

# *CS 561: Data Systems Architecture*

## Class 5

# Log-Structured Merge (LSM) Trees

# Updates: Logistics

First **technical question** is due on **02/07**.

First **review** is due on **02/14**.

Deadline for **Project 0** extended till **02/05**.

The first **student presentation** is in two weeks (on **02/14**)!

**Select the paper** you will work on for your **presentation** (groups of 3-4)

**A week before the presentation**, discuss the slides with me in OH.

# **LSM-tree**

# LSM-tree

NoSQL



relational



time-series

2023

# LSM-tree

NoSQL



relational



time-series

2023

# Why **LSM** ?

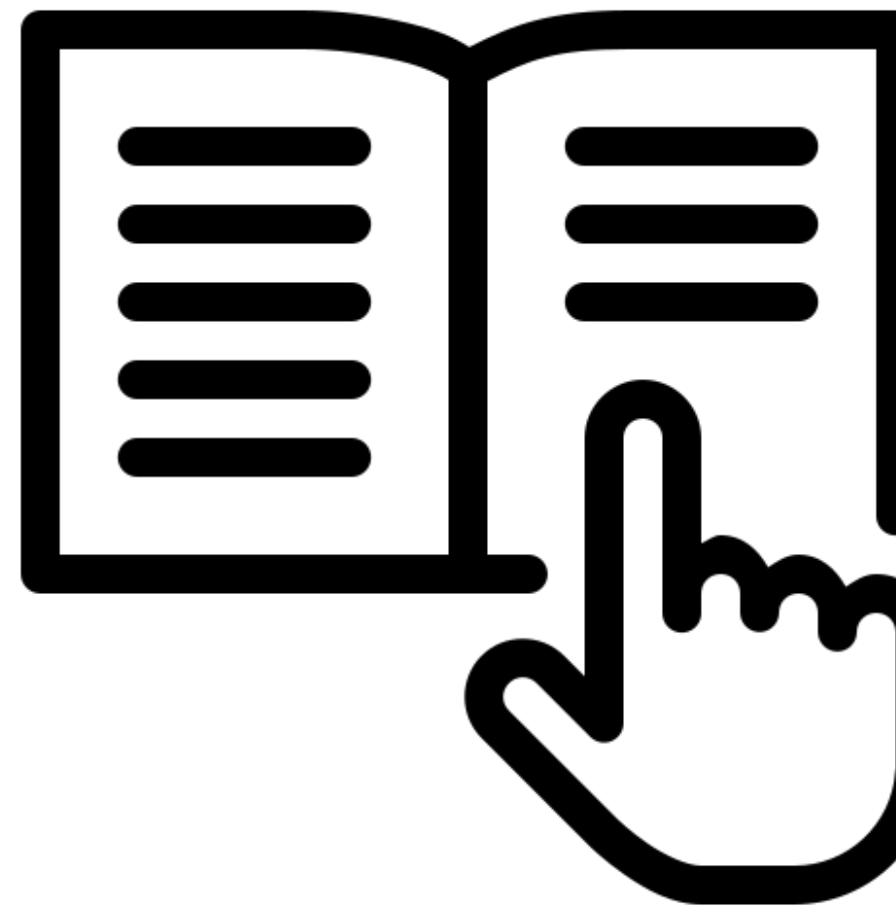


fast ingestion

# Why **LSM** ?

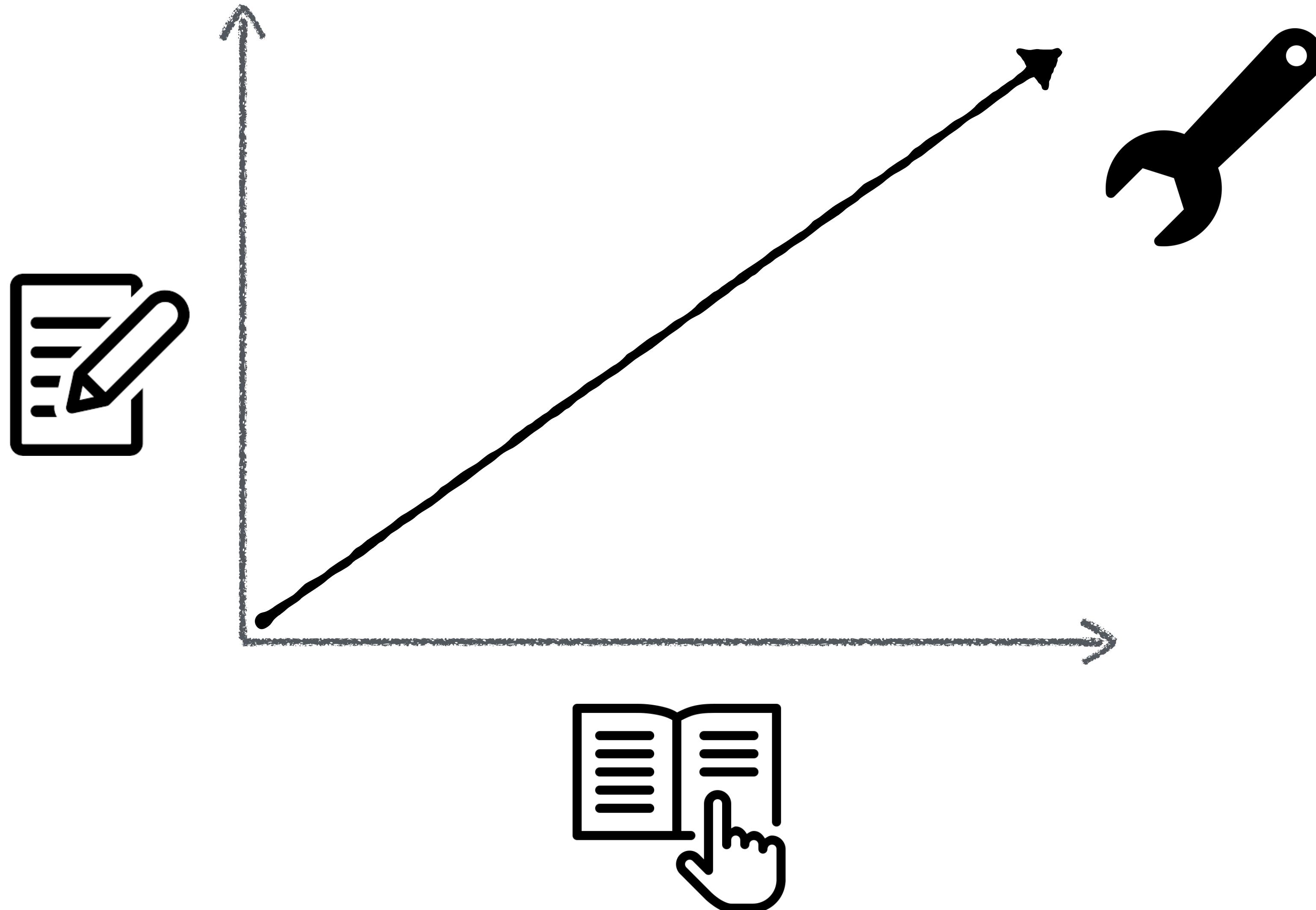


fast ingestion

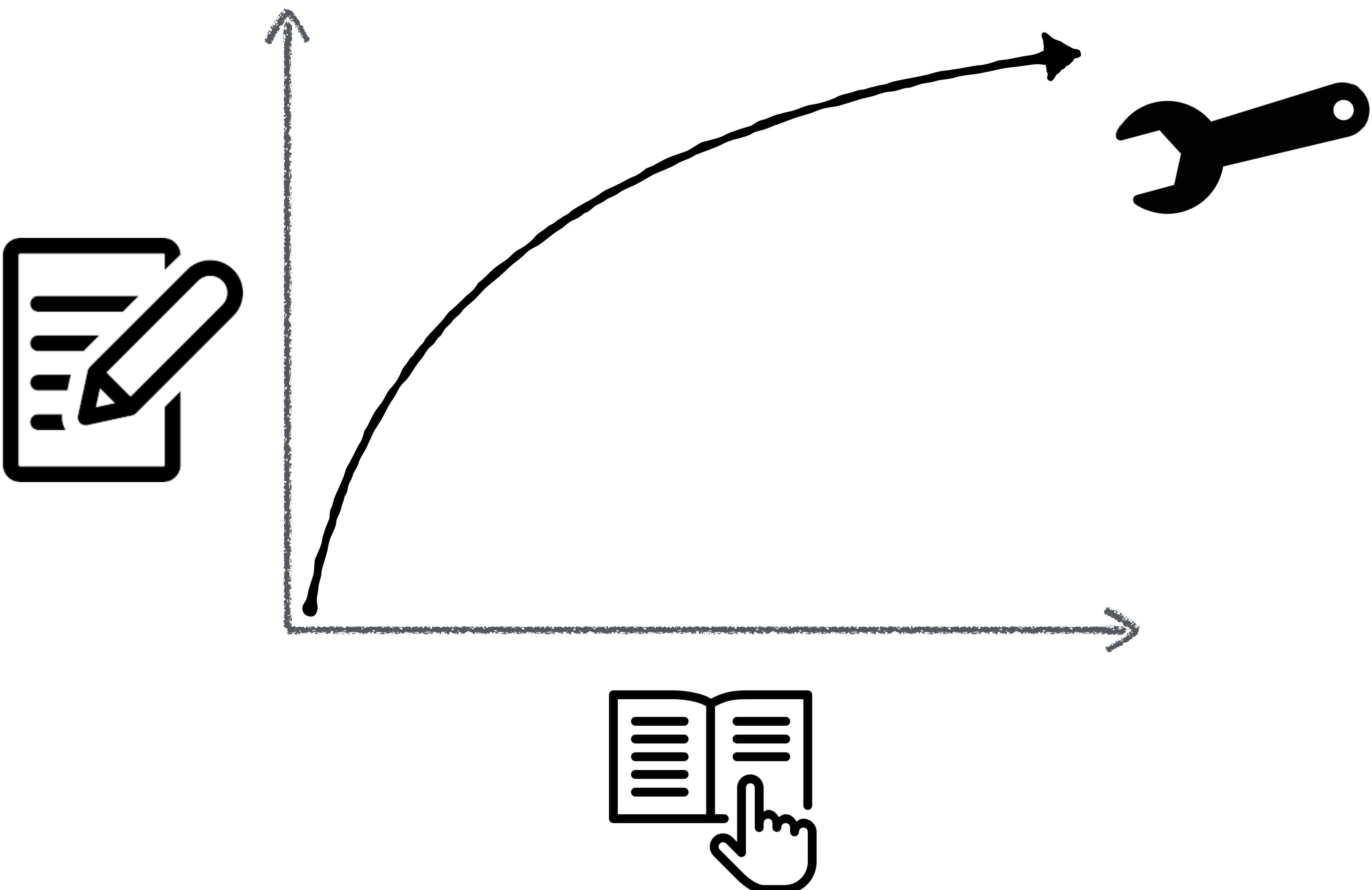


competitive reads

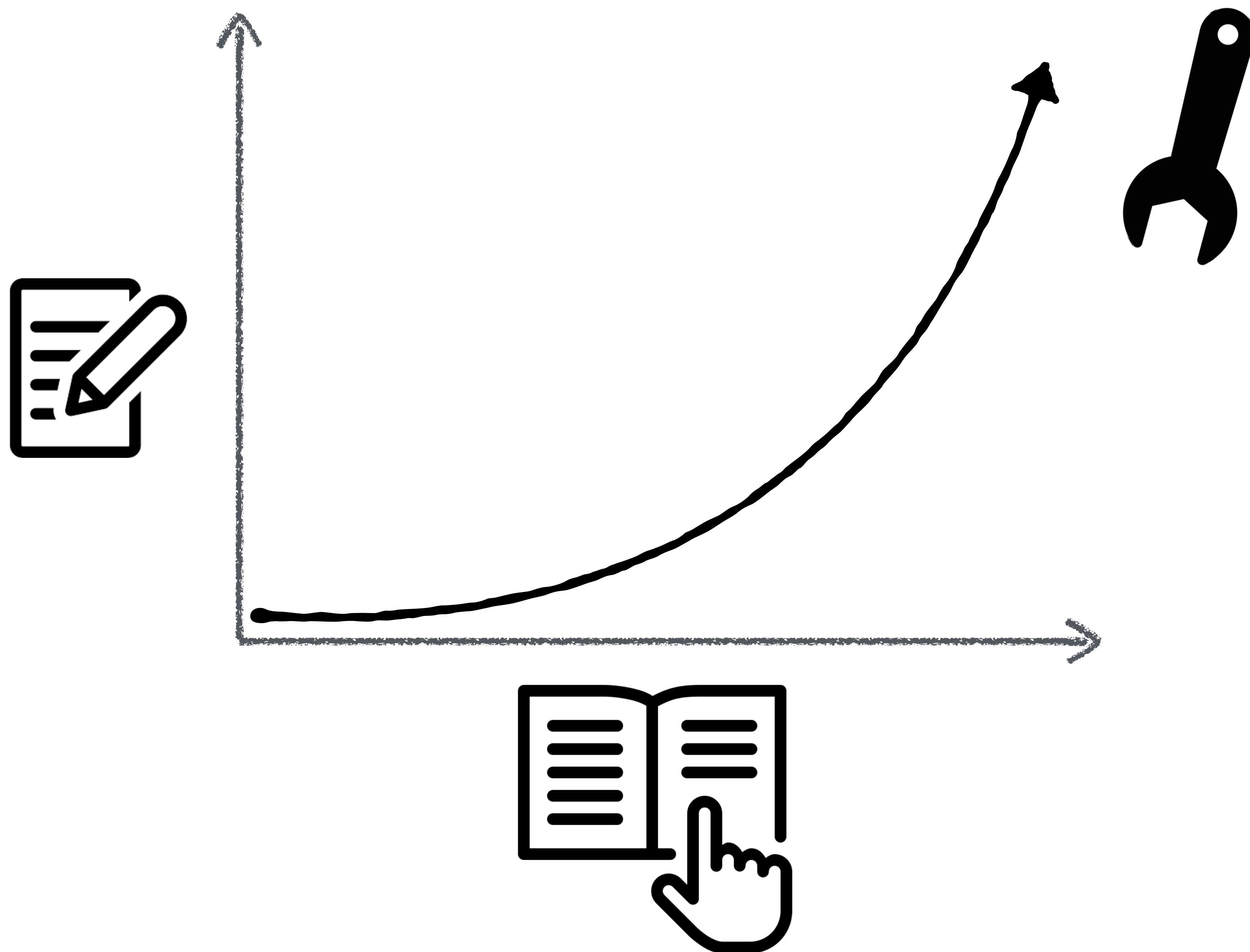
# Why **LSM** ?



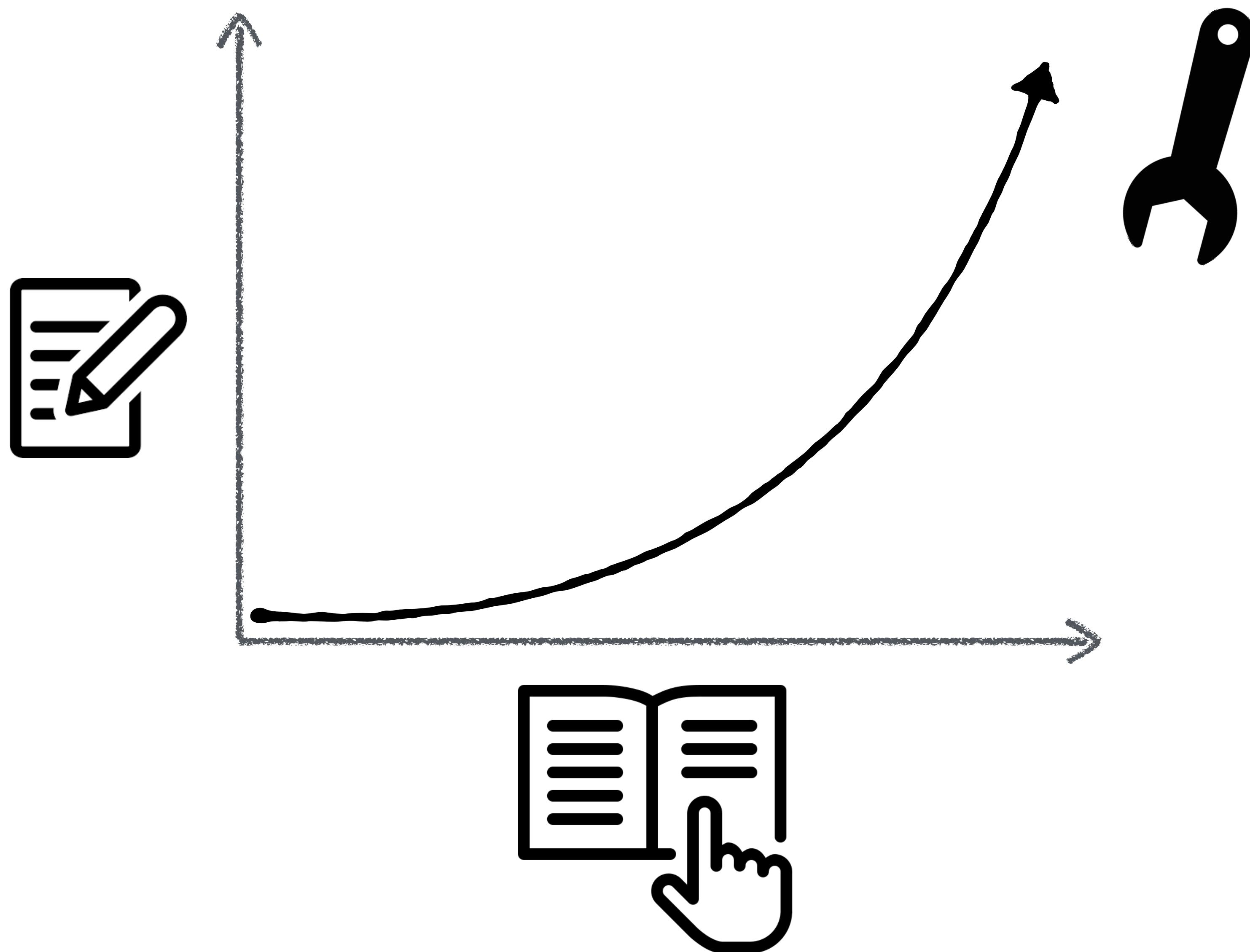
# Why **LSM** ?



# Why **LSM** ?



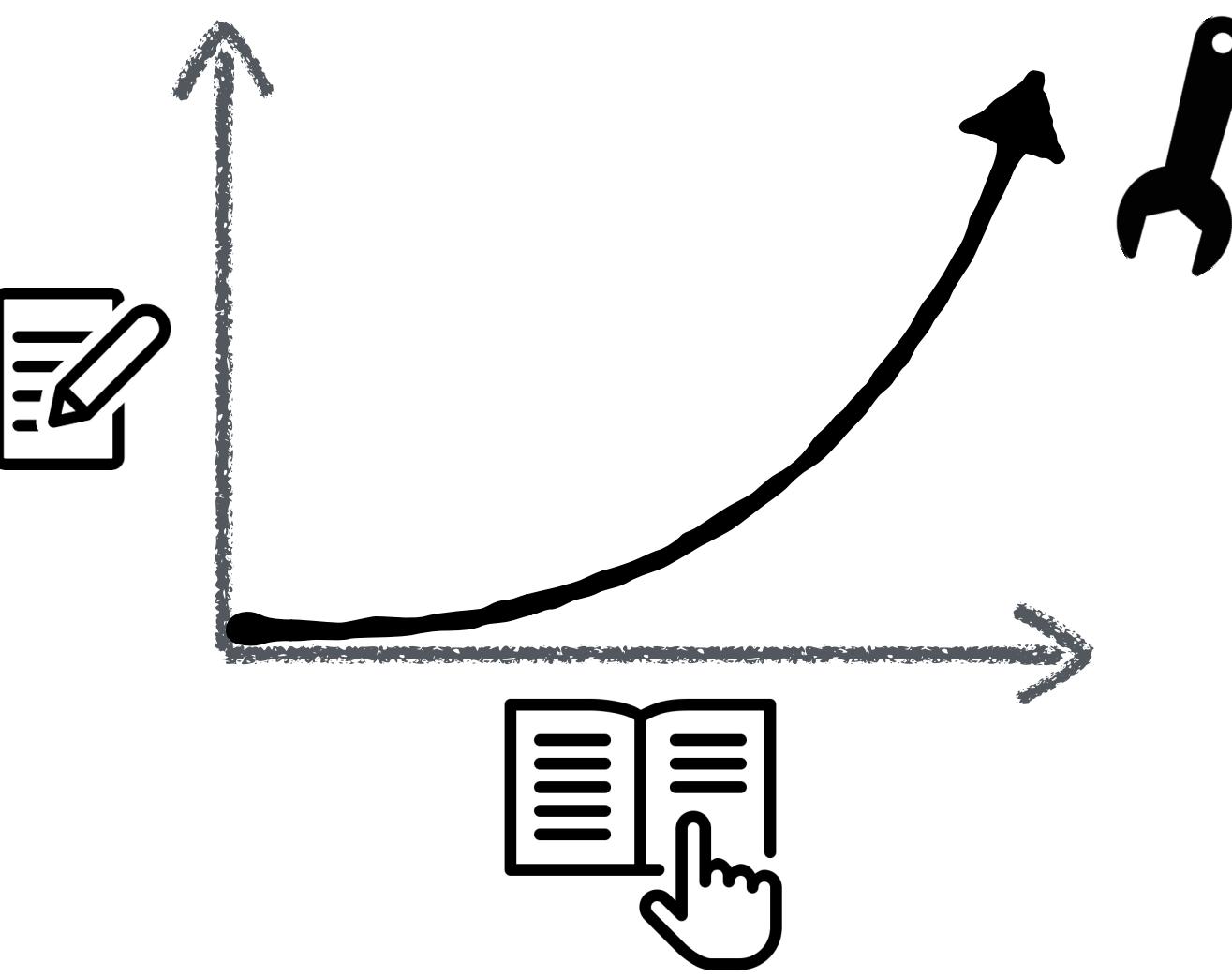
# Why **LSM** ?



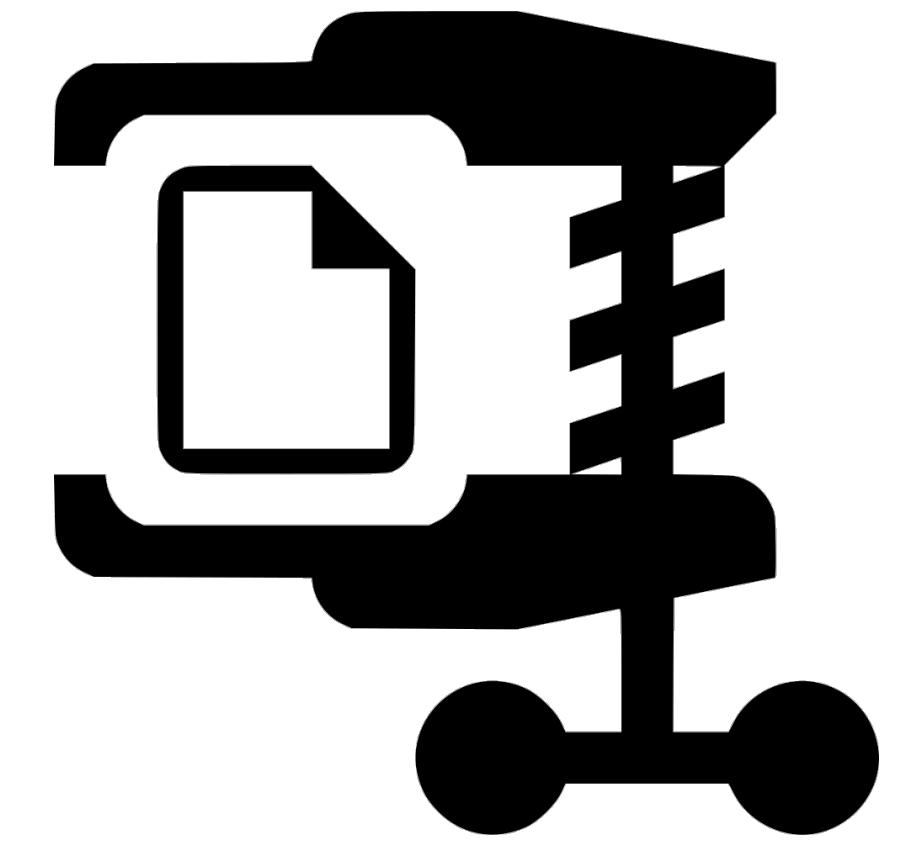
# Why **LSM** ?



fast writes

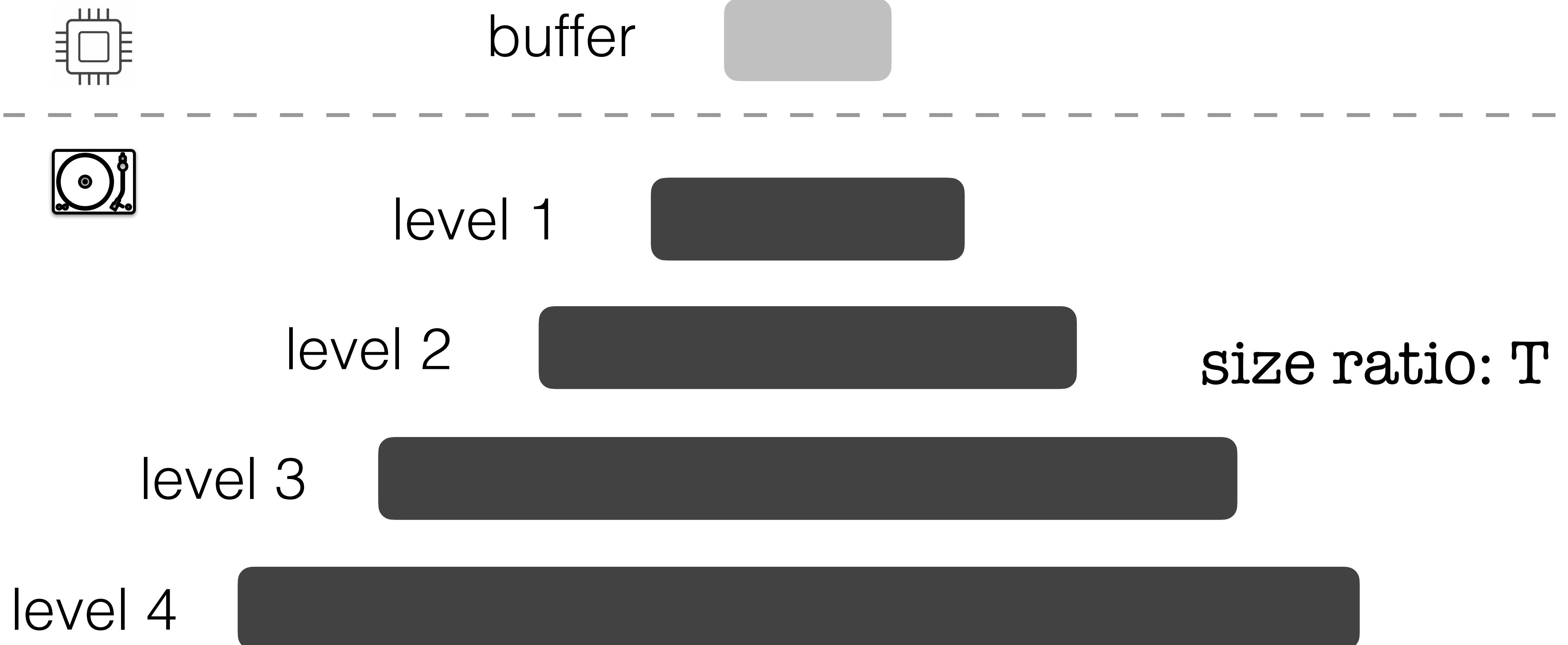


tunable read-write  
performance



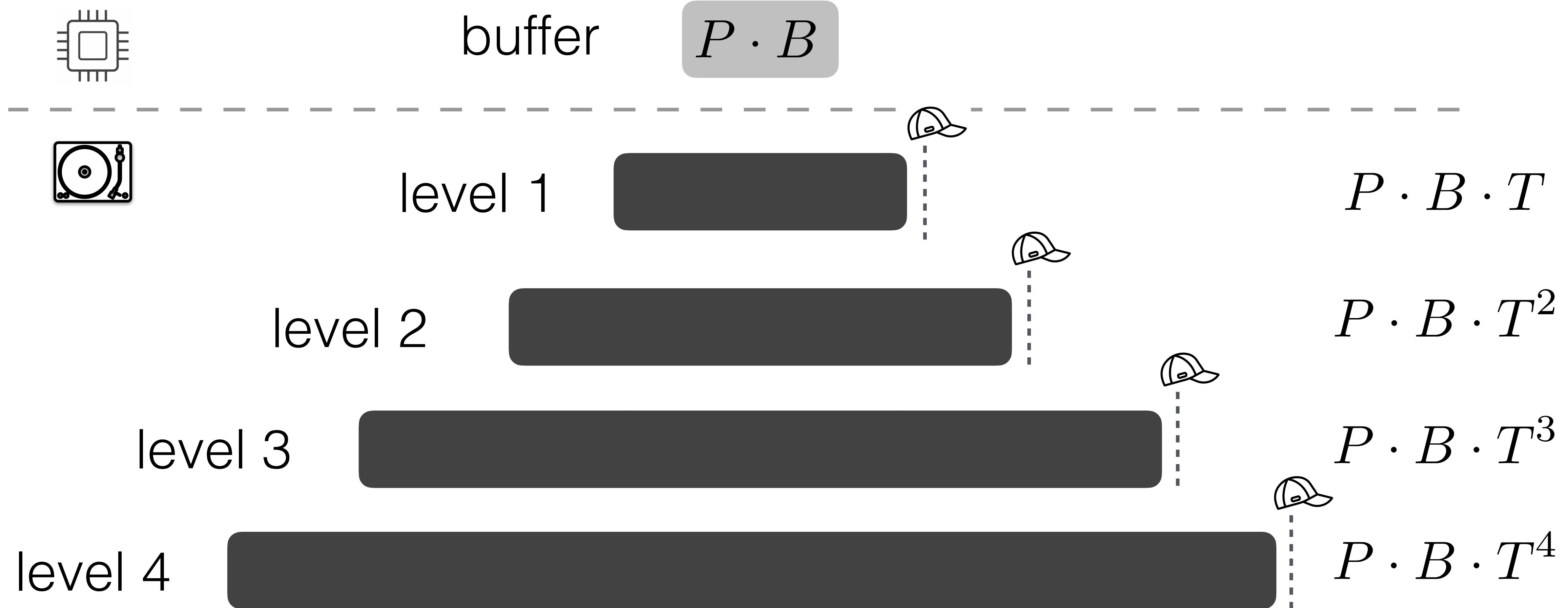
good space  
utilization

# LSM Basics



$P$ : pages in buffer  
 $B$ : entries/page  
 $L$ : #levels  
 $T$ : size ratio

# LSM Basics



# LSM Operating Principles

Buffering ingestion

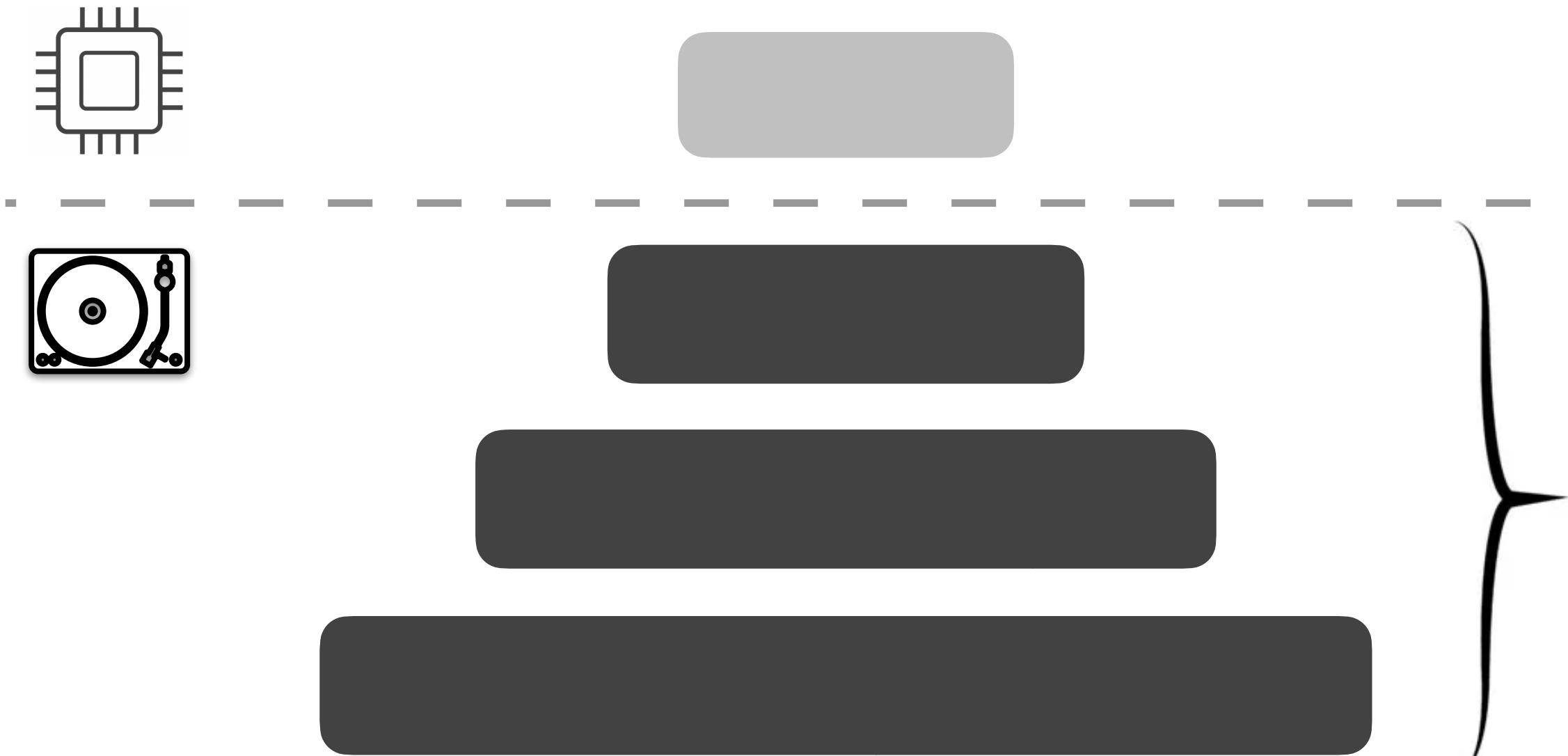
Immutable files on storage

Out-of-place updates & deletes

Periodic data layout reorganization

$L$ : #levels

$T$ : size ratio



most data  
on storage

if  $T = 10$  &  $L = 4$

99.9% on storage

How does the storage layer affect ingestion?

