

# 1 Data Structures and Algorithm Analysis

(based on slides of Harry Zhou)

## Algorithm:

A finite sequence of operations that solves a given task

Good characteristics:

- correctness
- efficiency
- elegance, simplicity, robustness

## Data Structures:

A way to organize data so that common operations (e.g. insert a new item, search, etc.) are performed efficiently

Examples: linked lists, queues, stacks, trees, graphs

## 2 The maximum contiguous subsequence sum problem

Given integers  $A_1 A_2 \dots A_n$ .

Find the sequence that produces the max value  $\sum_{k=i}^j A_k$

The sum is a zero if all integers  $< 0$

Example:

-2 11 -4 13 -5 2

The sum of the sequence from the 2nd to the 4th number is 20, which is the largest possible value

1 -3 4 -2 -1 6

The sum of the sequence from the 3rd number to the 6th number (4 -2 -1 6) is the largest possible value: 7

### 3 Algorithm 1: conduct an exhaustive search(a brute force algorithm)

It calculates all subsequences, such as the sequence of 1 number, the sequence of 2 numbers, and so on, starting at the first position, then at the 2nd position, until the last position

```
max = 0
for(i=0; i<length; i++)
  for(j=i; j<length; j++)
    sum = 0
    for(k=i; k<=j; k++)
      sum += a[k]
    if(sum > max)
      max = sum
      start = i
      end = j
```

**Time complexity:  $O(n^3)$**

**Example:** The following numbers are in the array **a**:

-2 11 -4 13 -5

Trace:

(1) i=0

j=0    sum = -2

j=1    sum = -2+11

j=2    sum = -2+11-4

j=3    sum = -2+11-4+13

j=4    sum = -2+11-4+13-5

max = 18

i=0

j=3

## 4 Cont. trace

(2)  $i=1$

$j=1$        $\text{sum} = 11$   
 $j=2$        $\text{sum} = 11-4$   
 $j=3$        $\text{sum} = 11-4+13$   
 $j=4$        $\text{sum} = 11-4+13-5$

$\text{max} = 20$

$i=1$

$j=3$

(3)  $i=2$

$j=2$        $\text{sum} = -4$   
 $j=3$        $\text{sum} = -4+13$   
 $j=4$        $\text{sum} = -4+13-5$

$\text{max}, i \text{ and } j \text{ remain unchanged}$

(4)  $i=3$

$j=3$        $\text{sum} = 13$   
 $j=4$        $\text{sum} = 13-5$

$\text{max}, i \text{ and } j \text{ remain unchanged}$

(5)  $i=4$

$j=4$        $\text{sum} = -5$

$\text{max}, i \text{ and } j \text{ remain unchanged}$

## SOME SUMS

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

$$1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

ALG.1

```
max = 0
for(i=0; i<length; i++)
  for(j=i; j<length; j++)
    sum = 0
    for(k=i; k<=j; k++)
      sum += a[k]
    if(sum > max)
      max = sum
    start = i
    end = j
```

7 (j-i+1) operations

$$\begin{aligned}\sum_{j=i}^{n-1} (4 + 7(j-i+1)) &= (4 + 7 \cdot 1) + (4 + 7 \cdot 2) + \dots + (4 + 7(n-i)) \\ &= 4 \cdot (n-i) + 7(1+2+\dots+(n-i)) \\ &= 4(n-i) + 7 \cdot \frac{(n-i)(n-i+1)}{2}\end{aligned}$$

$$\sum_{i=0}^{n-1} \left( 3 + 4(n-i) + 7 \cdot \frac{(n-i)(n-i+1)}{2} \right)$$

$$= 3 \cdot n + 4(n + (n-1) + \dots + 1) + \frac{7}{2} \cdot (n(n+1) + (n-1) \cdot n + (n-2)(n-1) + \dots + 1 \cdot 2)$$

$$= 3n + 4 \cdot \frac{n \cdot (n+1)}{2} + \frac{7}{2} \cdot (n^2 + n + (n-1)^2 + (n-1) + \dots + 1^2 + 1)$$

$$= 3n + 2n \cdot (n+1) + \frac{7}{2} \cdot \frac{n(n+1)(2n+1)}{6} + \frac{7}{2} \cdot \frac{n \cdot (n+1)}{2} =$$

