

$$O\left(\log_2\left(\frac{N}{B}\right)^2\right)$$



Basic LSM-tree – Insertion cost

How many times is each entry copied?

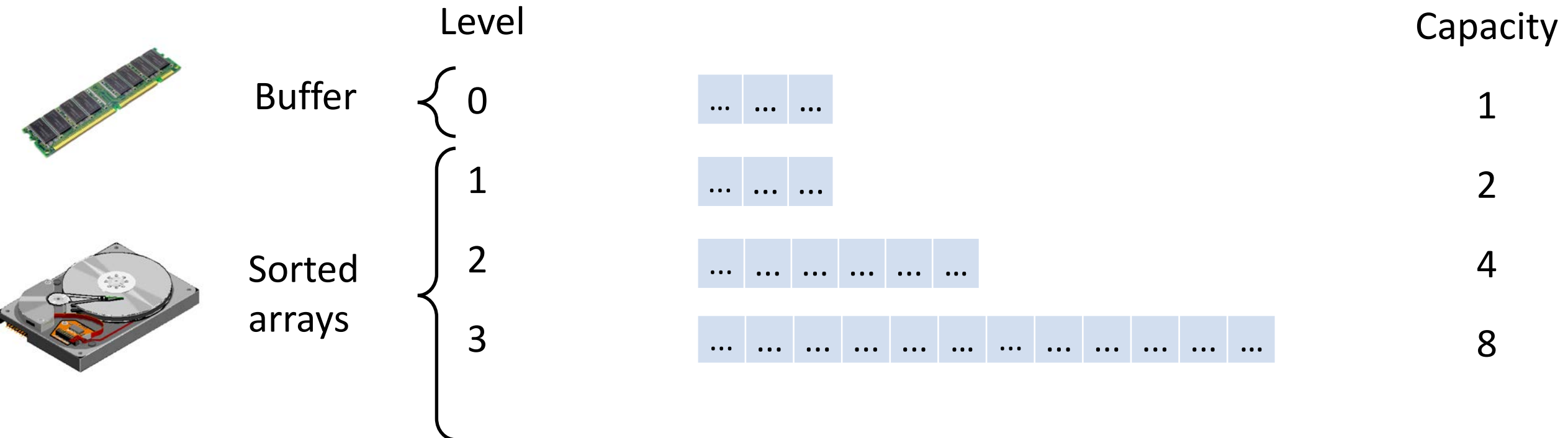
$$O\left(\log_2\left(\frac{N}{B}\right)\right)$$

What is the price of each copy?

$$O\left(\frac{1}{B}\right)$$

Total insert cost?

$$O\left(\frac{1}{B} \cdot \log_2\left(\frac{N}{B}\right)\right)$$



Results Catalogue

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
Basic LSM-tree	$O(\log_2(N/B)^2)$	$O(1/B \cdot \log_2(N/B))$
Leveled LSM-tree		
Tiered LSM-tree		

Results Catalogue

Better insert cost and worst lookup cost compared with B-trees

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B)$
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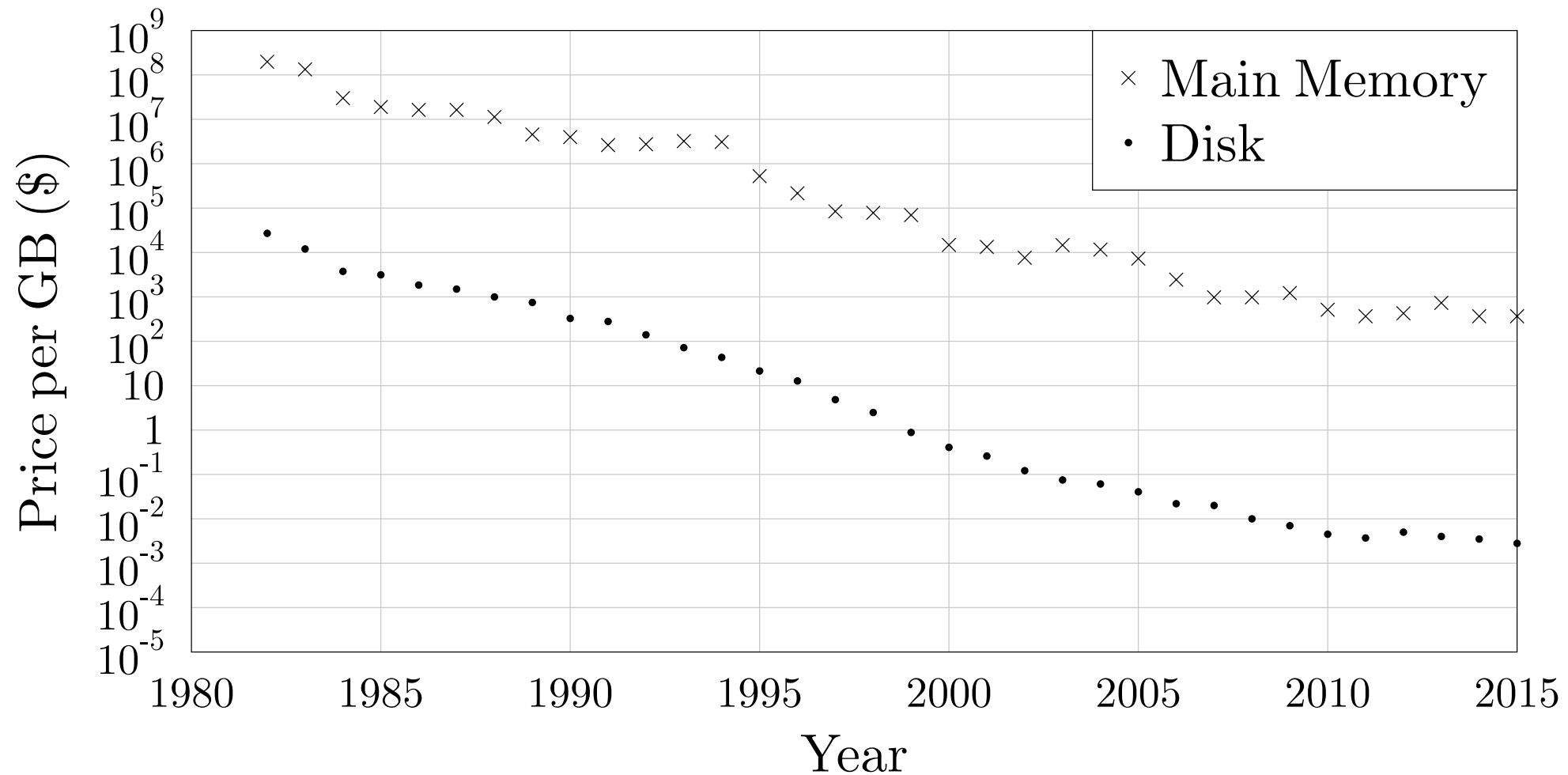
Results Catalogue

Better insert cost and **worst lookup cost** compared with B-trees

Can we improve lookup cost?

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
Basic LSM-tree	$O(\log_2(N/B)^2)$	$O(1/B \cdot \log_2(N/B))$
Leveled LSM-tree		
Tiered LSM-tree		

Declining Main Memory Cost



Declining Main Memory Cost

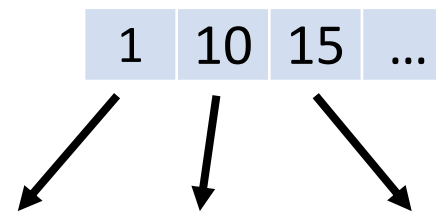
Store a fence pointer for every block in main memory



Fence
pointers



array



Block 1	Block 2	Block 3	...
1	10	15	...
3	11	16	...
6	13	18	...

Results Catalogue – with fence pointers

	Lookup cost	Insertion cost
Sorted array	$O(\log_2(N/B))$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
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Leveled LSM-tree		
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Results Catalogue – with fence pointers

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Leveled LSM-tree		
Tiered LSM-tree		

Results Catalogue – with fence pointers

	Lookup cost	Insertion cost
Sorted array	$O(1)$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(\log_B(N/B))$	$O(\log_B(N/B))$
Basic LSM-tree	$O(\log_2(N/B)^2)$	$O(1/B \cdot \log_2(N/B))$
Leveled LSM-tree		
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Results Catalogue – with fence pointers

	Lookup cost	Insertion cost
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Results Catalogue – with fence pointers

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Results Catalogue – with fence pointers

	Lookup cost	Insertion cost
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Results Catalogue – with fence pointers

Quick sanity check:

suppose
and

$N = 2^{42}$
 $B = 2^{10}$

	Lookup cost	Insertion cost
Sorted array	$O(1)$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(1)$	$O(1)$
Basic LSM-tree	$O(\log_2(N/B))$	$O(1/B \cdot \log_2(N/B))$
Leveled LSM-tree		
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Results Catalogue – with fence pointers

Quick sanity check:

suppose
and

$N = 2^{42}$
 $B = 2^{10}$

	Lookup cost	Insertion cost
Sorted array	$O(1)$	$O(2^{32})$
Log	$O(2^{32})$	$O(2^{-10})$
B-tree	$O(1)$	$O(1)$
Basic LSM-tree	$O(5)$	$O(2^{-10} \cdot 5)$
Leveled LSM-tree		
Tiered LSM-tree		

Leveled LSM-tree



Lookup cost

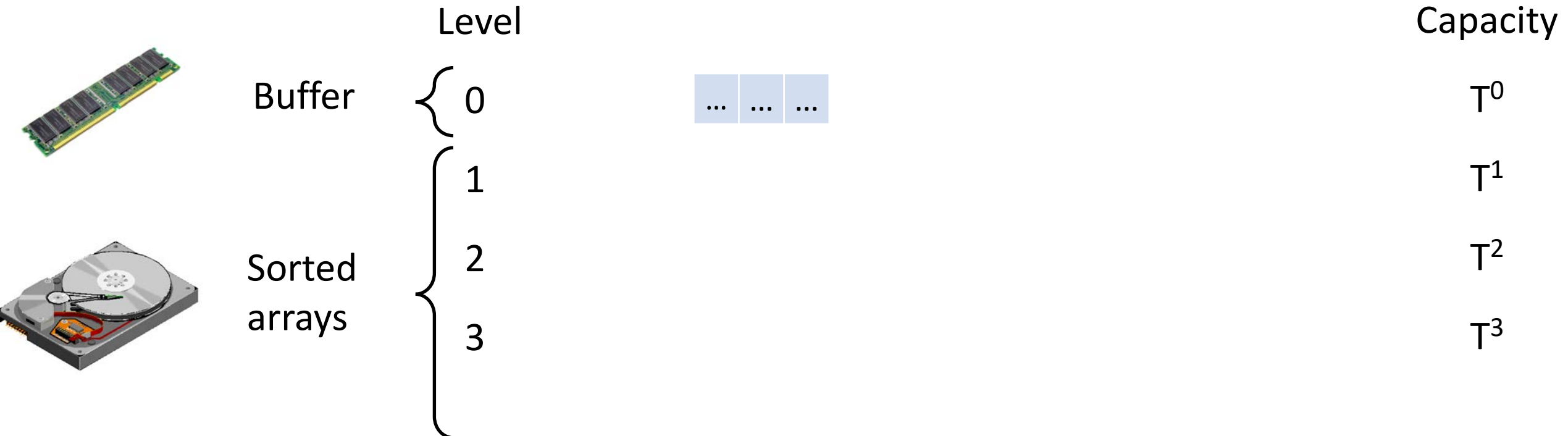


Update cost

Leveled LSM-tree

Lookup cost depends on number of levels
How to reduce it?

