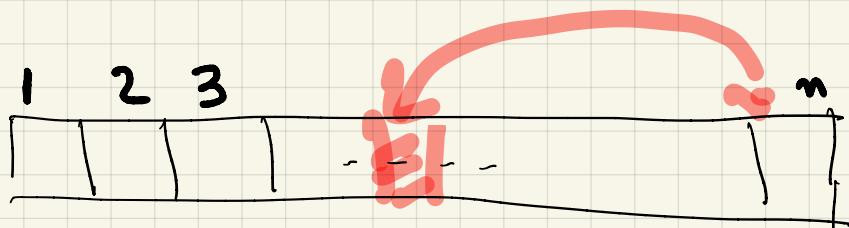


DIVIDE - AND - CONQUER PARADIGM

1. DIVIDE PROBLEM INTO SMALLER SUBPROBLEMS
2. SOLVE THE SUBPROBLEMS RECURSIVELY
3. COMBINE THE SOLUTIONS OF SUBPROBLEMS INTO A SOL. OF THE ORIGINAL PROBLEMS

SELECTION SORT



array of n integers
TASK: Sort them

$$v = \text{find_max}(a[1..n])$$

$$\text{swap } v \leftrightarrow a[n]$$

$$\text{select_sort}(a[1..n-1])$$

SELECT-SORT ($a[1..r]$)

if ($r < 1$) exit

else { max = $a[1]$; ind-max = 1; ;

for ($i = 1$ to r) if ($a[i] > \text{max}$) {

$\text{max} = a[i]$; $\text{ind_max} = i$; }

$a[\text{ind_max}] \leftrightarrow a[r]$

SELECT-SORT($a[1..r-1]$)

{

recursive implementation

RECURRENCE:

$$T(n) = c \cdot n + T(n-1)$$

time for recursive call
or input of size $n-1$

time for left-to-right pass

SOLVE RECURRENCE BY ITERATION

$$T(n) = C \cdot n + T(n-1)$$

$$T(n-1) = C \cdot (n-1) + T(n-2)$$

$$T(n-2) = C \cdot (n-2) + T(n-3)$$

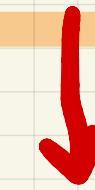
~
o
:

$$T(1) = C \cdot 1 + T(0)$$

$$\overbrace{T(n) + T(n-1) + \dots + T(1)}^{\text{[Orange Bar]}} = C \cdot (1+2+\dots+n) +$$
$$+ T(n-1) + \dots + T(1) + T(0)$$
$$\overbrace{\quad\quad\quad\quad\quad}^{\text{[Orange Bar]}}$$

$$T(n) = C \cdot \frac{n(n+1)}{2}$$

$$T(n) = \Theta(n^2)$$



SUBSTITUTION
METHOD

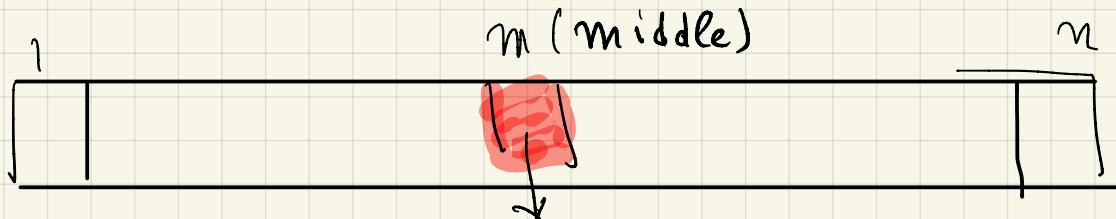
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BINARY SEARCH

INPUT : Sorted array $a[1..n]$ (sorted \nearrow) and integer x

GOAL : Determine if x is in the array and where it is.



Compare x with x and continue recursively
to the left, or to the right .

