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# Heterogeneous Graph Attention Network

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# Abstract

# Abstract

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- ❑ Heterogeneous graph
  - Contains different types of nodes and links(=edges)
  - Has not been considered. But so important.
  
- ❑ Challenges
  - Heterogeneity
  - Semantic information
  
- ❑ Recently, the most exciting mechanism: Attention mechanism
  
- ❑ 따라서 hierarchical attention에 기반한 novel heterogeneous GNN 소개
  - Node level과 semantic level attention 모두 포함하는 network
  - Node level = meta-path based neighbors의 중요도를 학습
  - Semantic level = meta-paths의 차이점을 학습
  
- ❑ 우리가 제안한 모델은 heterogeneous graph에서 뛰어난 성능 뿐만 아니라 그래프 분석에 있어 해석 가능성의 잠재력을 보여준다.

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# Introduction

# Introduction

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## ❑ Attention mechanism

- Deals with variable sized data(가변량 데이터를 다룸)
- Focus on the most salient parts of data(데이터의 가장 두드러진 부분에 집중)
- 따라서 다양한 applications에 적용

## ❑ Meta-path?

- a composite relation connecting two objects
- to capture the semantics.

## ❑ Meta-path 예시

- Movie, Actor, Director의 관계(=links, edges)를 설명해보자.
- 두 영화에 동일한 배우가 출연했다면 Movie-Actor-Movie로 관계를 표현할 수 있다.
- 그렇다면 Movie-Director-Movie 관계는 무엇을 의미할까?
- 두 영화를 같은 감독이 제작했다는 것을 알 수 있다.
- 따라서 다른 의미를 가질 수 있는 edges를 meta-path라고 정의한다.

# Introduction

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- ❑ 주요 challenge : heterogeneity
  - Actor node has age, sex, and nationality features.
  - Movie node has story and actors features.
  
- ❑ How to handle such complex structural information ?

# Introduction

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## □ Node-level attention

- aims to learn the importance of meta-path “based neighbors” and assign different attention values to them.
- Meta-path로 생성된 neighbors 중 유의한 neighbors를 구분할 수 있어야 한다.
- 예시) Movie-Director-Movie, Movie-Director-Movie 라는 두 meta-path 존재 (same director)
  - 따라서 만약 두 영화가 타이타닉과 터미네이터라면, 같은 감독이 제작했기 때문에 edges 형성.
  - 하지만 목적이 터미네이터2 영화 장르 구분이라면, 타이타닉보단 터미네이터가 더 큰 weight(=attention values)를 가져야 한다.

## □ Semantic-level attention

- aims to learn the importance of each “meta-path” and assign proper weights to them
- 예시) 두 영화가 존재(node), 두 영화 모두 주연배우가 동일 & 동일한 년도에 제작됨.
  - 따라서 두 영화의 관계는 (Movie-Actor-Movie) 이나 (Movie-Year-Movie) 의 meta-paths에 의해 설명될 수 있다.
  - 하지만 목적이 영화의 장르 구분이라면 제작년도 보다는 출연한 배우가 조금 더 중요한 가중치를 가진다. 따라서 Movie-Actor-Movie meta path가 더 큰 weights를 가져야한다.

# Introduction

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## □ 학습방법

- Input : node features
- Type-specific transformation matrix를 사용하여 same space에 project
- Node-level attention 진행(attention values 학습. Neighbors를 기반으로)
- Semantic-level attention 진행(attention values 학습. Different math-path 기반)
- 최적화 진행 및 backpropagation 진행

## □ Brief conclusion:

- heterogeneous graph를 다루고, variable data size를 다룰 때 node feature data가 있다면 해당 모델 사용가능!
- 다만 사전 정의된 node type이 있어야하고, 해당 type에 맞는 type-specific transformation matrix가 필요함.