Increase size ratio T



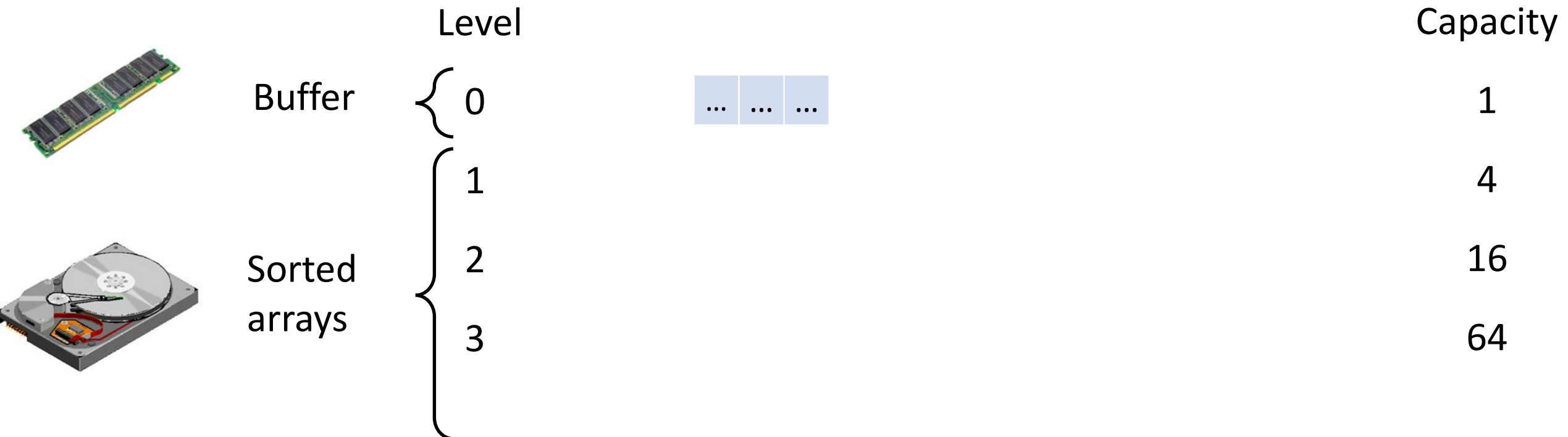
Leveled LSM-tree

Lookup cost depends on number of levels

How to reduce it?

E.g. size ratio of 4

Increase size ratio T



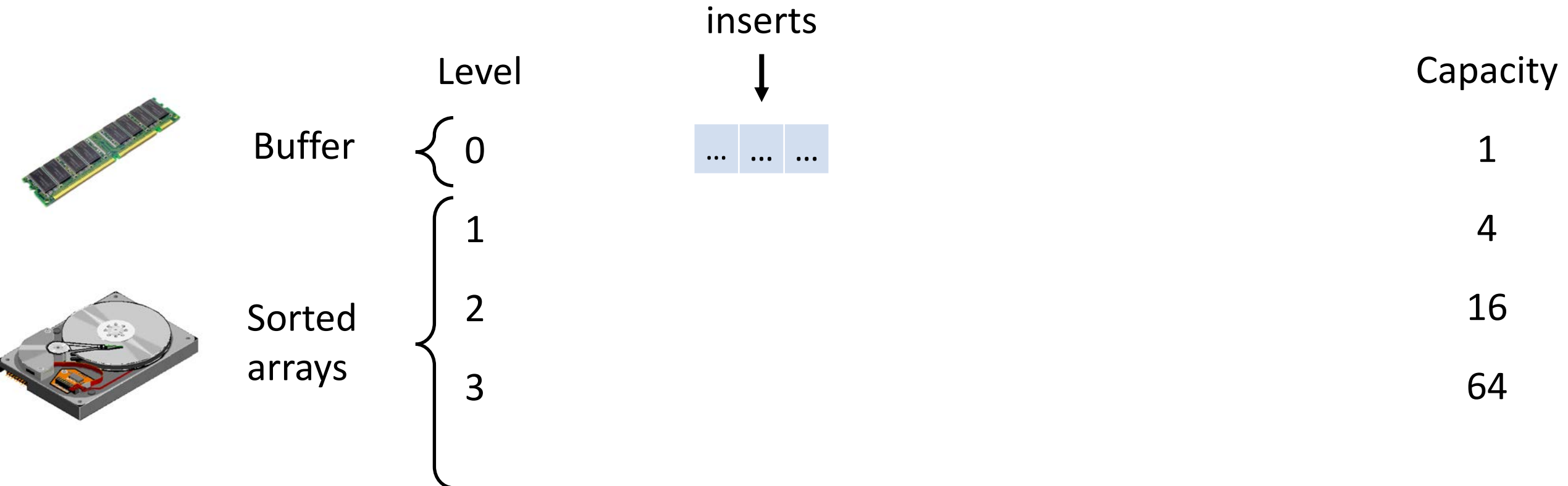
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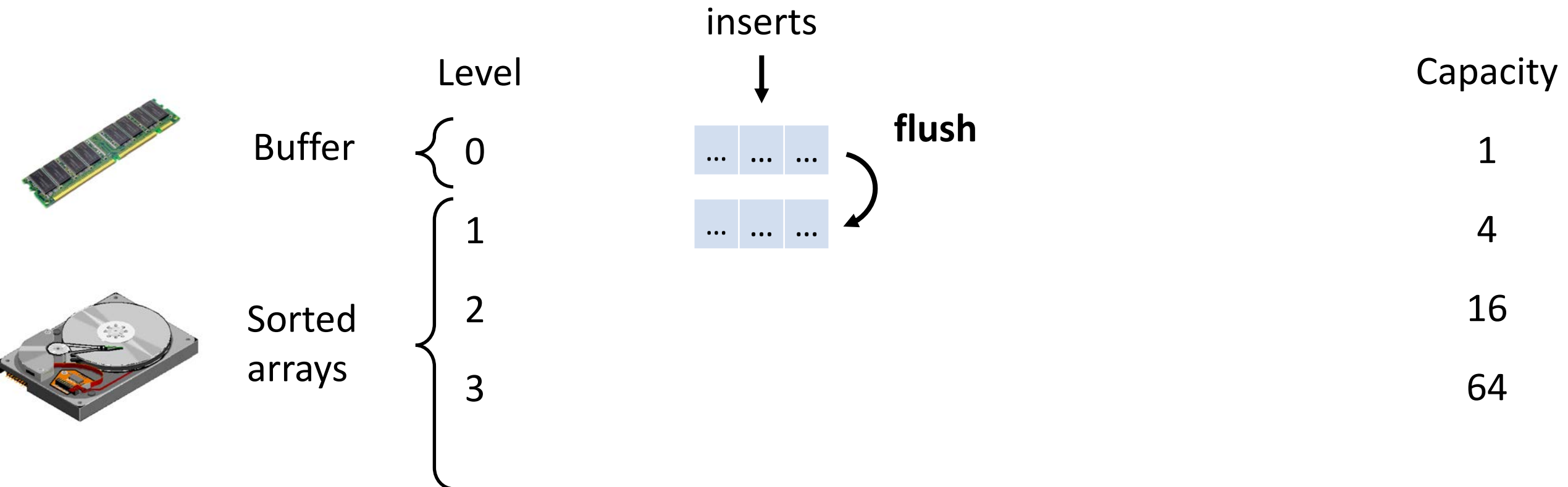
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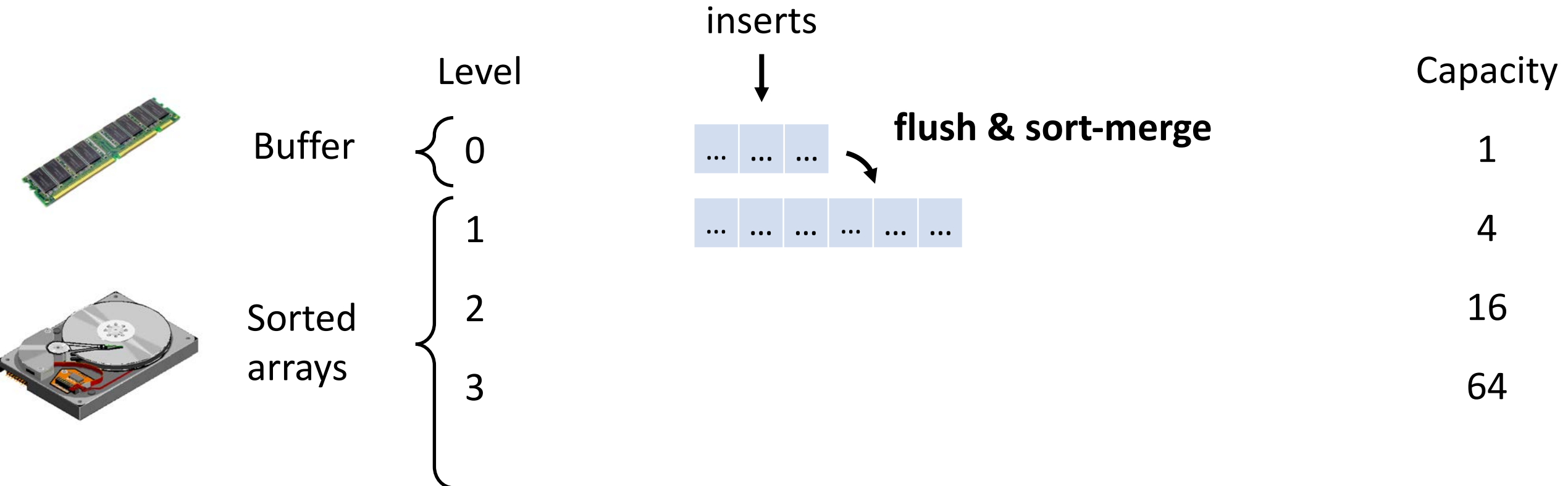
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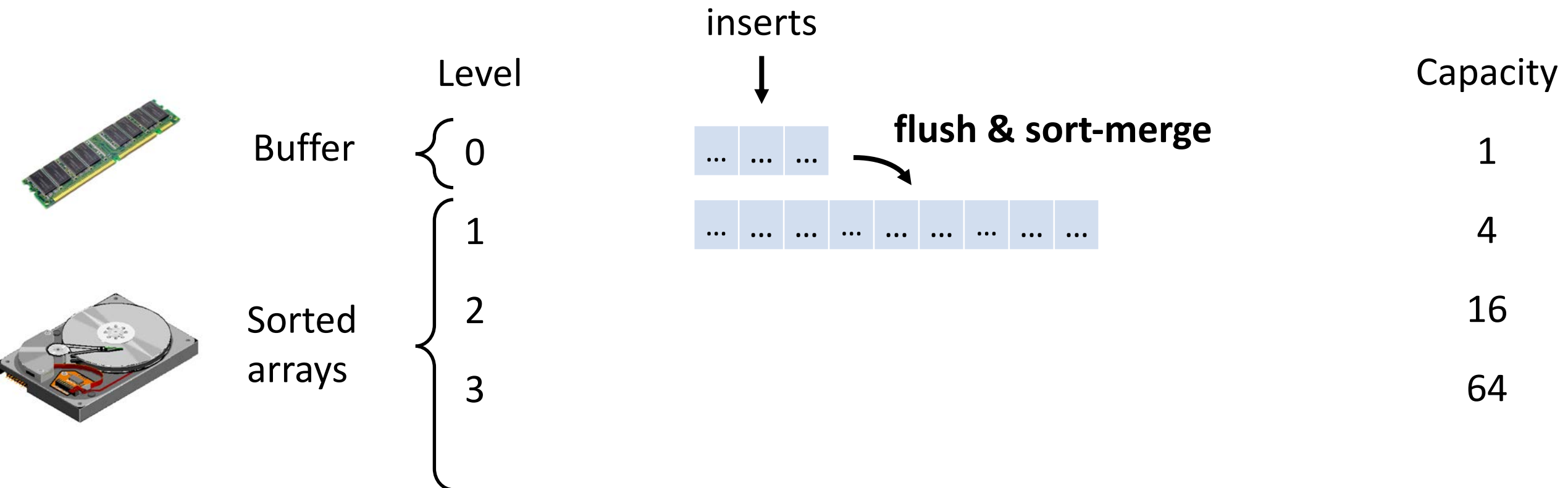
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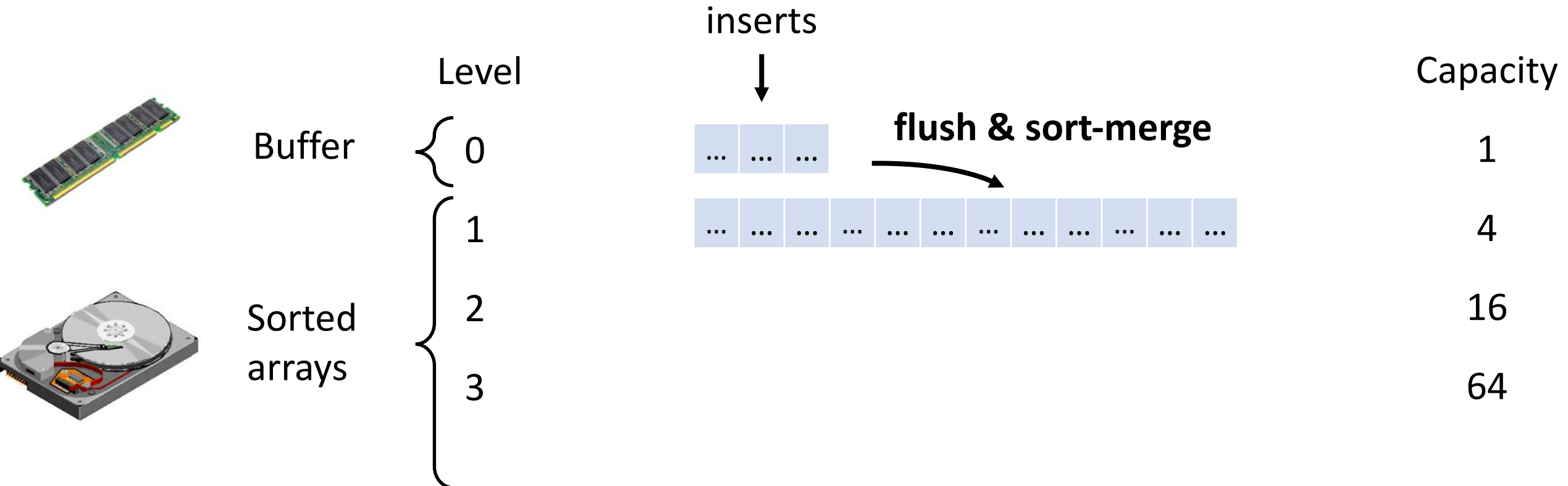
Leveled LSM-tree

