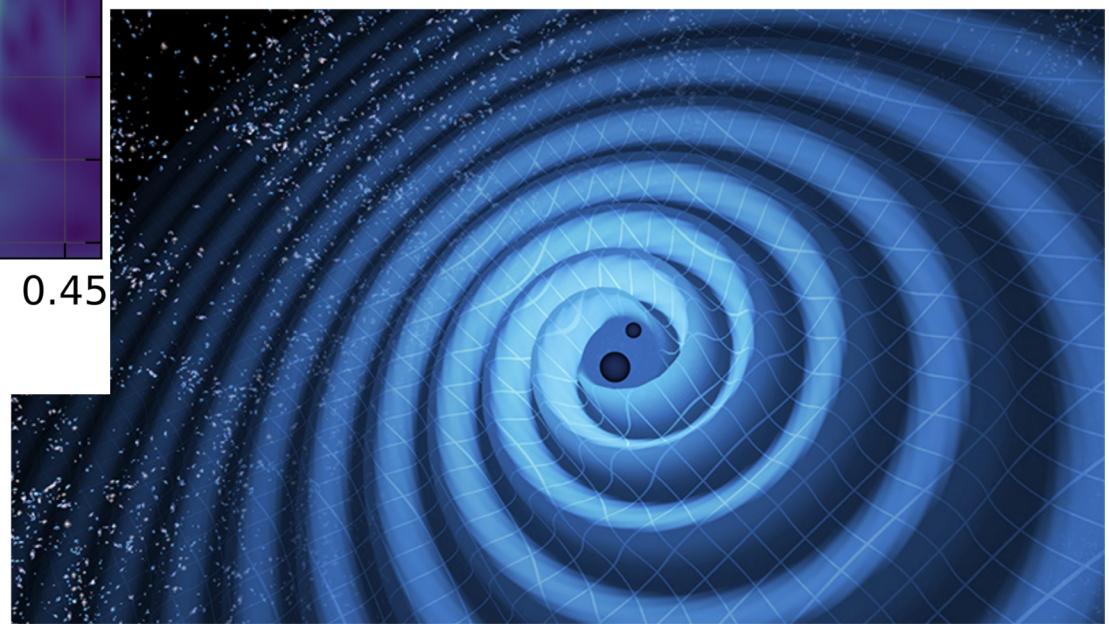
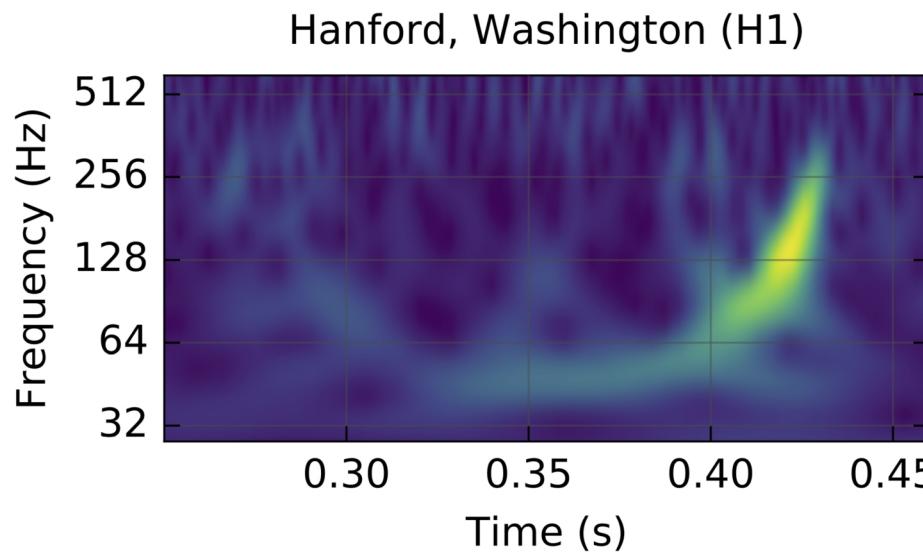


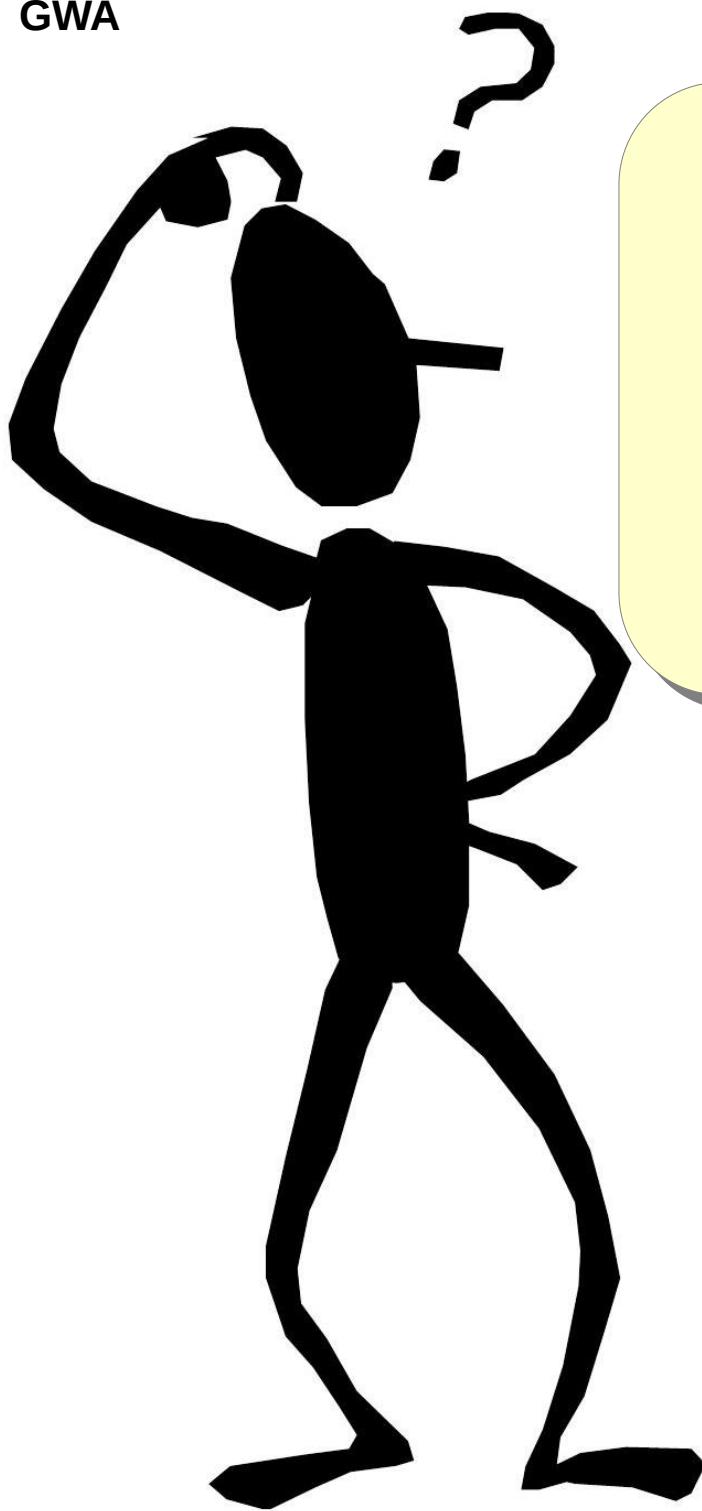
Gravitational Wave Astrophysics



What is Gravitational – Wave (GW) Astrophysics?

- * Astrophysical characterization of GW sources
- * Young and fast evolving
- * Boosted by GW detections
- * Mostly (but not only) about binary black holes (BBHs), binary neutron stars (BNSs) and neutron star – black hole binaries (NSBHs)





OPEN QUESTION:

What are the formation channels of merging binaries observed by gravitational-wave interferometers?



Gravitational Wave (GW) Theory's Extreme Summary

Some math:

Einstein's field equations

$$R_{\alpha\beta} - \frac{1}{2} R g_{\alpha\beta} = \frac{8\pi G}{c^4} T_{\alpha\beta}$$

Geometry of
space-time source term

where $R_{\alpha\beta} := R_{\alpha\mu\beta}^\mu = \sum_{\mu=0}^3 R_{\alpha\mu\beta}^\mu$ **RICCI TENSOR**,

obtained contracting indices of Riemann curvature tensor

defined as $R_{\alpha\beta\gamma}^\delta w^\gamma := -(\nabla_\alpha \nabla_\beta - \nabla_\beta \nabla_\alpha) w^\delta$

for an arbitrary vector w

(NOTE: Riemann curvature tensor is the measure of curvature in GR)

$R := g^{\mu\nu} R_{\mu\nu}$ **RICCI SCALAR**,

$g_{\alpha\beta}$ **METRIC**,

and $T_{\alpha\beta}$ **STRESS – ENERGY TENSOR (SOURCES of curvature)**

$$T^{\alpha\beta} := \begin{bmatrix} \text{mass – energy density} & \text{energy flux} \\ \text{momentum density} & \text{stress tensor} \end{bmatrix}$$

How to derive gravitational waves from Einstein eqs?

1. if strong-curvature, rapidly moving sources

(e.g. during merger of two black holes):

Numerical solution of fully nonlinear Einstein equations

2. if weak field (almost flat curvature) and “slow” moving sources

(e.g. two black holes orbiting about each other but still binary systems, not yet merging):

Analytic solution of LINEARIZED Einstein equations

Solving linearized Einstein’s equation reduces to solving this simplified equation (WAVE EQUATION):

$$\square \bar{h}_{\alpha\beta} = \frac{16\pi G}{c^4} T_{\alpha\beta}$$

Summary:

By integrating equation $\square \bar{h}_{\alpha\beta} = \frac{16\pi G}{c^4} T_{\alpha\beta}$

for distant and slowly moving sources, we obtain the solution:

$$\bar{h}^{ij}(t, \vec{x}) \sim \frac{2G}{r c^4} \frac{d^2}{dt^2} I^{ij}(t - r/c)$$

Distance source-observer

Second mass moment, or
quadrupole moment of mass
(similar but not
exactly moment $I^{ij} = \int dx^3 \rho(t, \vec{x}) x^i x^j$
of inertia)

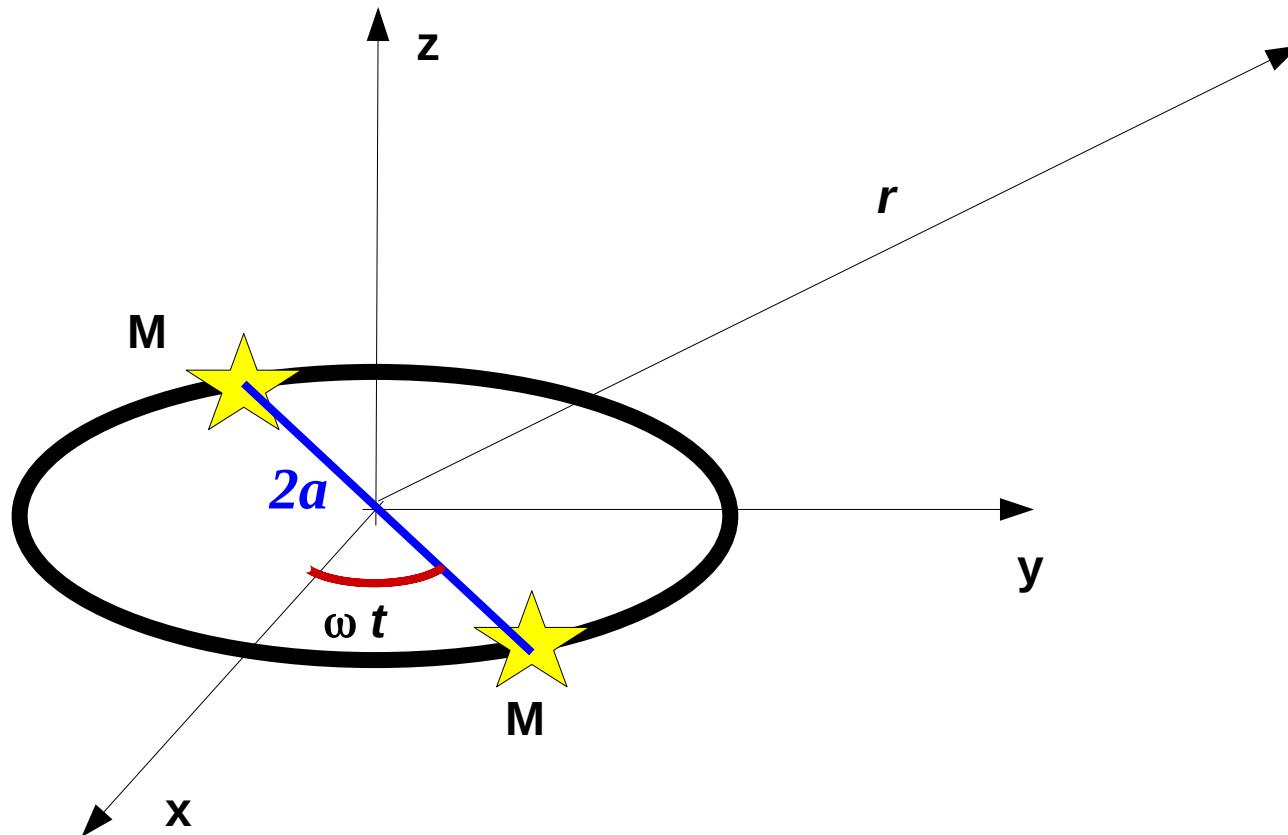
Retarded time

- not all accelerating masses produce gravitational waves,
but only those with non-zero QUADRUPOLE moment of mass
- for a gravitational wave to form, there must be an
ASYMMETRY IN MASS DISTRIBUTION

Binary system:

Now we restrict to the interesting case of a binary system

Two stars of equal mass M orbiting about each other with CIRCULAR orbit and relative distance (= semi-major axis) a



Binary system:

For the assumed geometry: $x(t) = a \cos(\omega t)$, $y(t) = a \sin(\omega t)$, $z(t) = 0$

The second mass moment is

$$\begin{aligned} I^{xx} &= 2 M a^2 \cos^2 (\omega t) = M a^2 [1 + \cos (2 \omega t)] \\ I^{xy} &= 2 M a^2 \sin (\omega t) \cos \omega t = M a^2 \sin (2 \omega t) \\ I^{yy} &= 2 M a^2 \sin^2 (\omega t) = M a^2 [1 - \cos (2 \omega t)] \\ I^{zz} &= I^{zx} = I^{zy} = 0 \end{aligned}$$

By taking the second derivatives and considering retarded time:

$$\bar{h}^{ij} \sim -\frac{2}{r} \frac{G}{c^4} (2\omega)^2 M a^2 \begin{pmatrix} \cos(2\omega(t-r/c)) & \sin(2\omega(t-r/c)) & 0 \\ \sin(2\omega(t-r/c)) & -\cos(2\omega(t-r/c)) & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Using Newton description of binary star: $\omega^2 = \frac{G 2 M}{a^3}$

