## More aspects of SQL

ISYS2120 Data and Information Management

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Acknowledge: slides from Uwe Roehm and Alan Fekete, and from the materials associated with reference books (c) McGraw-Hill, Pearson

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## Agenda

- GROUP BY
- Relational Algebra Operators
- NULL
- Nested subqueries
- "For every" queries

## Grouped aggregates

- A very common pattern in data analysis is to collect the information for each value of some combination of attributes, and report on an aggregate of summary for each case
  - In spreadsheets, this can be done with a pivot table
- Eg "Find the average sales in each store", "for each department, give the number of employees", "for each product and month, show the number of items sold"

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Group-aggregates

_			
Hypothetica	l biology	' dat	taset

Genus	Species	Regio n		Weight
Rattus	rattus	AUS	ABC	216.5
Felis	catus	AUS	ABC	3510
Rattus	rattus	USA	ABC	249.5
Rattus	norvegicus	AUS	XYZ	143.0
Mus	musculus	AUS	ABC	85.3
Felis	catus	USA	XYZ	3974

Genus	Region	Avg(Weig ht)
Rattus	AUS	179.75
Rattus	USA	249.5
Felis	AUS	3510
Felis	USA	3974
Mus	AUS	85.3

"For each genus and region, what is average weight of the corresponding Observations"

## Queries with GROUP BY and HAVING

• In SQL, we can "partition" a relation into *groups* according to the value(s) of one or more attributes:

```
SELECT [DISTINCT] target-list
FROM relation-list
WHERE qualification
GROUP BY grouping-list
HAVING group-qualification
```

 A group is a set of tuples where they have identical values, considering just the attributes in groupinglist.

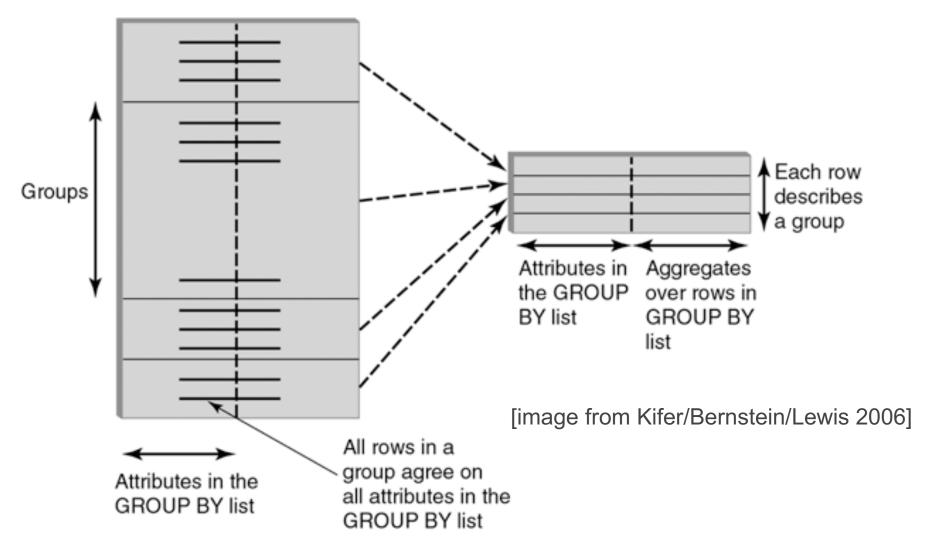
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## Warnings

- Note: Any attribute in select clause that is not within some aggregate function, must appear in the grouping-list
  - Intuitively, each answer tuple corresponds to a group, and these attributes must have a single value per group.
- Note: it is a common mistake to forget to show the grouping aggregate(s) in the SELECT clause
  - The reader won't be able to interprete the output: how would they know which group the aggregate is for?

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## **Group By Overview**



**FIGURE 5.9** Effect of the GROUP BY clause.

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## Filtering Groups (HAVING clause)

- GROUP BY Example:
  - What was the average mark of each unit?