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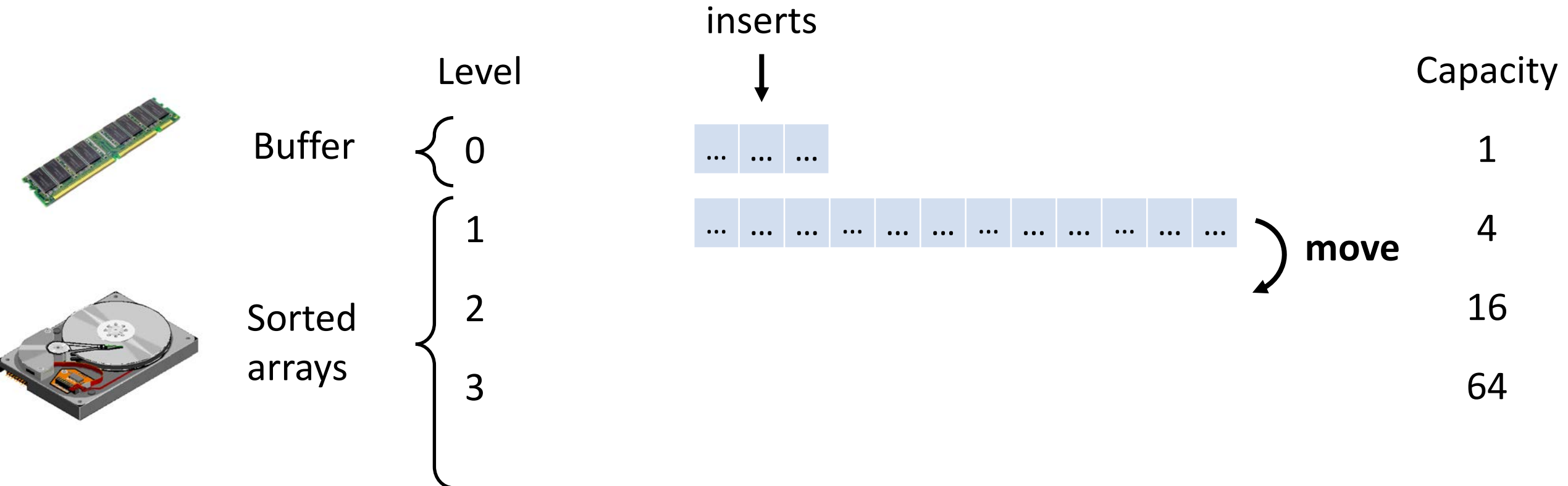
Leveled LSM-tree

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Increase size ratio T



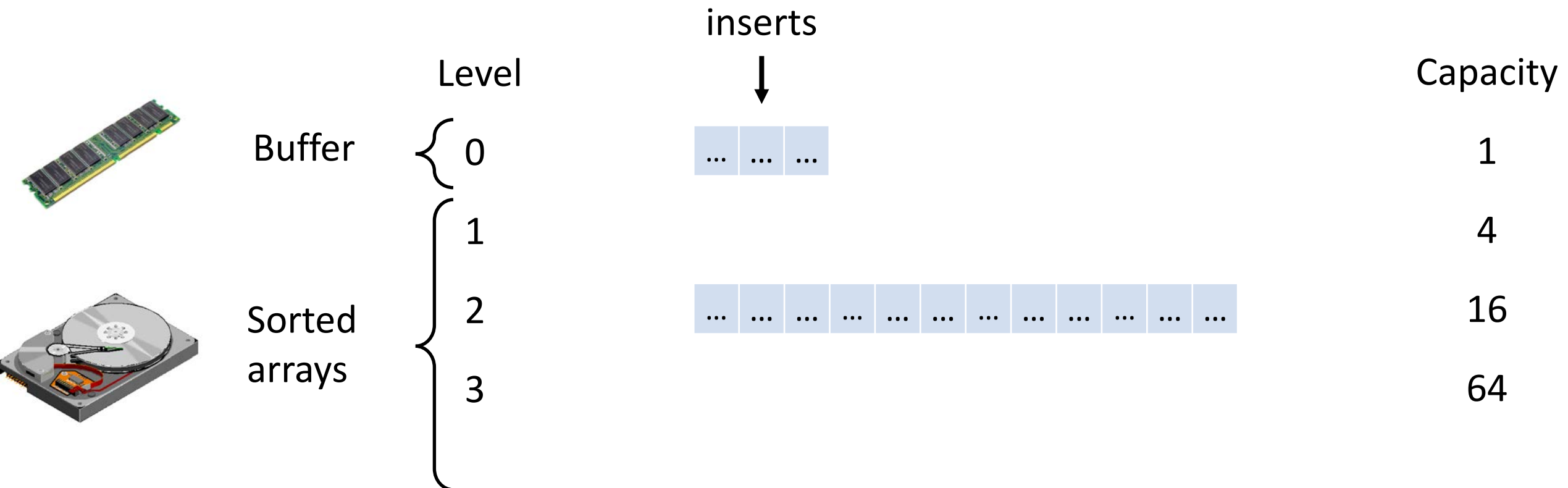
Leveled LSM-tree

Lookup cost depends on number of levels

How to reduce it?

E.g. size ratio of 4

Increase size ratio T



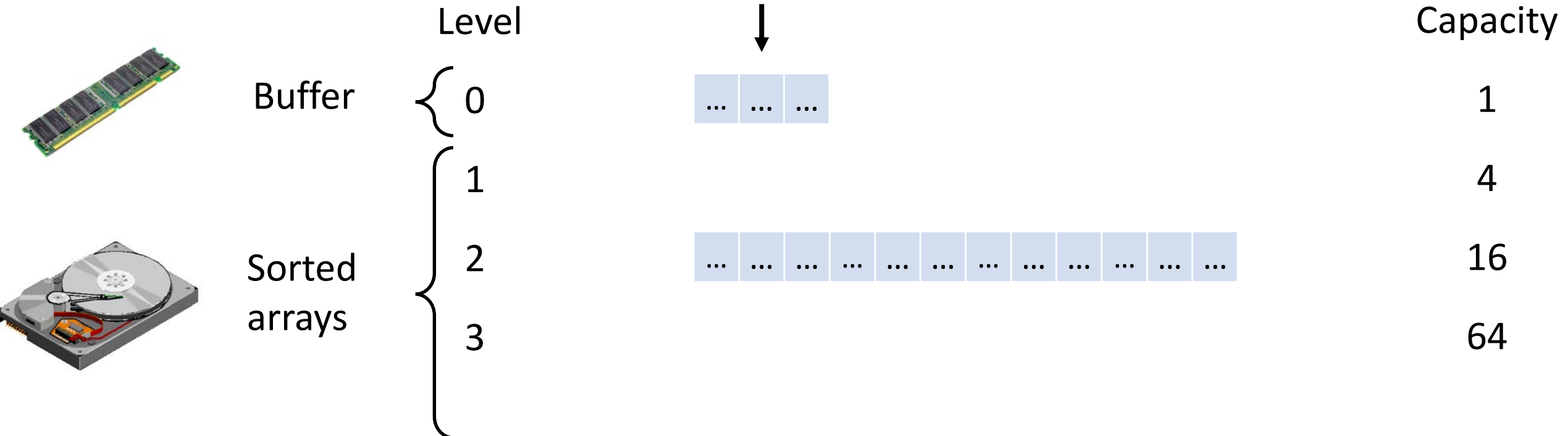
Leveled LSM-tree

Lookup cost?

$$O\left(\log_T \left(\frac{N}{B}\right)\right)$$

Insertion cost?

$$O\left(\frac{T}{B} \cdot \log_T \left(\frac{N}{B}\right)\right)$$



Leveled LSM-tree

↓ Lookup cost?
 $O\left(\log_T \left(\frac{N}{B}\right)\right)$

Insertion cost? ↑
 $O\left(\frac{T}{B} \cdot \log_T \left(\frac{N}{B}\right)\right)$