Bin Search ($a[l..r]$, x) {

if ($l > r$ and $a[l] == x$)

{ print " x at position l "; return; }

if ($l > r$ and $a[l] != x$)

{ print " x not in the array"; return; }

$$m = l + \frac{r-l}{2}.$$

if ($x \leq a[m]$) { $r = m$; Bin Search ($a[l..r]$, x) }

else { $l = m+1$; Bin Search ($a[l..r]$, x) }

}

RECURRENCE

$$T(n) = T(n/2) + c$$

SOLVE RECURRENCE

$$T(n) = T(n/2) + c$$

$$T(n/2) = T(n/4) + c$$

$$T(n/4) = T(n/8) + c$$

.

.

.

$$T\left(\frac{n}{2^{k-1}}\right) = T\left(\frac{n}{2^k}\right) + c$$

$\underbrace{= 2}_{= 1}$

ADD + CANCEL

↓↓

$$T(n) = \underbrace{c + c + \dots + c}_{k \text{ times}} + T(1)$$

$$= c \cdot k + T(1)$$

$$= c \cdot \log n + T(1) = \Theta(\log n)$$

Assume $n = 2^k$.

$k = \log n$

What if n is not a power of 2 ?

Then for some k : $2^{k-1} < n < 2^k \Leftrightarrow k-1 < \log n < k$

So $k = \lceil \log n \rceil \approx \log n$



$$\underline{T(2^{k-1})} \leq \underline{T(n)} \leq \underline{T(2^k)}$$

$$C \cdot (k-1) + T(1) \leq T(n) \leq C \cdot k + T(1)$$

So: $T(n) = \Theta(\log n)$.

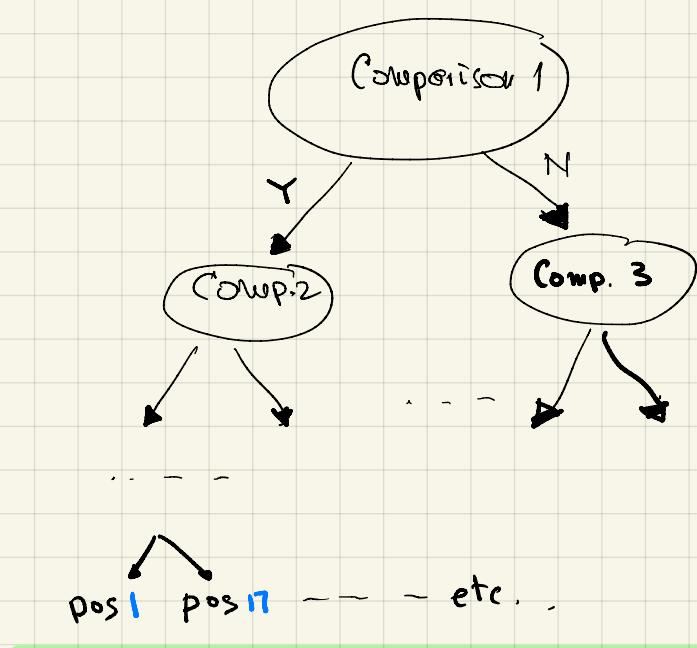
WE CAN ALWAYS ASSUME n has the "nice" form we need.

LOWER BOUND FOR THE SEARCH PROBLEM

Q: Can we find x in array $a[1..n]$ with less than $\log n$ comparisons?

A: NO!

PROOF: Suppose there is an algorithm that finds x with $(\log n - 1)$ comparisons ('Y' or 'N' answer) (for all x).



Then each $x \in \{1, \dots, n\}$ can be described by

a sequence of at most $(\log n - 1)$ 'Y's and 'N's.

$$I = 'Y Y \dots Y'$$

$$I' = 'Y N \dots N'$$

etc.

$I \rightarrow Y Y \dots$ (the path that indicates where is x)

.

;

:

$n \rightarrow N Y \dots \dots$

$$n \text{ elements} \leq 2^{\log n - 1} = \frac{n}{2} \text{ labels. IMPOSSIBLE!}$$

MODULAR EXPONENTIATION

$2^n \pmod{m}$. Suppose m is fixed (const.)
we want an efficient alg.
as a function of n .