We find

$$\bar{h}^{ij} \sim -\frac{16 G^2 M^2}{c^4 r a} \begin{pmatrix} \cos(2\omega(t-r/c)) & \sin(2\omega(t-r/c)) & 0 \\ \sin(2\omega(t-r/c)) & -\cos(2\omega(t-r/c)) & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Binary system:

Gravitational waves for a circular, equal-mass binary system:

$$\bar{h}^{ij} \sim -\frac{16 G^2 M^2}{c^4 r a} \begin{pmatrix} \cos(2\omega(t - r/c)) & \sin(2\omega(t - r/c)) & 0 \\ \sin(2\omega(t - r/c)) & -\cos(2\omega(t - r/c)) & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

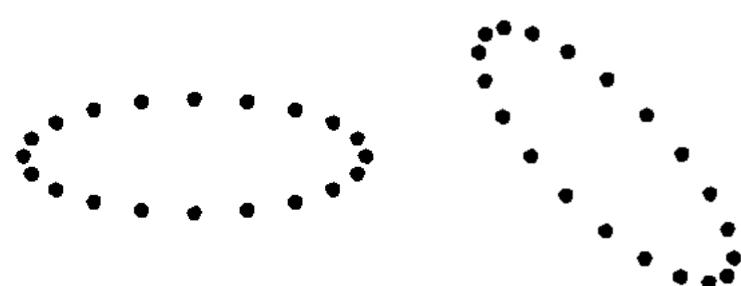
1. GRAVITATIONAL WAVES from a BINARY STAR are MONOCHROMATIC with frequency = 2 orbital frequency

$$\omega_{GW} = 2\omega_{orb} = 2\sqrt{\frac{G(m_1 + m_2)}{a^3}}$$

**2. Two POLARIZATIONS, PLUS and CROSS
(general, not only for binaries)**

$$h_+ \sim \frac{16 G^2 M^2}{c^4 r a} \cos(2\omega(t - r/c))$$

$$h_\times \sim \frac{16 G^2 M^2}{c^4 r a} \sin(2\omega(t - r/c))$$



Binary system:

3. GRAVITATIONAL WAVE AMPLITUDE

$$h = \frac{1}{2} (h_+^2 + h_\times^2)^{0.5} \sim \frac{8 G^2 M^2}{c^4 r a}$$

$$h \sim 10^{-21} \left(\frac{M}{M_\odot} \right)^2 \left(\frac{1 \text{ kpc}}{r} \right) \left(\frac{1 R_\odot}{a} \right)$$

or in terms of period $P^2 = (2\pi)^2 \frac{a^3}{G 2 M}$  $h \sim \frac{8 (2\pi)^{1/3} G^{5/3}}{c^4} \frac{M^{5/3}}{r P^{2/3}}$

$$h \sim 10^{-21} \left(\frac{M}{M_\odot} \right)^{5/3} \left(\frac{1 \text{ kpc}}{r} \right) \left(\frac{1 \text{ h}}{P} \right)^{2/3}$$

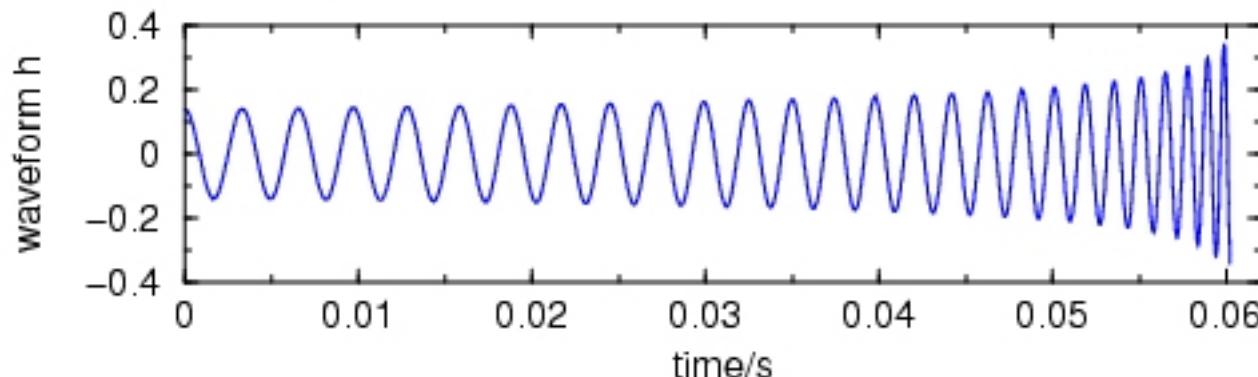
AMPLITUDE of GWs from binary systems:

$$\textcircled{h} = \frac{1}{2} (h_+^2 + h_\times^2)^{0.5} \sim \frac{8 G^2 M^2}{c^4 r a}$$

* the bigger the amplitude (strain), the easier the detection

Gravitational Wave of Compact Binary Inspiral

$m_1=1.75 \text{ Msun}$, $m_2=2.25 \text{ Msun}$, start $f=150\text{Hz}$, coalescence: $f=635\text{Hz}$



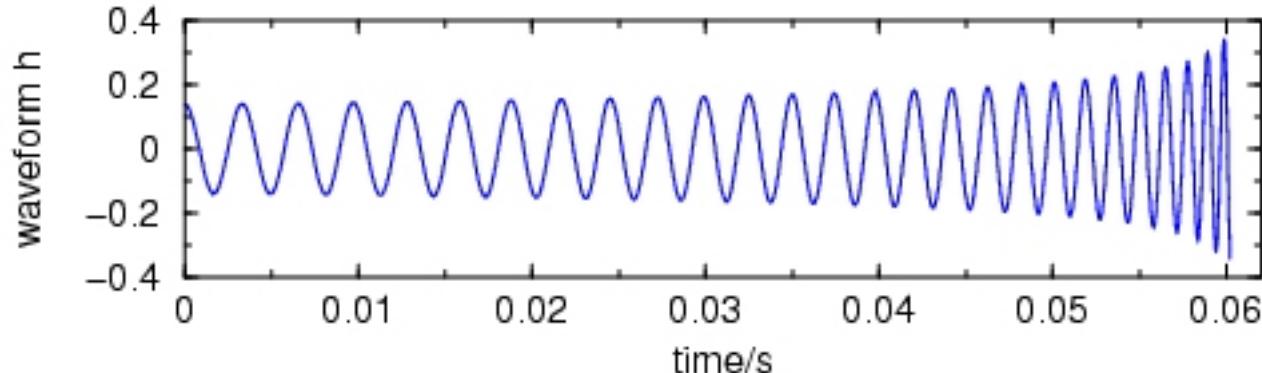
AMPLITUDE of GWs from binary systems:

$$h = \frac{1}{2} (h_+^2 + h_\times^2)^{0.5} \sim \frac{8 G^2 M^2}{c^4} \frac{a}{r}$$

- * the bigger the amplitude (strain), the easier the detection
- * the farther the binary, the smaller the amplitude

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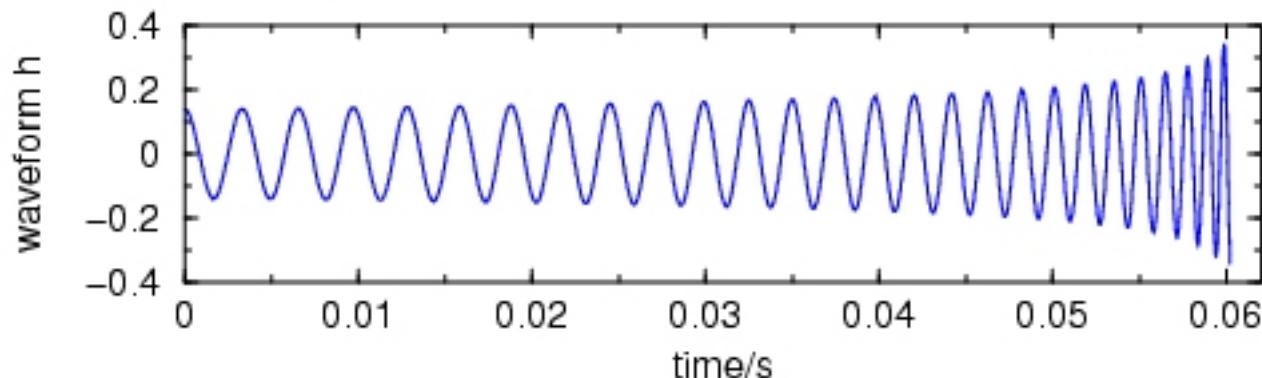
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- * the larger the masses, the larger the amplitude

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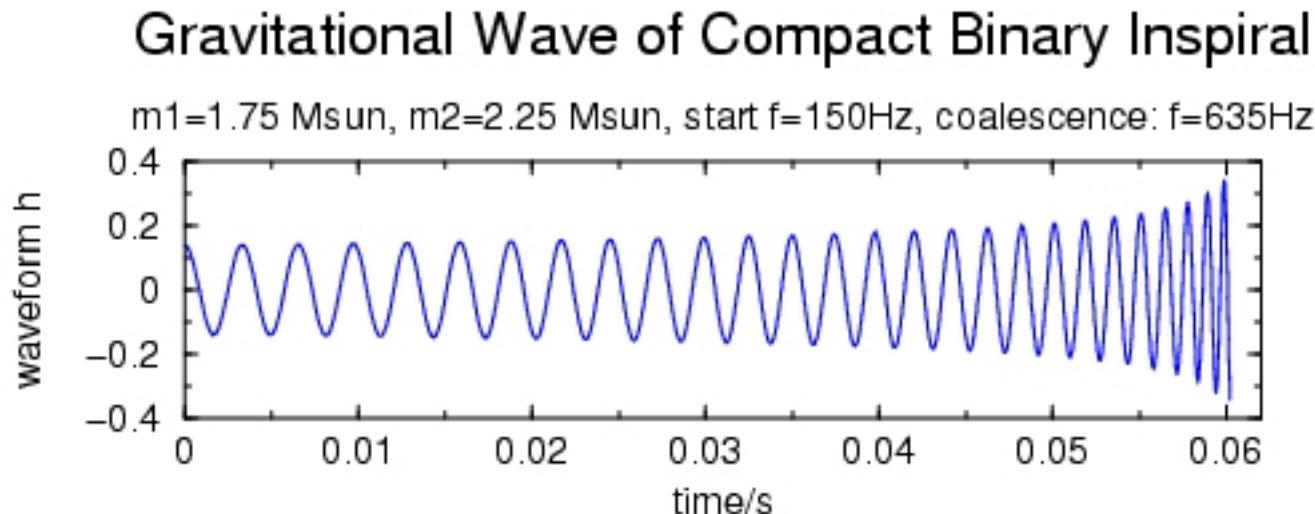
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AMPLITUDE of GWs from binary systems:

$$h = \frac{1}{2} (h_+^2 + h_\times^2)^{0.5} \sim \frac{8 G^2 M^2}{c^4} \frac{r}{a}$$

- * the bigger the amplitude (strain), the easier the detection
- * the farther the binary, the smaller the amplitude
- * the larger the masses, the larger the amplitude
- * the smaller the semi-major axis, the larger the amplitude



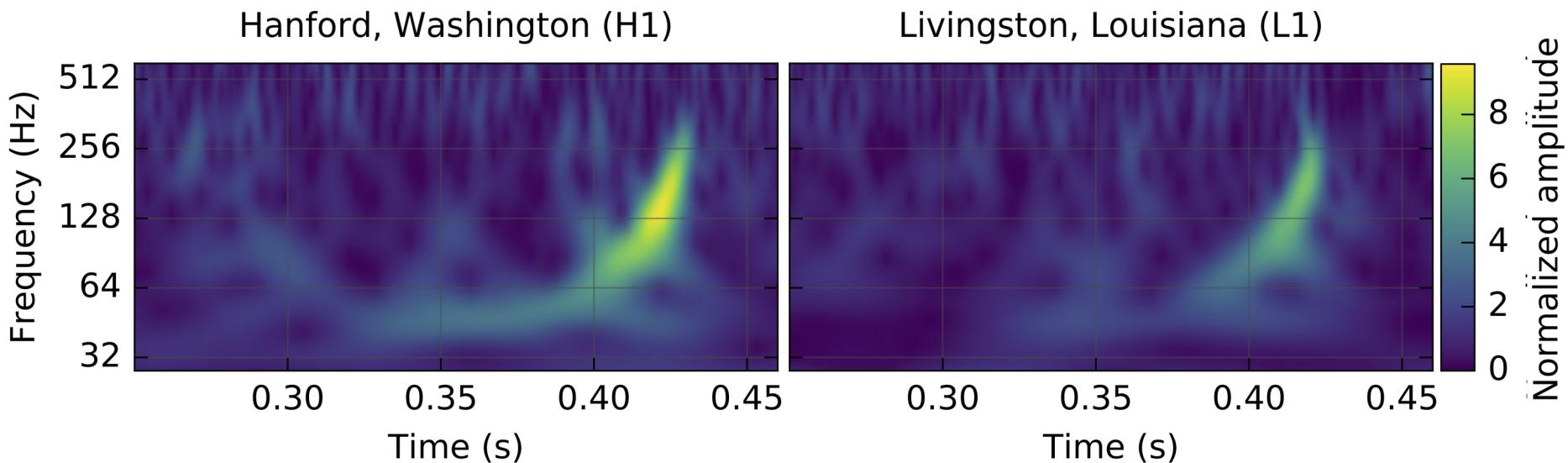
EMISSION of GWs implies LOSS of ORBITAL ENERGY:

**THE BINARY SHRINKS WHILE
EMITTING GWs TILL IT MERGES**

$$E_{orb} = -\frac{G m_1 m_2}{2 a}$$

– If the binary shrinks ($a \rightarrow 0$), frequency becomes higher

$$\omega_{GW} = 2 \omega_{orb} = 2 \sqrt{\frac{G (m_1 + m_2)}{a^3}}$$



EMISSION of GWs implies LOSS of ORBITAL ENERGY:

