

# BIOSTATISTICS COURSE #5

# CLINICAL TRIALS

OCTOBER 2025



## SUMMARY OF THE COURSE #5

**01** INTRODUCTION

**02** SCIENTIFIC METHOD

**03** CLINICAL TRIALS

**04** CLINICAL DOCUMENTS

**05** SCIENTIFIC PUBLICATIONS

**06** QUESTIONS

# INTRODUCTION

01

# INTRODUCTION



SCIENTIFIC  
METHOD

02

# SCIENTIFIC METHOD

## DEFINITION

- Empirical method for acquiring knowledge in science fields
- Emerged in the 17<sup>th</sup> century
- Involves careful observations with rigorous skepticism
- Can be biased by cognitive assumptions
- Formulation and test of hypotheses is key



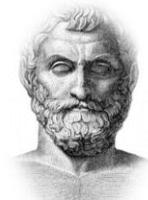
# SCIENTIFIC METHOD

## BRIEF HISTORY

- Ancient Egypt : Edwin Smith papyrus (~1600 BCE) : Egyptian medical textbook with first signs of empirical methodology : examination, diagnosis, treatment and prognosis



- Classical antiquity :
  - THALES (626/623 – 548/545 BCE) : first philosopher to use natural explanations.
  - ARISTOTLE (384 – 322 BCE) : founder of Modern Science with the Aristotelianism movement : deductive logic, analytic inductive method.



# SCIENTIFIC METHOD

## BRIEF HISTORY

- Middle Ages :



Ibn AL-HAYTHAM (965 - 1040) : Persian mathematician, astronomer and physicist considered as the father of modern optics



Roger BACON (1219/1220 – 1292) : English scientist and polymath and founder of modern scientific method.

# SCIENTIFIC METHOD

## BRIEF HISTORY

- Renaissance :



Francis BACON (1561 – 1626) : English philosopher, father of empiricism (evidence finding through experiments).



René DESCARTES (1596 – 1650) : father of modern philosophy and rationalism (“reason has precedence over other ways of acquiring knowledge : faith, sensory experience or tradition”)

# SCIENTIFIC METHOD

## BRIEF HISTORY

- Scientific Revolution / Age of Enlightenment :

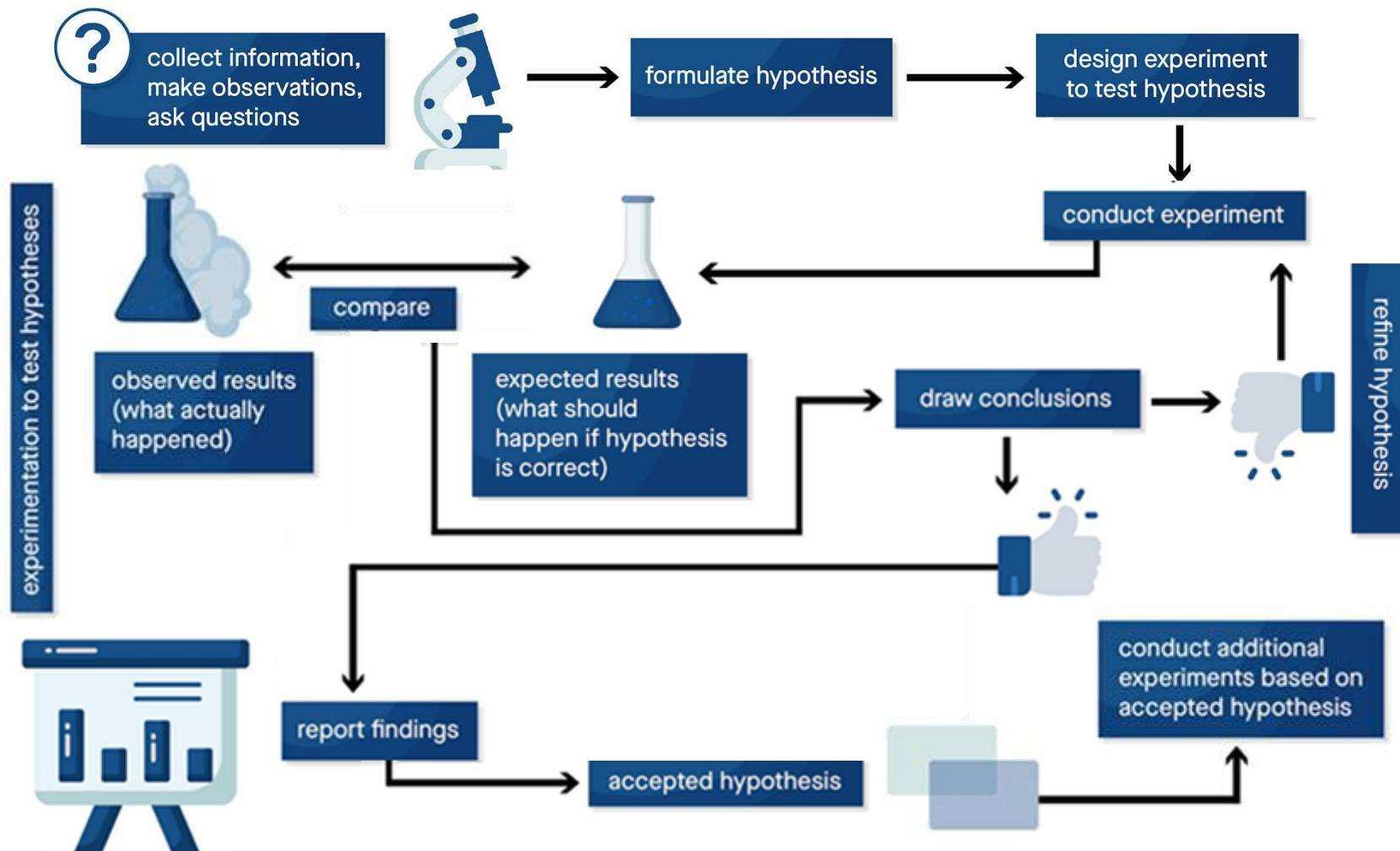


Galileo GALILEI (1564 – 1642) : Italian astronomer, physicist and engineer, father of **observational astronomy** and **modern science**



Isaac NEWTON (1642 – 1726/1727) : English mathematician, physicist and astronomer, founder of **modern scientific method**.

# SCIENTIFIC METHOD WORKFLOW



CLINICAL  
TRIALS

03

# CLINICAL TRIALS

## DEFINITION

- Prospective biomedical or behavioral research study on human participants designed to answer specific question about biomedical or behavioral interventions
- Application domains : treatments (vaccines, drugs, chirurgery...), dietary supplements, medical devices
- Strictly controlled, monitored and evaluated by international (FDA in the USA, EMEA in Europe) and national health authorities (ANSM in France).



