



# Algorithms for Symbolic Abstraction

Author: Jiawei Ren

Supervisor: Yulei Sui

# Algorithms for Symbolic Abstraction

Thakur, A., Elder, M., Reps, T. (2012). Bilateral Algorithms for Symbolic Abstraction. In: Miné, A., Schmidt, D. (eds) Static Analysis. SAS 2012. Lecture Notes in Computer Science, vol 7460. Springer, Berlin, Heidelberg. [https://doi.org/10.1007/978-3-642-33125-1\\_10](https://doi.org/10.1007/978-3-642-33125-1_10)

Peisen Yao, Qingkai Shi, Heqing Huang, and Charles Zhang. 2021. Program analysis via efficient symbolic abstraction. Proc. ACM Program. Lang. 5, OOPSLA, Article 118 (October 2021), 32 pages. <https://doi.org/10.1145/3485495>

# Abstract Interpretation

**Definition (Abstraction Interpretation).** The elements of the *abstract domain*  $\mathbb{A}$  are *abstract values* that approximates a set of *concrete values*, i.e., the values that a variable can take in the *concrete domain*  $\mathbb{C}$  during program execution.

*Example.*

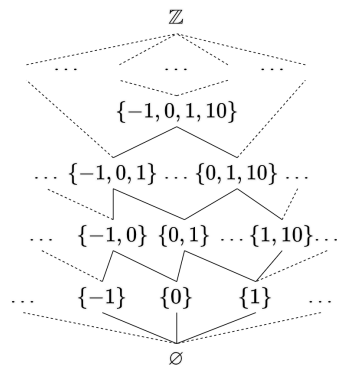
$$c = \{[x \rightarrow 2, y \rightarrow 200], [x \rightarrow 5, y \rightarrow 120], [x \rightarrow 10, y \rightarrow 20]\}$$

$$a = [x \rightarrow [2, 10], y \rightarrow [20, 200]]$$

# Lattice

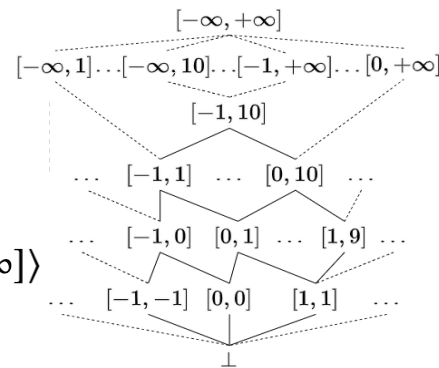
**Definition (Complete Lattice).** A partially ordered set  $(\mathbb{L}, \leq)$  is said to be a complete lattice if every subset  $M$  of  $\mathbb{L}$  has both a greatest lower bound (also called meet, denoted by  $\sqcap M$ ) and a least upper bound (also called join, denoted by  $\sqcup M$ ) in  $(\mathbb{L}, \leq)$ . A complete lattice has a greatest element, denoted by  $\top$ , and a least element, denoted by  $\perp$ , such that  $\perp \leq m \leq \top$ , for each  $m \in \mathbb{L}$ .

Powerset



$$\mathfrak{C} = \langle \mathbb{C}, \subseteq, \cap, \cup, \emptyset, \mathbb{Z} \rangle$$

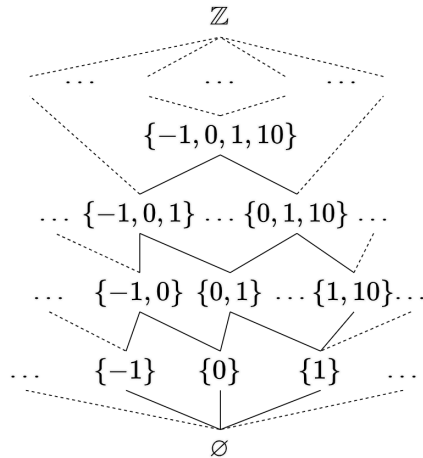
Interval



$$\mathfrak{C} = \langle \mathbb{C}, \subseteq, \cap, \cup, \perp, [-\infty, +\infty] \rangle$$

# Concrete Domain

**Definition (Concrete Domain).** We use  $\mathbb{S}$  to represent the set of concrete values that a program variable can have (e.g., integers, floats and strings) in any possible concrete execution. The concrete domain can be represented as  $\mathbb{C} = \mathcal{P}(\mathbb{S})$ , which is the powerset of  $\mathbb{S}$  equipped with the powerset lattice defined as  $\mathfrak{C} = \langle \mathbb{C}, \subseteq, \cap, \cup, \emptyset, \mathbb{S} \rangle$ , where the partial order is  $\subseteq$ , and  $\cap$  and  $\cup$  represent the meet and join operations respectively, and  $\emptyset$  and  $\mathbb{S}$  are the unique least and greatest elements of  $\mathbb{C}$ .

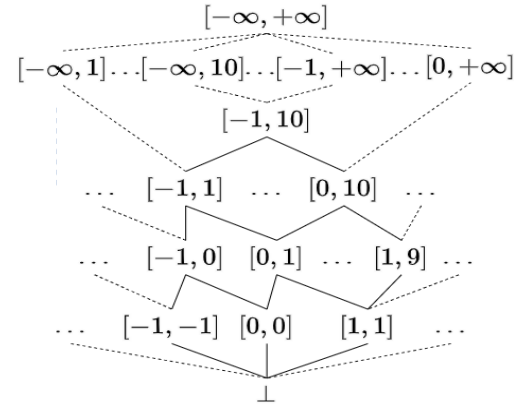


# Abstract Domain

**Definition (Abstract Domain).** The abstract domain  $\mathbb{A}$  is an over-approximate abstraction of  $\mathbb{C}$  with a concretization function  $\gamma \in \mathbb{A} \rightarrow \mathbb{C}$  based on a partial order  $\sqsubseteq$  over  $\mathbb{A}$  such that  $\forall a, a' \in \mathbb{A}, a \sqsubseteq a' \Leftrightarrow \gamma(a) \subseteq \gamma(a')$ . The partial order relations of an abstract domain  $\mathbb{A}$  form a lattice  $\mathfrak{A} = \langle \mathbb{A}, \sqsubseteq, \sqcap, \sqcup, \perp, \top \rangle$ , where  $\sqcap$  and  $\sqcup$  are the meet and join operations, and  $\perp_{\mathbb{A}}$  and  $\top_{\mathbb{A}}$  are unique least and greatest elements of  $\mathbb{A}$ .