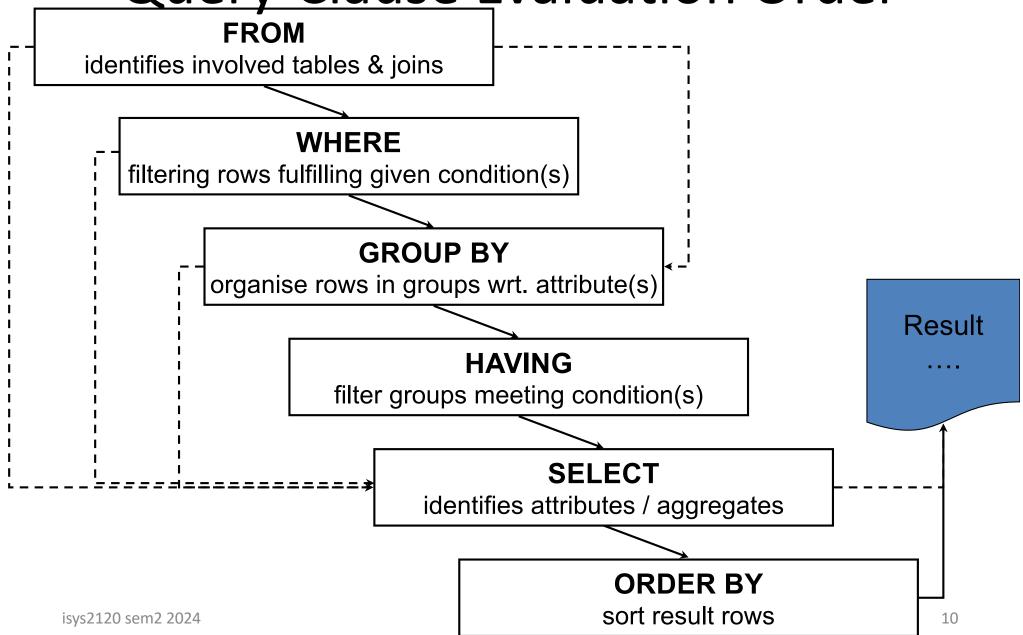
```
SELECT uos_code as unit_of_study, AVG(mark)
    FROM Assessment
GROUP BY uos code
```

- HAVING clause: can further filter groups to fulfil a predicate
  - Example: what is average mark in each unit where that average is more than 10

```
SELECT uos_code as unit_of_study, AVG(mark)
   FROM Assessment
GROUP BY uos_code
   HAVING AVG(mark) > 10
```

 Note: Predicates in the having clause are applied after the formation of groups (and must be meaningful for a group as a whole, ie either a grouping attribute or an aggregate) whereas predicates in the where clause are applied to individual rows, before forming groups

## Query-Clause Evaluation Order



## **Evaluation Example**

Find the average marks of 6-credit point courses with at least 2 results

```
SELECT uos_code as unit_of_study, AVG(mark)
   FROM Assessment NATURAL JOIN UnitOfStudy
   WHERE credit_points = 6
GROUP BY uos_code
   HAVING COUNT(*) >= 2
```

1. Assessment and UnitOfStudy are joined

uos code	sid	emp_id	mark	title	cpts.	lecturer
COMP5138 COMP5138 COMP5138 COMP5138	1001 1002 1003 1004	10500 10500 10500 10500	60 55 78 93	RDBMS RDBMS RDBMS RDBMS	6 6 6	10500 10500 10500 10500
ISYS3207	1002 1004	10500 10505	<del>67</del> 80	IS Project	<del>4</del> 4	10500 10505
SOFT3000	1004	10505	56	C Prog.	6	10505
INFO2120 	1005		63 		4 	

2. Tuples that fail the WHERE condition are discarded

# Evaluation Example (cont'd)

3. remaining tuples are partitioned into groups by the value of attributes in the grouping-list.

uos code	sid	emp_id	mark	title	cpts.	lecturer
COMP5138 COMP5138 COMP5138 COMP5138	1001 1002 1003 1004	10500 10500 10500 10500	60 55 78 93	RDBMS RDBMS RDBMS RDBMS	6 6 6	10500 10500 10500 10500
SOFT3000	1001	10505	56	C Prog.	6	10505
INFO5990	1001	10505 	67 	IT Practice	6	10505 

4. Groups which fail the HAVING condition are discarded.

5. ONE answer tuple is generated per group

uos_code	AVG()	
COMP5138	56	
INFO5990	40.5	

What happens if we have NULL values in grouping attributes?

#### Grouped query in FROM clause

 Find the average mark of assessments of those unit of studies where at least 10 students have been assessed.

 Note that we do not need to use the having clause, since we compute the temporary (view) relation result in the from clause, and the attributes of result can be used directly in the where clause.