NAIVE ALG: $\underbrace{2 \cdot 2 \cdot \dots \cdot 2}_{n \text{ times}}$

Suppose n is 200 bits long

$$n \geq 100 \dots 0 = 2^{199}$$

200 bits

$$\text{one year} \approx 32 \cdot 10^6 \text{ sec} \approx 2^5 \cdot 2^{20} \text{ sec.}$$

- Suppose we can do $10^6 \approx 2^{20}$ multiplications per second

- TIME: $\frac{2^{199}}{2^{20} \cdot 2^{20}} = 2^{154} \text{ years} \gg \text{Age of Universe}$

DIVIDE-AND-CONQUER

$$2^{20} = 2^{10} \times 2^{10}$$

$$2^{21} = 2 \times 2^{10} \times 2^{10}$$

$$2^n = \begin{cases} 2^{n/2} \cdot 2^{n/2}, & \text{if } n \text{ even} \\ 2^{n/2} \cdot 2^{n/2} \cdot 2, & \text{if } n \text{ odd} \end{cases}$$

$T(n) = \text{no. of multiplications}$

for input n

mod-exp(n) {

if ($n == 0$) return 1;

if ($n == 1$) return 2 (mod m);

else {

$t = \text{mod-exp}(n/2)$

$T(\frac{n}{2})$

if (n even) return $t \times t \pmod{m}$

1 multiplication

else return $t \times t \times 2 \pmod{m}$.

—————

—————

2 multiplications

RECURRENCE

$$T(n) = T\left(\frac{n}{2}\right) + 2$$

SOLUTION : $T(n) = \Theta(\log n)$

\Downarrow LINEAR TIME! (not logarithmic)

because input size = $\lceil \log(n+1) \rceil \approx \log n$

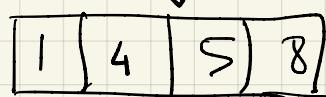
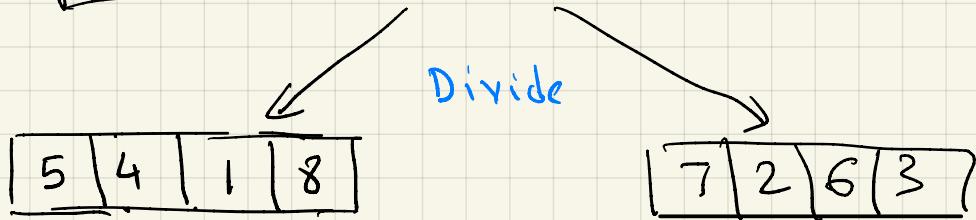
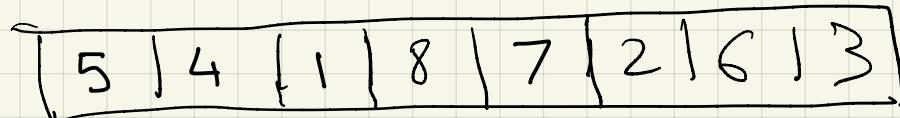
MERGE SORT



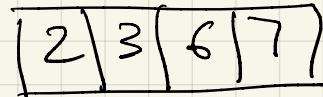
- (1) SORT LEFT HALF
- (2) SORT RIGHT HALF



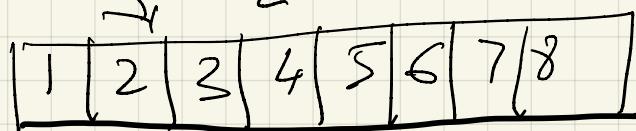
- (3) MERGE THE TWO HALVES



Sort recursively



Merge



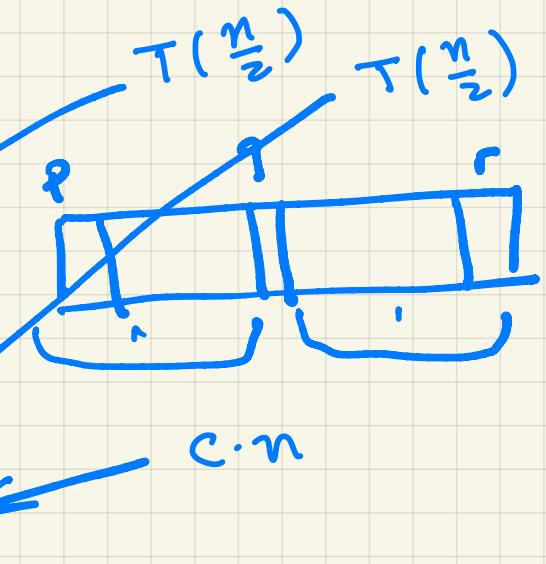
$\text{mergeSort}(A[P..r])$

$$q = p + \frac{r-p}{2};$$

$\text{mergeSort}(A[p..q])$;