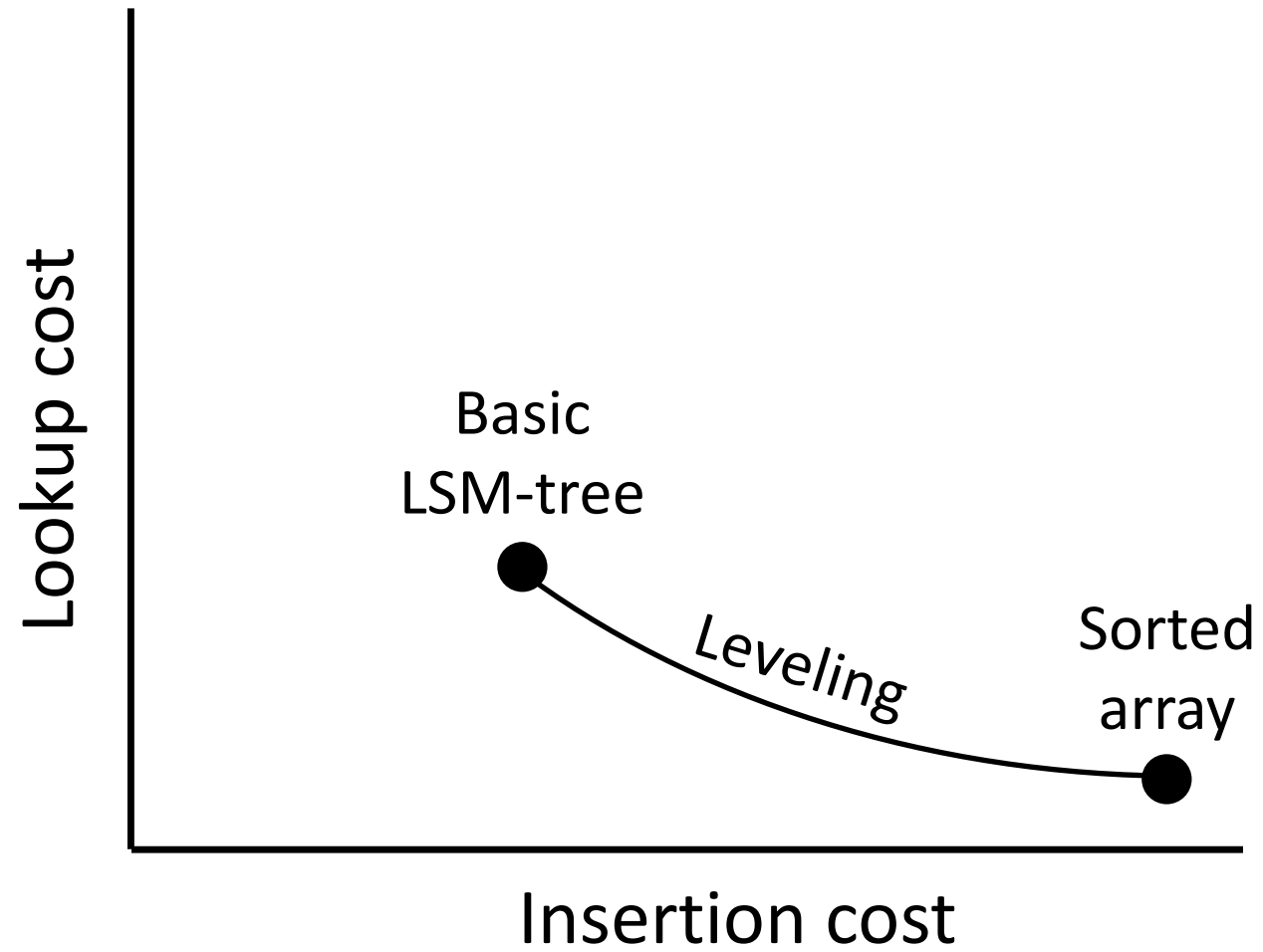
What happens as we increase the size ratio T ?

What happens when size ratio T is set to be N/B ?

Lookup cost becomes:
 $O(1)$

Insert cost becomes:
 $O(N/B^2)$

The LSM-tree becomes a sorted array!



Results Catalogue – with fence pointers

	Lookup cost	Insertion cost
Sorted array	$O(1)$	$O(N/B)$
Log	$O(N/B)$	$O(1/B)$
B-tree	$O(1)$	$O(1)$
Basic LSM-tree	$O(\log_2(N/B))$	$O(1/B \cdot \log_2(N/B))$
Leveled LSM-tree	$O(\log_T(N/B))$	$O(T/B \cdot \log_T(N/B))$
Tiered LSM-tree		

Tiered LSM-tree



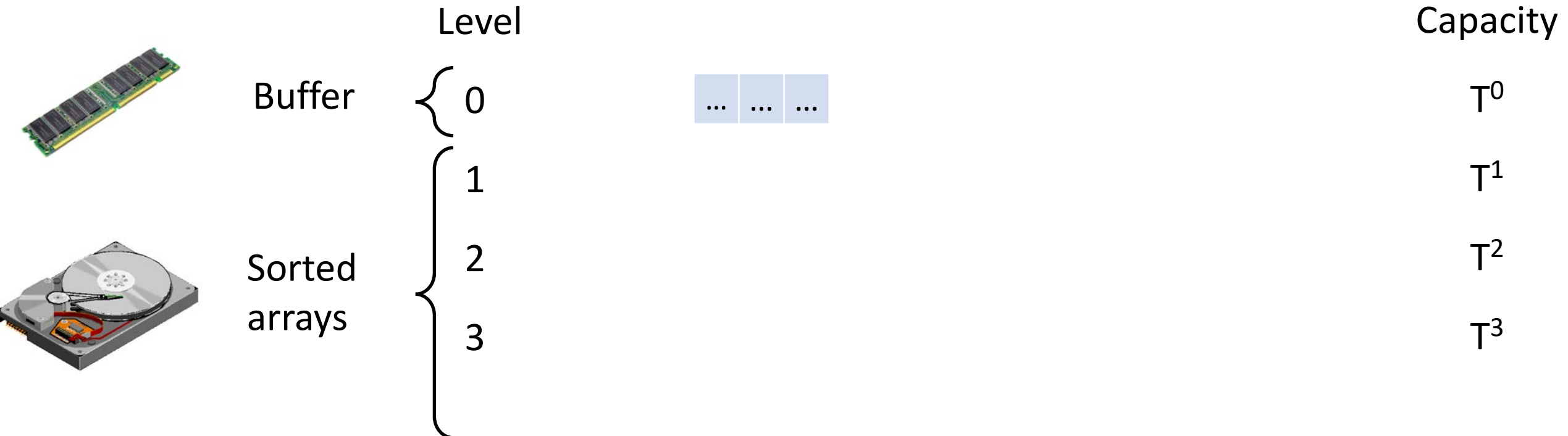
Lookup cost



Insertion cost

Tiered LSM-tree

Reduce the number of levels by increasing the size ratio.
Do not merge within a level.

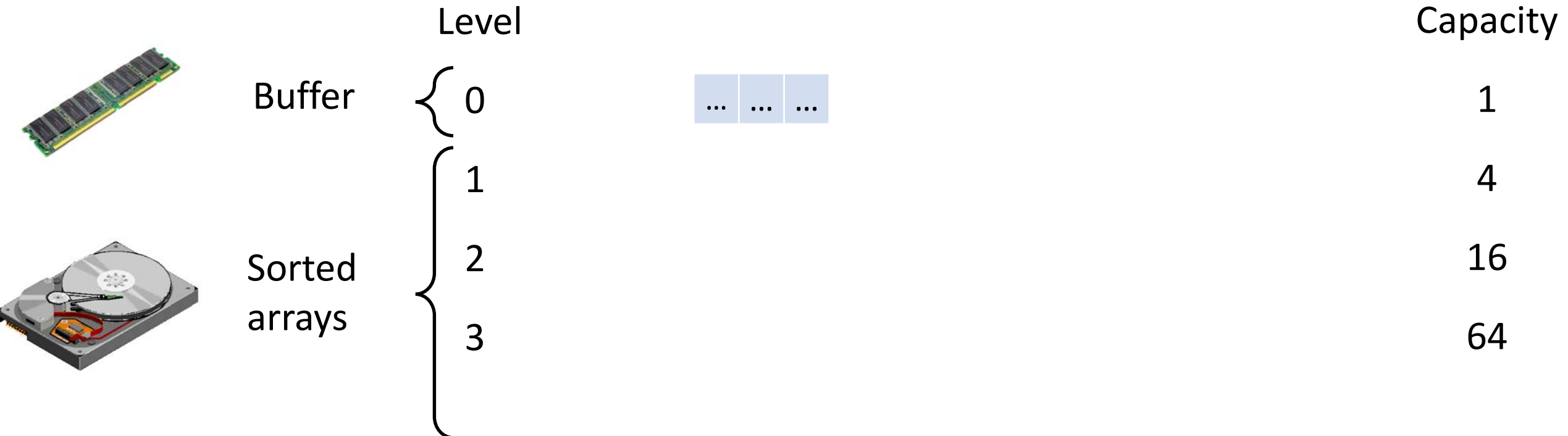


Tiered LSM-tree

Reduce the number of levels by increasing the size ratio.

Do not merge within a level.

E.g. size ratio of 4

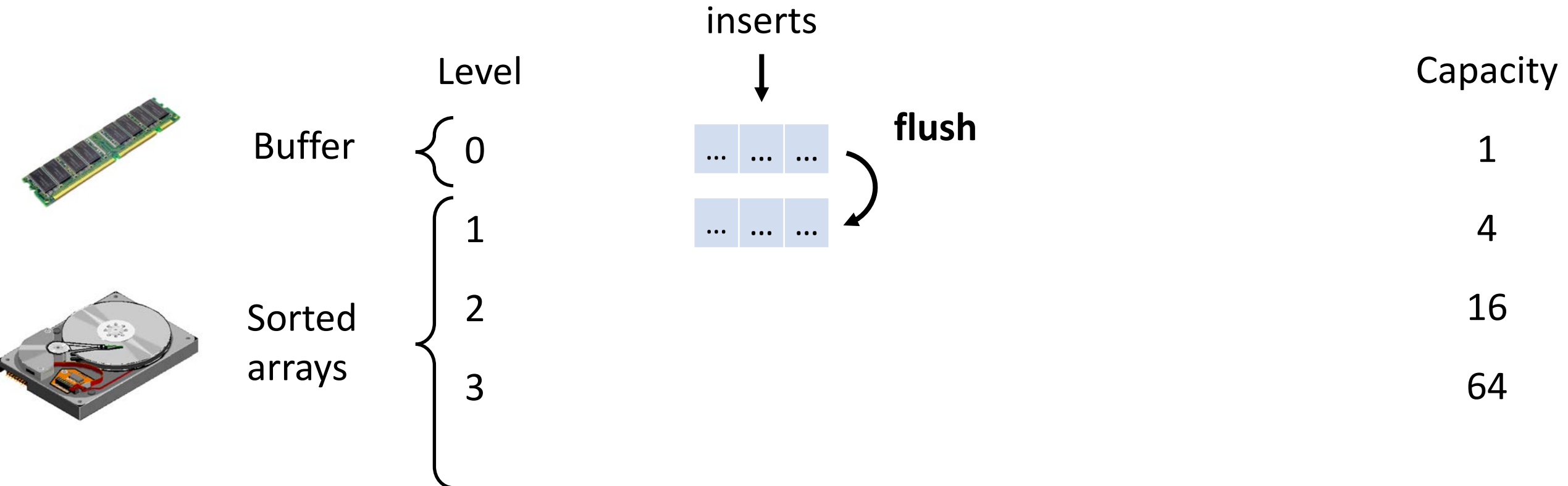


Tiered LSM-tree

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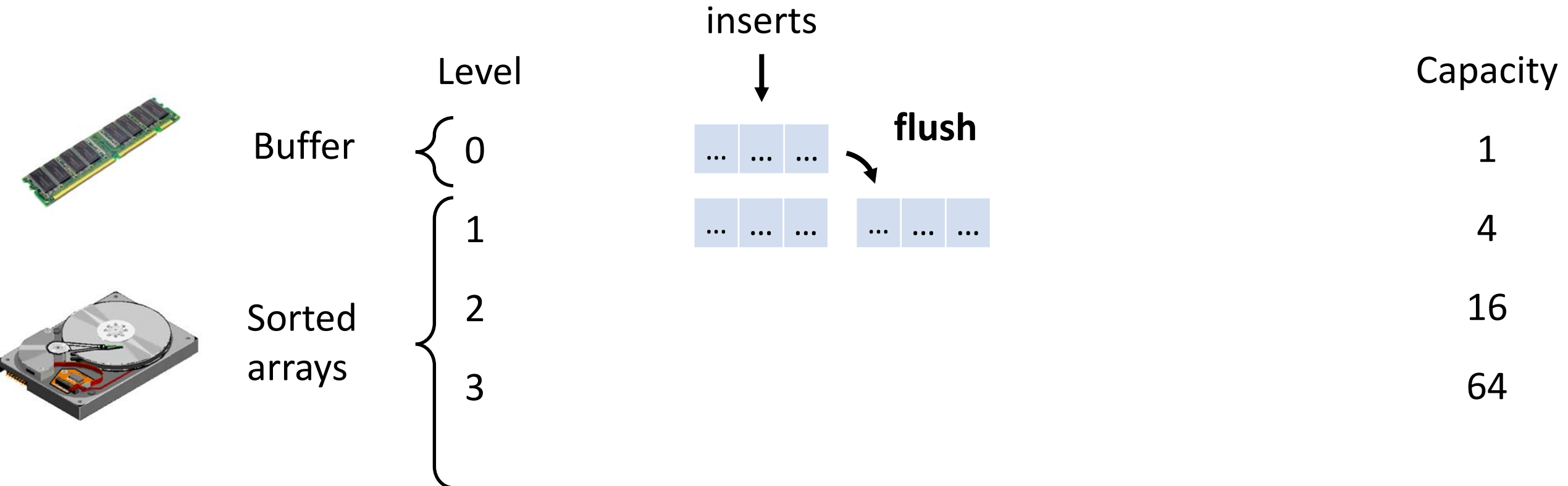


