

# Istituzioni di Algebra 2022/2023

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## Homework 2

**Exercise 2.1.** Since  $A, B$  are Noetherian, by the **Theorem** on Dimension of Fibres we have the inequality

$$\text{ht}(Q) \leq \text{ht}(P) + \dim\left(\frac{B_Q}{PB_Q}\right).$$

For the other inequality, we first replace  $A, B$  by  $A_P, B_Q$  and  $P, Q$  by  $P_Q, B_Q$  since by correspondence of prime ideals in localizations the heights of  $P, Q$  don't change in the localizations. Then, set  $r := \text{ht}(P)$  and  $s := \text{ht}(Q \bmod PB)$ , and let

$$\begin{array}{c} PB \subsetneq Q_1 \subsetneq Q_2 \subsetneq \dots Q_s \subsetneq Q \\ \vdots \\ P_1 \subsetneq P_2 \subsetneq \dots P_r \subsetneq P \end{array}$$

be maximal chains of prime (except eventually  $PB$ ) ideals realizing the heights  $r, s$ . Now, by the **Remark** 5.23 of the course notes, we can use the Going Down property for flat extensions starting from  $Q_1$  (which contracts to  $P$ ) and inductively go down to obtain a prime chain of length  $r + s$  ending in  $Q$ , which lets us conclude.

**Exercise 2.2.** First notice that  $(f, f') = 1$  implies  $(\bar{f}, \bar{f}') = 1$  in the residue field, as by Bezout's theorem there is a non-zero combination

$$f \cdot g + f' \cdot h = 1$$

with  $g, h \in A[x]$ , which projects to a non-zero combination in  $\mathbb{K}[x]$  (as if  $\bar{f}'$  was zero,  $f$  would project to a invertible element), where  $\mathbb{K}$  is the residue field of  $A$ . Now,  $\bar{f}$  factors completely in  $\mathbb{K}[x]$  because  $\mathbb{K}$  is algebraically closed), and since  $f$  is monic we have that  $n = \deg(f) = \deg(\bar{f})$ , so that  $\bar{f}$  factors as  $\prod_{i=1}^n (x - \bar{a}_i)$  for some  $\bar{a}_i \in \mathbb{K}$ . Moreover, we showed that the "derivative criterion" holds for  $\bar{f}$ , so that the  $\bar{a}_i$ s must all be distinct. Thus, by the Hensel Lemma it follows that we can lift this factorization to

$$f = \prod_{i=1}^n (x - a_i),$$

and the ideals  $(x - a_i)$  are comaximal since the ideal generated by two distinct ideals them contains the element  $a_i - a_j \in A$ , which is invertible in  $A$  as it projects to the non-zero element  $\bar{a}_i - \bar{a}_j \in \mathbb{K}$ . Finally, we apply the **Chinese Remainder Theorem** to obtain

$$A[x]/(f) = \frac{A[x]}{\prod_{i=1}^n (x - a_i)} \cong \prod_{i=1}^n A[x]/(x - a_i) \cong \prod_{i=1}^n A = A^n.$$

**Exercise 2.3.**

(a) We claim that the unique maximal ideal of  $B$  is

$$\frac{(P[x], x)}{(f)},$$

where  $P[x]$  indicates the extension of  $P$  in  $A[x]$ ; by correspondence, this is equivalent to show that there is a unique maximal ideal of  $A[x]$  containing  $f$ .

We claim it is enough to show that

$$\text{Every maximal ideal of } A[x] \text{ which contains } f \text{ also contains } P[x]. \quad (1)$$

Indeed, notice that this claim concludes: with the above, any maximal ideal  $M$  of  $A[x]$  containing  $f$  contains  $(P[x], f) = (P[x], x^n)$ , where  $n = \deg(f)$  and the equality follows from the fact that every coefficient of  $f$  excluding the leading coefficient is in  $P$ . With  $M$  being prime, containing a power of an element means containing such element, so we have that  $M$  must contain the maximal ideal  $(P[x], x)$ , and by maximality they are actually equal.

We now show (1), which by correspondence is equivalent to showing that every maximal ideal of  $B$  contains  $PB$ , and we do so by contradiction: suppose that  $PB$  was not contained in some maximal ideal  $M$  of  $B$ , then we would have

$$B = M + PB.$$

Now, since  $B$  is a finitely generated module over  $A$  and  $P$  is equal to the Jacobson radical of  $A$ , we can apply **Nakayama's Lemma** (its third form, from the course notes for *Algebra 2*) to obtain  $M = B \not\subseteq$ .

