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# Knowledge Graph Convolutional Networks for Recommender Systems

code review

(<https://github.com/hwwang55/KGCN>)

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# PREPROCESS

# PREPROCESS

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- ☐ convert\_rating()
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# PREPROCESS

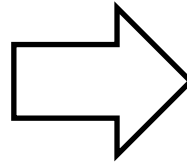
## ❑ read\_item\_index\_to\_entity\_id\_file()

- item\_index2entity\_id.txt 파일(우리에게 주어진 데이터)
- 첫번째 column : item\_index
- 두번째 column : satori\_id (=entity\_index)

≡ item\_index2entity\_id.txt ×

data > movie > ≡ item\_index2entity\_id.txt

1	1	0
2	2	1
3	3	2
4	4	3
5	5	4
6	8	5
7	10	6
8	11	7
9	12	8
10	13	9



item_index_old2new	entity_id2index
0	0
1	1
2	2
3	3
4	4
5	5
6	6
7	7
8	8
9	9
10	10

## ❑ what that function does:

- 무작위로 제공된 데이터(순차적인 index가 아님)에 대해 반복문을 돌면서 0부터 n(제공된 데이터 갯수)만큼 순차적으로 id를 부여한다.
  - item\_index\_old2new = dict()
  - item\_index\_old2new[item\_index] = i

# PREPROCESS

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## ❑ convert\_rating()

- rating.csv 파일
  - Columns : userId, movieId, rating, timestamp
- 이때 movieId(=entity)가 old2new에 존재하지 않을 경우 생략
  - Entity의 id 정보가 주어지지 않은 경우
- rating이란 edge의 weight 값
  - Threshold(0.5 설정)에 따라 user\_pos\_rating / user\_neg\_rating 로 split
  - User\_pos\_rating : (key1, (value1, value2, ... , ) )
    - 하나의 user에 연결된 entity는 여러개일 수 있음.
- Item set – user\_pos\_rating – user\_neg\_rating = unwatched set
- Unwatched\_set에서 user\_pos\_rating 갯수만큼 random sampling
- User\_pos\_rating은 (user\_index, item\_index, 1)
  - (user\_index1, value1, 1), (user\_index1, value2, 1), (user\_index1, value3, 1)
- Unwatched\_set에서 샘플링된 데이터는 (user\_index, item\_index, 0) 으로 변환
- 해당 내용을 rating\_final.txt 파일을 생성 및 저장

# PREPROCESS

❑ `convert_rating()`

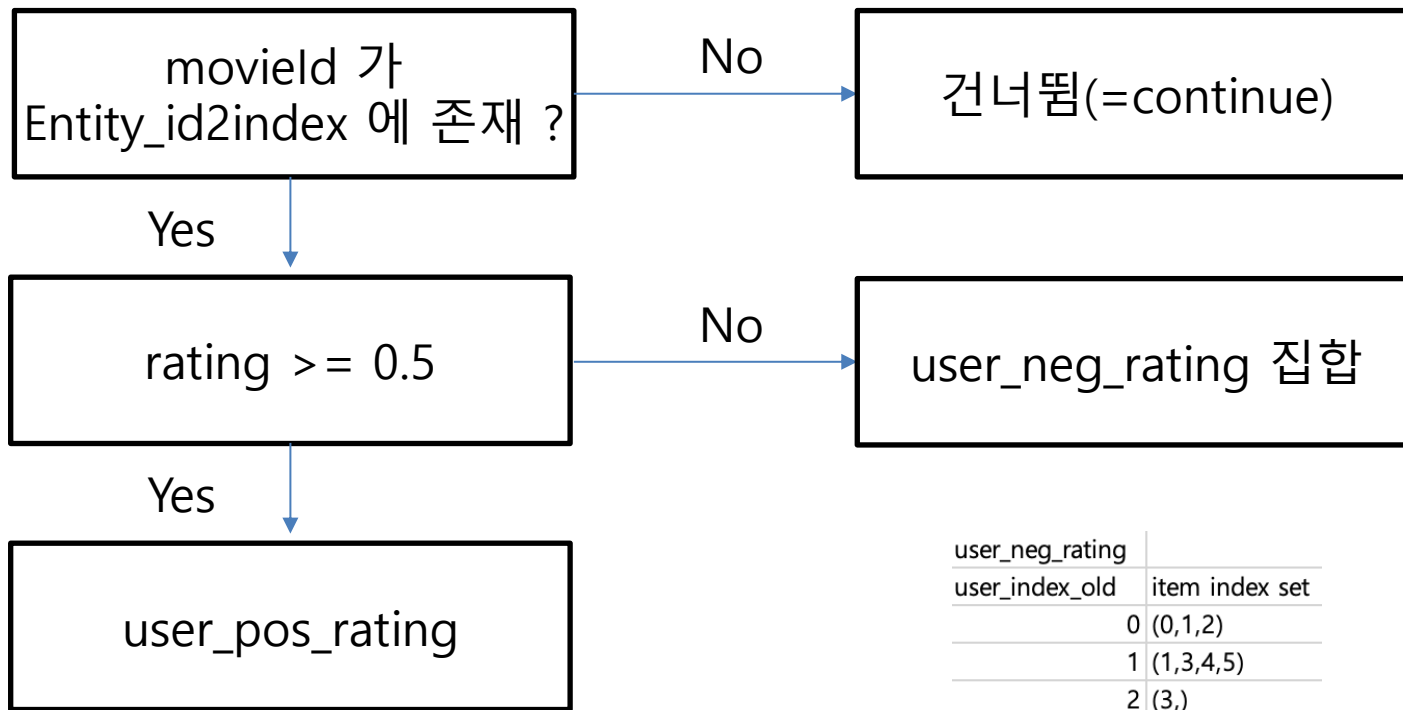
❑ what that function does:

- `rating(=weight)`을 기준으로 user가 선호하는 item에는 1, 아직 어떠한 `weight`도 존재하지 않는 데이터에 대해서는 0을 부과하여 dataset을 생성.
- 우리의 목적은 아직 겪지 않은 item에 대해 추천 or not

```
data_loader.py 1 ratings.csv X
data > ml-20m > ratings.csv
1  userId,movieId,rating,timestamp
2  1,2,3.5,1112486027
3  1,29,3.5,1112484676
4  1,32,3.5,1112484819
5  1,47,3.5,1112484727
6  1,50,3.5,1112484580
7  1,112,3.5,1094785740
8  1,151,4.0,1094785734
9  1,223,4.0,1112485573
```

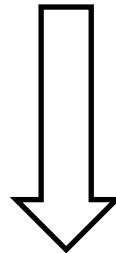
```
data_loader.py 1 ratings_final.txt X
data > movie > ratings_final.txt
1  0 770 1
2  0 1795 1
3  0 2434 1
4  0 3842 1
5  0 775 1
6  0 780 1
7  0 141 1
8  0 1805 1
9  0 1937 1
10 0 1811 1
11 0 2708 1
12 0 791 1
13 0 1688 1
```

# PREPROCESS



user_pos_rating	
user_index_old	item index set
0	(0,1,2)
1	(1,3,4,5)
2	(3,)

user_neg_rating	
user_index_old	item index set
0	(0,1,2)
1	(1,3,4,5)
2	(3,)



**unwatched\_set 생성(user 당)**  
= item\_set – neg\_item\_set – pos\_item\_set

unwatched_set	
user_index_old	item index set
0	(7,8,9, ... , )
1	(2,10,11, ... , )
2	(5,6,7, ... , )

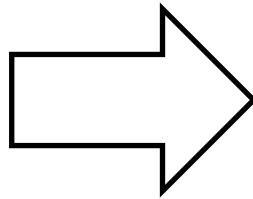


# PREPROCESS

user_pos_rating	
user_index_old	item index set
0	(0,1,2)
1	(1,3,4,5)
2	(3,)

+

unwatched_set	
user_index_old	item index set
0	(7,8,9, ... , )
1	(2,10,11, ... , )
2	(5,6,7, ... , )




rating_final_txt		
user_index_old	item index set	interaction
0	0	1
0	1	1
0	2	1
1	1	1
1	3	1
1	4	1
1	5	1
2	3	1
0	7	0
0	8	0
0	9	0
1	2	0
1	10	0
1	11	0
1	12	0
2	5	0

unwawtched\_set 에서 각 user 당 pos\_rating item set 개수만큼  
random sampling 하여 rating\_final.txt 파일에 저장.

# PREPROCESS

 data\_loader.py 1  ratings.csv ×

data > ml-20m >  ratings.csv

	userId	movieId	rating	timestamp
1	1	2	3.5	1112486027
2	1	29	3.5	1112484676
3	1	32	3.5	1112484819
4	1	47	3.5	1112484727
5	1	50	3.5	1112484580
6	1	112	3.5	1094785740
7	1	151	4.0	1094785734
8	1	223	4.0	1112485573
9	1	252	4.0	1112484040

 data\_loader.py 1  ratings\_final.txt ×

data > movie >  ratings\_final.txt

1	0	770	1
2	0	1795	1
3	0	2434	1
4	0	3842	1
5	0	775	1
6	0	780	1
7	0	141	1
8	0	1805	1
9	0	1937	1
10	0	1811	1
11	0	2708	1
12	0	791	1
13	0	1688	1

# PREPROCESS

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## ❑ convert\_kg()

- kg.txt 파일
  - Columns : head\_index, relation, tail\_index
- head, tail 은 entity이므로 entity\_id2index(=satori to index)에 존재.
  - 존재하지 않는다면 entity\_cnt(entity의 수)를 이용하여 (head\_id : entity\_cnt)로 entity\_id2index 에 추가.
- relation은 0부터 새롭게 index 할당
- kg\_final.txt 파일 생성
  - (head\_index, relation\_index, tail\_index)

