

Evaluating Sorting Algorithms with Varying Data Sortedness

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Why sorting is important?

Background

Outline

- Sorting and Sortedness
- (K, L) Sortedness Matrix
- (K, L) Sorting Algorithm
- Benchmark - BoDS

Why sorting is important? Benefits of Sorting

- Faster read
- Better performance in index designed structure
- Easier data analysis

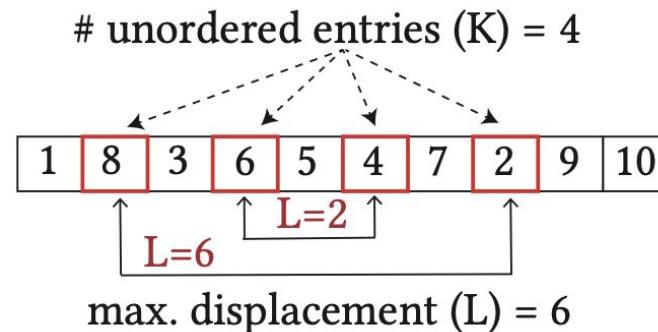
Sortedness

Refers to the degree to which the data is ordered

Degree of Sortedness

(K, L)-Sortedness Metric

- K : the number of the elements are out of place
- L : the maximum positional displacement of the out-of-order elements



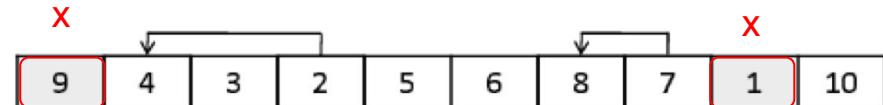
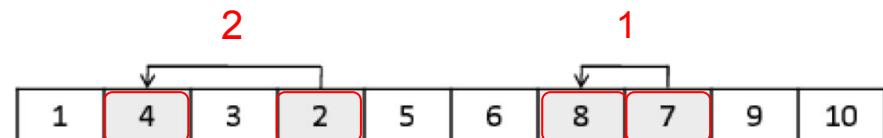
Define Near-Sorted Index

- **K-close** to being sorted:

The size of unordered indices set is
smaller or equals to K.

- **L-globally** sorted:

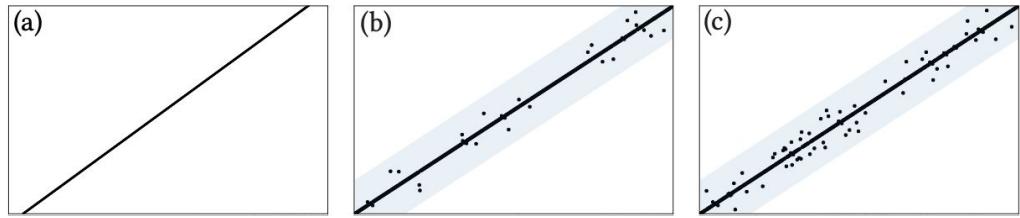
The distance between the locations
of any two unsorted tuples is
always **smaller** than L.



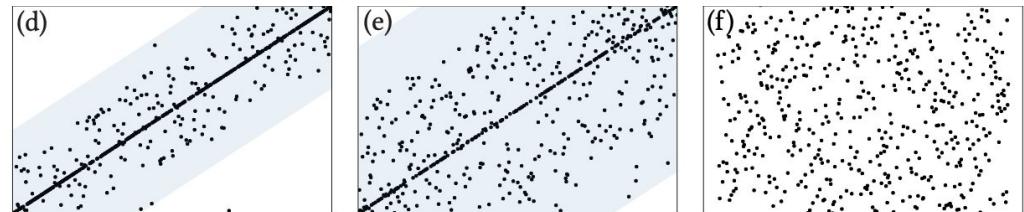
Workloads respective to (K, L)

X-axis: position of entry in data.

Y-axis: entry-value.

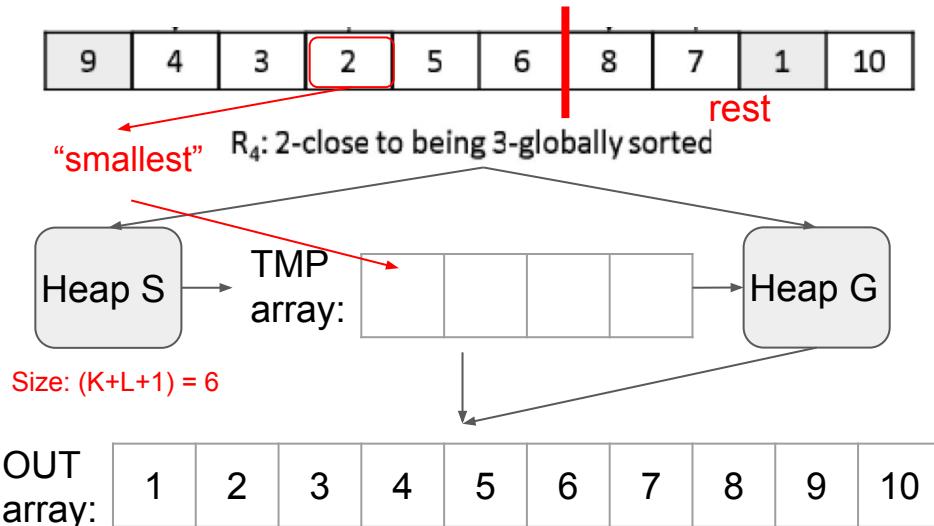


(a) K=0%, L=0% (b) K=10%, L=10% (c) K=20%, L=10%



(d) K=50%, L=25% (e) K=100%, L=50% (f) K=100%, L=100%

(K, L) Sort by Binary Min Heap



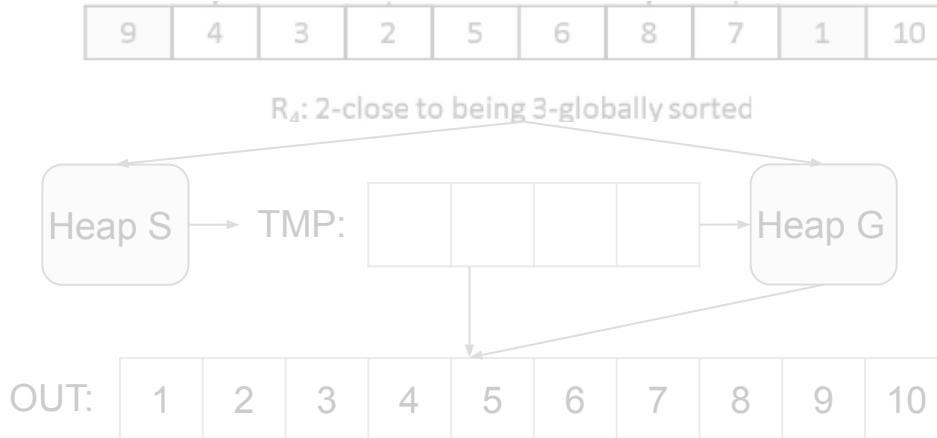
Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples  $(R[1], \dots, R[k + \ell + 1])$  into  $S$ 
iwrite  $\leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $last\_written \leftarrow \min\{x \in S\}$ 
  write  $last\_written$  to  $TMP[i_{write}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{write} \leftarrow i_{write} + 1$ 
  if  $R[i_{read}] \geq last\_written$  then
    insert  $R[i_{read}]$  into  $S$ 
  else
    insert  $R[i_{read}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
iwrite  $\leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{read}]$  then
    write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
  else
    write  $x$  to  $OUT[i_{write}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap



Best Case:	Worst Case:	Memory:	Stable:
$O(n)$	$O(n \log(n))$	$O(n)$	Yes

where heap `extractMin()` and `insert()` takes $O(\log n)$.

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

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  else
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     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

BoDS

Benchmark on Data Sortedness

Data generator producing data respective to specific values of the (K, L) -sortedness metric.

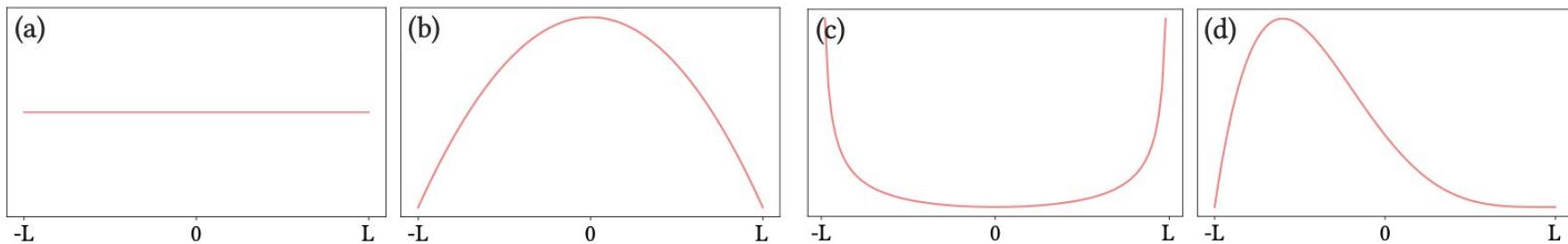
BoDS: Benchmark on Data Sortedness

Input parameters:

- K : proportion(%) of the elements are out of place
- L : proportion(%) maximum positional displacement of the out-of-order elements
- N : number of entries
- α, β : parameter to regulate distribution map of indexes
- P : size of payload

Selection of α, β

When $\alpha=1, \beta=1$, the displacements are uniformly distributed



(a) $\alpha=1, \beta=1$

(b) $\alpha=2, \beta=2$

(c) $\alpha=0.5, \beta=0.5$

(d) $\alpha=2, \beta=5$

Probability distribution of **Beta-distribution** map bounded between $[-L, L]$

Experiment: 5min

Experiment

Evaluating Sorting Algorithms with

1. Sorted index workload
2. Unsorted index workload
3. Partially sorted index workload

E-Work Life Scale - Pearson Test

n = 42

$\alpha = 0.05$

	statistically significant
	not statistically significant

P-value	calories	steps	stress	heart rate
Organisational Trust	0.032	0.369	0.102	0.026
Flexibility	0.537	0.208	0.841	0.068
Worklife Interference	0.009	0.925	0.066	0.026
Effectiveness & Productivity	0.085	0.484	0.247	0.000
E-Work Life Scale	0.038	0.518	0.173	0.002

BoDS: Benchmark on Data Sortedness

$10,000,000 = 10^7$
entries to generate

$10\% * 10^7 = 10^6$
is the max displacement

Key beta-distribution
with $\alpha=1, \beta=1$.

```
./sortedness_data_generator -N 10000000 -K 10 -L 10 -o ./created_data.txt -S 0 -a 1 -b 1 -P  
0 * 107 = 106  
entries is out of place
```