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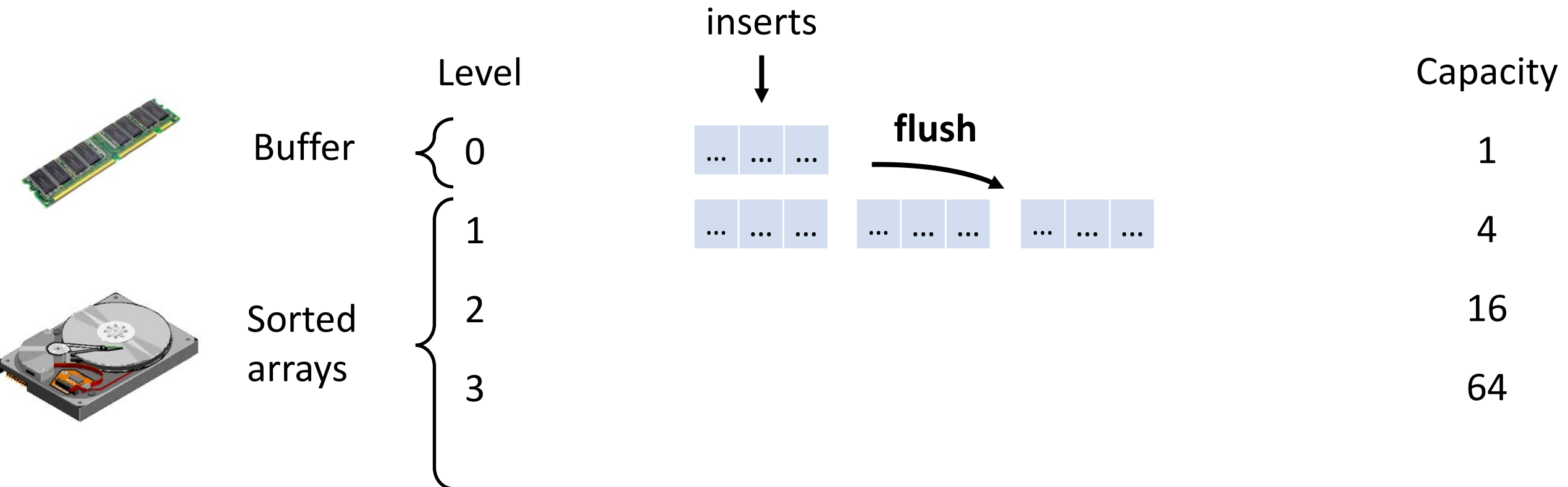


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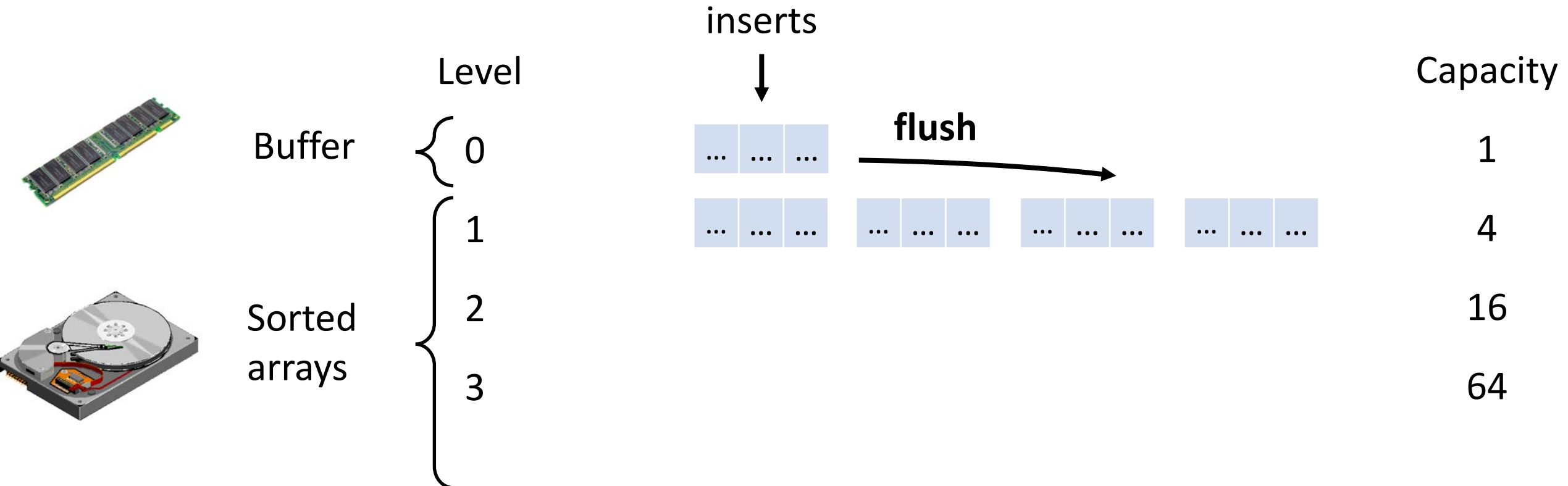


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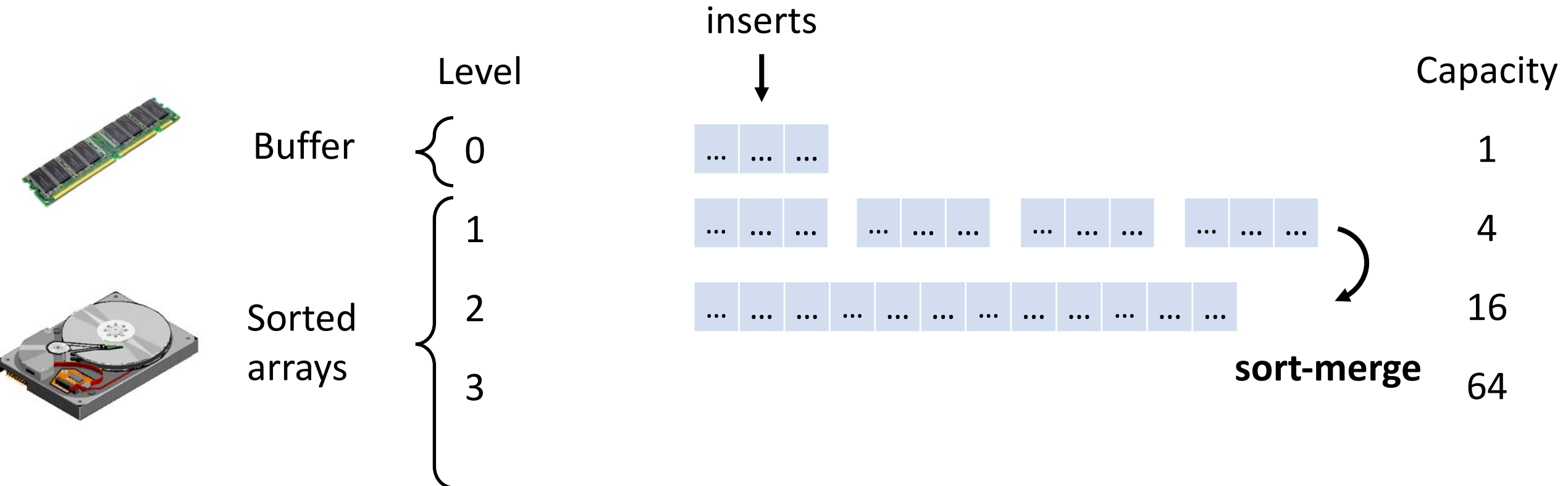


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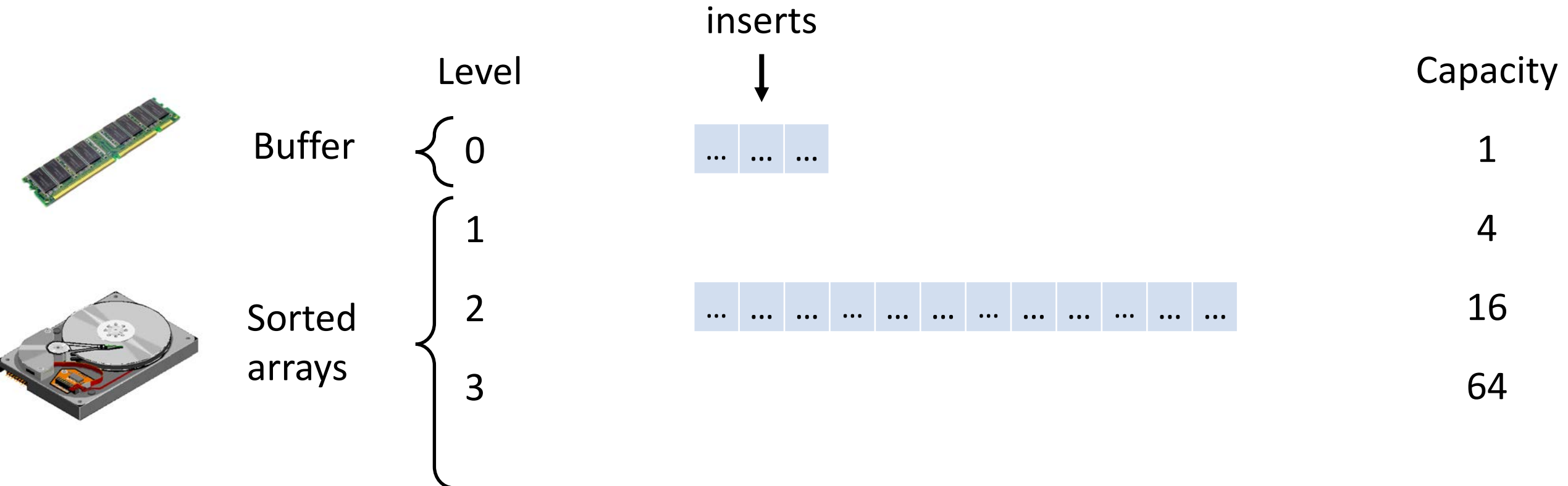