## ❑ what that function does:

- relation에 index를 부여
- kg 생성

# PREPROCESS

❑ `convert_kg()`

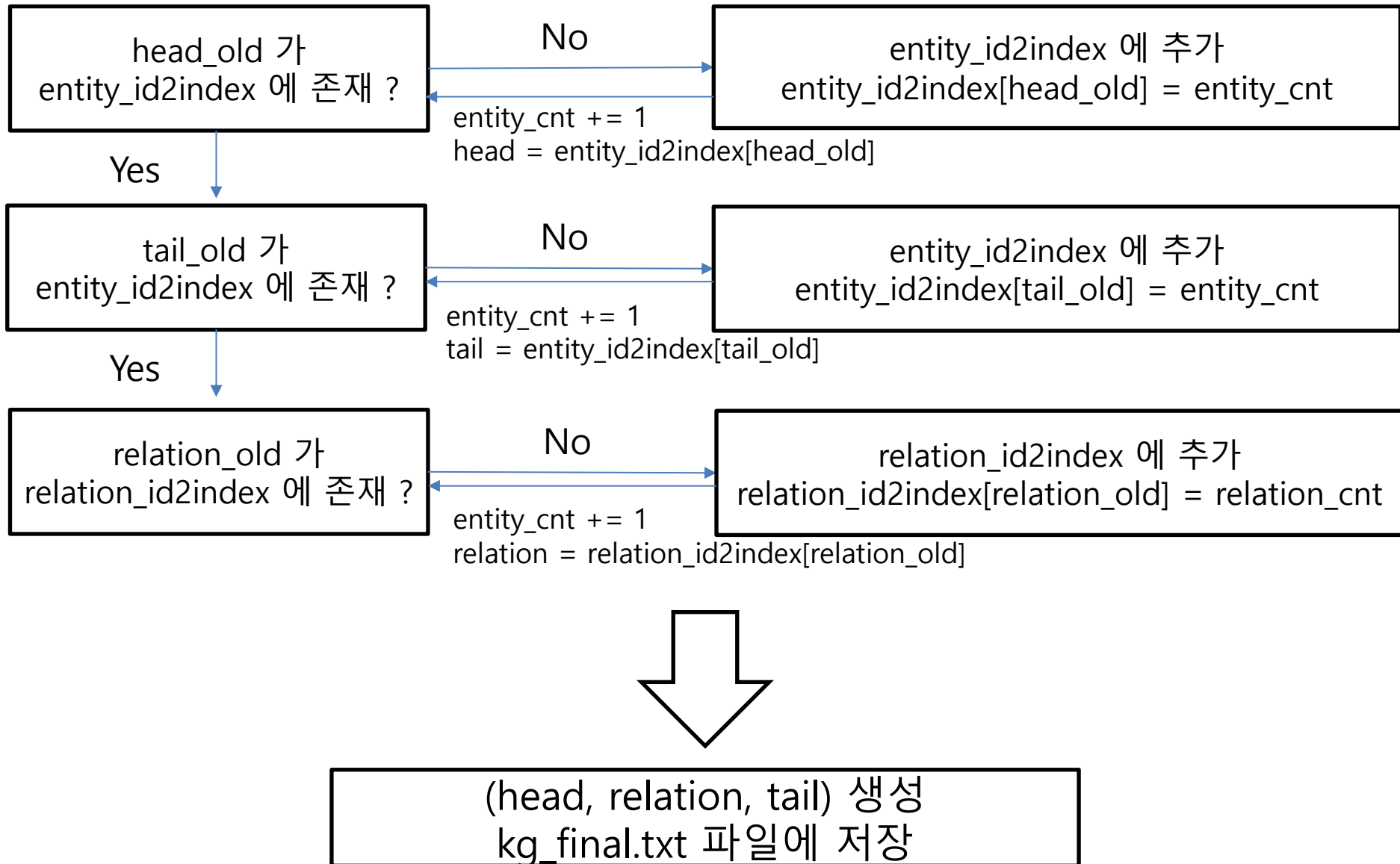
❑ what that function does:

- relation에 index를 부여
- kg 생성
- 이때 relation에 적힌 내용에 관계없이 새로운 id로 할당.
- (head\_index, relation\_index, tail\_index) 변환

data_loader.py 1 kg.txt ×			
data > movie > ≡ kg.txt			
1	11904	film.film.producer	16954
2	348	film.film.actor	16955
3	13598	film.film.costume_designer	16956
4	9098	film.film.actor	16957
5	14187	film.film.director	16958
6	11504	film.film.actor	16959
7	8412	film.film.executive_producer	16960
8	1691	film.film.set_designer	16961
9	5018	film.film.actor	16962
10	12027	film.film.actor	16963

data_loader.py 1 kg_final.txt ×			
data > movie > ≡ kg_final.txt			
1	11904	0	16954
2	348	1	16955
3	13598	2	16956
4	9098	1	16957
5	14187	3	16958
6	11504	1	16959
7	8412	4	16960
8	1691	5	16961
9	5018	1	16962
10	12027	1	16963

# PREPROCESS



# PREPROCESS

❑ `convert_kg()`

❑ Output 1:

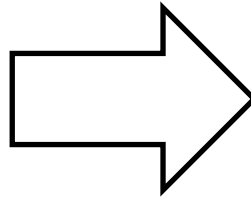
data_loader.py 1 kg.txt			
data > movie > kg.txt			
1	11904	film.film.producer	16954
2	348	film.film.actor	16955
3	13598	film.film.costume_designer	16956
4	9098	film.film.actor	16957
5	14187	film.film.director	16958
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8	1691	film.film.set_designer	16961
9	5018	film.film.actor	16962
10	12027	film.film.actor	16963

data_loader.py 1 kg_final.txt			
data > movie > kg_final.txt			
1	11904	0	16954
2	348	1	16955
3	13598	2	16956
4	9098	1	16957
5	14187	3	16958
6	11504	1	16959
7	8412	4	16960
8	1691	5	16961
9	5018	1	16962
10	12027	1	16963