Interval domain:  $\mathfrak{C} = \langle \mathbb{C}, \subseteq, \cap, \cup, \perp, [-\infty, +\infty] \rangle$

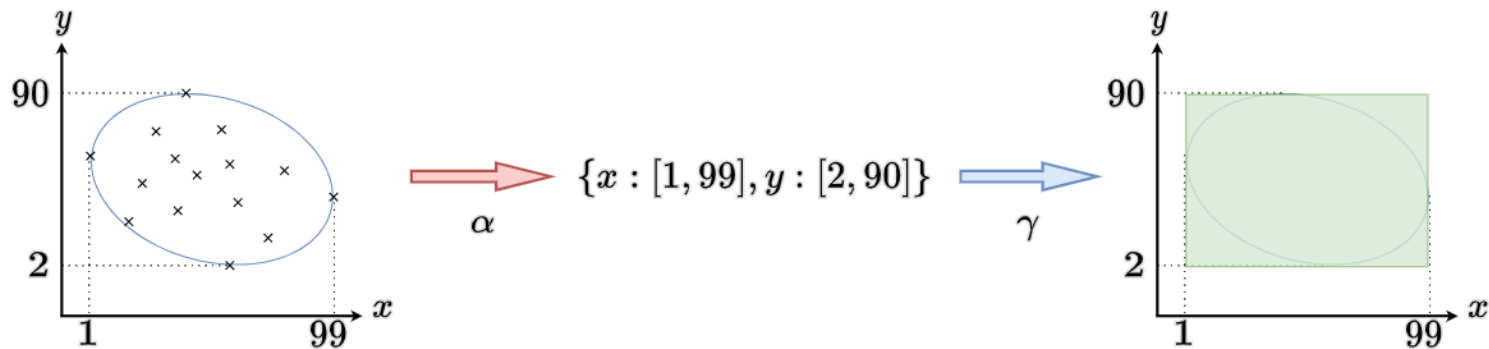
$$a_1 = [a, b], a_2 = [c, d] \rightarrow a_1 \cap a_2 = [b, c], a_1 \cup a_2 = [a, d]$$



# Galois connection

- Galois Connection expresses a two-way connections between  $\mathfrak{A}$  and  $\mathfrak{C}$  using
- (1) an abstraction function  $\alpha : \mathbb{C} \rightarrow \mathbb{A}$  mapping a set of concrete values to its abstract interpretation
  - (2) a concretization function  $\gamma : \mathbb{A} \rightarrow \mathbb{C}$  mapping a set of abstract values to concrete ones
  - (3) satisfying:

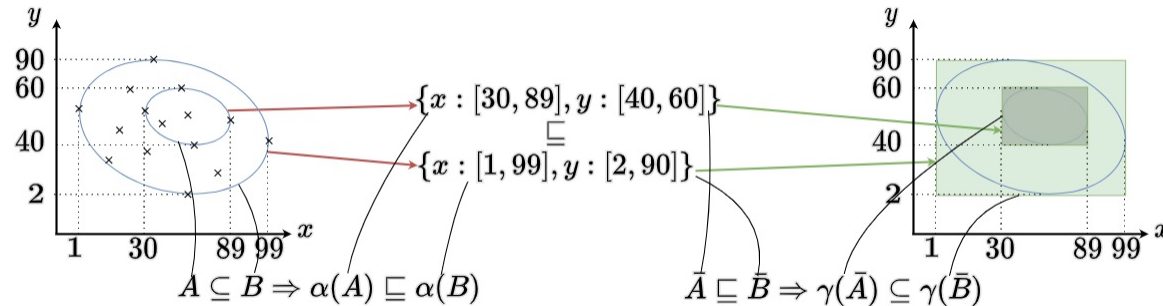
$$\alpha(c) \sqsubseteq_{\mathbb{A}} a \Leftrightarrow c \sqsubseteq_{\mathbb{C}} \gamma(a)$$



# Galois connection

- Galois Connection expresses a two-way connections between  $\mathfrak{A}$  and  $\mathfrak{C}$  using
- (1) an abstraction function  $\alpha : \mathbb{C} \rightarrow \mathbb{A}$  mapping a set of concrete values to its abstract interpretation
  - (2) a concretization function  $\gamma : \mathbb{A} \rightarrow \mathbb{C}$  mapping a set of abstract values to concrete ones
  - (3) satisfying:

$$\alpha(c) \sqsubseteq_{\mathbb{A}} a \Leftrightarrow c \sqsubseteq_{\mathbb{C}} \gamma(a)$$





# Galois connection

## Properties of Galois connection:

(1)  $\gamma$  uniquely determines  $\alpha$  by

$$\alpha(c) = \sqcap \{a | c \sqsubseteq_{\mathbb{C}} \gamma(a)\}$$

(2)  $\alpha$  is completely additive; that is, given  $C \in \mathbb{C}$ ,

$$\alpha(\sqcup C) = \sqcup \{\alpha(c) | c \in C\}$$

**Definition (Representation Function).** The representation function  $\beta$  maps a singleton concrete state  $\sigma$  such that  $\sigma \in \mathbb{C}$  to the least value in  $\mathbb{A}$  that over-approximates  $\{\sigma\}$ .

In other words,  $\beta$  returns the abstraction of a singleton concrete state; i.e.,

$$\beta(\sigma) = \alpha(\{\sigma\})$$

Example in interval domain.

$$\beta([x \rightarrow 1, y \rightarrow 2]) = [x \rightarrow [1, 1], y \rightarrow [2, 2]]$$

**Definition (Symbolic Concretization).** Given an abstract value  $A \in \mathbb{A}$ , the symbolic concretization of  $A$ , denoted by  $\hat{\gamma}(A)$ , maps  $A$  to a formula  $\hat{\gamma}(A)$  such that  $A$  and  $\hat{\gamma}(A)$  represent the same set of concrete states (i.e.,  $\gamma(A) = \llbracket \gamma(\hat{A}) \rrbracket$ ).

