# Common Evaluation Metrics for NLP

Faith, Halimat, Shaheen, Bachar

# Perplexity (PPL): how surprised the model is

Uncertainty in the underlying probability distribution

Evaluate performance and compare probabilistic models: intrinsic, fast

GOAL: minimise perplexity, maximise probability. The LOWER perplexity corresponds the more confident and better performing the model

e.g. I always order pizza with...mushrooms (0.1), pepperoni (0.1), anchovies(0.01), fried rice (0.0001)

How well it predicts the actual word that occurs. Assigns a higher probability to the word that actually occurs

Probability related to the entropy of upcoming things...

# PPL as Branching Factor

How many things can occur at each time weighted by their probability: normalising the probability of a long string.

Take the weighted average of all possibilities to compute on average how likely any one word can occur.

e.g. System: Operator (1 in 4), Sales (1 in 4), Tech Support (1 in 4), 30,000 names (1 in 120,000 each), Perplexity is 54

Mulitply 120k probabilite (90k are ¼, and 30k are 1/120k) and take the inverse of 120,00th root

Training 38 million words, test 1.5 million words, WSJ

Perp = 
$$(\frac{1}{4}*\frac{1}{4}*\frac{1}{4}*\frac{1}{120})^{(-\frac{1}{4})} = 52.6$$

N-gram Order	Unigram	Bigram	Trigram
Perplexity	962	170	109

#### **BLEU**

- Evaluating Machine Translation
- Human translations available
- Compare machine vs human translation

Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat.

MT output: the the the the the the.

- 1. Precision how many MT words in HT? 7/7
- 2. Modified Precision Credit up to max occurrences 1/7 or 2/7

But what about pairs of words?

## **BLEU - Bigrams**

- 1. List all bigrams in MT
- Count occurrences of each bigram
- Clip occurrences by max(occurrences) in one training sentence
- Modified bigram precision:
  - a. Sum(Counts Clipped) / Sum(Counts)

```
Reference 1: The cat is on the mat.
Example:
           Reference 2: There is a cat on the mat.
           MT output: The cat the cat on the mat. ←
                        -outclip
the cat
              26
cat the
              ( =
                         10
              1 5
cat on
               1 +
                          1 6
on the
                6
the mat
                          E
```

#### **BLEU - N-Grams**

- 1. Calculate Modified Precision for unigram, bigram, trigram, quadgram etc.
- 2. BLEU = (Brevity Penalty) x EXP(Sum of modified precision scores)
- 3. Brevity Penalty:
  - a. = 1 if MT longer than HT
  - b. = < 1 if MT shorter than HT

#### **GLEU**

- BLEU doesn't work well for single sentences
- Recall (for unigram/bigram/trigram etc):
  - N\_matching(MT to HT) / N\_total in HT
- Precision (for unigram/bigram/trigram etc):
  - N\_matching(MT to HT) / N\_total in MT

- GLEU\_sentence = MIN(Recall, Precision)
- GLEU\_corpus = AVG(GLEU\_sentences)

#### **METEOR** - What does it do?

METEOR (Metric for Evaluation of Translation with Explicit ORdering) is a machine translation evaluation metric.

Multiple references per prediction:

```
>>> meteor = evaluate.load('meteor')
>>> predictions = ["It is a guide to action which ensures that the military always obeys the commands of the party"]
>>> references = [['It is a guide to action that ensures that the military will forever heed Party commands', 'It is the guiding principle which guarantees the military
>>> results = meteor.compute(predictions=predictions, references=references)
>>> print(round(results['meteor'], 2))
1.0
```

Multiple references per prediction, partial match:

```
>>> meteor = evaluate.load('meteor')
>>> predictions = ["It is a guide to action which ensures that the military always obeys the commands of the party"]
>>> references = [['It is a guide to action that ensures that the military will forever heed Party commands', 'It is the guiding principle which guarantees the military
>>> results = meteor.compute(predictions=predictions, references=references)
>>> print(round(results['meteor'], 2))
0.69
```

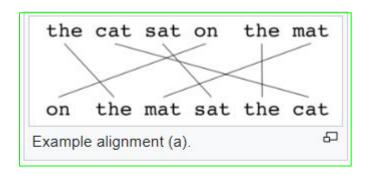
## **METEOR** - Motivation Behind Developing it

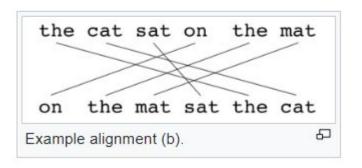
- Has several features that are not found in other metrics, such as stemming and synonymy matching, along with the standard exact word matching.
- Fix some of the problems found in the more popular BLEU metric
- Produce good correlation with human judgement at the sentence or segment level. BLEU seeks correlation at the corpus level.