# Data Layout

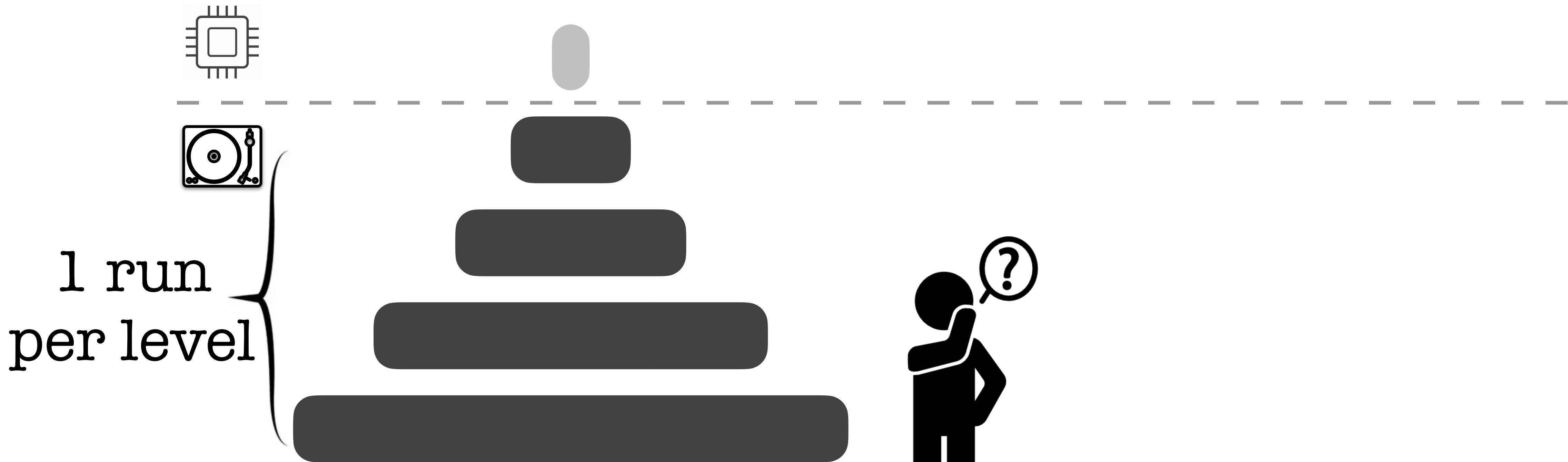
Classical LSM design: leveling

[eager merging]



# Data Layout

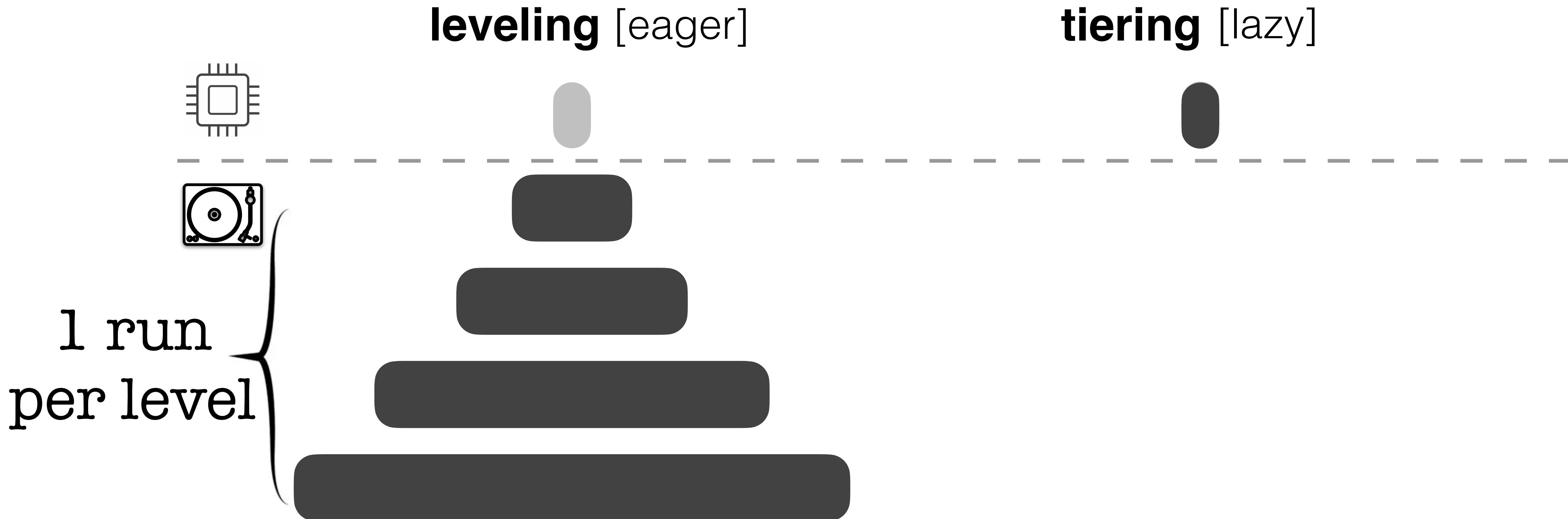
## leveling [eager]



- good read performance
- good space amplification
- high write amplification

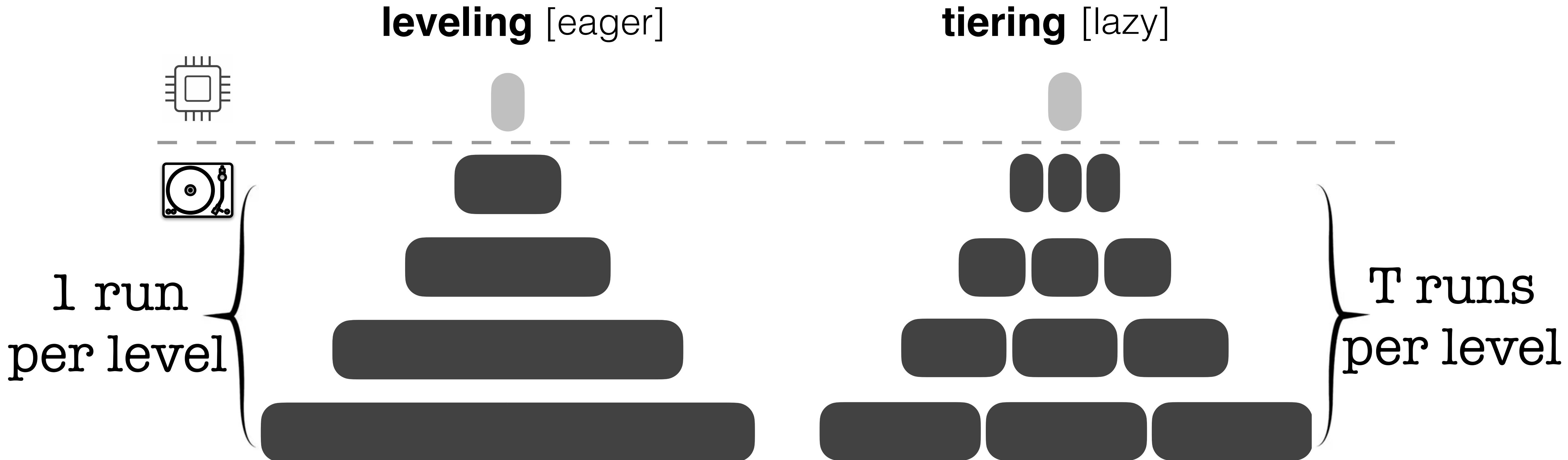
Seems like heaven!

# Data Layout



- good read performance
- good space amplification
- high write amplification

# Data Layout



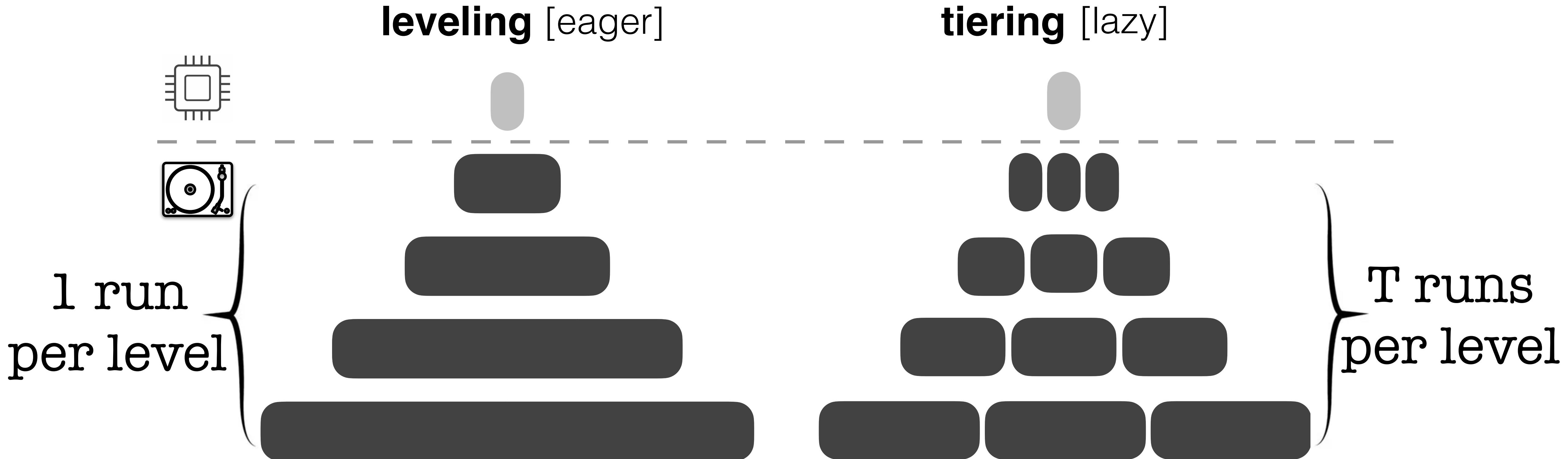
- good read performance
- good space amplification
- high write amplification

- good ingestion performance

Limitations?

$P$ : pages in buffer  
 $B$ : entries/page  
 $L$ : #levels  
 $T$ : size ratio  
 $N$ : #entries  
 $\phi$ : FPR of BF

# Data Layout



Read cost:

$$\mathcal{O}(L \cdot \phi)$$

Write cost:

$$\mathcal{O}(T \cdot L/B)$$

SA:

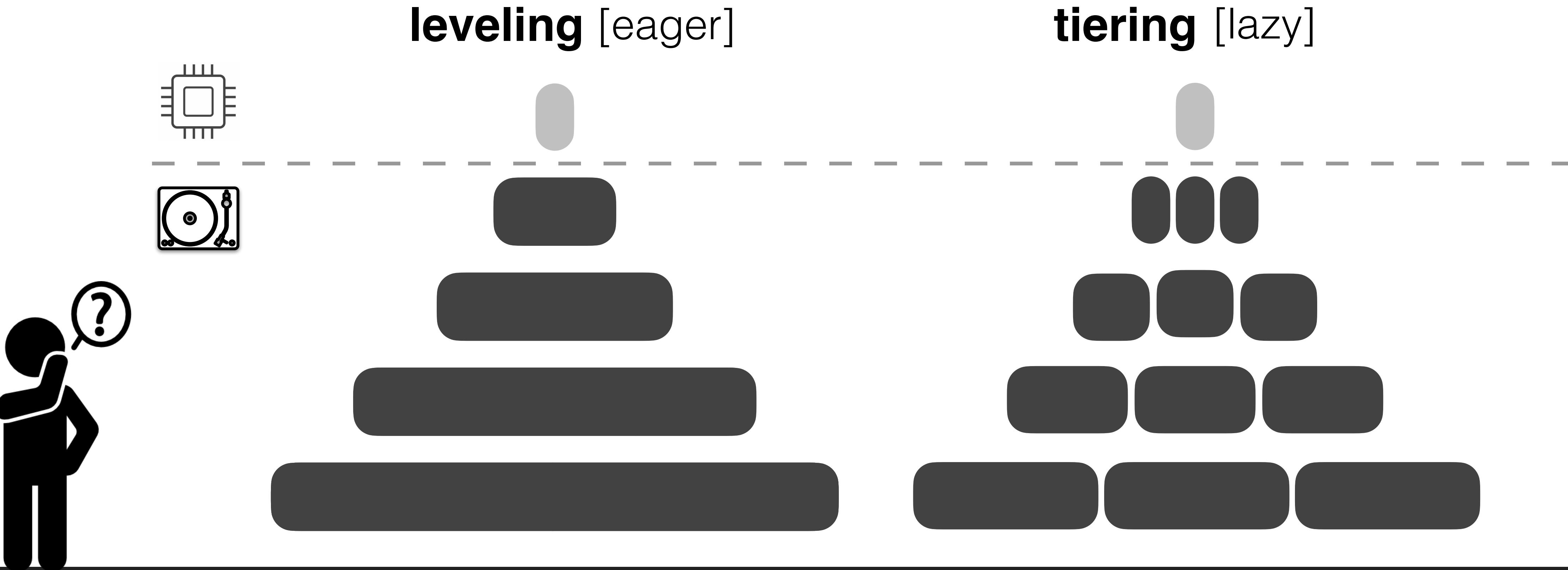
$$\mathcal{O}(1/T)$$

$$\mathcal{O}(T \cdot L \cdot \phi)$$

$$\mathcal{O}(L/B)$$

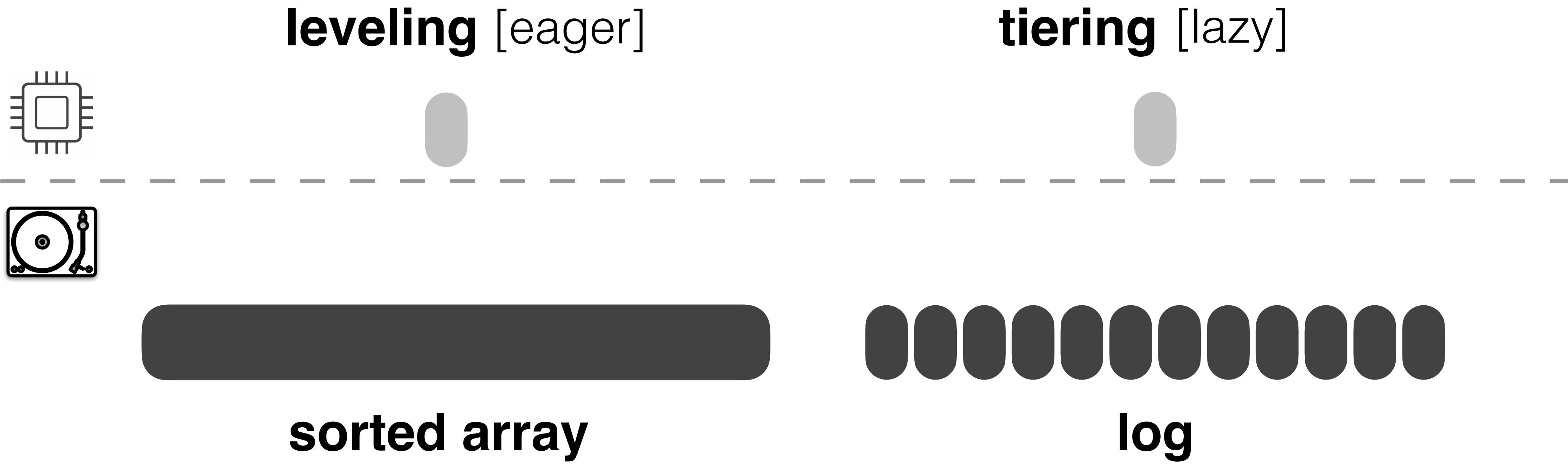
$$\mathcal{O}(T)$$

# Data Layout



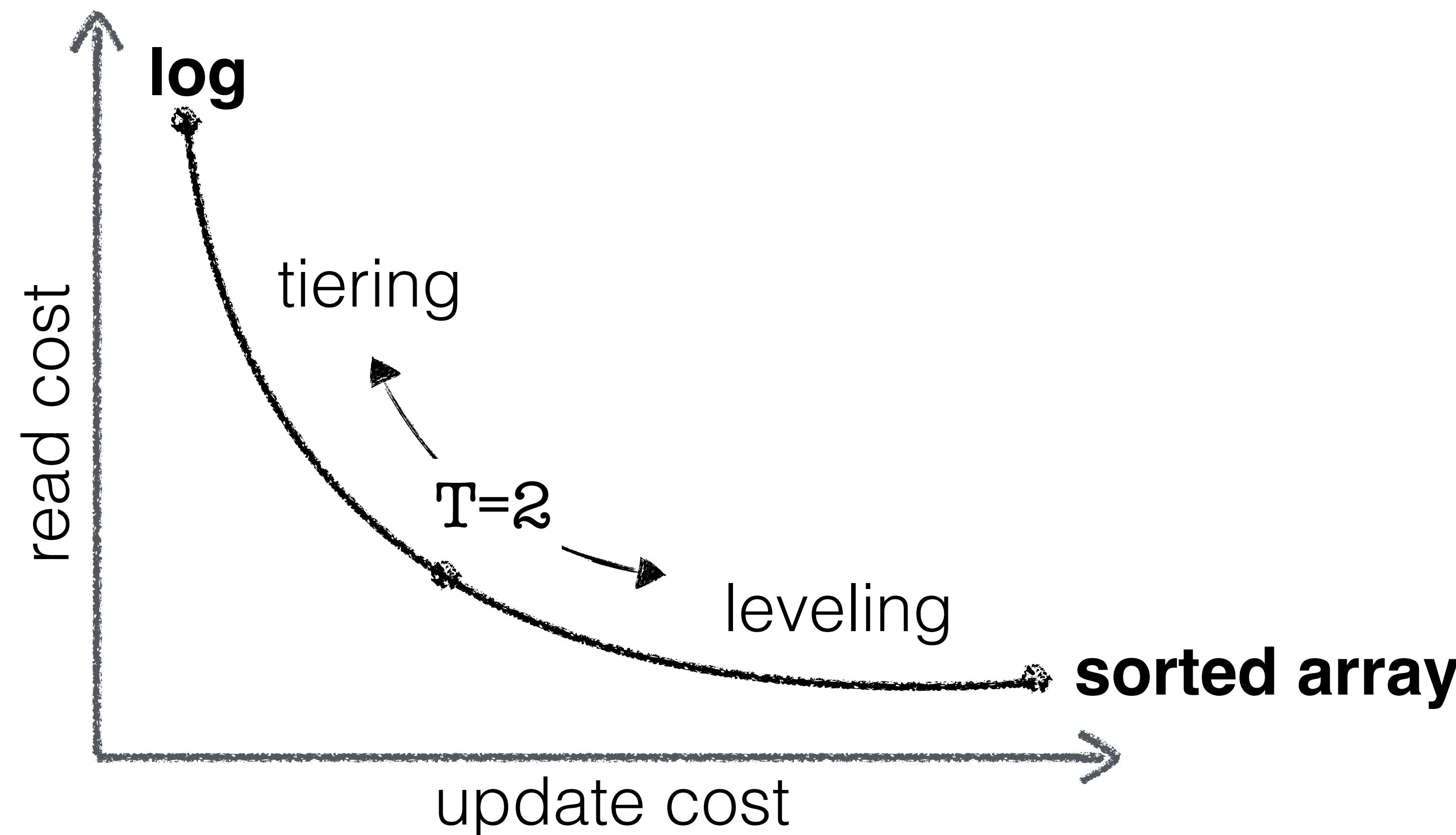
What happens if  $T$  becomes too large?

# Data Layout



$T$ : size ratio

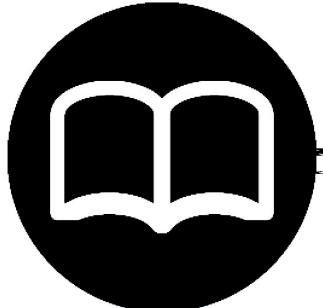
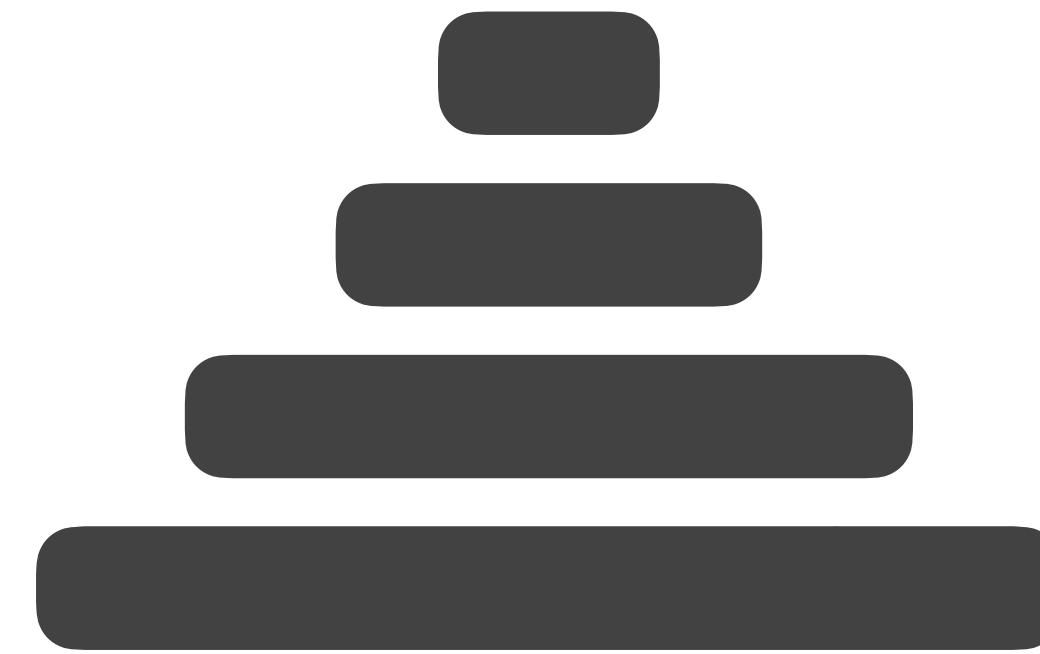
# Data Layout



# Data Layout

hybrid designs

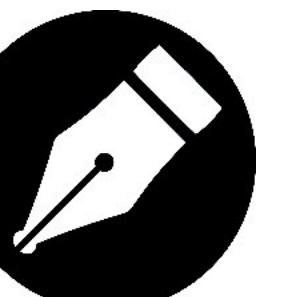
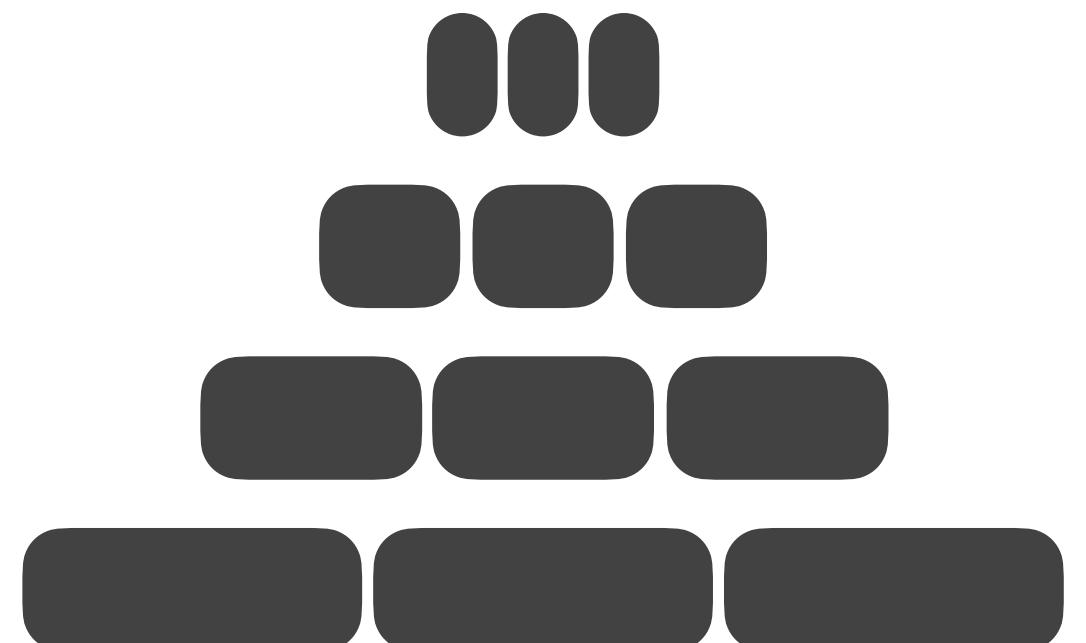
leveling