$$= \frac{7}{6} n^3 + \frac{11}{2} n^2 + \frac{23}{3} n + 0$$

## 5 Algorithm 2

Observation:  $\sum_{k=i}^j A_k = A_j + \sum_{k=i}^{j-1} A_k$

Sequence: -2 11 -4 13 -5

Example: once we calculate  $-2+11-4+13=18$ , we need to perform only one addition to calculate the entire sequence

that is:  $18-5 = 13$

### The algorithm

```
max=0
```

```
for(i=0; i<length; i++)
```

```
    sum =0
```

```
    for(j=i; j<length; j++)
```

```
        sum += a[j]
```

```
        if sum > max
```

```
            max = sum;start=i;end=j;
```

**Time complexity:  $O(n^2)$**

The statement `sum +=a[j]` adds one additional number to the sum reducing redundant work

ALG.2

```
max=0
for(i=0; i<length; i++)
    sum = 0
    for(j=i; j<length; j++)
        sum += a[j]
        if sum > max
            max = sum; start=i; end=j;
```

$7(n-i)$

$$\sum_{i=0}^{n-1} 3 + 7(n-i) = 3n + 7 \cdot (n + (n-1) + \dots + 1)$$

$$= 3n + 7 \cdot \frac{n \cdot (n+1)}{2}$$

$$= \frac{7}{2} \cdot n^2 + \frac{13}{2} \cdot n$$

$$\text{TOTAL: } \frac{7}{2} \cdot n^2 + \frac{13}{2} \cdot n + 2$$



# ALGORITHM 3

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We define:

$d[j] =$  max sum of a contiguous subsequence that ends with  $A_j$ .

$A_0 \ A_1 \ \dots \ A_j \ \dots \ A_{n-1}$

$$d[0] = A_0$$

$$d[j] = \begin{cases} d[j-1] + A_j, & \text{if } d[j-1] > 0 \\ A_j, & \text{if } d[j-1] \leq 0 \end{cases}$$

So it is possible to calculate in order

$d[0], d[1], \dots, d[n-1]$ ,  
and then find  $\max\{d[i], i=0, \dots, n-1\}$

EXAMPLE 2, -1, -3, 6

$$d[0]=2, d[1]=1, d[2]=-2, d[3]=6$$

Improvement: Since  $d[j]$  depends only on  $d[j-1]$ , we do not need an array to keep the  $d[]$  values. In the pseudocode (next slide), we keep the current value of  $d[j]$  in the variable *sum*, we use *max* to determine the largest  $d[j]$  and we calculate *sum* and *max* in the same loop.

## 7 Cont. algorithm 3

```
i=0; max =0; sum = 0;
for(j=0; j<length; j++)
    sum +=a[j]
    if sum > max
        max = sum
    start=i; end = j;
else
    if(sum <0)
        i=j+1
        sum=0
```

**Time complexity:  $O(n)$**

we called it  $d[j]$

sequence: -2 11 -4 13 -5

(1)  $j=0$   $a[j] = -2$

$i=0$                       sum -2    max =0

$i=1$  (reset)

(2)  $j=1$   $a[j] = 11$

$i=1$                       sum=11 max=11

start=1    end =1

(3)  $j=2$   $a[j] = -4$     sum = 7 max =11

(4)  $j=3$   $a[j] = 13$     sum =20 max = 20

start =1    end = 3

(5)  $j=4$   $a[j] = -5$     sum = 15 max =20

start =1    end =3

Basic idea: as long as the sum  $>0$ , add another number to it. If not, start over again

## Dynamic programming

Algorithm 3 is an example of a dynamic programming algorithm.

Dynamic programming – a general technique used in the design of algorithm.

IDEA: break the problem into many subproblems

Each subproblem can be solved using some of the smaller subproblems

Store the solutions of the subproblems in a table (**memoization**), so that when we need a solution we have it handy.

In our example: subproblems are each  $d[i]$ ; in the end we did not use a table for memoization, because for each  $d[i]$  we only needed  $d[i-1]$ .

## 9 Summary:

Algorithm 1 is  $O(n^3)$

Algorithm 2 is  $O(n^2)$

Algorithm 3 is  $O(n)$

and all of them are correct algorithms

One importance issue in the design of algorithm is efficiency

## Runtime efficiency is important

Let us assume we use a computer capable of  $10^6$  instructions/sec.