Example in interval domain.

$$a = [x \rightarrow [2, 10], y \rightarrow [20, 200]]$$

$$\hat{\gamma}(a) = 2 \leq x \leq 10 \wedge 20 \leq y \leq 200$$

## Moving from $L$ to $\mathbb{A}$

**Definition (Symbolic Abstraction).** Given  $\varphi \in L$ , the symbolic abstraction of  $\varphi$ , denoted by  $\hat{\alpha}(\varphi)$ , maps  $\varphi$  to the *best value* in  $\mathbb{A}$  that over-approximates  $\llbracket \varphi \rrbracket$  (i.e.,  $\hat{\alpha}(\varphi) = \alpha(\llbracket \varphi \rrbracket)$ ).

Example in interval domain.

$$a = [x \rightarrow [0, 1], y \rightarrow [0, 1], z \rightarrow [-\infty, +\infty]], \varphi = (x = y \wedge z = x - y)$$

Interval subtraction:

$$z = [x_{low} - y_{high}, x_{high} - y_{low}] = [-1, 1]$$

Symbolic abstraction:

$$\varphi' = \hat{\gamma}(a) \wedge \varphi = (0 \leq x \leq 1) \wedge (0 \leq y \leq 1) \wedge (x = y) \wedge (z = x - y)$$

$$\hat{\alpha}(\varphi') = [x \rightarrow [0, 1], y \rightarrow [0, 1], z \rightarrow [0, 0]]$$

# Two theorems

**Theorem 1.**  $\hat{\alpha}(\varphi) = \sqcup\{\beta(S) \mid S \models \varphi\}$

**Theorem 2.**  $\hat{\alpha}(\varphi) = \sqcap\{\alpha \mid \varphi \models \hat{\gamma}(a)\}$

double turnstile: if every sentence on the left is true, the sentence on the right must be true

# Symbolic abstraction algorithms

- The RSY algorithm: a framework for computing  $\hat{\alpha}$  that applies to any logic and abstract domain that satisfies certain conditions.
- The KS algorithm: an algorithm for computing  $\hat{\alpha}$  that only applies to QFBV logic and the domain of affine equalities. (smaller query and faster)
- The Bilateral algorithm: combining the advantages of RSY and KS algorithms and resilient to timeout.

Thakur, A., Elder, M., Reps, T. (2012). Bilateral Algorithms for Symbolic Abstraction. In: Miné, A., Schmidt, D. (eds) Static Analysis. SAS 2012. Lecture Notes in Computer Science, vol 7460. Springer, Berlin, Heidelberg. [https://doi.org/10.1007/978-3-642-33125-1\\_10](https://doi.org/10.1007/978-3-642-33125-1_10)

# RSY algorithm

**Theorem 1.**  $\hat{\alpha}(\varphi) = \sqcup\{\beta(S) \mid S \models \varphi\}$

$$A_0 = \perp$$

$$A_i = A_{i-1} \sqcup \beta(S_i), S_i \models \varphi, 1 \leq i \leq k \quad (\text{possibly no progress})$$

$$A_i = A_{i-1} \sqcup \beta(S_i), S_i \models \varphi \wedge \neg \hat{\gamma}(A_{i-1}), 1 \leq i \leq k \quad (\text{progress is guaranteed})$$

$$\perp = A_0 \sqsubset A_1 \sqsubset A_2 \sqsubset \cdots \sqsubset A_{k-1} \sqsubset A_k = \hat{\alpha}(\varphi)$$

## Sampling and Generalization:

Sampling at Line 5 and update lower at Line 11

If Timeout, simply return  $\top$  (Line 7)

If no solution (Line 8),  $lower = \hat{\alpha}(\varphi)$

---

**Algorithm 6:**  $\tilde{\alpha}_{\text{RSY}}^{\uparrow}(\mathcal{L}, \mathcal{A})(\varphi)$ 

---

```
1 lower  $\leftarrow \perp$ 
2
3 while true do
4
5   S  $\leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(\textit{lower}))$ 
6   if S is Timeout then
7     return  $\top$ 
8   else if S is None then
9     break //  $\varphi \Rightarrow \hat{\gamma}(\textit{lower})$ 
10  else //  $S \not\models \hat{\gamma}(\textit{lower})$ 
11    lower  $\leftarrow \textit{lower} \sqcup \beta(S)$ 
12  ans  $\leftarrow \textit{lower}$ 
13 return ans
```

---

# RSY algorithm

Example in interval domain.

$$lower = \perp, a = [x \rightarrow [0, 1], y \rightarrow [0, 1], z \rightarrow [-\infty, +\infty]], \varphi = (x = y \wedge z = x - y)$$

First round:

$$\varphi = (0 \leq x \leq 1) \wedge (0 \leq y \leq 1) \wedge (x = y) \wedge (z = x - y)$$

$$S = \{x \rightarrow 0, y \rightarrow 0, z \rightarrow 0\}$$

$$\beta(S) = [x \rightarrow [0, 0], y \rightarrow [0, 0], z \rightarrow [0, 0]]$$

$$lower = [x \rightarrow [0, 0], y \rightarrow [0, 0], z \rightarrow [0, 0]]$$

Second round:

$$\varphi \wedge \neg \hat{\gamma}(lower) = (0 \leq x \leq 1) \wedge (0 \leq y \leq 1) \wedge (x = y) \wedge (z = x - y) \wedge \neg(x = 0 \wedge y = 0 \wedge z = 0)$$

$$S = \{x \rightarrow 1, y \rightarrow 1, z \rightarrow 0\}$$

$$\beta(S) = [x \rightarrow [1, 1], y \rightarrow [1, 1], z \rightarrow [0, 0]]$$

$$lower = [x \rightarrow [0, 1], y \rightarrow [0, 1], z \rightarrow [0, 0]]$$

Third round:

$$\varphi \wedge \neg \hat{\gamma}(lower) = (0 \leq x \leq 1) \wedge (0 \leq y \leq 1) \wedge (x = y) \wedge (z = x - y) \wedge \neg(0 \leq x \leq 1 \wedge 1 \leq y \leq 1 \wedge z = 0)$$

$$S = \text{unsat}$$

---

**Algorithm 6:**  $\tilde{\alpha}_{\text{RSY}}^{\uparrow}(\mathcal{L}, \mathcal{A})(\varphi)$

---

```

1 lower  $\leftarrow \perp$ 
2
3 while true do
4
5   S  $\leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(\textit{lower}))$ 
6   if S is TimeOut then
7     return  $\top$ 
8   else if S is None then
9     break //  $\varphi \Rightarrow \hat{\gamma}(\textit{lower})$ 
10  else //  $S \not\models \hat{\gamma}(\textit{lower})$ 
11    lower  $\leftarrow \textit{lower} \sqcup \beta(S)$ 
12  ans  $\leftarrow \textit{lower}$ 
13 return ans