read

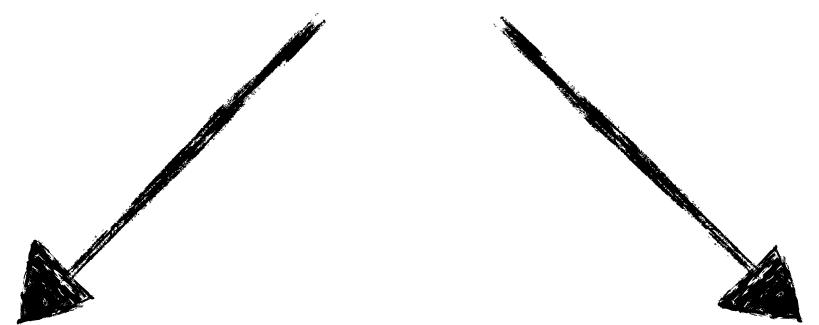
optimized

tiering

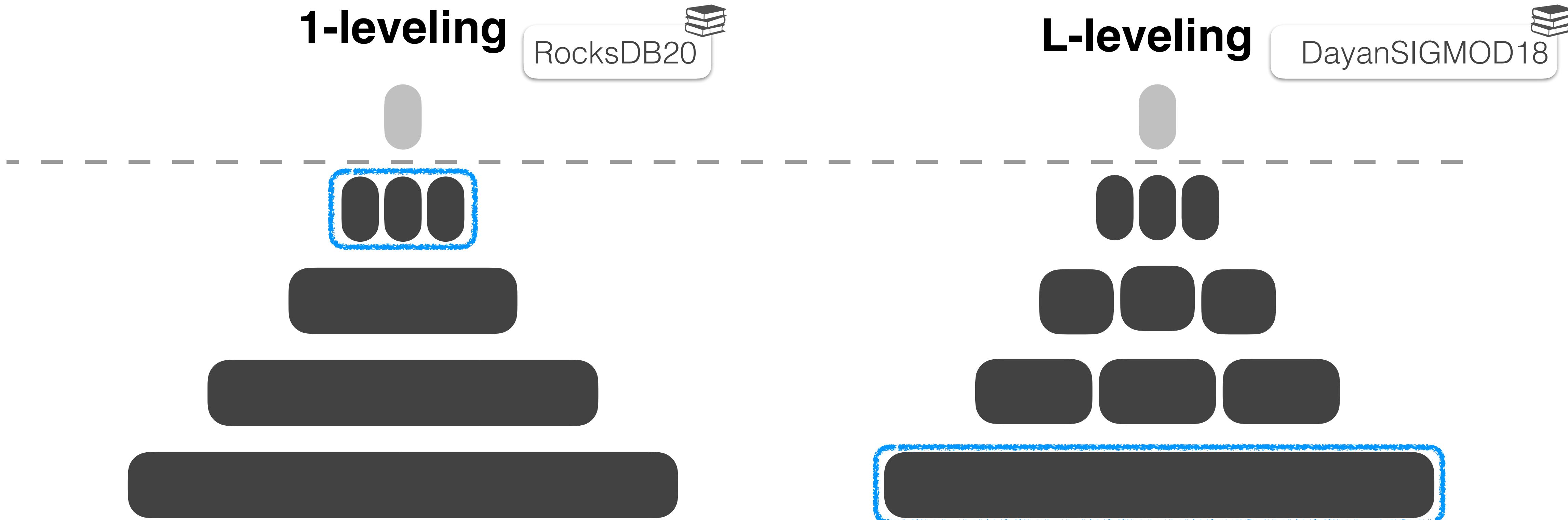


write

optimized

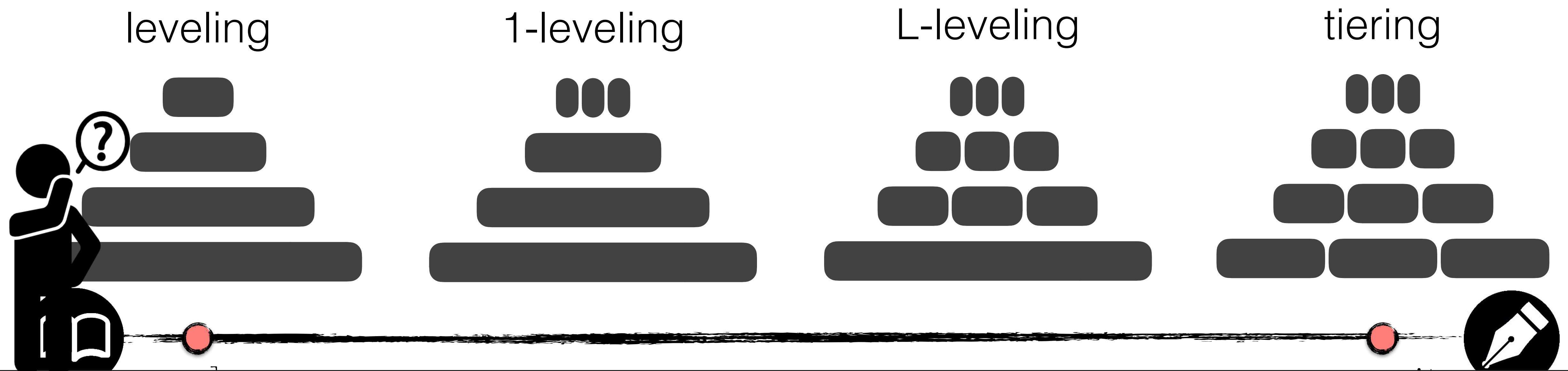


# Data Layout

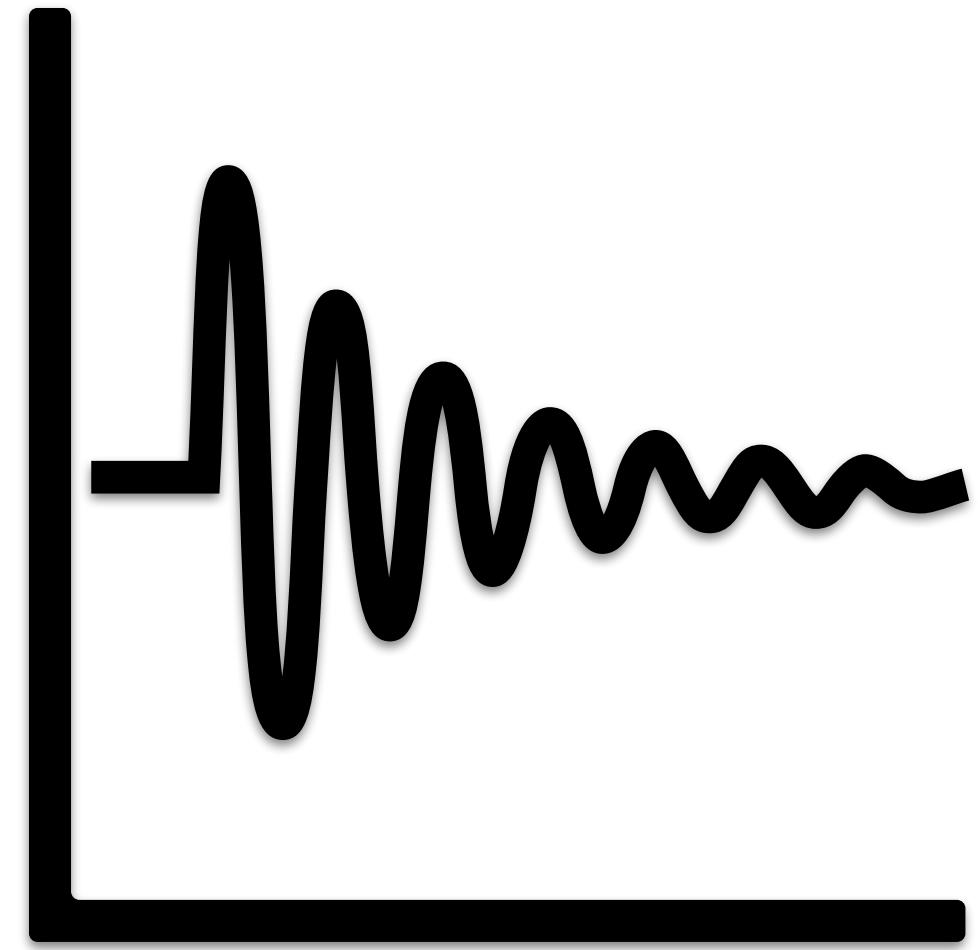


- fewer write stalls
- increased block cache hits
- low write amplification
- better read performance

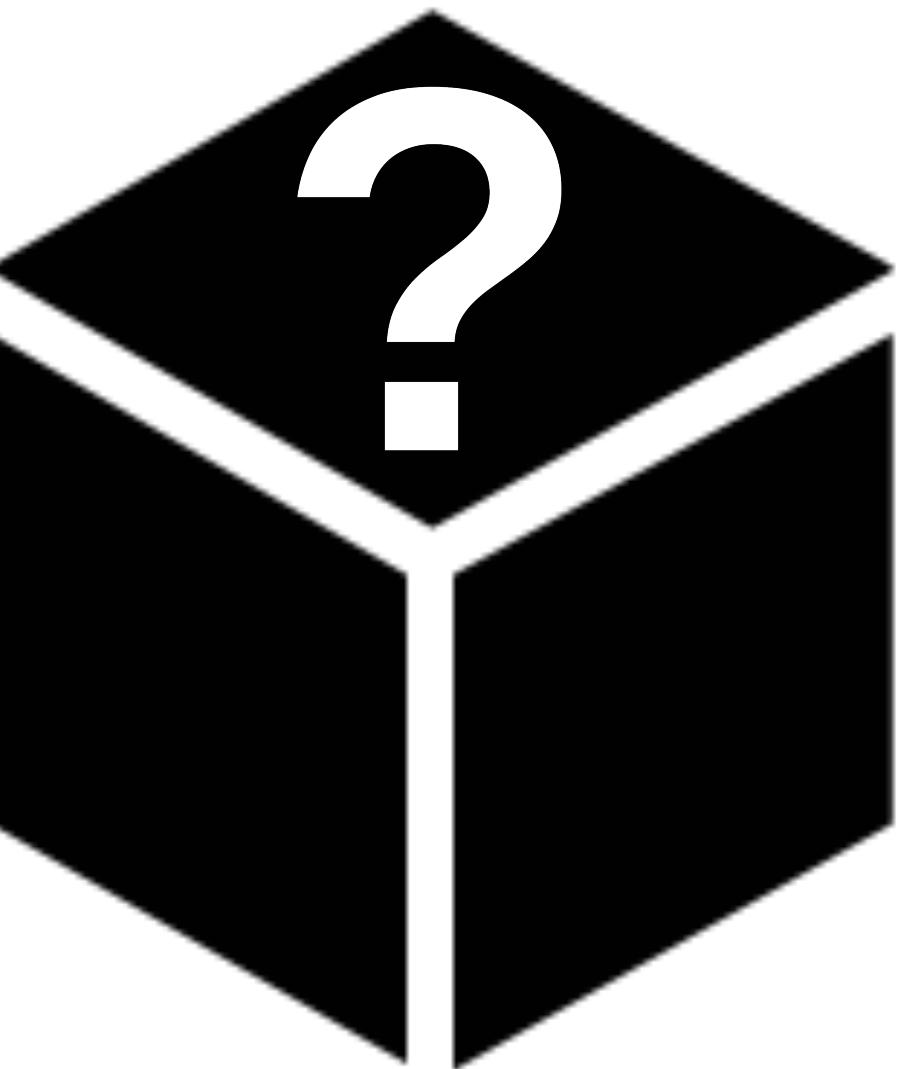
# Data Layout



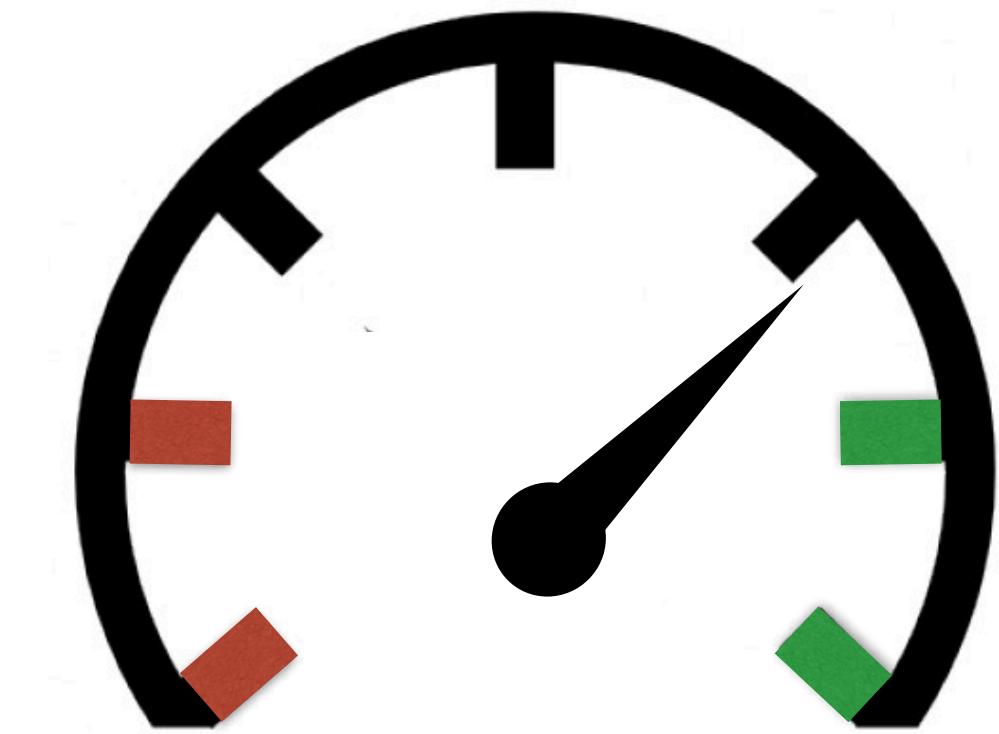
So, how do we reason about the data layout?



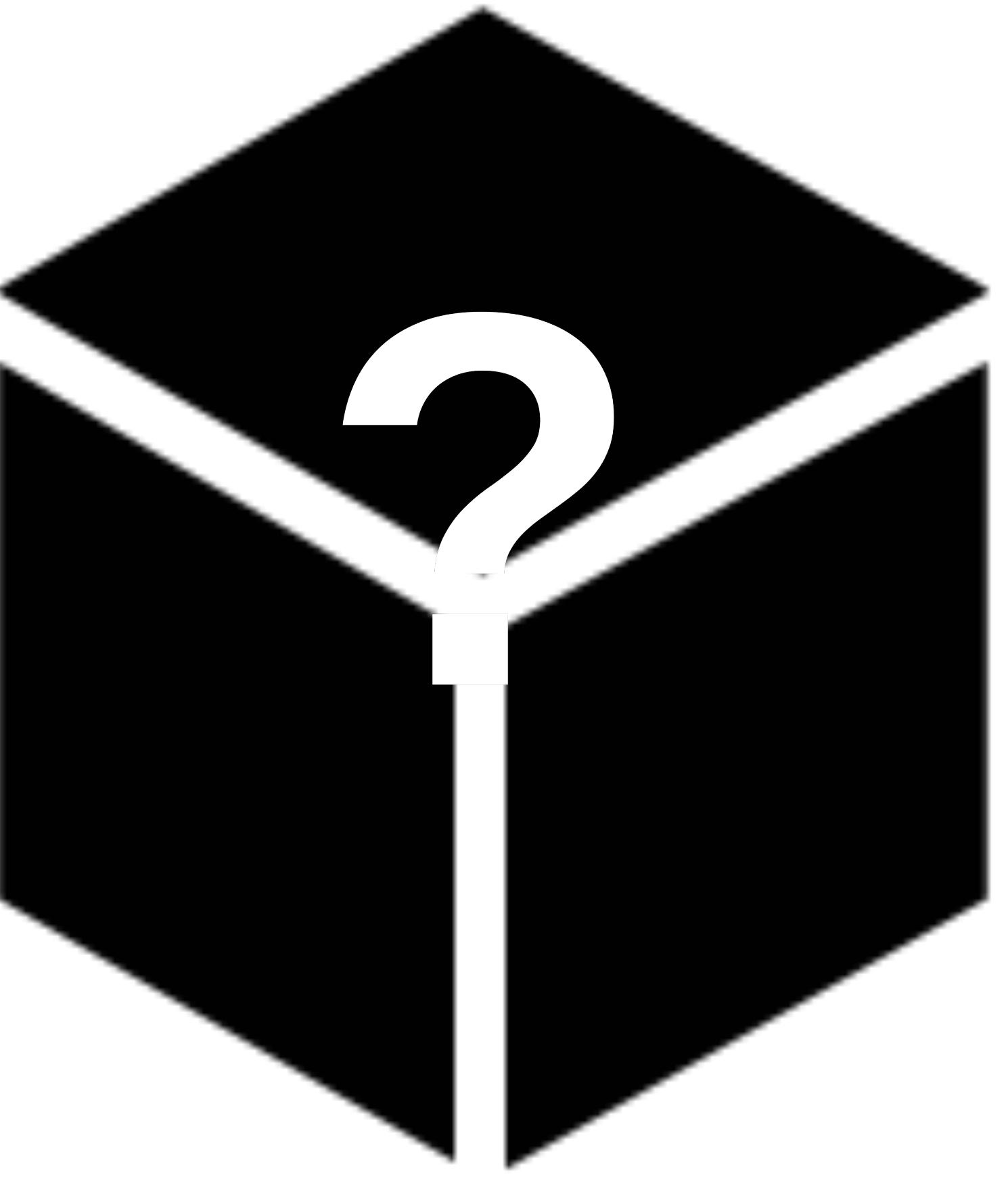
workload



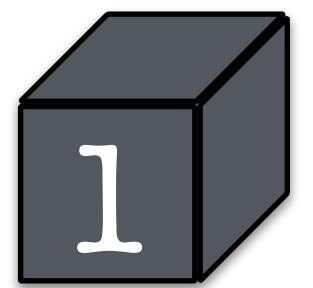
**data layout**



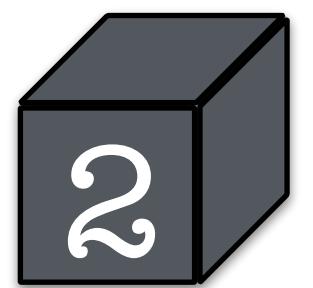
performance



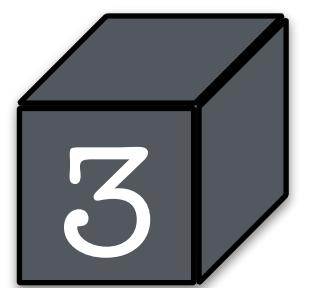
Compaction  
black box



**How** to organize the data on device?



**How much** data to move at-a-time?



**Which** block of data to be moved?



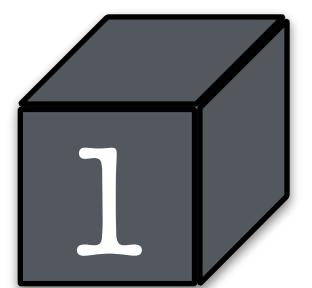
**When** to re-organize the data layout?

Data Layout

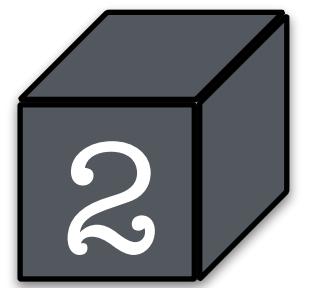
Compaction  
Granularity

Data Movement  
Policy

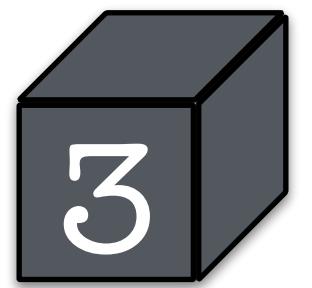
Compaction  
Trigger



**How** to organize the data on device?



**How much** data to move at-a-time?

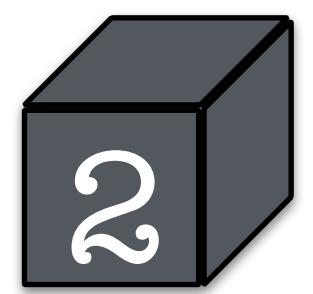


**Which** block of data to be moved?



**When** to re-organize the data layout?





# Compaction Granularity

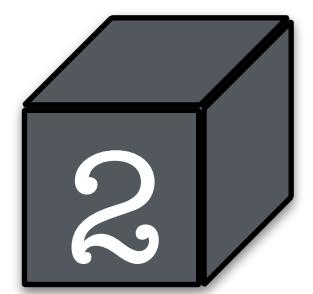
*data moved per compaction*



consecutive  
levels

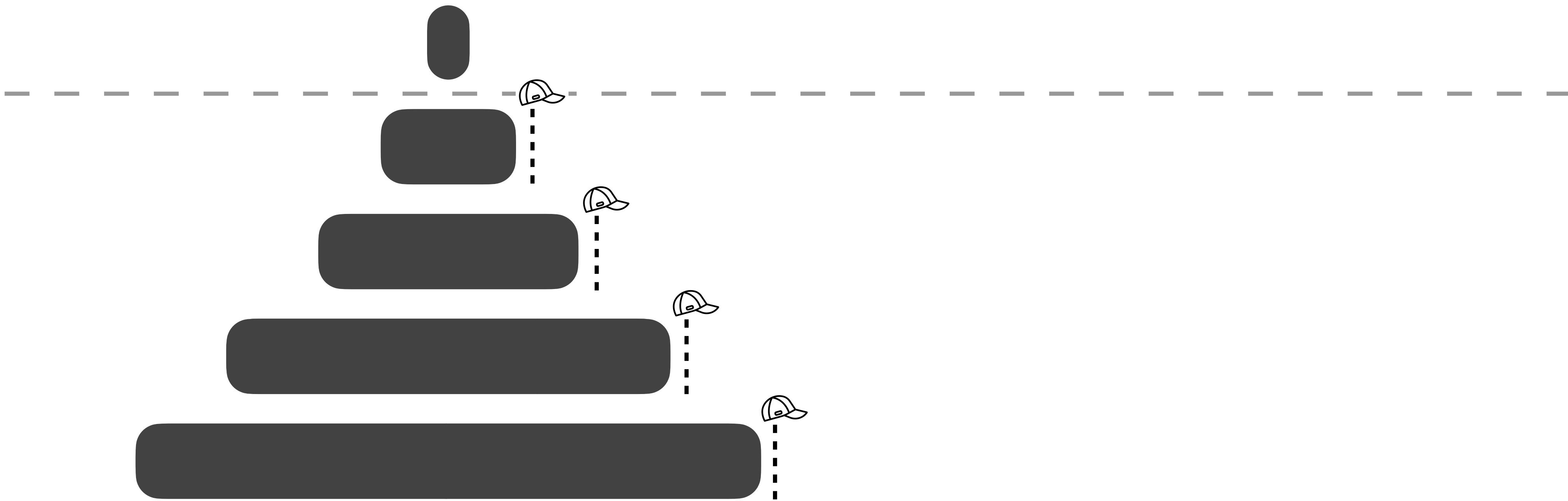
AsterixDB

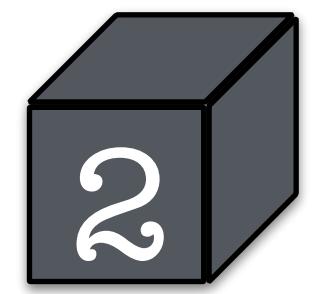




# Compaction Granularity

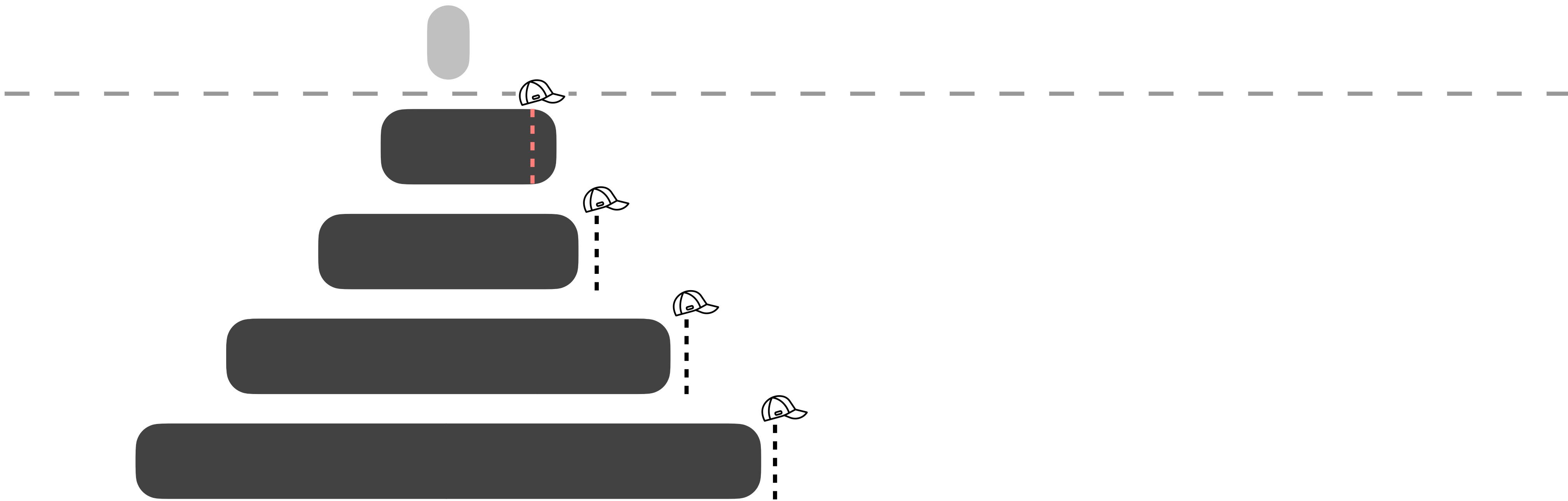
*data moved per compaction*

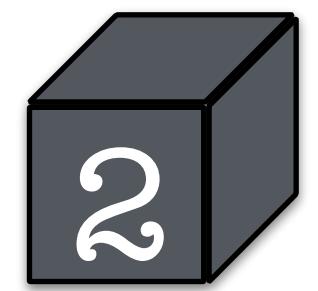




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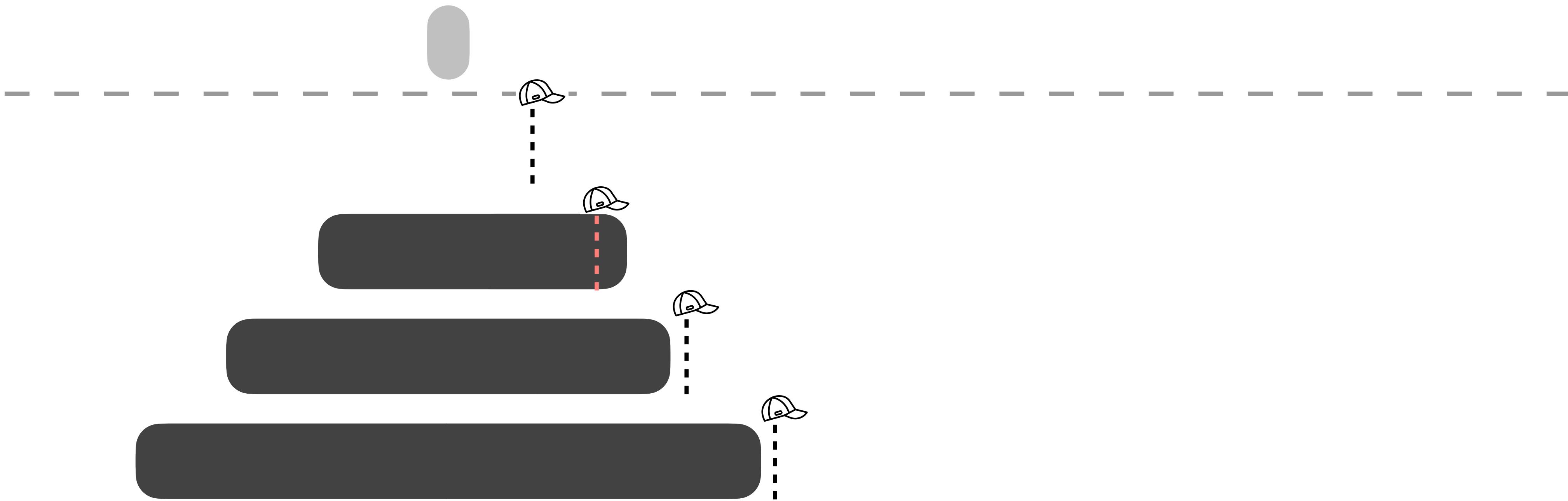
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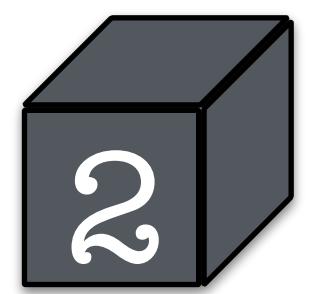




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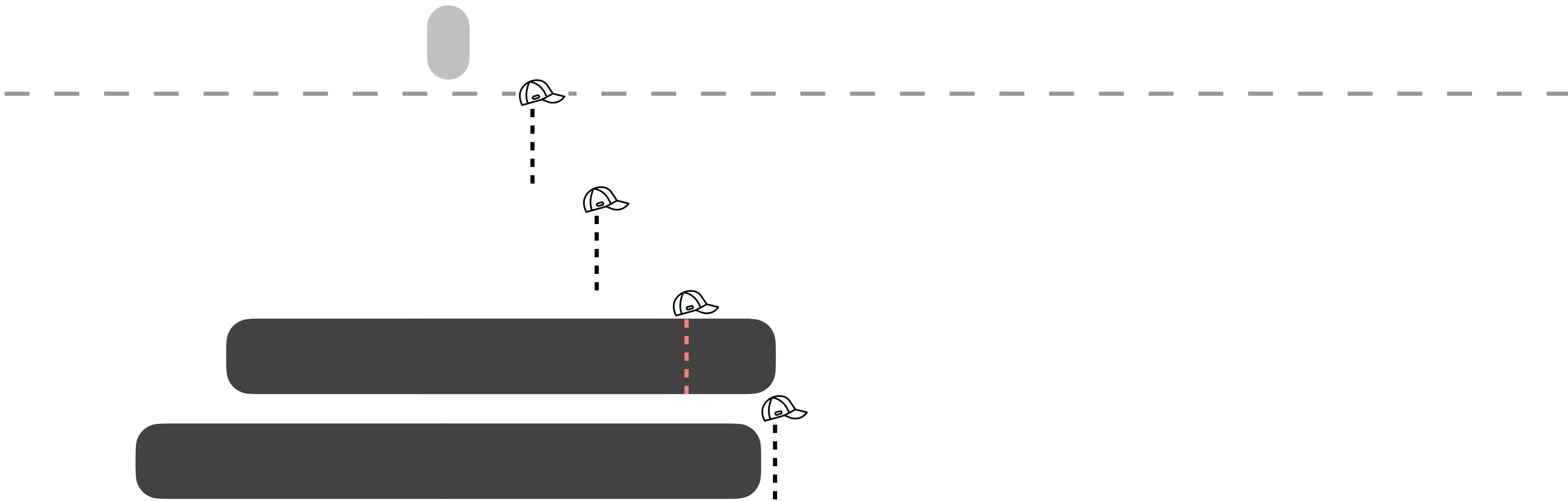
*data moved per compaction*