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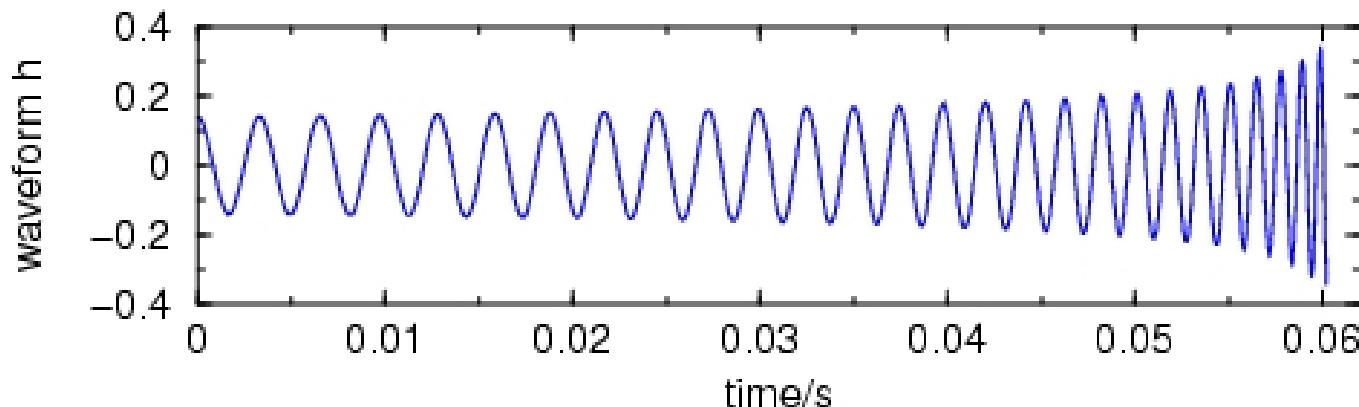
$$E_{orb} = -\frac{G m_1 m_2}{2 a}$$

- If the binary shrinks ($a \rightarrow 0$), frequency becomes higher
- If the binary shrinks amplitude increases

$$h \propto \frac{1}{a}$$

Gravitational Wave of Compact Binary Inspiral

$m_1=1.75 \text{ Msun}$, $m_2=2.25 \text{ Msun}$, start $f=150 \text{ Hz}$, coalescence: $f=635 \text{ Hz}$



EMISSION of GWs implies LOSS of ORBITAL ENERGY:

Power radiated by GWs (circular binary):

From GR $P_{GW} = \frac{32}{5} \frac{G^4}{c^5} \frac{1}{a^5} m_1^2 m_2^2 (m_1 + m_2)$

$$P_{GW} = \frac{dE_{orb}}{dt} = \frac{G m_1 m_2}{2 a^2} \frac{da}{dt}$$

**From Kepler
and Newton**

→ $\frac{da}{dt} = \frac{64}{5} \frac{G^3}{c^5} a^{-3} m_1 m_2 (m_1 + m_2)$

Integrating differential equation:

$$t_{GW} = \frac{5}{256} \frac{c^5}{G^3} \frac{a^4}{m_1 m_2 (m_1 + m_2)}$$

Timescale for a system to merge by GW emission

Timescale extremely long

$$t_{GW} = \frac{5}{256} \frac{c^5}{G^3} \frac{a^4}{m_1 m_2 (m_1 + m_2)}$$

EXERCISE: calculate t_{GW} for 2 neutron stars
with mass equal to the Sun mass (1 Msun)
orbiting at the distance
between Sun and Earth (1 AU)

If $m_1 = m_2 = M_{\text{Sun}}$, $a = 1 \text{ AU}$, eccentricity = 0

$$\rightarrow t_{GW} \sim 2 \times 10^{17} \text{ yr}$$

Life of the Universe $\sim 13 \times 10^9 \text{ yr}$

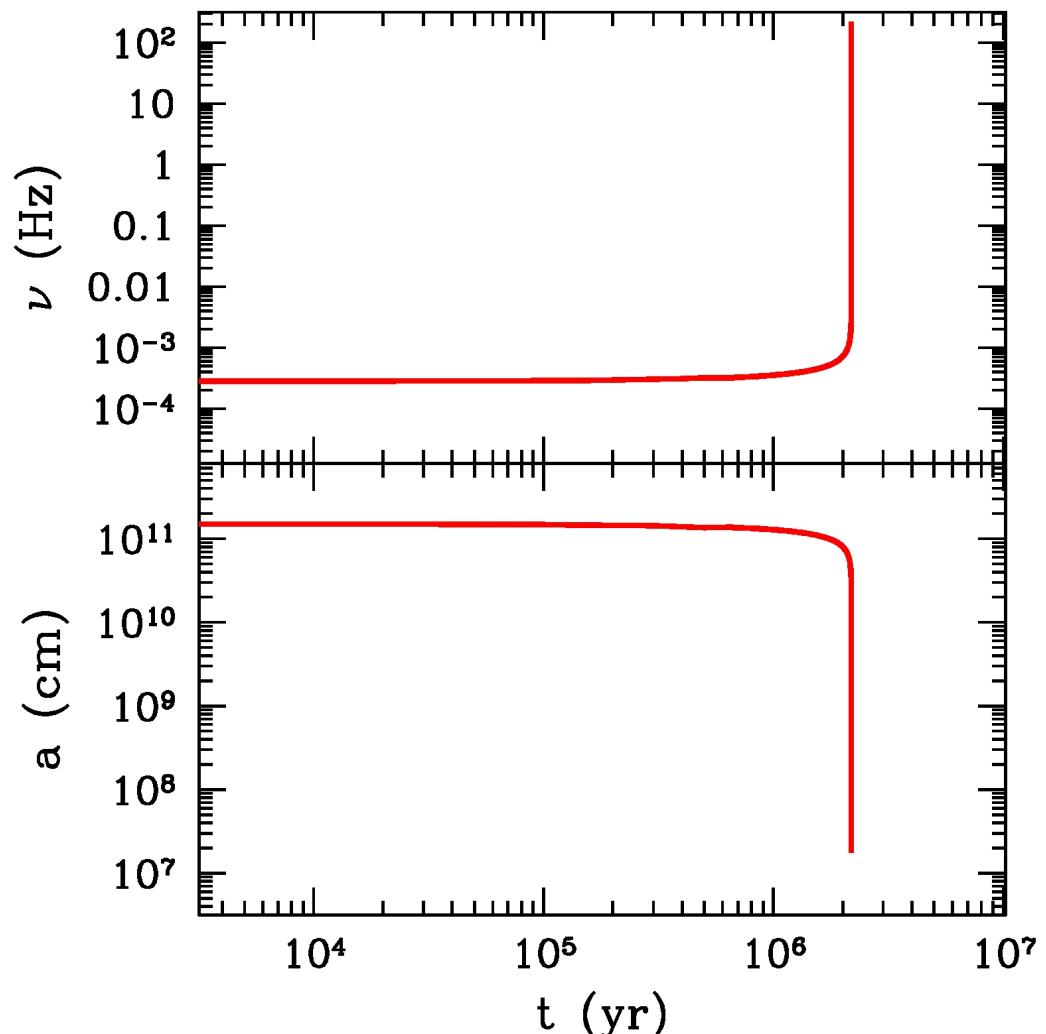
$$\frac{da}{dt} = \frac{64}{5} \frac{G^3}{c^5} a^{-3} m_1 m_2 (m_1 + m_2)$$

→ $a(t) = a_0 \left[1 - \frac{256/5 G^3 m_1 m_2 (m_1 + m_2) t}{c^5 a_0^4} \right]^{1/4}$

m1 = m2 = 10 Msun
a₀ = 0.01 AU
ecc = 0

Note:
above formula neglects evolution
of eccentricity via GW emission

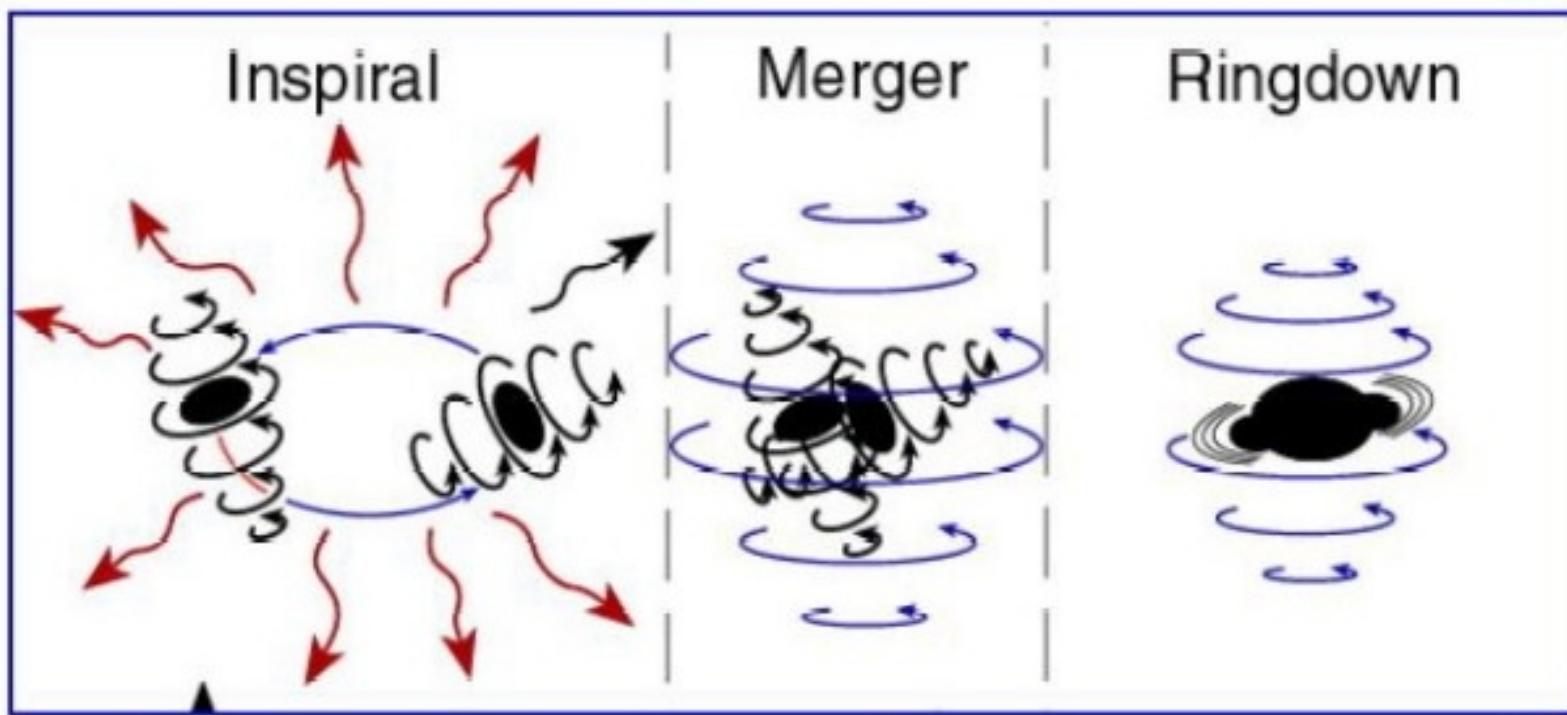
correct only if initial eccentricity is
zero

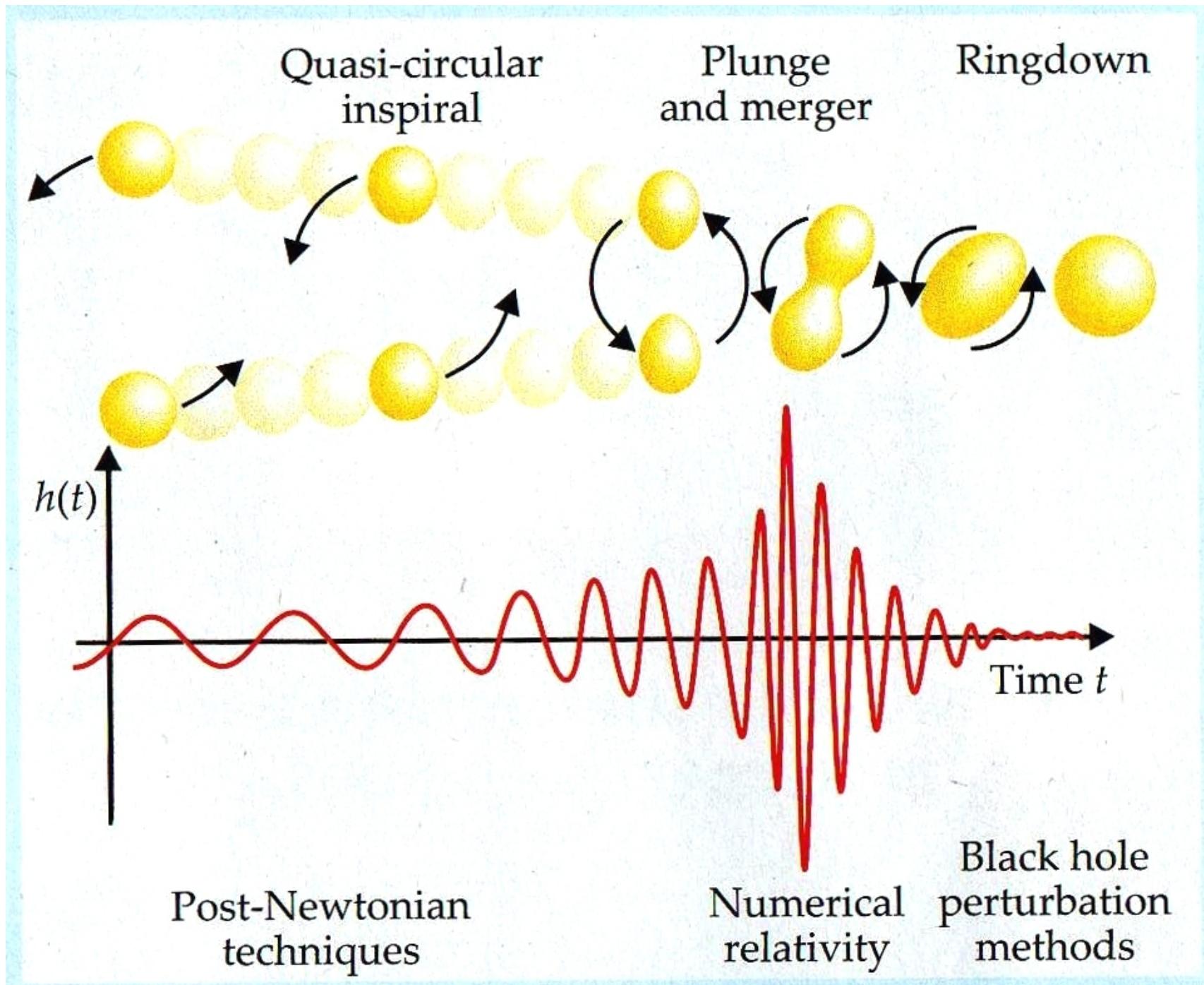


Previous equations are not always true!