Moving on to completeness, we start from the *Hint*, namely that  $B$  is complete with respect to the filtration  $(PB)^k$ : first notice that

$$(PB)^k = (P[\bar{x}])^k = P^k[\bar{x}];$$

then, by the **Third isomorphism theorem**, we have

$$B/(PB)^k = \frac{A[x]/(f)}{(P^k[\bar{x}], f)/(f)} \cong \frac{A[x]}{(P^k[x], f)} = \frac{(A/P^k)[x]}{(f)}.$$

Now, we want to prove that

$$\varprojlim_k \frac{A/P^k[x]}{(\bar{f}^{(k)})} \cong \varprojlim_k \frac{(A/P^k)[x]}{(f)} = \frac{(\varprojlim_k A/P^k)[x]}{(f)} \cong \frac{A[x]}{(f)},$$

where the last equality follows from the completeness of  $A$ . It therefore remains to check the first isomorphism: this is a consequence of the **Lemma 5.10** of the course notes, since if we consider the exact sequence

$$0 \longrightarrow (\bar{f}^{(k)}) \longrightarrow (A/P^k)[x] \longrightarrow \frac{(A/P^k)[x]}{(\bar{f}^{(k)})} \longrightarrow 0$$

then condition *a*) of the aforementioned lemma holds as the maps  $\left(\overline{f}^{(k+1)}\right) \rightarrow \left(\overline{f}^{(k)}\right)$  are surjective, so we have that the induced sequence of inverse limits is exact, which concludes as

$$\varprojlim_k \left(\overline{f}^{(k)}\right) = (f).$$

To prove completeness of  $B$  as a local ring, we will apply **Proposition 5.3** of the course notes with respect to the filtrations  $(PB)^k = P^k[\overline{x}]$  (with respect to which we proved  $B$  to be complete) and  $(P[\overline{x}], x)^k$  (from which would follow completeness of  $B$ , as it is its unique maximal ideal): on one hand, we notice that

$$P^k[\overline{x}] \subseteq (P[\overline{x}], x)^k.$$

On the other hand, if we fix  $k$  it is enough to show that, in  $A[x]$ , there is some  $t$  such that

$$((P[\overline{x}], x)^t, f) \subseteq (P^k[\overline{x}], f).$$

Indeed, since  $P^j \subseteq P^j[\overline{x}]$  for any  $j$  and  $x^{nk} \in (P^k[\overline{x}], f)$  by definition of  $f$  (with  $n = \deg(f)$ ), if  $t \geq k \cdot (n + 1) - 1$  then

$$(P[\overline{x}], x)^t, f) = (P^t, P^{t-1} \cdot \overline{x}, \dots, P^k \cdot \overline{x}^{t-k}, P^{k-1} \cdot \overline{x}^{t-k+1}, \dots, \overline{x}^t, f) \subseteq (P^k[\overline{x}], f).$$

- (b) Since  $a_0 \in P \setminus P^2$ , in particular it is non-zero, so we can extend its projection to a basis of  $P/P^2$ . Then, any lifting of the other basis elements will give rise to a regular system of parameters  $(a_0, c_1, \dots, c_d)$  for  $A$ , where  $\dim A = d + 1$ .

Let  $Q = (P[\overline{x}], \overline{x})$  be the unique maximal ideal of  $B$ . Since

$$a_0 = \overline{x}^n + \dots + a_1 \cdot \overline{x} \tag{2}$$

in  $B$ , we claim that a regular sequence which forms a system of parameters for  $B$  is given by  $(\overline{x}, c_1, \dots, c_d)$ : indeed,  $P[\overline{x}] \subseteq (\overline{x}, c_1, \dots, c_d)B$  by (2) and obviously  $\overline{x} \in (\overline{x}, c_1, \dots, c_d)B$ , so they are a system of generators for  $Q$ ; the reverse inclusion  $(\overline{x}, c_1, \dots, c_d)B \subseteq (P[\overline{x}], x)$  is also clear.

We now show that they are minimal with such property, and that they are a regular sequence: the former follows from the fact that we started with a regular sequence (notice that  $A$  Noetherian  $\implies B$  Noetherian, so **Remark 4.8** applies and we just need to show that  $\overline{x}$  is not a zero-divisor modulo  $(c_1, \dots, c_d)$ , but if it was then so would be  $a_0$  by (2), which would be a contradiction); then, to conclude we just need

$$\dim B = \dim A = d + 1, \tag{3}$$

from which (by Noetherianness of  $B$ ) **Theorem 4.9** of the course notes would yield the regularity of the aforementioned sequence of parameters (and consequently of  $B$ ), as we would have identified a regular sequence of parameters which also form a minimal system of generators for the maximal ideal of  $B$ , as *Corollary 2.9* of the course notes says exactly that  $Q$  cannot be generated by less than  $d + 1$  parameters.

Finally, we show (3): since  $A$  regular local  $\implies A$  domain, Eisenstein's criterion holds with the given hypothesis, and  $f$  is thus irreducible in  $A[x]$ . As  $A$  regular local  $\implies A$  UFD (by the [Auslander-Buchsbaum Theorem](#)),  $f$  is prime in  $A[x]$ , so the second exercise of the first Homework (with  $A \hookrightarrow B$  being an integral extension of integral domains) tells us that  $\dim B = \dim A$ .

