

# Exercise sheet 5: Probalign

For the following exercises on Probalign, we use an affine gap penalty with  $g(k) = \alpha + \beta k = -0.5 - 0.25k$ , there temperature  $T = 1$  and the similarity function  $\sigma(x_i, y_j)$ :

$$\sigma(x_i, y_j) = \begin{matrix} & \begin{matrix} A & C & G & T \end{matrix} \\ \begin{matrix} A \\ C \\ G \\ T \end{matrix} & \begin{pmatrix} 2 & -1 & -1 & -1 \\ -1 & 2 & -1 & -1 \\ -1 & -1 & 2 & -1 \\ -1 & -1 & -1 & 2 \end{pmatrix} \end{matrix}$$

## Exercise 1

**Question 1A** Compute the Boltzmann-weighted score for the following alignments:

- |                |                   |
|----------------|-------------------|
| (a) x: --AGCGG | (b) x: AGCGG----- |
| :              | :                 |
| y: ACAGGGG     | y: -----ACAGGGG   |

**Hint 1 : Formulae**

$$S(a) = \sum_{x_i \sim y_j \in a} \sigma(x_i, y_j) + \sum \text{gap penalties} e^{\frac{S(a)}{T}} = \left( \prod_{x_i \sim y_j \in a} e^{\frac{\sigma(x_i, y_j)}{T}} \right) \times e^{\frac{\sum \text{gap penalties}}{T}}$$

**Hint 2** For each alignment you only need to calculate  $e^x$  once.

**Hint 3: Calculations**

- |     |  |   |
|-----|--|---|
| (a) | $e^{\sigma(A,A)} \times e^{3\sigma(G,G)} \times e^{\sigma(C,G)} \times e^{g(2)}$ | $= e^2 \times e^6 \times e^{-1} \times e^{-0.5+(-0.25 \times 2)} = e^6$               |
| (b) | $e^{\sigma(G,A)} \times e^{g(4)} \times e^{g(6)}$                                | $= e^{-1} \times e^{0.5+(-0.25 \times 4)} \times e^{0.5+(-0.25 \times 6)} = e^{-4.5}$ |

**Solution**

- |     |                    |
|-----|--------------------|
| (a) | $e^6 = 403.43$     |
| (b) | $e^{-4.5} = 0.011$ |

## Exercise 2

**Question 2A** Derive the recursion formula for  $Z_{i,j}^I$ . Allow insertions after deletions and vice versa.

**Solution**

$$Z_{i,j}^I = Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}}$$


---

**Question 2B** Compute the partition function  $Z(T)$  by dynamic programming for the sequences  $\mathbf{x}=\text{ACC}$  and  $\mathbf{y}=\text{AC}$ . Allow insertions after deletions and vice versa. In order to simplify the computations, you can round to two digits after the decimal point.

**Hint 1: Formulae** Initialization:

$$\begin{aligned} Z_{i,0}^M &= Z_{0,j}^M = 0, Z_{0,0}^M = 1 \\ Z_{i,0}^I &= 0 \\ Z_{0,j}^D &= 0 \end{aligned}$$

Recursion:

$$\begin{aligned} Z_{i,j}^M &= Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \\ Z_{i,j}^I &= Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}} \\ Z_{i,j}^D &= Z_{i-1,j}^D \times e^{\frac{\beta}{T}} + Z_{i-1,j}^M \times e^{\frac{g(1)}{T}} + Z_{i-1,j}^I \times e^{\frac{g(1)}{T}} \\ Z_{i,j} &= Z_{i,j}^M + Z_{i,j}^I + Z_{i,j}^D \end{aligned}$$

**Solution**

| $Z^{\{M\}}$ | - | A    | C     |
|-------------|---|------|-------|
| -           | 1 | 0.00 | 0.00  |
| A           | 0 | 7.39 | 0.17  |
| C           | 0 | 0.17 | 57.90 |
| C           | 0 | 0.14 | 30.42 |

| $Z^{\{I\}}$ | - | A    | C    |
|-------------|---|------|------|
| -           | 0 | 0.47 | 0.37 |
| A           | 0 | 0.22 | 3.77 |
| C           | 0 | 0.17 | 2.00 |
| C           | 0 | 0.14 | 1.63 |

| $Z^{\wedge}\{D\}$ | -    | A    | C     |
|-------------------|------|------|-------|
| -                 | 0.00 | 0.00 | 0.00  |
| A                 | 0.47 | 0.22 | 0.17  |
| C                 | 0.37 | 3.68 | 2.00  |
| C                 | 0.29 | 3.10 | 29.85 |

| Z | -    | A    | C     |
|---|------|------|-------|
| - | 1.00 | 0.47 | 0.37  |
| A | 0.47 | 7.84 | 4.12  |
| C | 0.37 | 4.12 | 61.89 |
| C | 0.29 | 3.37 | 61.90 |