```

---

# KS algorithm

---

**Algorithm 6:**  $\tilde{\alpha}_{\text{RSY}}^{\uparrow}(\mathcal{L}, \mathcal{A})(\varphi)$ 

---

```
1 lower  $\leftarrow \perp$ 
2
3 while true do
4
5   S  $\leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(\textit{lower}))$ 
6   if S is TimeOut then
7     return  $\top$ 
8   else if S is None then
9     break //  $\varphi \Rightarrow \hat{\gamma}(\textit{lower})$ 
10  else //  $S \not\models \hat{\gamma}(\textit{lower})$ 
11    lower  $\leftarrow \textit{lower} \sqcup \beta(S)$ 
12 ans  $\leftarrow \textit{lower}$ 
13 return ans
```

---

---

**Algorithm 7:**  $\tilde{\alpha}_{\text{KS}}^{\uparrow}(\varphi)$ 

---

```
1 lower  $\leftarrow \perp$ 
2 i  $\leftarrow 1$ 
3 while i  $\leq \text{rows}(\textit{lower})$  do
4   p  $\leftarrow \text{Row}(\textit{lower}, -i)$  //  $p \sqsupseteq \textit{lower}$ 
5   S  $\leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(p))$ 
6   if S is TimeOut then
7     return  $\top$ 
8   else if S is None then
9     i  $\leftarrow i + 1$  //  $\varphi \Rightarrow \hat{\gamma}(p)$ 
10  else //  $S \not\models \hat{\gamma}(p)$ 
11    lower  $\leftarrow \textit{lower} \sqcup \beta(S)$ 
12 ans  $\leftarrow \textit{lower}$ 
13 return ans
```

---

An abstract value in the affine-equalities domain is a conjunction of affine equalities, which can be represented in a normal form as a matrix in which each row expresses a non-redundant affine equality. (Rows are 0-indexed.) Given a matrix  $m$ ,  $\text{rows}(m)$  returns the number of rows of  $m$  (as in line 3 in KS Algorithm), and  $\text{Row}(m, i)$ , for  $1 \leq i \leq \text{rows}(m)$ , returns row  $(\text{rows}(m) - i)$  of  $m$  (as in line 4 in KS Algorithm).



# KS algorithm

---

**Algorithm 6:**  $\tilde{\alpha}_{\text{RSY}}^{\uparrow}(\mathcal{L}, \mathcal{A})(\varphi)$ 

---

```
1 lower  $\leftarrow \perp$ 
2
3 while true do
4
5    $S \leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(\text{lower}))$ 
6   if  $S$  is TimeOut then
7     return  $\top$ 
8   else if  $S$  is None then
9     break //  $\varphi \Rightarrow \hat{\gamma}(\text{lower})$ 
10  else //  $S \not\models \hat{\gamma}(\text{lower})$ 
11    lower  $\leftarrow \text{lower} \sqcup \beta(S)$ 
12 ans  $\leftarrow \text{lower}$ 
13 return ans
```

---

---

**Algorithm 7:**  $\tilde{\alpha}_{\text{KS}}^{\uparrow}(\varphi)$ 

---

```
1 lower  $\leftarrow \perp$ 
2  $i \leftarrow 1$ 
3 while  $i \leq \text{rows}(\text{lower})$  do
4    $p \leftarrow \text{Row}(\text{lower}, -i)$  //  $p \sqsupseteq \text{lower}$ 
5    $S \leftarrow \text{Model}(\varphi \wedge \neg \hat{\gamma}(p))$ 
6   if  $S$  is TimeOut then
7     return  $\top$ 
8   else if  $S$  is None then
9      $i \leftarrow i + 1$  //  $\varphi \Rightarrow \hat{\gamma}(p)$ 
10  else //  $S \not\models \hat{\gamma}(p)$ 
11    lower  $\leftarrow \text{lower} \sqcup \beta(S)$ 
12 ans  $\leftarrow \text{lower}$ 
13 return ans
```

---

## Comparison:

RSY algorithm uses all of lower to construct the query and KS algorithm uses a single column from lower.

- KS has a larger number of queries than RSY
- each individual query issued by KS is smaller than RSY

Empirical study shows that KS algorithm is faster than RSY algorithm.

# Bilateral algorithm

Algorithm	Parametric	Resilient	Parsimonious
RSY	✓	×	×
KS	×	×	✓
Bilateral	✓	✓	✓

- Parametric: applicable to any abstract domain that satisfies certain conditions
- Resilient: (non-trivial) over-approximation when timeout
- Parsimonious: uses a successive-approximation algorithm that is parsimonious in its use of the decision procedure (similar to the KS algorithm)

# Bilateral algorithm

**Definition (Abstract Consequence).** An operation  $\text{AbstractConsequence}(\cdot, \cdot)$  is an acceptable abstract-consequence operation iff for all  $a_1, a_2 \in \mathbb{A}$  such that  $a_1 \sqsubset a_2$ ,  $a = \text{AbstractConsequence}(a_1, a_2)$  implies  $a_1 \sqsubseteq a$  and  $a_2 \not\sqsubseteq a$ .

