

## Exercise sheet 5: Probalign

---

For the following exercises on Probalign, we use an affine gap penalty with  $g(k) = \alpha + \beta k = -0.5 - 0.25k$ , there temperature  $T = 1$  and the similarity function  $\sigma(x_i, y_j)$ :

$$\sigma(x_i, y_j) = \begin{matrix} & \begin{matrix} A & C & G & T \end{matrix} \\ \begin{matrix} A \\ C \\ G \\ T \end{matrix} & \begin{pmatrix} 2 & -1 & -1 & -1 \\ -1 & 2 & -1 & -1 \\ -1 & -1 & 2 & -1 \\ -1 & -1 & -1 & 2 \end{pmatrix} \end{matrix}$$

### Exercise 1

1a)

Compute the Boltzmann-weighted score for the following alignments:

<p>(a)   x: --AGCGG                  :                  y: ACAGGGG</p>	<p>(b)   x: AGCGG-----                :                y: -----ACAGGGG</p>
--	--

**Hide**

**Hint 1 : Formulae**

$$S(a) = \sum_{x_i \sim y_j \in a} \sigma(x_i, y_j) + \sum \text{gap penalties} e^{\frac{S(a)}{T}} = \left( \prod_{x_i \sim y_j \in a} e^{\frac{\sigma(x_i, y_j)}{T}} \right) \times e^{\frac{\sum \text{gap penalties}}{T}}$$

**Hint 2** For each alignment you only need to calculate  $e^x$  once.

**Hint 3: Calculations**

<p>(a)   <math>e^{\sigma(A,A)} \times e^{3\sigma(G,G)} \times e^{\sigma(C,G)} \times e^{g(2)}</math></p> <p>(b)   <math>e^{\sigma(G,A)} \times e^{g(4)} \times e^{g(6)}</math></p>	<p><math>= e^2 \times e^6 \times e^{-1} \times e^{-0.5+(-0.25 \times 2)} = e^6</math></p> <p><math>= e^{-1} \times e^{0.5+(-0.25 \times 4)} \times e^{0.5+(-0.25 \times 6)} = e^{-4.5}</math></p>
--	---

**Solution**

<p>(a)   <math>e^6 = 403.43</math></p> <p>(b)   <math>e^{-4.5} = 0.011</math></p>	
---	--

---

## Exercise 2

2a)

Derive the recursion formula for  $Z_{i,j}^I$ . Allow insertions after deletions and vice versa.

Hide

Solution

$$Z_{i,j}^I = Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}}$$

---

2b)

Compute the partition function  $Z(T)$  by dynamic programming for the sequences  $\mathbf{x}=\text{ACC}$  and  $\mathbf{y}=\text{AC}$ . Allow insertions after deletions and vice versa. In order to simplify the computations, you can round to two digits after the decimal point.

Hide

**Hint 1: Formulae** Initialization:

$$\begin{aligned} Z_{i,0}^M &= Z_{0,j}^M = 0, Z_{0,0}^M = 1 \\ Z_{i,0}^I &= 0 \\ Z_{0,j}^D &= 0 \end{aligned}$$

Recursion:

$$\begin{aligned} Z_{i,j}^M &= Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \\ Z_{i,j}^I &= Z_{i,j-1}^I \times e^{\frac{\beta}{T}} + Z_{i,j-1}^M \times e^{\frac{g(1)}{T}} + Z_{i,j-1}^D \times e^{\frac{g(1)}{T}} \\ Z_{i,j}^D &= Z_{i-1,j}^D \times e^{\frac{\beta}{T}} + Z_{i-1,j}^M \times e^{\frac{g(1)}{T}} + Z_{i-1,j}^I \times e^{\frac{g(1)}{T}} \\ Z_{i,j} &= Z_{i,j}^M + Z_{i,j}^I + Z_{i,j}^D \end{aligned}$$

Solution

$Z^{\{M\}}$	-	A	C
-	1	0.00	0.00
A	0	7.39	0.17
C	0	0.17	57.90

$Z^{\{M\}}$	-	A	C
C	0	0.14	30.42

$Z^{\{I\}}$	-	A	C
-	0	0.47	0.37
A	0	0.22	3.77
C	0	0.17	2.00
C	0	0.14	1.63

$Z^{\{D\}}$	-	A	C
-	0.00	0.00	0.00
A	0.47	0.22	0.17
C	0.37	3.68	2.00
C	0.29	3.10	29.85

Z	-	A	C
-	1.00	0.47	0.37
A	0.47	7.84	4.12
C	0.37	4.12	61.89
C	0.29	3.37	61.90

### Exercise 3

The partition function of the reverse sequences  $x^* = CA$  and  $y^* = CCA$  is given in the matrix  $Z^*$ :