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# PRELIMINARY

# Notations

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## □ Heterogeneous Graph

- $G = (V, E), V = \text{object set}, E = \text{link set}$
- $\varphi : \text{mapping function, node to predefined node type}$ 
  - $\varphi : V \rightarrow \mathcal{A}, \mathcal{A} = \text{predefined node type}$
- $\psi : \text{mapping function, link to predefined link type}$ 
  - $\psi : E \rightarrow \mathcal{R}, \mathcal{R} = \text{predefined link type}$

## □ Meta-path( $\Phi$ )

- $\Phi : A_1 \xrightarrow{R_1} A_2 \xrightarrow{R_2} \dots \xrightarrow{R_n} A_n$  와 같은 하나의 path를 의미(not edge, link), A는 object
- Different meta-paths always reveal different semantics

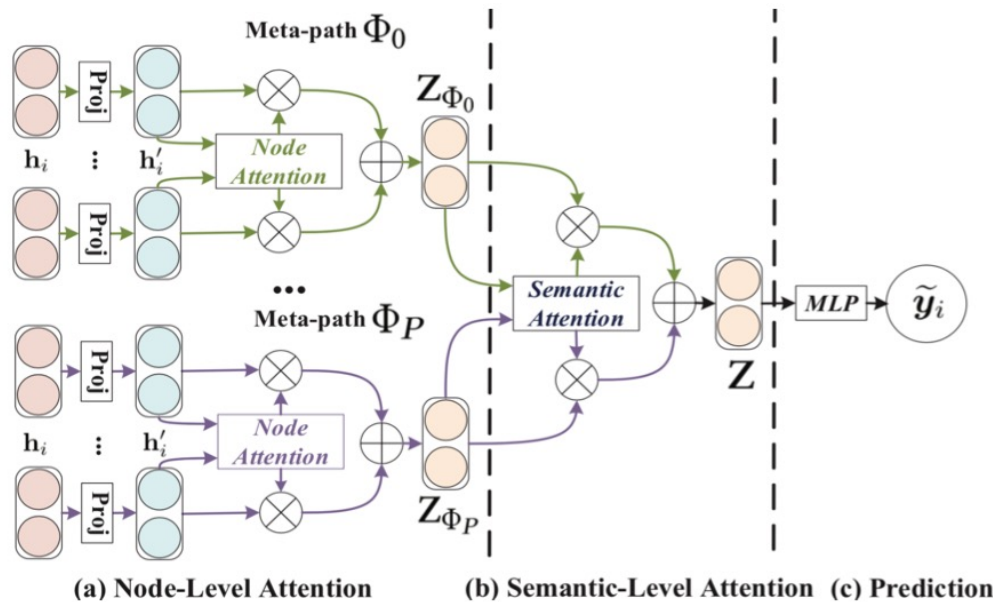
## □ Meta-path based neighbors( $N_i^\Phi$ )

- Given node  $i$ , meta path  $\Phi \rightarrow N_i^\Phi$
- Set of nodes which connect with node  $i$  via meta-path  $\Phi$  (includes itself)

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## 4. PROPOSED MODEL

## □ The overall framework of the proposed model



- Node level attention : Meta-path based neighbors의 weight를 학습
  - Output으로 semantic-specific node embedding을 얻음.
- Semantic-level attention : task에 최적화된 node embedding 조합을 얻을 수 있음.
  - Meta-paths의 차이점을 알아낼 수 있다.(semantic-level)

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## 4.1 Node-level Attention

# Node-level Attention

## □ Goal:

- Meta-path based neighbors가 특정 task에 따라 다른 중요성을 가짐을 알아내는 것

## □ Challenge:

- Different type of nodes have different feature spaces.
- Solution: use type-specific transformation matrix( $M_{\phi_i}$ )
  - Project the features of different types of nodes into the same feature space.
  - $h'_i = M_{\phi_i} * h_i$

## □ 모든 feature가 same space에 존재하도록 project 후, importance( $e_{i,j}^{\Phi}$ ) 학습

- $e_{i,j}^{\Phi}$  : meta-path  $\Phi$  로 연결된 두 object(=node i,j)의 importance
- 즉 node i에 대해 node j가 미치는 영향력.
- $e_{i,j}^{\Phi} = att_{node}(h'_i, h'_j; \Phi)$
- Asymmetric(node i에 대해 node j가 미치는 영향력은 j에 i가 미치는 영향력과는 다르다)
  - Critical property of heterogeneous graph