# PREPROCESS

## □ Output 2:

item_index_old2new	entity_id2index
0	0
1	1
2	2
3	3
4	4
5	5
6	6
7	7
8	8
9	9
10	10



item_index_old2new	entity_id2index
0	0
1	1
2	2
3	3
4	4
5	5
6	6
7	7
8	8
9	9
10	10
	11
	12
	13

kg.txt 의 head, tail (=entity) 중에 item\_index2entity\_index 에 없던 정보들  
(ex : film.producer(=item\_attributes) entity 이므로 entity\_id2index에 추가

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# DATA LOADER



# DATA LOADER

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☐ dataset\_split()

☐ load\_rating()

☐ load\_kg()

# DATA LOADER

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## ❑ dataset\_split()

- eval\_ratio, test\_ratio에 따라 주어진 전체 dataset을 train/ valid(=eval) / test\_data 으로 split

## ❑ what that function does:

- eval\_ratio, test\_ratio에 따라 train/ valid(=eval) / test\_data return

# DATA LOADER

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## ❑ load\_rating()

- rating\_final.txt
  - (user\_index, item\_index, 0 or 1)
- 해당 내용을 rating\_final.npy에 저장
- 첫번째 열 len = n\_user
- 두번째 열 len = n\_item
- train/valid/test data split -> dataset\_split() 사용

## ❑ what that function does:

- rating\_final.npy(numpy파일) 생성(=변환)
- n\_user, n\_item return
- train / valid / test data return

# DATA LOADER

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## ❑ load\_kg()

- kg\_final.txt 파일
  - Columns : (head\_index, relation\_index, tail\_index)
- kg\_final.npy 파일 생성(=변환)
- n\_entity : len(head\_index와 tail\_index의 합집합)
- n\_relation : len(relation\_index)
- kg 생성 by construct\_kg()
- adj\_entity, adj\_relation 생성 by construct\_adj(kg, n\_entity)

# DATA LOADER

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## ❑ construct\_kg(kg\_npy)

- kg = dict()
- kg[head].append((tail, relation))
- kg[tail].append((head, relation))
  - 이때 key 값은 entity\_index 따라서 head인지 tail인지 따로 표시 x (undirected)
  - entity\_index : ((entity\_index1, relation1), (entity\_index2, relation2), ... , )

## ❑ what that function does:

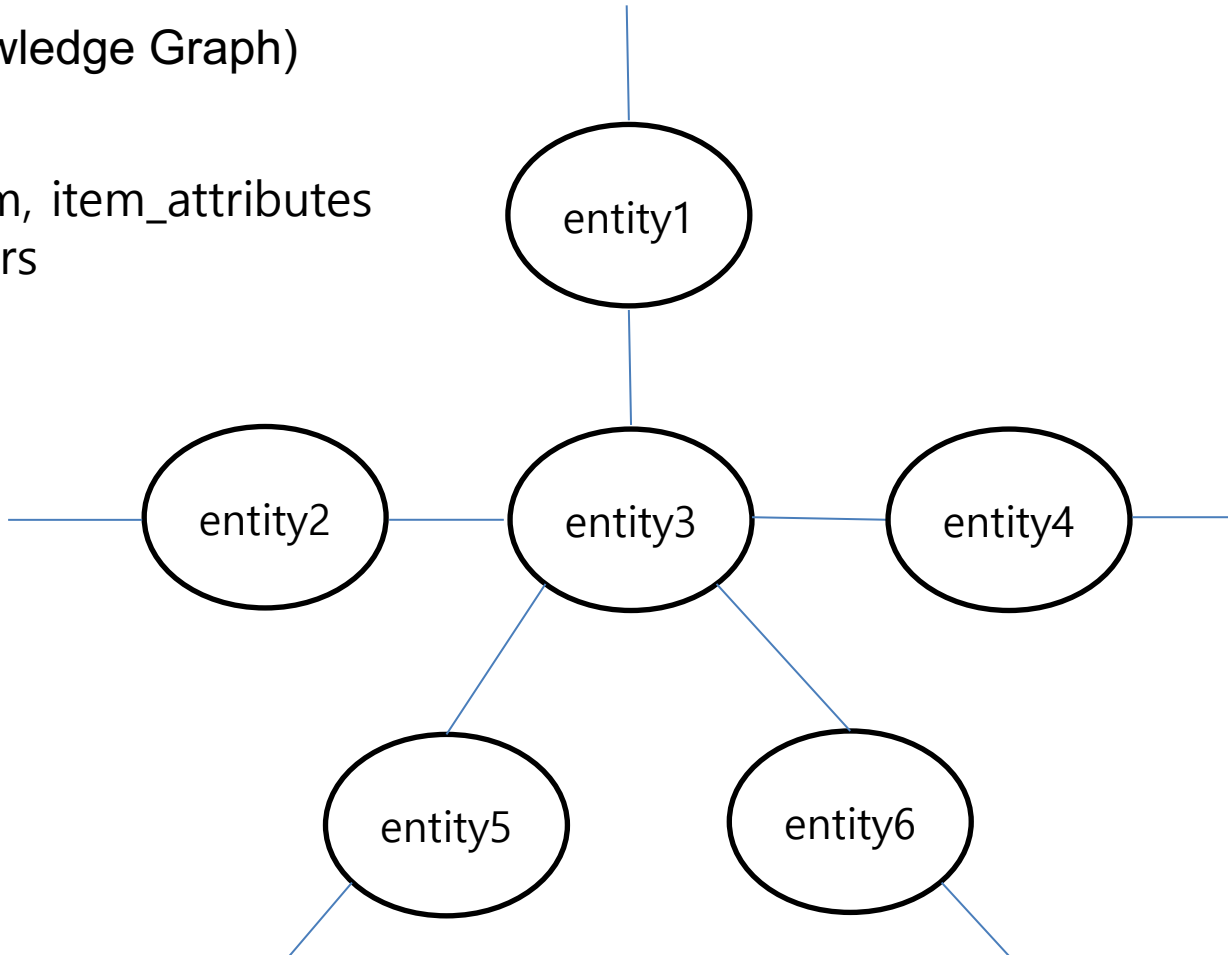
- (head\_index, relation\_index, tail\_index) 의 npy 파일을 dictionary 구조로 변환
- kg(Knowledge Graph)생성 -> dictionary로 표현됨