Random Seed: 0 Payload size: 0 bytes

BoDS: Benchmark on Data Sortedness

K	L	K	L
100	1	1	5
50	1	1	10
25	1	1	25
10	1	1	50
5	1	1	100
1	1	100	100

```
for ((k=0; k<=100; k+=10)); do
    for ((l=0; l<=100; l+=10)); do
        OUTPUT="./workloads/createdata_10M_K"${k}"_L"${l}"".txt"
        ./sortedness_data_generator -N 10000000 -K $k -L $l -o $OUTPUT -S 0 -a 1 -b 1 -P 0
    done
done
```

Sorted and nearly-sorted relations

1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	----

R_1 : Sorted

1	8	3	4	5	6	7	2	9	10
---	---	---	---	---	---	---	---	---	----

R_2 : 2-close to being sorted

1	4	3	2	5	6	8	7	9	10
---	---	---	---	---	---	---	---	---	----

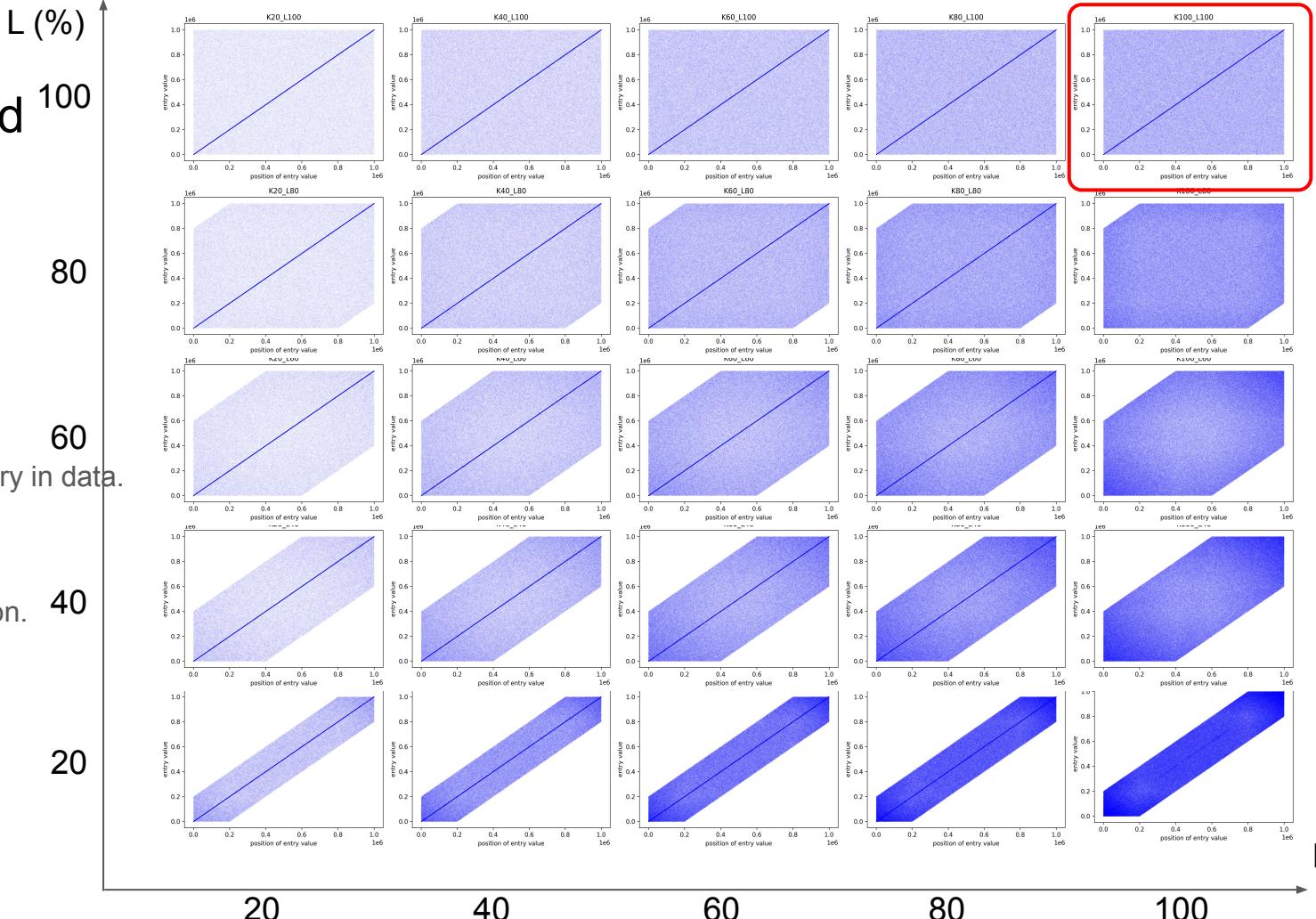
R_3 : 3-globally sorted

9	4	3	2	5	6	8	7	1	10
---	---	---	---	---	---	---	---	---	----

R_4 : 2-close to being 3-globally sorted

Sagi Ben-Moshe, Yaron Kanza, Eldar Fischer, Arie Matsliah, Mani Fischer, and Carl Staelin. 2011. Detecting and exploiting near-sortedness for efficient relational query evaluation, ICDT '11.
<https://doi.org/10.1145/1938551.1938584>

1M Workload Generated by BoDS



Setup: Implementation and Solution Approach



- Windows Subsystem for Linux
- Intel® Core™ i9-11900H@2.5 GHz
 - 24M Cache
 - 8 Cores
- Two 16GB of RAM
- C++ libraries:
algorithm, chrono, climits, cstdlib,
fstream, iostream, string.

Experiment Interface

File path of the input
workload generated by BoDS

Sorting algorithm to use

Divisor for L when using kl_sort:
 $Estimated L = 10^7 * 1\% / 100 = 10^3$

./main.out ./created_data_K50_L1.txt ./result.csv kl_sort 100 100

File path to store output

Divisor for K when using kl_sort:
 $Estimated K = 10^7 * 50\% / 100 = 5 * 10^4$

Example Output

```
./main.out ./created_data_K50_L1.txt ./result.csv kl_sort 100 100
```

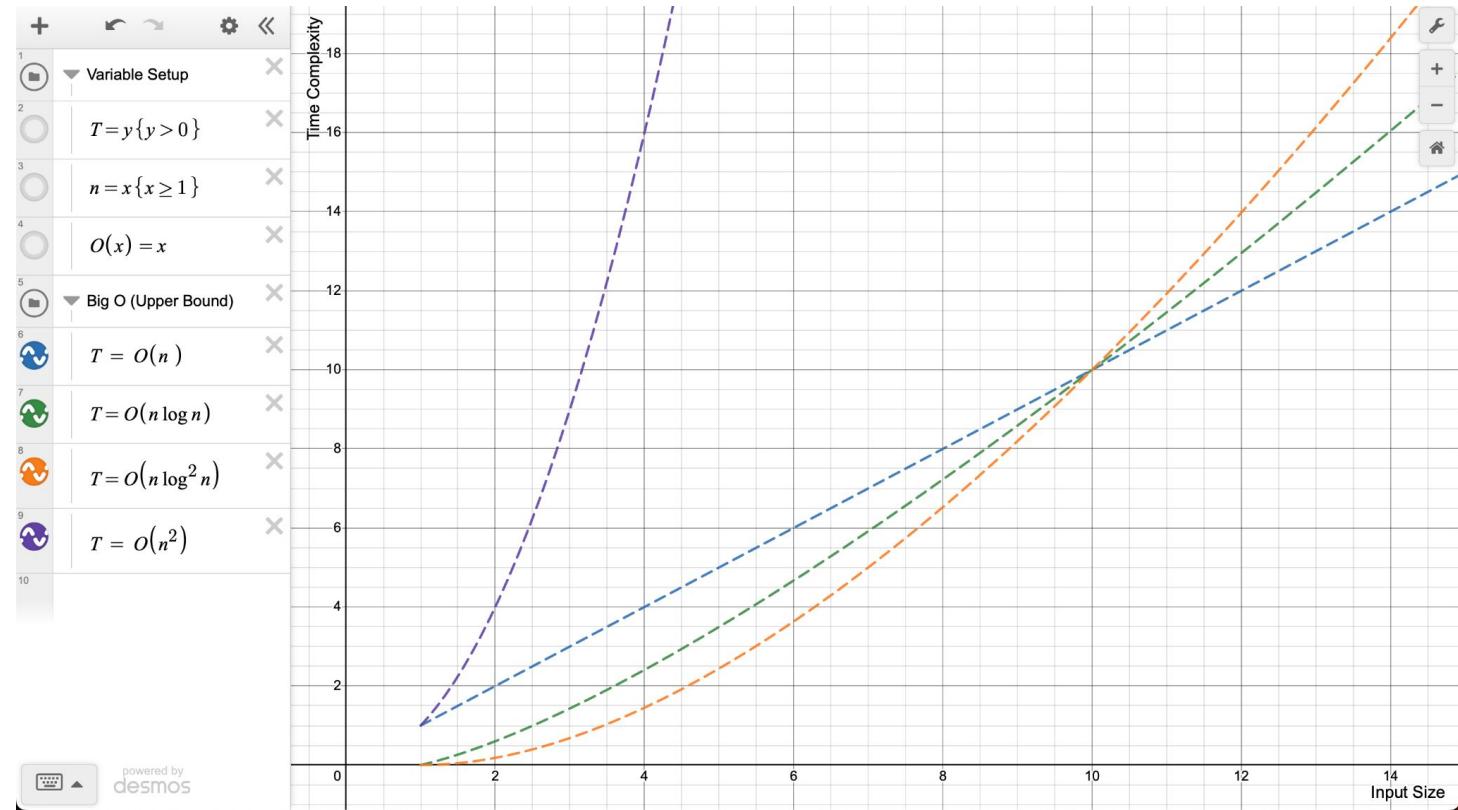
K	K_DIV	L	L_DIV	ALGORITHM	DURATION (ns)
50	100	1	100	kl_sort	46342235
25	100	1	100	kl_sort	35101784
10	100	1	100	kl_sort	31461449
5	100	1	100	kl_sort	33199069