# Node-level Attention

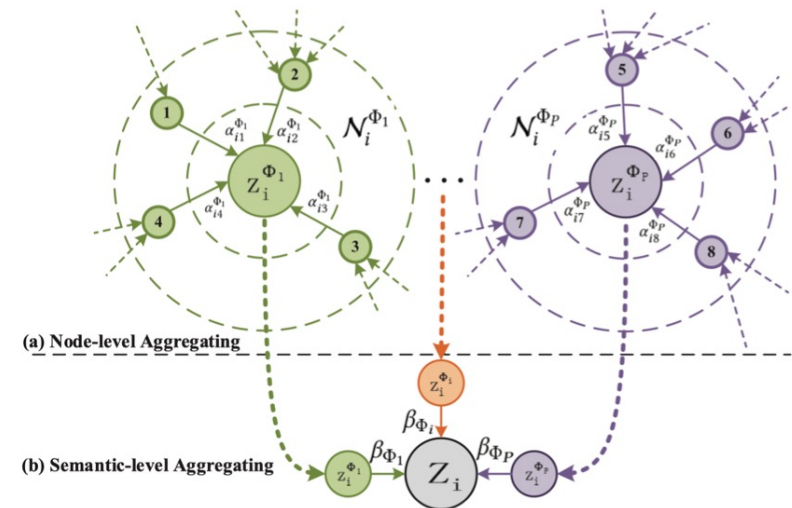
□ Normalize  $e_{i,j}^\Phi$  by softmax function

- $\alpha_{i,j}^\Phi = \text{softmax}(e_{i,j}^\Phi)$
- Asymmetric.

□ Generate embedding node  $i$  ( $z_i^\Phi$ )

- $z_i^\Phi = \sigma(\sum_{j \in N_i^\Phi} \alpha_{i,j}^\Phi * h'_j)$
- $z_i^\Phi \Leftarrow$  node  $i$ 의  
neighbors(node  $j$ )의  
normalized importance( $\alpha_{i,j}^\Phi$ )  
를 aggregate.

Heterogeneous Graph Attention Network



이때  $\alpha_{i,j}^\Phi$ 는 generated by single meta – path. 따라서 semantic information 포함

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## 4.2. Semantic-level Attention



# Semantic-level Attention

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## ❑ Challenge:

- Heterogeneous graph contains multiple types of semantic information.

## ❑ Goal:

- To learn a more comprehensive node embedding.
- Solution: use meta-paths & novel semantic-level attention

$$\text{❑ } (\beta_{\Phi_1}, \dots, \beta_{\Phi_p}) = att_{sem}(Z_{\Phi_1}, \dots, Z_{\Phi_p})$$

- $Z_{\Phi_1}$  : node embedding( $Z$ )  $p$ 개
- $att_{sem}$  : semantic-level attention score를 계산하는 deep neural network
- $\beta_{\Phi_1}$  : learned weights of each meta-path

# Semantic-level Attention

- ❑ MLP와 같은 transformation을 이용하여 semantic-specific embedding으로 변형
  - semantic-level attention Vector(q)를 사용하여 embedding끼리의 similarity 계산
  - 이는 곧 특정 embedding의 중요도(= 높은 similarity)
- ❑ 이후 embedding similarity의 average 계산.
  - Each meta-path importance를 설명하기 위해 비교하는 기준점(=average)으로 활용
- ❑ 이후 구한 each meta-path importance를 normalize 진행(via softmax function)
  - 이는 곧, 각 특정 task에 대해 meta-path의 기여도(=importance)이다.
  - Task에 따라 해당 importance는 다르게 계산될 수 있다.
- ❑ Final embedding(Z)
  - $Z = \sum_{p=1}^P \beta_{\Phi_p} * Z_{\Phi_p}$ , node embedding( $Z_{\Phi_p}$ )에 각 meta-path의 importance( $\beta_{\Phi_p}$ ) aggregate
- ❑ 결과적으로 node i 에 대한 node j(neighbors)의 importance와, 각 meta-path의 importance의 정보가 포함된 embedding 생성.

