ECE 657 Assignment 1 - Group 80

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1 Problem 1

Restating the proof "On Convergence proof for Perceptrons"

This theorem provides a concise proof of a theorem concerning perceptrons which was earlier proved by Rosenblatt and his collaborators. This theorem appealed to model called α -perceptron, which is a structure that consist of a single threshold element acting on a weighted set of inputs.

Statement of the theorem: The theorem considers that the structure is having a α -perceptron and a set of incoming signals that can be seperated by two disjoint classes. There exists a "satisfactory" assignment of output weights for the associator units which allows the perceptrons to produce an output of +1 which the signal belongs to class I otherwise produce an output of -1 (For class II). The theorem then states that no matter what initial weight the perceptron starts with, the process recursively adjusts the weights by "error correction" method and will terminate after a finite number of steps. It is assumed that the satisfactory assignment exists. Alternatively it can be said that if a data set is linearly separable then the perceptron would find a separating hyperplane after a finite number of updates to the weights.

Let w_1, w_2, \dots, w_N be the set of vectors in a Euclidean space of fixed finite dimension, and there exists a vector y so that,

$$(\omega_i, y) > \theta > 0 \qquad i = 1, \dots, N \qquad (1)$$

Recursively, a sequence of vectors v_0, v_1, \dots, v_n can be constructed: v_0 is chosen randomly

$$v_{n} = \begin{cases} v_{n-1} & \text{if}(\omega_{i_{n}}, x_{n-1}) > \theta \\ v_{n-1} + \omega_{i_{n}} & \text{if}(\omega_{i_{n}}, v_{n-1}) \leq \theta \end{cases}$$

Remarks:

- The sequence $\{w_{in}\}$ represents the "training sequence"
- The rule for defining $\{v_n\}$ describes the error-correction procedure
- ullet The positive number heta is a threshold which must be exceeded for the response of the perceptron to be correct
- a vector v is such that $(w_i,v) > \theta$ is an assignment of the associator outputs that successfully classifies the ith signal
- The theorem states that the sequence v_n is convergent, which means that after m updates we have

$$V_{m} = V_{m+1} = V_{m+2} = \dots$$

• It is evident from equation(2) that as n varies, the sequence $\{v_n\}$ changes, only by adding another set of w_1 w_N . For this reason "convergence" implies "convergence in a finite number of steps".

Proof of Theorem:

It is evident that w_{in} is inessential, thus we can omit all terms of w_{in} from the training sequence for which $v_n = v_{n-1}$. Since we have dropped all other inputs, the training sequence will have a smaller number of inputs and the correction will

happen at each step of the sequence. Now, adjusting the notion of theorem, we may re-write the equation(1) as follows,

$$v_n = v_{n-1} + \omega_{i_n}$$
 and $(\omega_{i_n}, v_{n-1}) \leq \theta$
for each n (3)

Means whenever the output is lesser than or equal to the threshold (θ) we would adjust the vector.

It is observed that n is the number of corrections made up to the n-th step. After the change of the notion of the theorem, it can be said that n can range only through a finite set of integers. Thus, condition (1) and (2) cannot simultaneously hold true for all n=1,2,3,...

• First, we can show that inequality (1) alone implies

$$\| v_n \|^2 > C n^2 \tag{4}$$

Where C is a positive constant and n is sufficiently large

• Since $v_n = v_0 + w_{i_1....} + w_{i_n}$, inequality (1) implies that (v_n, y) satisfies $(v_n, y) > (v_0, y) + n\theta$. Thus, Using the Cauchy-Schwartz inequality we can write,

$$\|v_n\|^2 \ge \frac{(v_n, y)^2}{\|y\|^2} > \frac{[v_0, y + n\theta]^2}{\|y\|^2} = \frac{\theta^2}{\|y\|^2} \left[n + \frac{(v_0, y)}{\theta}\right]^2$$

- If $(v_0,y) > 0$ and we choose $C = \theta^2/\|y\|^2$ then inequality (4) is satisfied for all n.
- If $(v_0,y) < 0$ and we choose $C=(1/4)(\theta^2/\|y\|^2)$ then inequality(4) is satisfied for all $n > -2[(v_0,y)/\theta]$
- On the other hand, we show that inequalities (3) alone imply the inequality