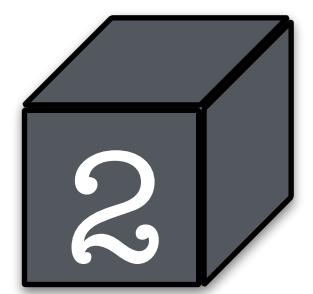




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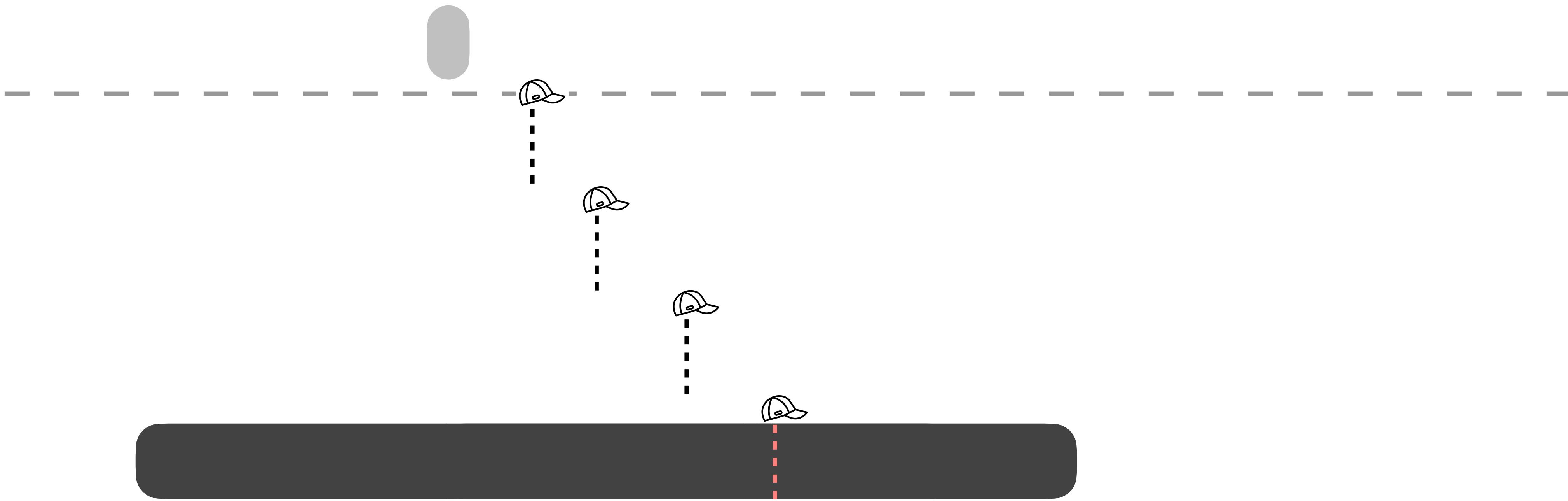
*data moved per compaction*

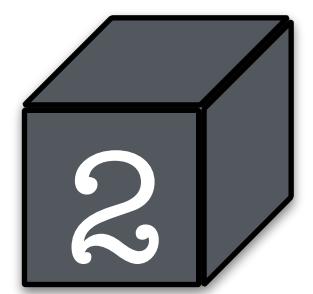




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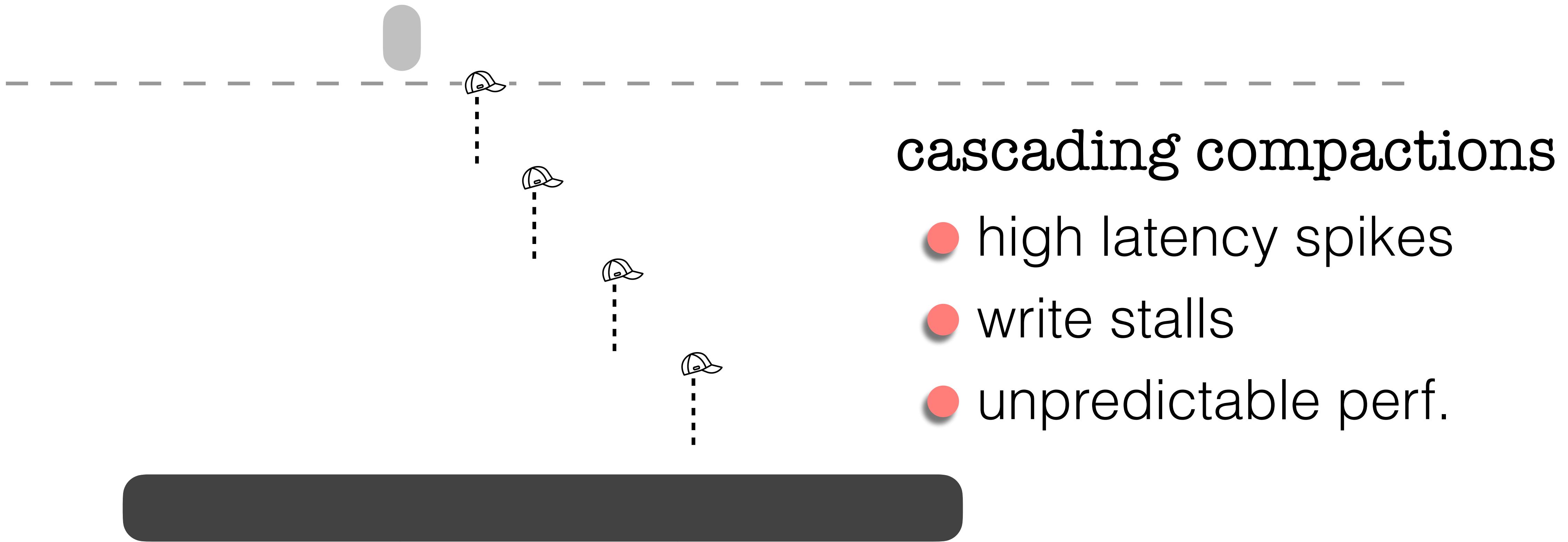
*data moved per compaction*

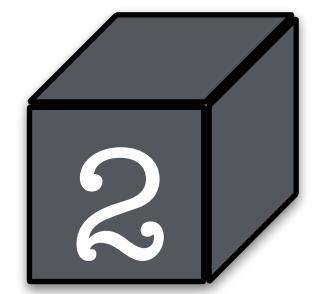




# Compaction Granularity

*data moved per compaction*



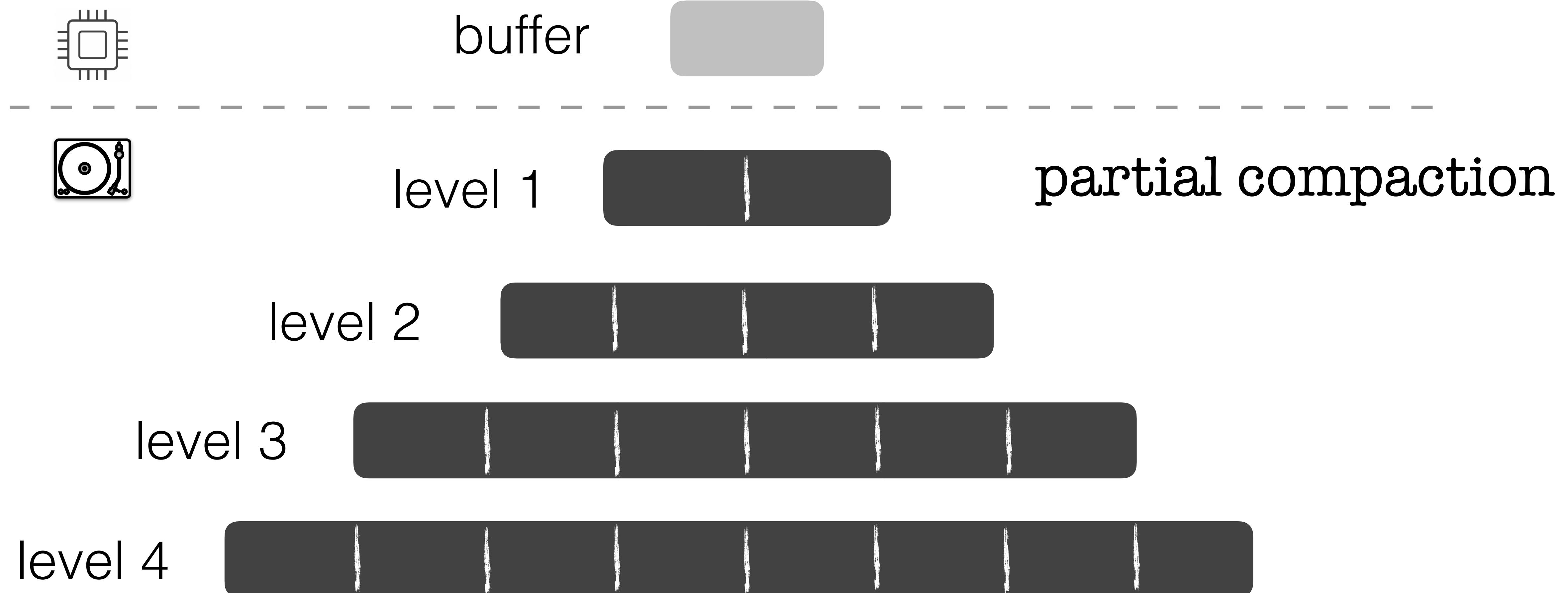


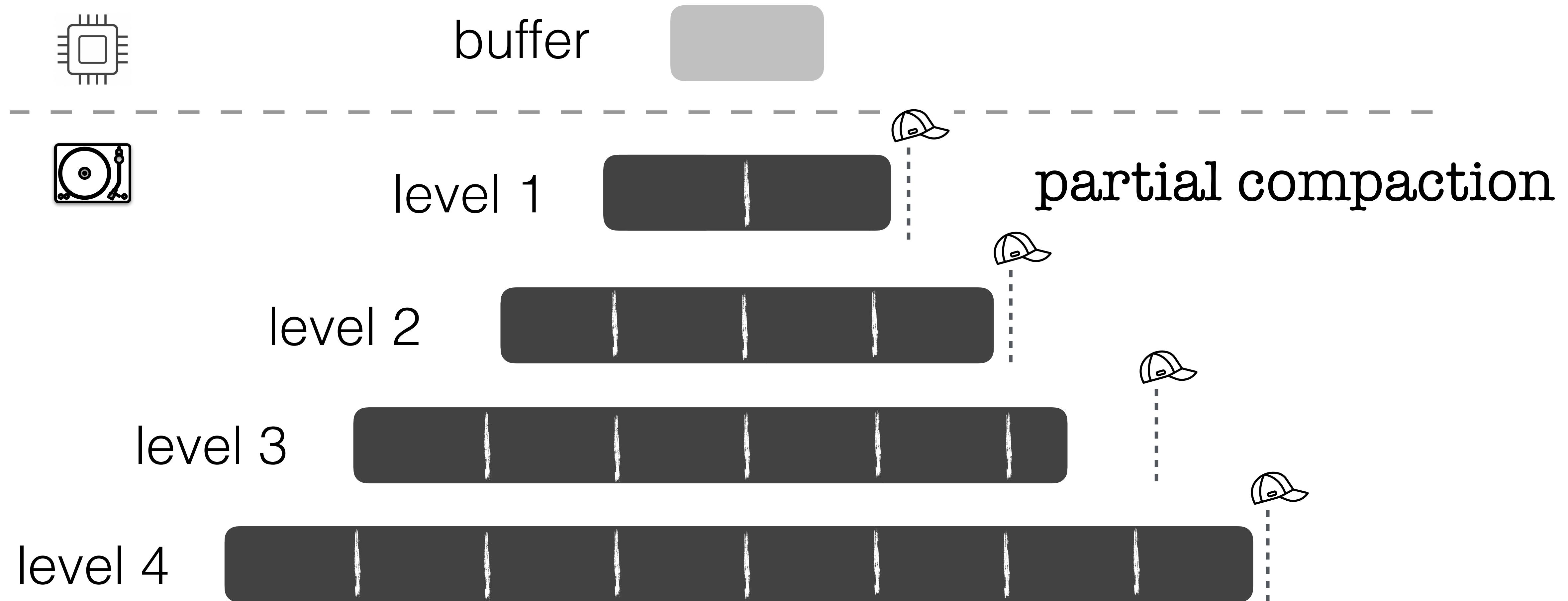
# Compaction **Granularity**

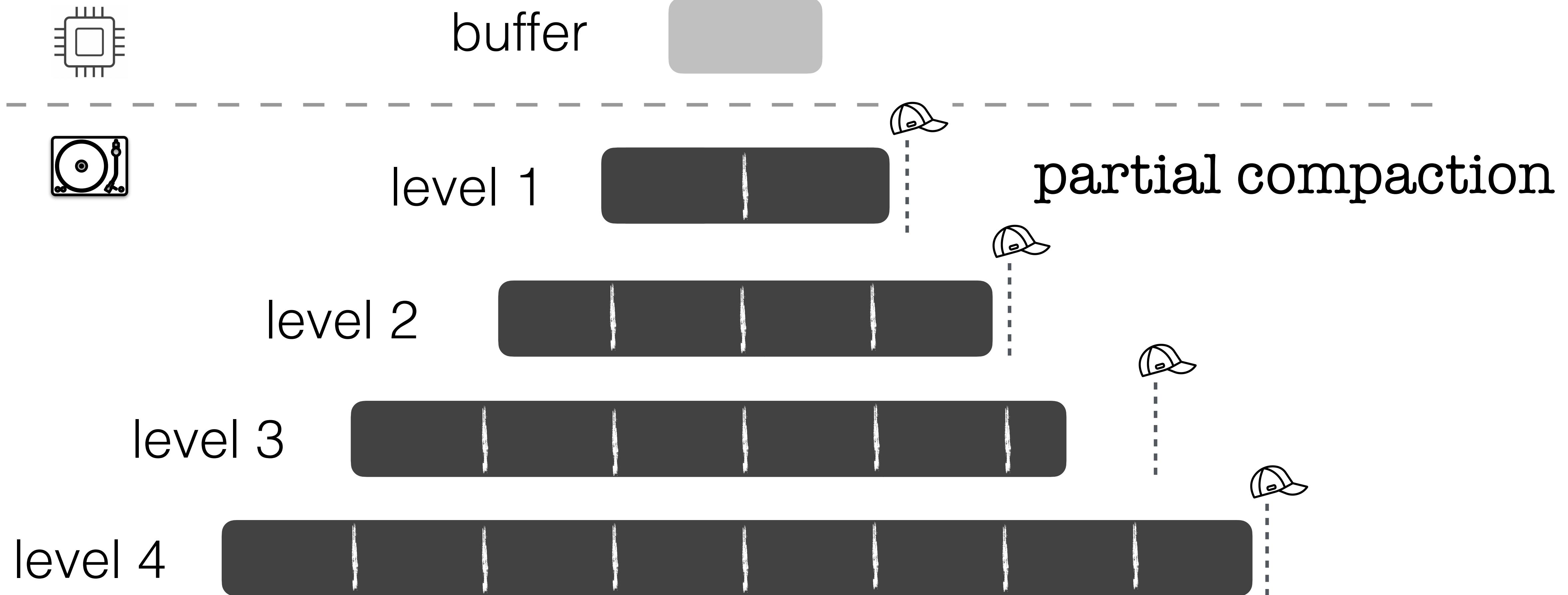
*data moved per compaction*

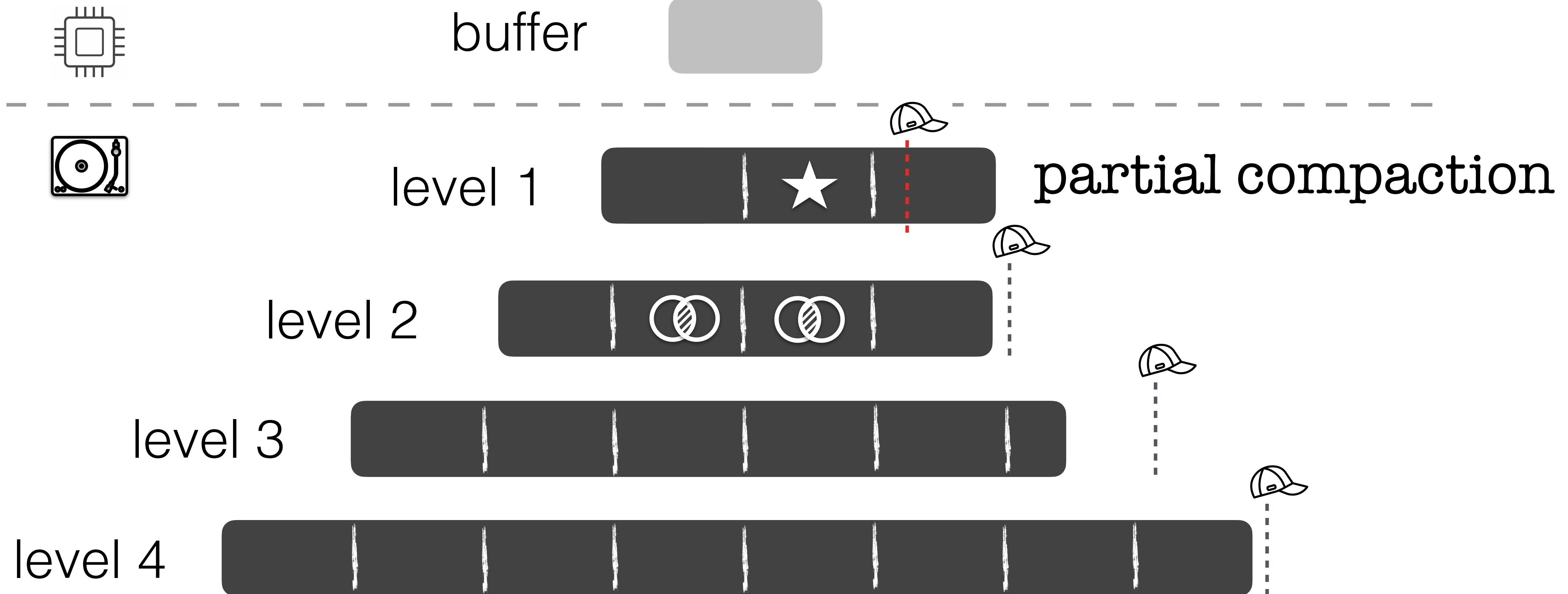
partial compaction

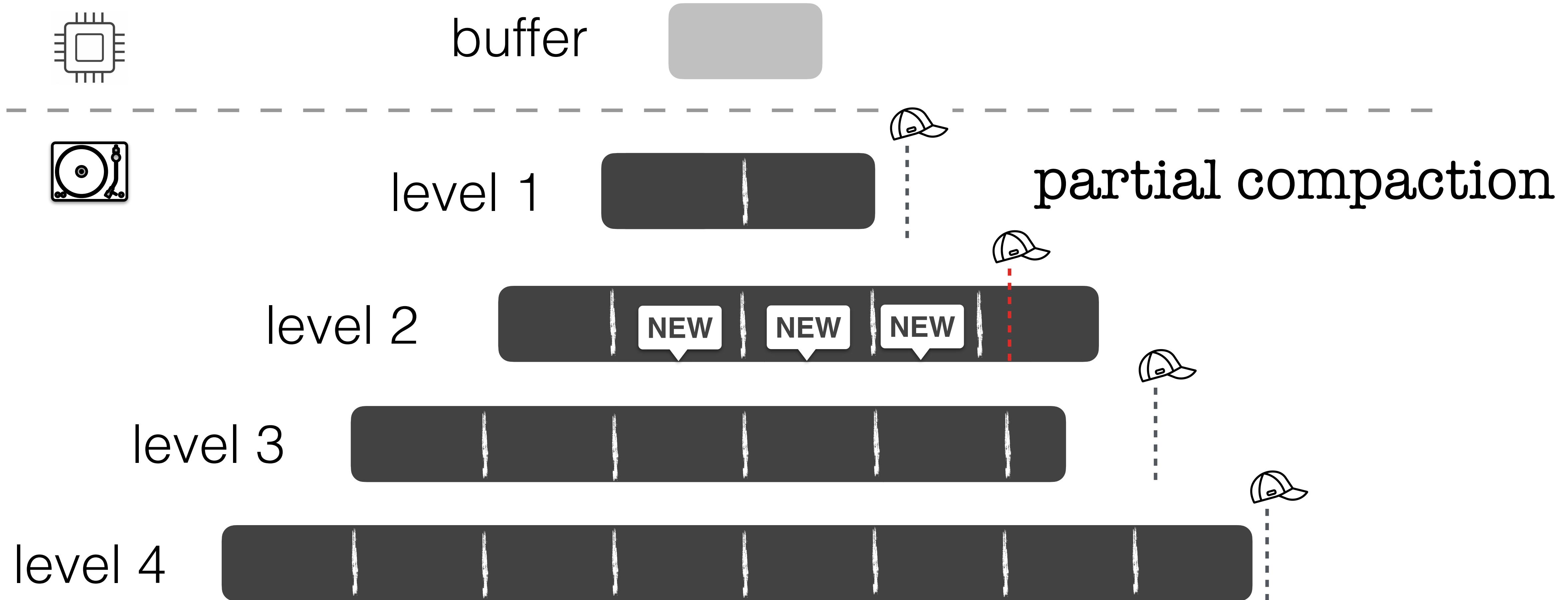
granularity: files

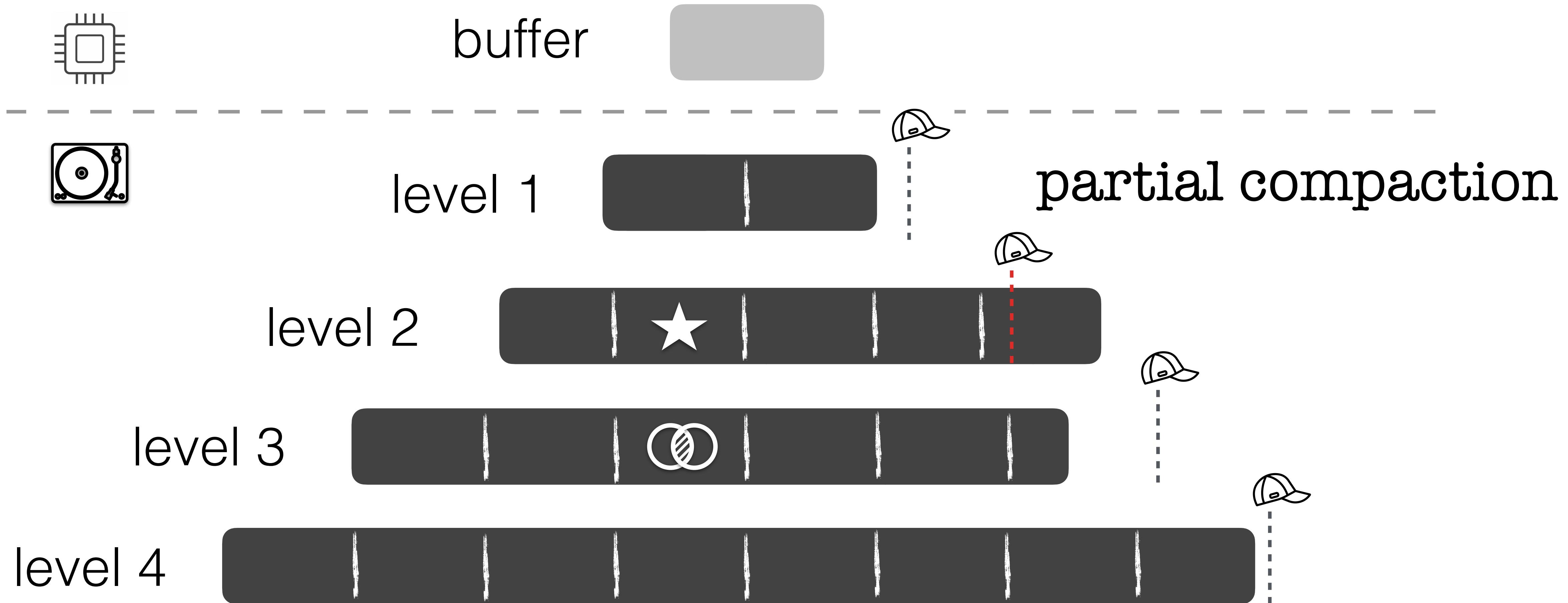


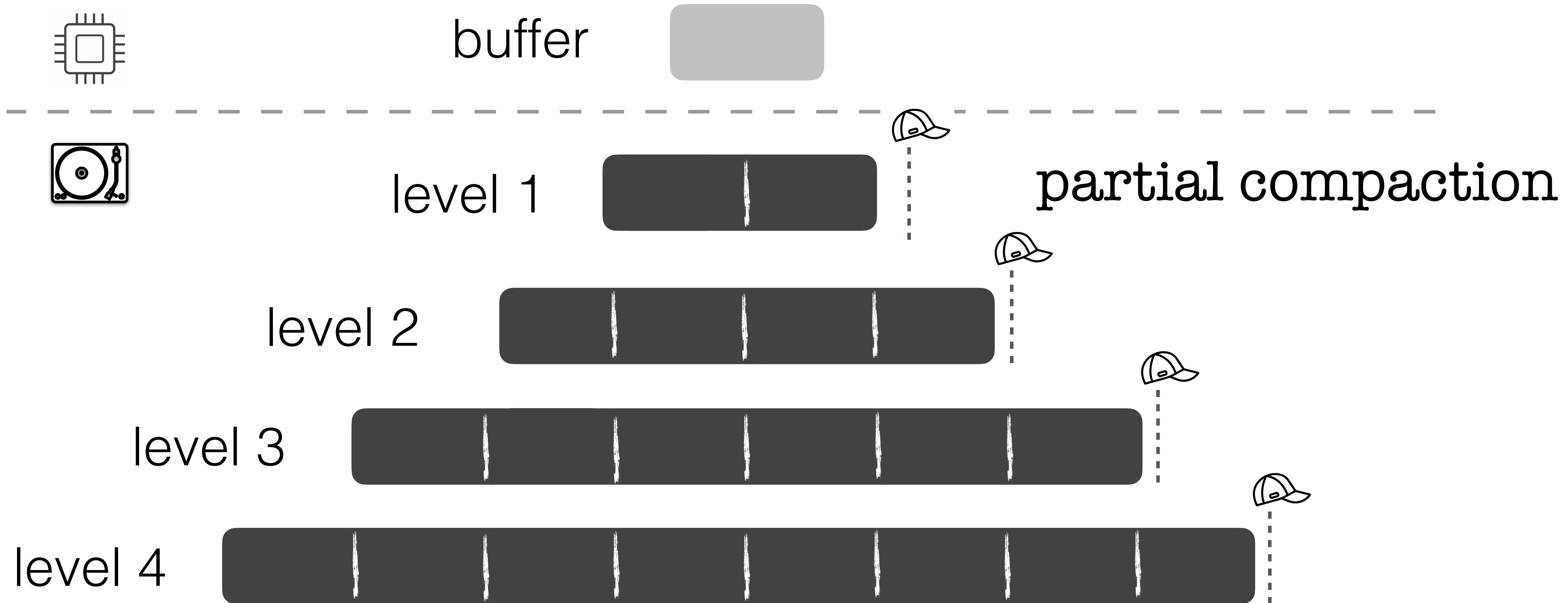


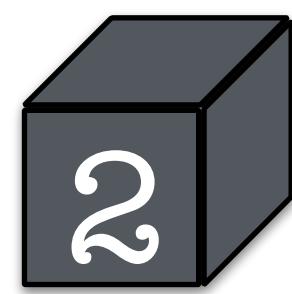






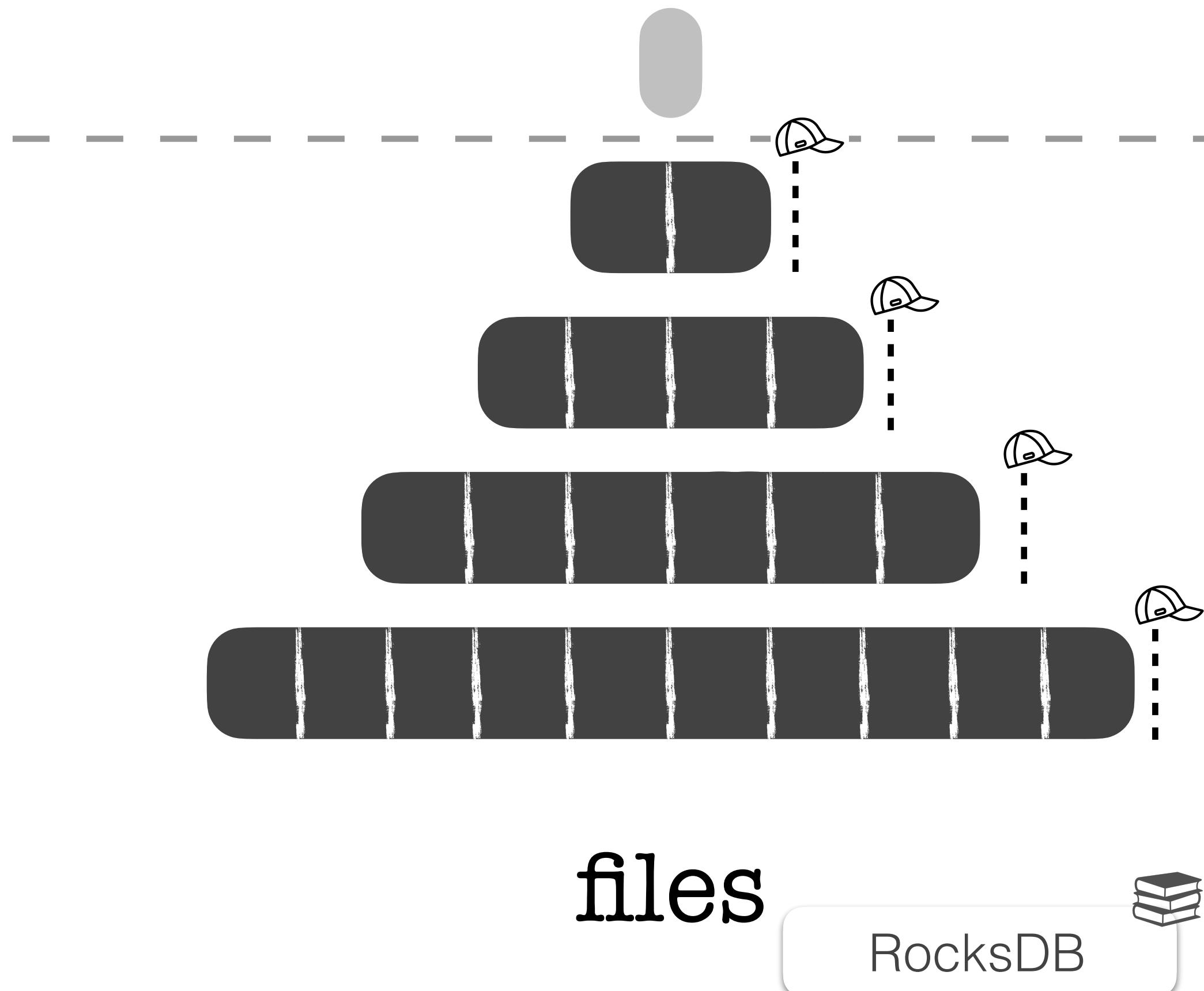






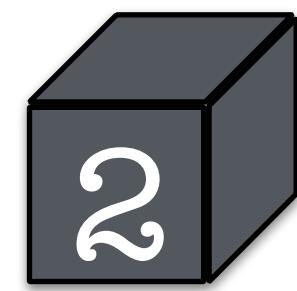
# Compaction Granularity

*data moved per compaction*



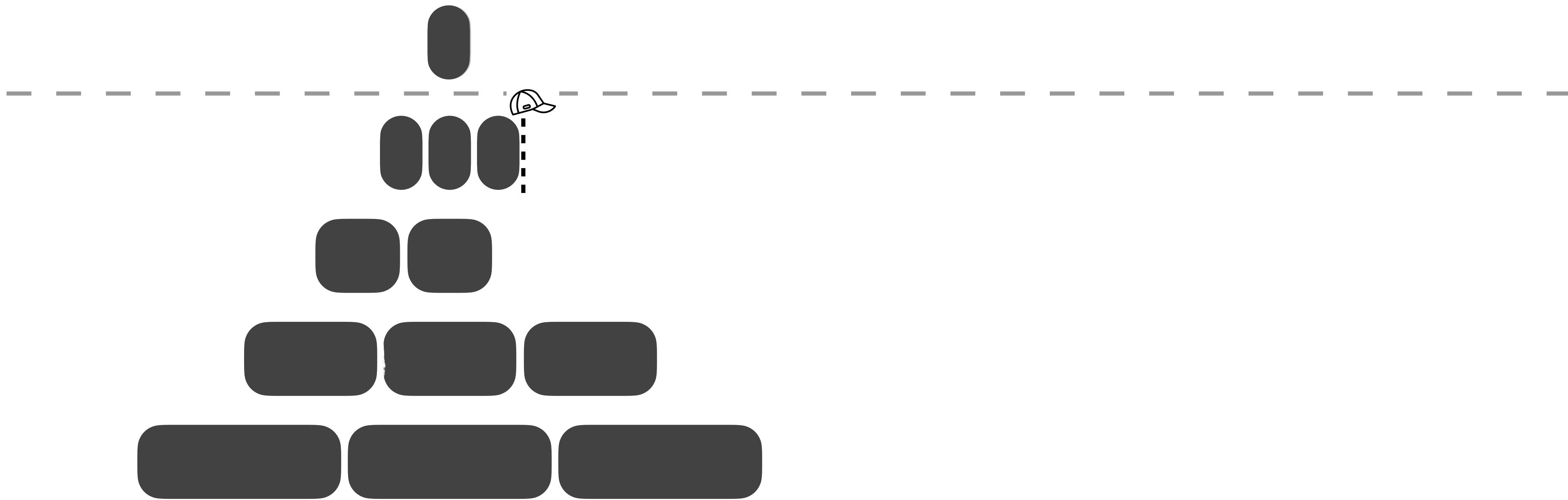
## partial compaction

- ~same data movement
- amortized cost for compactions
- predictable perf.