# CLINICAL TRIALS

## BRIEF HISTORY

Medical experiments exists from Antiquity but without any control group

The **first clinical trial** was managed in 1747 by James LIND (1716 – 1794) : study of effect of various dietary supplements on **scorbutic** sailors during 2 months on a boat.



**Scurvy** : deficiency disease very common during long sailing travels.

Symptoms : weakness, fatigue, decreased red blood cells, skin bleeding, poor wound healing and death from infection



# CLINICAL TRIALS

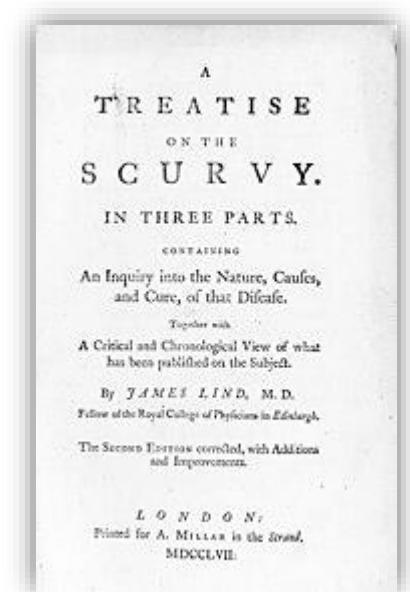
## BRIEF HISTORY

Assumption to test : citrus fruit consumption is protective from scurvy (vitamin-C effect) : John WOODALL (1570 – 1643), surgeon of British East India Company



12 sailors divided into 6 groups of 2 with different supplements :

1. Cider
2. Sulfuric acid
3. Vinegar
4. Seawater (control group)
5. Oranges + lemon
6. Spicy paste + barley water



Only patients in group 5 quickly recovered from scurvy.

# CLINICAL TRIALS

## BRIEF HISTORY

John HAYGARTH (1740 – 1827) : English physician who demonstrated the importance of **control group** for the correct identification of **placebo effect** in smallpox studies.



Ronald A. FISHER (1890 – 1962) : English statistician who proved the benefits of **randomization**, **replication** and **blocks** in clinical trials



Austin BRADFORD HILL (1897 – 1991) : English epidemiologist who pioneered the **randomized clinical trials** (first proved link between cigarette smoking and lung cancer)



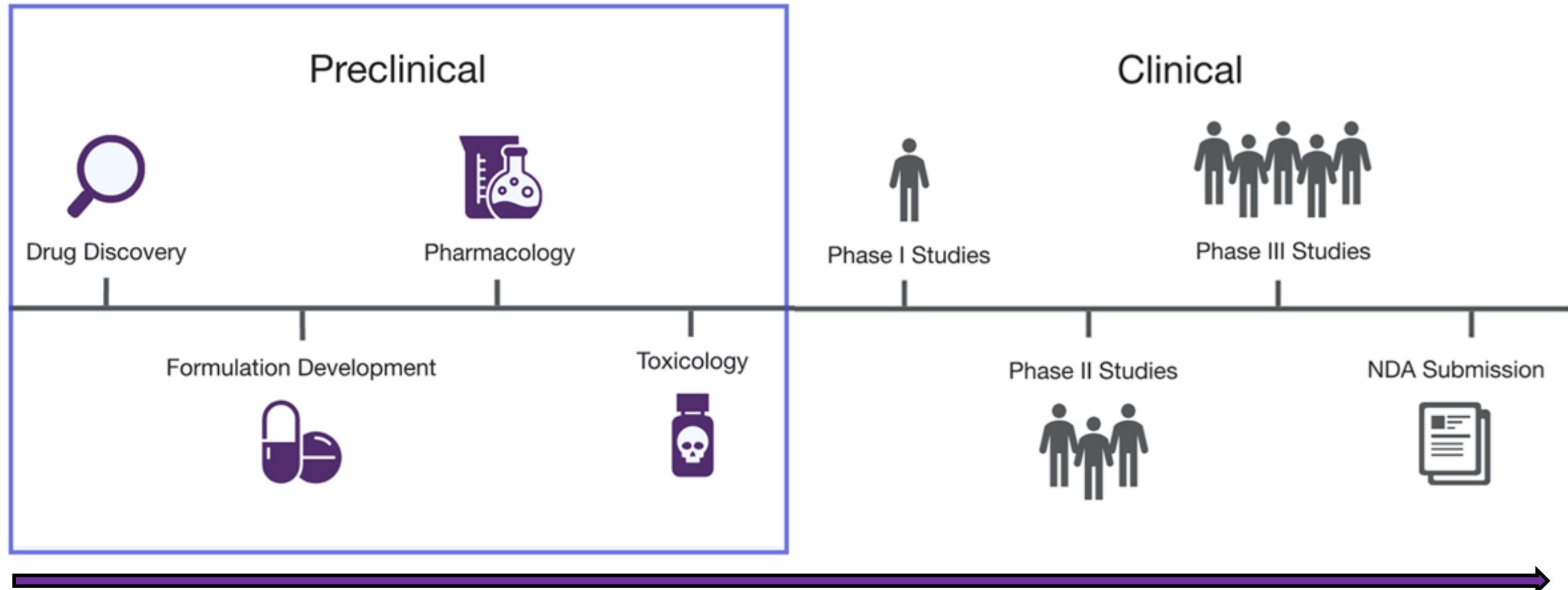
# CLINICAL TRIALS

## BIAS

- Selection bias : systematic differences between **baseline characteristics** of the groups that are compared. **Randomization** helps to control it.
- Performance bias : systematic differences between groups in the care that is provided, or in exposure to factors other than the interventions of interest. **Blinding of treatment allocation** helps to control it.
- Attrition bias : systematic differences between groups in **withdrawals** from a study (missing values).
- Reporting bias : systematic differences between reported and **unreported** findings.