$$\| v_n \|^2 \le \| v_o \|^2 + (2\theta + M) \eta$$

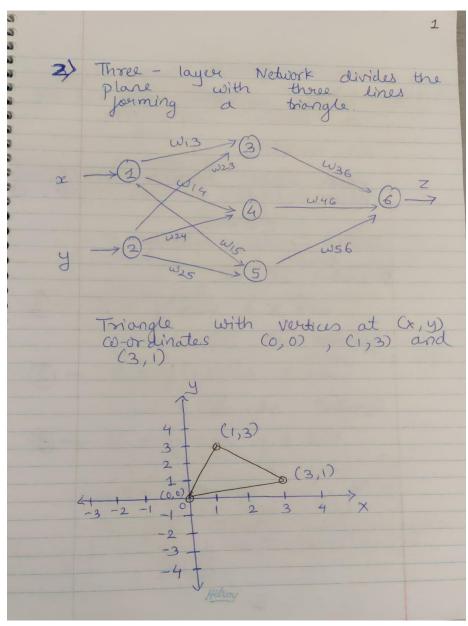
Where

 $M = \max_{i=1,...,N} \| w_i \|^2$

• Using inequality (3), the integer-argument function $\|v_k\|^2$ satisfies for each k the difference inequality

Adding the inequalities for k=1, 2...n, we obtain inequality (5). Clearly, inequalities (4) and (5) are incompatible for n sufficiently large.

2 Problem 2



Given in question. Single perception can act as a linear seperator. Lets consider, class inside triangle = positive (+ve) class outside triangle - Negative (-ve) ZWX=0 From Figure, equation Beguation at 3, W13 x + W23 y = 0 Equation at (3) W14 2 + W24 y = 0 Equation at (5) W15 x + W25 y = 0 -To find equation between (0,0) and (1,3) Slope = $m = y_2 - y_1 = 3 - 0 = 3$ $x_2 - x_1$ 1 - 0y= mx+6

$$y = 3 \times + 6 \quad \text{(Substituting (0,0) ptint)}$$

$$0 = 3(0) + 6 \quad \text{in equation to find (5)}$$

$$6 = 0$$

$$y = m \times + 6$$

$$3 \times - y = 0$$

$$- \text{ To find equation between (1,3)}$$

$$m = 1 - 3 = -\frac{2}{2} = -1$$

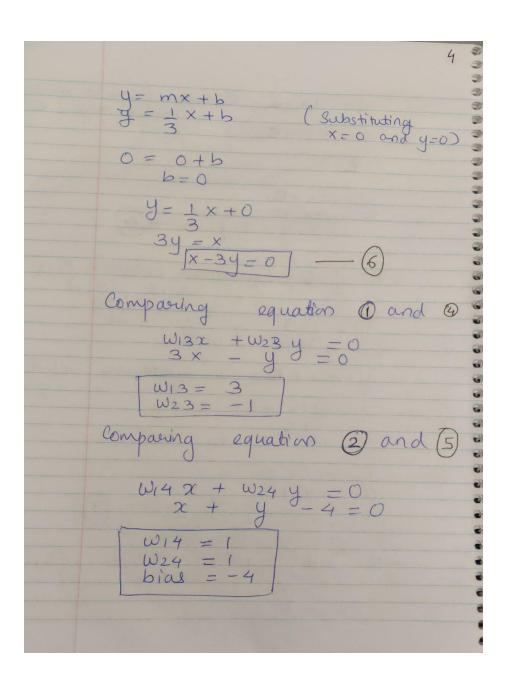
$$y = m \times + C \quad \text{(substituting initial value } x = 1 \text{ ey} = 3$$

$$3 = -1 (1) + C$$

$$3 = -1 + C$$

$$C = 4$$

$$y = m \times + C$$



3	5
2	Comparing equation (3) and (6)
	$W_{15} \times + W_{25} = 0$ $X - 3 = 0$ $W_{15} = 1$ $W_{25} = -3$
3	Lets assume a point inside triangle (2,1) substituting it equation (3, 6 and (6) to know the criteria
0 0 0	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
2	So 3x-y>0 x+y-4<0 2-3y<0
Control of the Contro	- So point to be inside triangle weights should be same same for > 0 (4 ve) and negative of old weight for < 0.
2	$\omega_{13} = 3$ $\omega_{14} = -1$ $\omega_{15} = -1$ $\omega_{25} = 3$ $\omega_{23} = -1$ $\omega_{24} = -1$ $\omega_{25} = 3$
2	Hilroy