Only before merger when binary can be considered Keplerian

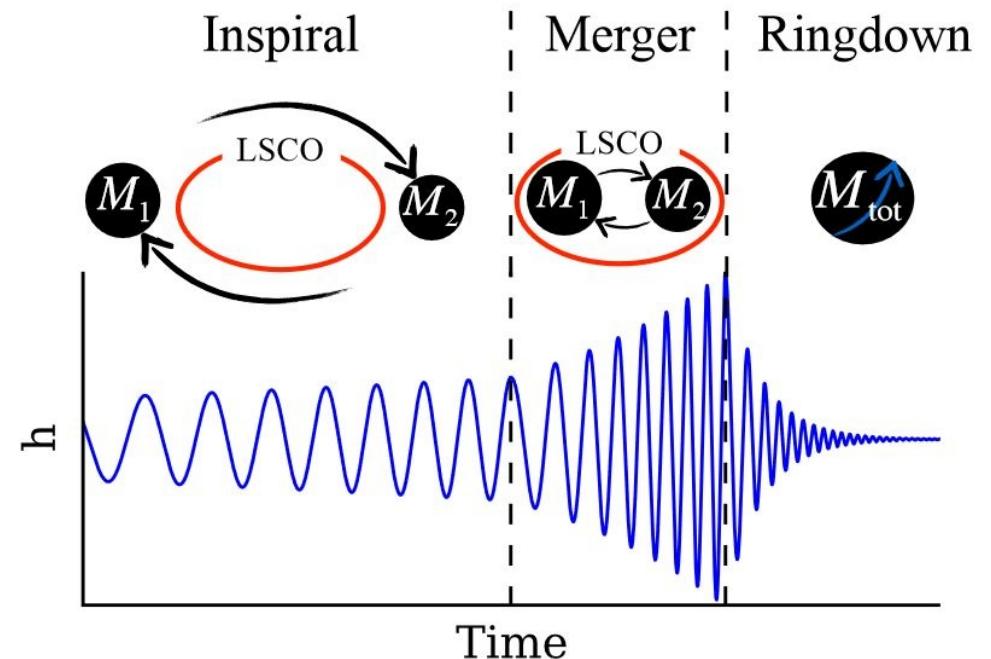
i.e. only during inspiral





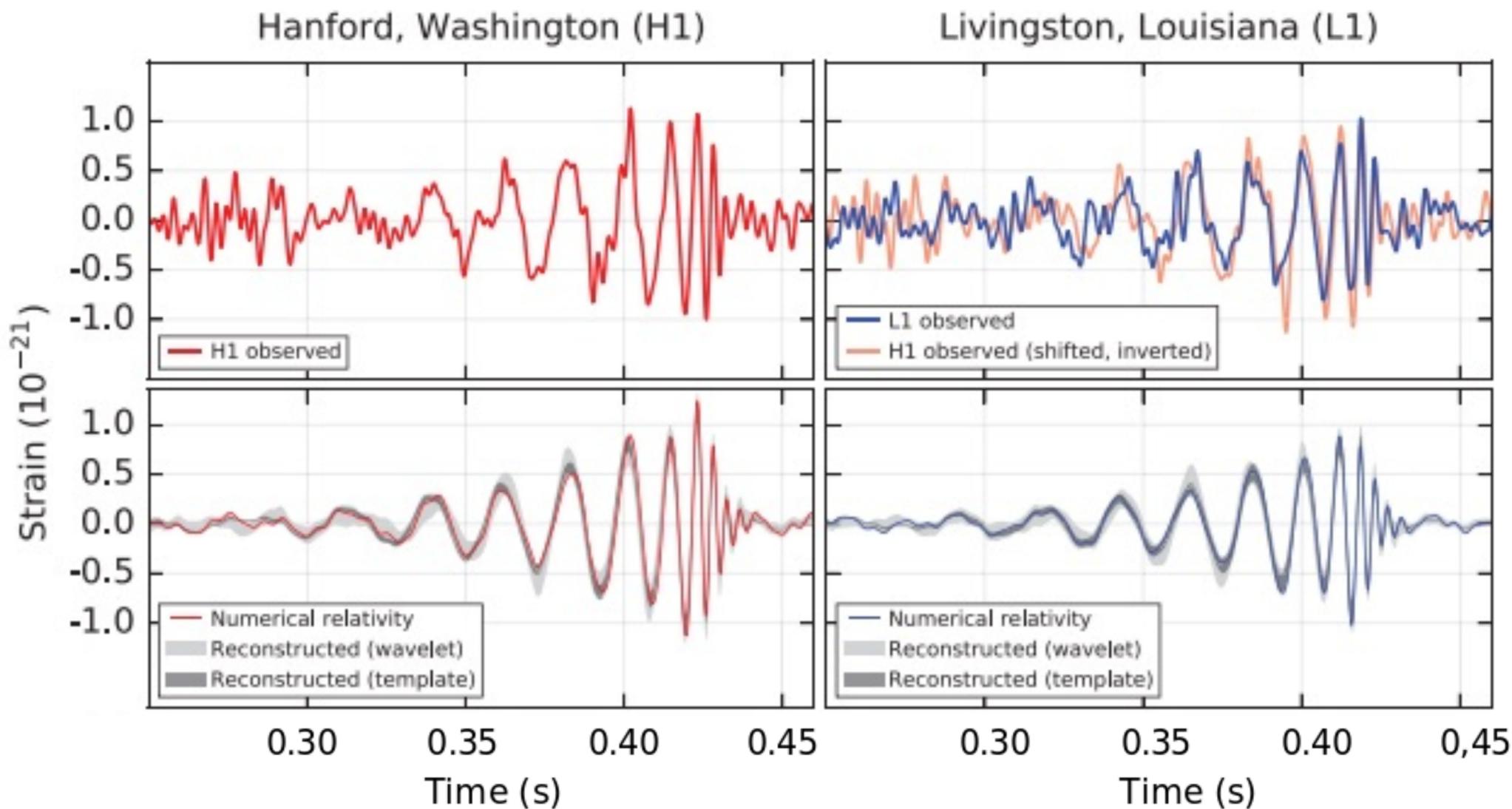
**Simple way to estimate frequency at merger:
Last stable circular orbit around a non-spinning black hole**

$$r_{\text{LSCO}} = 6 \frac{G (m_1 + m_2)}{c^2}$$



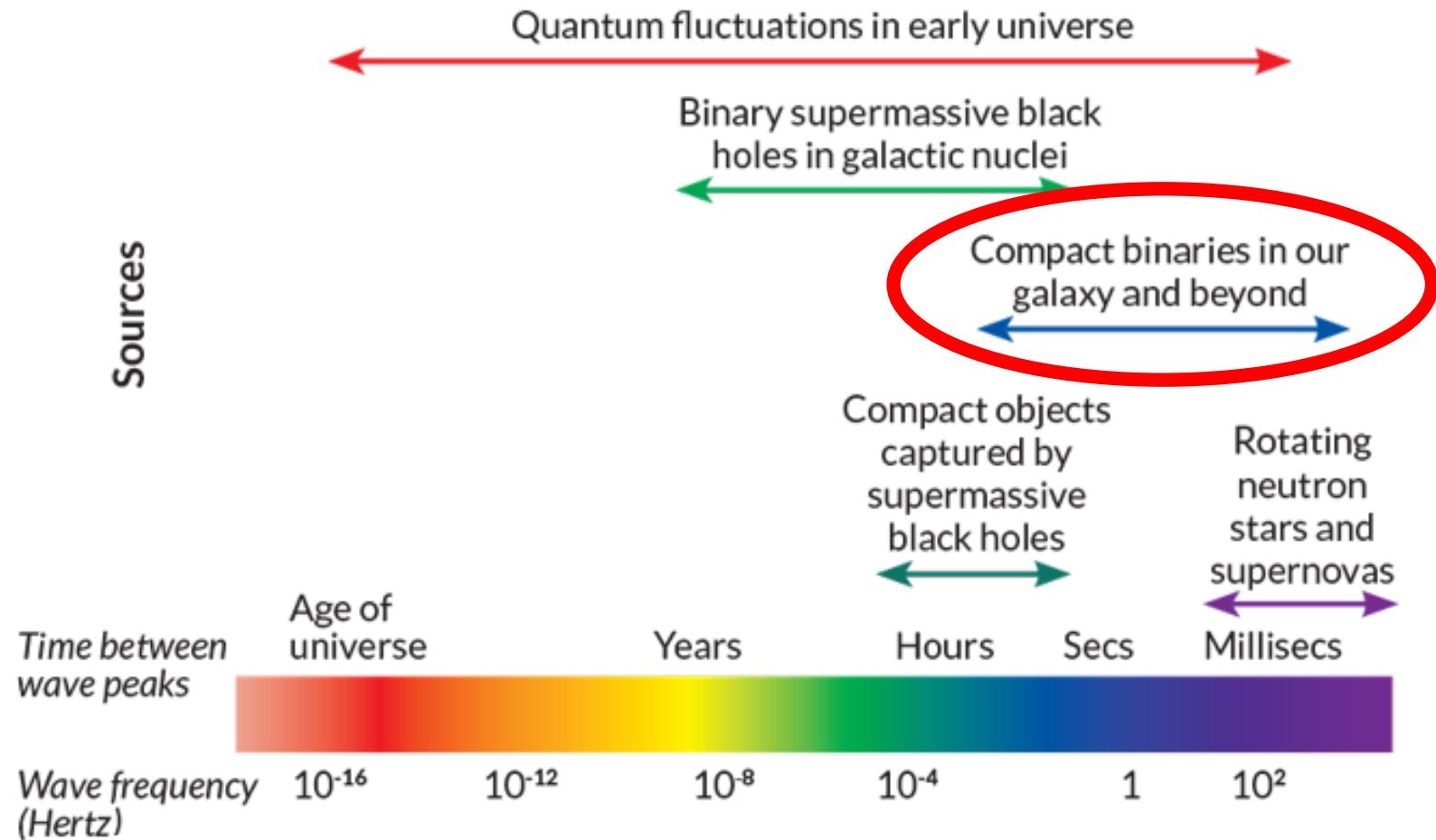
$$\omega_{\text{GW,LSCO}} = 2 \sqrt{\frac{G (m_1 + m_2)}{r_{\text{LSCO}}^3}} = \frac{2 c^3}{6^{3/2} G (m_1 + m_2)}$$

$$\omega_{\text{GW,LSCO}} = 460 \text{ Hz} \frac{60 M_{\text{sun}}}{(m_1 + m_2)}$$



Abbott et al. 2016

What are the astrophysical objects with non-zero quadrupole?

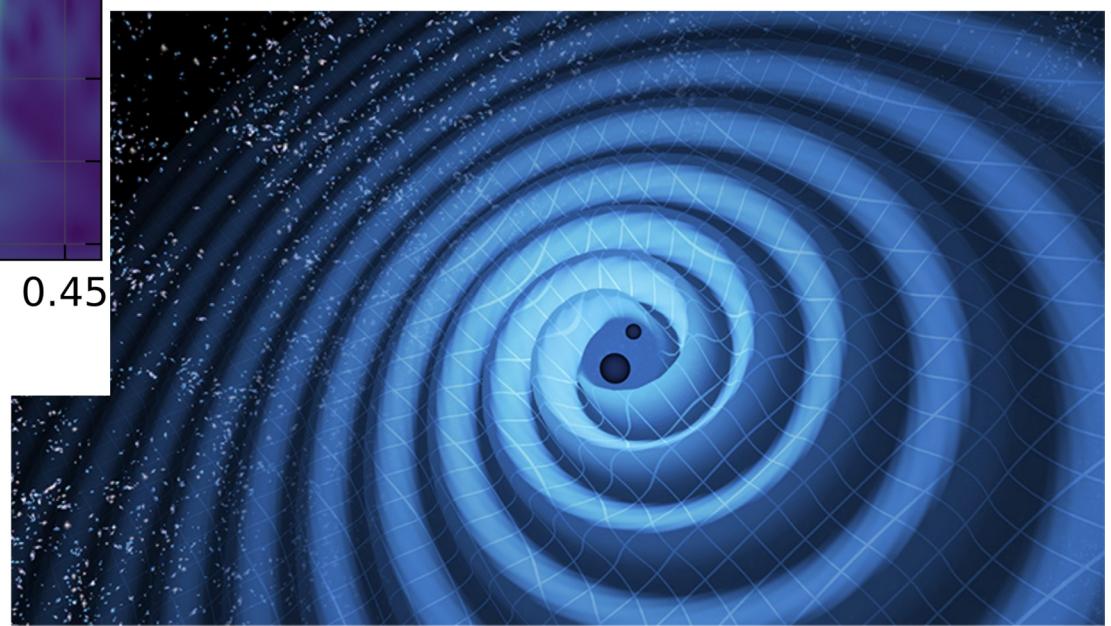
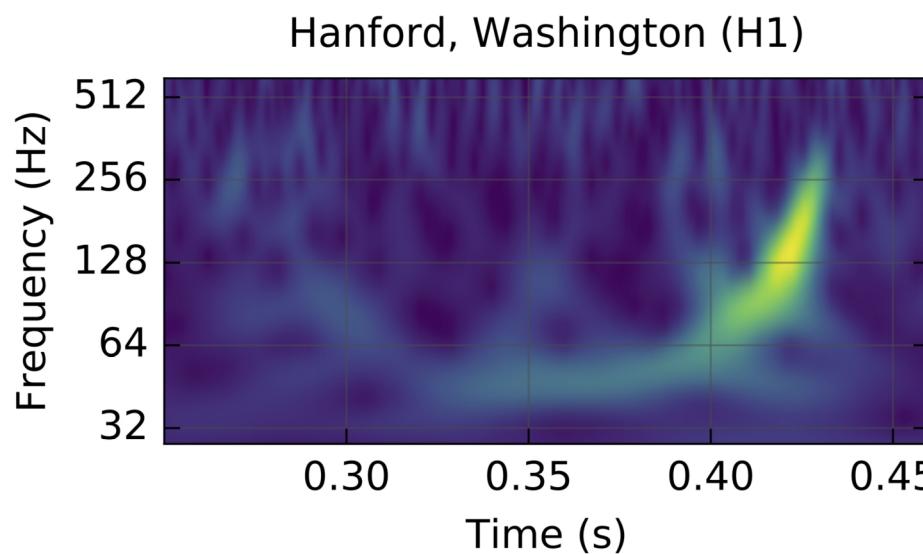


Compact binaries will be the focus of this course!