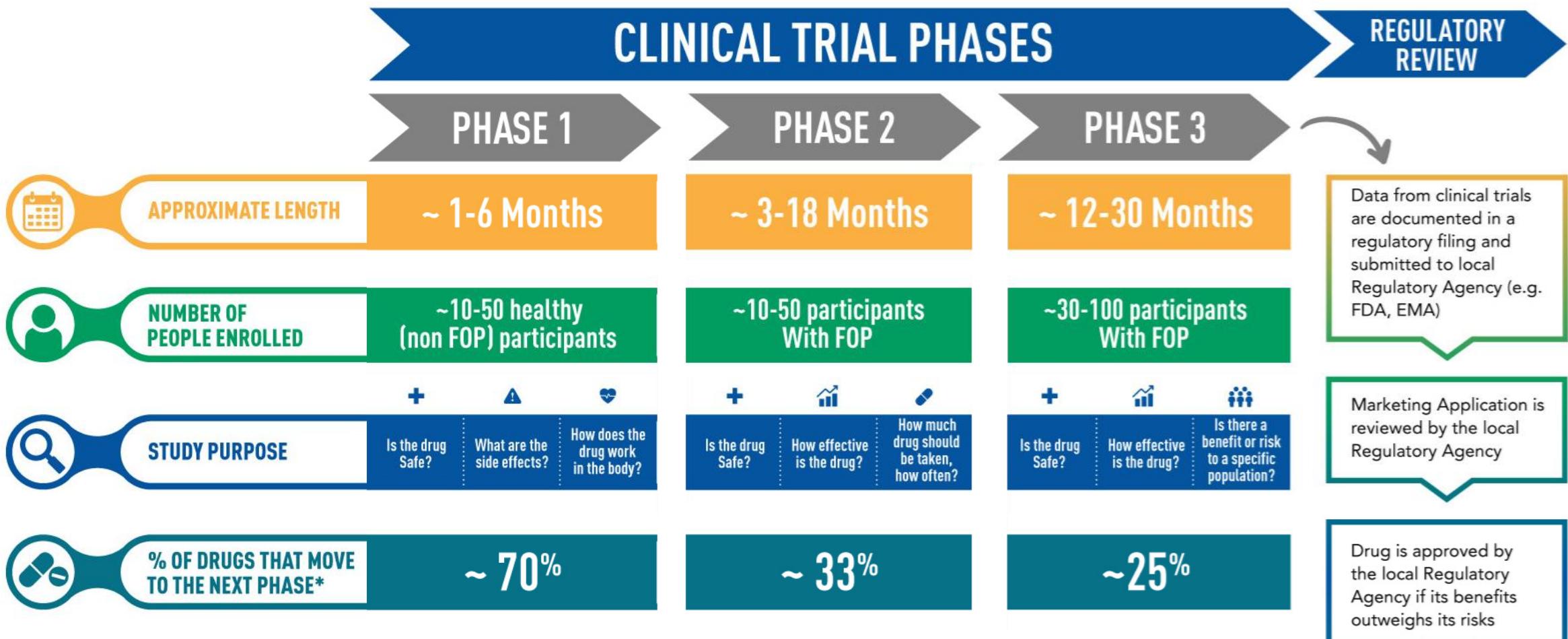
# CLINICAL TRIALS

## PHASES



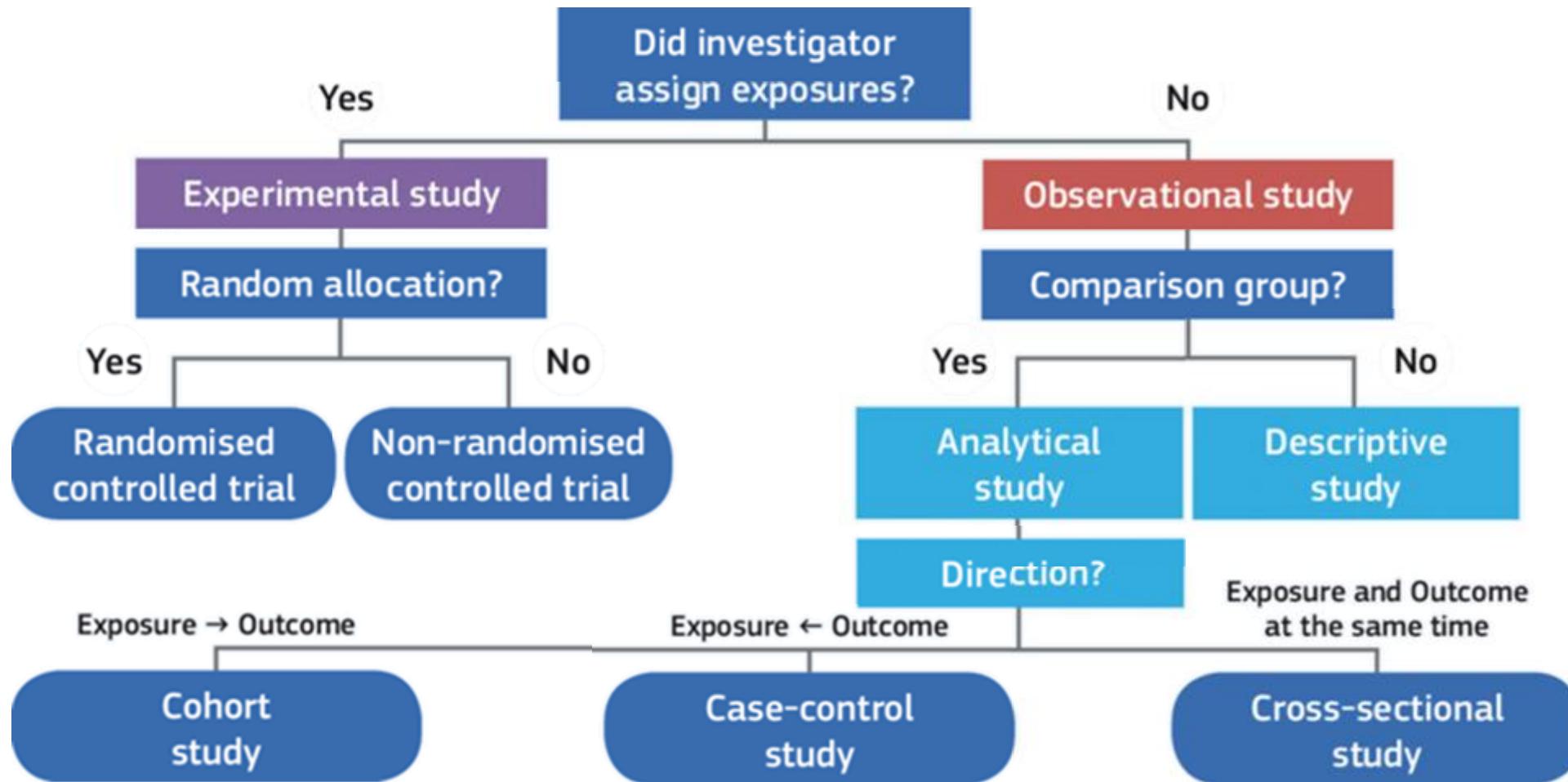
# CLINICAL TRIALS

## PHASES



# CLINICAL TRIALS

## 5 TYPES



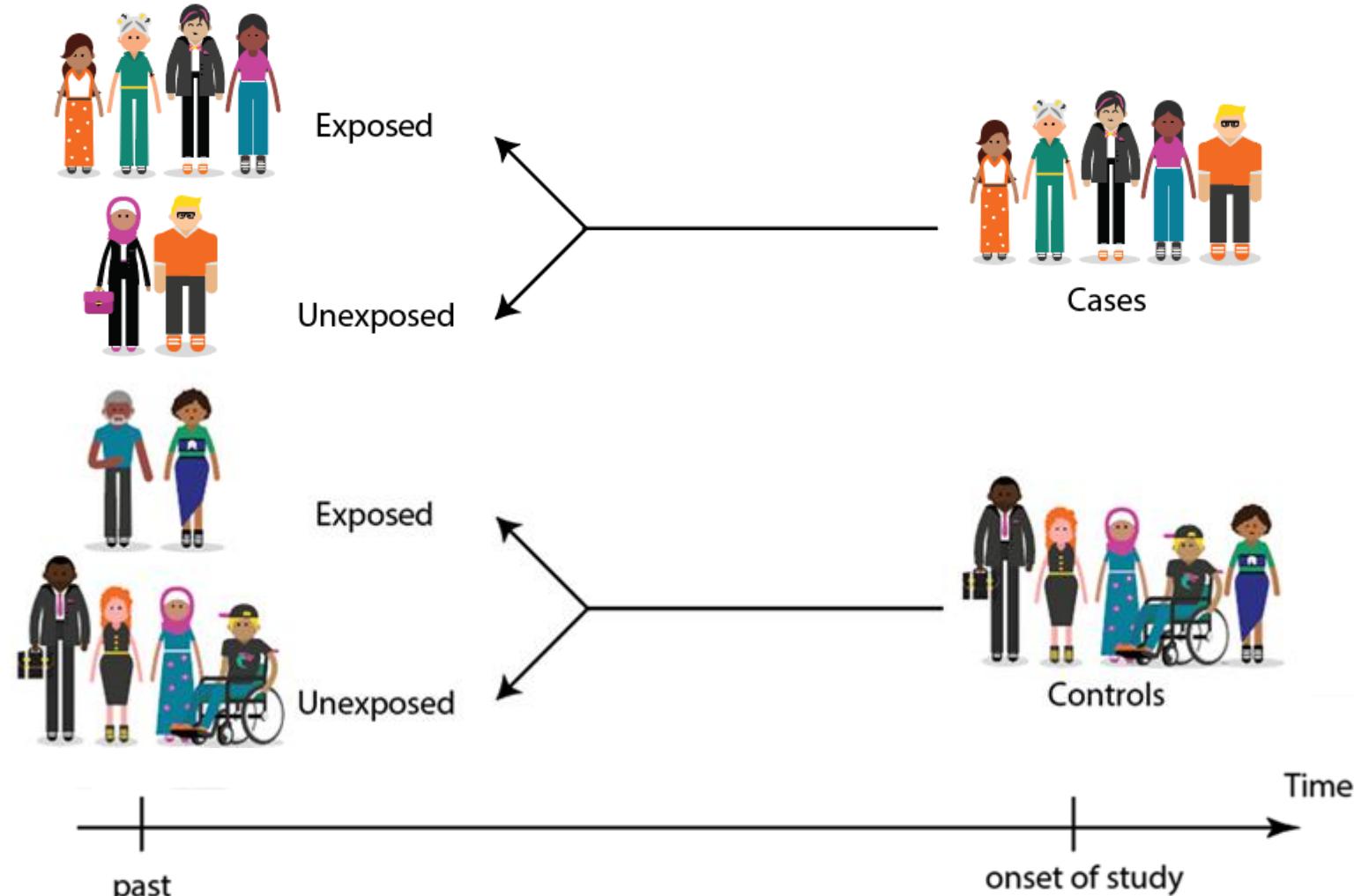
# CLINICAL TRIALS

## COHORT STUDY : EXPOSURE → OUTCOME



# CLINICAL TRIALS

## CASE-CONTROL STUDY : EXPOSURE ← OUTCOME

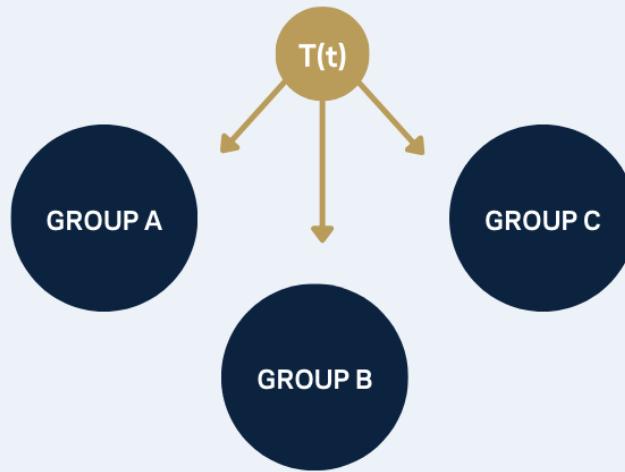