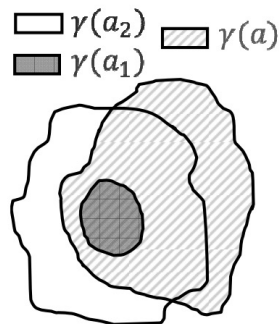
---

**Algorithm 12:**  $\text{AbstractConsequence}(a_1, a_2)$  for conjunctive domains

---

```
1 if  $a_1 = \perp$  then return  $\perp$ 
2
3 Let  $\Psi \subseteq \Phi$  be the set of formulas such that  $\hat{\gamma}(a_1) = \bigwedge \Psi$ 
4 foreach  $\psi \in \Psi$  do
5    $a \leftarrow \mu\hat{\alpha}(\psi)$ 
6   if  $a \not\sqsupseteq a_2$  then return  $a$ 
```

---



A simple Example from Github.

upper =  $[x \rightarrow [0, 5], y \rightarrow [1, 2], z \rightarrow [0, 1]]$

lower =  $[x \rightarrow [0, 1], y \rightarrow [1, 2], z \rightarrow [0, 1]]$

a =  $[x \rightarrow [0, 1], y \rightarrow [-\infty, +\infty], z \rightarrow [-\infty, +\infty]]$

# Bilateral algorithm

---

**Algorithm 11:**  $\tilde{\alpha}^\uparrow(\mathcal{L}, \mathcal{A})(\varphi)$ 

---

```
1 upper  $\leftarrow \top$ 
2 lower  $\leftarrow \perp$ 
3 while lower  $\neq$  upper  $\wedge$  ResourcesLeft do
// lower  $\sqsubset$  upper
4   p  $\leftarrow$  AbstractConsequence(lower, upper)
// p  $\sqsupseteq$  lower, p  $\not\sqsupseteq$  upper
5   S  $\leftarrow$  Model( $\varphi \wedge \neg \hat{\gamma}(p)$ )
6   if S is TimeOut then
7     return upper
8   else if S is None then                                     //  $\varphi \Rightarrow \hat{\gamma}(p)$ 
9     upper  $\leftarrow$  upper  $\sqcap$  p
10  else                                                         //  $S \not\models \hat{\gamma}(p)$ 
11    lower  $\leftarrow$  lower  $\sqcup \beta(S)$ 
12 ans  $\leftarrow$  upper
13 return ans
```

---

Line 6-7: Timeout return (non-trivial) over-approximation

Line 8-9: update *upper*

Line 10-11: update *lower*

**Theorem 1.**  $\hat{\alpha}(\varphi) = \sqcup \{\beta(S) \mid S \models \varphi\}$

**Theorem 2.**  $\hat{\alpha}(\varphi) = \sqcap \{\alpha \mid \varphi \models \hat{\gamma}(a)\}$

# Paper 2

Peisen Yao, Qingkai Shi, Heqing Huang, and Charles Zhang. 2021. Program analysis via efficient symbolic abstraction. Proc. ACM Program. Lang. 5, OOPSLA, Article 118 (October 2021), 32 pages. <https://doi.org/10.1145/3485495>

# Optimization Modulo Theories (OMT)

**Definition 2.3.** (Boxed OMT Problem) Given an SMT formula  $\varphi$  and a set of objectives  $\{g_1, \dots, g_n\}$ , the goal of the *multiple-independent-objective OMT problem* [Sebastiani and Trentin 2015b], a.k.a. *boxed OMT* is to find a set of models  $\{M_1, \dots, M_n\}$  of  $\varphi$  such that each  $M_i$  maximizes the objective  $g_i$  respectively.

Symbolic abstraction of interval domain can be reduced to boxed OMT problem

**Example 2.4.** Consider the integer formula  $\varphi(x, y) \equiv x \geq 0 \wedge y \geq 0 \wedge x + y \leq 10$  in Example 2.2. By setting the template as  $\{x, y, -x, -y\}$  and solving the boxed OMT problem “ $\max \{x, y, -x, -y\} \text{ s.t. } \varphi$ ”, we can obtain the maximal/minimal values of  $x$  and  $y$ . Clearly, the symbolic abstraction of  $\varphi$  in the interval domain is  $x \in [0, 10] \wedge y \in [0, 10]$ .

# Optimizing an object $g$

---

**Algorithm 1:** SMT-based binary search for optimizing a single objective.

---

**Input:** A QF\_BV formula  $\varphi$  and an objective  $g$

**Output:** The maximum value of  $g$  s.t.  $\varphi$

```
1 Function optimize_one_obj( $\varphi, g$ )
2    $ret, low, high \leftarrow \dots$ ;
3   while  $low \leq high$  do
4      $mid \leftarrow (low + high)/2$ ;
5      $\psi \leftarrow \varphi \wedge (mid \leq g \leq high)$ ;
6     if  $\psi$  is unsatisfiable then
7        $high \leftarrow mid - 1$ ;
8     else
9        $M \leftarrow$  a model of  $\psi$ ;           /* use  $M$  to update  $ret$  and  $low$  */
10       $ret \leftarrow M(g), low \leftarrow ret + 1$ ;
11  return  $ret$ ;
```

---

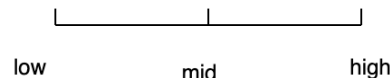
Target: maximize an object  $g$

Line 2:  $mid = (low + high)/2$

Line 5: use SMT solver to solve  $\varphi \wedge (mid \leq g \leq high)$

Line 6-7 (unsat) :  $high = mid - 1$

Line 8-10 (sat) :  $low = M(g) + 1$



**Example 3.1.** Consider a bit-vector formula  $\varphi(x)$  where  $x$  encodes a 3-bits unsigned integer. On the first round of a binary search, we have  $low = 0$ ,  $high = 7$ , and  $mid = 4$ . Thus, Algorithm 1 needs to solve the formula  $\varphi \wedge 4 \leq x \leq 7$ .