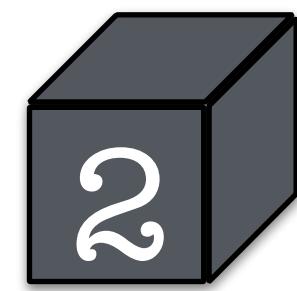


# Compaction Granularity

*data moved per compaction*

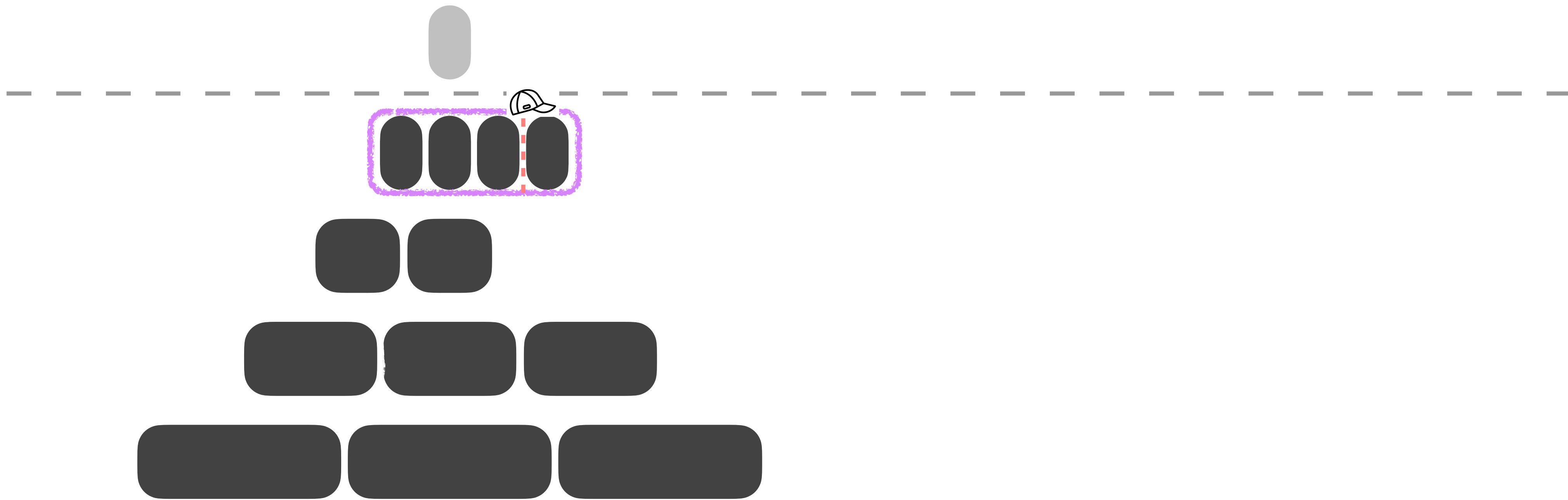


sorted runs in a level

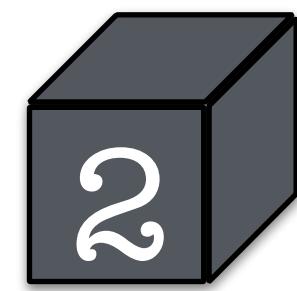


# Compaction Granularity

*data moved per compaction*

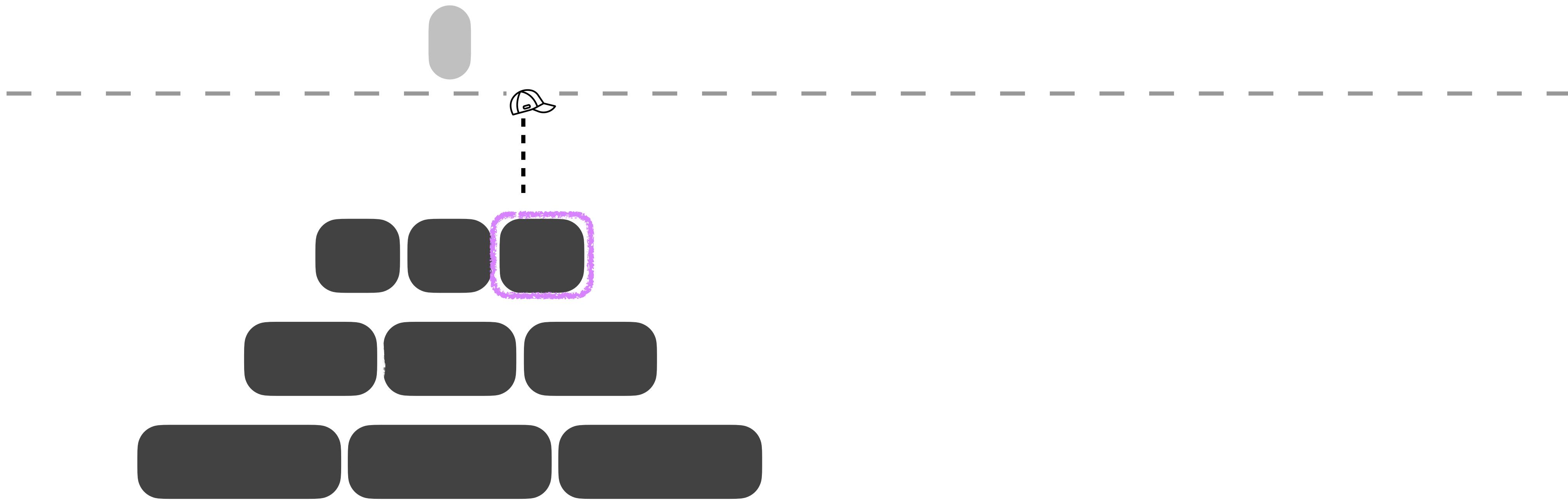


sorted runs in a level

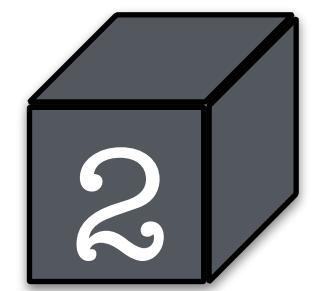


# Compaction Granularity

*data moved per compaction*

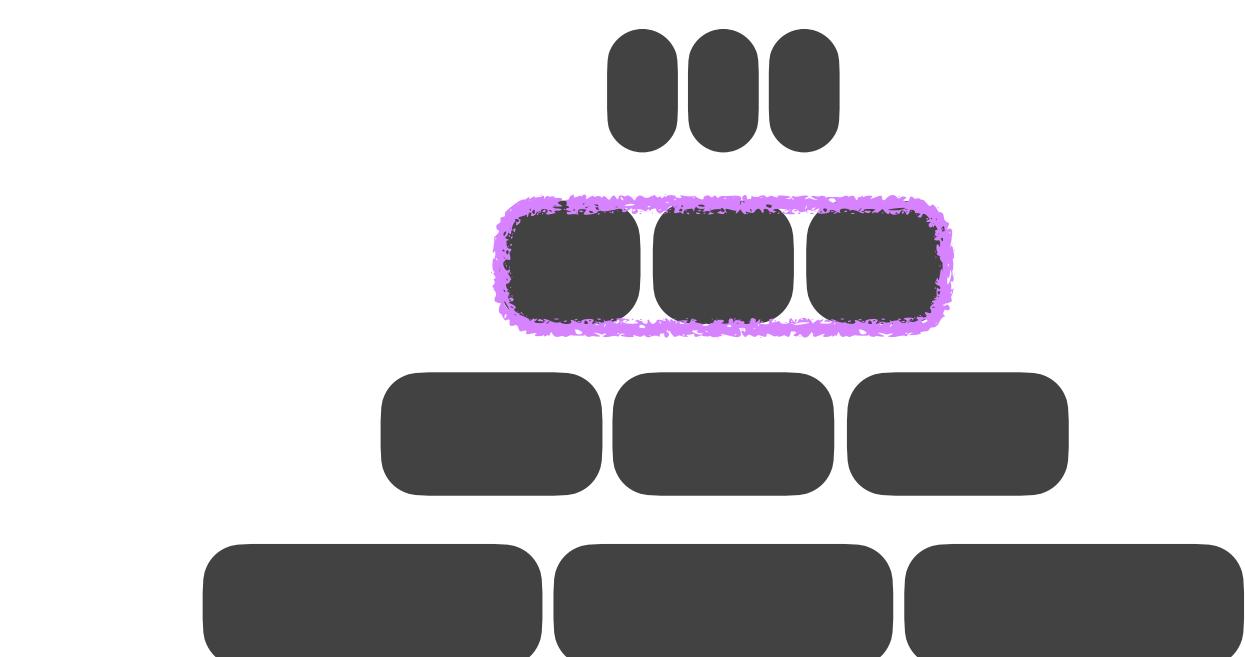
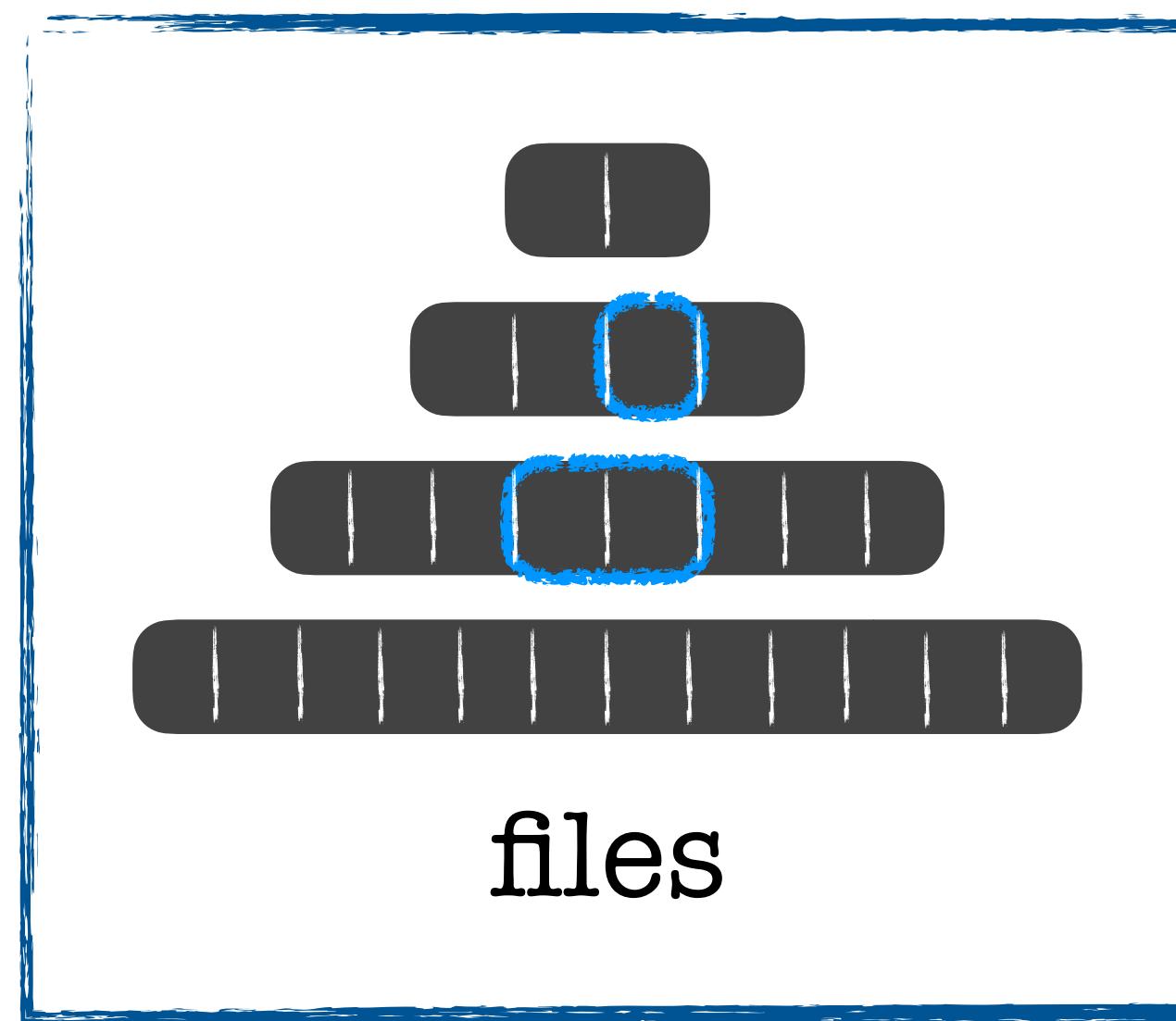
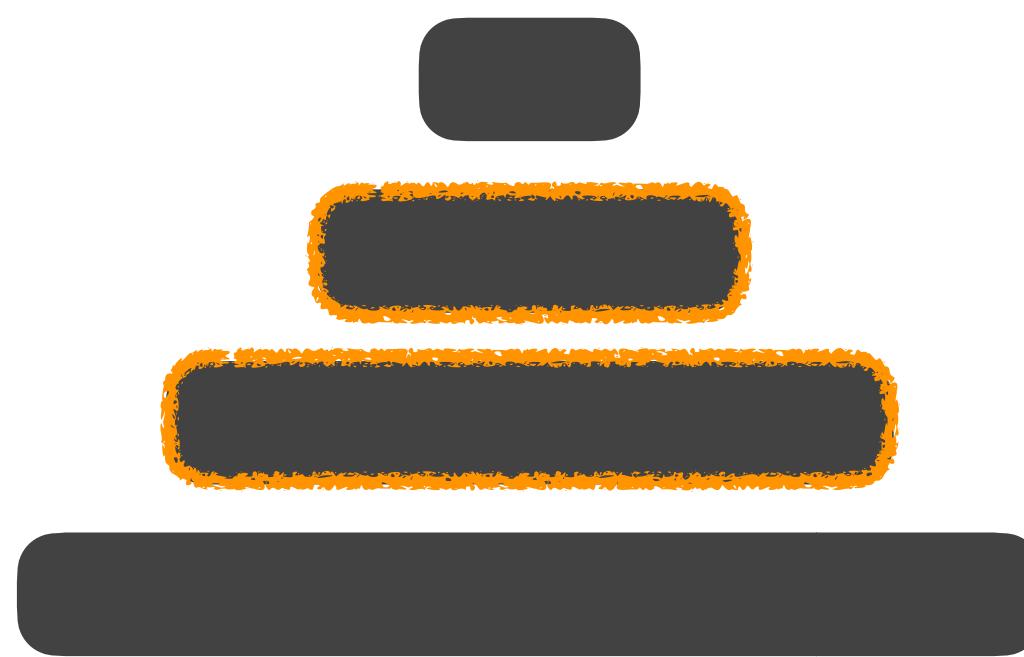