## Agenda

- GROUP BY
- Relational Algebra Operators
- NULL
- Nested subqueries
- "For every" queries

## Recall: SQL Join Operators

- SQL offers join operators to directly do joining without putting a WHERE clause to express the match-up of values.
  - R natural join S
    - Put together rows, one from each table, in which the same-named columns have same values (each same-named attribute appears once only)
  - R inner join S on <join condition>
  - R inner join S using (<list of attributes>)
  - Note that the keyword inner can be left out, it is the default join; however either on or using are needed with inner join
- These additional operations are typically used as expressions in the from clause
  - List all students and in which courses they enrolled.

```
select name, uos_code, semester
from Student natural join Enrolled
```

— Who is teaching "ISYS2120"?

```
select name
  from UnitOfStudy join Academic on lecturer=empid
where uos_code='ISYS2120'
```

#### **Set Operations**

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations  $\cup$ ,  $\cap$ , -.
  - Some platforms (eg Oracle) use MINUS instead of EXCEPT
  - Some platforms do not support all these
- The set operation can be performed at top level on results of queries, or in FROM clause
- As in RA, the operands need to have same structure (column names in same order, with same data types)

#### Set Operations and Duplicates

 Each of the set operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions union all, intersect all and except all.

Suppose a tuple occurs *m* times in *r* and *n* times in *s*, then, it occurs:

- m + n times in r union all s
- $-\min(m,n)$  times in r intersect all s
- $-\max(0, m-n)$  times in r except all s

#### Examples of Set Operations

- Find all customers who have an account (ie they deposit), a loan (ie they borrow), or both:
  - (select customer\_name from depositor)union(select customer\_name from borrower)
- Find all customers who have both an account and a loan
  - (select customer\_name from depositor)intersect(select customer\_name from borrower)
- Find all customers who have an account but no loan
  - (select customer\_name from depositor)except(select customer\_name from borrower)

## Agenda

- GROUP BY
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## **NULL Values**

- Recall: It is possible for tuples to have a null value, denoted by null, for some of their attributes
  - Integral part of SQL to handle missing / unknown information
  - null signifies that a value does not exist, it does not mean "0" or "blank"!
  - Schema might constrain a column to prevent NULL values
- The predicate is null can be used to check for null values
  - e.g. Find students which enrolled in a course without a grade so far.

SELECT sid
FROM Enrolled
WHERE grade IS NULL

Warning: do NOT try t use equality or inequality test eg gradeNULL

## Computing with Nulls

- We describe the rules as three-valued logic
  - Conditions can evaluate to any of: UNKNOWN, TRUE, or FALSE
- Arithmetic expression: x op y (where op is +, -, \*, etc.) has value NULL when either x is NULL or y is NULL (or both)
- Boolean Comparison: x op y (where op is <, >, <>, =, etc.) has value UNKNOWN when either x or y is NULL
  - WHERE T.cost > T.price -- when T.price is NULL, this condition is UNKNOWN
  - WHERE (T. price/T.cost) > 2 -- when T.price is NULL, this condition is UNKNOWN
- Boolean Operator: x AND y, x OR y, NOT x has the value UNKNOWN when either x is UNKNOWN or y is UNKNOWN, or both
- Tuple is only accepted by where clause predicate when it evaluates to true (not included when it evaluates to false, or to unknown)
  - e.g: select sid from enrolled where grade <> 'DI' does not return a student for which grade is NULL
- Aggregates: COUNT(\*) counts any row with NULL just like any other value;
   other aggregates ignore NULLs

## **NULL Values and Aggregation**

- COUNT(\*) counts any row with NULL just like any other value; other aggregates ignore NULLs
  - result is null if there is no non-null value to aggregate
- Examples:
  - Average mark of the assessments
     SELECT AVG (mark) -- ignores tuples with nulls
     FROM Assessment
  - Number of the assessments
    SELECT COUNT (\*) -- counts all tuples (only with \*)
    FROM Assessment

### **NULL** values and GROUP BY

- When forming groups, a group is made for all the rows which have NULL in a particular grouping attribute, and the same values for the other grouping attributes
  - That is, NULL is treated as a legitimate value in grouping, and these NULLs are treated together (even though they do not show as "equal to" one another in conditions)
- This is a special feature in the SQL standard