# Semantic-level Attention

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- ❑ Loss function은 task마다 다르게 정의
  - 본 예시에서는 node classification에서 minimize the cross-entropy 사용.
- ❑ 학습은 semi-supervised learning으로 labeled data를 사용하여 back propagation 진행.

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## 4.3. Analysis of the Proposed Model

# Analysis of the Proposed Model

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## ❑ Benefit:

- 다양한 유형의 node embedding으로 상호 통합 혹은 상호 향상이 될 수 있다.
- Highly efficient and can be easily parallelized.
  - Attention 연산은 모든 node 혹은 meta-path에서 개별적으로 수행 가능
- Type-specific matrix shared parameters for the whole heterogeneous graph
  - Parameter 수가 graph scale에 의존하지 않음.
- good interpretability
  - Meta-path based neighbors
  - Meta-path importance 표현
  - Large value = more important for our task

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## 5. EXPERIMENTS

# EXPERIMENTS

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## ❑ Datasets

### ▪ 1. DBLP

- Node : papers(P), authors(A), conferences(C), terms(T)
  - Authors are divided into four areas : database, data mining, machine learning, information retrieval
  - Hence, meta-path set : APA, APCPA, APTPA

### ▪ 2. ACM

- Node : papers(P), authors(A) and subjects(S)
  - Papers are divided into three classes : database, wireless communication, data mining
  - Hence, meta-path set : PAP, PSP

### ▪ 3. IMDB

## ❑ Compare with baselines

- 동일한 datasets에 대해 본 논문에서 소개한 모델과 기존 방법들을 비교

# EXPERIMENTS

- ❑ Compare with baselines (fair comparison : embedding dim(=64) )

Table 3: Quantitative results (%) on the node classification task.

Datasets	Metrics	Training	DeepWalk	ESim	metapath2vec	HERec	GCN	GAT	HAN <sub>nd</sub>	HAN <sub>sem</sub>	HAN
ACM	Macro-F1	20%	77.25	77.32	65.09	66.17	86.81	86.23	88.15	89.04	<b>89.40</b>
		40%	80.47	80.12	69.93	70.89	87.68	87.04	88.41	89.41	<b>89.79</b>
		60%	82.55	82.44	71.47	72.38	88.10	87.56	87.91	<b>90.00</b>	89.51
		80%	84.17	83.00	73.81	73.92	88.29	87.33	88.48	90.17	<b>90.63</b>
	Micro-F1	20%	76.92	76.89	65.00	66.03	86.77	86.01	87.99	88.85	<b>89.22</b>
		40%	79.99	79.70	69.75	70.73	87.64	86.79	88.31	89.27	<b>89.64</b>
		60%	82.11	82.02	71.29	72.24	88.12	87.40	87.68	<b>89.85</b>	89.33
		80%	83.88	82.89	73.69	73.84	88.35	87.11	88.26	89.95	<b>90.54</b>
DBLP	Macro-F1	20%	77.43	91.64	90.16	91.68	90.79	90.97	91.17	92.03	<b>92.24</b>
		40%	81.02	92.04	90.82	92.16	91.48	91.20	91.46	92.08	<b>92.40</b>
		60%	83.67	92.44	91.32	92.80	91.89	90.80	91.78	92.38	<b>92.80</b>
		80%	84.81	92.53	91.89	92.34	92.38	91.73	91.80	92.53	<b>93.08</b>
	Micro-F1	20%	79.37	92.73	91.53	92.69	91.71	91.96	92.05	92.99	<b>93.11</b>
		40%	82.73	93.07	92.03	93.18	92.31	92.16	92.38	93.00	<b>93.30</b>
		60%	85.27	93.39	92.48	93.70	92.62	91.84	92.69	93.31	<b>93.70</b>
		80%	86.26	93.44	92.80	93.27	93.09	92.55	92.69	93.29	<b>93.99</b>
IMDB	Macro-F1	20%	40.72	32.10	41.16	41.65	45.73	49.44	49.78	<b>50.87</b>	50.00
		40%	45.19	31.94	44.22	43.86	48.01	50.64	52.11	50.85	<b>52.71</b>
		60%	48.13	31.68	45.11	46.27	49.15	51.90	51.73	52.09	<b>54.24</b>
		80%	50.35	32.06	45.15	47.64	51.81	52.99	52.66	51.60	<b>54.38</b>
	Micro-F1	20%	46.38	35.28	45.65	45.81	49.78	55.28	54.17	55.01	<b>55.73</b>
		40%	49.99	35.47	48.24	47.59	51.71	55.91	56.39	55.15	<b>57.97</b>
		60%	52.21	35.64	49.09	49.88	52.29	56.44	56.09	56.66	<b>58.32</b>
		80%	54.33	35.59	48.81	50.99	54.61	56.97	56.38	56.49	<b>58.51</b>