# DATA LOADER

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□ KG(Knowledge Graph)

entity  $\ni$  item, item\_attributes  
entity  $\nexists$  users



# DATA LOADER

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## ❑ `construct_adj(kg_npy, n_entity)`

- `adj_entity, adj_relation`는 `np.zeros([n_entity, neighbors_sample_size])`
  - `neighbors_sample_size` 는 하이퍼파라미터로 `main.py`에서 설정
- `n_neighbors` 는 실제 `entity`의 `neighbors` 수(Not hyper-parameter)
- `Neighbors_sampling` 시 `n_neighbors`가 `neighbors_sample_size`보다 작다
  - 복원 추출
  - 크거나 같다 -> 비복원 추출
  - `Sampled_indices`에 저장.
- `adj_entity, adj_relation` 생성.

## ❑ what that function does:

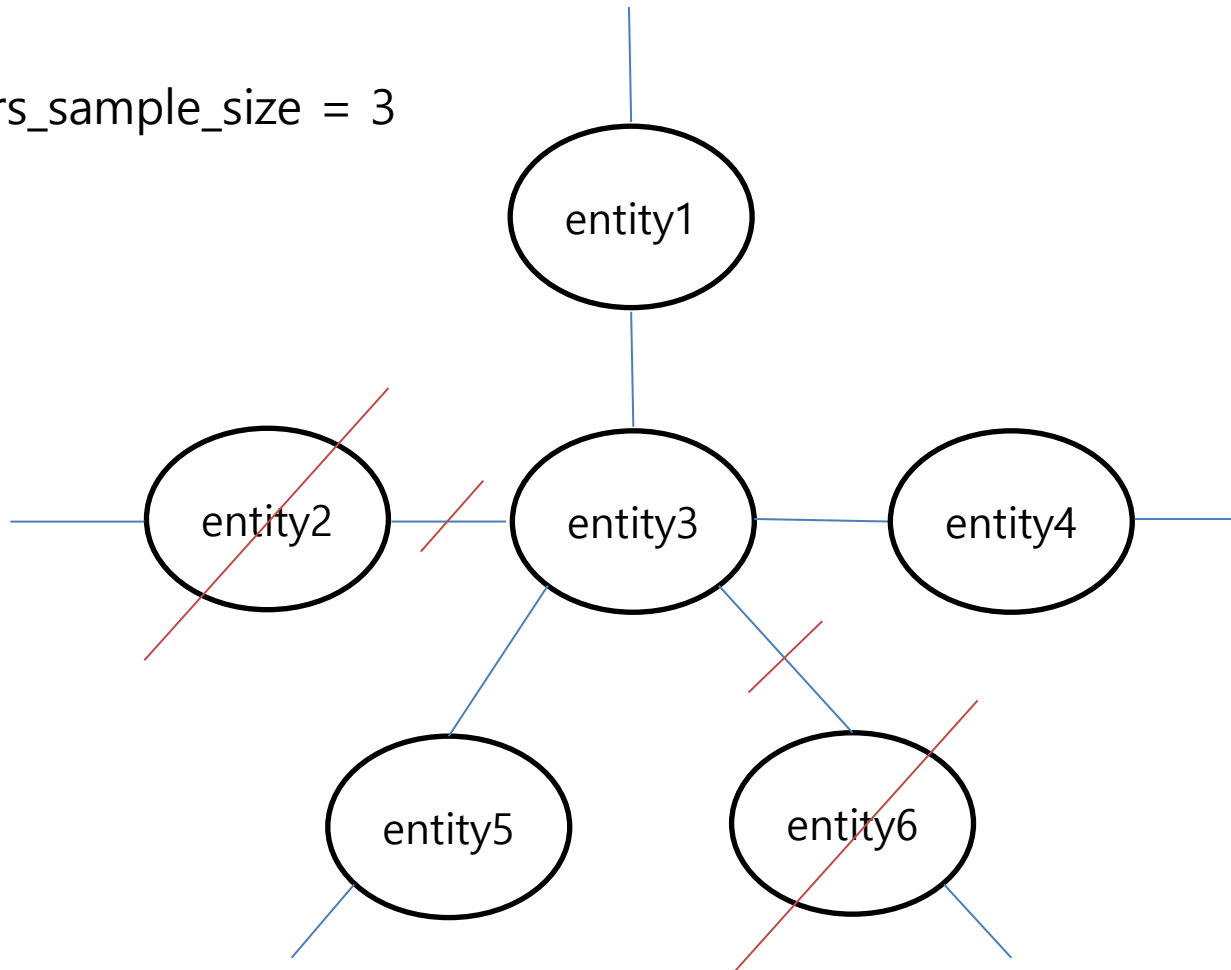
- 모든 `entity`에 대해 `neighbors`를 정의
  - 각 `entity`에 대응하는 `adj_entity, adj_relation` 생성(2차원 배열)
  - 행 길이는 전체 `entity` 수, 열 길이는 `neighbors_sample_size`