	<b>n</b>	<b><math>n^2</math></b>	<b><math>n^3</math></b>
n=10	< 1 sec	< 1 sec	< 1 sec
n=1000	< 1 sec	1 sec	18 min
n=10000	< 1 sec	2 min	12 days
n= $10^6$	1 sec	12 days	31710 years

# 11 Steps in designing software

- **Specification**

input, output, expected performance, features and sample of execution

- **System Analysis**

chose top-down design or OOD (sort of bottom-up)

- **Design (OUR FOCUS IN THIS COURSE)**

- \* choose data representation: create ADTs

- \* algorithm design

- **Refinement and Coding**

implementation

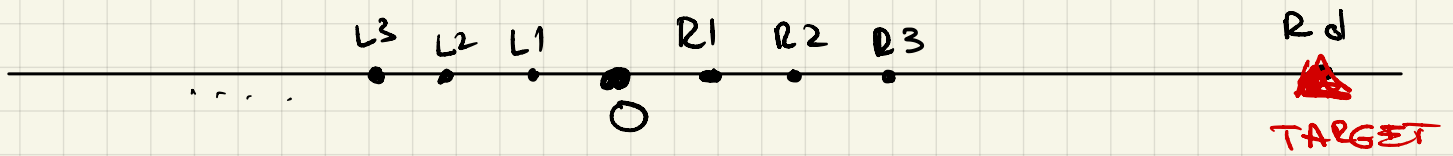
- **Verification**

correctness analysis and testing

- **Documentation**

manual and program comments

# ANOTHER PROBLEM: FINDING LOCATION ON A LINE



- Robot starts at O; target is  $d$  steps away from O left or right (unknown which),  $d$  is not known

## ALG. 1

Go to

$R_1 \rightarrow 1 \text{ step}$

$L_1 \rightarrow 2 \text{ steps}$

$R_2 \rightarrow 3 \text{ steps}$

$L_2 \rightarrow 4 \text{ steps}$

$\vdots$

$R_{d-1}$

$L_{d-1} \rightarrow 2d-2 \text{ steps}$

$R_d \rightarrow 2d-1 \text{ steps}$

$$\text{TOTAL} = 1 + 2 + 3 + \dots + (2d-1) = \frac{(2d-1) \cdot 2d}{2} = 2d^2 - d$$

If target is at  $L_d$ :

$$\text{TOTAL} = 1 + 2 + \dots + 2d = \frac{2d(2d+1)}{2} = 2d^2 + d$$

$$= O(d^2)$$

## ALGORITHM 2

go to powers of 2

Let  $k$  be so that:  $2^{k-1} < d \leq 2^k$

$$\text{Go to } R_1 \rightarrow 0 + 1$$

$$L_1 \rightarrow 1 + 1$$

$$R_{2^1} \rightarrow 1 + 2$$

$$L_{2^1} \rightarrow 2 + 2$$

$$R_{2^2} \rightarrow 2 + 4$$

$$L_{2^2} \rightarrow 2^2 + 4$$

⋮

$$R_{2^{k-1}} \rightarrow 2^{k-2} + 2^{k-1}$$

$$L_{2^{k-1}} \rightarrow 2^{k-1} + 2^{k-1}$$

$$R_d \rightarrow 2^{k-1} + d \leq 2^{k-1} + 2^k$$

$$\text{TOTAL} = \text{COLUMN 1} + \text{COLUMN 2} =$$

$$= 0 + (1+1) + (2+2) + \dots + (2^{k-1} + 2^{k-1}) +$$

$$+ (1+1) + (2+2) + \dots + (2^{k-1} + 2^{k-1}) + 2^k$$

$$= 4(1+2+\dots+2^{k-1}) + 2^k$$

$$= 4(2^k - 1) + 2^k = 5 \cdot 2^k - 4 \leq 10 \cdot d - 4$$

$$= O(d).$$



## USEFUL FORMULA

$$1 + q + \dots + q^{k-1} = \frac{q^k - 1}{q - 1}, \text{ if } q \neq 1.$$

In particular, for  $q=2$ :

$$1 + 2 + \dots + 2^{k-1} = 2^k - 1$$