#### Nulls and Join Operators

- The usual join (also called inner join) only includes pairs of tuples that match on the join attribute
  - Consider R join S. What if no match is found for a tuple of R?
    - It won't appear in the result at all
- SQL has outer join operators as well
  - They include rows from one source relation without any match, with null values from the other source relation

#### **Example Scenario**

#### Relation loan

loan-number	branch-name	amount
L-170	Downtown	3000
L-230	Redwood	4000
L-260	Perryridge	1700

#### Relation borrower

customer-name	loan-number
Jones	L-170
Smith	L-230
Hayes	L-155

■ Note: *borrower* information missing for L-260 and *loan* information missing for L-155

#### Example

• loan inner join borrower on loan.loan-number = borrower.loan-number

loan-number	branch-name	amount	customer-name	loan-number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230

■ *loan* left outer join *borrower* on *loan.loan-number* = *borrower.loan-number* 

loan-number	branch-name	amount	customer-name	loan-number
L-170	Downtown	3000	Jones	L-170
L-230	Redwood	4000	Smith	L-230
L-260	Perryridge	1700	null	null

### Example

#### • loan natural inner join borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith

#### loan natural right outer join borrower

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-155	null	null	Hayes

## Example

loan full outer join borrower using (loan-number)

loan-number	branch-name	amount	customer-name
L-170	Downtown	3000	Jones
L-230	Redwood	4000	Smith
L-260	Perryridge	1700	null
L-155	null	null	Hayes

## **Summary: Join Operators**

- Available join types:
  - inner join
  - A left outer join B
    - For an A tuple with no matching B tuple, include it with null in B columns
  - right outer join
  - full outer join

- Join Conditions:
  - natural
  - on <join condition>
  - using <attribute list>
- Using Join operators
  - At top level of query
  - In FROM clause
  - In subquery (se later this lecture)

## Agenda

- GROUP BY
- Relational Algebra Operators
- NULL
- Nested subqueries
- "For every" queries

# Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries helping in the formulation of complex queries
- A subquery is a select-from-where expression that is nested within another query.
  - In a condition of the WHERE clause
  - As a "table" of the FROM clause
  - Within the HAVING clause
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.

# Example: Nested Queries

 Find the names of students who have enrolled in 'ISYS2120'?
 The IN operator will test to see

The IN operator will test to see if the SID value of a row is included in the list returned from the subquery

```
SELECT name
FROM Student
WHERE sid IN
```

```
SELECT sid
FROM Enrolled
WHERE uos_code='ISYS2120')
```

Subquery is embedded in parentheses. In this case it returns a list that will be used in the WHERE clause of the outer query

Which students have the same name as a lecturer?

# Correlated vs. Noncorrelated Subqueries

### Noncorrelated subqueries:

- Do not depend on data from the outer query
- Execute once for the entire outer query

#### Correlated subqueries:

- Make use of data from the outer query
- Execute once for each row of the outer query
- Can use the EXISTS operator

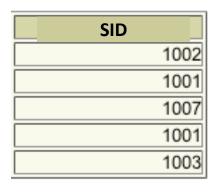
# Processing a Noncorrelated Subquery

FROM Student
WHERE sid

IN

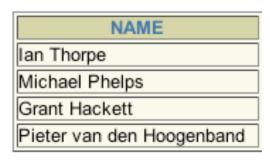
( SELECT DISTINCT sid FROM Enrolled );

1. The subquery
executes first and
returns as
intermediate result
all student IDs from
the Enrolled table



No reference to data in outer query, so subquery executes once only

2. The outer query executes on the results of the subquery and returns the searched student names



These are the only students that have IDs in the Enrolled table

## **Correlated Nested Queries**

- With correlated nested queries, the inner subquery depends on the outer query
  - Example:

     Find all students who have enrolled in lectures given by 'Einstein'.

```
SELECT DISTINCT name
FROM Student, Enrolled e
WHERE Student.sid = e.sid AND
EXISTS ( SELECT 1

FROM Lecturers, UnitofStudy u
WHERE name = 'Einstein' AND
lecturer = empid AND
u.uos_code ≠e.vos_code )
```

## Processing a Correlated Subquery

Student 1><1 enrolled

- First join the Student and Enrolled tables;
- get the uos code of the 1st tuple
- Evaluate the subquery for the current uos code to cheek whether it is taught by Einstein