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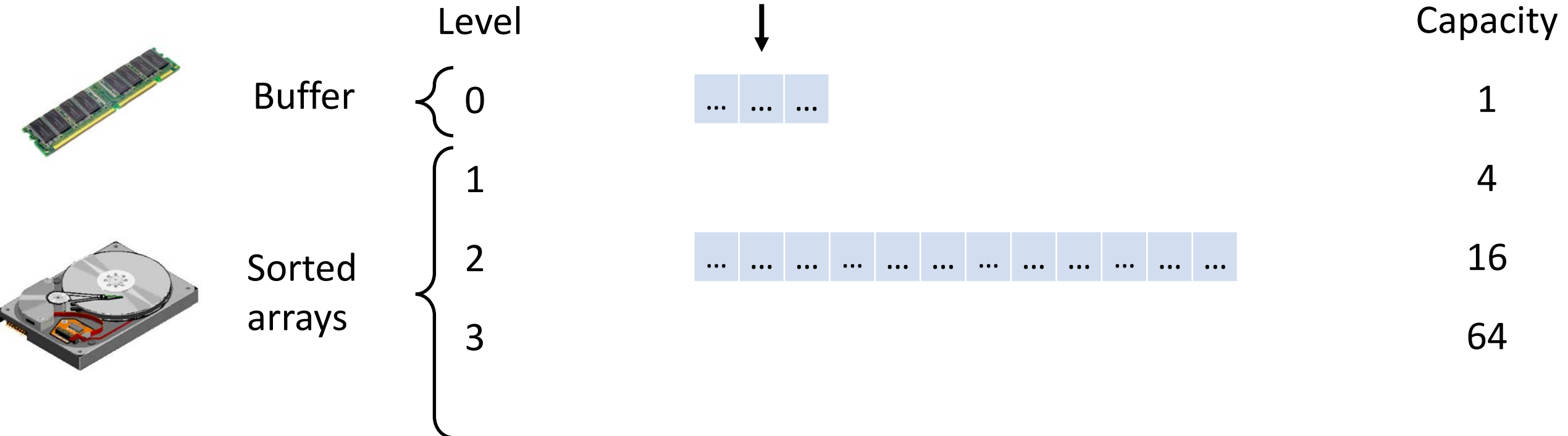
Tiered LSM-tree

Lookup cost?


$$O\left(T \cdot \log_T \left(\frac{N}{B}\right)\right)$$


Insertion cost?

$$O\left(\frac{1}{B} \cdot \log_T \left(\frac{N}{B}\right)\right)$$



Tiered LSM-tree

Lookup cost?
 $O\left(T \cdot \log_T \left(\frac{N}{B}\right)\right)$

Insertion cost?
 $O\left(\frac{1}{B} \cdot \log_T \left(\frac{N}{B}\right)\right)$ 

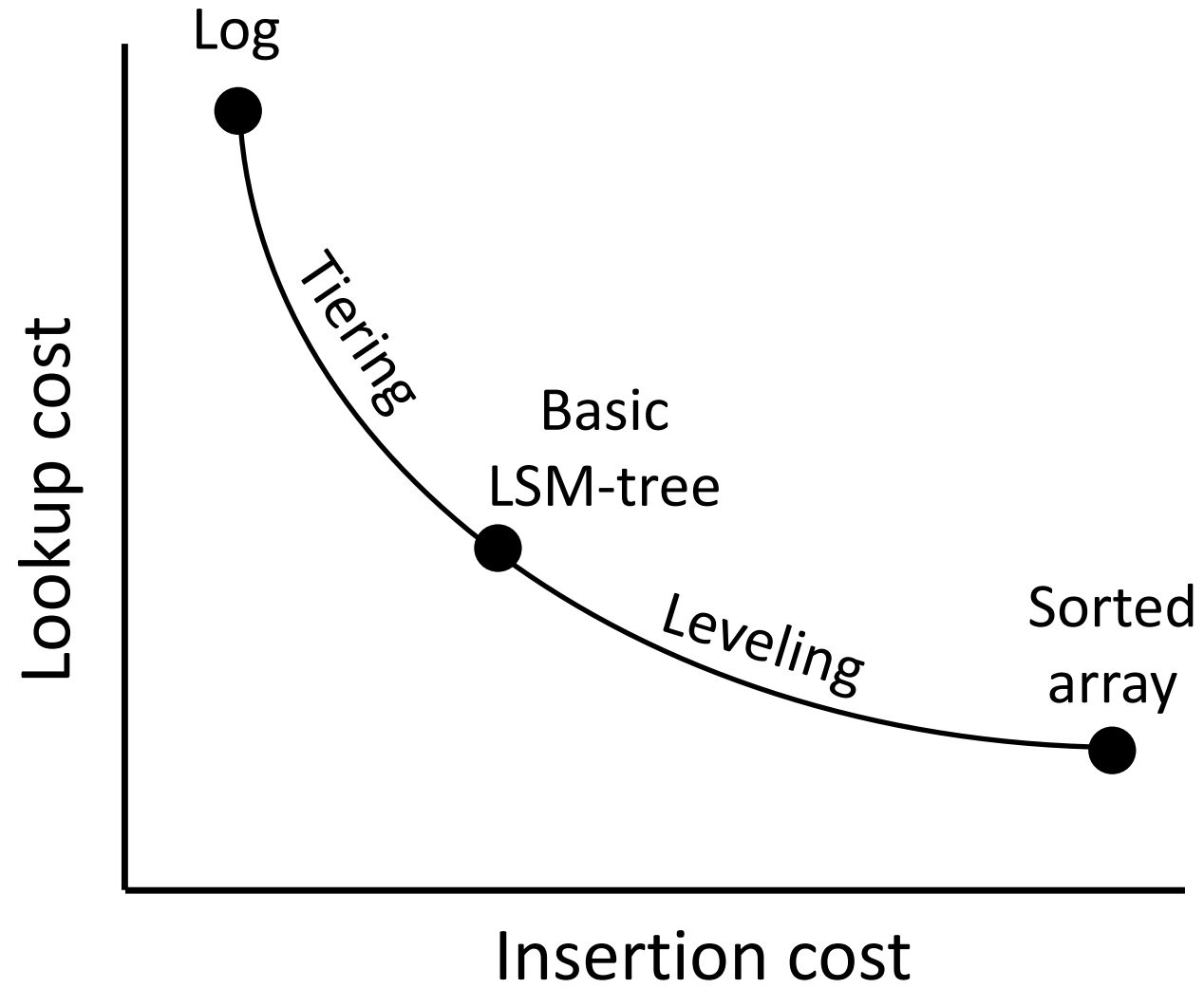
What happens as we increase the size ratio T ?

What happens when size ratio T is set to be N/B ?

Lookup cost becomes:
 $O(N/B)$

Insert cost becomes:
 $O(1/B)$

The tiered LSM-tree becomes a log!

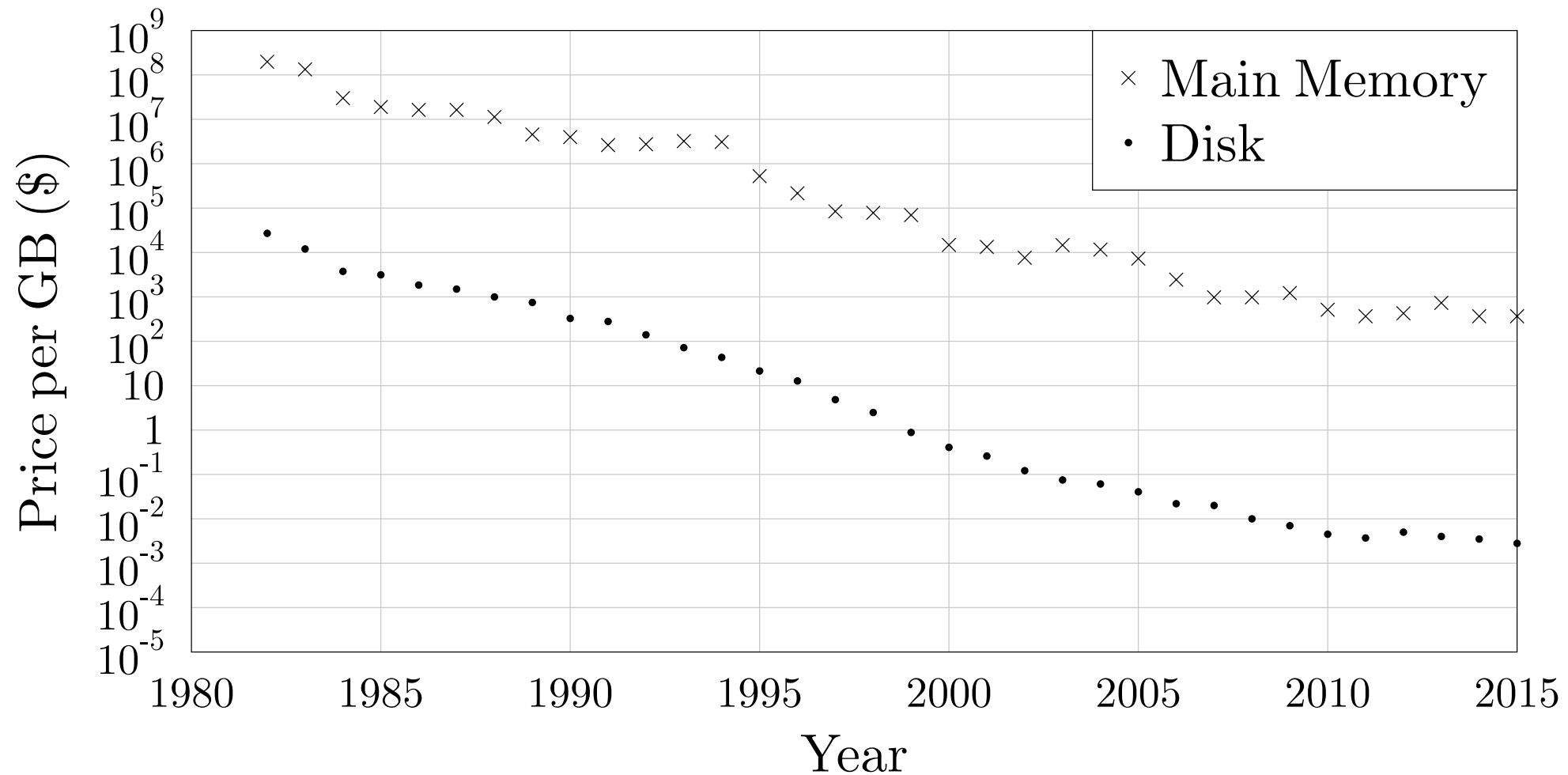


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Tiered LSM-tree	$O(T \cdot \log_T(N/B))$	$O(1/B \cdot \log_T(N/B))$

Bloom filters

Declining Main Memory Cost



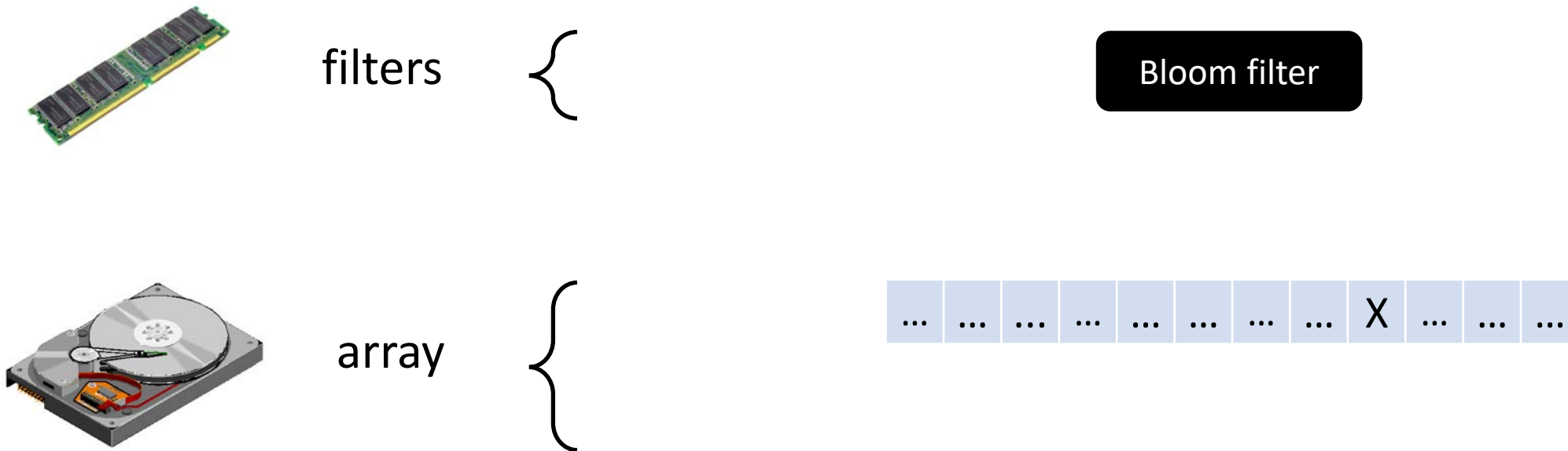
Bloom Filters

Answers set-membership queries

Smaller than array, and stored in main memory

Purpose: avoid accessing disk if entry is not in array

Subtlety: may return false positives.