GAT : 기존 homogeneous graph를 위해 제작된 model

HAN : 본 논문에서 소개한 heterogeneous graph를 위한 GAT 모델



# EXPERIMENTS

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## ❑ Details for HAN

- Initialize parameters and optimize : Adam
- Learning rate : 0.005
- The dimension of the semantic-level attention vector : 128
- Number of attention head : 8
- Dropout of attention : 0.6
- Early stopping patience : 100
  - Validation loss doesn't decrease

## ❑ GCN, GAT, HAN : same training set, validation set and test set

## ❑ DeepWalk, Esim, metapath2vec and HERec (based random walk based methods)

- Window size : 5
- Walk length : 100
- Walks per node : 40
- Number of negative samples : 5

# EXPERIMENTS

## ❑ Clustering

Table 4: Quantitative results (%) on the node clustering task.

Datasets	Metrics	DeepWalk	ESim	metapath2vec	HERec	GCN	GAT	HAN <sub>nd</sub>	HAN <sub>sem</sub>	HAN
ACM	NMI	41.61	39.14	21.22	40.70	51.40	57.29	60.99	61.05	<b>61.56</b>
	ARI	35.10	34.32	21.00	37.13	53.01	60.43	61.48	59.45	<b>64.39</b>
DBLP	NMI	76.53	66.32	74.30	76.73	75.01	71.50	75.30	77.31	<b>79.12</b>
	ARI	81.35	68.31	78.50	80.98	80.49	77.26	81.46	83.46	<b>84.76</b>
IMDB	NMI	1.45	0.55	1.20	1.20	5.45	8.45	9.16	10.31	<b>10.87</b>
	ARI	2.15	0.10	1.70	1.65	4.40	7.46	7.98	9.51	<b>10.01</b>

- 역시나 기존 다른 방법들보다 성능이 뛰어남을 확인할 수 있다.

## ❑ Example of ACM

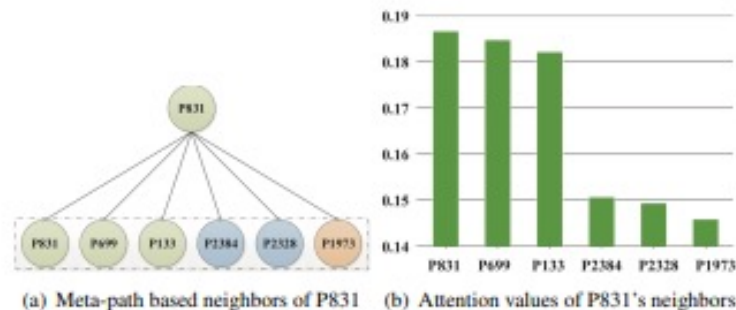


Figure 4: Meta-path based neighbors of node P831 and corresponding attention values (Different colors mean different classes, e.g., *green* means Data Mining, *blue* means Database, *orange* means Wireless Communication).