# Naïve way to maximize multiple objects

---

**Algorithm 2:** Naive SMT-based binary search for optimizing multiple objectives.

---

**Input:** A QF\_BV formula  $\varphi$  and a set of objectives  $G = \{g_1, \dots, g_n\}$

**Output:** The maximum values of  $g_1, \dots, g_n$  s.t.  $\varphi$

```
1 Function optimize_multi_obj( $\varphi, G$ )
2    $ret_1, \dots, ret_n \leftarrow \dots;$ 
3   foreach  $g_i \in G$  do
4      $ret_i \leftarrow \text{optimize\_one\_obj}(\varphi, g_i);$            /* invoke Algorithm 1 */
5   return  $ret_1, \dots, ret_n;$ 
```

---

Naïve way to maximize multiple objects:

Line 4: call Ag1 for each  $g_i$



# Solving the conjunctive predicate

---

**Algorithm 3:** Solving the conjunctive predicate abstraction problem.

---

**Input:** A formula  $\varphi$  and a set of predicates  $S = \{\phi_1, \dots, \phi_n\}$

**Output:** Decide the satisfiability of each  $\varphi \wedge \phi_i$  ( $1 \leq i \leq n$ )

```
1 Function decide_cpa( $\varphi, S$ )
2   while  $S \neq \emptyset$  do
3      $\Psi \leftarrow \bigvee_{\phi_i \in S} \phi_i$ ;                                /* merge the predicates */
4     if  $\varphi \wedge \Psi$  is unsatisfiable then
5       foreach  $\phi_i \in S$  do
6         mark  $\varphi \wedge \phi_i$  as unsatisfiable;
7         return;
8     else
9        $M \leftarrow$  a model of  $\varphi \wedge \Psi$ ;                        /* use  $M$  to filter  $\phi_i$  */
10      foreach  $\phi_i \in S$  do
11        if  $M \models \phi_i$  then
12          mark  $\varphi \wedge \phi_i$  as satisfiable;
13          remove  $\phi_i$  from  $S$ ;
```

---

Line 3:  $\Psi \leftarrow \bigvee_{\phi_i \in S} \phi_i$ ;  $\Rightarrow \varphi \wedge \Psi$  due to  $(\varphi \wedge \phi_1) \vee (\varphi \wedge \phi_2) \vee \dots = \varphi \wedge \bigvee_{\phi_i \in S} \phi_i$

Line 4: unsat  $\Rightarrow$  no solution for each  $\varphi \wedge \phi_i$

Line 9: sat  $\Rightarrow$  check if  $M$  entails  $\phi_i$   
then we find a solution for object  $g_i$

# Solving the conjunctive predicate

---

**Algorithm 3:** Solving the conjunctive predicate abstraction problem.

---

**Input:** A formula  $\varphi$  and a set of predicates  $S = \{\phi_1, \dots, \phi_n\}$

**Output:** Decide the satisfiability of each  $\varphi \wedge \phi_i$  ( $1 \leq i \leq n$ )

```
1 Function decide_cpa( $\varphi, S$ )
2   while  $S \neq \emptyset$  do
3      $\Psi \leftarrow \bigvee_{\phi_i \in S} \phi_i$ ;                               /* merge the predicates */
4     if  $\varphi \wedge \Psi$  is unsatisfiable then
5       foreach  $\phi_i \in S$  do
6         mark  $\varphi \wedge \phi_i$  as unsatisfiable;
7       return;
8     else
9        $M \leftarrow$  a model of  $\varphi \wedge \Psi$ ;                          /* use  $M$  to filter  $\phi_i$  */
10      foreach  $\phi_i \in S$  do
11        if  $M \models \phi_i$  then
12          mark  $\varphi \wedge \phi_i$  as satisfiable;
13          remove  $\phi_i$  from  $S$ ;
```

---

**Example 3.2.** Consider a bit-vector formula  $\varphi \equiv x \leq 2 \wedge \dots \wedge y \leq 3$  where  $x$  and  $y$  encode two 3-bits unsigned integers. At the first round of the binary search, we need to decide the satisfiability of  $\varphi \wedge 4 \leq x \leq 7$  and  $\varphi \wedge 4 \leq y \leq 7$ , respectively. Using Algorithm 3, we construct a formula  $\varphi \wedge (4 \leq x \leq 7 \vee 4 \leq y \leq 7)$ , which is unsatisfiable. Thus, we have that both  $\varphi \wedge 4 \leq x \leq 7$  and  $\varphi \wedge 4 \leq y \leq 7$  are unsatisfiable.

# Combine all algorithms

Line 8:  $\phi_i = mid_i \leq g_i \leq high_i$   
Line 17-19:  $unsat \Rightarrow$  update  $high_i$   
Line 22-27:  $sat \Rightarrow$  update  $low_i$   
 $\phi_i = mid_i \leq g_i \leq high_i$

Additional advantage:

Suppose there is a relation between  $g_i$  and  $g_{i+1}$   
When  $g_i$  is improved,  $g_{i+1}$  is also improved, so the algorithm converges faster.