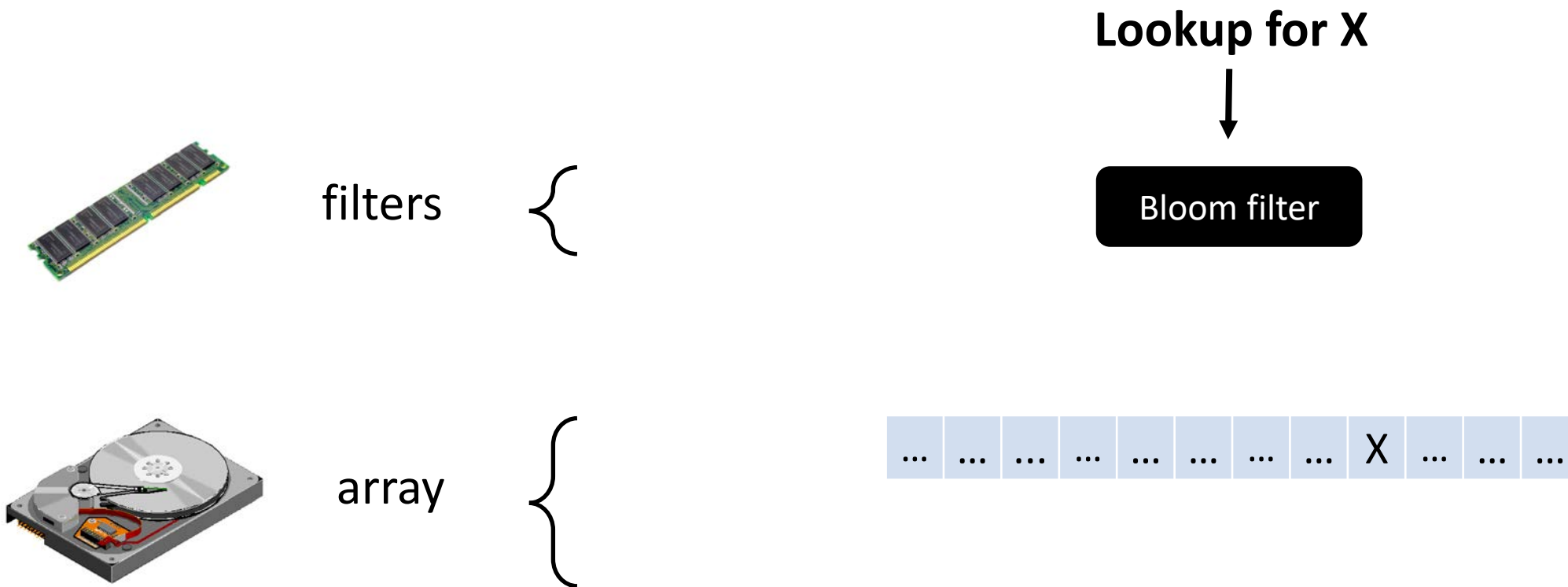
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filters



array



Lookup for X



Bloom filter



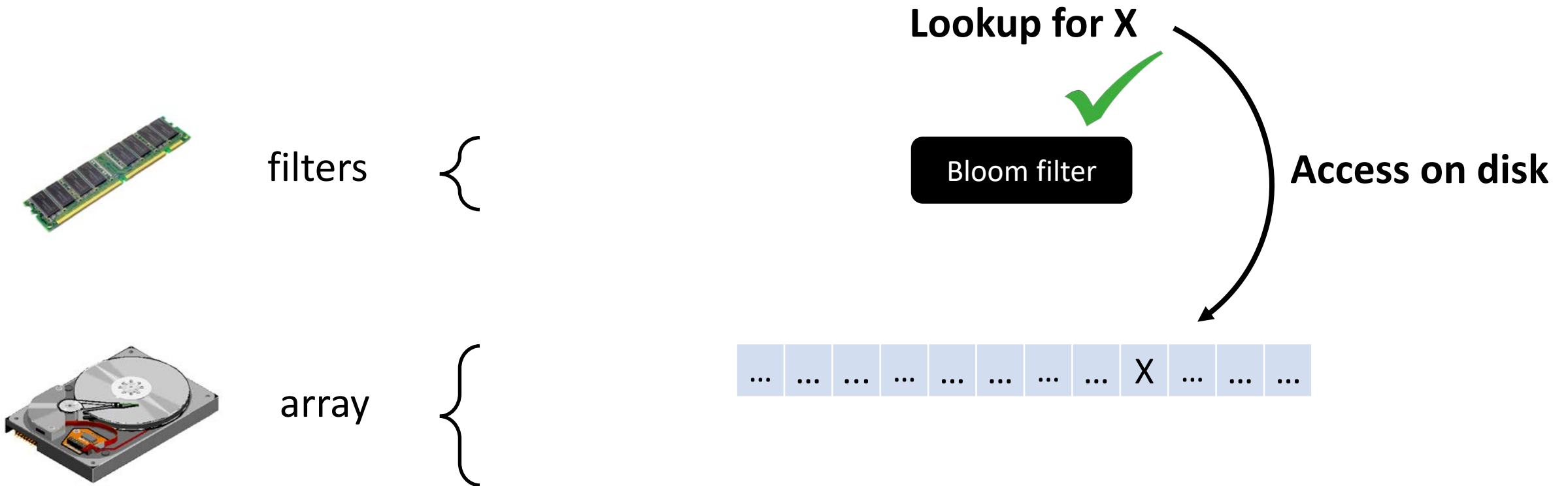
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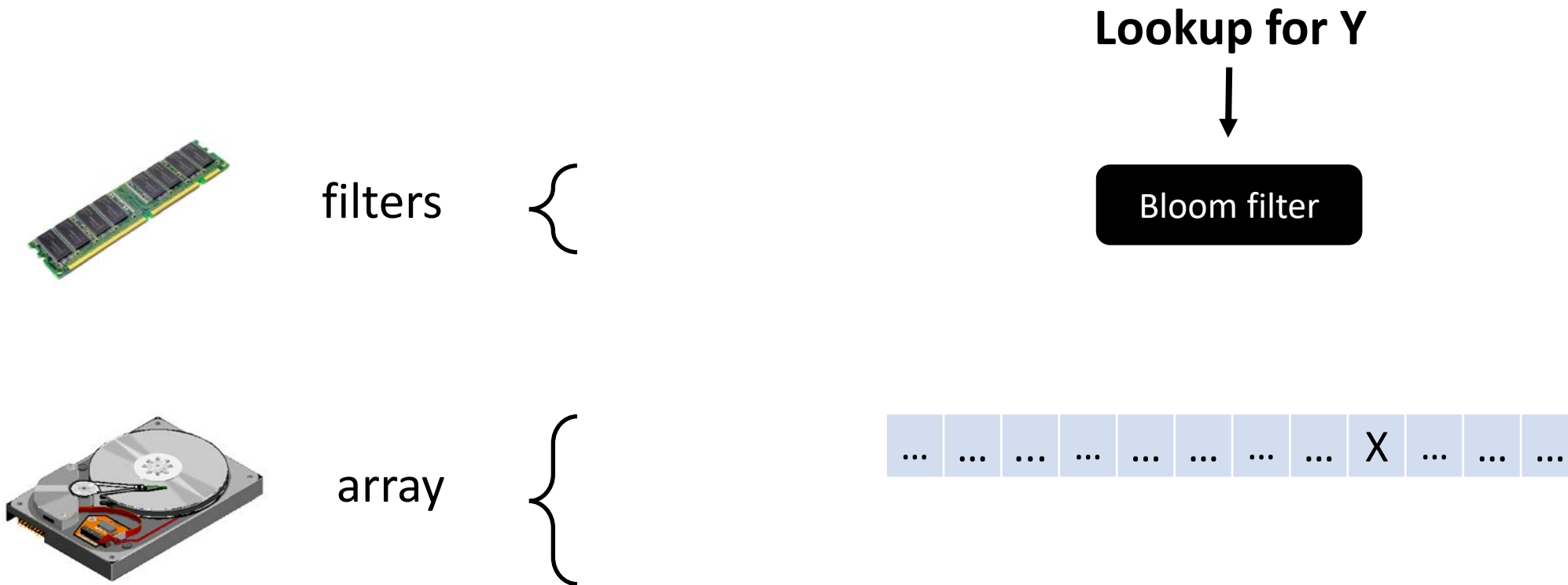
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filters



array



Lookup for Y



Bloom filter



Bloom Filters

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Lookup for Y



filters



Bloom filter



array



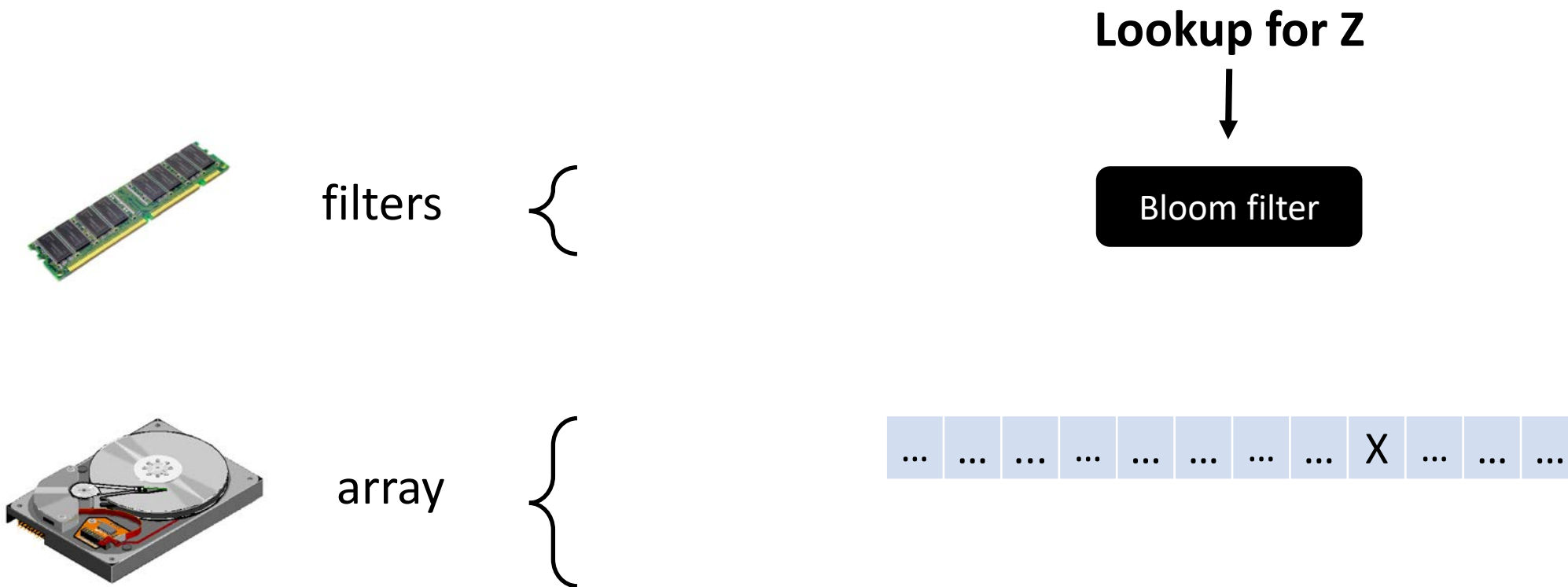
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Bloom Filters