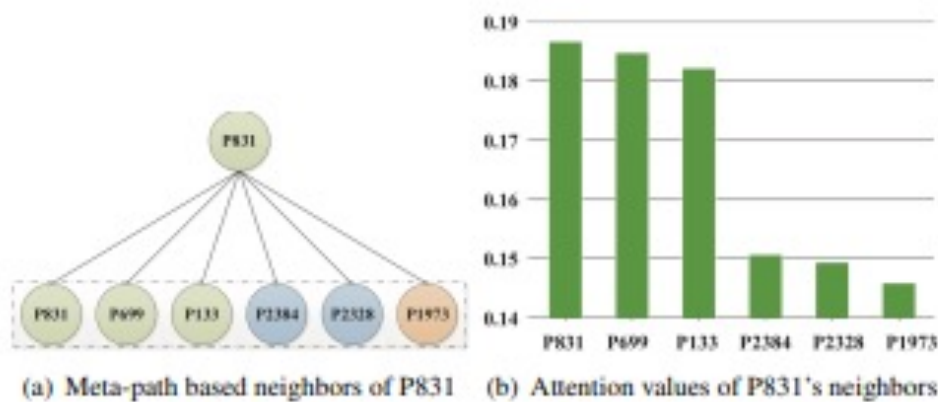
-> Good interpretability

# EXPERIMENTS

## □ Analysis of Hierarchical Attention Mechanism

### ▪ 1. Analysis of node-level attention

- Large attention values = important neighbors for the specific task



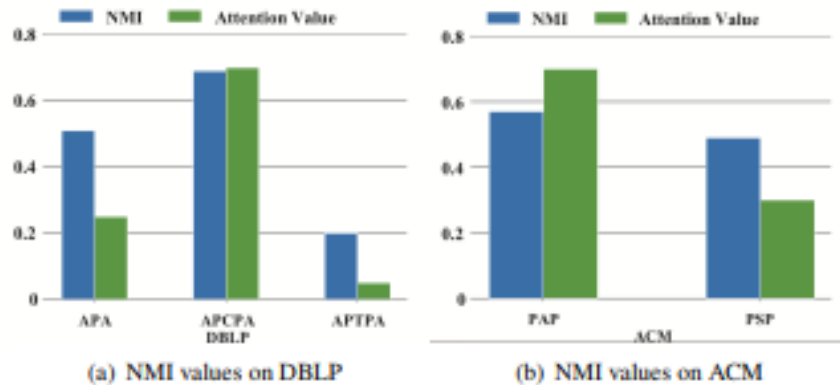
**Figure 4: Meta-path based neighbors of node P831 and corresponding attention values (Different colors mean different classes, e.g., *green* means Data Mining, *blue* means Database, *orange* means Wireless Communication).**

- PAP meta-path가 주어졌을 때
- Measure attention values(P831 이웃 nodes)
- Itself attention value를 제외하면 P699, P133
  - Green color(=Data mining)
- 두 paper를 모두 제작한 author 라는 meta-path에 based neighbors의 중요도는 당연히 비슷한 장르 (Data mining)의 이웃 노드 P699, P133이 높은 importance를 가진다.

# EXPERIMENTS

## □ Analysis of Hierarchical Attention Mechanism

- 2. Analysis of semantic-level attention.