# CLINICAL TRIALS

## CROSS-SECTIONAL STUDY : EXPOSURE $\leftrightarrow$ OUTCOME

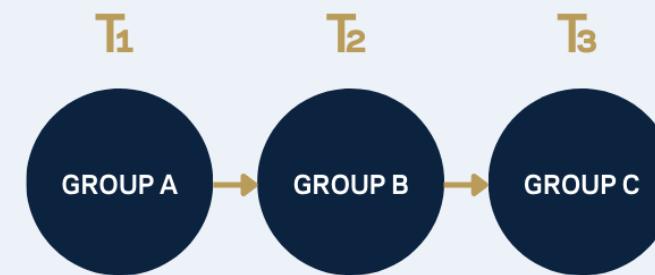
### CROSS-SECTIONAL STUDY

Comparing **different groups** at  
the same time



### LONGITUDINAL STUDY

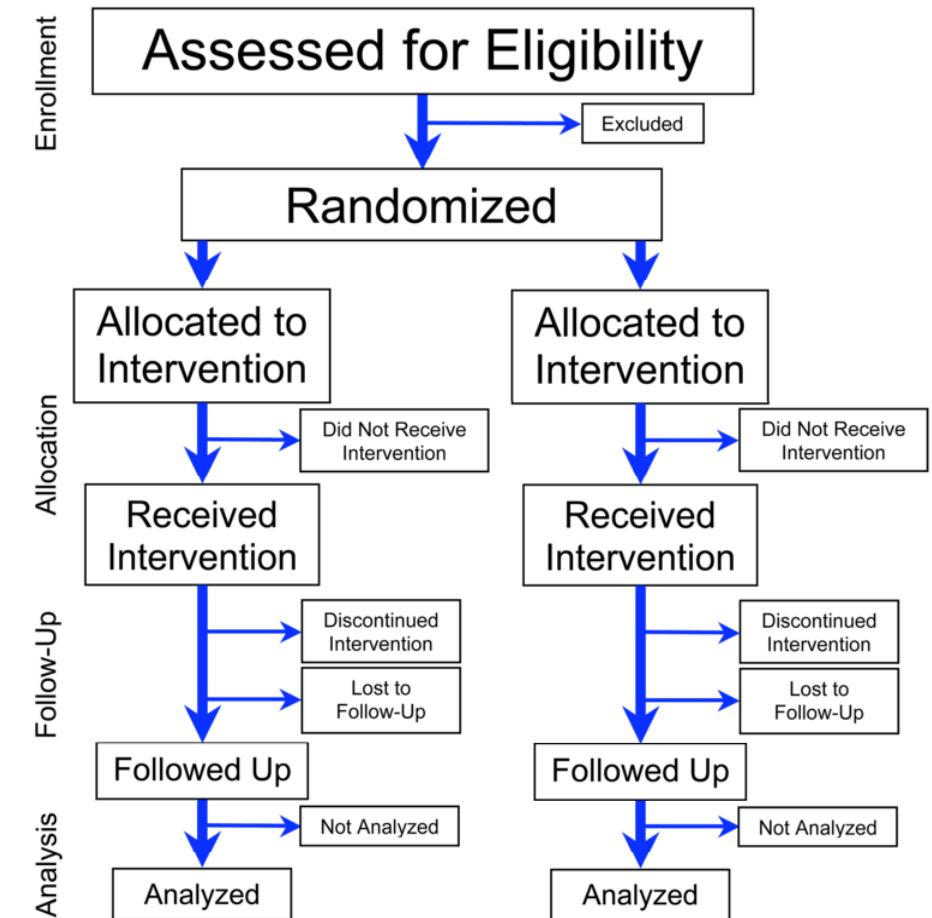
Comparing the **same group** over  
a period of time



# CLINICAL TRIALS

## RANDOMIZED CLINICAL TRIAL (RCT)

- Clinical trial with the **highest level of evidence** (**Gold Standard**  in medical research)
- Often with **two-parallel groups** studied during the same period of time (longitudinal study)
- Patients are allocated randomly to a group, in order to have **balanced groups** (same characteristics)
- Often **two groups**
  - **Controlled** : placebo or standard of care
  - **New treatment**