Rows in ./result.csv

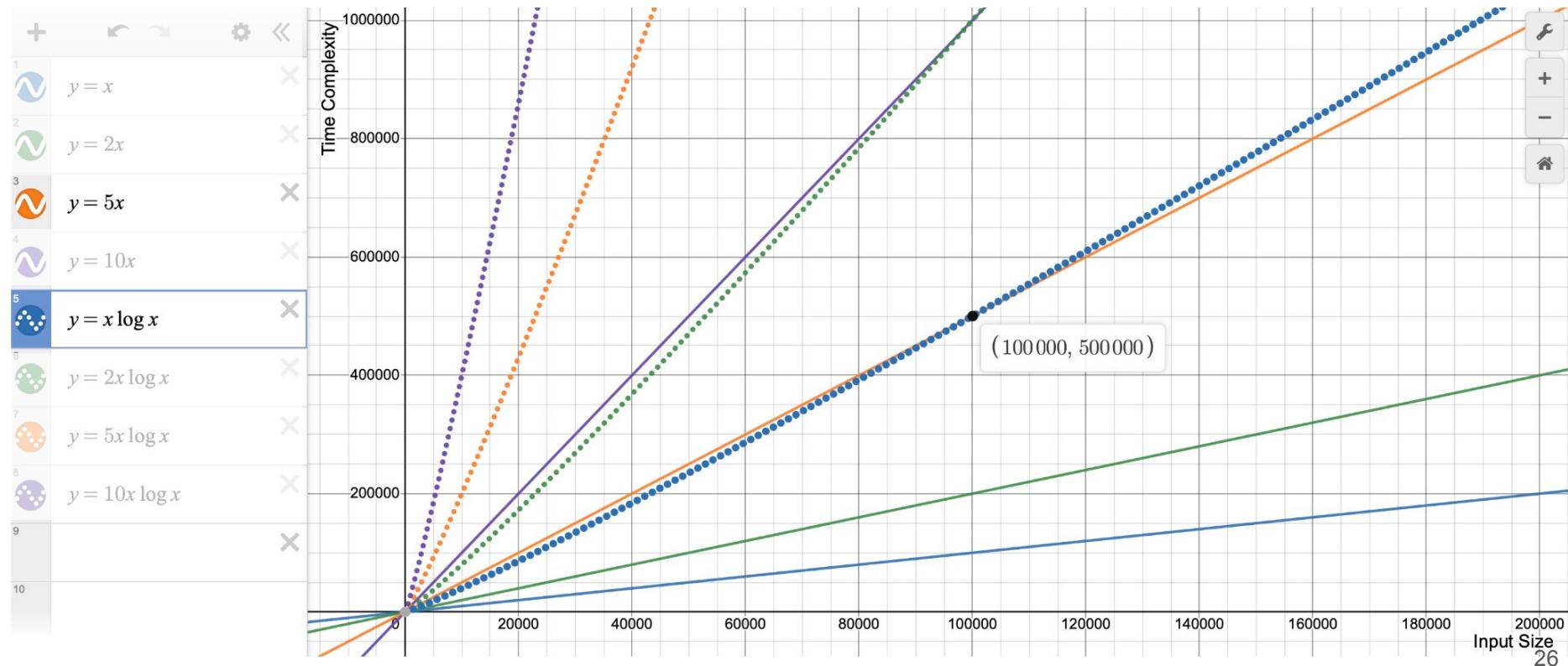
Sorting Algorithm Baselines

	Best Case	Worst Case	Memory	Stable	10^6 Sorted Index (seconds)	10^6 Unsorted Index (seconds)
KL Sort	$O(n \log(n))$	$O(n \log(n))$	$O(n)$	Yes	0.000008	3.859853
Insertion Sort	$O(n)$	$O(n^2)$	$O(1)$	Yes	0.055174	288.114436
Quick Sort	$O(n \log(n))$	$O(n^2)$	$O(\log(n))$	No	0.535943	0.772779
std::stable_sort	$O(n \log(n))$	$O(n \log^2(n))$	$O(n)$	Yes	0.700985	1.284057
TimSort	$O(n)$	$O(n \log(n))$	$O(n)$	Yes	0.961878	1.464594
Merge Sort	$O(n \log(n))$	$O(n \log(n))$	$O(n)$	Yes	1.272614	1.999205
Radix Sort	$O(n)$	$O(n)$	$O(n)$	Yes	2.083822	0.628858
Selection Sort	$\Theta(n^2)$	$\Theta(n^2)$	$\Theta(n^2)$	No	531.778953	516.02257