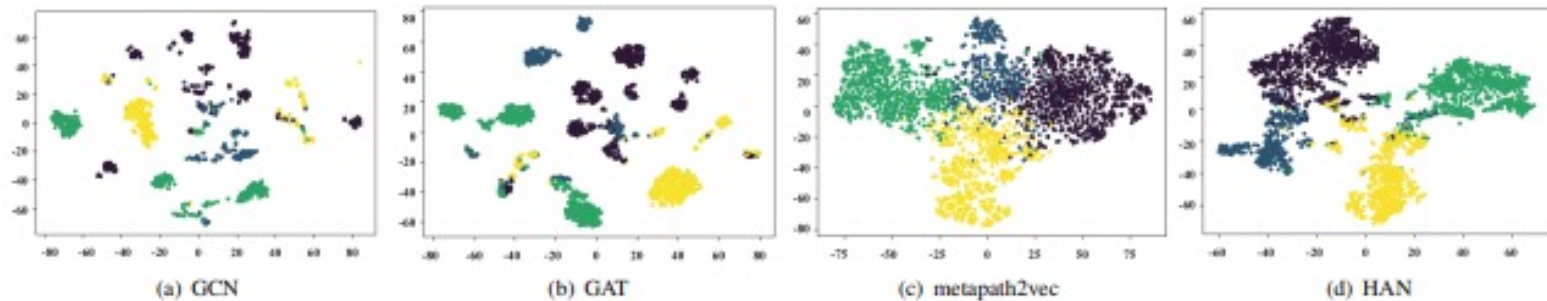


**Figure 5: Performance of single meta-path and corresponding attention value.**

- NMI 는 clustering 결과
- Attention value는 meta-path의 importance
- Task in DBLP : author's research area
  - APCPA meta-path is most important
  - C(conference)

# EXPERIMENTS

## □ Visualization



**Figure 6: Visualization embedding on DBLP. Each point indicates one author and its color indicates the research area.**

# EXPERIMENTS

Y축 : NMI  
= results of clustering

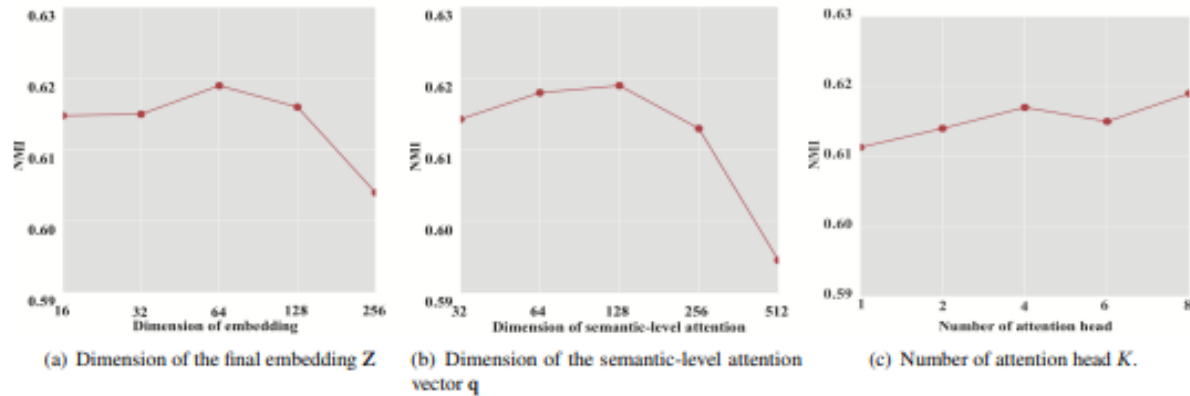


Figure 7: Parameter sensitivity of HAN w.r.t. Dimension of the final embedding  $Z$ , Dimension of the semantic-level attention vector  $q$  and Number of attention head  $K$ .

## □ Parameters Experiments

- Dimension of the final embedding  $Z(=64)$ 
  - HAN needs a suitable dimension to encode the semantics information
  - Larger dimension may introduce additional redundancies
- Dimension of semantic-level attention vector( $=128$ )
  - Test various dimension. The result is 128.
- Number of attention head( $K$ )
  - Change of performance is slightly

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## 6. CONCLUSION

# CONCLUSION

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- ❑ In this paper, propose heterogeneous GNN based on attention network(HAN)
- ❑ HAN can capture complex structures and rich semantics
- ❑ 계층구조. Node-level attention -> semantic-level attention
- ❑ Experimental results :
  - Classification good
  - Clustering good
- ❑ HAN has good interpretability
- ❑ **Heterogeneous graph & variable data size -> HAN**
  - **Then, we need type-specific transformation matrix**



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**Thank you**