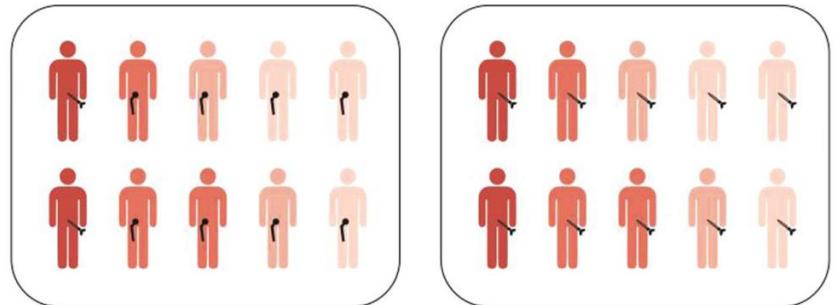


# CLINICAL TRIALS

## RANDOMIZED CLINICAL TRIAL - THREE POPULATIONS

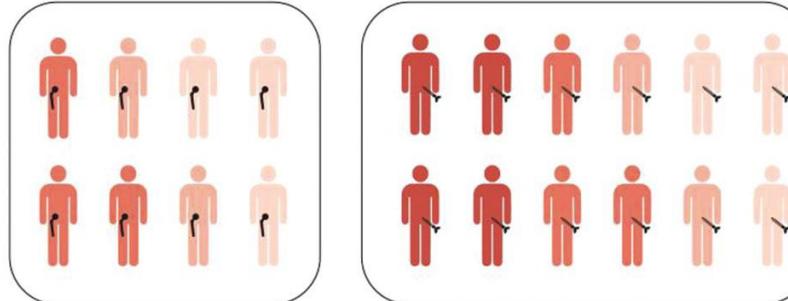
A

**Intention to treat**  
All subjects are analyzed according to the randomization group



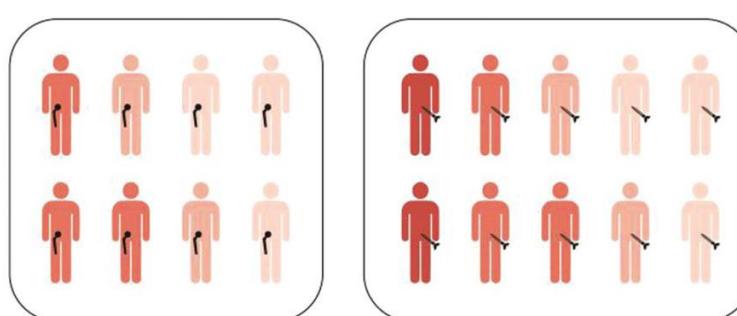
B

**As treated**  
Subjects are analyzed according to treatment received



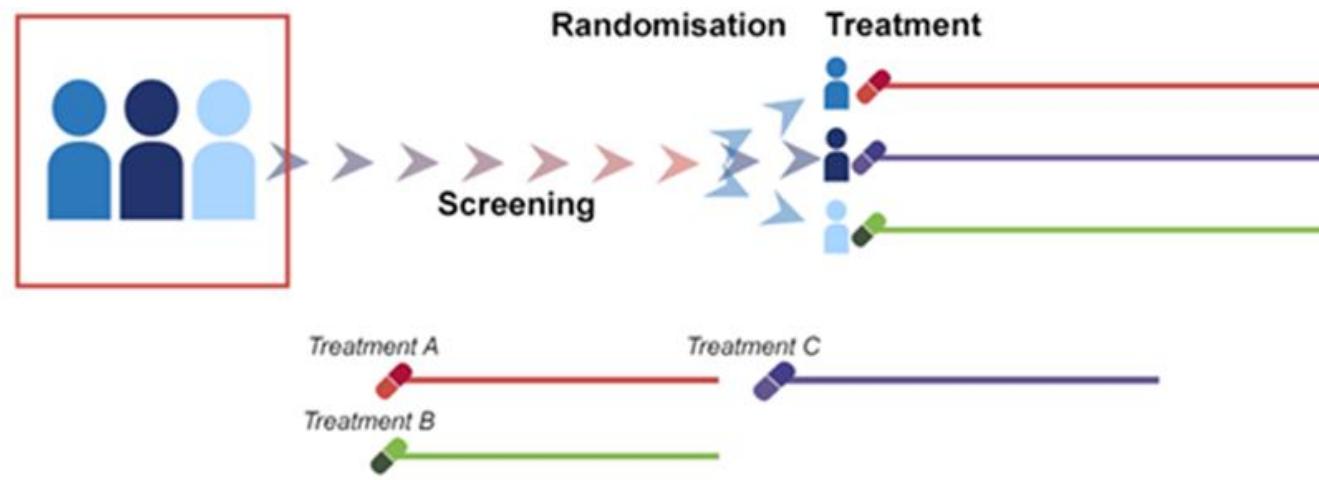
C

**Per protocol**  
Only subjects treated according to the study protocol are analyzed



# CLINICAL TRIALS

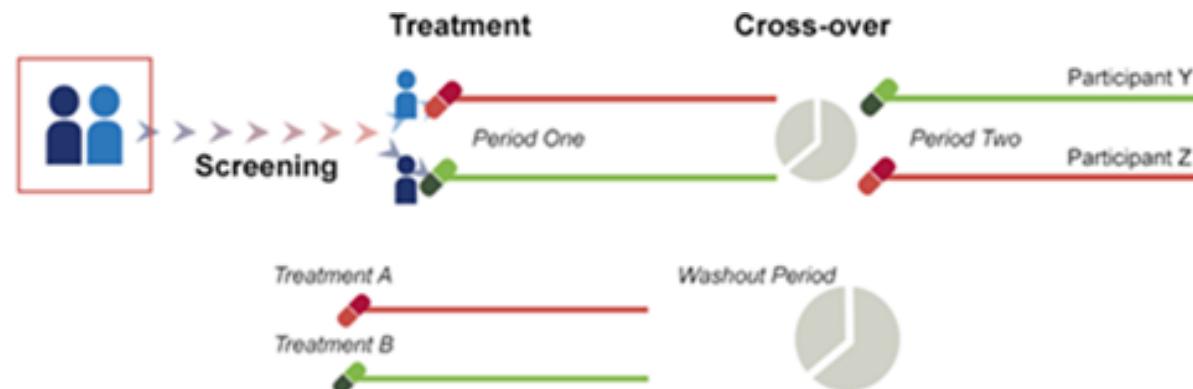
## RANDOMIZED CLINICAL TRIAL – PARALLEL TRIAL



Advantages	Disadvantages
Can be applied to almost any disease.	In multiple treatment groups statistics may become challenging.
Any number of groups can be run simultaneously.	
Groups can be in separate locations.	

# CLINICAL TRIALS

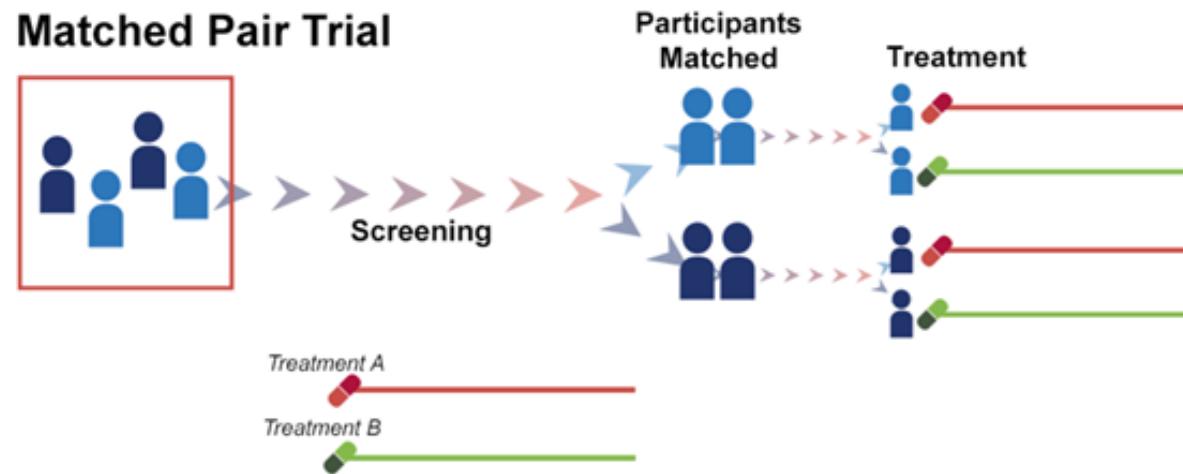
## RANDOMIZED CLINICAL TRIAL – CROSS-OVER TRIAL



Advantages	Disadvantages
Low variance due to participant and control being the same.	Requires a <b>long-term illness</b> as treatments are applied one after the other.
Can include <b>several treatments</b> .	Carry over effects need to be avoided ( <b>washout period must be sufficiently long</b> ).
Groups can be in <b>separate locations</b> .	

# CLINICAL TRIALS

## RANDOMIZED CLINICAL TRIAL – MATCHED-PAIR TRIAL

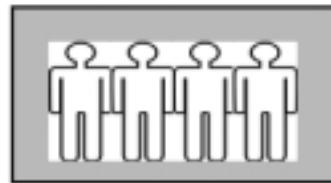


Advantages	Disadvantages
Less variability found in results, and it can be applied to most diseases.	Based on similarity within the selected groups, the researcher needs awareness of factors that could influence results (confounding variables).