Answers set-membership queries

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filters



Lookup for Z



Bloom filter



array



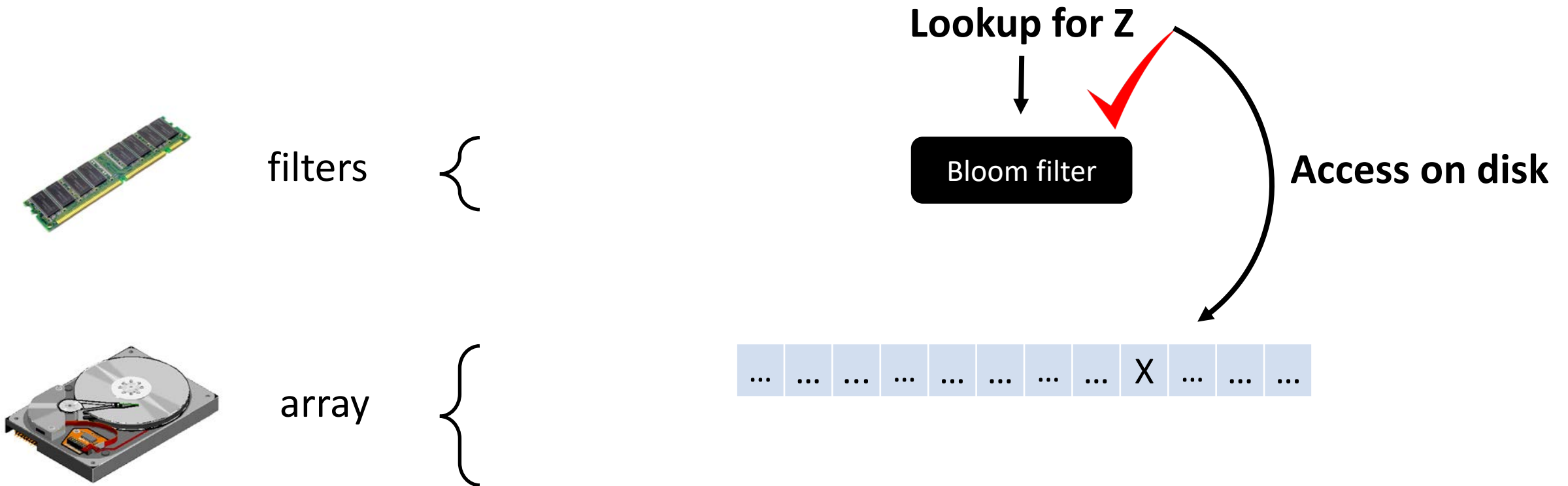
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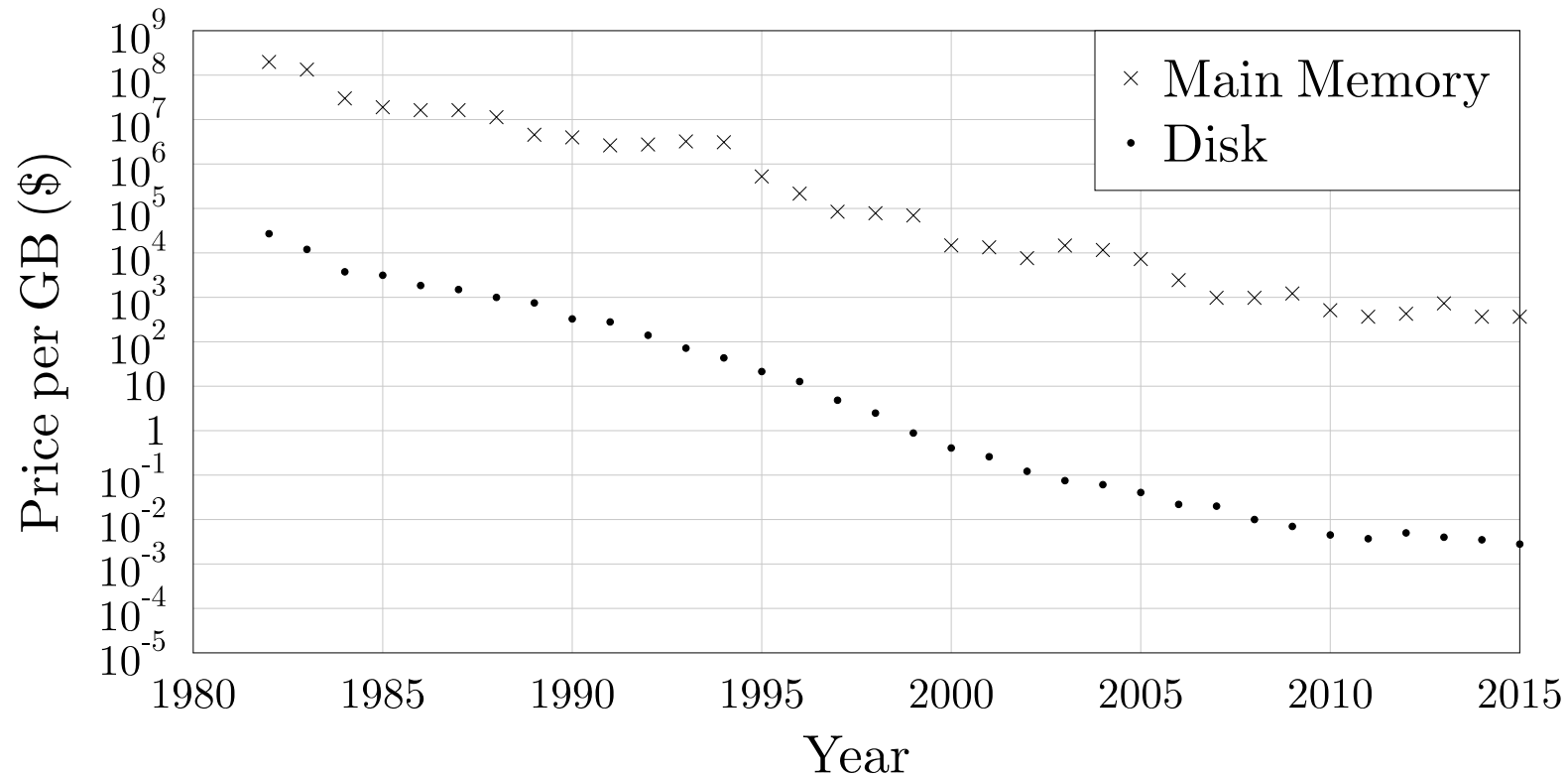
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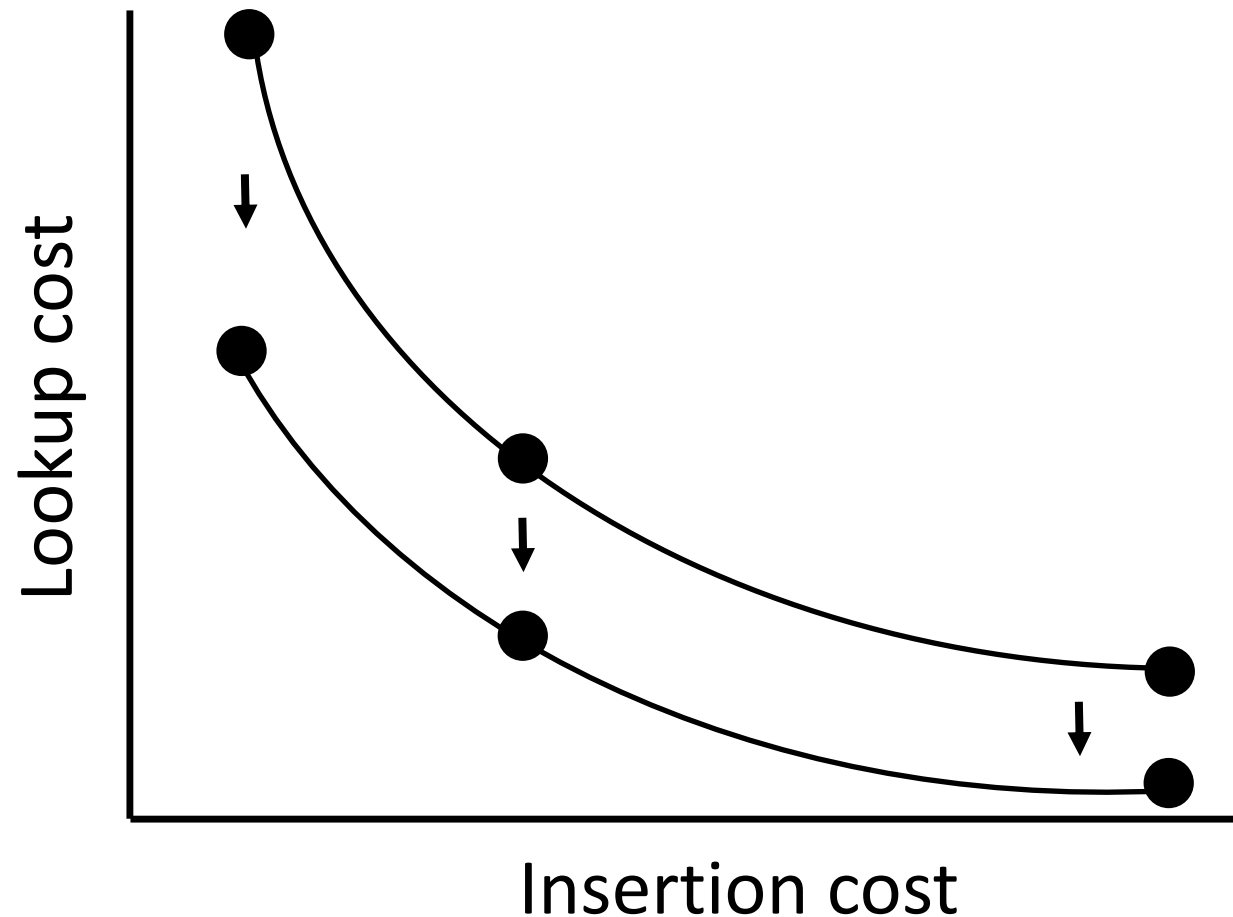
Bloom Filters

The more main memory, the fewer false positives \Rightarrow cheaper lookups



Bloom Filters

The more main memory, the fewer false positives \Rightarrow cheaper lookups



Conclusions

Write-optimized

Highly tunable

Backbone of many modern systems

Trade-off between lookup and insert cost (tiering/leveling, size ratio)

Trade main memory for lookup cost (fence pointers, Bloom filters)

Thank you!