# DATA LOADER

## ❑ KG(Knowledge Graph) adj

- Neighbors sample size(hyperparameter) 만큼 random sampling

Ex) neighbors\_sample\_size = 3





# DATA LOADER

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## ❑ data\_loader()

- load\_rating()을 통해 n\_user, n\_item과 train / eval / test로 split된 data를 받고
- load\_kg()를 통해 n\_entity, n\_relation과 adj\_entity, adj\_relation을 받는다.

## ❑ what that function does:

- rating\_final.txt -> rating\_final.npy 변환
- train / eval / test data 로 split
- kg\_final.txt -> kg\_final.npy 변환
- Hyperparameter(neighbors\_sample\_size)에 맞는 adj\_entity, adj\_relation 생성

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# MODEL

# MODEL

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## ❑ class KGCCN

- def \_\_init\_\_(self, args, n\_user, n\_entity, n\_relation, adj\_entity, adj\_relation):
  - self.\_parse\_args(args, adj\_entity, adj\_relation)
  - self.\_build\_inputs()
  - self.\_build\_model(n\_user, n\_entity, n\_relation)
  - self.\_build\_train()
- get\_initializer() : weight 초기 설정(초기화)
  - xavier\_initializer() 사용

# MODEL

---

## ❑ class KGCCN

- def \_\_init\_\_(self, args, n\_user, n\_entity, n\_relation, adj\_entity, adj\_relation):
  - self.\_parse\_args(args, adj\_entity, adj\_relation)
  - self.\_build\_inputs()
  - self.\_build\_model(n\_user, n\_entity, n\_relation)
  - self.\_build\_train()
- get\_initializer() : weight 초기 설정(초기화)
  - xavier\_initializer() 사용

# MODEL

---

## ❑ class KGCN

- `_bulid_inputs()` :
  - `tf.placeholder`를 이용한 1차원 tensor 생성
    - `user_indices, item_indices, labels(=y)` 생성
- `_build_model()` :
  - `emb_matrix` 생성
    - `user_emb_matrix, entity_emb_matrix, relation_emb_matrix`
    - `shape : [n_000, self.dim]` (`dim`은 hyperparameter, default=32)
  - `entites, relations` 생성 by `get_neighbors(item_indices)`
- `get_neighbors()`:
  - hyper-parameter `n_iter`에 근거한 `neighbors_entites, neighbor_relations` 생성
  - `n_iter` : number of iterations when computing entity representation, default=1
    - `n_hope`
- `_build_train()`:
  - `base_loss` : `tf.nn.sigmoid_cross_entropy_with_logits()`
  - `L2_loss` : sum of `tf.nn.l2_loss`
    - `user_emb_matrix, entity_emb_matrix, relation_emb_matrix`
  - `optimizer` : `tf.train.AdamOptimizer.minimize`