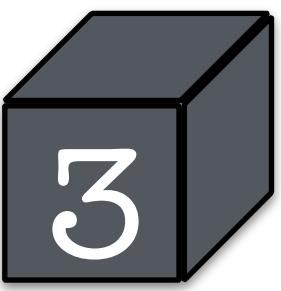
sorted runs in a level



# Compaction Granularity

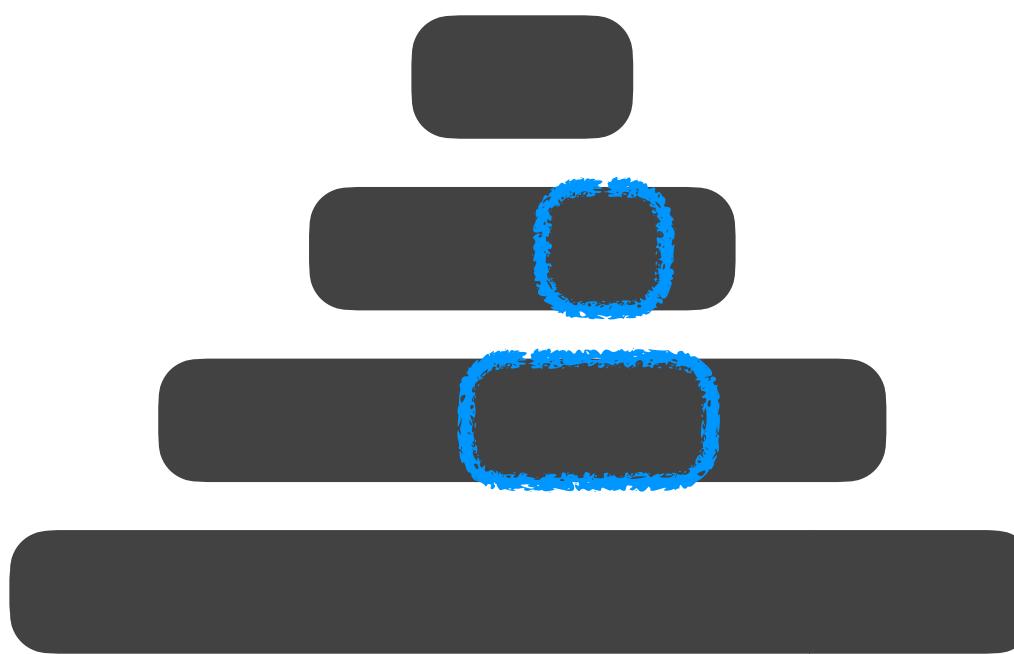
*data moved per compaction*



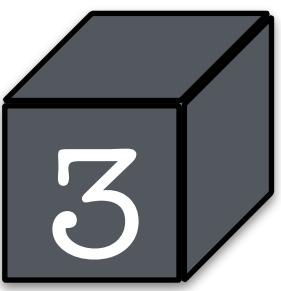


# Data Movement Policy

*which data to compact*

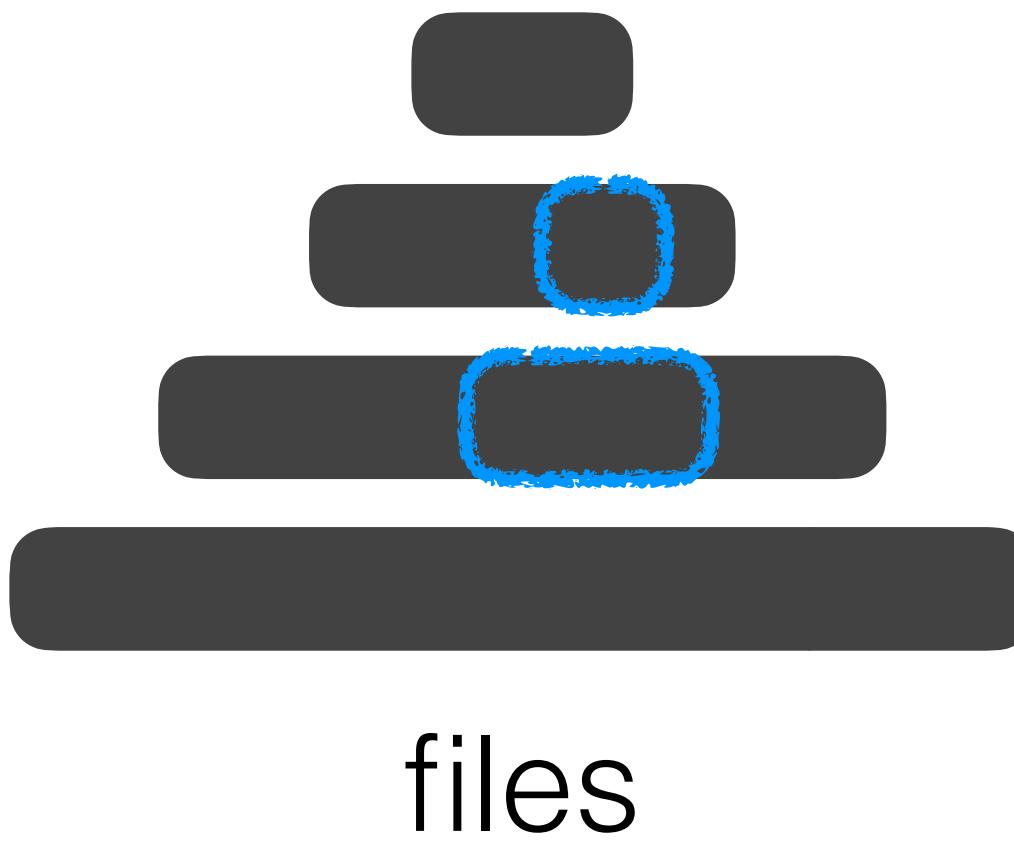


files



# Data Movement Policy

*which data to compact*



**round-robin**



minimum **overlap with parent level**



file with most **tombstones**



**coldest** file





# Compaction Trigger

*invoking the compaction routine*

level **saturation**

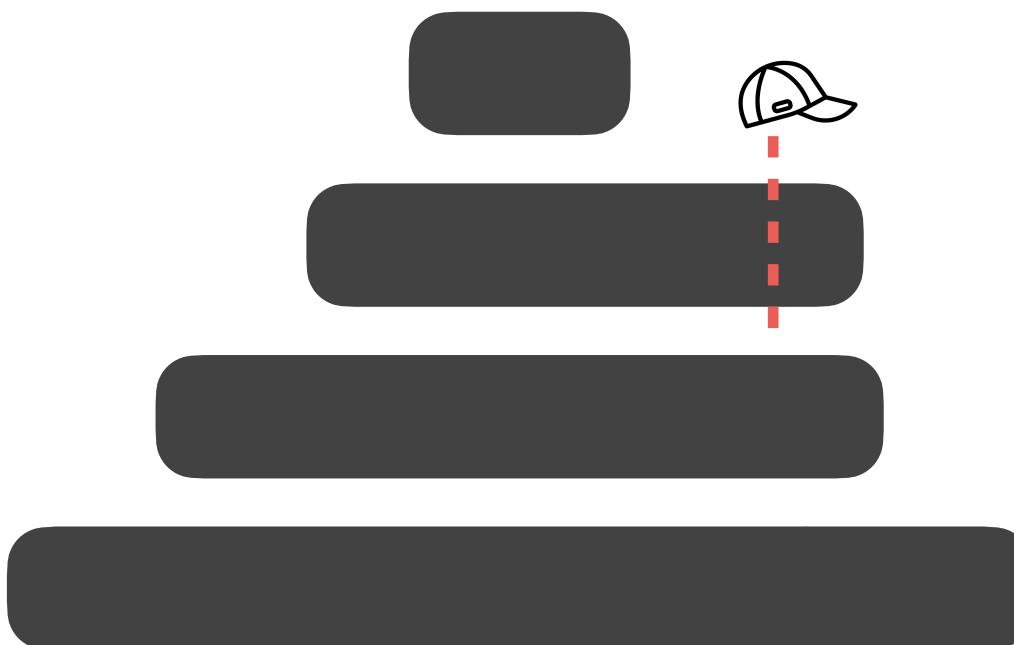


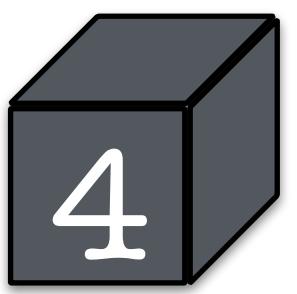


# Compaction Trigger

*invoking the compaction routine*

level **saturation**

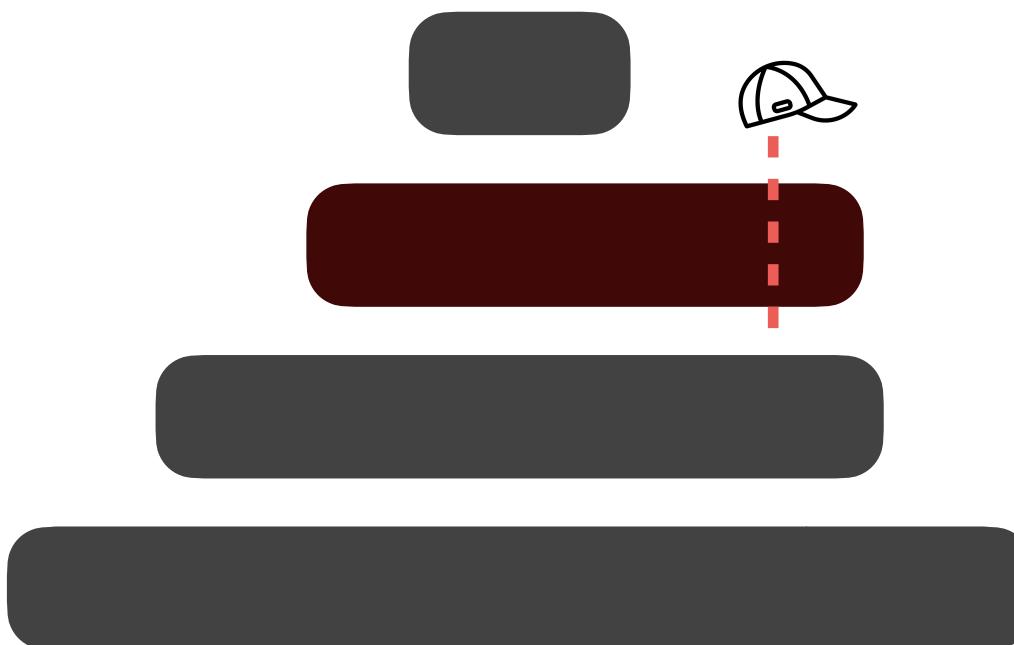




# Compaction Trigger

*invoking the compaction routine*

level **saturation**

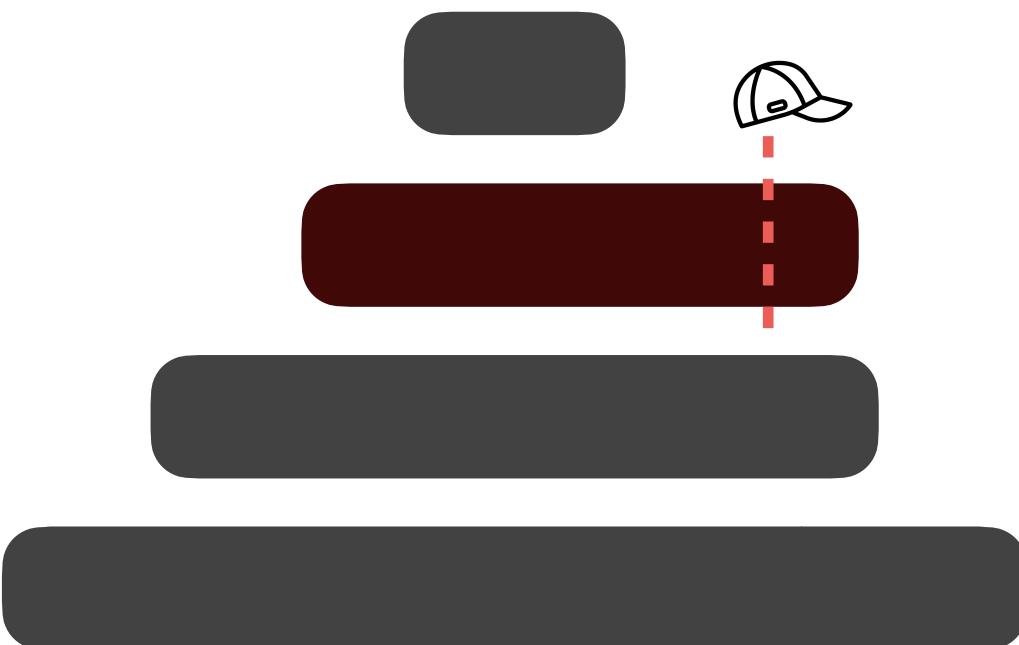




# Compaction Trigger

*invoking the compaction routine*

level **saturation**



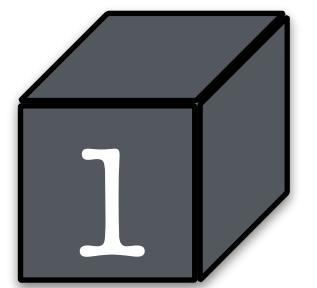
number of **sorted runs**

**space amplification**

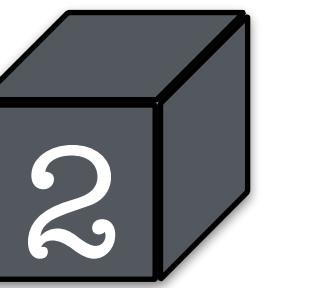
**age** of a file

SA

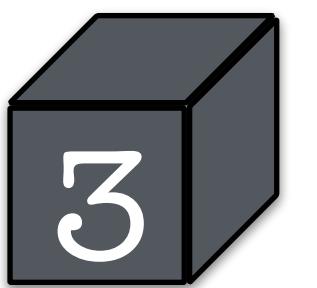
De



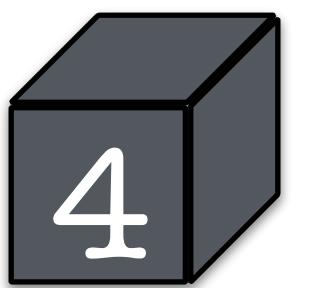
Data Layout



Compaction  
Granularity



Data Movement  
Policy



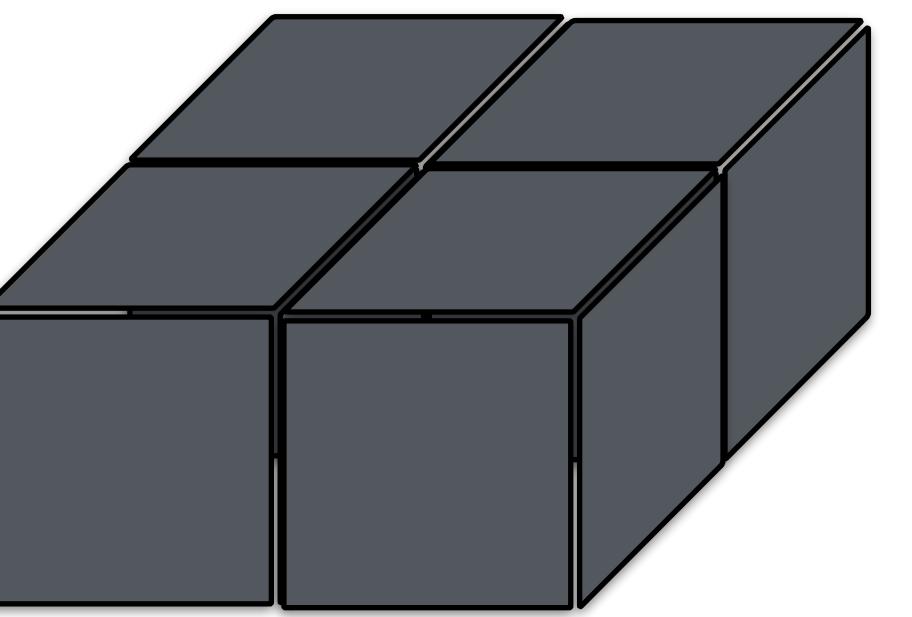
Compaction  
Trigger

Data Layout

Compaction  
Granularity

Data Movement  
Policy

Compaction  
Trigger



***Any Compaction Algorithm***

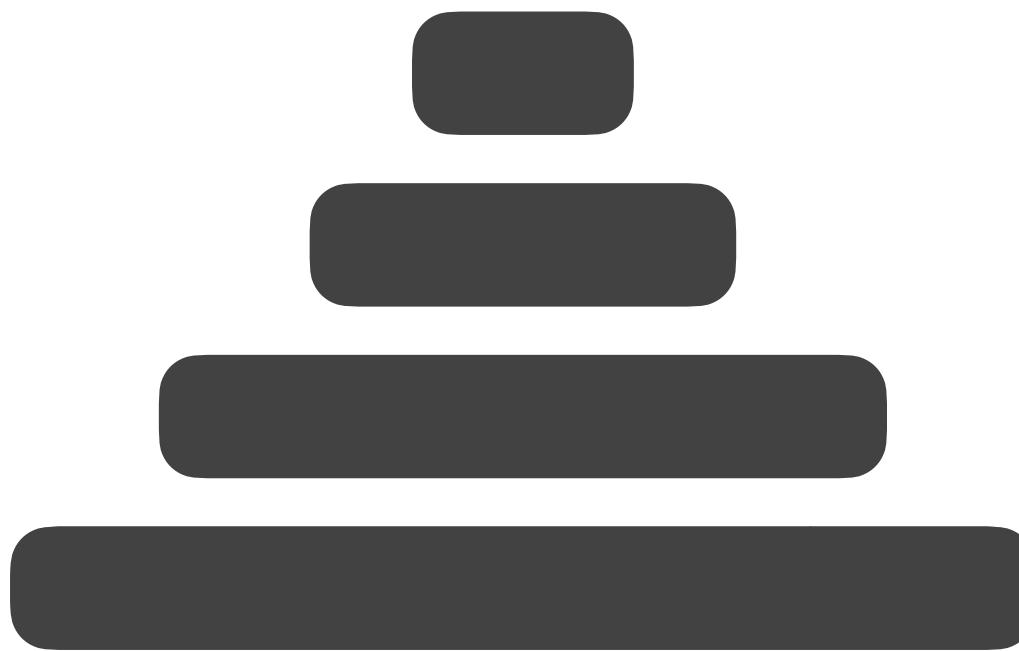


SarkarVLDB21

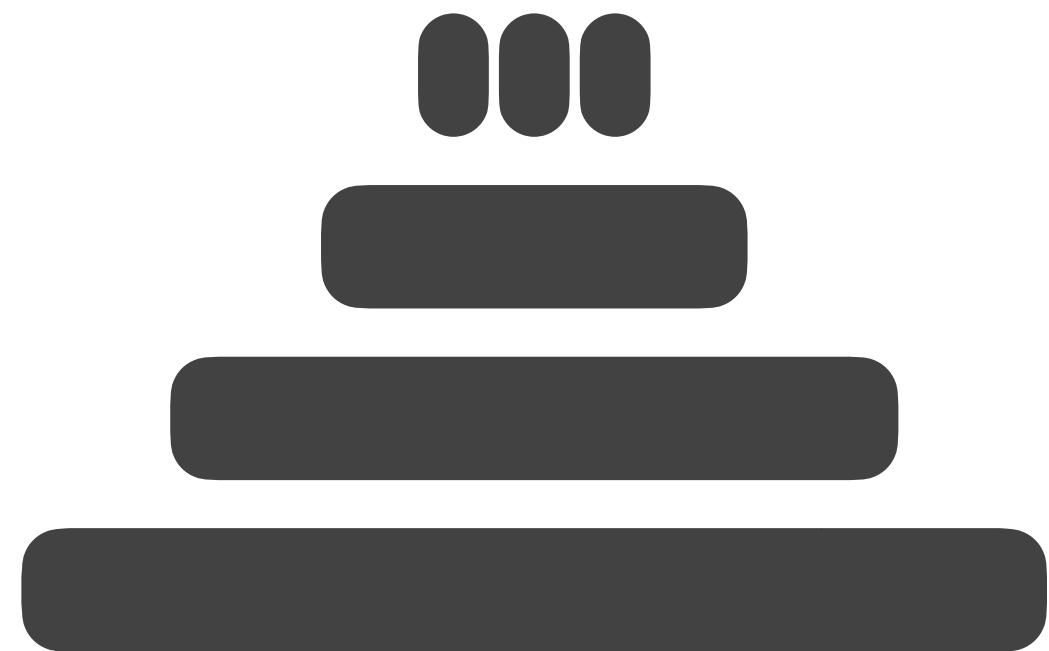
Database	Data layout	Compaction Trigger				Compaction Granularity		Data Movement Policy									
		Level saturation	#Sorted runs	File staleness	Space amp.	Tombstone-TTL	Level	Sorted run	File (single)	File (multiple)	Round-robin	Least overlap (+1)	Least overlap (+2)	Coldest file	Oldest file	Tombstone density	Expired TS-TTL
RocksDB [30], Monkey [22]	Leveling / 1-Leveling Tiering	✓	✓					✓	✓		✓	✓	✓	✓	✓	✓	✓
LevelDB [32], Monkey (J.) [21]	Leveling	✓						✓			✓	✓	✓				
SlimDB [47]	Tiering	✓						✓	✓							✓	
Dostoevsky [23]	L-leveling	✓ <sup>L</sup>	✓ <sup>T</sup>					✓ <sup>L</sup>	✓ <sup>T</sup>		✓ <sup>L</sup>					✓ <sup>T</sup>	
LSM-Bush [24]	Hybrid leveling	✓ <sup>L</sup>	✓ <sup>T</sup>					✓ <sup>L</sup>	✓ <sup>T</sup>		✓ <sup>L</sup>					✓ <sup>T</sup>	
Lethe [51]	Leveling	✓		✓				✓	✓		✓					✓	
Silk [11], Silk+ [12]	Leveling	✓						✓	✓		✓						
HyperLevelDB [35]	Leveling	✓						✓			✓	✓	✓				
PebblesDB [46]	Hybrid leveling	✓						✓	✓							✓	
Cassandra [8]	Tiering		✓	✓	✓	✓		✓								✓	✓
	Leveling	✓		✓				✓	✓		✓				✓	✓	✓
WiredTiger [62]	Leveling	✓					✓									✓	
X-Engine [34], Leaper [63]	Hybrid leveling	✓						✓	✓		✓				✓	✓	
HBase [7]	Tiering		✓					✓								✓	
AsterixDB [3]	Leveling	✓					✓									✓	
	Tiering	✓					✓									✓	

# Storage Layer Design Continuum

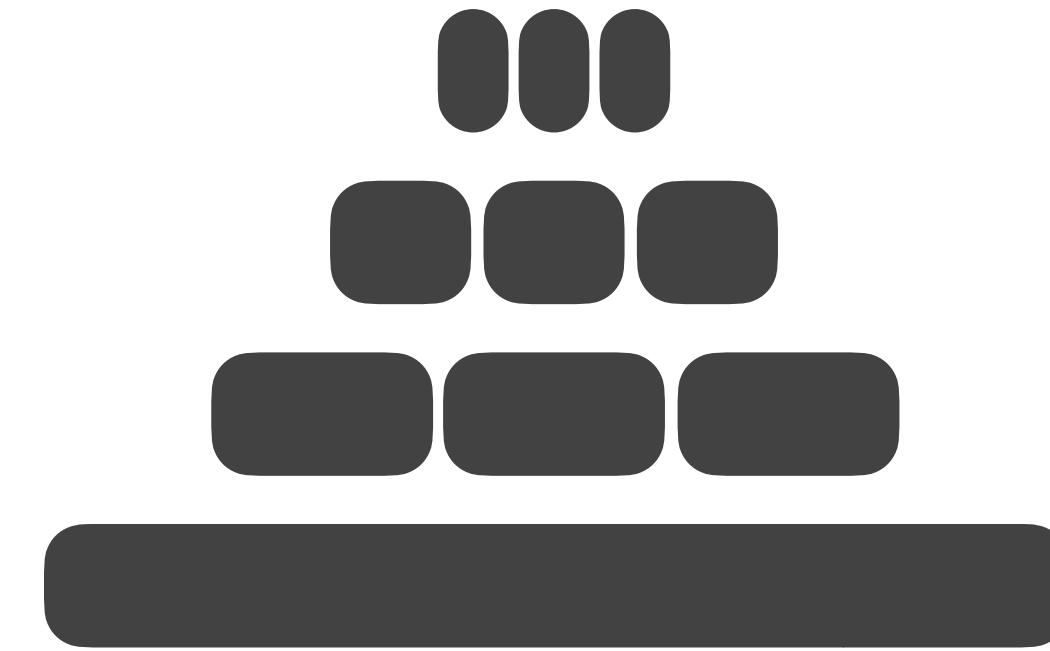
leveling



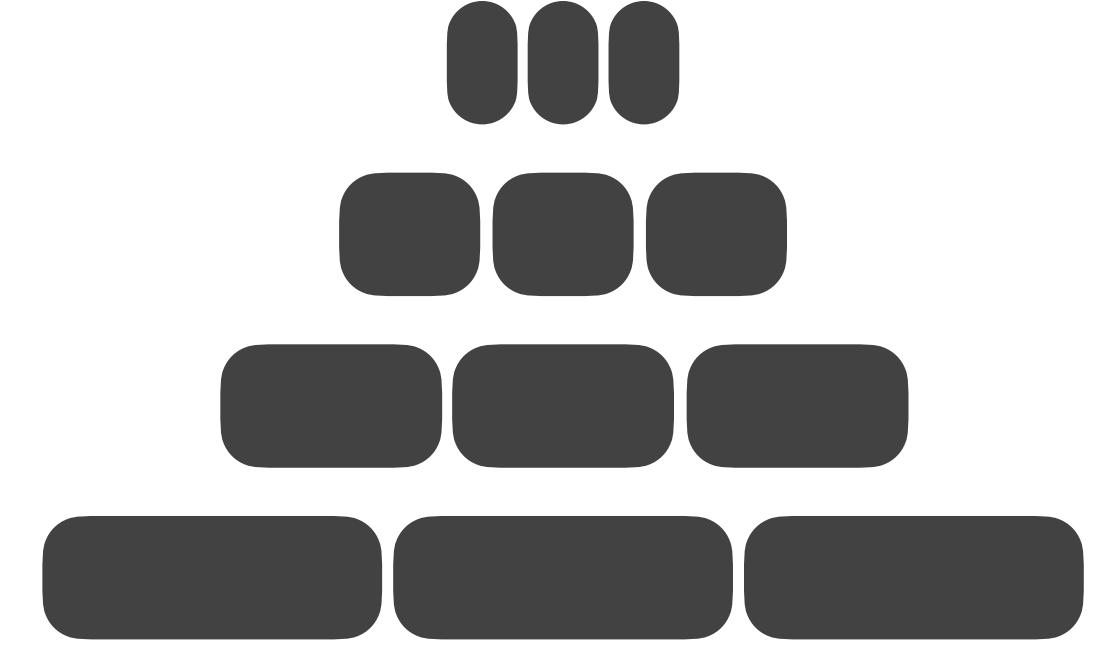
1-leveling



L-leveling



tiering



Any design can be defined by the tuple-set:  $(T, i)$

# Storage Layer Design Continuum

leveling

1-leveling

L-leveling

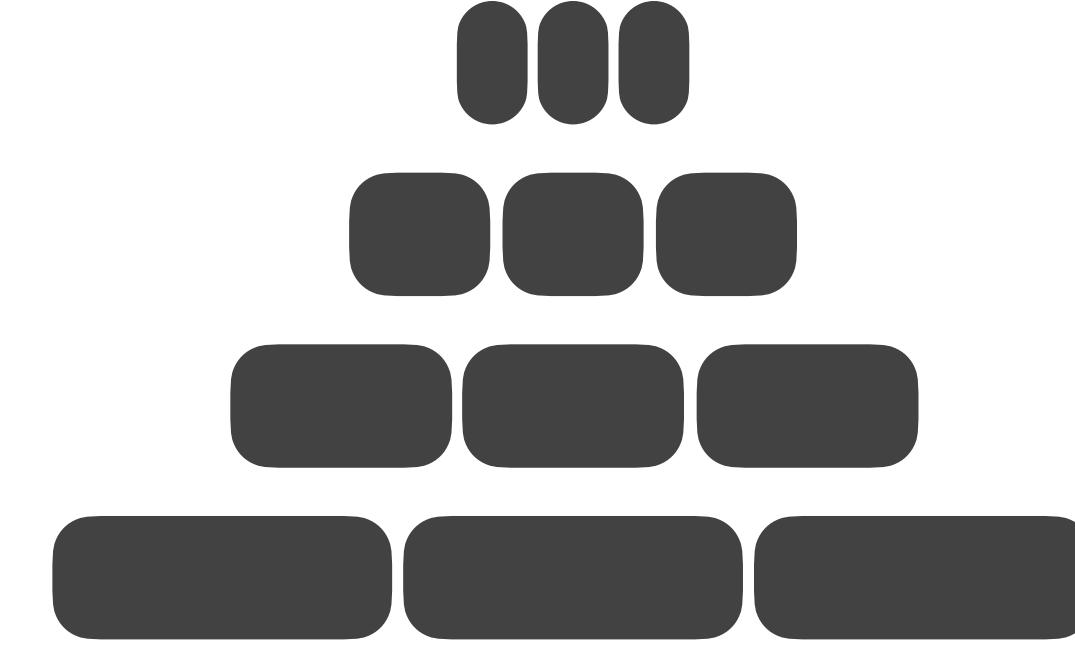
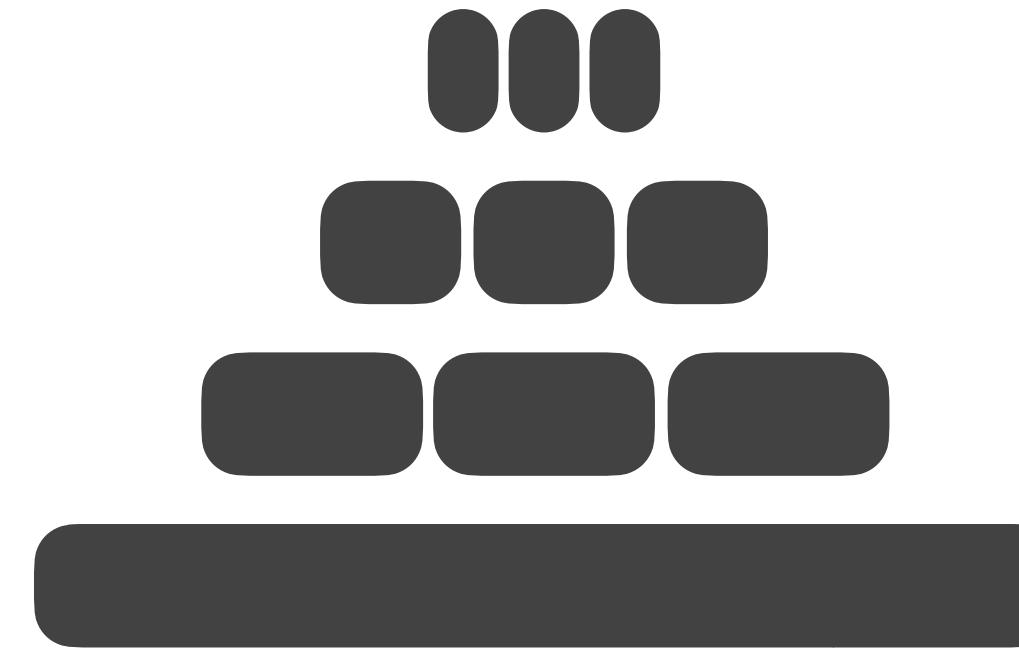
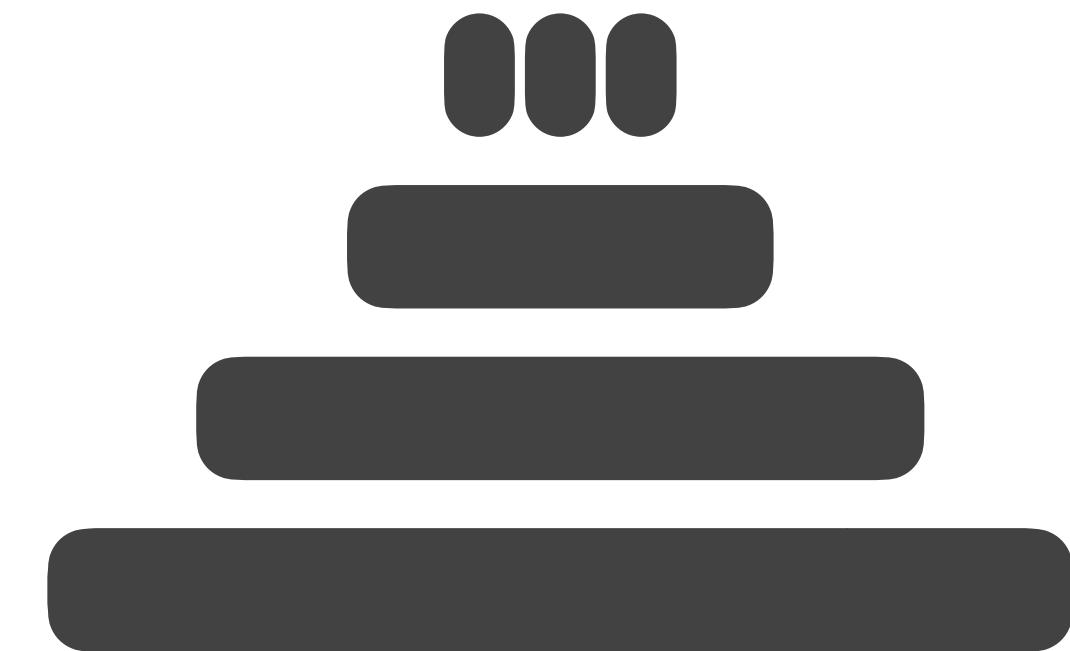
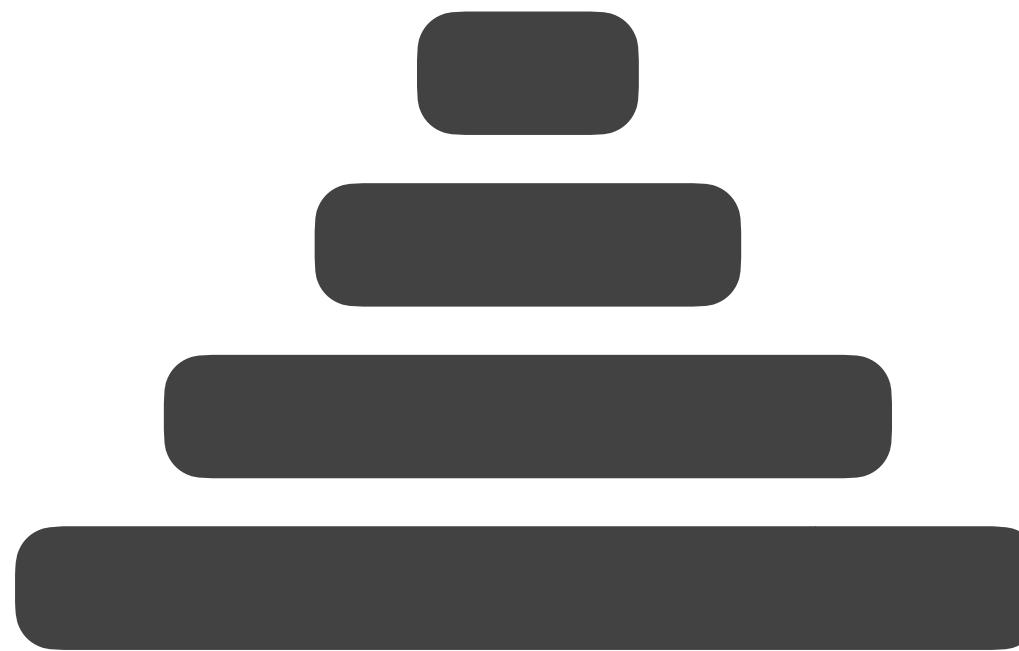
tiering