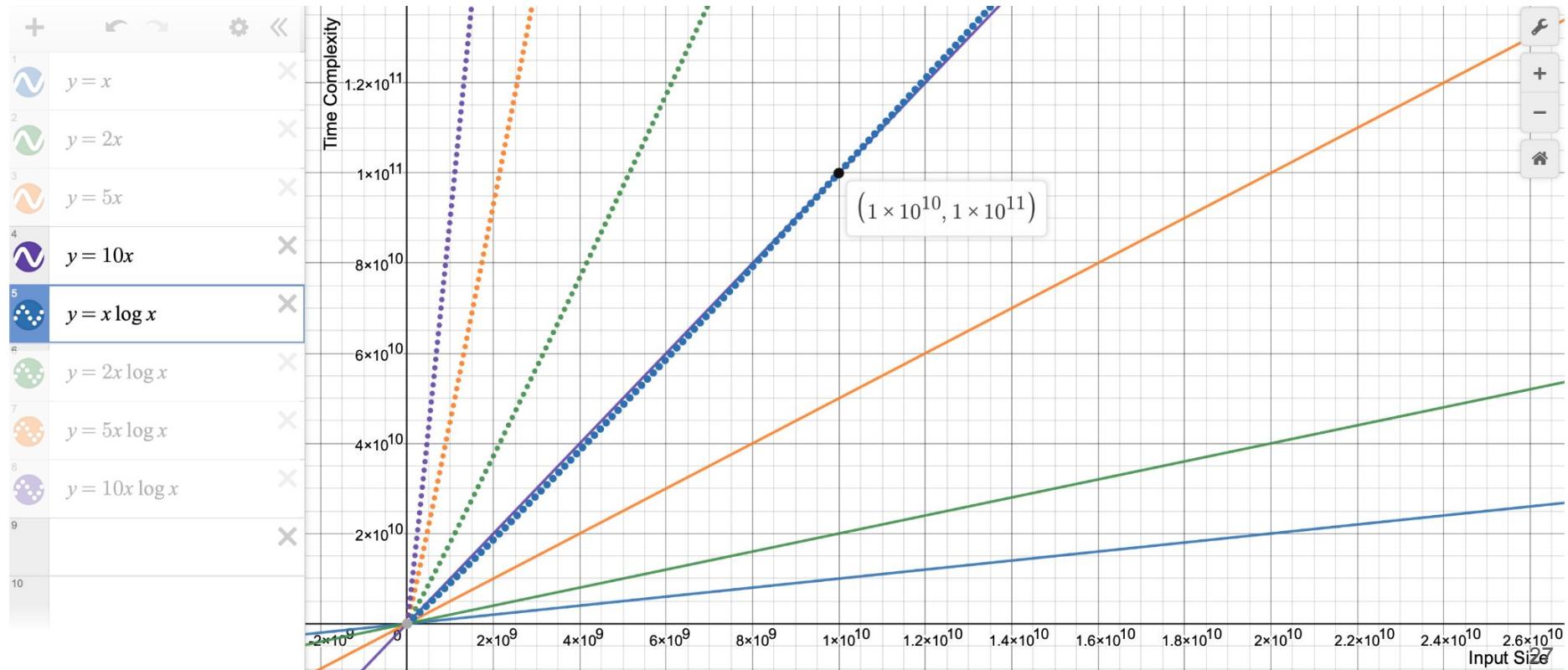
Big-O Analysis



Big-O Analysis



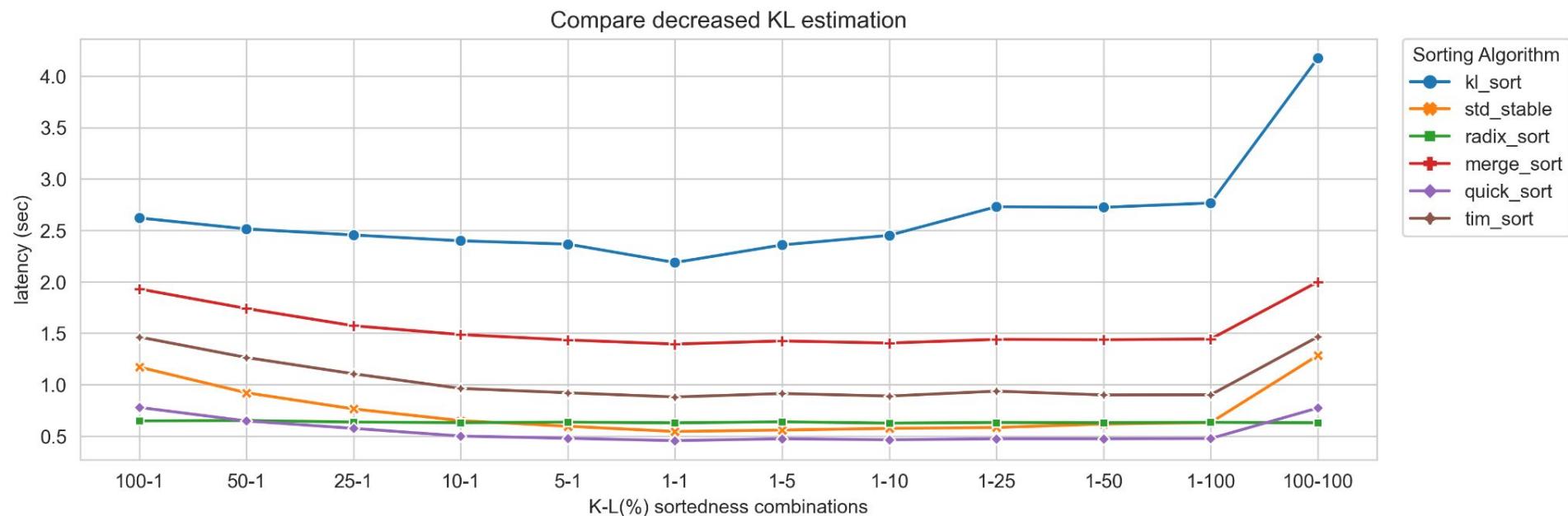
Big-O Analysis



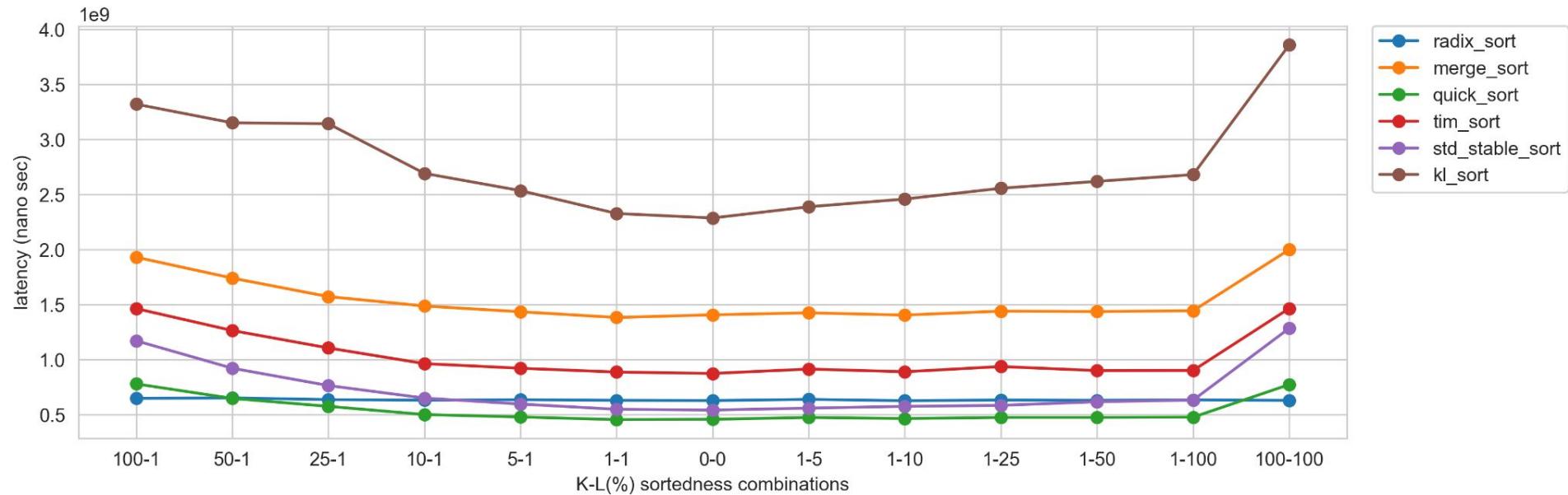
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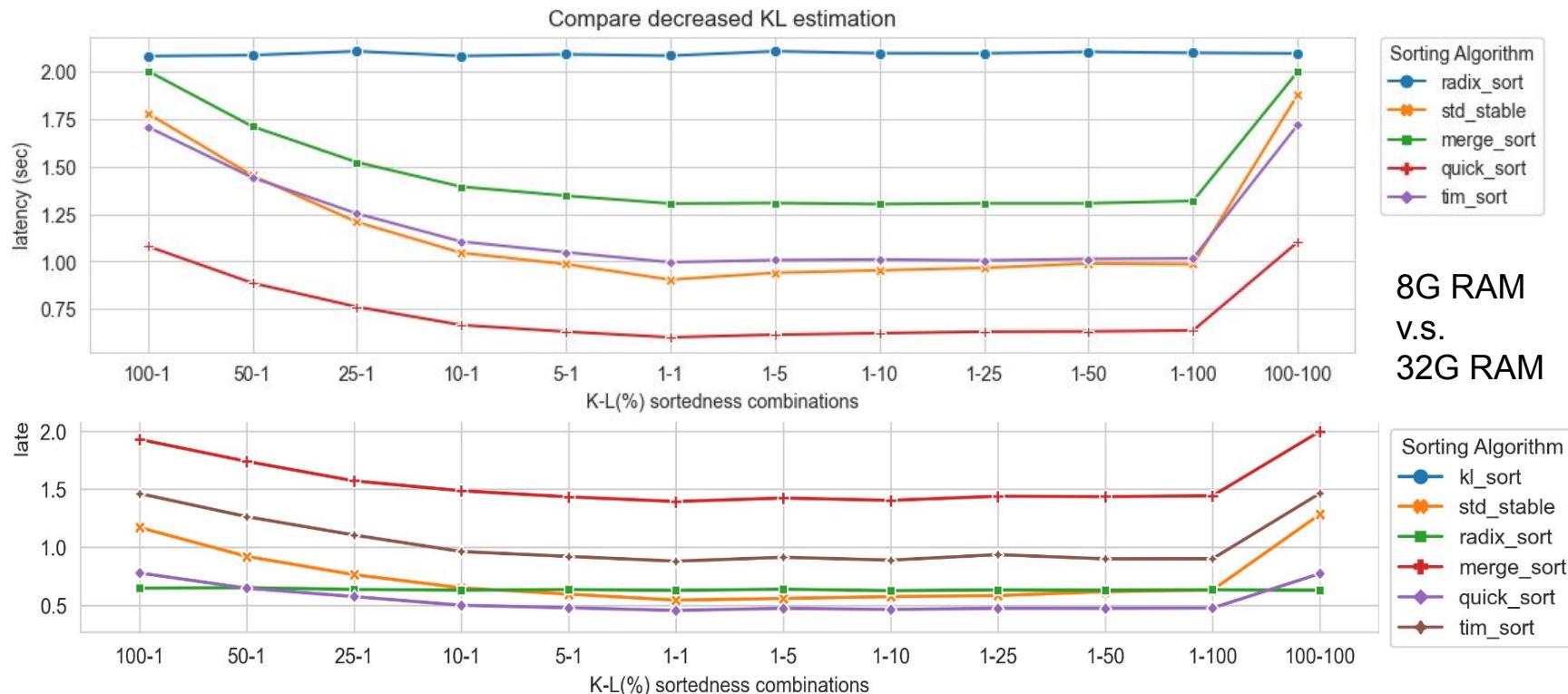
Performance of Algorithms on various Sortedness