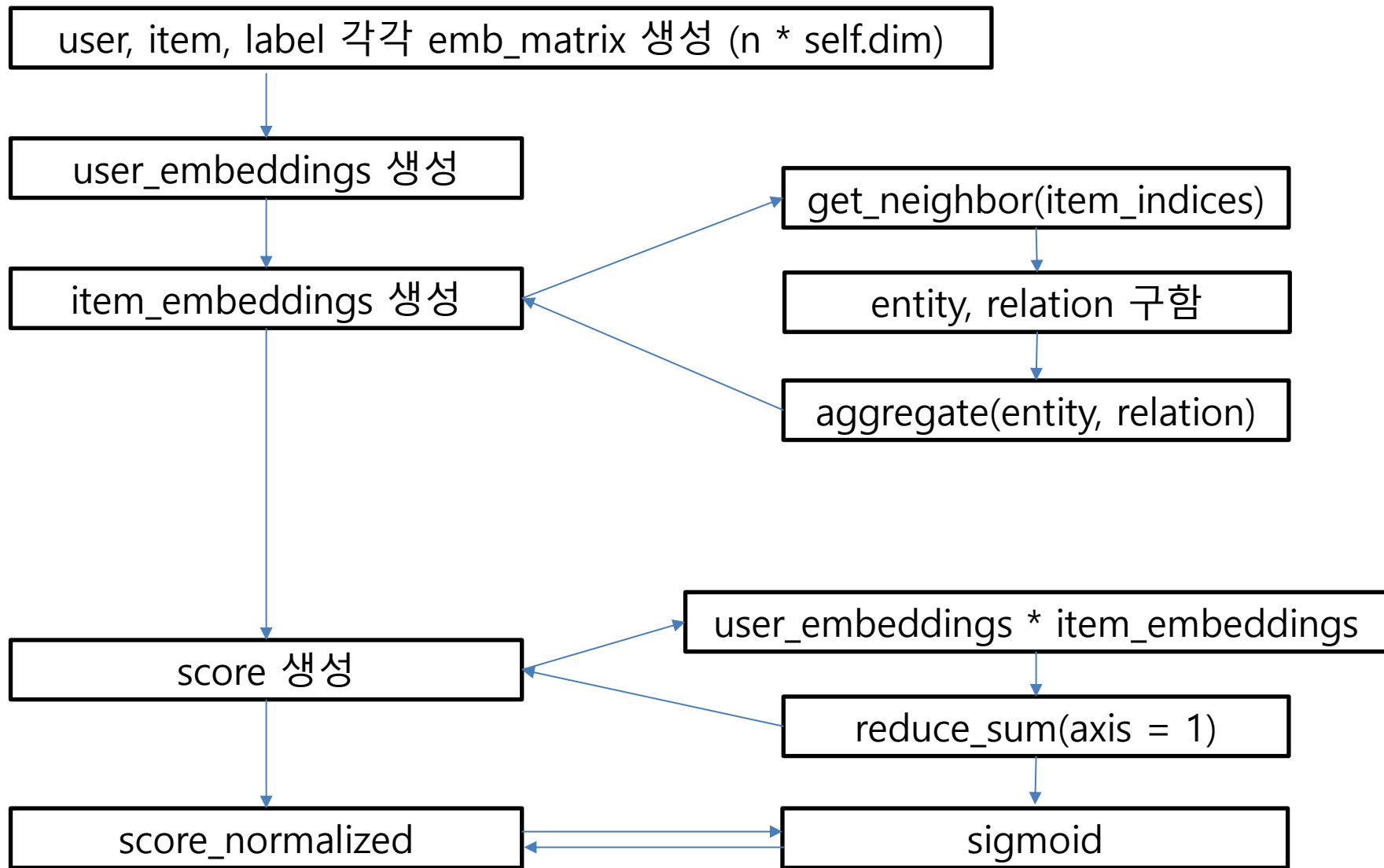
# MODEL

---

## ❑ class KGCCN

- aggregate():
  - n\_iter(=hop)만큼 반복하여 hyper-parameter aggregator로 aggregation 진행
- train():
  - sess.run([self.optimizer, self.loss], feed\_dict)
  - feed\_dict 은 {model.user\_indices: data[start:end, 0], model.item\_indices: data[start:end, 1], model.labels: data[start:end, 2]}
  - Output : labels, loss
- eval():
  - y\_true : labels, y\_score = y\_pred
  - AUC, F1 score 계산

# MODEL



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# TRAIN



# TRAIN

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## ❑ Input:

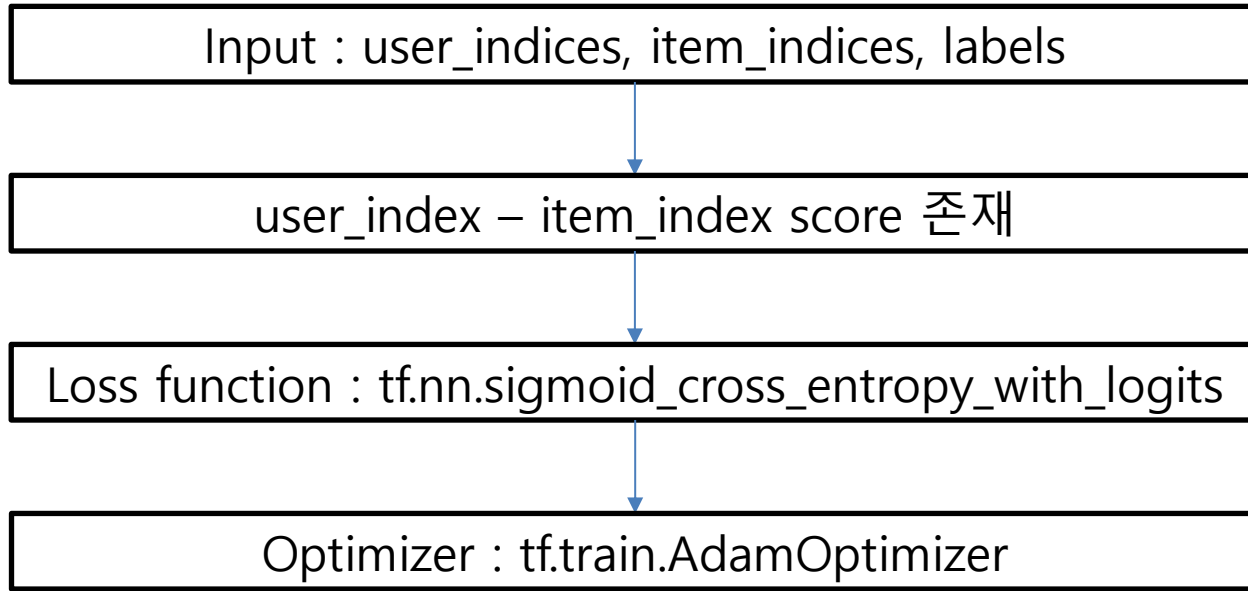
- `feed_dict = {model.user_indices: data[start:end, 0], model.item_indices: data[start:end, 1], model.labels: data[start:end, 2]}`