$(T, 0)$

$(T, 1)$

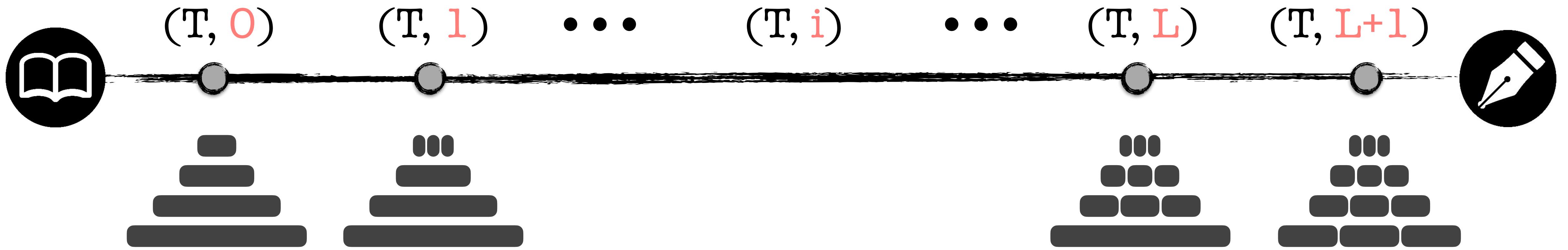
$(T, L)$

$(T, L+1)$

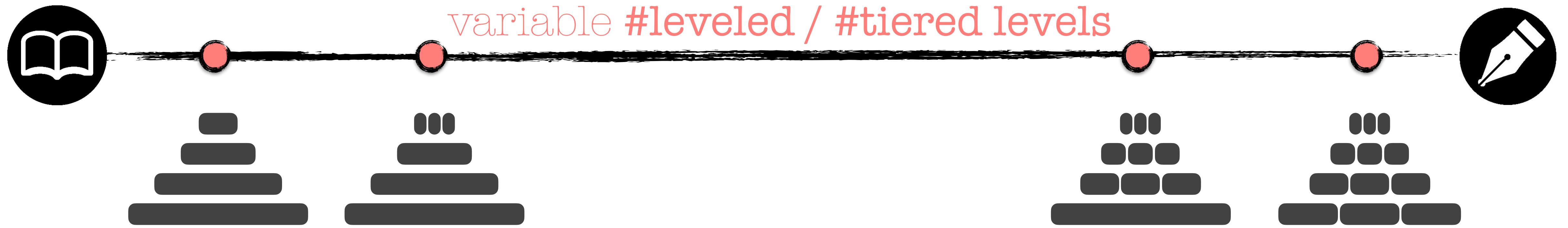


Any design can be defined by the tuple-set:  $(T, i)$

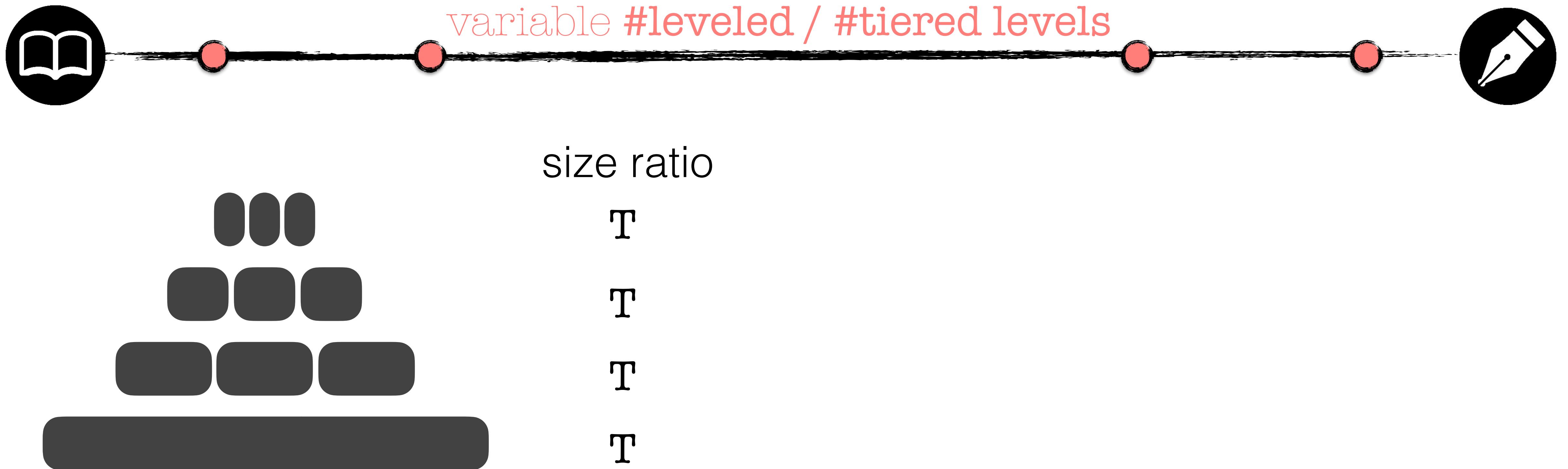
# Storage Layer Design Continuum



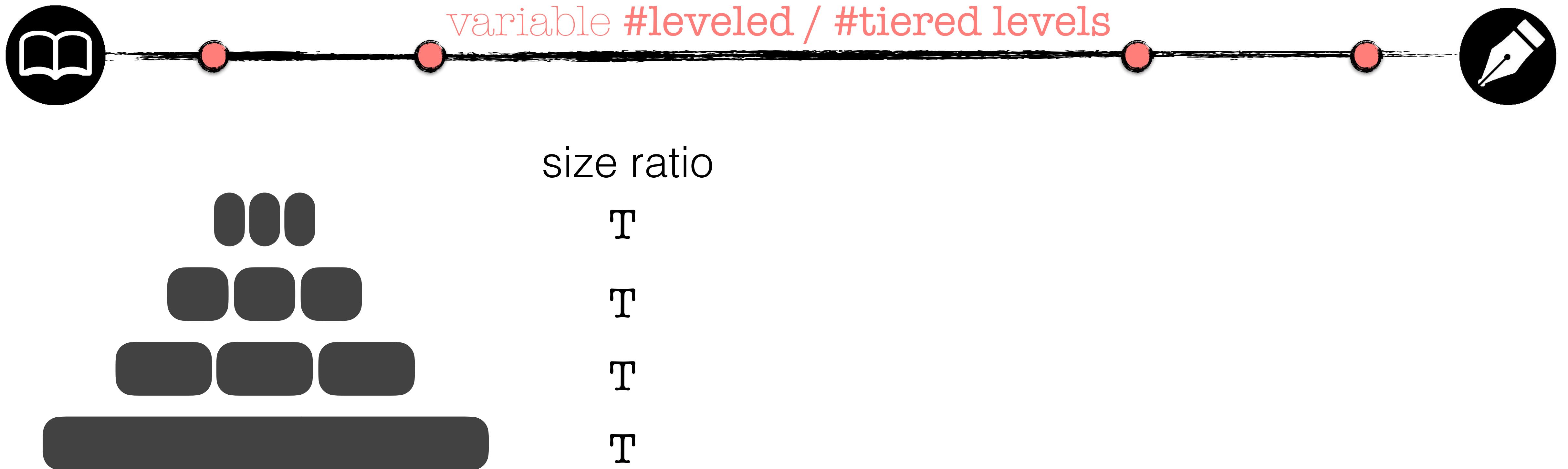
# Storage Layer Design Continuum



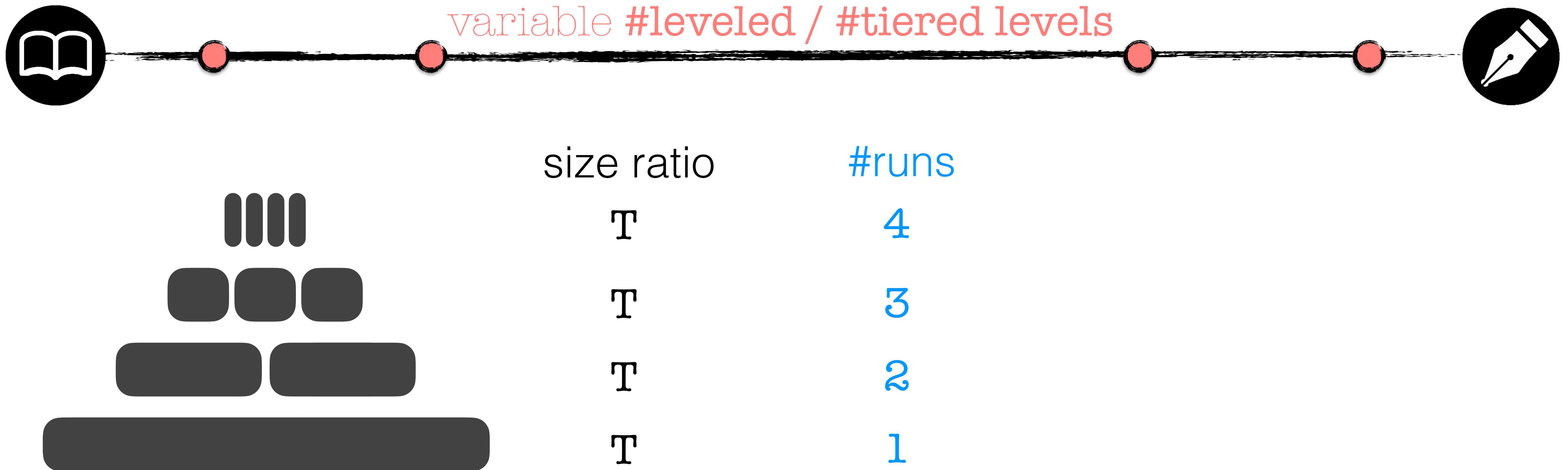
# Storage Layer Design Continuum



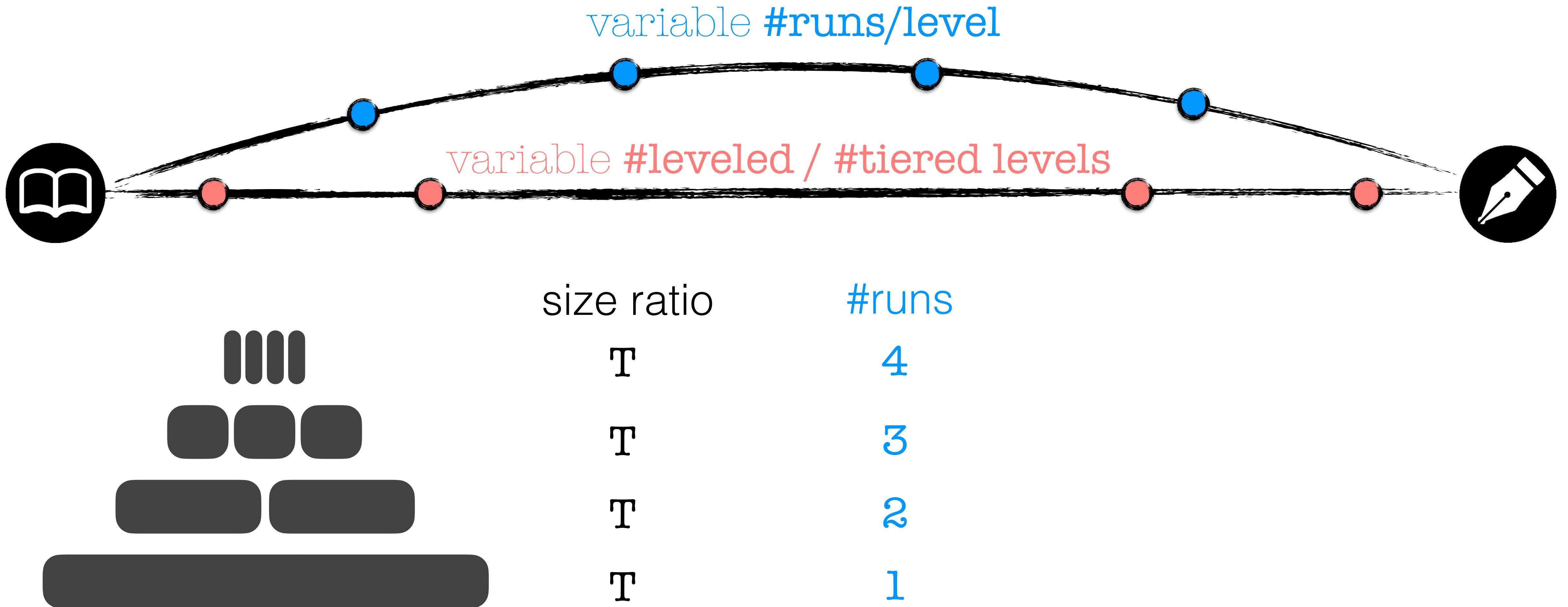
# Storage Layer Design Continuum



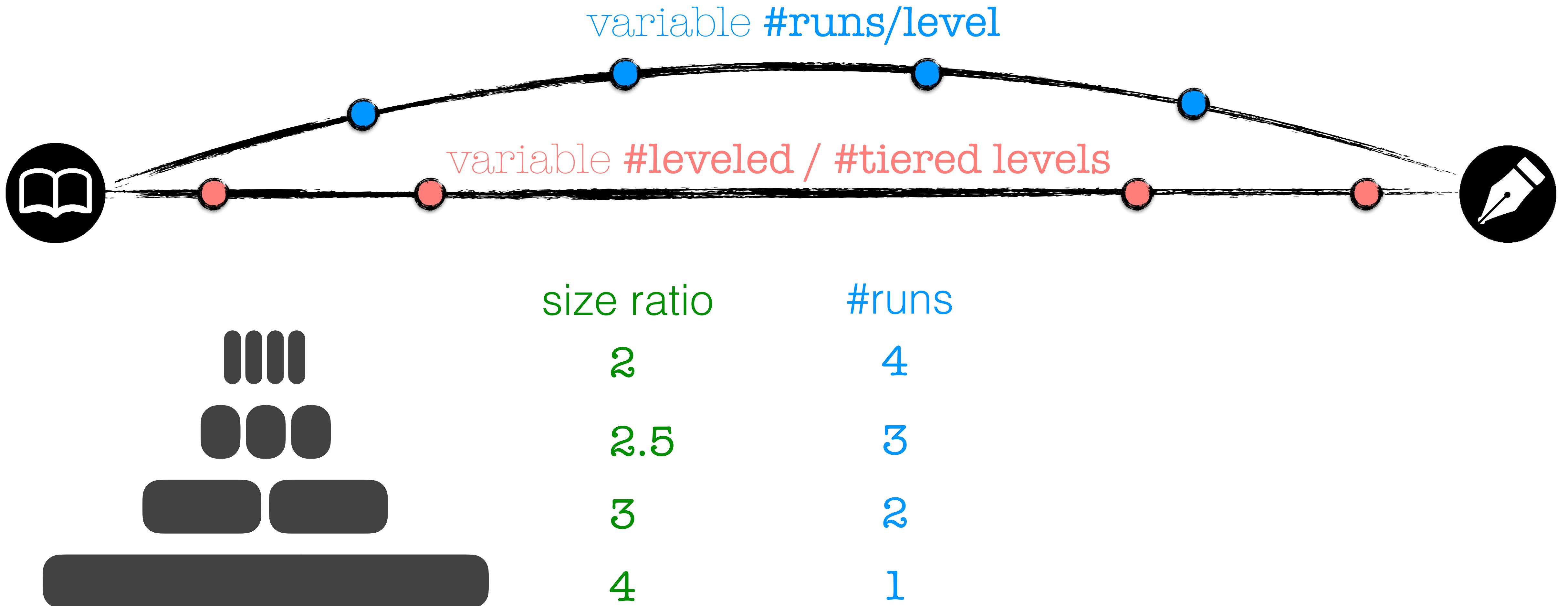
# Storage Layer Design Continuum



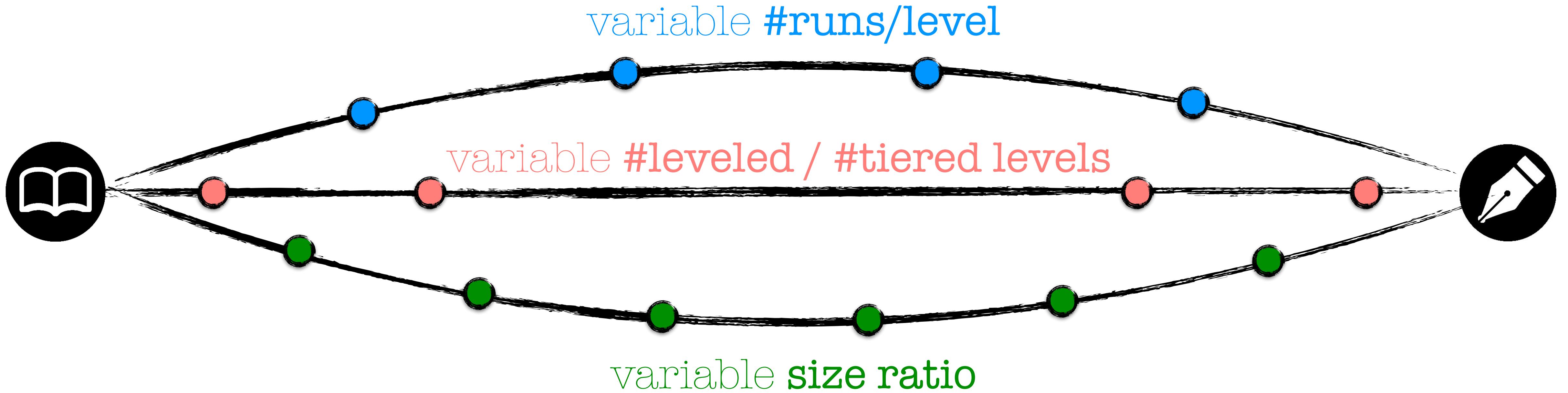
# Storage Layer Design Continuum



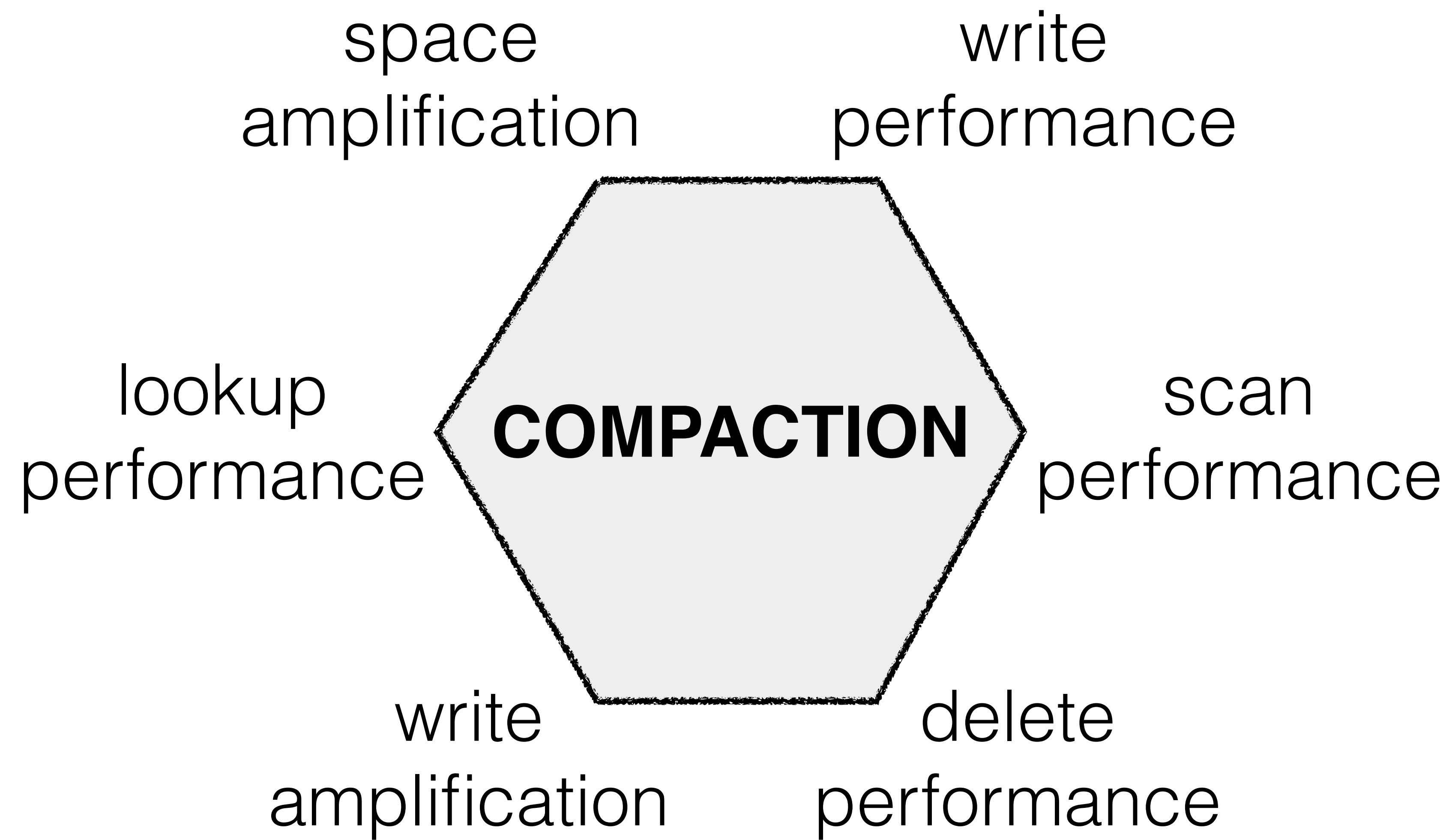
# Storage Layer Design Continuum



# Storage Layer Design Continuum

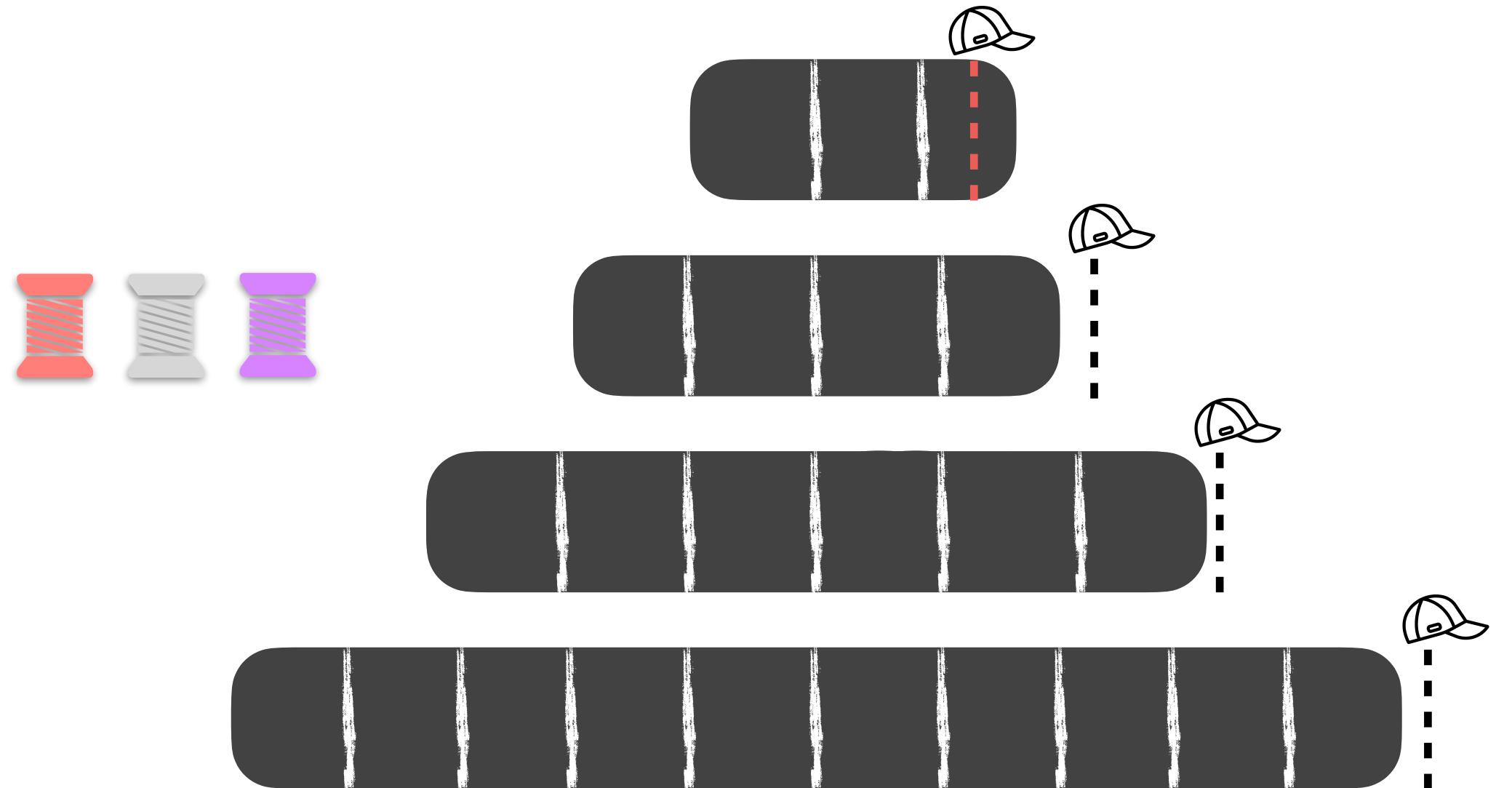


The LSM storage layer  
design continuum



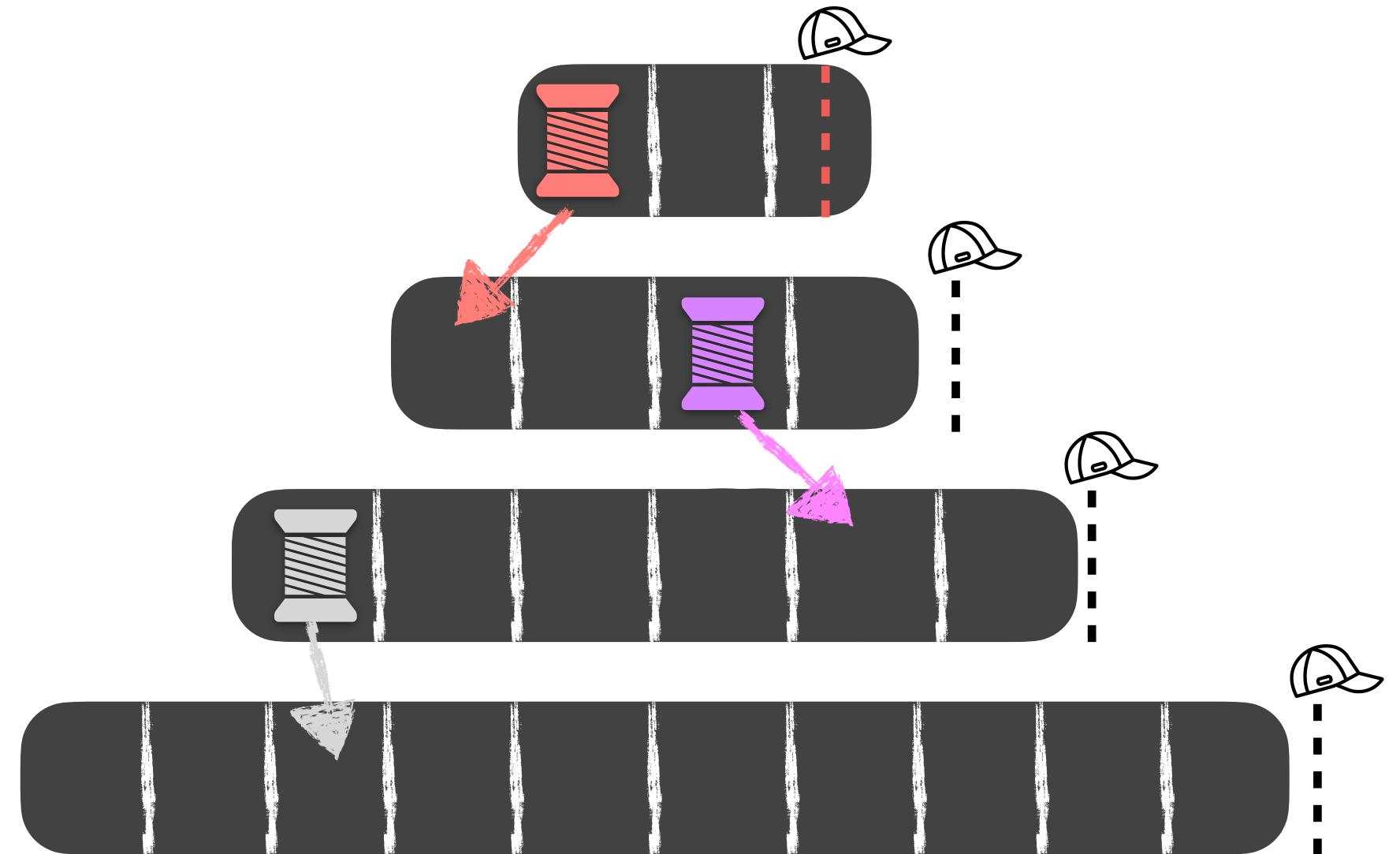
# Optimizing Compactions

Background  
Compactions



# Optimizing Compactions

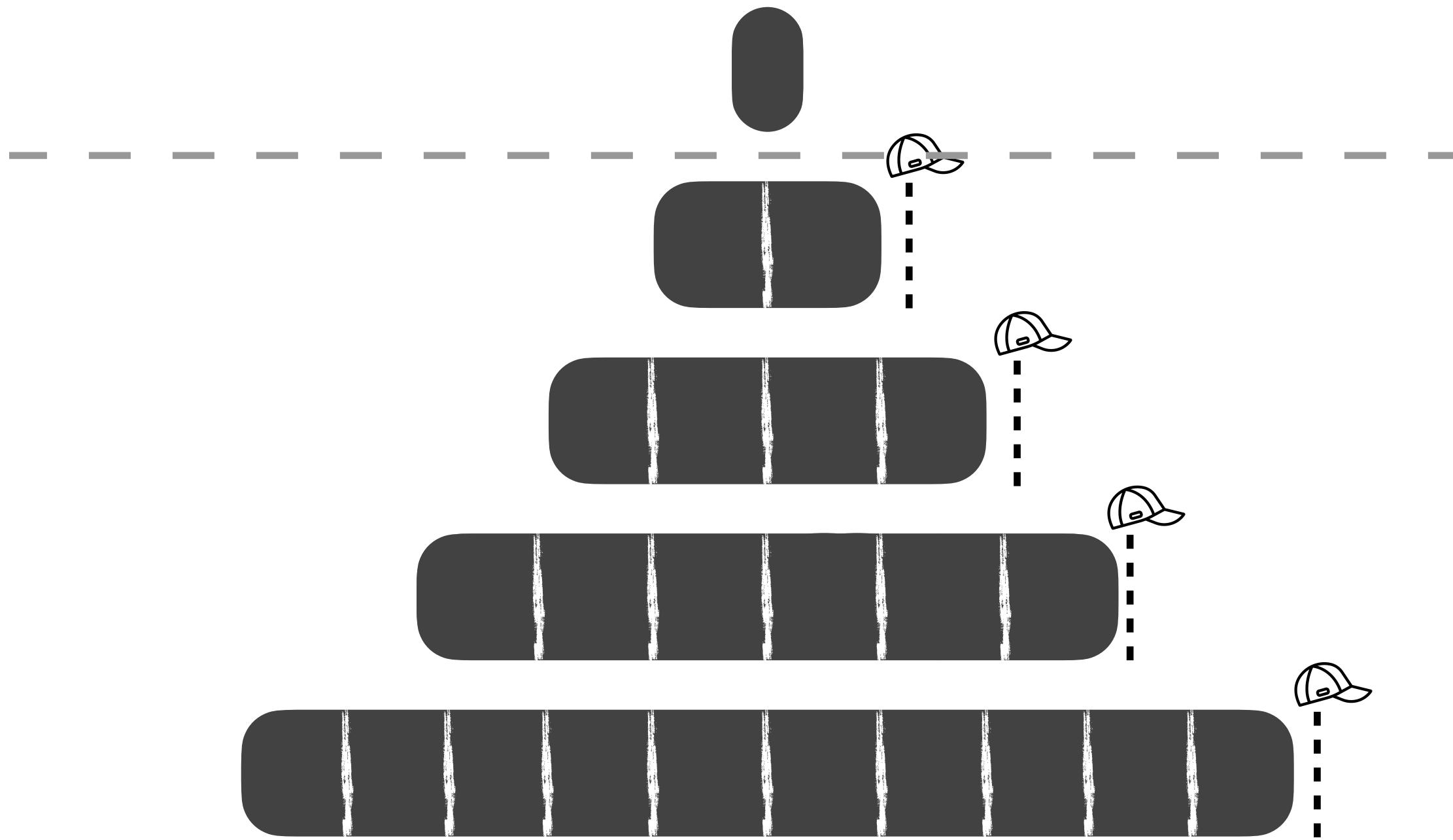
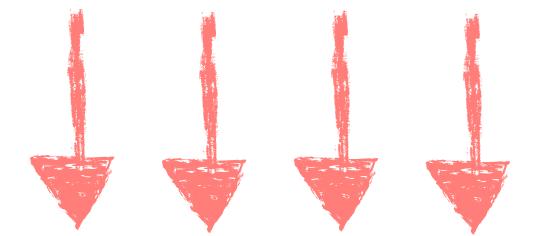
## Background Compactions