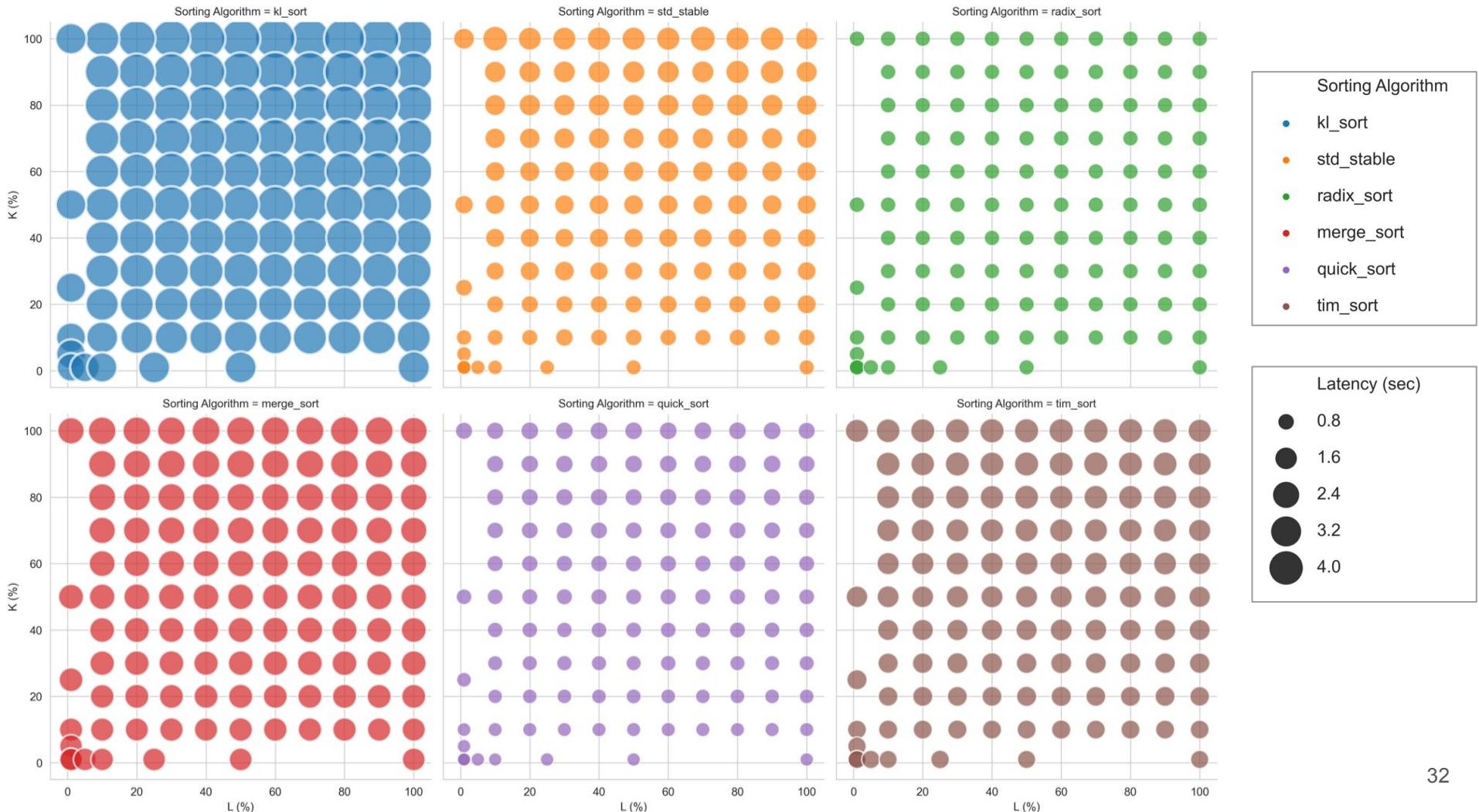


Performance of Algorithms on various Sortedness: K/1, L/1

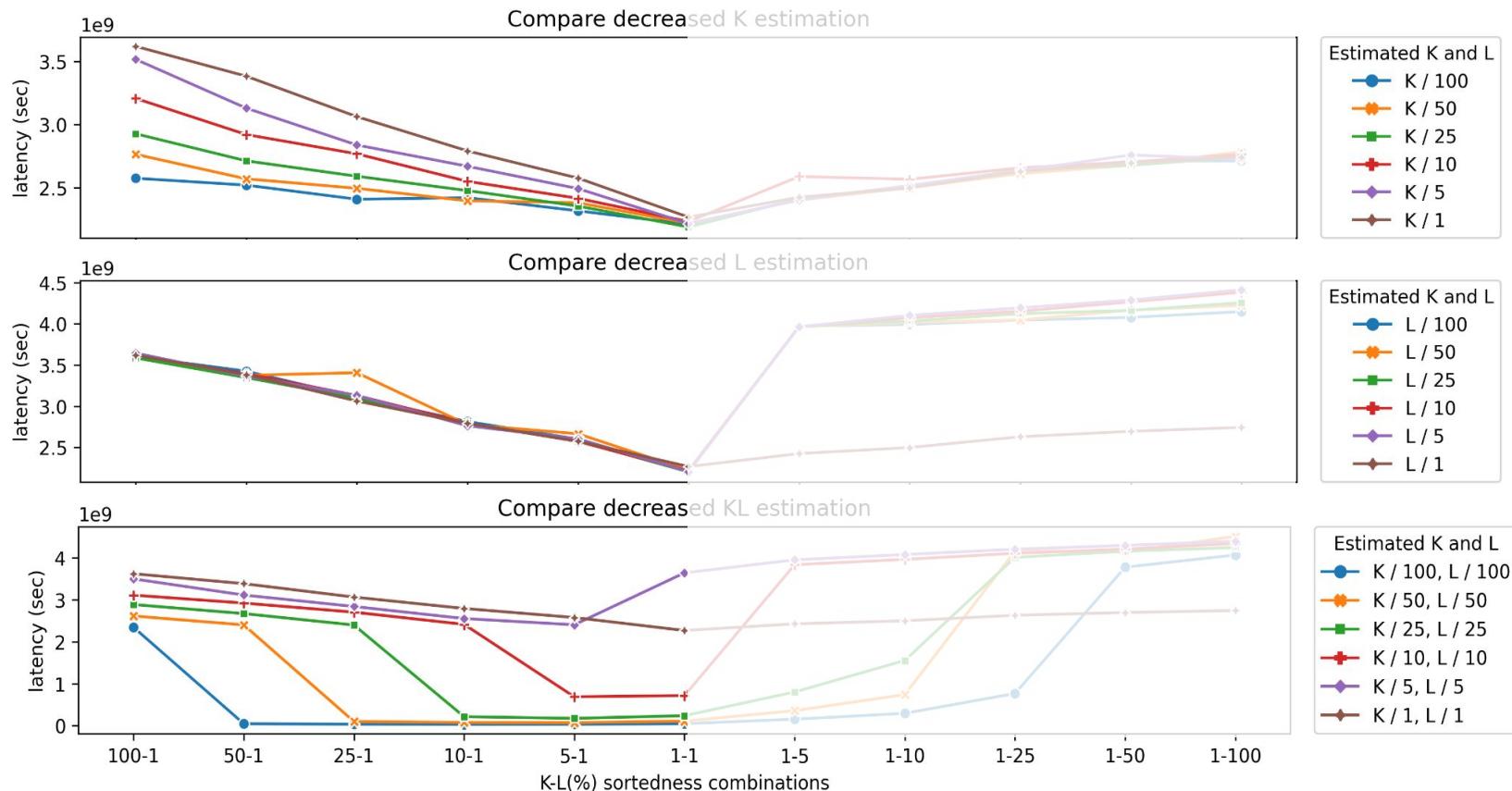


Performance of Algorithms on various Sortedness:

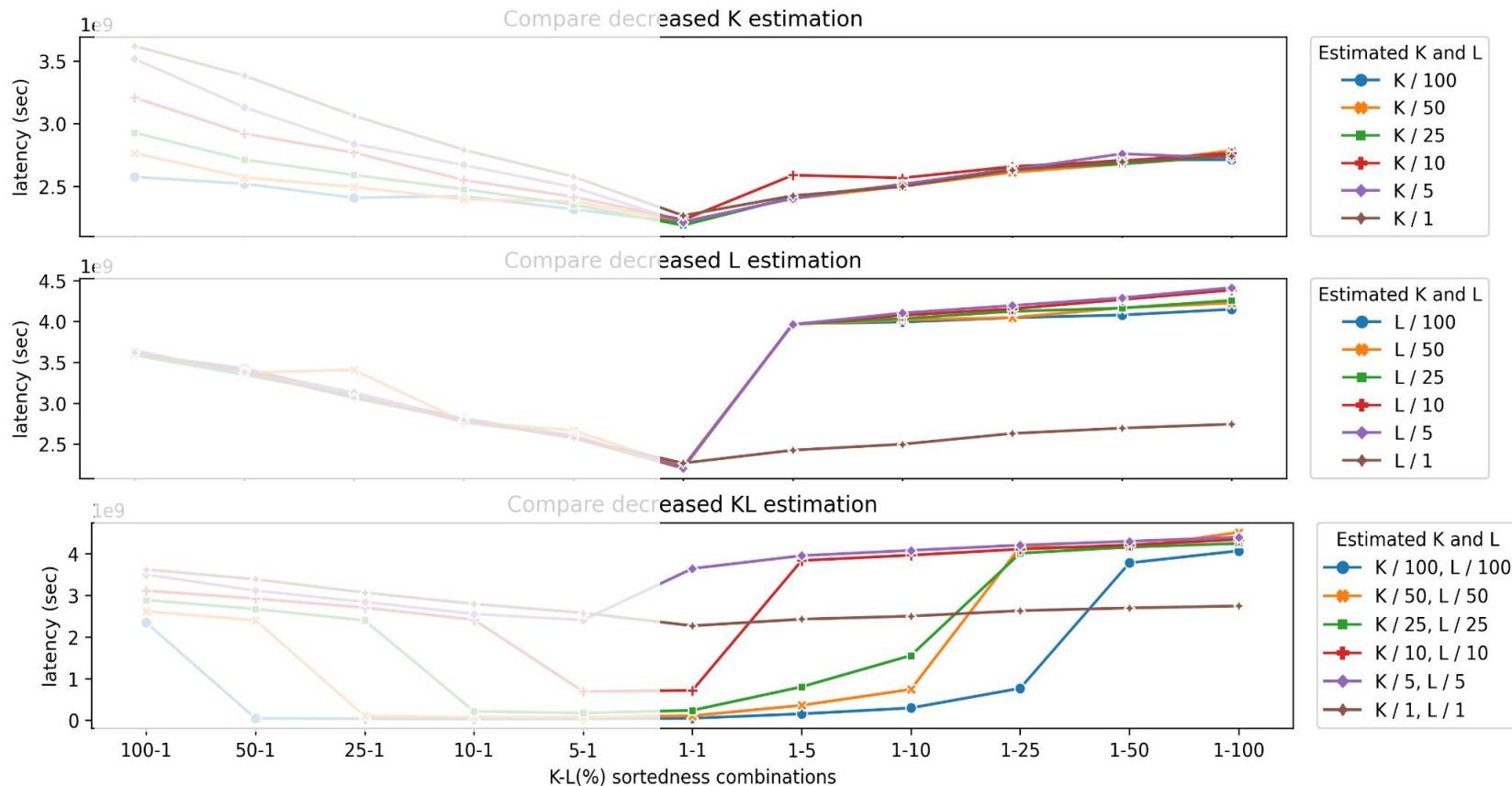




Estimate a Lower K or L



Estimate a Lower K or L



Conclusion: 3min

Conclusion

- K is a crucial factor in all experiments.
- **Quick sort** outperforms under nearly-sorted data.
- (K, L) -sort works best with a **shrunken K from BoDS** under nearly-sorted data.

Remaining experiments and analysis

- **Coefficient analysis** over sorting algorithms' big-o complexity.
- Generate scrambled workload with `std::shuffle()`.
- Experiments on **nearly-sorted L** from 0.0001% to 1%.
- Implement **(K, L) estimation** by exponential search for minimal ones without failure.
- Provide the tradeoff analysis on (K, L) estimation methods.
- At least **three trials** on each experiments.
- Implement additional sorting algorithms: **K-sort**, **Spreadsort**, other stable sortings.
- **Space complexity** analysis and the actual memory footprint record.

Expected results

- Big-O complexity with coefficient on the highest rank for each sorting algorithm.
- Clarify the difference between `std::stable_sort()` and mergesort.
- Prove that **K-sort** is suitable for nearly sorted workload, while **radix sort** and **quick sort** is for general usage.
- Show the tradeoff between time and space among sorting algorithms.
- Provide hyper-parameters for tuning K estimation.
- Draw all figures with standard deviation shadow.
- Add args names for API to allow out of order commands

Interesting & Challenging Experience

1. Knowing the exploration and design process, how can we find a state-of-the-art **adaptive index sorting algorithm** that beats all baselines?
2. More questions after this project:
 - a. How to estimate L in a wild field?
 - b. Would the (K, L) estimation takes longer than the saved sorting time?
 - c. If the total (K, L) estimation and sorting time is longer than baselines, (K, L) sortedness might not be a useful benchmark.

Advice on the technical aspect

1. Use **int** data type to avoid machine-level optimization in C++ compiler.
2. Use **Linux** system to allow clearing on RAM and swap files.
3. Use mixed workloads including writing operations (inserts, updates, deletes).
4. Use self-craft or multi generators to **decouple the dependency on libraries**.
5. Charging or not for the hardware device affects the performance a lot.