SID	NAME	BIRTHDATE			
200300456	Henry	01-JAN-82	India	COMP5138	2005-S2
200300456	Henry	01-JAN-82	India	ELEC1007	2005-S2
200400500	Thu	04-APR-80	China	COMP5138	2005-S1
200400500	Thu	04-APR-80	China	ELEC1007	2005-S1

Subquery refers to outerquery data, so executes once for each row of outer query

UOS CODE	TITLE	CPTS	LECTURER	EMPID	NAME	ROOM
COMP5138	RDBMS	6	1	1	Uwe Roehm	G12
INFO2120	RDBMS	6	1	1	Uwe Roehm	G12
ISYS3207	IS Project	4	2	2	Albert Einstein	Heaven
ELEC1007	Introduction to Physics	6	2	2	Albert Einstein	Heaven

- If yes, include in result.
- Loop to step (2) on the next tuple, until whole outer isys2120 sem2 2024 checked.

Note: only the students that enrolled in a course taught by Albert Einstein will be included in the final results

## Testing if a subquery EXISTS

- The boolean expression EXISTS (subquery) can appear in a WHERE clause
  - Usually the subquery is correlated, so it returns different relation for each row of the outer query
  - Since it doesn't matter what values are returned, usually the subquery is "select \* from ..."
- The expression EXISTS(R) is true when the subquery returns a non-empty relation (one or more rows)
  - It is FALSE when the subquery returns empty (ie no rows)
- Warning: the expression EXISTS(R) is boolean, so it can't be compared with a number or string, by "=" or other comparison operator

## **EXISTS** example

Find branches located in Sydney where there is an account whose balance is over 10000

## NOT EXISTS example

 Find branches located in Sydney where there isn't an account whose balance is over 10000

-- this is not the same as asking for branches in Sydney with an account for which NOT(balance >10000)

### In vs. Exists Function

- The comparison operator IN compares a value v
  with a set (or multi-set) of values V, and evaluates
  to true if v is one of the elements in V
  - A query written with nested SELECT... FROM...
     WHERE... blocks and using the = or IN comparison operators can *always* be expressed as a single block query.
- EXISTS is used to check whether the result of a correlated nested query is empty (contains no tuples) or not

## In vs. Exists Function

Find all students who have enrolled in lectures given by 'Einstein'.

```
FROM Student JOIN Enrolled E USING (sid)
    WHERE EXISTS ( SELECT *
                     FROM Lecturer JOIN UnitOfStudy U
                     WHERE name = 'Einstein'
                            AND U.uos code = E.uos code )
Query using IN
                             without a subquery
SELECT distinct name
 FROM Student
 WHERE Student.sid IN
 (SELECT e.sid
    FROM Enrolled e, Lecturer, UOS u
  WHERE name = 'Finstein'
     AND lecturer = empid
 _{isvs212} AND _{2}U_{2}uos\_code = e.uos\_code)
```

SELECT distinct name

```
SELECT distinct students.name
 FROM Student, Enrolled e, Lecturer, UOS u
WHERE Student.sid = e.sid
  AND lecturer.name = 'Einstein'
  AND lecturer = empid
  AND u.uos code = e.uos code
```

ON (lecturer=empid)

## Dealing with multi-row subqueries

- When the subquery returns several rows, we need to be careful how it is used in the outerquery
  - We can ask if "v IN (a set of values)"
  - We can ask if "EXISTS (a set of values)"
  - It can be confusing when we ask if "v > (a set of values)" or if "v = (a set of values)"
  - SQL provides two ways to numerically compare a value to a set of values
  - We can compare the value to ALL of the ones in the set, or to SOME one from the set

#### • **all** clause

#### **Set Comparison**

- tests whether a comparison is true for the whole set  $F comp \ all \ (R) \ means \ (\forall \ t \in R : (F comp \ t))$
- If R is empty, this comparison is true!
- some clause (also called any)
  - tests whether a comparison holds for at least one set element F comp some (R) means ( $\exists t \in R : (F \text{ comp } t)$ )
  - If R is empty, this comparison is false!
- Here
  - comp can be: =, <, >, <=, >= or <>
  - F is a constant value or an attribute
  - R is a one-column relation [maybe a single value eg from aggregation]
- v < ALL (SELECT x FROM ...) is another way to say v < (SELECT min(x) FROM ...)</li>

#### Except for some issues with null values

w < SOME (SELECT x FROM ...) is another way to say w < (SELECT max(x) FROM ...)</li>

# Example: Set Comparison

 Find the student(s) with highest mark in tasks of ISYS2120

## Agenda

- GROUP BY
- Relational Algebra Operators
- NULL
- Nested subqueries
- "For every" queries

# 'For every' Queries

- These queries can be done in Relational Algebra using the relational division operator
  - Find students who have taken all the core units of study,
  - Find suppliers who supply all the red parts,
  - Find customers who have ordered all items from a given line of products etc.
- These queries check whether or not a candidate data is related to each of the values of a given base set.