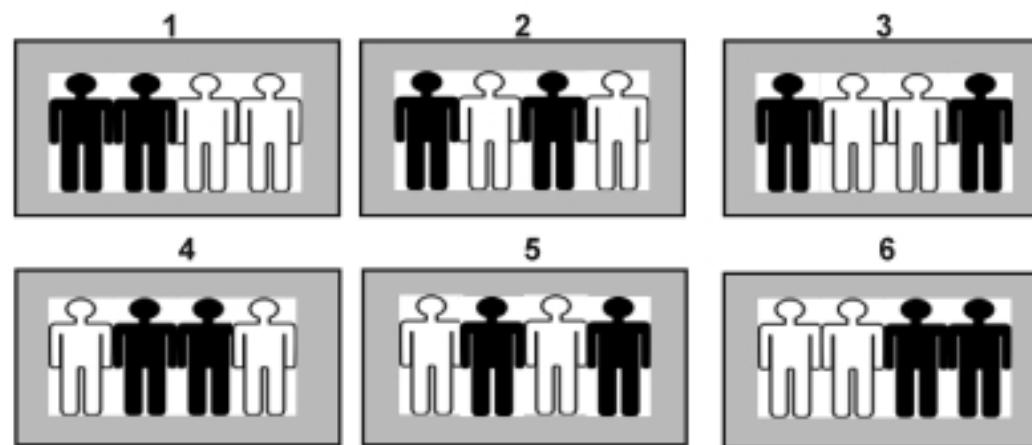
# CLINICAL TRIALS

## BLOCK RANDOMIZATION

A) Block size



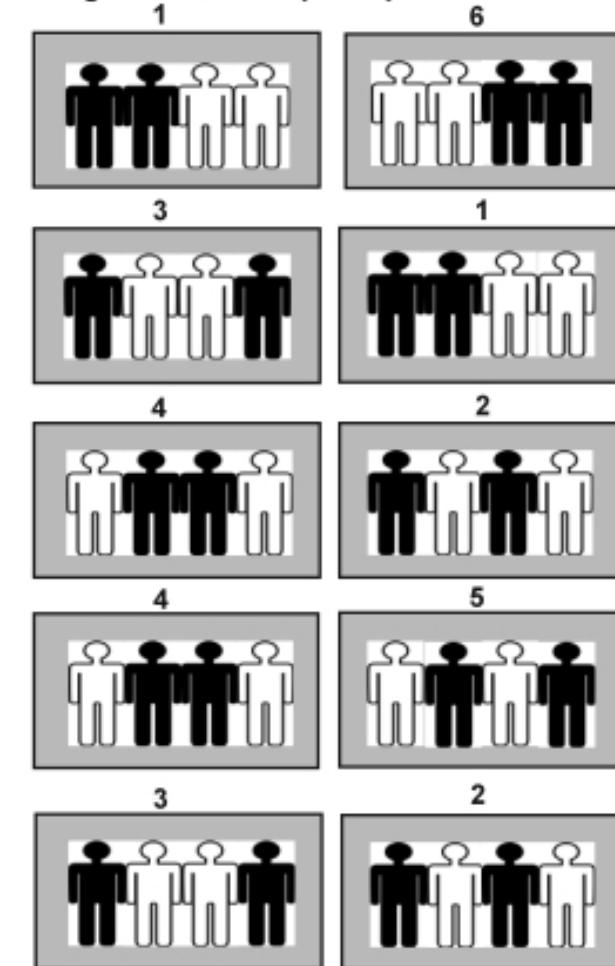
B) Possible balanced combinations (ie, 2 to control group, 2 to treatment group)



= Control

= Treatment

C) Random selection of blocks (ie, 1, 3, 4, 4, 3, 6, 1, 2, 5, 2)  
Assignment of all 40 participants



# CLINICAL TRIALS

## PYRAMID OF EVIDENCE



# CLINICAL TRIALS

## CLINICAL TRIAL WORKFLOW



CLINICAL  
DOCUMENTS

04

# CLINICAL DOCUMENTS

## GOOD CLINICAL PRACTICE

- In 1945, the world discovered Nazi's concentration camps and inhuman "medical" experiments performed there. The Doctors' trial in Nuremberg in 1947 condemned Nazi doctors to long prison (10 years to life imprisonment) or death sentences
- International medical community decided to standardize medical trials, particularly the "*ethical*" side.
- The Declaration of Helsinki in 1964 stated Good Clinical Practices (GCP), an international quality standard for clinical trials on humans.
- GCP aims to protect human rights for the subjects and volunteers in a clinical trial.



# CLINICAL DOCUMENTS

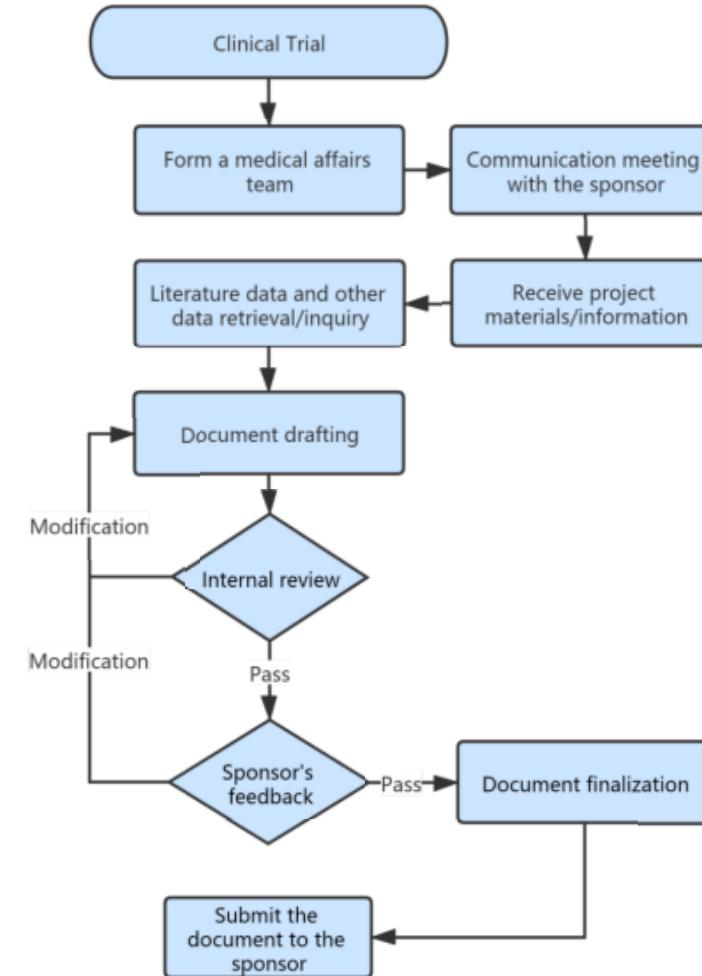
## CLINICAL TRIAL PROTOCOL

- First document to write before launching the trial
- Describes the **objectives**, **design**, **methodology**, **statistical considerations** and **aspects** related to the organization of a trial
- Provide the **background** and **rationale** for conducting a study, highlighting **specific research questions** that are addressed, and taking into consideration ethical issues
- Mandatory for ethics approval by local **Ethics Committees** or **Institutional Review Boards**.
- Must meet a standard that adheres to the principles of **Good Clinical Practice**

# CLINICAL DOCUMENTS

## CLINICAL TRIAL PROTOCOL STRUCTURE

1. Title page : general information of the trial
2. Background information : prior knowledge
3. Objectives / Purposes of the trial
4. Study Design
5. Selection / Exclusion of subjects
6. Treatments
7. Assessment of Efficacy / Safety
8. Adverse Events
9. Discontinuation of the Study
10. Statistical methodology
11. Quality Control and Assurance
12. Ethics
13. Data handling and recording
14. Publication policy
15. Project timetable / flowchart
16. References
17. Supplements / Appendices