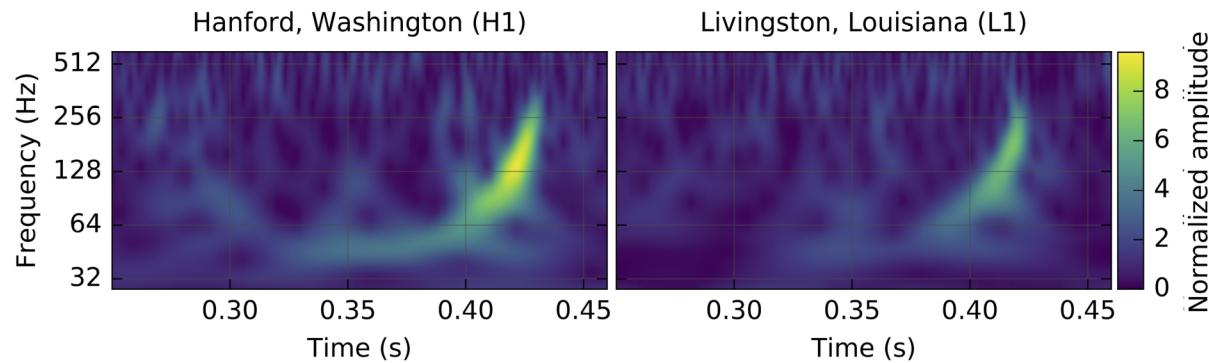
References:

- * **James B. Hartle, Gravity: An Introduction to Einstein's General Relativity, Pearson**
- * **Jolien D. E. Creighton & Warren G. Anderson, Gravitational-Wave Physics and Astronomy: An Introduction to Theory, Experiment and Data Analysis, Wiley Series in Cosmology**

Observational Facts



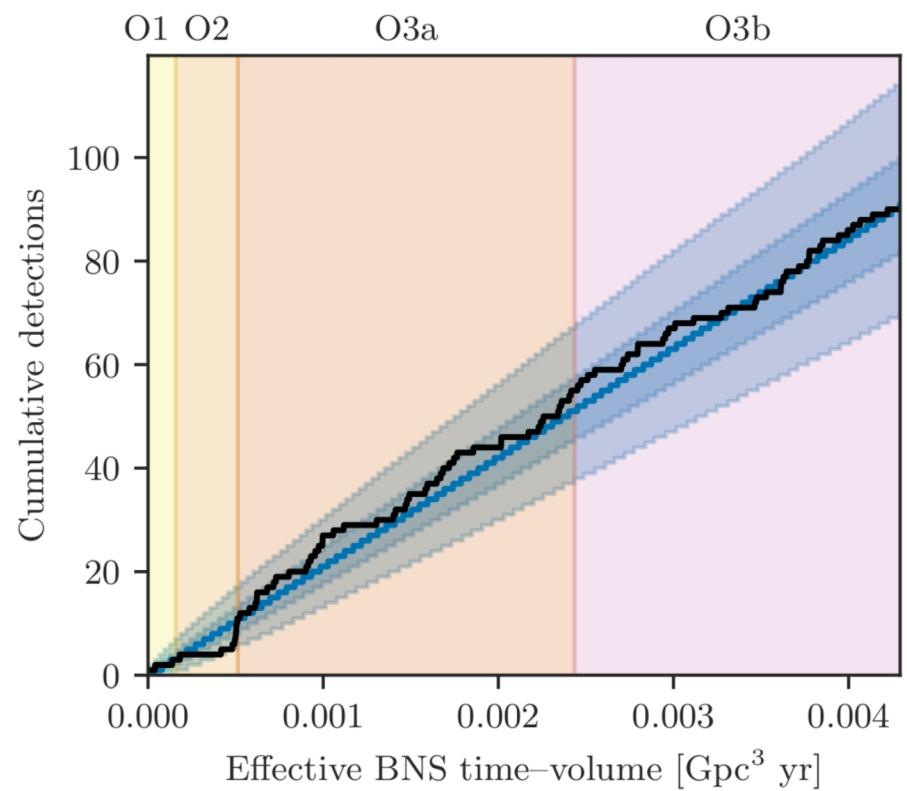
GW150914: the first binary black hole (BBH)

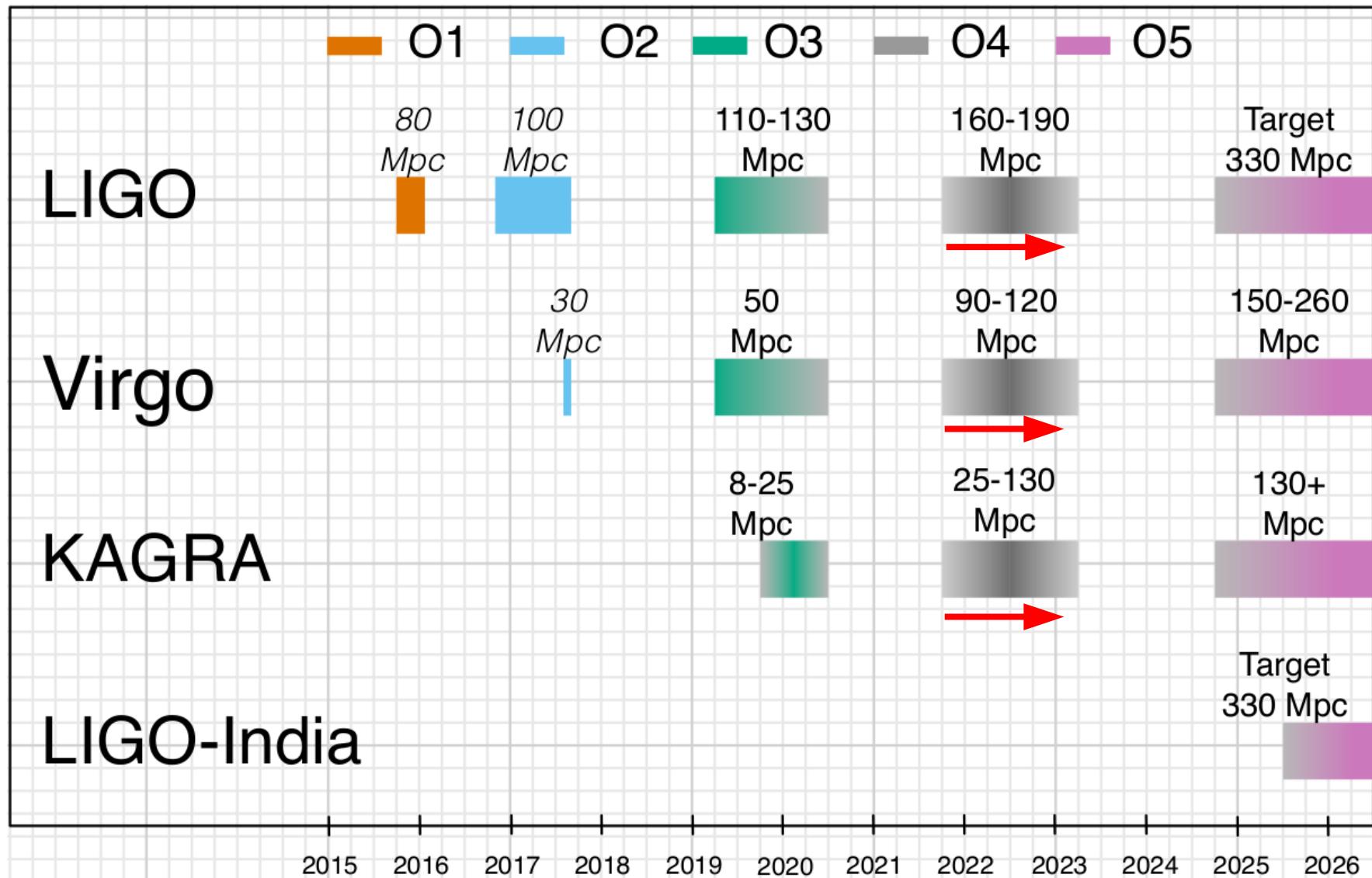


Abbott et al. 2016, PhRvL, 116, 1102

O1 + O2 + O3:
90 GW event candidates
most of them BBHs

(Abbott et al. 2021, GWTC-2;
Abbott et al. 2022, GWTC-2.1;
Abbott et al. 2022, GWTC-3)





See Abbott et al. 2019, Observing scenarios paper
<https://dcc.ligo.org/LIGO-P1200087-V57/public>

15 Observables (+ eccentricity):

- { - 2 masses
- 6 spin components
- redshift of merger
- polarization
- inclination of binary wrt interferometers
- 2 sky positions (RA, DEC)
- reference time
- phase at a reference time

Mass and Spins:

black holes (BHs) are uniquely defined by mass & spin
(electric charge deemed to be negligible)

Masses:

If black hole (BH) is in binary system, we have 2 masses:

m_1, m_2 = Mass of first BH, Mass of second BH

but LIGO-Virgo measured two combinations of m_1, m_2 :

Chirp mass:

$$m_{\text{chirp}} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$$

change of frequency during inspiral scales with it

Total mass:

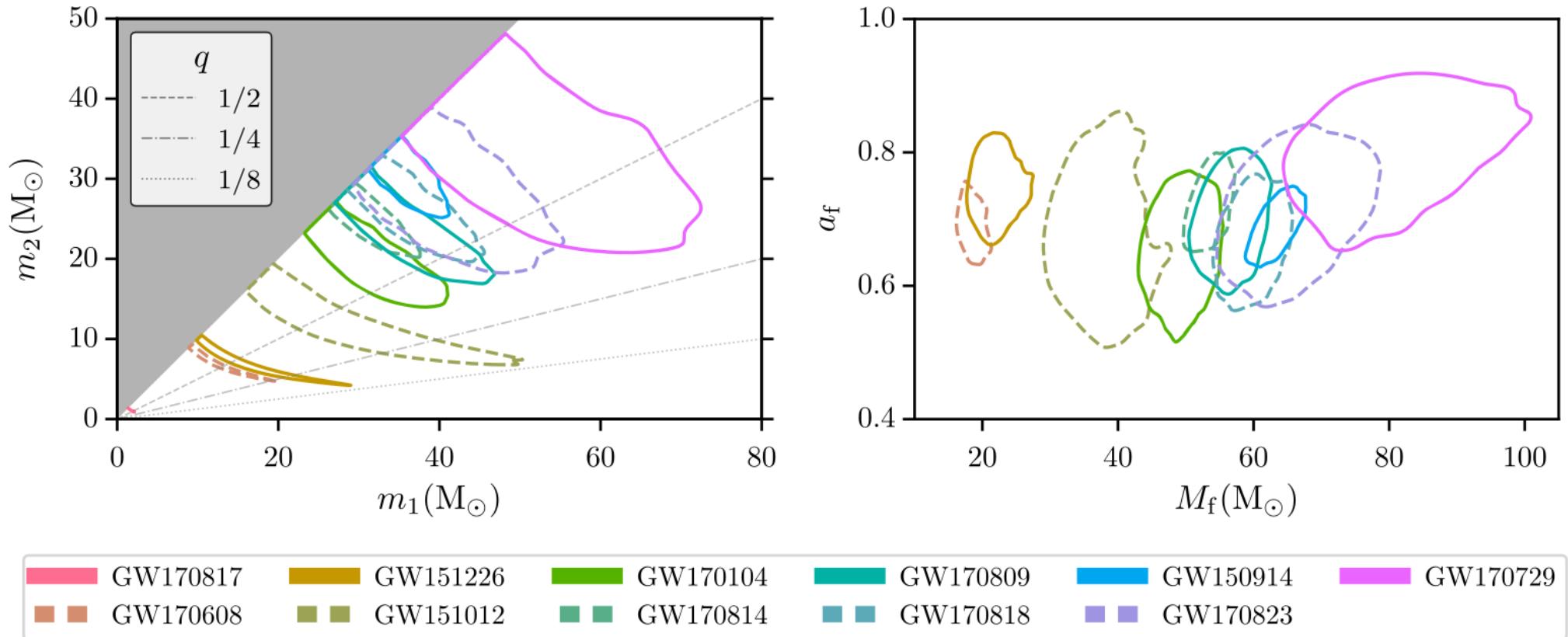
$$M = (m_1 + m_2)$$

frequency at merger scales with it

Other relevant mass (for phase of GWs): mass ratio

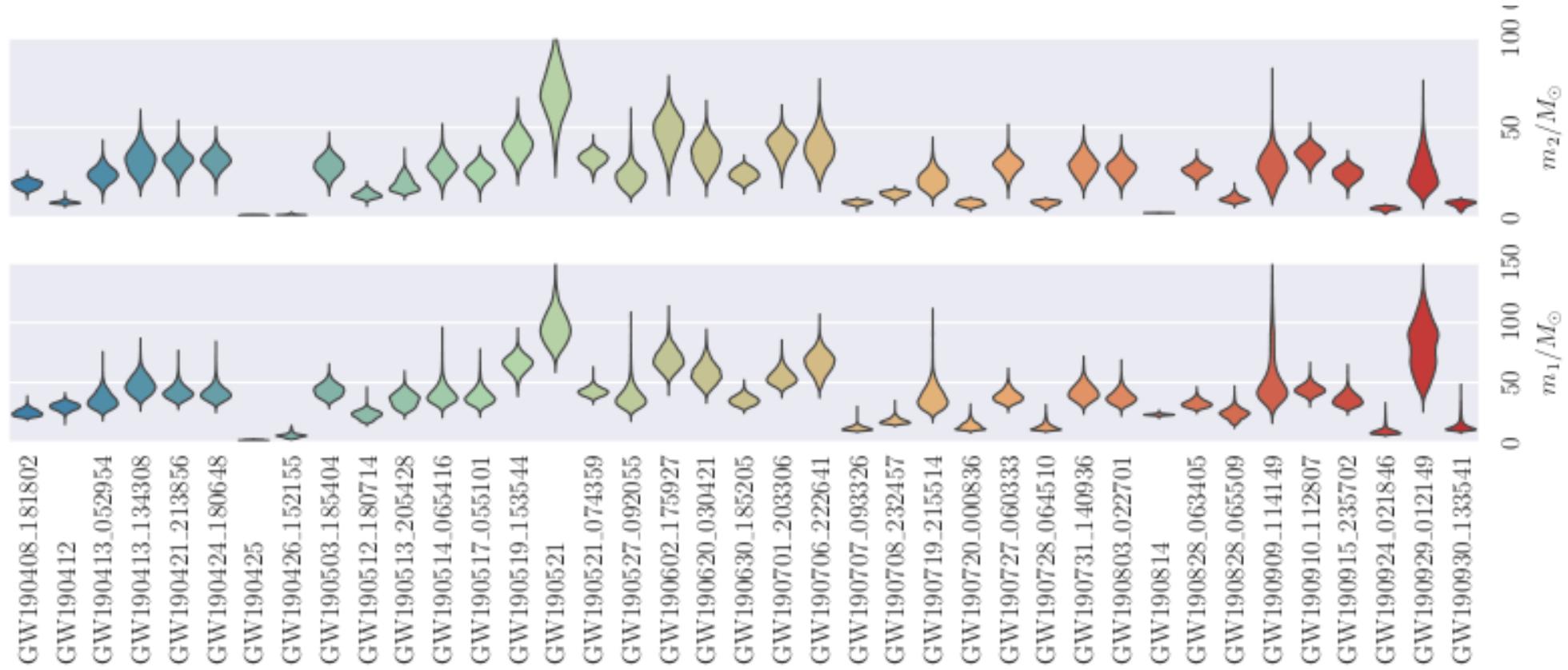
$$q = \frac{m_2}{m_1}$$

Masses in the first GW transient catalog (GWTC-1):



Abbott et al. 2019, GWTC1, <https://ui.adsabs.harvard.edu/abs/2019PhRvX...9c1040A/>