# For every in SQL

- SQL does not directly support universal quantification (for all)
- SQL Work-around: Search predicates of the form <u>"for all" or "for every"</u> can be formulated using the **not exists** clause on a negated condition
  - Example: "Find courses where <u>all</u> enrolled student already have a grade" convert to "Find courses where there is not an enrolled student who does not have a grade"

```
SELECT uos_code
FROM UnitOfStudy U
WHERE NOT EXISTS

( SELECT *
    FROM Enrolled E
WHERE E.uos_code=U.uos_code
    and grade is null )
```

## Example

 How would you answer the following question in SQL?

"Write an SQL query that finds the student(s) that have taken *every* ISYS subject in second year."

## **SQL-Division Example**

 "Write an SQL query that finds the student(s) that have taken every ISYS subject in second year."

- What is our base set?
  - All second year ISYS subjects
  - In SQL: SELECT uos\_code
     FROM UnitOfStudy
     WHERE uos code LIKE 'ISYS2%'
- What is our candidate set?
  - Student who have enrolled in any second year ISYS subject.
  - In SQL: SELECT DISTINCT sid, uos\_code
     FROM Enrolled
     WHERE uos code LIKE 'ISYS2%'

## Division in SQL

- Strategy for implementing division in SQL:
- Reformulate as "not exists not"
  - ► Eg Find the students for whom there is not an ISYS subject in second year, that the student did not take
  - ► This we can express in SQL:

## Division in logic

Mathematically, we can rewrite

$$\{\langle a \rangle | \forall \langle b \rangle \in S : \exists \langle a,b \rangle \in R \}$$

as

$$\{\langle a \rangle | \neg \exists \langle b \rangle \in S : \neg \exists \langle a, b \rangle \in R \}$$

### Division in SQL alternative

- Reformulate as "not exists a set-difference"
  - ► Eg Find the students for whom there is not an ISYS subject in second year, other than ones the student did take

```
FROM Student S
WHERE NOT EXISTS(SELECT uosCode
FROM UnitOfStudy
WHERE uosCode LIKE 'ISYS2%'
EXCEPT
SELECT E.uosCode
FROM Enrolled E
WHERE E.studId = S.studId )
```

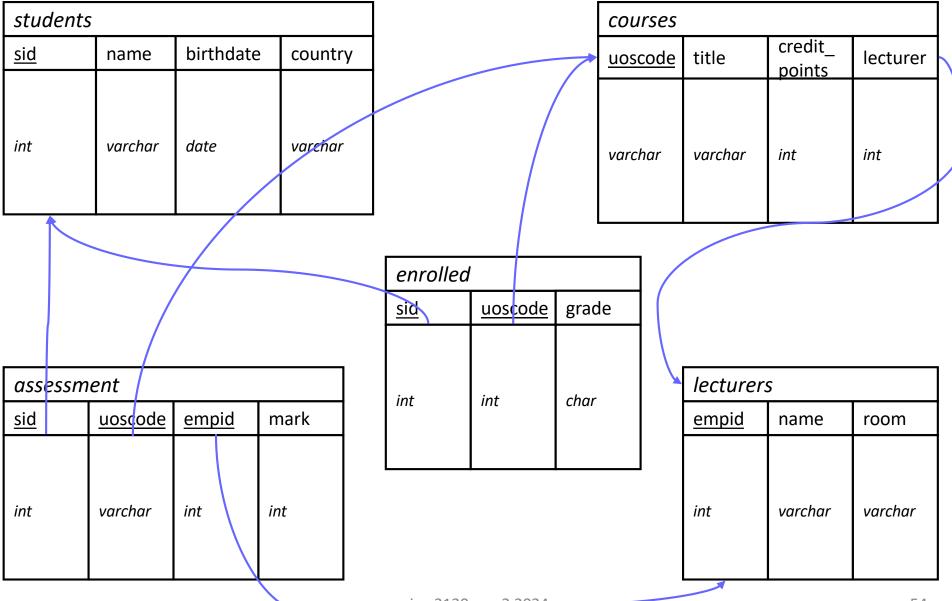
## Division in SQL – another way

- Just compare the counts!
  - Find students for which the number of second year ISYS subjects that they take is equal to the total number of second year ISYS subjects

Important that we filter in both the outer grouping and the inner sub-query for 2<sup>nd</sup> year ISYS! Otherwise you compare the wrong counts!