# CLINICAL DOCUMENTS

## CLINICAL TRIAL PROTOCOL REVIEW



20-30 minutes

# CLINICAL DOCUMENTS

## STATISTICAL ANALYSIS PLAN

- Complementary document (**appendix** of protocol)
- Details the scope of planned analysis :
  - Primary and secondary endpoints
  - Analysis methods
  - Pre-defined comparisons and significance levels
  - Exploratory analyses
- Mandatory for regulatory agencies
- Also provide the **sample size calculation** method used if applicable

# CLINICAL DOCUMENTS

## STATISTICAL ANALYSIS PLAN REVIEW



20-30 minutes

# CLINICAL DOCUMENTS

## CLINICAL STUDY REPORT

- Most important document
- Summarizes study's data and **outcomes** with tables, figures, listings...
- Provides a **detailed description** of the study's **design and methodology**
- Part of the “*package*” sent to Health Authorities at the end of a trial with protocol, SAP, data.
- Statistical analyzes are often **validated by independent statisticians**
- Should respect **ICH** (International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use) **guidelines**

SCIENTIFIC  
PUBLICATIONS

05

# SCIENTIFIC PUBLICATIONS

## BRIEF HISTORY

- « *Journal des scavans* » (France) : first scientific journal  
First edition : 5<sup>th</sup> January 1665
- Publication of the first estimation of the speed of light by Ole RØMER in 1676
- « *Philosophical Transactions of the Royal Society* » (United Kingdom) : second scientific journal. First edition : 6<sup>th</sup> March 1665
- Publication du premier article scientifique d'Isaac NEWTON en 1672 : “*New theory about light and colors*”.

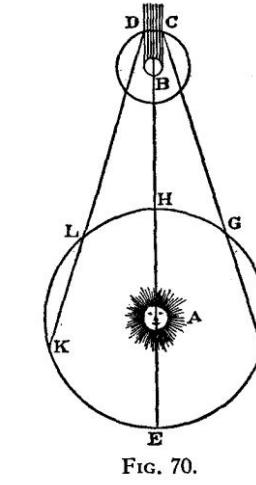
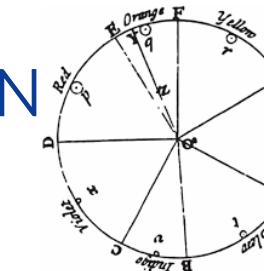
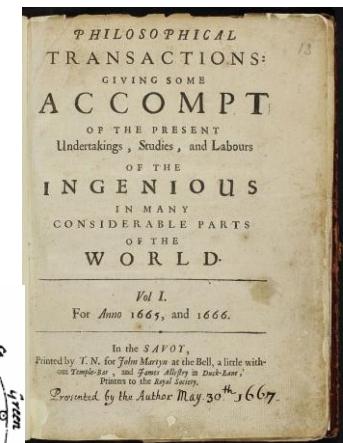
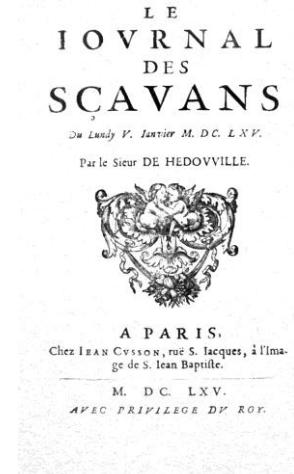


FIG. 70.



# SCIENTIFIC PUBLICATIONS

## OVERVIEW

- Allows to submit results of clinical trials to independent reviewers
- Two types of journals : specialized or generalist journal
- Several publication formats :
  - Review article
  - Letter
  - Research note
  - Data papers
- Ranked according to “*impact factor*” (yearly mean number of citations of articles published in a journal)



# SCIENTIFIC PUBLICATIONS

## STRUCTURE

1. **Title** : Summary of the content of article in one short sentence
  
2. **Abstract** : Summary of the article in 5 to 10 sentences structured in 4 parts :
  - **Background/Objectives**: include the hypothesis
  - **Methods**: Briefly explain the type of study, sample/population size and description, the design, and any particular techniques for data collection and analysis
  - **Results**: Essential data, including statistically significant data (use # & %)
  - **Conclusions**: Summarize interpretations of results and explain if hypothesis was supported or rejected

# SCIENTIFIC PUBLICATIONS

## STRUCTURE

3. Introduction : explain the context and the background of the work

4. Material & methods :

- Outline the design of experiment
- Describe materials or subjects
- Describe data collected and methods used for collection
- Describe statistical analysis

5. Results :

- Describe study sample demographics
- Present the data

# SCIENTIFIC PUBLICATIONS

## STRUCTURE

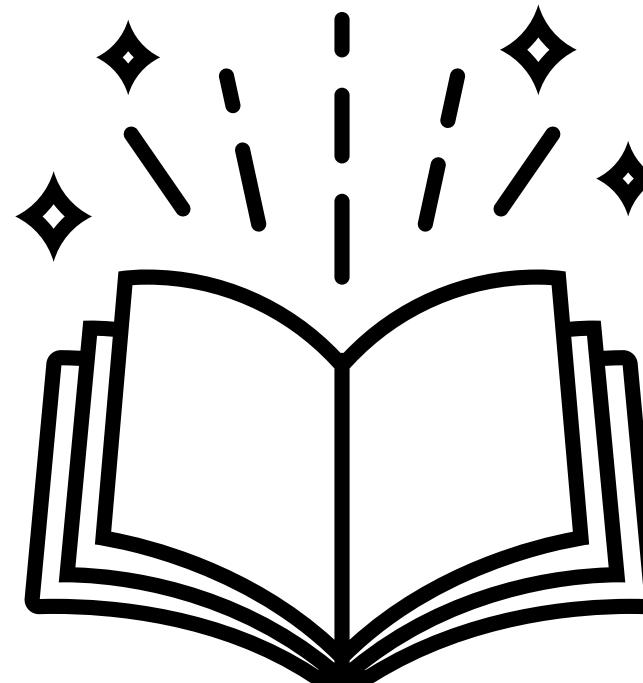
### 6. Discussion :

- Relate major findings to your hypothesis
- Include a focused review of literature in relation to results
- Interpret the results
- Discuss possible limitations of study
- Suggest future work that could be done

### 7. References : links to the studies / works in the literature cited as reference in the text of the article

# SCIENTIFIC PUBLICATIONS

## ARTICLE REVIEW



20-30 minutes

QUESTIONS

06

THANK  
YOU  
FOR  
YOUR  
ATTENTION

OCTOBER 2025