Evaluating Sorting Algorithms with Varying Data Sortedness

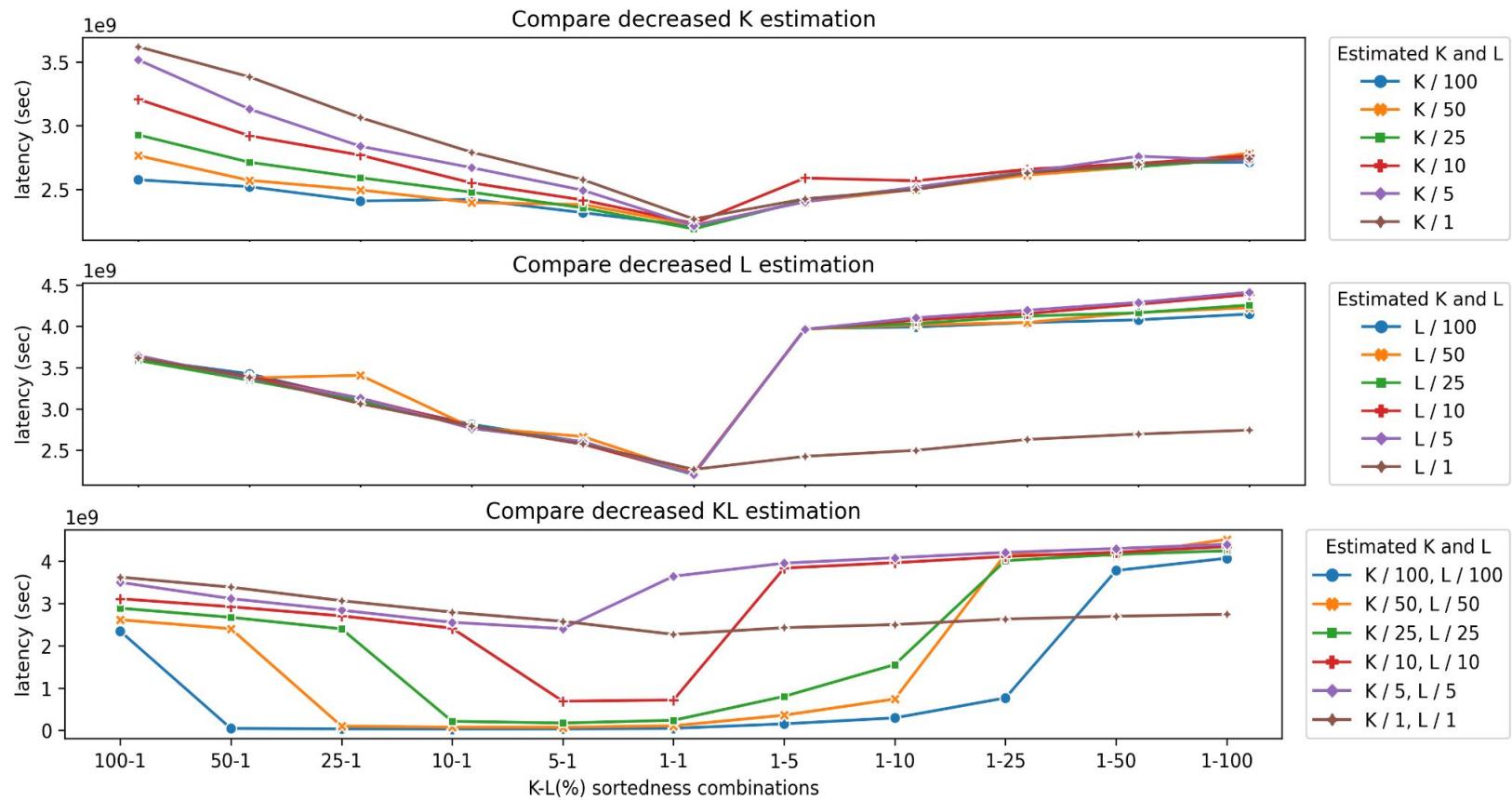
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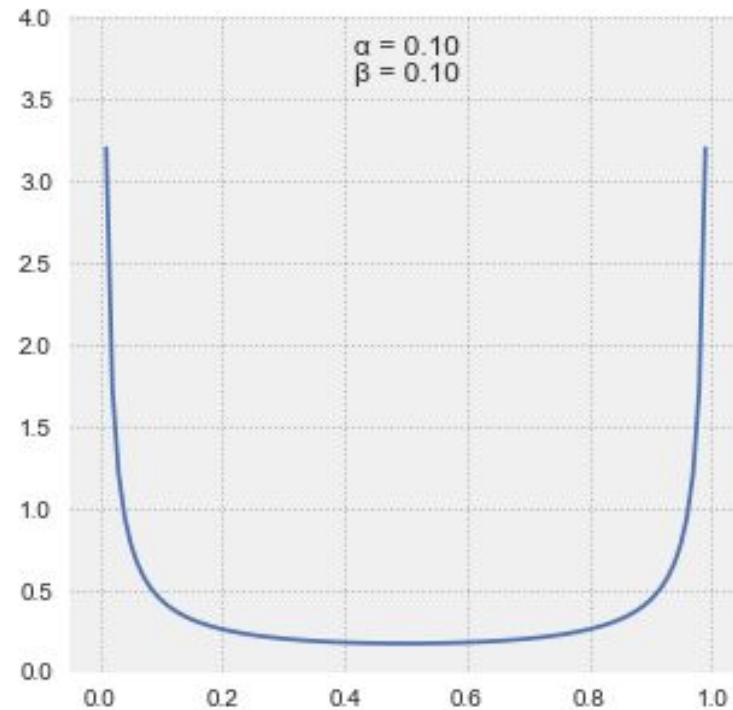
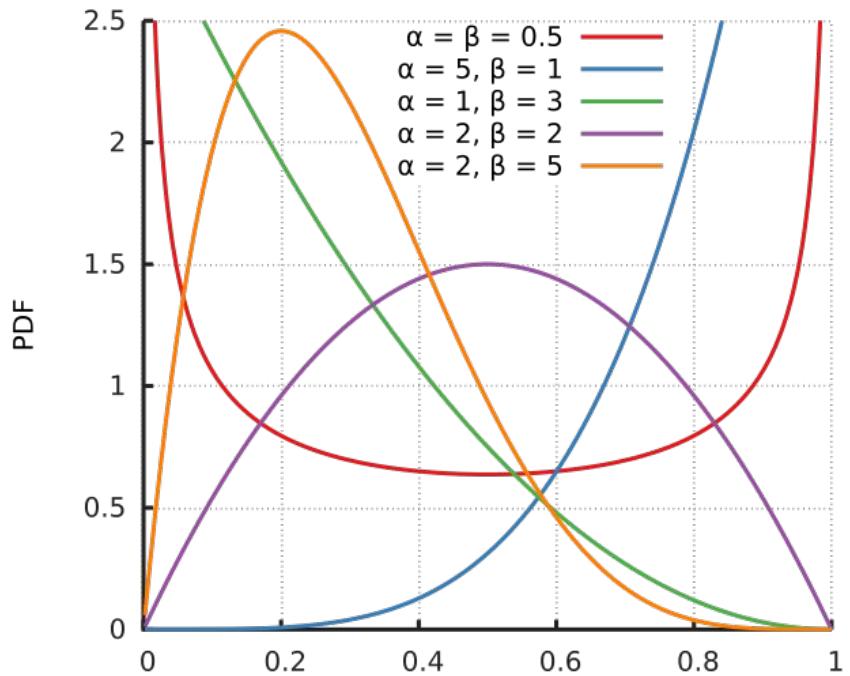
Related Class Sessions

- Class 12: Adaptive Radix Trees (student presentation S2)
 - The Adaptive Radix Tree: ARTful Indexing for Main-Memory Databases, ICDE 2013. (R2)
- Class 13: Adaptive Indexing & Cracking (student presentation S3)
 - Adaptive Adaptive Indexing, ICDE 2018. (Technical Question T3)
 - Self-organizing Tuple Reconstruction in Column-stores, SIGMOD 2009.
- Class 14: Guest Lecture on Sortedness-Aware Indexing: Aneesh Raman
 - Indexing for Near-Sorted Data, ICDE 2023.
 - BoDS: A Benchmark on Data Sortedness, TPCTC 2022.
- Class 23: Guest Lecture on Learned Index: Ryan Marcus
 - LSI: A Learned Secondary Index Structure, SIGMOD 2022.
 - Benchmarking Learned Indexes, VLDB 2021.

Result: Estimate a Lower K or L



Beta Distribution from Wikipedia



Workload Generator

Algorithm 1: Generate (K, L, B) -sorted keys

Input: Fully sorted array arr , $N \geq 0$; $K \geq 0$; $L \geq 0$; $B(\alpha, \beta)$, $num_tries1 > 0$, $num_tries2 > 0$

Output: (K, L, B) -sorted array arr

```

1 Sources ← Generate_Sources( $N, K$ ) ;
2 dest <>;
3 left <>;
4 for  $x \in Sources$  do
5   while  $num\_tries1 > 0$  do
6      $r \leftarrow Pick\_dest(N, K, x, B)$ ;                                /* using Algorithm 2 */
7      $num\_tries1 \leftarrow num\_tries1 - 1$ ;                                /* set of destinations */
8     if  $r \in dest$  or  $r \in Sources$ ;                                     /* set of left out sources */
9       then
10      if  $num\_tries1 == 0$ ;                                         /* destination already used */
11        then
12          | insert  $r$  to  $left$ ;
13        end
14      continue;
15    else
16      | insert  $r$  in  $dest$ ;
17      | swap  $arr[x]$  with  $arr[r]$ ;
18      | break;
19    end
20  end
21 end
22 for  $x \in left$ ;                                                 /* randomized re-attempt for leftovers */
23 do
24   while  $num\_tries2 > 0$  do
25      $r \leftarrow Pick\_dest(N, K, x, B)$ ;                                /* using Algorithm 3 */
26      $num\_tries2 \leftarrow num\_tries2 - 1$ ;                                /* destination already used */
27     if  $r \in dest$  or  $r \in Sources$ ;                                     /* set of left out sources */
28       then
29         | continue;
30     else
31       | insert  $r$  in  $dest$ ;
32       | swap  $arr[x]$  with  $arr[r]$ ;
33       | remove  $x$  from  $left$ ;
34       | break;
35     end
36   end
37 end
38 Perform_Brute_Force( $arr, left, dest, L$ ) ;                         /* using Algorithm 4 */

```

Reimplementation

Indexing for Near-Sorted Data

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Abstract—Indexing in modern data systems facilitates efficient query processing when the selection predicate is on an indexed key. As new data is ingested, indexes are gradually populated with incoming entries. In that respect, *indexing can be perceived as the process of adding structure to incoming, otherwise unsorted data*. Adding structure, however, comes at a cost. Instead of simply appending the incoming entries, we insert them into the index. If the ingestion order matches the indexed attribute order, the ingestion cost is entirely redundant and can be avoided altogether (e.g., via bulk loading in a B^+ -tree). However, classical tree index designs do not benefit when incoming data comes with an implicit ordering that is *close to* being sorted, but *not* fully sorted.

In this paper, we study how indexes can exploit *near-sortedness*. Particularly, we identify *sortedness as a resource* that can accelerate index ingestion. We propose a new sortedness-aware (SWARE) design paradigm that combines *opportunistic bulk loading, index appends, variable node fill and split factors*, and an *intelligent buffering scheme*, to optimize ingestion and read queries in a tree index in the presence of near-sortedness.

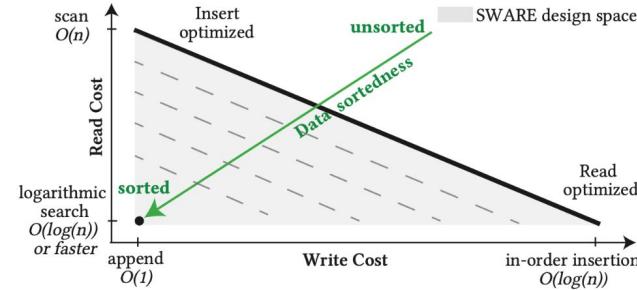


Fig. 1: State-of-the-art indexing and data organization techniques pay a higher write cost in order to store data as sorted (or, in general, more organized) and offer efficient reads. Since the goal of indexing is to store the data as sorted, we ideally expect that ingesting *near-sorted* data would be more efficient, which is not the case. We introduce the SWARE meta-design that offers better performance as data exhibit higher degree of sortedness.

the figure). On the other extreme, if read queries are infrequent

<https://github.com/BU-DiSC/sware>

Is this true?