- non-blocking reads/writes
- improves write throughput

# Optimizing Compactions

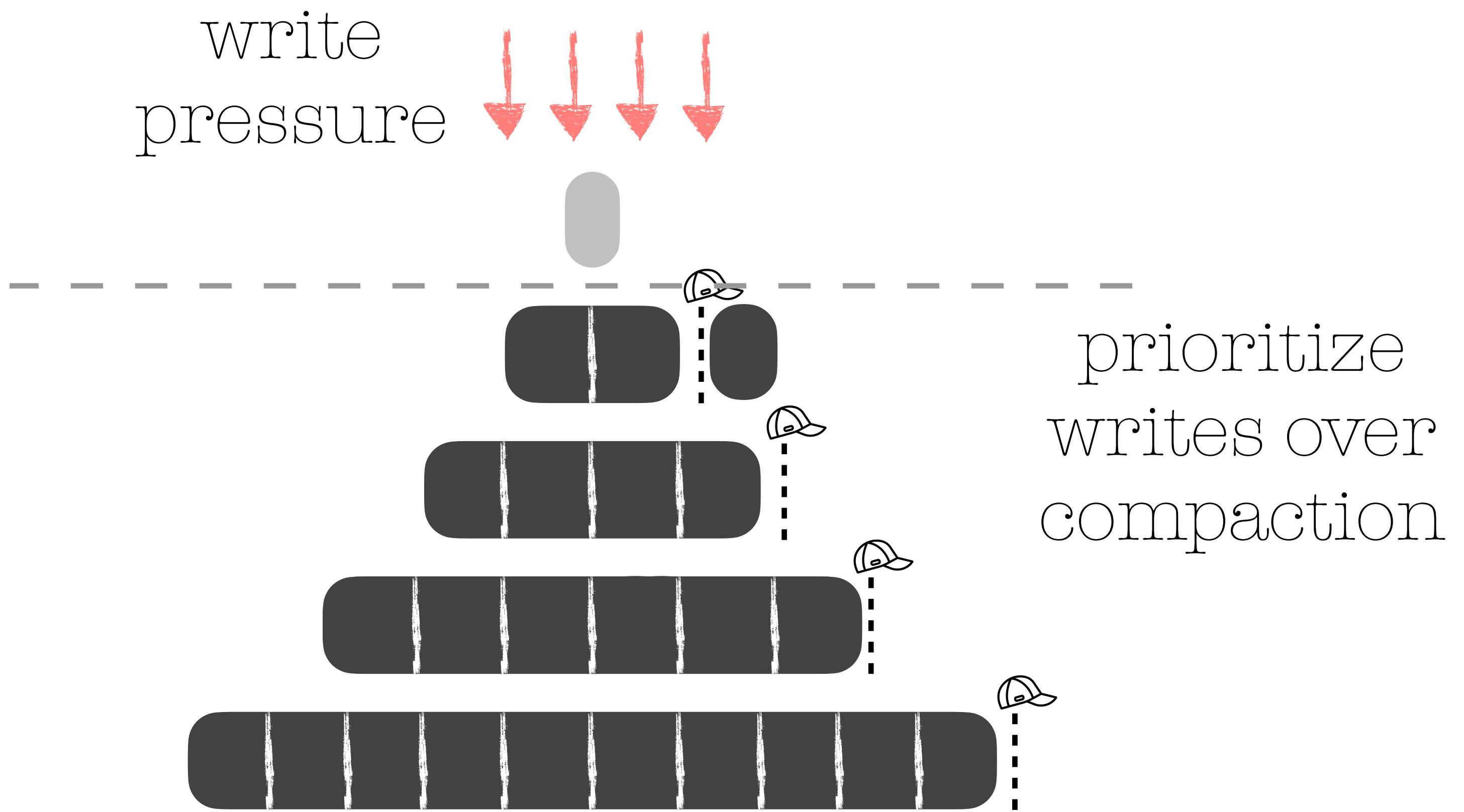
write  
pressure



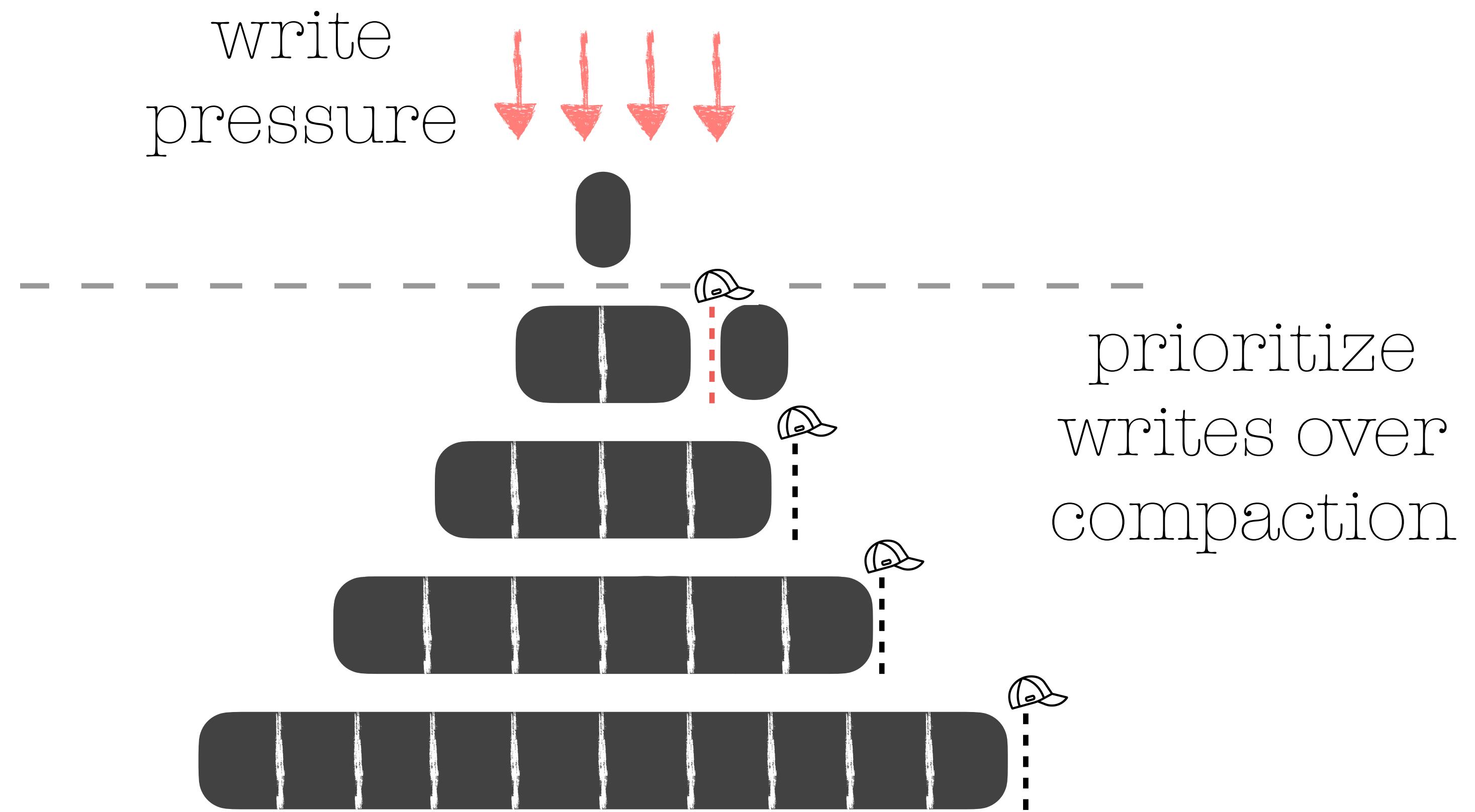
Background  
Compactions

Compaction  
Priority

# Optimizing Compactions



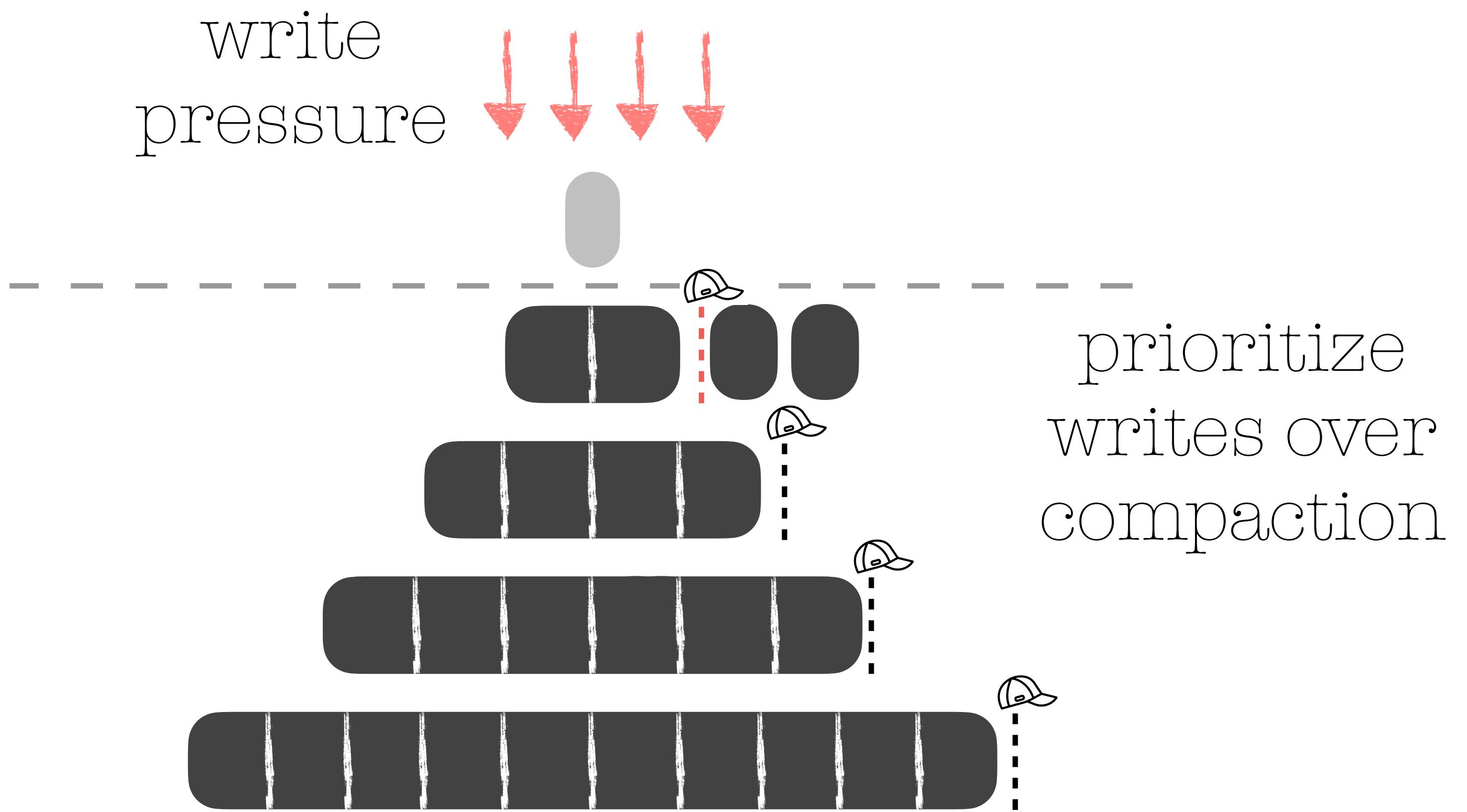
# Optimizing Compactions



Background  
Compactions

Compaction  
Priority

# Optimizing Compactions

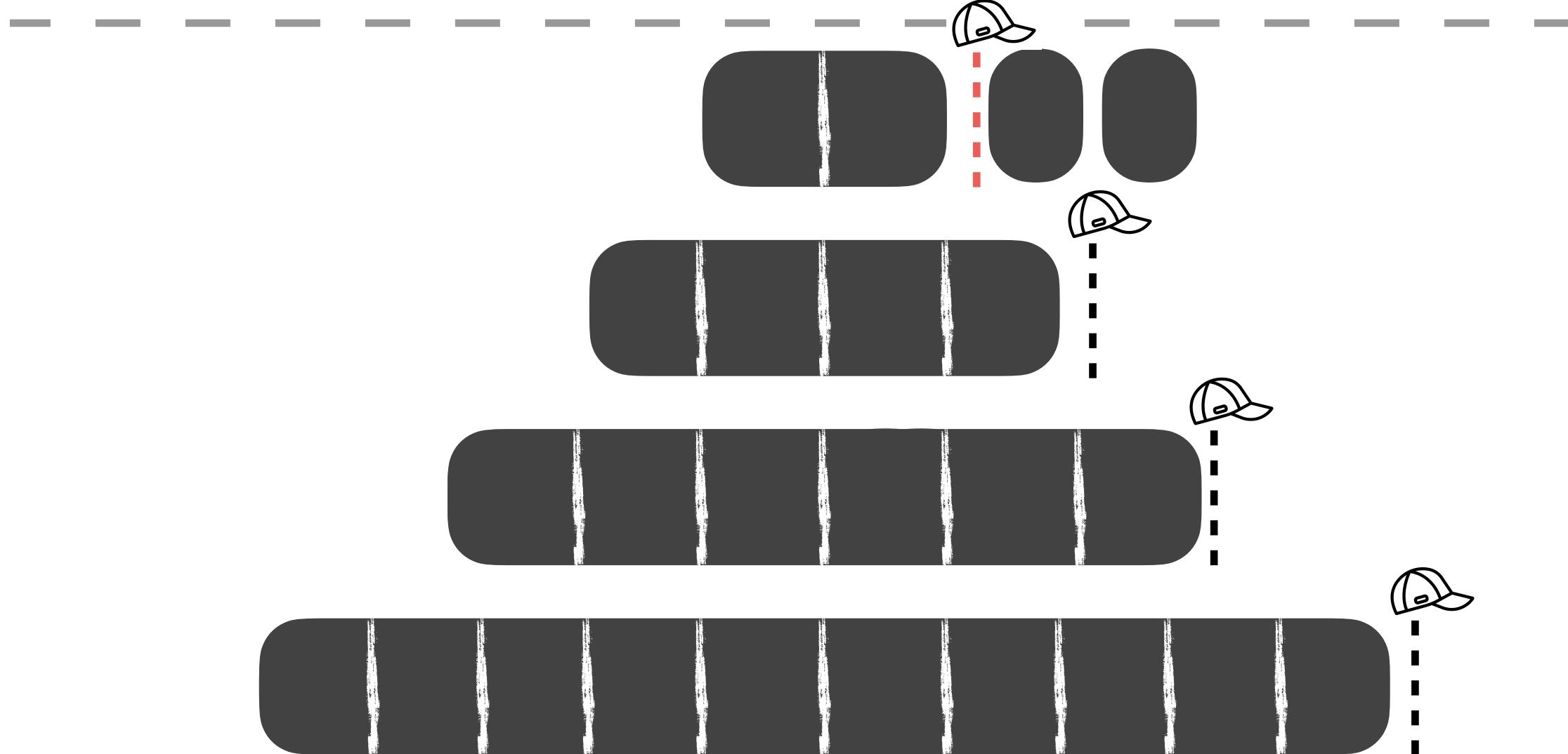
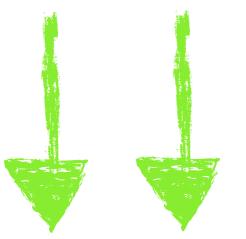


Background  
Compactions

Compaction  
Priority

# Optimizing Compactions

write  
pressure

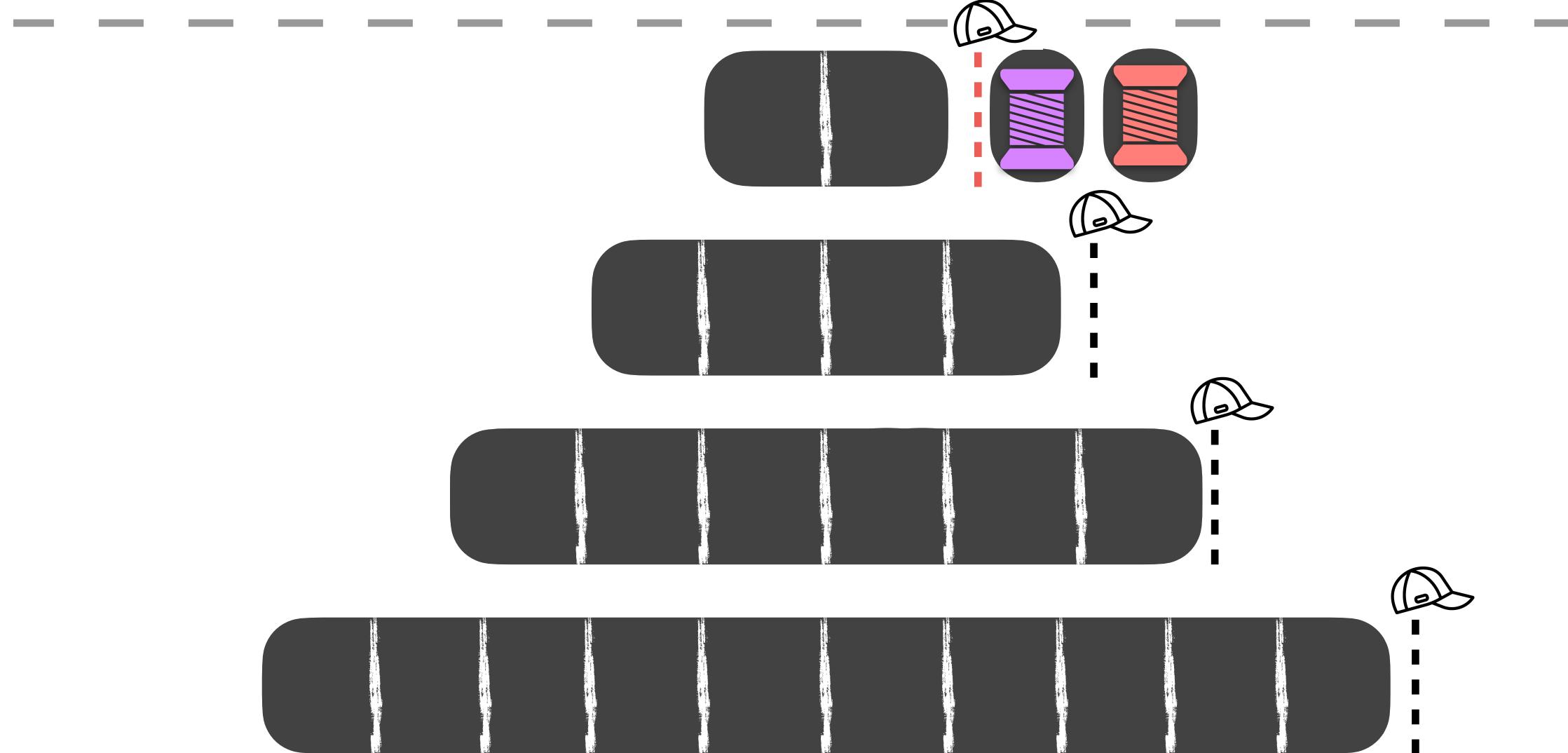
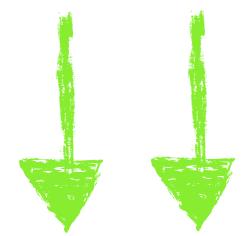


Background  
Compactions

Compaction  
Priority

# Optimizing Compactions

write  
pressure

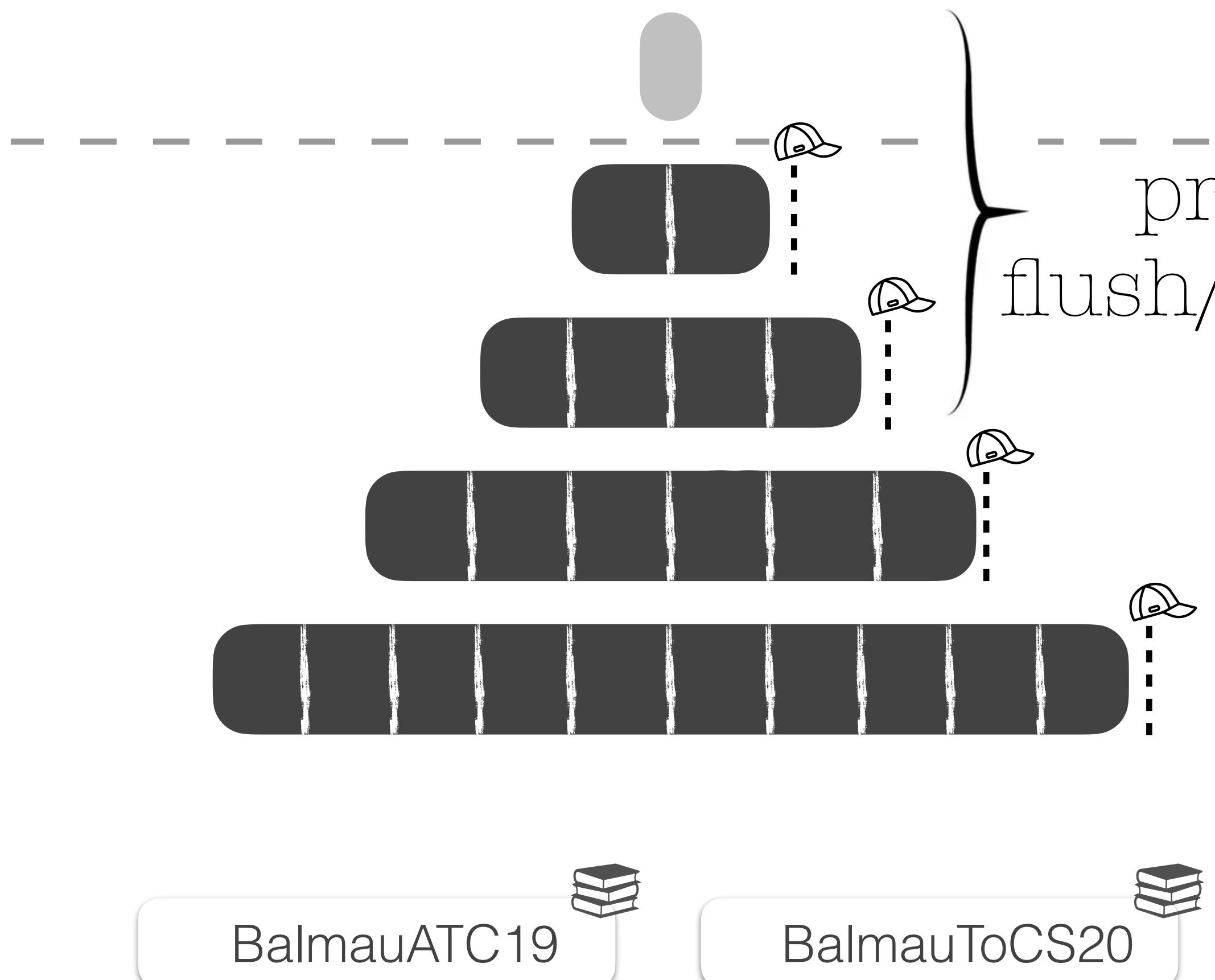


Background  
Compactions

Compaction  
Priority

- sustain heavy write bursts
- tree becomes out of shape

# Optimizing Compactions



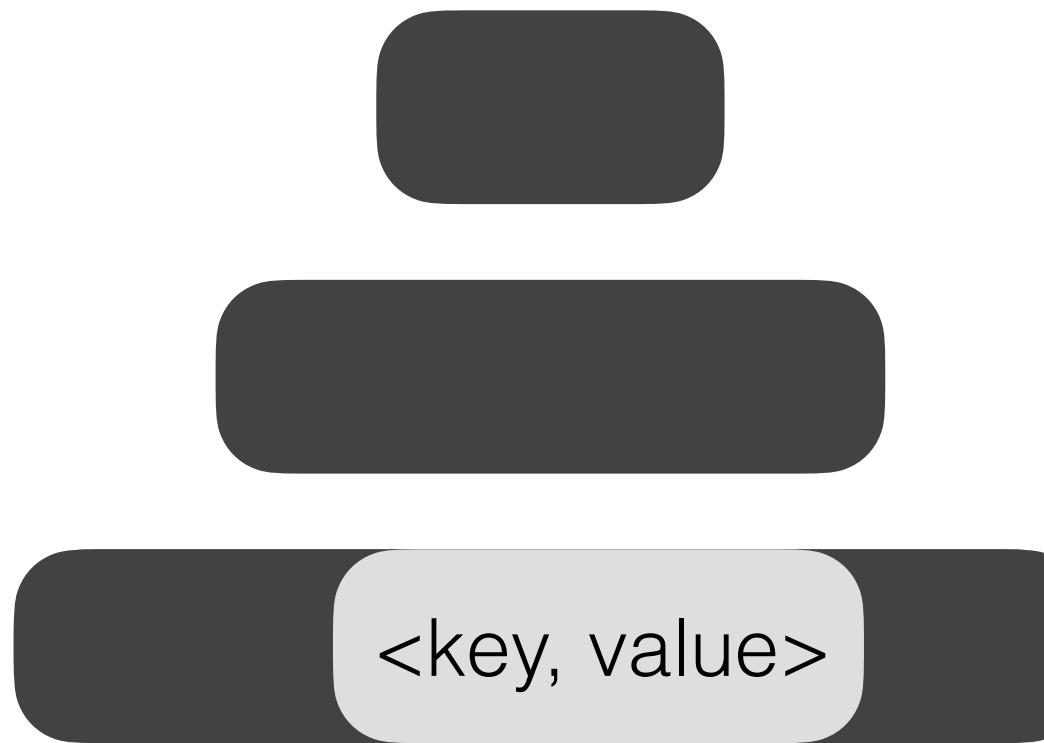
Background  
Compactions

Compaction  
Priority

I/O Scheduler

- eliminates write stalls
- no unnecessary high-priority compactions in lower levels

# Data Placement Variations

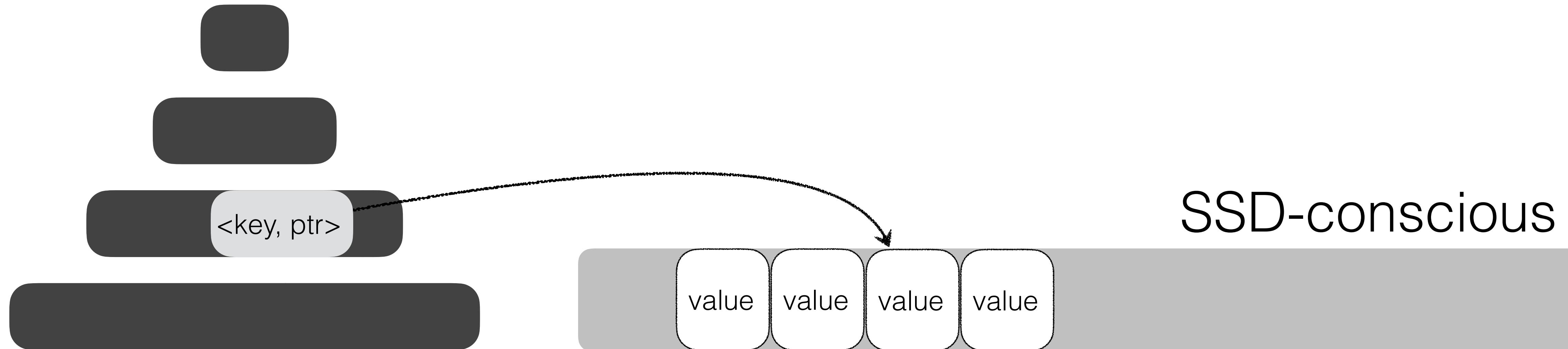


key-value separation



LuFAST16

# Data Placement Variations



key-value separation

LuFAST16

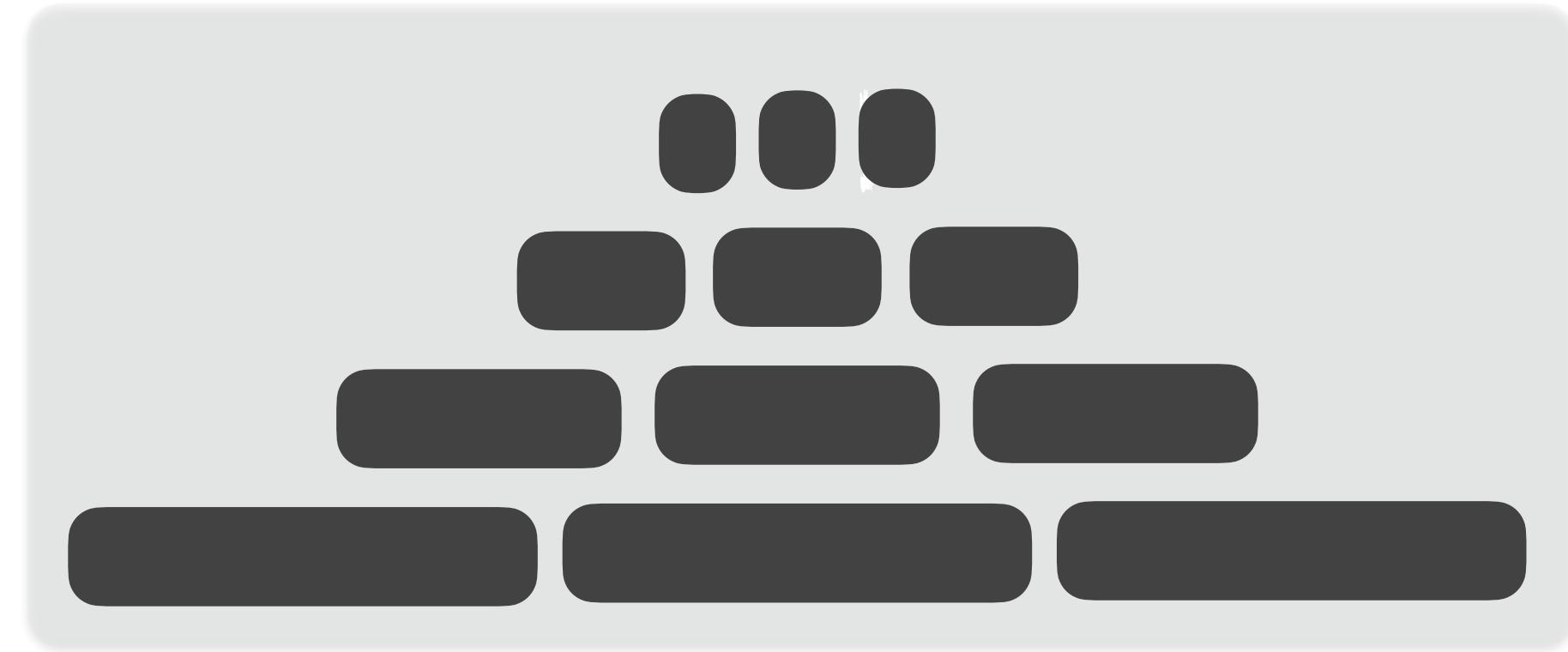
- reduced write amplification
- better read performance

# Data Placement Variations



partitioning / sharding

# Data Placement Variations



storage

partitioning

RajuSOSP17



storage-1

storage-2

storage-3

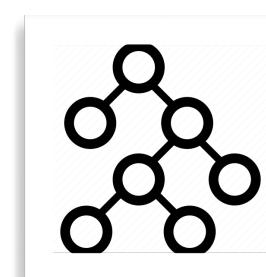
sharding

HuangSIGMOD21

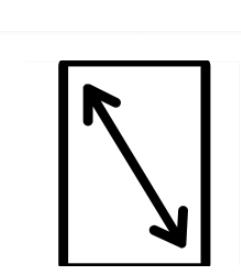
- improved ingestion throughput
- reduced write amplification

# Summary: Ingestion Optimization

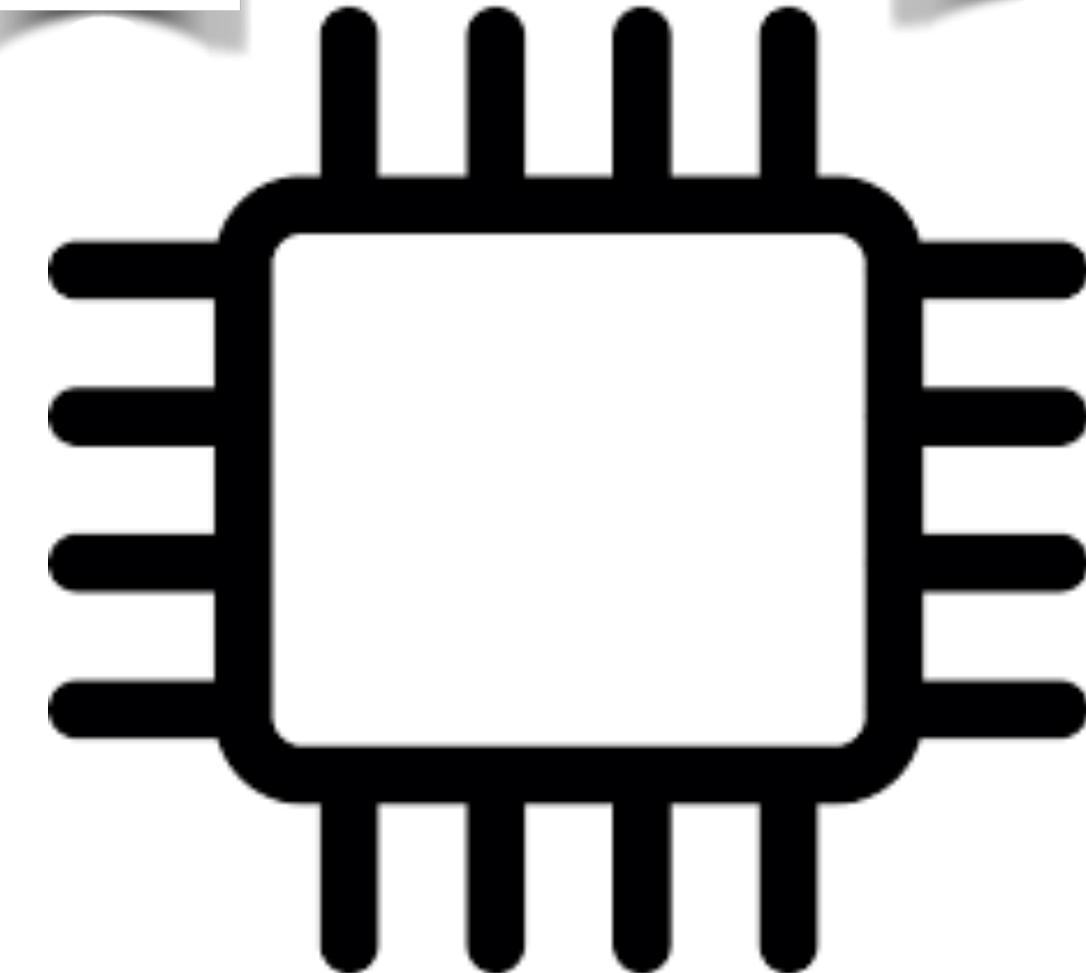
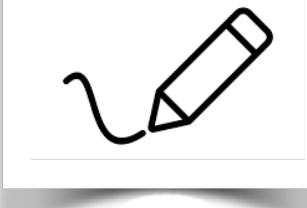
data  
structure



size

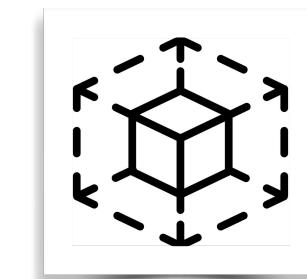


flush  
strategy

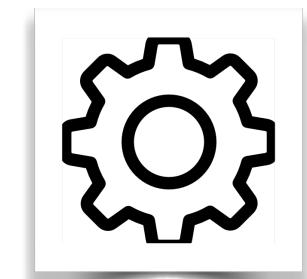


main memory  
design elements

compaction  
design space

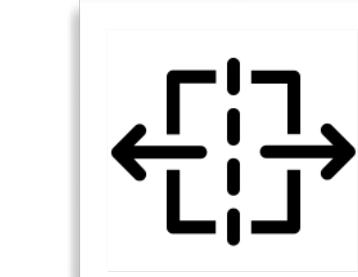


tuning  
compactions

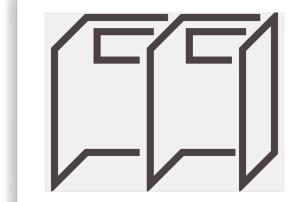


data layout  
on storage

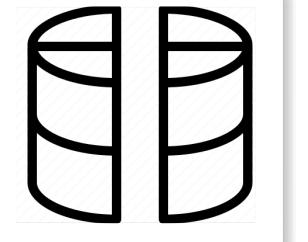
key-value  
separation



data  
partitioning



data  
sharding



hardware-conscious  
designs

# No Labs on 02/03

Stay  
warm

# *CS 561: Data Systems Architecture*

## Class 5

# Log-Structured Merge (LSM) Trees