

## Exercise sheet 5: Probalign

For the following exercises on Probalign, we use an affine gap penalty with  $g(k) = \alpha + \beta k = -0.5 - 0.25k$ , there temperature  $T = 1$  and the similarity function  $\sigma(x_i, y_j)$ :

$$\sigma(x_i, y_j) = \begin{matrix} & \begin{matrix} A & C & G & T \end{matrix} \\ \begin{matrix} A \\ C \\ G \\ T \end{matrix} & \begin{pmatrix} 2 & -1 & -1 & -1 \\ -1 & 2 & -1 & -1 \\ -1 & -1 & 2 & -1 \\ -1 & -1 & -1 & 2 \end{pmatrix} \end{matrix}$$

### Exercise 1

1a)

Compute the Boltzmann-weighted score for the following alignments:

<p>(a)    x: --AGCGG                      :                   y: ACAGGGG</p>	<p>(b)    x: AGCGG-----                               :                y: -----ACAGGGG</p>
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**Hint 1 : Formulae**

$$S(a) = \sum_{x_i \sim y_j \in a} \sigma(x_i, y_j) + \sum \text{gap penalties} e^{\frac{S(a)}{T}} = \left( \prod_{x_i \sim y_j \in a} e^{\frac{\sigma(x_i, y_j)}{T}} \right) \times e^{\frac{\sum \text{gap penalties}}{T}}$$

**Hint 2** For each alignment you only need to calculate  $e^x$  once.

**Hint 3: Calculations**

<p>(a)    <math>e^{\sigma(A,A)} \times e^{3\sigma(G,G)} \times e^{\sigma(C,G)} \times e^{g(2)}</math></p> <p>(b)    <math>e^{\sigma(G,A)} \times e^{g(4)} \times e^{g(6)}</math></p>	<p><math>= e^2 \times e^6 \times e^{-1} \times e^{-0.5+(-0.25 \times 2)} = e^6</math></p> <p><math>= e^{-1} \times e^{0.5+(-0.25 \times 4)} \times e^{0.5+(-0.25 \times 6)} = e^{-4.5}</math></p>
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**Solution**

<p>(a)    <math>e^6 = 403.43</math></p> <p>(b)    <math>e^{-4.5} = 0.011</math></p>	
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## Exercise 2

2a)

Derive the recursion formula for  $Z_{i,j}^I$ . Allow insertions after deletions and vice versa.

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Solution

$$Z_{i,j}^I = Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}}$$

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2b)

Compute the partition function  $Z(T)$  by dynamic programming for the sequences  $\mathbf{x}=\text{ACC}$  and  $\mathbf{y}=\text{AC}$ . Allow insertions after deletions and vice versa. In order to simplify the computations, you can round to two digits after the decimal point. Please be aware that we used exact numbers for all calculations and rounded in the end.

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**Hint 1: Formulae** Initialization:

$$\begin{aligned} Z_{i,0}^M &= Z_{0,j}^M = 0, Z_{0,0}^M = 1 \\ Z_{i,0}^I &= 0 \\ Z_{0,j}^D &= 0 \end{aligned}$$

Recursion:

$$\begin{aligned} Z_{i,j}^M &= Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \\ Z_{i,j}^I &= Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}} \\ Z_{i,j}^D &= Z_{i-1,j}^D \times e^{\frac{\beta}{T}} + Z_{i-1,j}^M \times e^{\frac{g(1)}{T}} + Z_{i-1,j}^I \times e^{\frac{g(1)}{T}} \\ Z_{i,j} &= Z_{i,j}^M + Z_{i,j}^I + Z_{i,j}^D \end{aligned}$$

Solution

$Z^{\{M\}}$	-	A	C
-	1	0.00	0.00
A	0	7.39	0.17
C	0	0.17	57.90

$Z^{\{M\}}$	-	A	C
C	0	0.14	30.42

$Z^{\{I\}}$	-	A	C
-	0	0.47	0.37
A	0	0.22	3.77
C	0	0.17	2.00
C	0	0.14	1.63

$Z^{\{D\}}$	-	A	C
-	0.00	0.00	0.00
A	0.47	0.22	0.17
C	0.37	3.68	2.00
C	0.29	3.10	29.85

Z	-	A	C
-	1.00	0.47	0.37
A	0.47	7.84	4.12
C	0.37	4.12	61.89
C	0.29	3.37	61.90

### Exercise 3

The partition function of the reverse sequences  $x^* = CCA$  and  $y^* = CA$  is given in the matrix  $Z^*$ :