## ❑ Output:

- CTR evaluation
- Top K evaluation

# TRAIN

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## ❑ 목적 : CTR(클릭율) & TOP K recommend list

```
(KGCN) C:\Users\bhs89\KGCN-master\src>C:/python/anaconda3/envs/KGCN/python.exe c:/Users/bhs89/KGCN-master/src/main.py
reading rating file ...
splitting dataset ...
reading KG file ...
constructing knowledge graph ...
constructing adjacency matrix ...
data loaded.
neighbor_entity shape : (65536, ?)
neighbor_entity shape : (65536, ?)
neighbor_entity shape : (65536, ?)
neighbor_entity shape : (65536, ?)
WARNING:tensorflow:From c:\Users\bhs89\KGCN-master\src\aggregators.py:48: calling softmax (from tensorflow.python.ops.nn_ops) with dim is deprecated and will be removed in a future version.
Instructions for updating:
dim is deprecated, use axis instead
2022-11-15 21:29:26.356131: I tensorflow/core/platform/cpu_feature_guard.cc:141] Your CPU supports instructions that this TensorFlow binary was not compiled to use: AVX AVX2
epoch 0   train auc: 0.9728   f1: 0.9194   eval auc: 0.9685   f1: 0.9146   test auc: 0.9687   f1: 0.9148
precision: 0.0900   0.1000   0.0860   0.0760   0.0605   0.0430   0.0322
recall: 0.0183   0.0380   0.0680   0.1154   0.1660   0.2838   0.4067

epoch 1   train auc: 0.9779   f1: 0.9259   eval auc: 0.9701   f1: 0.9173   test auc: 0.9702   f1: 0.9175
precision: 0.0800   0.0700   0.0760   0.0790   0.0630   0.0454   0.0339
recall: 0.0148   0.0274   0.0649   0.1212   0.1910   0.3083   0.4354

epoch 2   train auc: 0.9850   f1: 0.9420   eval auc: 0.9745   f1: 0.9264   test auc: 0.9747   f1: 0.9267
precision: 0.0800   0.0950   0.0860   0.0760   0.0605   0.0468   0.0352
recall: 0.0142   0.0250   0.0675   0.1061   0.1714   0.3046   0.4241

epoch 3   train auc: 0.9894   f1: 0.9526   eval auc: 0.9766   f1: 0.9301   test auc: 0.9766   f1: 0.9303
precision: 0.1200   0.0900   0.0880   0.0690   0.0605   0.0478   0.0355
recall: 0.0238   0.0323   0.0671   0.1066   0.1742   0.2971   0.4367

epoch 4   train auc: 0.9920   f1: 0.9594   eval auc: 0.9765   f1: 0.9294   test auc: 0.9766   f1: 0.9296
precision: 0.0800   0.0850   0.0820   0.0670   0.0545   0.0426   0.0354
recall: 0.0140   0.0248   0.0450   0.1030   0.1496   0.2795   0.4311

epoch 5   train auc: 0.9935   f1: 0.9639   eval auc: 0.9762   f1: 0.9293   test auc: 0.9762   f1: 0.9296
precision: 0.0800   0.0950   0.0860   0.0710   0.0540   0.0446   0.0326
recall: 0.0123   0.0266   0.0605   0.0991   0.1565   0.2883   0.4144

epoch 6   train auc: 0.9946   f1: 0.9676   eval auc: 0.9758   f1: 0.9290   test auc: 0.9758   f1: 0.9291
precision: 0.1100   0.0750   0.0660   0.0550   0.0520   0.0400   0.0302
recall: 0.0109   0.0226   0.0500   0.0845   0.1485   0.2604   0.3821

epoch 7   train auc: 0.9954   f1: 0.9704   eval auc: 0.9753   f1: 0.9283   test auc: 0.9752   f1: 0.9286
precision: 0.1000   0.0750   0.0700   0.0650   0.0555   0.0410   0.0305
recall: 0.0101   0.0179   0.0450   0.0925   0.1529   0.2879   0.3892

epoch 8   train auc: 0.9959   f1: 0.9724   eval auc: 0.9748   f1: 0.9279   test auc: 0.9748   f1: 0.9280
precision: 0.0700   0.0550   0.0700   0.0580   0.0520   0.0378   0.0301
recall: 0.0115   0.0168   0.0404   0.0985   0.1587   0.2576   0.3963

epoch 9   train auc: 0.9963   f1: 0.9739   eval auc: 0.9745   f1: 0.9274   test auc: 0.9745   f1: 0.9274
precision: 0.0500   0.0450   0.0680   0.0580   0.0505   0.0392   0.0303
recall: 0.0058   0.0129   0.0503   0.0976   0.1520   0.2570   0.3962
```

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# DISCUSSION

# DISCUSSION

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- `tf.ssess.run()` 이해하기
- CTR(클릭율) & top K
  - AUC, F1 score만 기재
  - 결국에는 어떤 항목을 추천하는지 item을 알려주지 않는다..?
  - 우리가 원하는건 각 user에게 추천할 item이나 해당 item을 클릭할 확률을 구하고 싶은 건데 어떻게 표현되어 있는지 모르겠다.
- Input labels
  - `user_index`, `item_index`, 0 or 1
  - Label = 0 인 것 중에, recommendation 작업이 들어가는 줄 알았는데 label=0 을 `unwatched_set`에 의해 생성했다.... `user_neg_rating`에서 label을 0으로 해야하지 않나

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**THANK YOU**