**Exercise 2.4.**

- (a) By the third exercise of this Homework, the maximal ideal of  $B$  is

$$Q = ((p, t)[x], x)/(x^2 + tx + p),$$

since  $(p, t)$  is the maximal ideal of  $\mathbb{Z}_p[[t]]$ . Now,  $\bar{t}, \bar{x} \in Q \implies \bar{t} \cdot \bar{x}, \bar{x}^2 \in Q^2 \implies p \in Q^2$ .

- (b) We first prove the *Hint*: this is equivalent to the claim that the nilradical of  $C[[u]]/(p)$  contains a prime ideal (since by Zorn's lemma it is always the intersection of all minimal prime ideals). Since  $C$  is a DVR, let  $P = (\pi)$  be its maximal ideal; then, since  $p \in P$  ( $C$  has residue characteristic  $p$  and hence  $\text{char}(C) = 0$ ), we have  $(p) = (\pi^n)$  for some  $n \geq 1$ , and if we consider an element  $a$  in the ideal  ${}^{PC}[[u]]/(\pi^n)$  (which is generated by  $\bar{\pi}$ ), it must satisfy

$$a^n \in (\bar{\pi}^n) = 0.$$

Now,

$$B/(p) \cong \mathbb{F}_p[[t]][x]/(x \cdot (x + t)),$$

which is not a domain, and we consider the two ideals  $(\bar{x}), (\bar{x} + \bar{t})$ : these are prime since

$$(B/(p))/(\bar{x}) \cong \mathbb{F}_p[[t]]$$

and also

$$(B/(p))/(\bar{x} + \bar{t}) \cong \mathbb{F}_p[[t]],$$

which is clearly a domain. Since  $(0)$  is not a prime ideal, these are minimal prime ideals in  $B/(p)$ , and they are distinct, as if a polynomial is divided by both  $\bar{x}$  and  $\bar{x} + \bar{t}$  then it is identically 0. In conclusion, they are distinct minimal prime ideals in  $B/(p)$ , which by (a) proves that  $B$  cannot be isomorphic to  $C[[u]]$ .

**Exercise 2.5.** Let  $t$  be a uniformizer for  $A$ , such that  $P = (t)$ . Then, by **Fact 6.15** of the course notes we have that, if  $\mathbb{K}$  is the residue field of  $A$ , there is a Cohen ring contained in  $A$ , which is the ring of Witt vectors  $W(\mathbb{K}) \subset A$  such that  $P \cap W(\mathbb{K}) = (p)$ .

Now, as in the previous exercise  $p \in P$ , so  $n := \nu(p) \geq 1$ . Since  $A$  is a DVR and thus a domain, it is torsion-free as a  $W(\mathbb{K})$ -module, and since  $\mathbb{K}$  is perfect by **Theorem 7.4**  $W(\mathbb{K})$  is also a DVR, and thus a PID. So, as suggested by the hint, we use the fact that any torsion-free module over a PID is free.

Moreover, we show that  $\{1, \bar{t}, \dots, \bar{t}^{n-1}\}$  is a basis for the  $W(\mathbb{K})/(t^n) = \mathbb{K}$ -vector space

$$A/t^n A,$$

which by a known (*Algebra 2* course notes) corollary of **Nakayama's Lemma** will imply that  $A$  is finitely generated as a  $W(\mathbb{K})$ -module and of rank  $n$ .

Indeed, take any non-zero element  $b \in A$ , then modulo  $P = (t)$  this is equivalent to some element  $w^{(1)}$  of  $W(\mathbb{K})$ , which means that there is some  $c^{(1)} \in A$  such that

$$b = w^{(1)} + c^{(1)}t.$$

Iterating such construction, we get that

$$b = \sum_{i=1}^{n-1} w^{(i)}t^i + c^{(n)}t^n,$$

and modulo  $t^n$  this becomes

$$\bar{b} = \sum_{i=1}^{n-1} \bar{w}^{(i)} \bar{t}^i,$$

which tells us that  $\{1, \bar{t}, \dots, \bar{t}^{n-1}\}$  generate  $A/t^n A$  as a  $\mathbb{K}$ -vector space. We now need to show that they are linearly independent: let us take a non-trivial linear combination

$$\sum_{i=0}^{n-1} \bar{a}_i \bar{t}^i = 0,$$

then  $a_0$  would be a combination of elements of  $P = (t)$ , and thus be in  $W(\mathbb{K}) \cap P = (t^n)$ ; so  $\bar{a}_0 = 0$  in the quotient. Now, lifting the remaining relation yields

$$t(a_1 + \dots + a_{n-1}t^{n-2}) = d \cdot t^n$$

for some  $d \in A$ , and since  $A$  is a domain we can divide by  $t$  and then project again to get that  $\bar{a}_1 \in W(\mathbb{K}) \cap P = (t^n) \implies \bar{a}_1 = 0$ . Now, we can iterate the above reasoning for the rest of the coefficients to obtain the desired linear independence.