This query above will fail if a student has repeated any subject. Brainteaser: How would you fix that?

#### Schema for some exercises



- How many courses are there with the lowest credit\_point value, among the courses lectured by Alan Fekete?
- Find the name of student(s) whose mark on an assessment in a course is above the average mark for assessments in that course
- Find the cases of a student and a course, where the student is enrolled in the course and has not received any assessments in that course
- Find the lecturer, if any, who is teaching every course that is worth 12 credit\_points
- Find the students, if any, who are enrolled in every course that is worth 12 credit\_points

 How many courses are there with the lowest credit\_point value, among the courses lectured by Alan Fekete?

```
SELECT COUNT(DISTINCT uoscode)
FROM courses
WHERE lecturer IN (SELECT empid
FROM lecturers
WHERE name = 'Alan Fekete')
and credit_points = (SELECT min(credit_points)
FROM courses
WHERE lecturer IN (SELECT empid
FROM lecturers
WHERE name = 'Alan Fekete')

WHERE name = 'Alan Fekete'

WHERE name = 'Alan Fekete'
)
```

 Find the name of student(s) whose mark on an assessment in a course is above the average mark for assessments in that course

```
SELECT name
FROM students, assessment a1
WHERE students.sid = a1.sid
and a1.mark > (SELECT avg(a2.mark)
FROM assessment a2
WHERE a2.uoscode = a1.usocode)
```

 Find the cases of a student and a course, where the student is enrolled in the course and has not received any assessments in that course

```
SELECT sid, uoscode
FROM enrolled
WHERE NOT EXISTS (SELECT *
                   FROM assessment a
                  WHERE a.uoscode = enrolled.uoscode
                         and a.sid = enrolled.sid)
Alternative formulation
SELECT sid, uoscode
FROM students, courses
WHERE EXISTS (SELECT *
             FROM enrolled
            WHERE enrolled.sid=students.sid and enrolled.uoscode = courses.uoscode)
      and NOT EXISTS (SELECT *
                    FROM assessment a
                   WHERE a.sid = students.sid and a.uoscode = courses.uoscode)
```

 Find the lecturer, if any, who is teaching every course that is worth 12 credit\_points

Convert to: Find the lecturer, if any, where there is not a 12 credit\_point course that the lecturer does not not teach

```
SELECT *
FROM lecturers
WHERE NOT EXISTS (SELECT *
FROM courses
WHERE credit_points = 12
and courses.lecturer <> lecturers.empid)
```

Note the way we make use of the fact that there is a single lecturer in a course

Find the students, if any, who are enrolled in every course that is worth 12 credit\_points

Convert to: Find the students, if any, where there is not a 12 credit\_point course for which there is not an enrollment for that student in that course

```
SELECT *
FROM students s
WHERE NOT EXISTS (SELECT *
FROM courses c
WHERE credit_points = 12
and NOT EXISTS (SELECT *
FROM enrolled e
WHERE e.usocode = c.uoscode
and e.sid = s.sid
)
```

### References

- Silberschatz/Korth/Sudarshan(7ed)
  - Chapter 3.5-3.8, 4.1

#### Also

- Kifer/Bernstein/Lewis(complete version, 2ed)
  - Chapter 5.2.2-5.2.8, 5.2.10
- Ramakrishnam/Gehrke(3ed)
  - Chapter 5.3-5.6
- Garcia-Molina/Ullman/Widom(complete book, 2ed)
  - Chapter 6.1.6-6.1.7, 6.2.5, 6.3, 6.4

## Summary

- GROUP BY
- Relational Algebra Operators
  - UNION, INTERSECT, EXCEPT
- NULL
  - Use in SQL computations
- Nested subqueries
  - Correlated, uncorrelated
- "For every" queries