#### Examples of pairs of words which will be mapped by each module

Module	Candidate	Reference	Match Yes Yes	
Exact	Good	Good		
Stemmer	Goods	Good		
Synonymy	well	Good	Yes	

#### **METEOR** - Under the Hood





$$P=rac{m}{w_t}$$

$$R=rac{m}{w_r}$$

$$F_{mean} = rac{10PR}{R + 9P}$$

recall weighted 9 times more than precision to value completeness over correctness.

$$p=0.5igg(rac{c}{u_m}igg)^3$$

The more mappings there are that are **not** adjacent in the reference and the candidate sentence, the higher the penalty will be

# **METEOR** - Example

Reference	the	cat	sat	on	the	mat
Hypothesis	the	cat	sat	on	the	mat
Score	0.9977	=1.00 Free	$00 \times (1$	- 0.	0023)	
Fmean	1.0000	= 10 ×	1.0000 Precision	$_{1}$ 1	1.0	$000$ $000$ $0 \times 1.0000$ Precision
Penalty	0.0023	= 0.5 >	< 0.16 Fragme	$67^3$	n	
Fragmentation	0.1667	$=\frac{\overset{\text{Chur}}{1.00}}{\overset{\text{Chur}}{6.00}}_{\overset{\text{Matc}}{\text{Matc}}}$	00			

Reference	the	cat	sat	on	the	mat
Hypothesis	on	the	mat	sat	the	cat
Score	$0.9375 = 1.0000  imes (1 - 0.0625) \  ext{Fmean}$					
Fmean	1.0000	= 10	0  imes 1.0000	$ imes rac{1.00}{ ext{Reca}}$	$\frac{1.0}{00+9}$	$000$ $000$ $0 \times 1.0000$ Precision
Penalty	0.0625	= 0.	5 imes 0.5			
Fragmentation	0.5 = 0.5	Chunl 3.000 6.000 Match	00			

#### **METEOR** - So when to use it

- Translation task
- Care about completion not just precision
- Care about precision and completion at the sentence and segment level

Disclaimer: This is my understanding

# **ROUGE** - Recall-Oriented Understudy for Gisting Evaluation What does it do?

Used for evaluating **automatic summarization and machine translation** software in natural language processing.

The metrics compare an **automatically produced** summary or translation against a reference or a set of references (**human-produced**) summary or translation.

**Recall:** This is like the robot's memory.

**Gisting:** Gisting is a fancy word for getting the main idea.

**Evaluation:** This is like giving a report card.

# **ROUGE** - Recall-Oriented Understudy for Gisting Evaluation How it does it?

ROUGE-1 ('rouge1') - **Recall**: does the generated summary contain all the important words (unigrams)

ROUGE-2 ('rouge2') - Recall: does the generated summary contain all the important two-word phrase (bigrams)

ROUGE-L ('rougeL') - Recall + Order: does the generated summary contain the main points and in the right order (flow of the story)

ROUGE-Lsum ('rougeLsum') Recall + Order + Precision: does the generated summary have similar structure and meaningful words

Model output: "A fast brown fox leaps over a sleeping dog."

Rouge-L

Reference summary: "The quick brown fox jumps over the lazy dog."

# **ROUGE** - Recall-Oriented Understudy for Gisting Evaluation

Example?

Reference Text: "The quick brown fox jumps over the lazy dog."

Generated Summary Text: "A fast brown fox leaps over a sleeping dog."

```
    Common Unigrams: ['brown', 'fox', 'over']
```

Precision (Common / Summary): 3 / 9 = 0.3333

**ROUGE-1** 

Recall (Common / Reference): 3 / 9 = 0.3333

F1 Score (Harmonic Mean): 2 \* (0.3333 \* 0.3333) / (0.3333 + 0.3333) = 0.3333

```
Reference Bigrams: ['The quick', 'quick brown', 'brown fox', 'fox jumps', 'jumps over', 'over the', 'the lazy', 'lazy dog']
```

Summary Bigrams: ['A fast', 'fast brown', 'brown fox', 'fox leaps', 'leaps over', 'over a', 'a sleeping', 'sleeping dog']

Common Bigrams: ['brown fox']

Precision (Common / Summary): 1 / 8 = 0.125

**ROUGE-2** 

Recall (Common / Reference): 1 / 8 = 0.125

• F1 Score (Harmonic Mean): 2 \* (0.125 \* 0.125) / (0.125 + 0.125) = 0.125

#### Longest Common Subsequence (LCS): ['brown', 'fox', 'over']

\* Reference Length: 9 words

**ROUGE-L** 

- Precision (LCS Length / Summary Length): 3 / 9 = 0.3333
- \* Recall (LCS Length / Reference Length): 3 / 9 = 0.3333
- F1 Score (Harmonic Mean): 2 \* (0.3333 \* 0.3333) / (0.3333 + 0.3333) = 0.3333

#### **ROUGE** - So when to use it

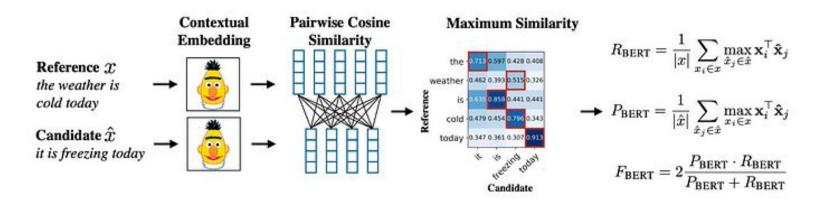
- Summarization/Translation task
- Care about completeness at the word/two-word level (maybe keywords/scientific documents)
- Care about capturing the main idea and the flow of the narrative/structure

Disclaimer: This is my understanding

#### **BERTScore**

- BERTScore is an automatic evaluation metric used for testing the goodness of text generation systems.
- Unlike existing popular methods that compute token level syntactical similarity, BERTScore focuses on computing semantic similarity between tokens of reference and hypothesis.
- The author's of the paper tested it on machine translations and image captioning tasks and found it to correlate better with human judgements.

# Introducing BERTScore



Source: Bertscore: Evaluating text generation with bert

Code for Bertscore is available at https://github.com/Tiliger/bert score