$Z^{\{*\}}$	-	A	C
-	1.00	0.47	0.37
A	0.47	7.84	4.12
C	0.37	7.43	8.45
C	0.29	4.94	61.90

3a)

Find a mapping from matrix  $Z_{k,l}^*$  to  $Z'_{i,j}$ . Which position in matrix  $Z^*$  corresponds to which position in matrix  $Z'$ ?

Hide

**Solution**  $Z'_{i,j}$  is the partition function of the alignment  $x_j \dots x_{|x|}$  with  $y_i \dots y_{|y|}$ .  
 $Z^*_{k,l}$  is the partition function of the alignment  $x_{|x|} \dots x_{|x|-k+1}$  with  $y_{|y|} \dots y_{|y|-l+1}$ .

$$j = |x| - l + 1 \Leftrightarrow l = |x| - j + 1$$

$$i = |y| - k + 1 \Leftrightarrow k = |y| - i + 1$$

$Z^*\{*\}$	-	C	A
-	(0,0)	(0,1)	(0,2)
A	(1,0)	(1,1)	(1,2)
C	(2,0)	(2,1)	(2,2)
C	(3,0)	(3,1)	(3,2)

$Z^*\{*\}$	-	A	C	-1
-	(0,0)	(0,1)	(0,2)	(0,3)
A	(1,0)	(1,1)	(1,2)	(1,3)
C	(2,0)	(2,1)	(2,2)	(2,3)
C	(3,0)	(3,1)	(3,2)	(3,3)
-	(4,0)	(4,1)	(4,2)	(4,3)

**3b)**

Use  $Z, Z^*$  and the mapping from  $Z^*$  to  $Z'$  to compute the probability of the alignment edges (1, 1), (2, 2), (3, 1) and (3, 2) between  $x$  and  $y$ .

**Hide**

**Hint 1: Formulae**

$$P(x_i \sim y_j | x, y) = \frac{Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}} \times Z'_{i+1,j+1} Z^M_{i,j}}{Z(T)} = Z_{i-1,j-1} \times e^{\frac{\sigma(x_i, y_j)}{T}}$$

**Hint 2** Mapped positions:

$$Z'_{2,2} \Longleftrightarrow Z^*_{2,1}$$

$$Z'_{3,3} \Longleftrightarrow Z^*_{1,0}$$

$$Z'_{4,2} \Longleftrightarrow Z^*_{0,1}$$

$$Z'_{4,3} \Longleftrightarrow Z^*_{0,0}$$

**Solution** Alignment edge (1, 1):

$$P(x_1 \sim y_1 | x, y) = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z'_{2,2}}{Z(T)} = \frac{Z_{0,0} \times e^{\frac{\sigma(x_1, y_1)}{T}} \times Z^*_{2,1}}{Z(T)} = \frac{Z^M_{1,1} \times Z^*_{2,1}}{Z(T)} = \frac{7.39 \times 7.43}{61.90} = 0.89$$

Alignment edge (2, 2):

$$P(x_2 \sim y_2 | x, y) = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z'_{3,3}}{Z(T)} = \frac{Z_{1,1} \times e^{\frac{\sigma(x_2, y_2)}{T}} \times Z^*_{1,0}}{Z(T)} = \frac{Z^M_{2,2} \times Z^*_{1,0}}{Z(T)} = \frac{57.90 \times 0.47}{61.90} = 0.44$$

Alignment edge (3, 1):

$$P(x_3 \sim y_1 | x, y) = \frac{Z^M_{3,1} \times Z'_{4,2}}{Z(T)} = \frac{Z^M_{3,1} \times Z^*_{0,1}}{Z(T)} = \frac{0.14 \times 0.47}{61.90} = 0.001$$

Alignment edge (3, 2):

$$P(x_3 \sim y_2 | x, y) = \frac{Z^M_{3,2} \times Z'_{4,3}}{Z(T)} = \frac{Z^M_{3,1} \times Z^*_{0,0}}{Z(T)} = \frac{30.42 \times 1}{61.90} = 0.49$$