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Abstract—Indexing in modern query processing when the selected key. As new data is ingested, incoming entries. In that respect, the process of adding structure to incoming entries. Adding structure, however, costs appending the incoming entries. If the ingestion order matches the ingestion cost is entirely redundant (e.g., via bulk loading in a B⁺-tree). Such designs do not benefit when inserting entries in an ordering that is close to being sorted.

In this paper, we study the effect of sortedness. Particularly, we identify how sortedness can accelerate index ingestion. We propose a sortedness-aware (SWARE) design paradigm that uses bulk loading, index appends, view updates, and an intelligent buffering scheme to handle read queries in a tree index in a sorted manner.

Choice of Sorting Algorithm. To reduce the cost of reads, we sort the buffer after every flush. Ideally, we want the sorting cost to be minimal to attain the maximum benefits of the SWARE paradigm. While any sorting algorithm that leverages data sortedness (e.g., TimSort [44], Replacement Selection Sort [34]) can be used, here we consider three sorting algorithms: (i) *quicksort*, as it is common and has minimal space requirements, (ii) *(K, L)-adaptive sorting* [7], as it aggressively takes into account pre-existing data sortedness with $O(K + L)$ space usage, and (iii) *mergesort* (specifically, the C++ standard library `std::stable_sort`), as it maintains relative order of duplicate values with $O(n)$ space usage. Because we need to maintain the relative order of duplicates, we are constrained between mergesort and *(K, L)-adaptive sorting*. Our experimental analysis shows that for low data sortedness, mergesort outperforms *(K, L)-adaptive sorting* (in fact, *(K, L)-adaptive sorting fails for significantly high values of K or L*). However, for $K < 20\%$ or $L < 5\%$, their performance is similar, and we opt for *(K, L)-adaptive sorting* due to its **smaller space requirements** ($K + L < n$) [7]. So, when the estimated (meta-data) values are $K < 20\%$ or $L < 5\%$ of the buffer size we employ *(K, L)-adaptive sorting* while using `std::stable_sort`, otherwise.

(K, L) Sort by Binary Min Heap

R:

9	4	3	2	5	6
---	---	---	---	---	---

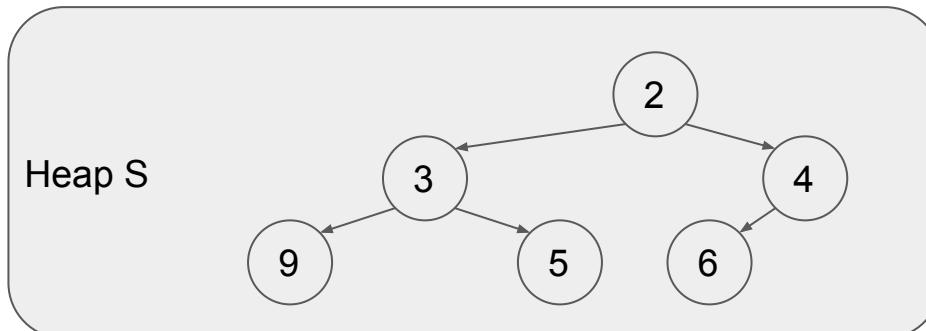
8	7	1	10
---	---	---	----

R_4 : 2-close to being 3-globally sorted

$k = 2, l = 3.$



Insert the first $(k+l+1) = 6$ tuples into S:



Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

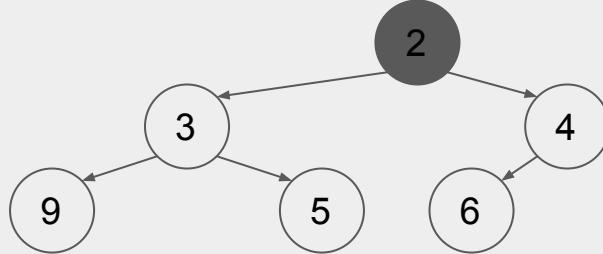
```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $last\_written \leftarrow \min\{x \in S\}$ 
  write  $last\_written$  to  $TMP[i_{\text{write}}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
  if  $R[i_{\text{read}}] \geq last\_written$  then
    insert  $R[i_{\text{read}}]$  into  $S$ 
  else
    insert  $R[i_{\text{read}}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{\text{read}}]$  then
    write  $TMP[i_{\text{read}}]$  to  $OUT[i_{\text{write}}]$ 
  else
    write  $x$  to  $OUT[i_{\text{write}}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{\text{read}}]\}$ 
  end if
   $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap

Heap S



i_write = 1;

for i_read = |S| + 1 = 7 to n = 10:

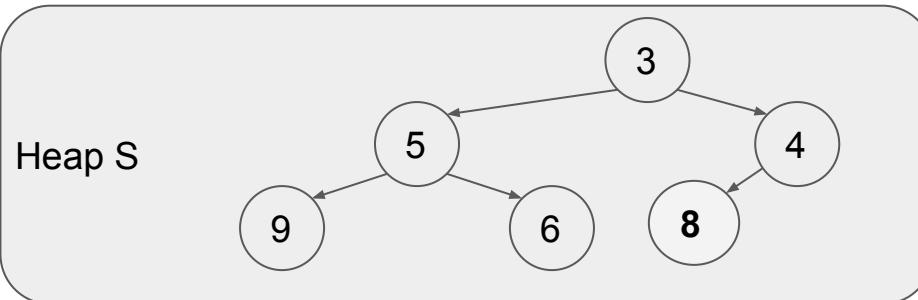
i_read = 7;

last_written = 2;

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```
create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
iwrite  $\leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
  last_written  $\leftarrow \min\{x \in S\}$ 
  write last_written to  $TMP[i_{write}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{write} \leftarrow i_{write} + 1$ 
  if  $R[i_{read}] \geq last\_written$  then
    insert  $R[i_{read}]$  into  $S$ 
  else
    insert  $R[i_{read}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
iwrite  $\leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{read}]$  then
    write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
  else
    write  $x$  to  $OUT[i_{write}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order
```

(K, L) Sort by Binary Min Heap



i read = 7, last written = 2, i write = 1;

Write last written = 2 to TMP[i write] = TMP[1]

TMP: 

9	4	3	2	5	6	8	7	1	10
---	---	---	---	---	---	---	---	---	----

$R[i_read] = R[7] = 8$

If ($R[i_read] = 8$) \geq ($last_written = 2$) then
 Insert $R[i_read] = 8$ into S ;

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

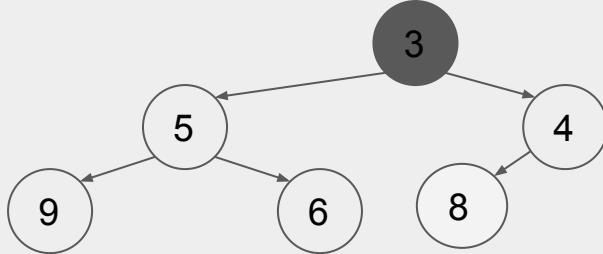
```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = |S| + 1$  to  $n$  do {first pass}
    if  $S = \emptyset$  then
        FAIL
    end if
     $last\_written \leftarrow \min\{x \in S\}$ 
    write  $last\_written$  to  $TMP[i_{\text{write}}]$ 
     $S \leftarrow (S \setminus \{last\_written\})$ 
     $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
    if  $R[i_{\text{read}}] \geq last\_written$  then
        insert  $R[i_{\text{read}}]$  into  $S$ 
    else
        insert  $R[i_{\text{read}}]$  into  $G$ 
    end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = 1$  to  $n - |G|$  do {second pass}
     $x \leftarrow \min\{y \in G\}$ 
    if  $x > TMP[i_{\text{read}}]$  then
        write  $TMP[i_{\text{read}}]$  to  $OUT[i_{\text{write}}]$ 
    else
        write  $x$  to  $OUT[i_{\text{write}}]$ 
         $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{\text{read}}]\}$ 
    end if
     $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap

Heap S



$i_{\text{read}} = 8$, $\text{last_written} = 3$, $i_{\text{write}} = 2$;

Write $\text{last_written} = 3$ to $\text{TMP}[i_{\text{write}}] = \text{TMP}[3]$

TMP:	2	3							
	9	4	3	2	5	6	8	7	10

$$R[i_{\text{read}}] = R[8] = 7$$

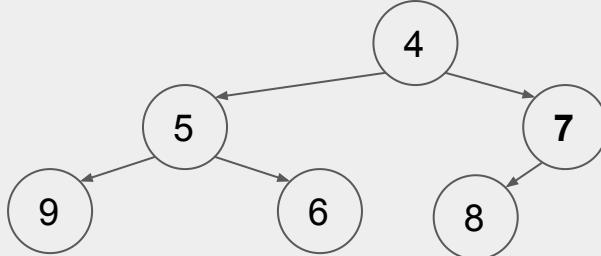
Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $\text{last\_written} \leftarrow \min\{x \in S\}$ 
  write  $\text{last\_written}$  to  $\text{TMP}[i_{\text{write}}]$ 
   $S \leftarrow (S \setminus \{\text{last\_written}\})$ 
   $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
  if  $R[i_{\text{read}}] \geq \text{last\_written}$  then
    insert  $R[i_{\text{read}}]$  into  $S$ 
  else
    insert  $R[i_{\text{read}}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $\text{TMP}$ , in sorted order
 $i_{\text{write}} \leftarrow 1$ 
for  $i_{\text{read}} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > \text{TMP}[i_{\text{read}}]$  then
    write  $\text{TMP}[i_{\text{read}}]$  to  $\text{OUT}[i_{\text{write}}]$ 
  else
    write  $x$  to  $\text{OUT}[i_{\text{write}}]$ 
  end if
   $G \leftarrow (G \setminus \{x\}) \cup \{\text{TMP}[i_{\text{read}}]\}$ 
   $i_{\text{write}} \leftarrow i_{\text{write}} + 1$ 
end for
append all tuples in  $G$  to  $\text{OUT}$ , in sorted order
  
```

(K, L) Sort by Binary Min Heap

Heap S



If ($R[i_read] = 7] \geq (last_written = 3)$ then

Insert $R[i_read] = 7$ into S;