$\text{mergeSort}(A[q+1..r])$;

$\text{merge}(A[3, p, q, r])$;



MERGE(A, p, q, r)

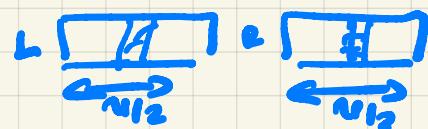
```
1   $n_1 = q - p + 1$ 
2   $n_2 = r - q$ 
3  let  $L[1..n_1 + 1]$  and  $R[1..n_2 + 1]$  be new arrays
4  for  $i = 1$  to  $n_1$            ← copy left half in L
5       $L[i] = A[p + i - 1]$ 
6  for  $j = 1$  to  $n_2$            ← copy right half in R
7       $R[j] = A[q + j]$ 
8   $L[n_1 + 1] = \infty$ 
9   $R[n_2 + 1] = \infty$ 
10  $i = 1$ 
11  $j = 1$ 
12 for  $k = p$  to  $r$ 
13     if  $L[i] \leq R[j]$ 
14          $A[k] = L[i]$ 
15          $i = i + 1$ 
16     else  $A[k] = R[j]$ 
17          $j = j + 1$ 
```

Traverse L and R simultaneously
write the smaller elem back in A

RUNTIME for merge : $C \cdot n$ (or $O(n)$)

Why: Copy A into L, R: $O(n)$ ↳ TOTAL: $O(n)$.

Write back in A : $O(n)$



RECURRENCE

$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + c \cdot n$$

SOLVING THE RECURRENCE

Assume $n = 2^k$; so $k = \log n$.

$$T(n) = 2 \cdot T(n/2) + c \cdot n$$

$$2T\left(\frac{n}{2}\right) = 2\left(2T\left(\frac{n}{4}\right) + c \cdot \frac{n}{2}\right) + cn = 2^2 \cdot T\left(\frac{n}{2^2}\right) + 2cn$$

$$2^2 T\left(\frac{n}{2^2}\right) = 2^2 \left(2T\left(\frac{n}{2^3}\right) + c \cdot \frac{n}{2^2}\right) + 2cn = 2^3 \cdot T\left(\frac{n}{2^3}\right) + 3cn$$

⋮

$$2^{k-1} \cdot T\left(\frac{n}{2^{k-1}}\right) = 2^{k-1} \left(2 \cdot T\left(\frac{n}{2^k}\right) + c \cdot \frac{n}{2^{k-1}}\right) + (k-1) \cdot c \cdot n =$$

$$= 2^k \cdot T\left(\frac{n}{2^k}\right) + c \cdot k \cdot n$$

\downarrow \downarrow \downarrow
 $= n$ $= 1$ $\log n$

$$= n \cdot T(1) + c \cdot n \cdot \log n$$

$$= \Theta(n \cdot \log n)$$

RECURSION TREE for MergeSort

level 0

n entire input: n elements

level 1

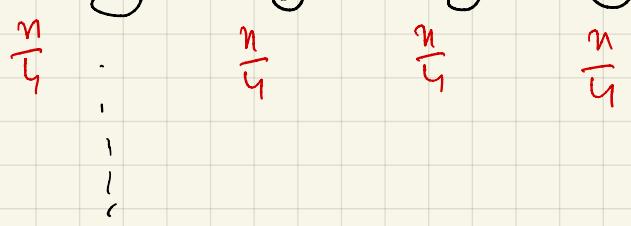
merge: Cn operations



each node: $\frac{n}{2}$ elements

level 2

merge: $C\frac{n}{2} + C\frac{n}{2} = Cn$



each node: $\frac{n}{4}$ elements

level K

○ ← single elements



At each level: amount of work is $C \cdot n$

(to do merge+rec. calls)

no. of levels: $\log n$

TOTAL WORK: $K \cdot Cn = \log n \cdot Cn$

$$= Cn \log n$$

MASTER THEOREM

RECURRENCE

$$T(n) \leq a \cdot T\left(\frac{n}{b}\right) + f(n) ; \quad a \geq 1, b > 1;$$

a: no. of rec. calls

b: shrinkage factor

f(n): time for "combine step".