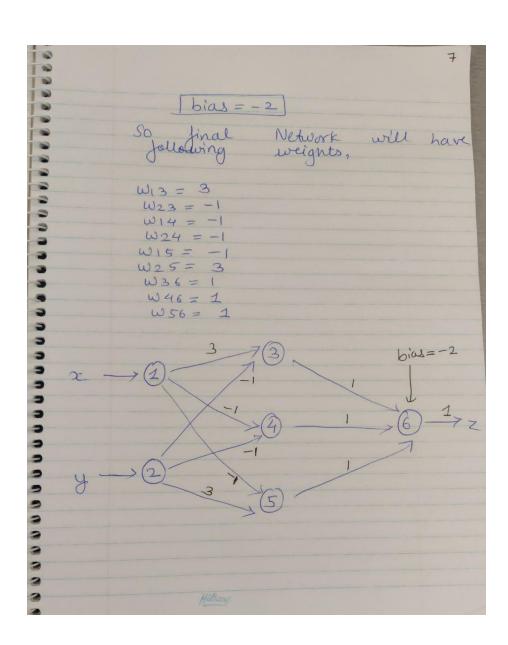
```
To find output, we will use sign activation, function
        y = \begin{cases} 1 & 0 \\ 0 & 0 \end{cases}
  In this touthtable, we will use AND gate to get output
            4 5 6 COIP)
  To have point inside triangle, our output should be +ve!

In our truth table when values at 3, 4, 5 is 1, 1, 1

our output is +ve.

    \omega_{36} = 1 \\
    \omega_{46} = 1

    So,
                   W56 = 1
To have output z to be 1
      W36 + W46 + W56 + bias = 1
        1+1+1+ bios = 1
```



3 Problem 3

$$\Delta w^{(k)} = \eta \left(t^{(k)} - w^{(k)} \chi^{(k)} \right) \frac{\chi^{(k)}}{||\chi^{(k)}||^2}$$

$$\Delta w^{(k)} = w^{(k+1)} - w^{(k)}$$

$$weight vector change from iteration (k)$$

$$t^{(k)}|| \text{ is the Euclidean norm of the input vector } \chi^{(k)} \text{ at iteration } (k)$$

$$t^{(k)} = \text{tanget at iteration } (k)$$

$$\eta = \text{positive number ranging from o to 1}$$

$$0 < \eta < 1$$

$$0 <$$

As given in question, Same input vector $x^{(k)}$ is present at iteration (k+1). Input at iteration $k = x^{(k)}$ x(K) = x (K+1) - (G) we assume that tagget is also same so t(K) = t(K+1) - 6 Substituting @ & 6 in eqn 3 DW (K+1) = M (+(K+1) - W(K+1) 2(K+1)) $\Delta w^{(k+1)} = \eta \left(\pm^{(k)} - w^{(k+1)} \chi^{(k)} \right) \chi^{(k)}$ -6Substituting value of $w^{(k+1)}$ from eq? 6

$$\Delta \omega^{(k+1)} = \eta \left(t^{(k)} - [\omega^{(k)} + \Delta \omega^{(k)}]_{\mathcal{Z}}^{(k)} \right)$$

$$\Delta \omega^{(k+1)} = \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \Delta \omega^{(k)}_{\mathcal{Z}}^{(k)} \right)$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \Delta \omega^{(k)}_{\mathcal{Z}}^{(k)} \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \Delta \omega^{(k)}_{\mathcal{Z}}^{(k)} \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)}_{\mathcal{Z}} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)}_{\mathcal{Z}} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

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$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

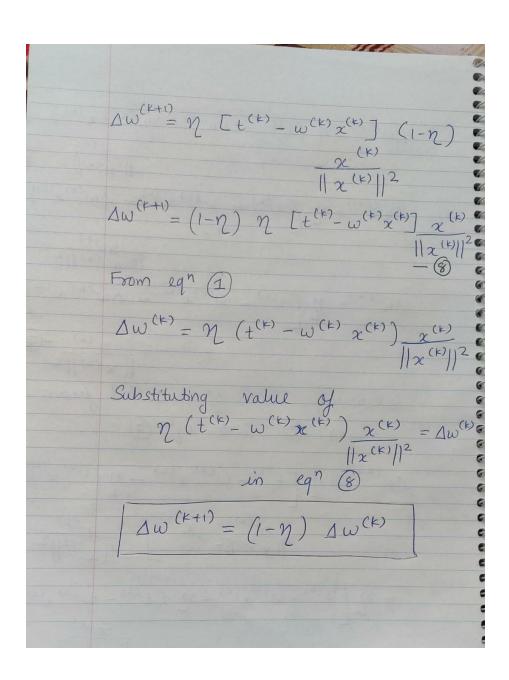
$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k+1)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$

$$\Delta \omega^{(k)} = \eta \left[t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} - \eta \left(t^{(k)} - \omega^{(k)}_{\mathcal{Z}}^{(k)} \right) \right]$$