---

**Algorithm 4:** Optimized boxed multi-objective optimization.

---

**Input:** A QF\_BV formula  $\varphi$  and a set of objectives  $G = \{g_1, \dots, g_n\}$

**Output:** The maximal values of  $g_1, \dots, g_n$  s.t.  $\varphi$

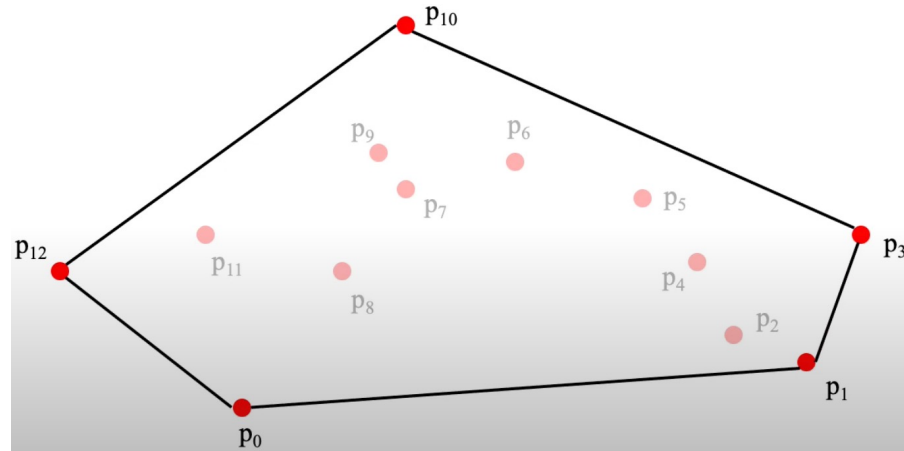
```
1 Function optimize_multi_obj( $\varphi, G$ )
2   initialize  $low_i, high_i, ret_i$  with an interval analysis [Gange et al. 2015];
3   while true do
4      $S \leftarrow \emptyset$ ;
5     foreach  $g_i \in G$  do
6       if  $low_i \leq high_i$  then
7          $mid_i \leftarrow (low_i + high_i)/2$ ;
8          $S \leftarrow S \cup \{mid_i \leq g_i \leq high_i\}$ ;
9       if  $S == \emptyset$  then
10        break;                                     /* all variables optimized */
11      else
12        decide_cpa_ext( $\varphi, S$ );                       /* an extension of Algorithm 3 */
13  return  $ret_1, \dots, ret_n$ ;
14 Function decide_cpa_ext( $\varphi, S$ ):
15  while true do
16     $\Psi \leftarrow \bigvee_{\phi_i \in S} \phi_i$ ;                /* merge the predicates */
17    if  $\varphi \wedge \Psi$  is unsatisfiable then
18      foreach  $\phi_i \in S$  do
19         $high_i \leftarrow mid_i - 1$ ;
20      return;
21    else
22       $M \leftarrow$  a model of  $\varphi \wedge \Psi$ ;                /* use  $M$  to update  $low_i$  and  $mid_i$  */
23      foreach  $\phi_i \in S$  do
24        if  $M \models \phi_i$  then
25           $ret_i \leftarrow M(g_i), low_i \leftarrow ret_i + 1$ ;
26           $mid_i \leftarrow (low_i + high_i)/2$ ;
27           $\phi_i \leftarrow mid_i \leq g_i \leq high_i$ ;
```

---

# Polyhedral abstraction with symbolic intervals

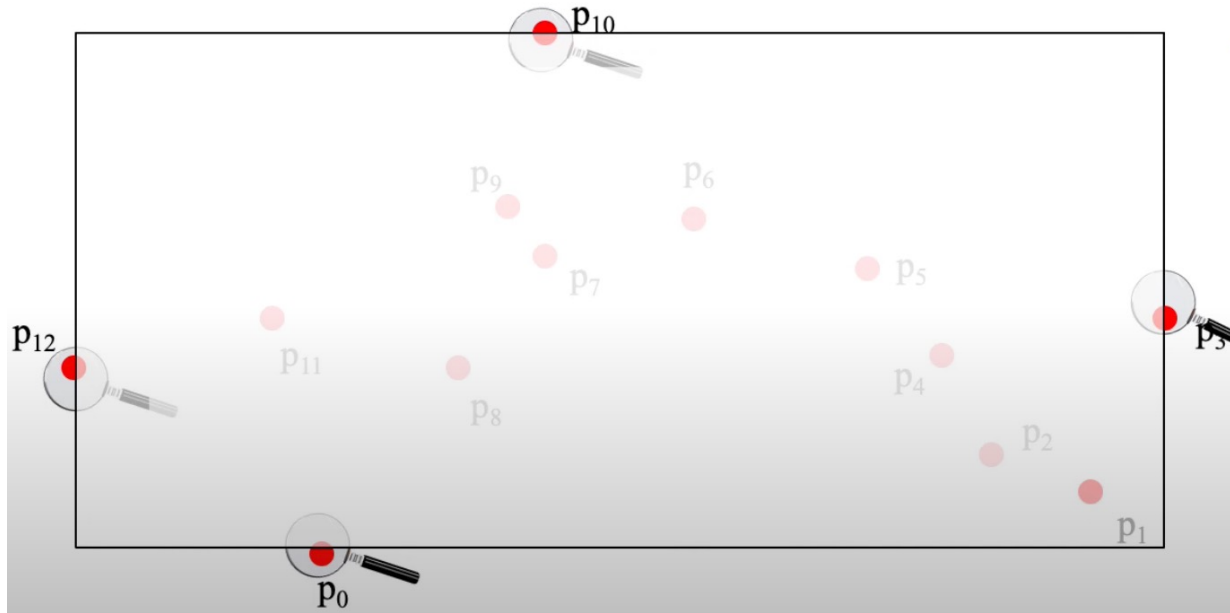
1. Find an interval, determine the extremal points and create a polyhedral abstraction.
2. Find an interval from uncovered points and add the new extremal points to the polyhedral abstraction.

Example.



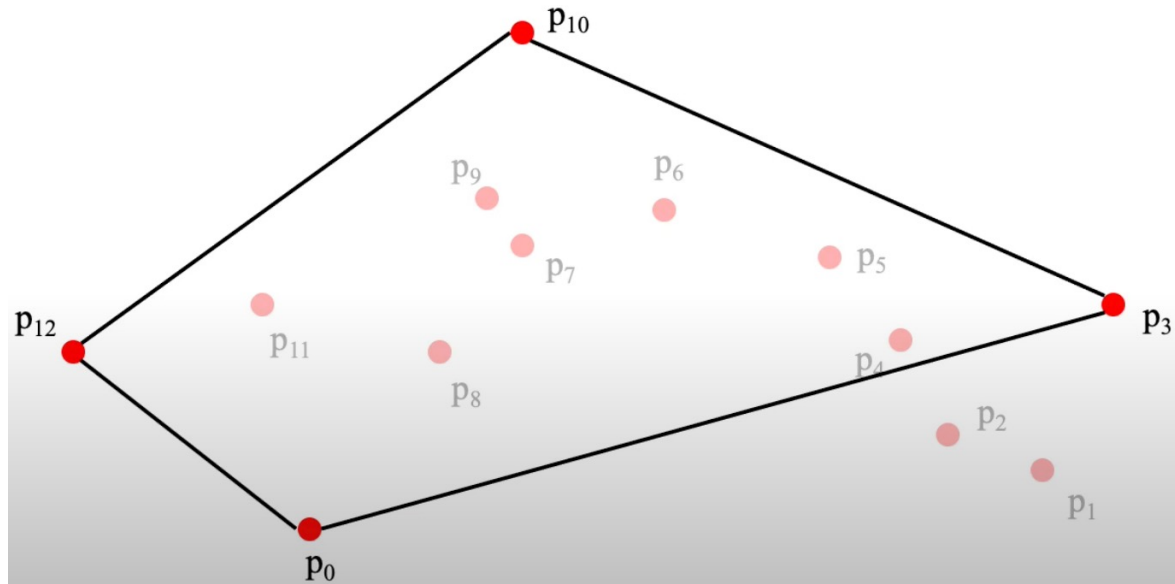
# Polyhedral abstraction with symbolic intervals