### Exercise 3

The partition function of the reverse sequences  $x^* = CA$  and  $y^* = CCA$  is given in the matrix  $Z^*$ :

| $Z^{\wedge}\{*\}$ | -    | A    | C     |
|-------------------|------|------|-------|
| -                 | 1.00 | 0.47 | 0.37  |
| A                 | 0.47 | 7.84 | 4.12  |
| C                 | 0.37 | 7.43 | 8.45  |
| C                 | 0.29 | 4.94 | 61.90 |

**Question 3A** Find a mapping from matrix  $Z_{k,l}^*$  to  $Z'_{i,j}$ . Which position in matrix  $Z^*$  corresponds to which position in matrix  $Z'$ ?

**Solution**  $Z'_{i,j}$  is the partition function of the alignment  $x_j \dots x_{|x|}$  with  $y_i \dots y_{|y|}$ .

$Z_{k,l}^*$  is the partition function of the alignment  $x_{|x|} \dots x_{|x|-k+1}$  with  $y_{|y|} \dots y_{|y|-l+1}$ .

$$j = |x| - l + 1 \Leftrightarrow l = |x| - j + 1$$

$$i = |y| - k + 1 \Leftrightarrow k = |y| - i + 1$$

| $Z^{\wedge}\{*\}$ | -     | C     | A     |
|-------------------|-------|-------|-------|
| -                 | (0,0) | (0,1) | (0,2) |
| A                 | (1,0) | (1,1) | (1,2) |
| C                 | (2,0) | (2,1) | (2,2) |
| C                 | (3,0) | (3,1) | (3,2) |

| $Z \setminus \{ \}$ | -     | A     | C     | -1    |
|---------------------|-------|-------|-------|-------|
| -                   | (0,0) | (0,1) | (0,2) | (0,3) |
| A                   | (1,0) | (1,1) | (1,2) | (1,3) |
| C                   | (2,0) | (2,1) | (2,2) | (2,3) |
| C                   | (3,0) | (3,1) | (3,2) | (3,3) |
| -                   | (4,0) | (4,1) | (4,2) | (4,3) |

**Question 3B** Use  $Z, Z^*$  and the mapping from  $Z^*$  to  $Z'$  to compute the probability of the alignment edges (1, 1), (2, 2), (3, 1) and (3, 2) between  $x$  and  $y$ .

**Hint 1: Formulae**

$$P(x_i \sim y_j | x, y) = \frac{Z_{i-1, j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \times Z'_{i+1, j+1}}{Z(T)} Z_{i, j}^M = Z_{i-1, j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}}$$

**Hint 2** Mapped positions:

$$\begin{aligned} Z'_{2,2} &\iff Z_{2,1}^* \\ Z'_{3,3} &\iff Z_{1,0}^* \\ Z'_{4,2} &\iff Z_{0,1}^* \\ Z'_{4,3} &\iff Z_{0,0}^* \end{aligned}$$

**Solution** Alignment edge (1, 1):

$$P(x_1 \sim y_1 | x, y) = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z'_{2,2}}{Z(T)} = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z_{2,1}^*}{Z(T)} = \frac{Z_{1,1}^M \times Z_{2,1}^*}{Z(T)} = \frac{7.39 \times 7.43}{61.90} = 0.89$$

Alignment edge (2, 2):

$$P(x_2 \sim y_2 | x, y) = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z'_{3,3}}{Z(T)} = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z_{1,0}^*}{Z(T)} = \frac{Z_{2,2}^M \times Z_{1,0}^*}{Z(T)} = \frac{57.90 \times 0.47}{61.90} = 0.44$$

Alignment edge (3, 1):

$$P(x_3 \sim y_1 | x, y) = \frac{Z_{3,1}^M \times Z'_{4,2}}{Z(T)} = \frac{Z_{3,1}^M \times Z_{0,1}^*}{Z(T)} = \frac{0.14 \times 0.47}{61.90} = 0.001$$

Alignment edge (3, 2):

$$P(x_3 \sim y_2 | x, y) = \frac{Z_{3,2}^M \times Z'_{4,3}}{Z(T)} = \frac{Z_{3,1}^M \times Z_{0,0}^*}{Z(T)} = \frac{30.42 \times 1}{61.90} = 0.49$$