$Z^{\{*\}}$	-	C	A
-	1.00	0.47	0.37
C	0.47	7.84	4.12
C	0.37	7.43	8.45
A	0.29	4.94	61.90

3a)

Find a mapping from matrix  $Z_{k,l}^*$  to  $Z'_{i,j}$ . Which position in matrix  $Z^*$  corresponds to which position in matrix  $Z'$ ?

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**Solution**  $Z'_{i,j}$  is the partition function of the alignment  $x_i \dots x_{|x|}$  with  $y_j \dots y_{|y|}$ .  
 $Z^*_{k,l}$  is the partition function of the alignment  $x_{|x|} \dots x_{|x|-k+1}$  with  $y_{|y|} \dots y_{|y|-l+1}$ .

$$i = |x| - k + 1 \Leftrightarrow k = |x| - i + 1$$

$$j = |y| - l + 1 \Leftrightarrow l = |y| - j + 1$$

$Z^*\{*\}$	-	C	A
-	(0,0)	(0,1)	(0,2)
C	(1,0)	(1,1)	(1,2)
C	(2,0)	(2,1)	(2,2)
A	(3,0)	(3,1)	(3,2)

$Z^*\{*\}$	-	A	C	-1
-	(0,0)	(0,1)	(0,2)	(0,3)
A	(1,0)	(1,1)	(1,2)	(1,3)
C	(2,0)	(2,1)	(2,2)	(2,3)
C	(3,0)	(3,1)	(3,2)	(3,3)
-	(4,0)	(4,1)	(4,2)	(4,3)

**3b)**

Use  $Z, Z^*$  and the mapping from  $Z^*$  to  $Z'$  to compute the probability of the alignment edges (1, 1), (2, 2), (3, 1) and (3, 2) between  $x$  and  $y$ .

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**Hint 1: Formulae**

$$P(x_i \sim y_j | x, y) = \frac{Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \times Z'_{i+1,j+1}}{Z(T)} Z^M_{i,j} = Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}}$$

**Hint 2** Mapped positions:

$$Z'_{2,2} \Longleftrightarrow Z^*_{2,1}$$

$$Z'_{3,3} \Longleftrightarrow Z^*_{1,0}$$

$$Z'_{4,2} \Longleftrightarrow Z^*_{0,1}$$

$$Z'_{4,3} \Longleftrightarrow Z^*_{0,0}$$

**Solution** Alignment edge (1, 1):

$$P(x_1 \sim y_1 | x, y) = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z'_{2,2}}{Z(T)} = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z^*_{2,1}}{Z(T)} = \frac{Z^M_{1,1} \times Z^*_{2,1}}{Z(T)} = \frac{7.39 \times 7.43}{61.90} = 0.89$$

Alignment edge (2, 2):

$$P(x_2 \sim y_2 | x, y) = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z'_{3,3}}{Z(T)} = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z^*_{1,0}}{Z(T)} = \frac{Z^M_{2,2} \times Z^*_{1,0}}{Z(T)} = \frac{57.90 \times 0.47}{61.90} = 0.44$$

Alignment edge (3, 1):

$$P(x_3 \sim y_1 | x, y) = \frac{Z^M_{3,1} \times Z'_{4,2}}{Z(T)} = \frac{Z^M_{3,1} \times Z^*_{0,1}}{Z(T)} = \frac{0.14 \times 0.47}{61.90} = 0.001$$

Alignment edge (3, 2):

$$P(x_3 \sim y_2 | x, y) = \frac{Z^M_{3,2} \times Z'_{4,3}}{Z(T)} = \frac{Z^M_{3,1} \times Z^*_{0,0}}{Z(T)} = \frac{30.42 \times 1}{61.90} = 0.49$$