SOLUTION

$$T(n) = \begin{cases} \Theta(n^{\log_b a}), & \text{if } \frac{n^{\log_b a}}{f(n)} = \Omega(n^\epsilon) \text{ (CASE 1)} \\ \Theta(f(n) \cdot \log n), & \text{if } \frac{n^{\log_b a}}{f(n)} = \Theta(1) \text{ (CASE 2)} \\ \Theta(f(n)), & \text{if } \frac{f(n)}{n^{\log_b a}} = \Omega(n^\epsilon) \text{ (CASE 3)} \end{cases}$$

So: $n^{\log_b a}$ vs $f(n)$

If TIE, $T(n) = \Theta(f(n) \cdot \log n)$

If $\frac{\text{WINNER}}{\text{LOSER}} = \text{poly}(n) \Rightarrow T(n) = \Theta(\text{WINNER})$

Else MASTER TH DOES NOT APPLY

MASTER Th. → Examples

$$(1) \quad T(n) = 9T\left(\frac{n}{3}\right) + n$$

$$(2) \quad T(n) = T\left(\frac{2n}{3}\right) + 1$$

$$(3) \quad T(n) = 3T\left(\frac{n}{4}\right) + n \cdot \log n$$

$$(4) \quad T(n) = 2T\left(\frac{n}{2}\right) + n \cdot \log n$$

(1)

$$T(n) = 9 \cdot T\left(\frac{n}{3}\right) + n$$

$$a=9, b=3, f(n)=n$$

$$n^{\log_3 9} \text{ vs. } n$$

$$\begin{array}{c} n^2 \\ \curvearrowleft \\ \text{WINNER} \end{array} \quad \text{vs.} \quad \begin{array}{c} n \\ \curvearrowright \\ \text{LOSER} \end{array}$$

$$\frac{W}{L} = \frac{n^2}{n} = n \rightarrow \text{OK for Master Th.}$$

$$T(n) = \Theta(\text{WINNER}) = \Theta(n^2)$$

(2)

$$T(n) = T\left(\frac{2n}{3}\right) + 1$$

$\downarrow \frac{2n}{3} = \frac{n}{(3/2)}$

$$a = 1$$

$$b = 3/2$$

$$f(n) = 1$$

$$n^{\log_b a} \quad \text{vs.} \quad f(n)$$

$$n^{\log_{3/2} 1} = 0 \quad \text{vs.} \quad 1$$

$$1 \quad \text{vs.} \quad 1 \longrightarrow \text{TIE}$$

$$T(n) = \Theta(1 \cdot \log n) = \Theta(\log n)$$

(3)

$$T(n) = 3 T\left(\frac{n}{4}\right) + n \cdot \log n$$

$$a = 3$$

$$b = 4$$

$$f(n) = n \cdot \log n$$

$$n^{\log_4 3}$$

vs.

$$n \cdot \log n$$

LOSER

WINNER

$$\frac{\text{WINNER}}{\text{LOSER}} = \frac{n \cdot \log n}{n^{\log_4 3}} > n^{0.1} \cdot \log n$$

0.7924...

$$T(n) = \Theta(\text{WINNER}) = \Theta(n \cdot \log n)$$

(4)

$$T(n) = 2T\left(\frac{n}{2}\right) + n \cdot \log n$$

$$\alpha = 2$$

$$b = 2$$

$$f(n) = n \cdot \log n$$

$$n^{\log_2 2} \text{ vs } n \cdot \log n$$

n^1
WINNER

vs.

$n \cdot \log n$
LOSER

$$\frac{\text{WINNER}}{\text{LOSER}} = \frac{n \cdot \log n}{n} = \log n \begin{cases} \text{not a} \\ \text{polynomial} \end{cases}$$

4

WE CANNOT USE MASTER TH.