Construct an interval abstraction and get extremal points  $\{p_0, p_3, p_{10}, p_{12}\}$



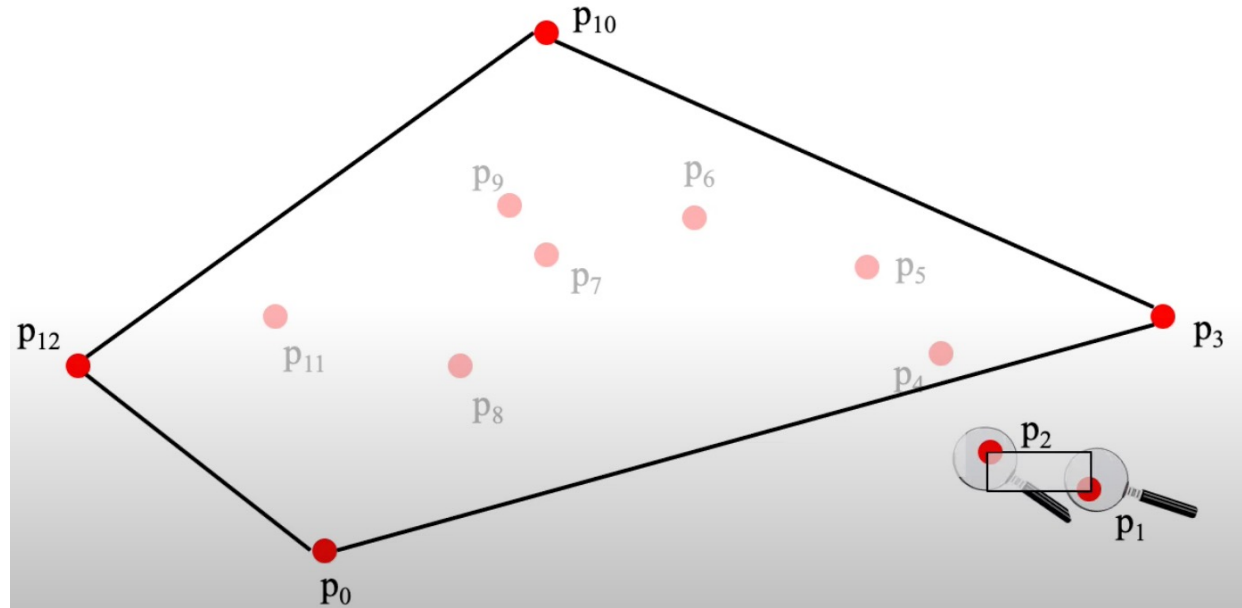
# Polyhedral abstraction with symbolic intervals

Construct a polyhedral abstraction based on extremal points



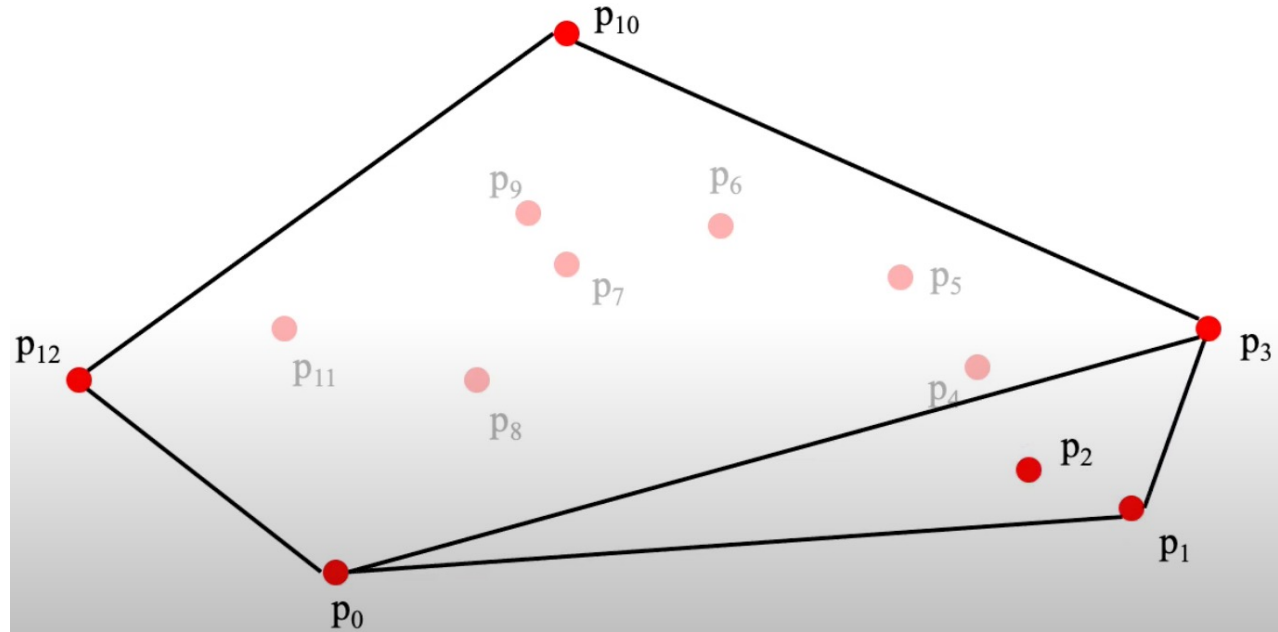
# Polyhedral abstraction with symbolic intervals

Find uncovered points and generate a new interval abstraction



# Polyhedral abstraction with symbolic intervals

Merge the interval abstraction to the polyhedral abstraction.





# Thanks