4 Problem 4

```
Final > ② test_mlppy > ...

1 import pickle
2 import numpy as np
3

4 STUDENT_NAME = 'Rumna Samanta, Juhi Vasudev Bachani, Frank Huang Huang'
5 STUDENT_ID = '20883387, 20979706, 20433010'
6

# fixing seed for reproducibility
np.random.seed(0)

9

10

def sigmoid(z):
11

13 Non-linear activation function that converts a node output to a value between 0 and 1
1 :param z: value
15 :return:
16 """
17 return 1. / (1. + np.exp(-z))
18

9

def softmax(x):
19

19

def softmax(x):
21

22 Normalize model outputs and turn them into probabilities
23 :param x:
24 :return:
25 """
26 e = np.exp(x)
7 return e / np.sum(e, axis=1, keepdims=True)

30 def accuracy(y_true, y_pred):
31 """
```

```
test.py
             test_mlp.py Final X • acc_calc.py
class InputLayer(Layer):
    def __init__(self, dim):
        super().__init__(dim)
     def apply_input(self, input_matrix):
        # assert input_vector.shape == (self.dim,), "invalid input dim"
self.outputs = input_matrix
     def _gen_weights(self):
         return None
     def _gen_bias(self):
class HiddenLayer(Layer):
    def __init__(self, dim):
    super().__init__(dim)
     def _gen_weights(self):
          return np.random.randn(self.prev_layer.dim, self.dim) # 784 x 5
     def _gen_bias(self):
          return np.zeros(self.dim) # a vector with 5 elements
      def update_gradient(self):
         if self.next_layer is not None and self.weights is not None:
               self.gradient = np.dot(self.next_layer.gradient, self.next_layer.weights.T) * self.outputs * (1 - self.outputs)
```

```
test_mlp.py .\
                   test_mlp.py Final X • acc_calc.py
Final > 💠 test_mlp.py > ...
      class HiddenLayer(Layer):
          def __init__(self, dim):
    super().__init__(dim)
           def _gen_weights(self):
               return np.random.randn(self.prev_layer.dim, self.dim) # 784 x 5
           def _gen_bias(self):
                return np.zeros(self.dim) # a vector with 5 elements
           def update_gradient(self):
                if self.next_layer is not None and self.weights is not None:
                     self.gradient = np.dot(self.next_layer.gradient, self.next_layer.weights.T) * self.outputs * (1 - self.outputs)
       class OutputLayer(Layer):
          def __init__(self, dim):
    super().__init__(dim)
    self.targets = None
           def set_target(self, train_targets):
    self.targets = train_targets
           def update_gradient(self):
                self.gradient = (self.outputs - self.targets)
           def _gen_weights(self):
                return np.random.rand(self.prev_layer.dim, self.dim) # 5 x 4
```

```
test_mlp.py Final X • acc_calc.py
Final > 🕏 test_mlp.py > ...
       def __init__(self, dim):
    super().__init__(dim)
            self.targets = None
          def set_target(self, train_targets):
             self.targets = train_targets
          def update_gradient(self):
             self.gradient = (self.outputs - self.targets)
          def _gen_weights(self):
             return np.random.rand(self.prev_layer.dim, self.dim) # 5 x 4
          def _gen_bias(self):
             return np.zeros(self.dim) # a vector with 4 elements
      class NNModel:
         def __init__(self):
              self.input_layer = InputLayer(784) # input layer that can take 784 features
              self.h1_layer = HiddenLayer(5) # only hidden layer with neurons
              self.output_layer = OutputLayer(4) # output layer that spits out 4 outputs
              self.input_layer(self.h1_layer)
               self.h1_layer(self.output_layer)
```

```
etest_mlp.py Final X eacc_calc.py
class NNModel:
   def __init__(self):
    # define layers and nodes
        self.input_layer = InputLayer(784) # input layer that can take 784 features
       self.h1_layer = HiddenLayer(5) # only hidden layer with neurons
       self.output_layer = OutputLayer(4) # output layer that spits out 4 outputs
       self.input_layer(self.h1_layer)
       self.h1_layer(self.output_layer)
       self.input_layer.init()
       self.h1_layer.init()
self.output_layer.init()
    def print(self):
       print("======="")
        print(self.input_layer)
        print(self.h1_layer)
        print(self.output_layer)
        print("=======")
    def fit(self, training_data, training_labels, lr, epochs, eps=1e-10):
        :param eps: float point precision tolerance
        :param training_labels: 2d np array
```

```
def print(self):
  print("======="")
   print(self.input_layer)
   print(self.h1_layer)
   print(self.output_layer)
   print("======="")
def fit(self, training_data, training_labels, lr, epochs, eps=1e-10):
   :param training_data: 2d np array
   :param training_labels: 2d np array
   :return:
   for i in range(epochs):
       self.input_layer.apply_input(training_data)
       self.output_layer.set_target(training_labels)
       self.input_layer.forward_prop()