EXAMPLES of other recurrences

(1) $T(n) = T(n-1) + \sqrt{n}$, $T(0) = 1$

(2) $T(n) = T(n-1) + \log n$, $T(0) = 1$

(1) $T(n) = T(n-1) + \sqrt{n}$
 $= T(n-2) + \sqrt{n-1} + \sqrt{n}$

$$= T(n-3) + \sqrt{n-2} + \sqrt{n-1} + \sqrt{n}$$

' |'

$$= T(0) + \underbrace{\sqrt{1} + \sqrt{2} + \sqrt{3} + \dots + \sqrt{n}}_S$$

estimate using integrals or
the "cut-and-bound" technique

$$1 + \sqrt{2} + \sqrt{3} + \dots + \sqrt{n} \leq n \cdot \sqrt{n}$$



$$1 + \sqrt{2} + \sqrt{3} + \dots + \sqrt{n} \geq \frac{n}{2} \cdot \sqrt{\frac{n}{2}}$$

$$\text{So } T(n) = \Theta(n \cdot \sqrt{n})$$

Estimation using integrals

$$S = \sqrt{1} + \sqrt{2} + \sqrt{3} + \dots + \sqrt{n}$$

$$f(x) = \sqrt{x} ; \quad S = f(1) + f(2) + \dots + f(n)$$

$$\int_0^n f(x) dx \leq S \leq \int_1^{n+1} f(x) dx$$

$$S \geq \int_0^n x^{1/2} dx = \frac{x^{3/2}}{3/2} \Big|_0^n = \frac{n^{3/2}}{3/2} - 0$$

$$S \leq \int_1^{n+1} x^{1/2} dx = \frac{x^{3/2}}{3/2} \Big|_1^{n+1} = \frac{(n+1)^{3/2}}{3/2} - \frac{1}{3/2}$$

$$\Rightarrow S = \Theta(n^{3/2}).$$

(2)

$$T(n) = T(n-1) + \log n$$

$$= T(n-2) + \log(n-1) + \log n$$

$$= T(n-3) + \log(n-2) + \log(n-1) + \log n$$

'

'

'

'

$$= T(0) + \log(1) + \log(2) + \dots + \log n$$

S

We estimate S.

CUT-AND-BOUND METHOD

$$S \leq n \cdot \log n$$

$$S = O(n \cdot \log n)$$

$$S \geq \frac{n}{2} \cdot \log \frac{n}{2} = \frac{1}{2} \cdot n (\log n - 1)$$

$$= \frac{1}{2} n \cdot \log n - \frac{1}{2} n$$

$$\text{So } S = \Theta(n \cdot \log n)$$

USING INTEGRALS

$$S = \log_2 1 + \log_2 2 + \dots + \log_2 n$$

≈ 0

$$f(x) = \log_2 x = \log_2 e \cdot \ln x$$

$$S = f(2) + \dots + f(n)$$

$$\begin{aligned} S &\geq \int_1^n \log_2 e \cdot \ln x \, dx \\ &= \log_2 e \cdot \int_1^n \ln x \, dx \end{aligned}$$

$$\begin{aligned} u = \ln x &\Rightarrow du = \frac{1}{x} dx \\ du = dx &\Rightarrow u = x \end{aligned}$$

$$\begin{aligned} &\log_2 e \cdot \left(x \ln x - \int 1 \, dx \right) \Big|_1^n \\ &= \log_2 e \left[n \ln n - (n-1) \right] \\ &= \Omega(n \cdot \ln n) \end{aligned}$$

$$\begin{aligned} S &\leq \int_2^{n+1} \log_2 e \cdot \ln x \, dx \\ &= \log_2 e \cdot \left(x \ln x \Big|_2^{n+1} - \int_2^{n+1} 1 \, dx \right) \\ &\quad \text{((n+1) · ln(n+1) - 2 ln 2)} \end{aligned}$$

$$\begin{aligned} &\quad \text{((n+1) - 2)} \\ &= O(n \cdot \ln n) \end{aligned}$$

So: $S = \Theta(n \cdot \log n)$