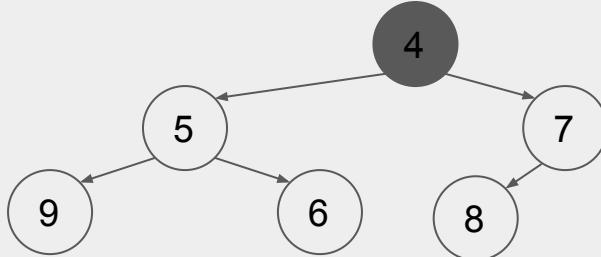
Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{write} \leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $last\_written \leftarrow \min\{x \in S\}$ 
  write  $last\_written$  to  $TMP[i_{write}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{write} \leftarrow i_{write} + 1$ 
  if  $R[i_{read}] \geq last\_written$  then
    insert  $R[i_{read}]$  into  $S$ 
  else
    insert  $R[i_{read}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
 $i_{write} \leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{read}]$  then
    write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
  else
    write  $x$  to  $OUT[i_{write}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order
  
```

(K, L) Sort by Binary Min Heap

Heap S



$i_{\text{read}} = 9$, $\text{last_written} = 4$, $i_{\text{write}} = 3$;

Write $\text{last_written} = 4$ to $\text{TMP}[i_{\text{write}}] = \text{TMP}[3]$

 TMP:

2	3	4							
---	---	---	--	--	--	--	--	--	--

9	4	3	2	5	6	8	7	1	10
---	---	---	---	---	---	---	---	---	----

else

 Insert $R[i_{\text{read}}] = 1$ into G;

 Heap G

1

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

create two binary heaps S, G

insert the first $k + \ell + 1$ tuples ($R[1], \dots, R[k + \ell + 1]$) into S

$i_{\text{write}} \leftarrow 1$

for $i_{\text{read}} = |S| + 1$ to n do {first pass}

 if $S = \emptyset$ then

 FAIL

 end if

$\text{last_written} \leftarrow \min\{x \in S\}$

 write last_written to $\text{TMP}[i_{\text{write}}]$

$S \leftarrow (S \setminus \{\text{last_written}\})$

$i_{\text{write}} \leftarrow i_{\text{write}} + 1$

 if $R[i_{\text{read}}] \geq \text{last_written}$ then

 insert $R[i_{\text{read}}]$ into S

 else

 insert $R[i_{\text{read}}]$ into G

 end if

end for

append all tuples in S to TMP , in sorted order

$i_{\text{write}} \leftarrow 1$

for $i_{\text{read}} = 1$ to $n - |G|$ do {second pass}

$x \leftarrow \min\{y \in G\}$

 if $x > \text{TMP}[i_{\text{read}}]$ then

 write $\text{TMP}[i_{\text{read}}]$ to $\text{OUT}[i_{\text{write}}]$

 else

 write x to $\text{OUT}[i_{\text{write}}]$

$G \leftarrow (G \setminus \{x\}) \cup \{\text{TMP}[i_{\text{read}}]\}$

 end if

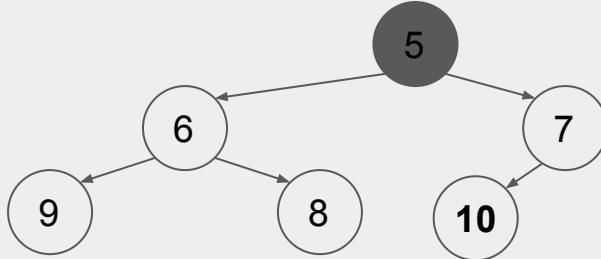
$i_{\text{write}} \leftarrow i_{\text{write}} + 1$

end for

append all tuples in G to OUT , in sorted order

(K, L) Sort by Binary Min Heap

Heap S



$i_read = 10$, $last_written = 5$, $i_write = 4$;

Write $last_written = 5$ to $TMP[i_write] = TMP[4]$

TMP:	2	3	4	5					
------	---	---	---	---	--	--	--	--	--

9	4	3	2	5	6	8	7	1	10
---	---	---	---	---	---	---	---	---	----

If ($R[i_read] = 10 \geq last_written = 5$) then

 Insert $R[i_read] = 10$ into S;

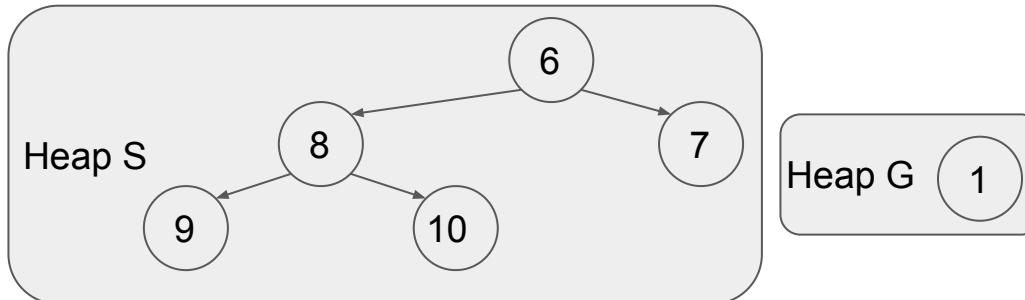
end for

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
i_write  $\leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
    if  $S = \emptyset$  then
        FAIL
    end if
     $last\_written \leftarrow \min\{x \in S\}$ 
    write  $last\_written$  to  $TMP[i_{write}]$ 
     $S \leftarrow (S \setminus \{last\_written\})$ 
     $i_{write} \leftarrow i_{write} + 1$ 
    if  $R[i_{read}] \geq last\_written$  then
        insert  $R[i_{read}]$  into  $S$ 
    else
        insert  $R[i_{read}]$  into  $G$ 
    end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
i_write  $\leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
     $x \leftarrow \min\{y \in G\}$ 
    if  $x > TMP[i_{read}]$  then
        write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
    else
        write  $x$  to  $OUT[i_{write}]$ 
    end if
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
     $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order
  
```

(K, L) Sort by Binary Min Heap



Append all tuples in S to TMP in sorted order;

TMP:	2	3	4	5	6	7	8	9	10	
------	---	---	---	---	---	---	---	---	----	--

i_write = 1;

for i_read = 1 to (n - |G| = 10 - 1 = 9):

i_read = 1;

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
iwrite  $\leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $last\_written \leftarrow \min\{x \in S\}$ 
  write  $last\_written$  to  $TMP[i_{write}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{write} \leftarrow i_{write} + 1$ 
  if  $R[i_{read}] \geq last\_written$  then
    insert  $R[i_{read}]$  into  $S$ 
  else
    insert  $R[i_{read}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
iwrite  $\leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{read}]$  then
    write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
  else
    write  $x$  to  $OUT[i_{write}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap



$i_read = 1, x = 1, i_write = 1;$

else

 Write ($x = 1$) to $OUT[i_write] = OUT[1]$

 Remove ($x = 1$) from G

 Add ($TMP[1] = 2$) to G

TMP:	2	3	4	5	6	7	8	9	10	
------	---	---	---	---	---	---	---	---	----	--

OUT:	1									
------	---	--	--	--	--	--	--	--	--	--

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{write} \leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
    if  $S = \emptyset$  then
        FAIL
    end if
     $last\_written \leftarrow \min\{x \in S\}$ 
    write  $last\_written$  to  $TMP[i_{write}]$ 
     $S \leftarrow (S \setminus \{last\_written\})$ 
     $i_{write} \leftarrow i_{write} + 1$ 
    if  $R[i_{read}] \geq last\_written$  then
        insert  $R[i_{read}]$  into  $S$ 
    else
        insert  $R[i_{read}]$  into  $G$ 
    end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
 $i_{write} \leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
     $x \leftarrow \min\{y \in G\}$ 
    if  $x > TMP[i_{read}]$  then
        write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
    else
        write  $x$  to  $OUT[i_{write}]$ 
         $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
    end if
     $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap

Similarly till the end of loop:

$i_read = 9, x = 9, i_write = 9;$

else

 Write ($x = 9$) to $OUT[i_write] = OUT[9]$

 Remove ($x = 9$) from G

 Add ($TMP[9] = 10$) to G

end for

TMP:	2	3	4	5	6	7	8	9	10	
------	---	---	---	---	---	---	---	---	----	--

OUT:	1	2	3	4	5	6	7	8	9	
------	---	---	---	---	---	---	---	---	---	--



Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation $R.$)

```

create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
 $i_{write} \leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
    if  $S = \emptyset$  then
        FAIL
    end if
     $last\_written \leftarrow \min\{x \in S\}$ 
    write  $last\_written$  to  $TMP[i_{write}]$ 
     $S \leftarrow (S \setminus \{last\_written\})$ 
     $i_{write} \leftarrow i_{write} + 1$ 
    if  $R[i_{read}] \geq last\_written$  then
        insert  $R[i_{read}]$  into  $S$ 
    else
        insert  $R[i_{read}]$  into  $G$ 
    end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
 $i_{write} \leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
     $x \leftarrow \min\{y \in G\}$ 
    if  $x > TMP[i_{read}]$  then
        write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
    else
        write  $x$  to  $OUT[i_{write}]$ 
         $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
    end if
     $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order

```

(K, L) Sort by Binary Min Heap



Append all tuples in G to OUT in sorted order.

OUT:	1	2	3	4	5	6	7	8	9	10
------	---	---	---	---	---	---	---	---	---	----

Best Case: Worst Case: Memory: Stable:
 $O(n \log(n))$ $O(n \log(n))$ $O(n)$ Yes

, where heap extractMin() and insert() takes $O(\log n)$.

Algorithm 1 (Sorts a (k, ℓ) -nearly sorted relation R .)

```
create two binary heaps  $S, G$ 
insert the first  $k + \ell + 1$  tuples ( $R[1], \dots, R[k + \ell + 1]$ ) into  $S$ 
iwrite  $\leftarrow 1$ 
for  $i_{read} = |S| + 1$  to  $n$  do {first pass}
  if  $S = \emptyset$  then
    FAIL
  end if
   $last\_written \leftarrow \min\{x \in S\}$ 
  write  $last\_written$  to  $TMP[i_{write}]$ 
   $S \leftarrow (S \setminus \{last\_written\})$ 
   $i_{write} \leftarrow i_{write} + 1$ 
  if  $R[i_{read}] \geq last\_written$  then
    insert  $R[i_{read}]$  into  $S$ 
  else
    insert  $R[i_{read}]$  into  $G$ 
  end if
end for
append all tuples in  $S$  to  $TMP$ , in sorted order
iwrite  $\leftarrow 1$ 
for  $i_{read} = 1$  to  $n - |G|$  do {second pass}
   $x \leftarrow \min\{y \in G\}$ 
  if  $x > TMP[i_{read}]$  then
    write  $TMP[i_{read}]$  to  $OUT[i_{write}]$ 
  else
    write  $x$  to  $OUT[i_{write}]$ 
     $G \leftarrow (G \setminus \{x\}) \cup \{TMP[i_{read}]\}$ 
  end if
   $i_{write} \leftarrow i_{write} + 1$ 
end for
append all tuples in  $G$  to  $OUT$ , in sorted order
```