       self.output_layer.back_prop(lr)
       # Since we have multi-class labels, apply softmax to get probabilities
       probs = softmax(self.output_layer.outputs)
```

```
test_mlp.py Final X acc_calc.py
test_mlp.py .\
Final > 🕏 test_mlp.py > ..
               for i in range(epochs):
                   self.input_layer.apply_input(training_data)
                  self.output_layer.set_target(training_labels)
                   self.input_layer.forward_prop()
                  self.output_layer.back_prop(lr)
                  # Since we have multi-class labels, apply softmax to get probabilities
                  probs = softmax(self.output_layer.outputs)
                   r, c = training_labels.shape
                   predictions = np.clip(training_labels, eps, 1 - eps)
                   loss = (- 1 / r) * (np.sum(probs * np.log(predictions)))
                   print("Current epoch", i, "loss", loss)
          def predict(self, test_data):
              h1_out = np.dot(test_data, self.h1_layer.weights) + self.h1_layer.bias
              out = np.dot(h1_out, self.output_layer.weights) + self.output_layer.bias
              probs = softmax(out)
              y_class_preds = []
               for y_pred_prob in probs:
                  y_class_pred = [0] * self.output_layer.dim
                   y_class_pred[np.argmax(y_pred_prob)] = 1
                  y_class_preds.append(y_class_pred)
```

```
† test_mlp.py Final X
† acc_calc.py
                                                       test.py
Final > 🕏 test_mlp.py > ...
          def predict(self, test_data):
              h1_out = np.dot(test_data, self.h1_layer.weights) + self.h1_layer.bias
               out = np.dot(h1_out, self.output_layer.weights) + self.output_layer.bias
               probs = softmax(out)
              y_class_preds = []
               for y_pred_prob in probs:
                  y_class_pred = [0] * self.output_layer.dim
                  y_class_pred[np.argmax(y_pred_prob)] = 1
                  y_class_preds.append(y_class_pred)
               return np.array(y_class_preds)
          @classmethod
          def load(cls, path):
               Loading this model
               :param path:
              with open(path, "rb") as o:
                  return pickle.load(o)
           def dump(self, path):
               Dumping this model
               :param path:
               with open(path, "wb") as o:
```

```
test_mlp.py .\
                  test_mlp.py Final X  acc_calc.py
Final > 🕏 test_mlp.py > ...
           @classmethod
           def load(cls, path):
               Loading this model
               :param path:
               with open(path, "rb") as o:
                   return pickle.load(o)
           def dump(self, path):
               Dumping this model
               :param path:
               :return:
               with open(path, "wb") as o:
                   pickle.dump(self, o)
       def test_mlp(data_file):
           test_x = np.loadtxt(data_file, delimiter=',')
           nn = NNModel.load("nn.pkl")
           return nn.predict(test_x)
```

```
test_mlp.py Final X • acc_calc.py
                                                            test.py
Final > ♦ test_mlp.py > ...
       def test_mlp(data_file):
           test_x = np.loadtxt(data_file, delimiter=',')
           nn = NNModel.load("nn.pkl")
           return nn.predict(test_x)
       if __name__ == '__main__':
          nn = NNModel()
           raw_x = np.loadtxt("./train_data.csv", delimiter=',')
raw_y = np.loadtxt("./train_labels.csv", delimiter=',')
           train_split = int(raw_x.shape[0] * 0.7)
           test_split = raw_x.shape[0] - train_split
           test_x = raw_x[test_split:, :]
           train_y = raw_y[:train_split, :]
           test_y = raw_y[test_split:, :]
           nn = NNModel.load("nn.pkl")
           train_y_pred = nn.predict(train_x)
```

Accuracy reported:

```
return e / np.sum(e, axis=1, keepdims=True)
Training Accuracy 0.2697524095342529
Test Accuracy 0.2715415247879033
```

Model Explanation:

Our team chose 7 to 3 to be the test-train split ratio because we believed that majority of data should be allocated for training, otherwise the network would have less information to extract and can cause underfitting. The network we built has three layers. The first layer has 784 nodes which matches total number of features in the train set. The second layer has 5 nodes and we picked five because it gives us the best accuracy during experiments. The third layer has 4 nodes and each node maps to a class in the labels. For layer two, we chose Sigmoid as our activation function, for output layer we chose softmax which can convert non-normalised outputs to probabilities of classes. The training accuracy is about 26.97% and test accuracy is about 27.15% which are slightly better than random guesses (25%).