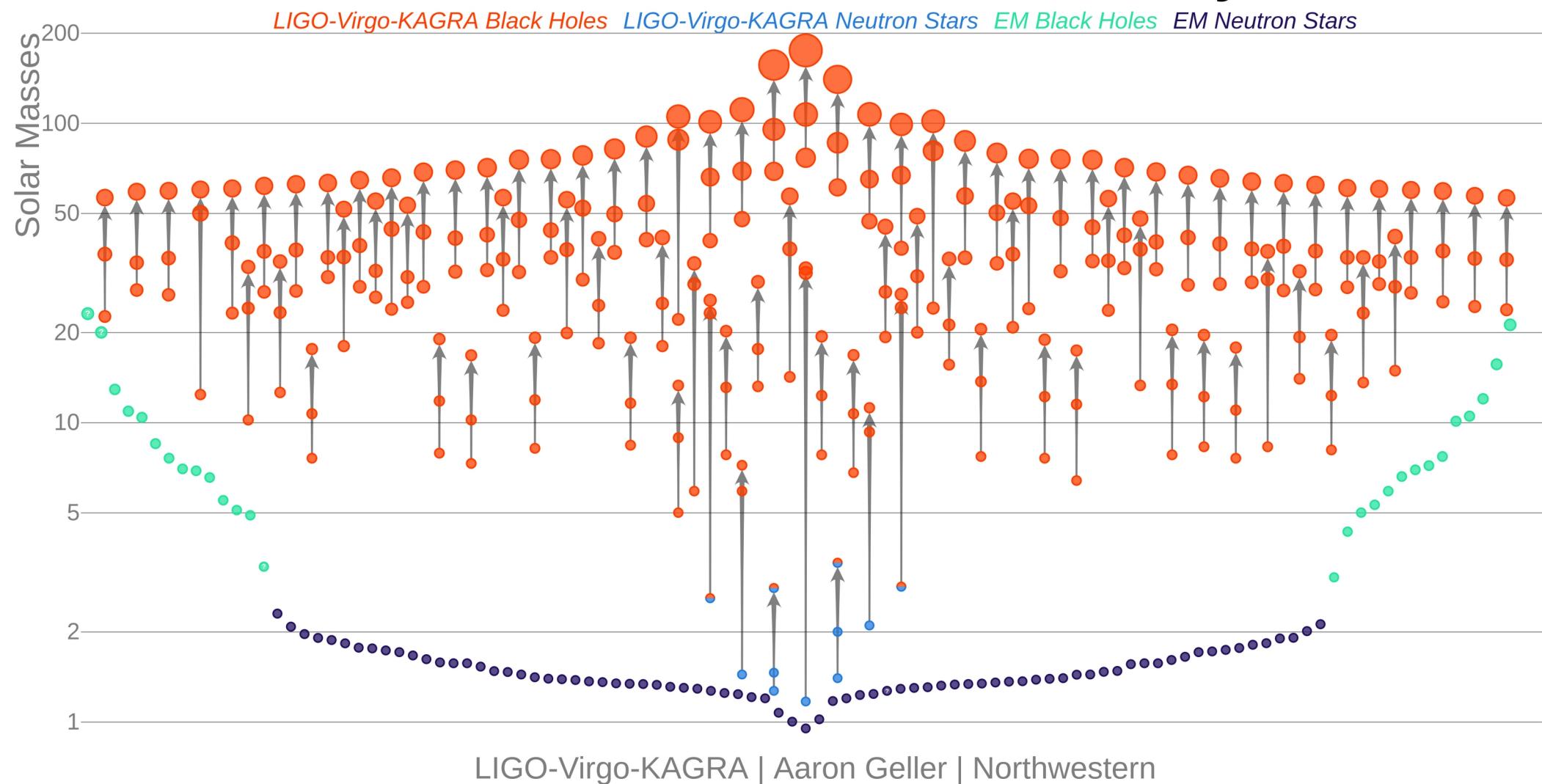
Masses in the second GW transient catalog (GWTC-2):



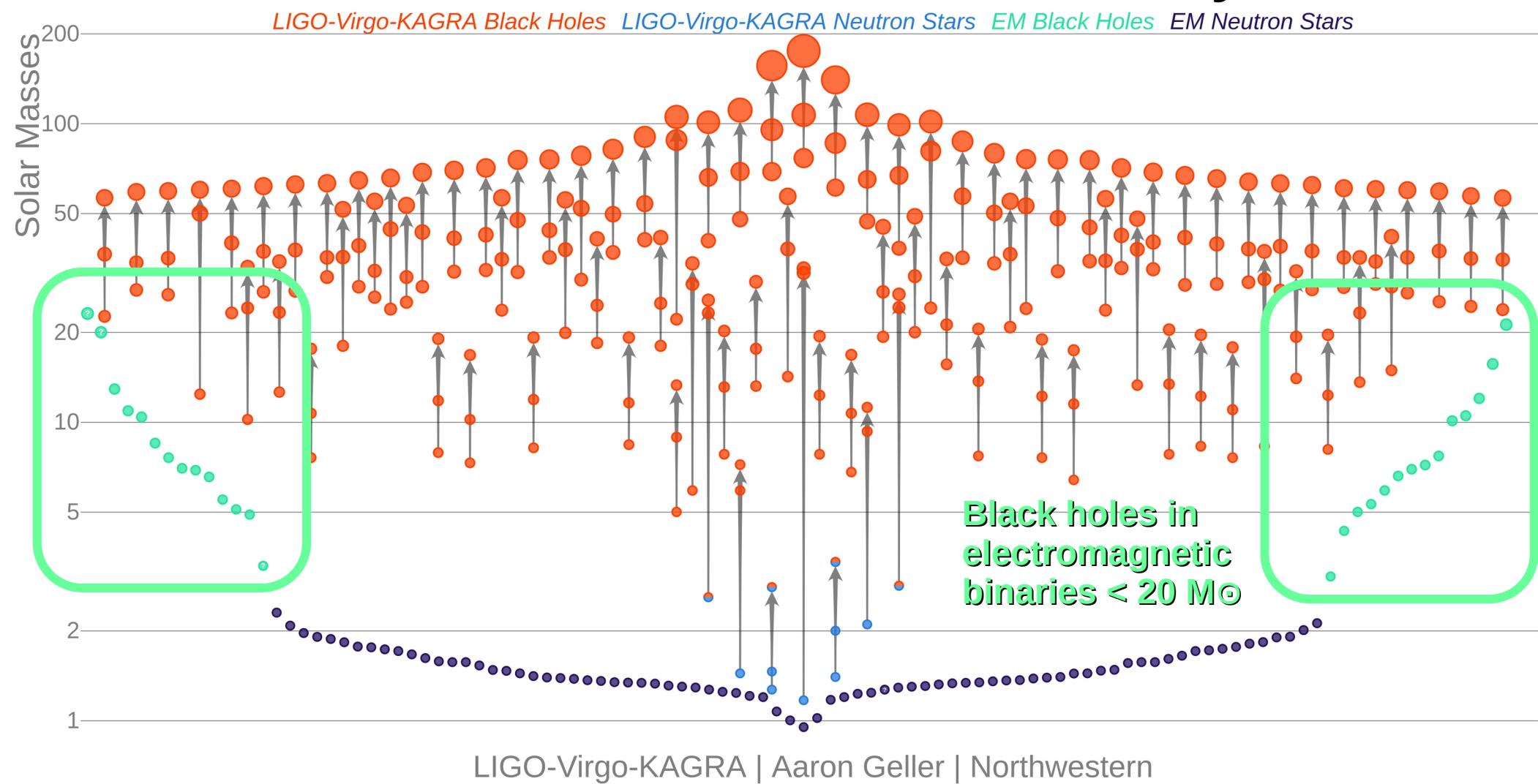
Masses of the 39 new event candidates in O3a

Abbott et al. 2020, GWTC-2, 2020, <https://arxiv.org/abs/2010.14527>

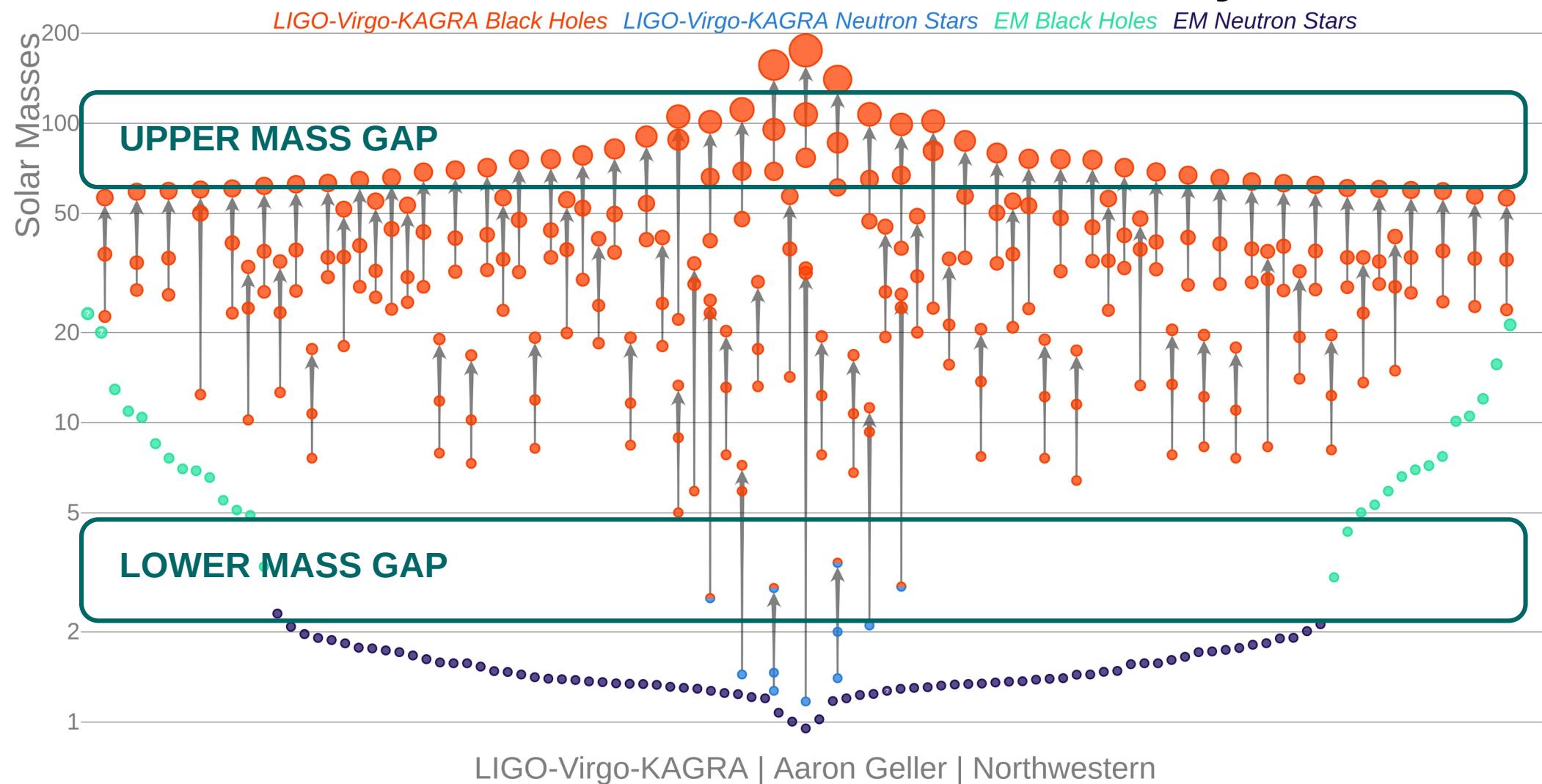
Masses in the Stellar Graveyard



Masses in the Stellar Graveyard



Masses in the Stellar Graveyard

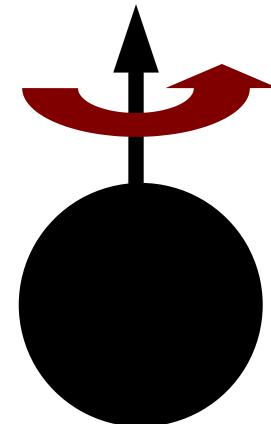


Spins:

$$\vec{\chi} = \frac{\vec{J} c}{G m_{\text{BH}}^2}$$

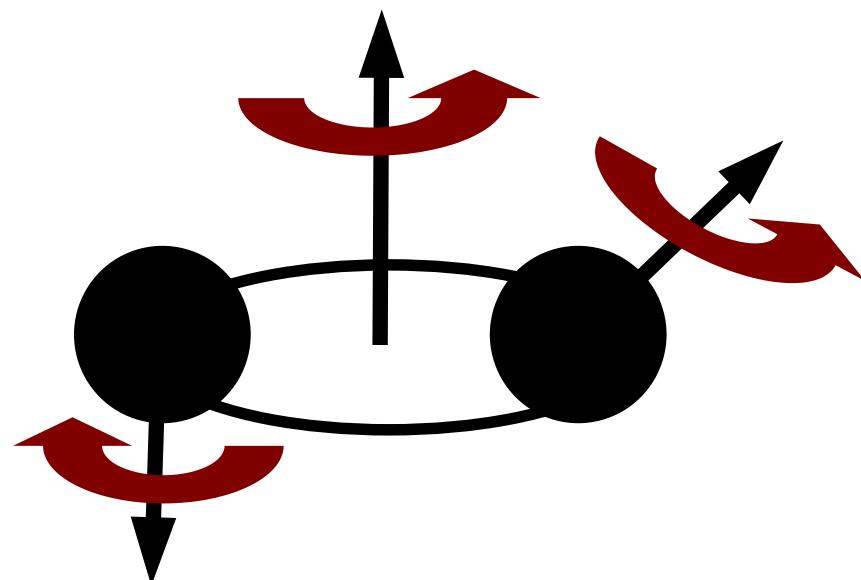
$\chi = 0 \rightarrow$ Schwarzschild BH

$\chi = 0.998 \rightarrow$ Maximally Rotating BH



If black hole is in binary
we have 6 spin components:

3 per each black hole



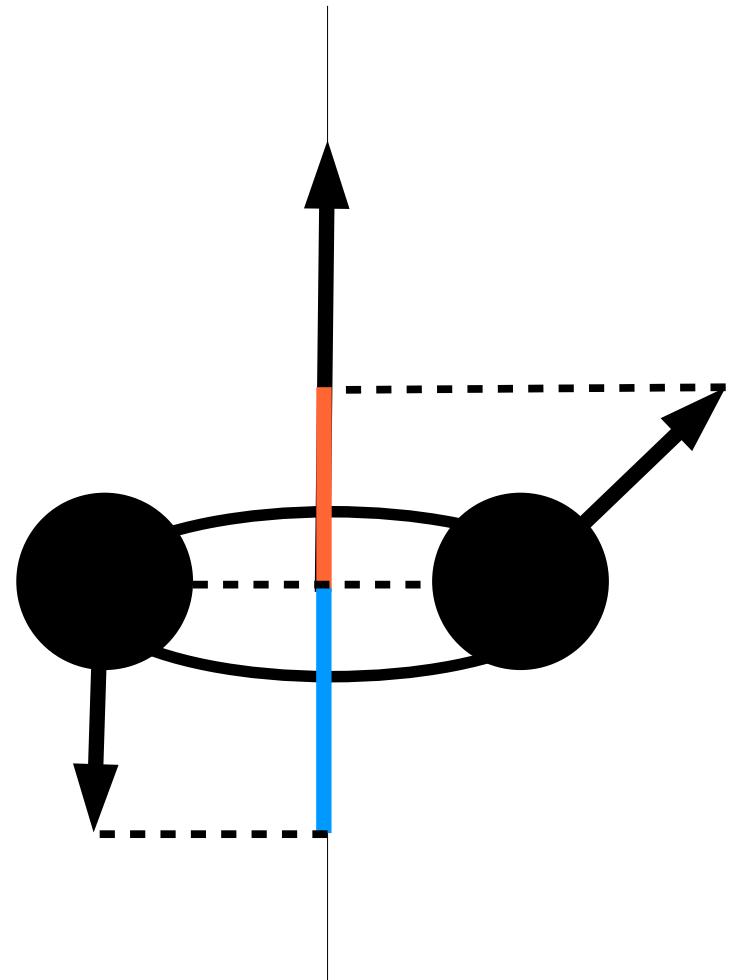
Spins:

LIGO – Virgo not enough to measure 6 spins, hence alternative params

1. EFFECTIVE SPIN:

$$\chi_{\text{eff}} = \frac{(m_1 \vec{\chi}_1 + m_2 \vec{\chi}_2)}{(m_1 + m_2)} \cdot \frac{\vec{L}}{L}$$

$$-1 \leq \chi_{\text{eff}} \leq 1$$



Spins:

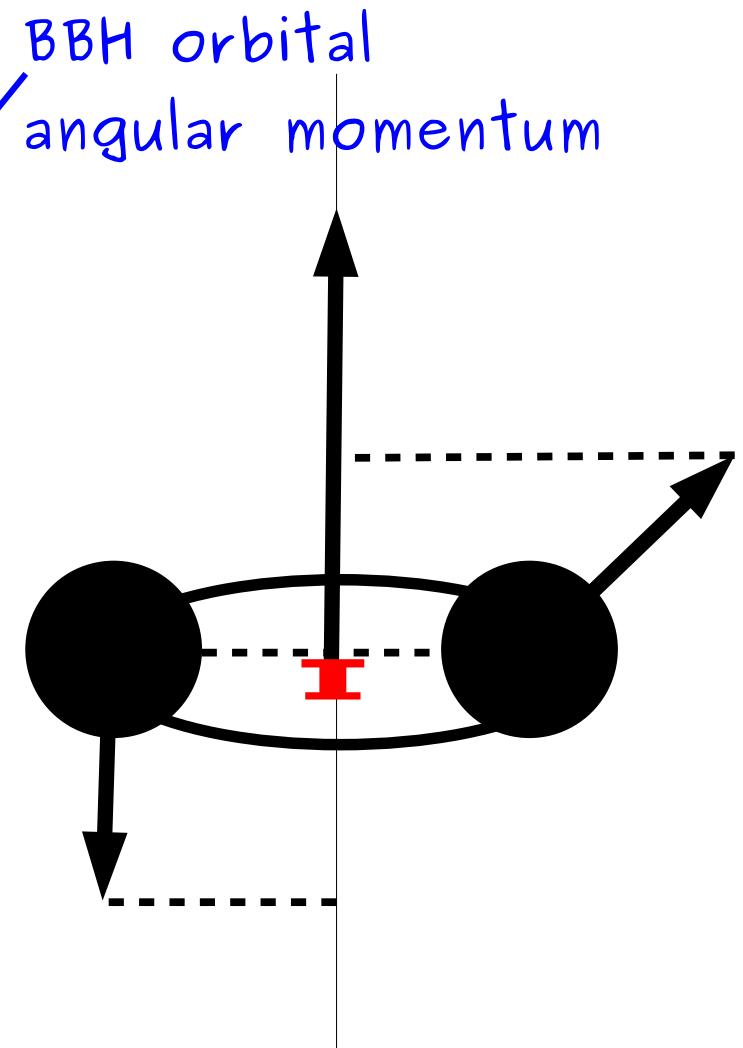
LIGO – Virgo not enough to measure 6 spins, hence alternative params

1. EFFECTIVE SPIN:

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$$-1 \leq \chi_{\text{eff}} \leq 1$$

Measured because affects phase of GWs
while orthogonal spin to binary ang. mom.
measures precession



Spins:

LIGO – Virgo not enough to measure 6 spins, hence alternative params

1. EFFECTIVE SPIN

$$\chi_{\text{eff}} = \frac{(m_1 \vec{\chi}_1 + m_2 \vec{\chi}_2)}{(m_1 + m_2)} \cdot \frac{\vec{L}}{L}$$

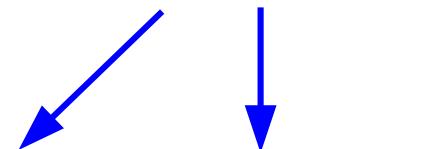
Components of spins
perpendicular to BBH
orbital angular momentum

2. Effective precession spin:

$$\chi_p = \frac{1}{B_1 m_1^2} \max(B_1 \chi_{1,\perp}, B_2 \chi_{2,\perp})$$

$$B_1 = 2 + 3 \frac{q}{2} \quad B_2 = 2 + \frac{3}{2q}$$

Spin components perpendicular to binary ang. mom.
measured by precession

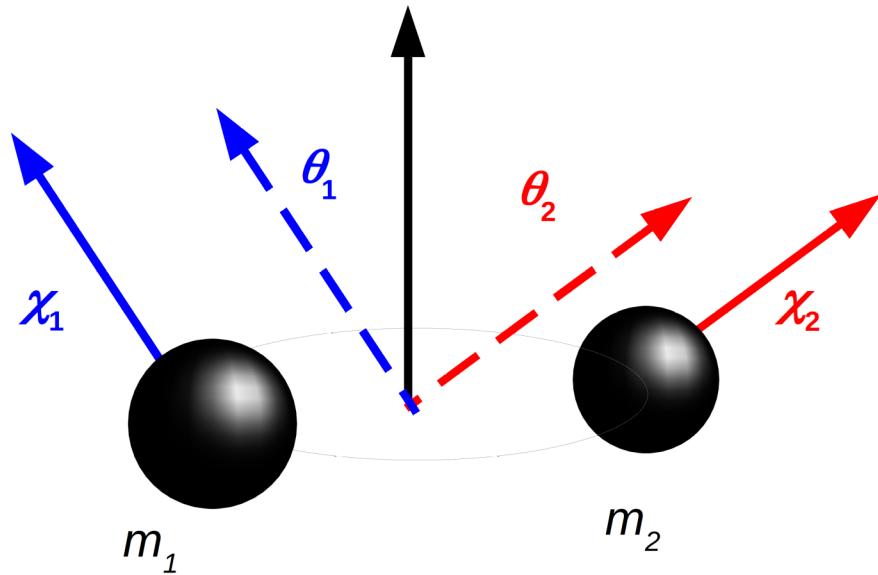


Spins:

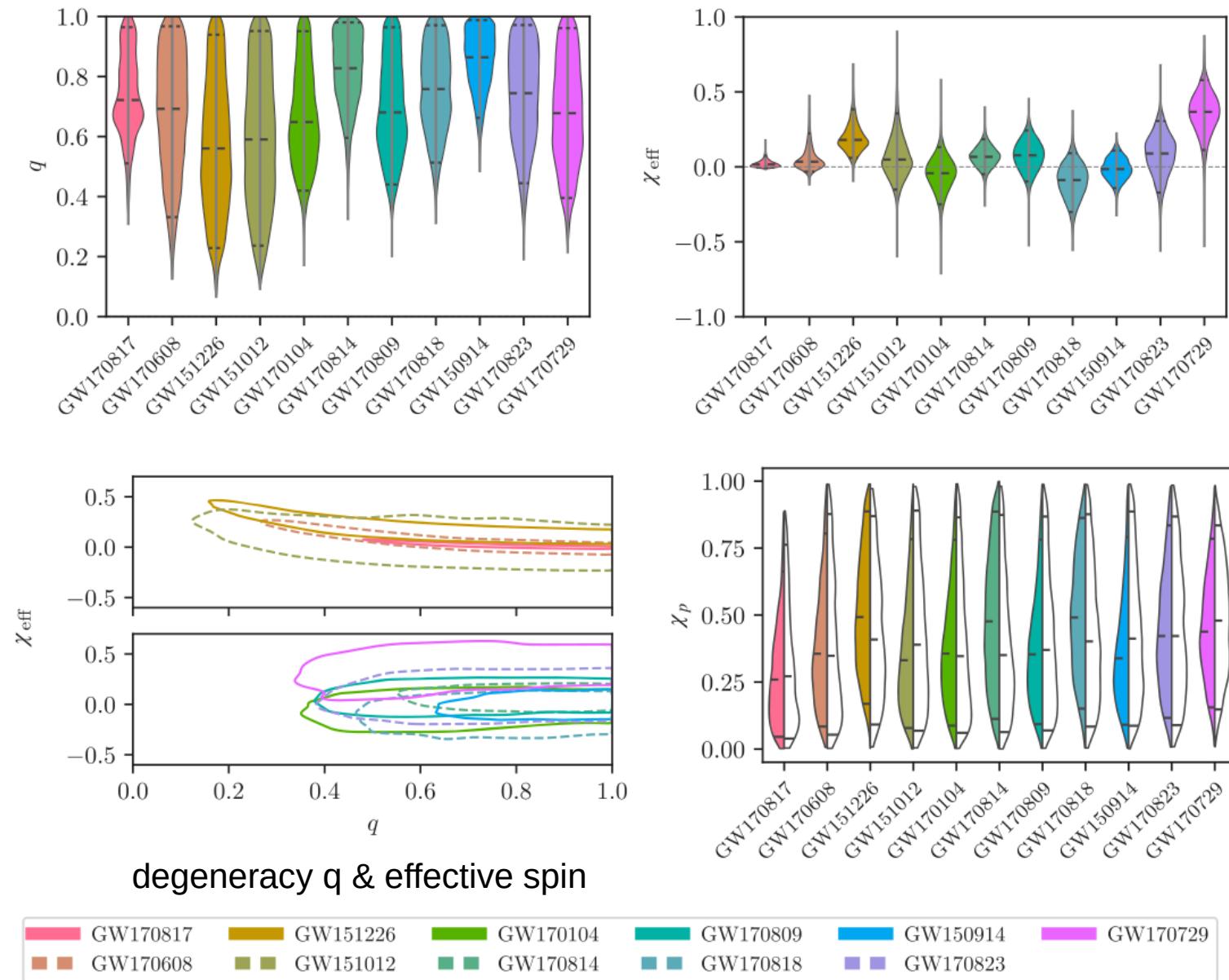
LIGO – Virgo not enough to measure 6 spins, hence alternative params

3. SPIN MAGNITUDE χ

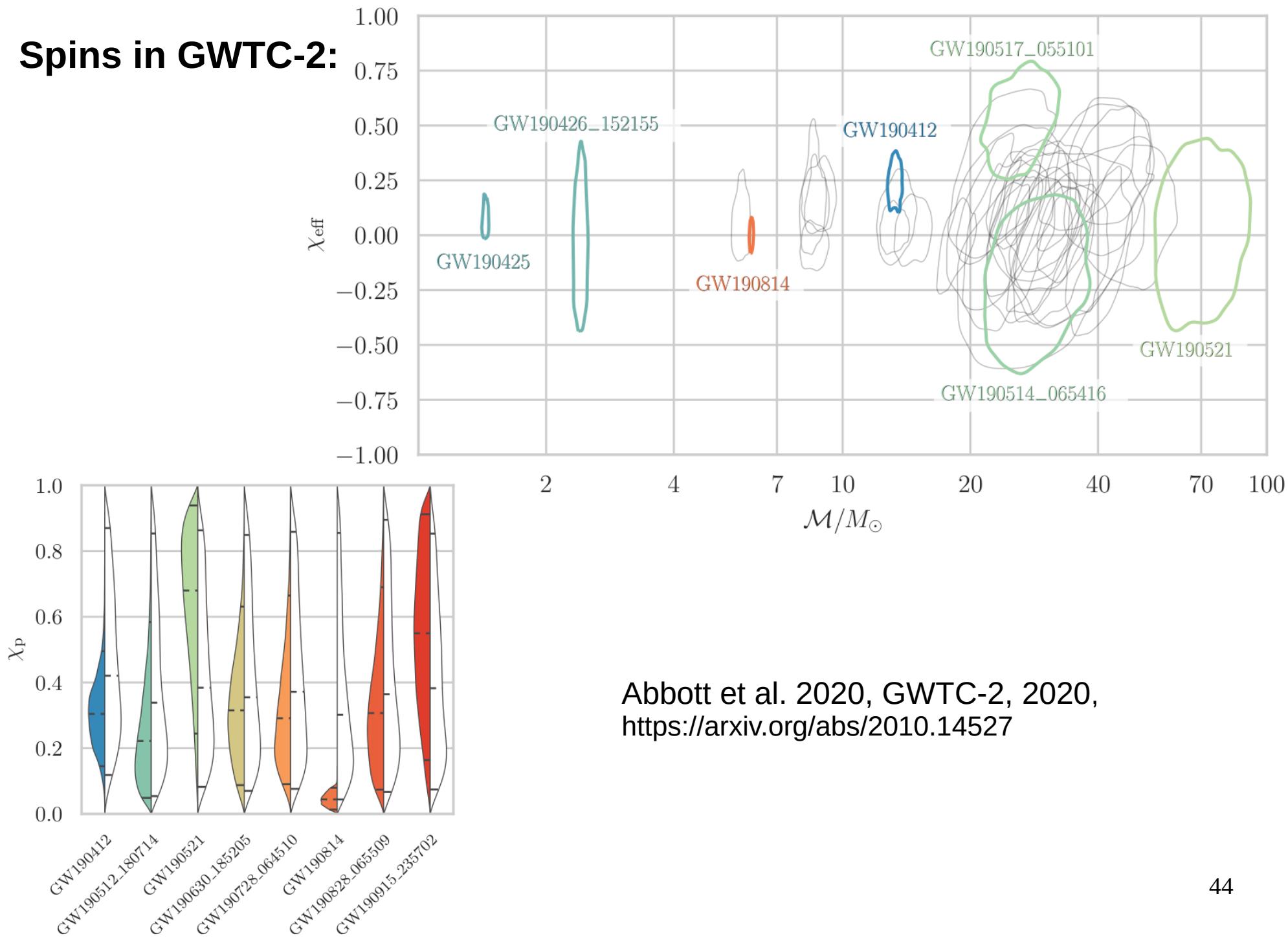
4. Spin TILT $\cos\theta$



Mass ratios and spins in GWTC-1:



Spins in GWTC-2:



Now it is time to remove observational biases: a population perspective

1) The data $\{d\}$

Bayesian framework (LALInference, Veitch et al. 2015)

to extract posterior samples of **parameters of each GW event (e.g., masses, spins)**

2) Simple astrophysical models $\pi(\theta|\Lambda)$

e.g. for primary mass a **power law + Gaussian**

with parameters θ (e.g., mass)

and hyper-parameters Λ (e.g., slope of power law)

to be fitted to the data

3) Hierarchical Bayesian approach

to measure the parameters θ of the population models

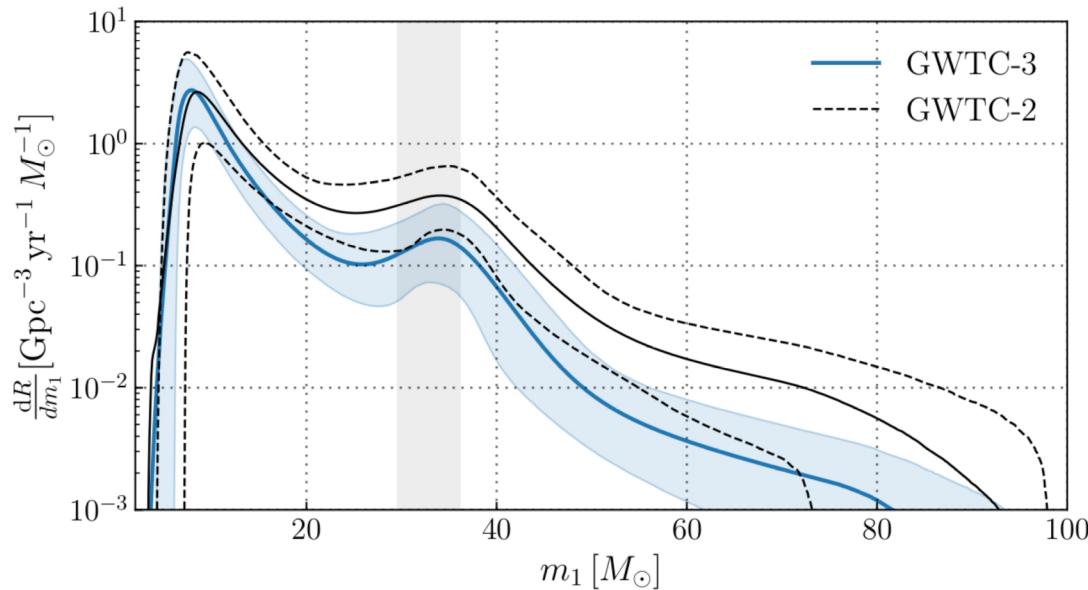
marginalizing over the properties of individual GW events

$$\mathcal{L}(\{d\}|\Lambda, N) \propto \underbrace{N^{N_{\text{det}}} e^{-N\xi(\Lambda)}}_{\text{expected number of detections: encodes observational bias}} \prod_{i=1}^{N_{\text{det}}} \int \underbrace{\mathcal{L}(d_i|\theta)}_{\text{single event likelihood}} \underbrace{\pi(\theta|\Lambda)}_{\text{PRIOR: astrophysical model}} d\theta$$

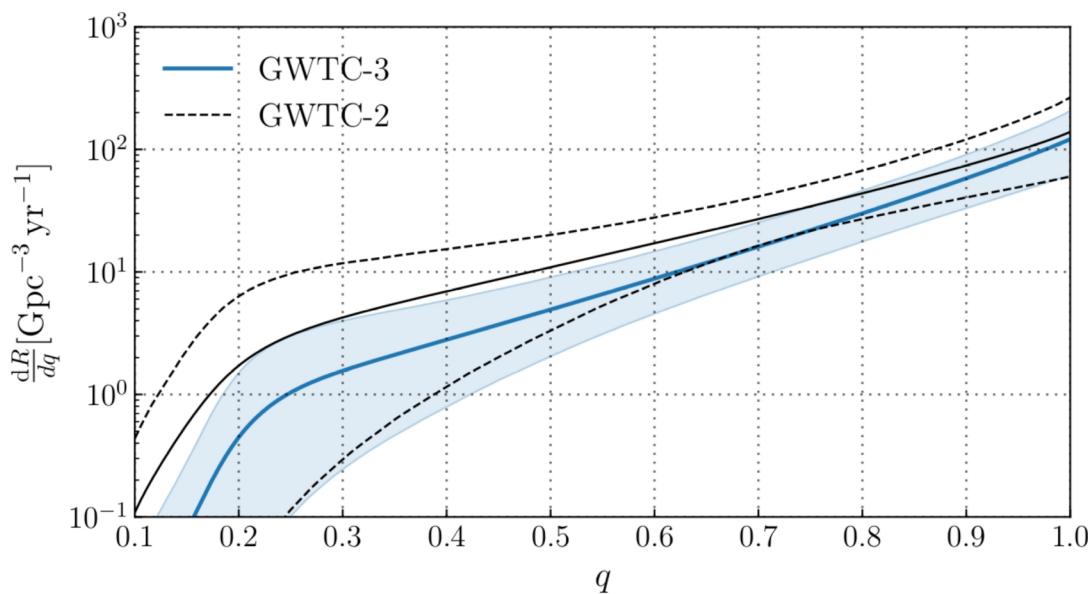
In practice, we use a log-uniform prior on N and then average over discrete samples

$$\mathcal{L}(\{d\}|\Lambda) \propto \prod_{i=1}^{N_{\text{det}}} \frac{\int \mathcal{L}(d_i|\theta) \pi(\theta|\Lambda) d\theta}{\xi(\Lambda)} \propto \prod_{i=1}^{N_{\text{det}}} \frac{1}{\xi(\Lambda)} \left\langle \frac{\pi(\theta|\Lambda)}{\pi_{\text{def}}(\theta)} \right\rangle$$
45

Masses in GWTC-2 and GWTC-3 from a population study:



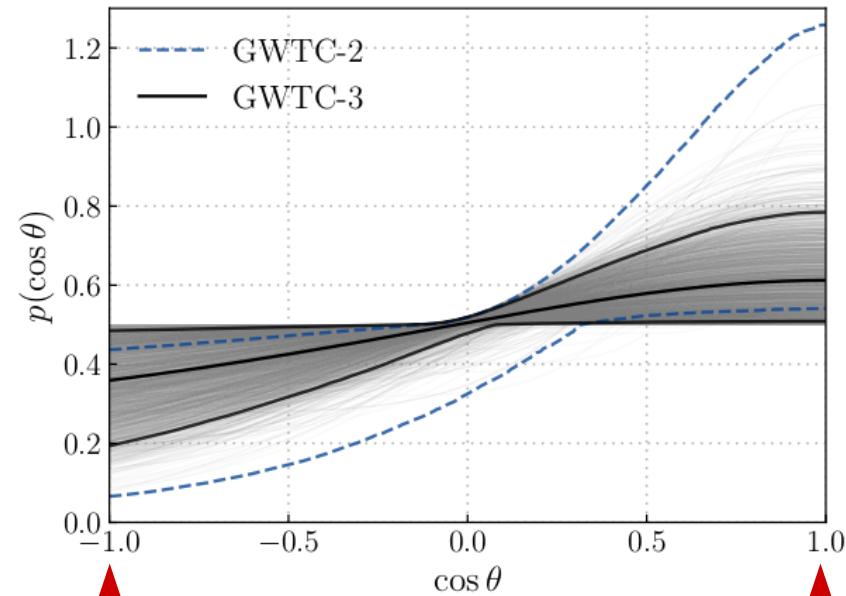
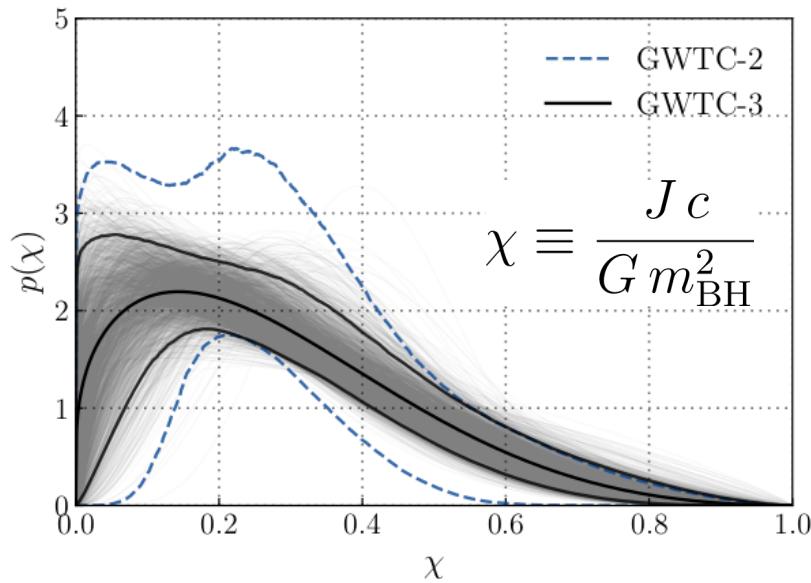
Reconstructed mass function
(removing observational biases,
assuming an astrophysical model):
possible peaks at $\sim 10, 35 M_\odot$
tail up to $\sim 80 M_\odot$



Reconstructed mass ratio
(removing observational biases,
assuming an astrophysical
model):
**preference for equal-mass
systems**

Abbott et al. 2022, population

Spins in GWTC-2 and GWTC-3 from a population study:



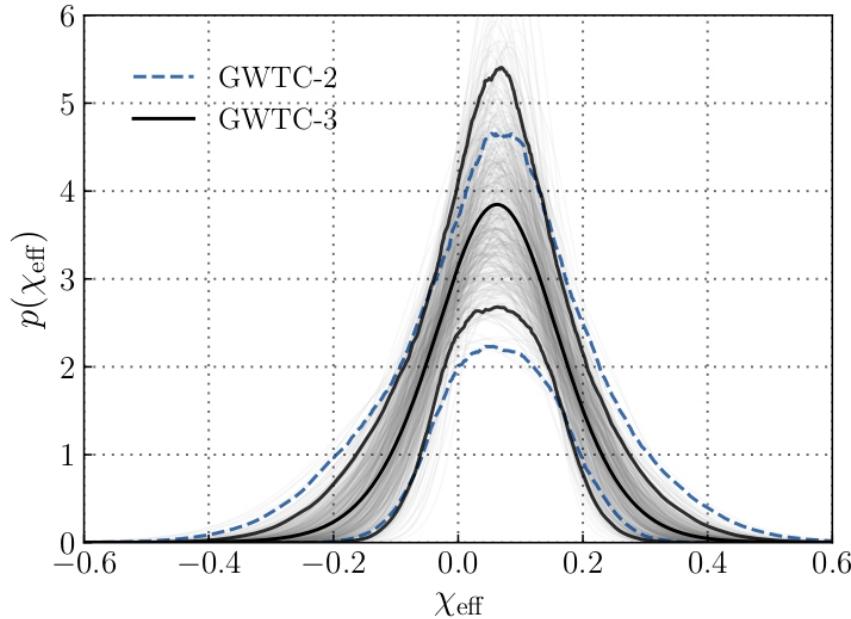
anti-aligned

Reconstructed spin magnitude:
preference for **relatively low spins**
with long tail

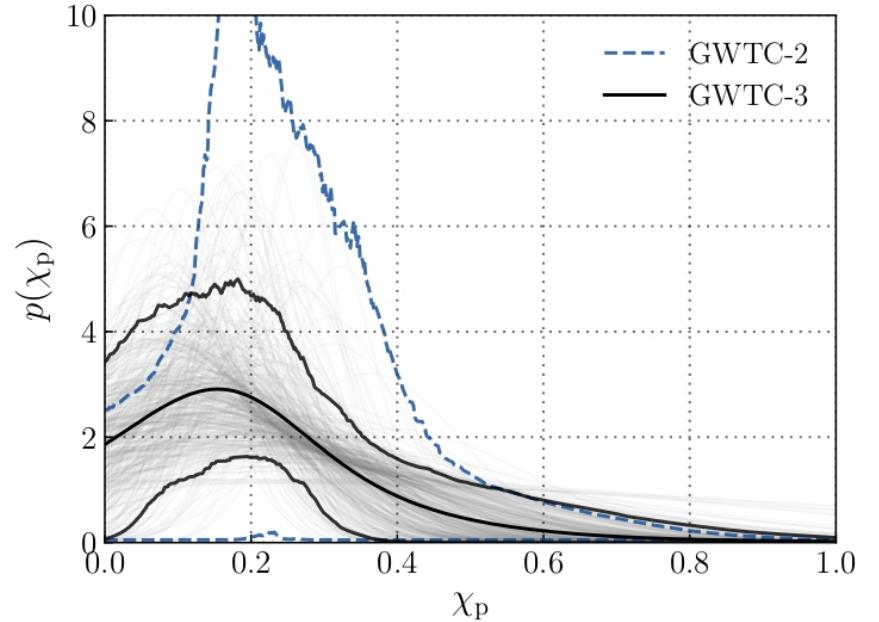
Reconstructed spin-orbit tilt:
mild preference for **aligned systems**

Abbott et al. 2022, population

Spins in GWTC-2 and GWTC-3 from a population study:



Reconstructed χ_{eff} :
preference for **positive values**



Reconstructed χ_p :
preference for **small values**
(still poor reconstruction)

Abbott et al. 2022, population

Rates from GWTC-1:

BNS Rate = 110 – 3840 Gpc⁻³ yr⁻¹

OLD

BHNS Upper Limit R < 610 Gpc⁻³ yr⁻¹

Abbott et al. 2019, GWTC1, <https://ui.adsabs.harvard.edu/abs/2019PhRvX...9c1040A/>

BBH Rate ~ 10 – 138 Gpc⁻³ yr⁻¹

Abbott et al. 2019, GWTC1, <https://ui.adsabs.harvard.edu/abs/2019PhRvX...9c1040A/>

Abbott et al. 2019, <https://ui.adsabs.harvard.edu/abs/2019ApJ...882L..24A/>

**Intermediate-mass black holes (IMBHs) upper limit
~ 0.20 Gpc⁻³ yr⁻¹**

Abbott et al. 2019, <https://journals.aps.org/prd/abstract/10.1103/PhysRevD.100.064064>

Note: every rate estimation requires assumptions about the population!

Rates from GWTC-2:**OLD****BNS Rate $\sim 320 [+490, -240] \sim 80 - 810 \text{ Gpc}^{-3} \text{ yr}^{-1}$** Abbott et al. 2020, <https://ui.adsabs.harvard.edu/abs/2020arXiv201014533T/abstract>**BHNS Upper Limit $R < 610 \text{ Gpc}^{-3} \text{ yr}^{-1}$ (still the same as O2)**Abbott et al. 2019, GWTC1, <https://ui.adsabs.harvard.edu/abs/2019PhRvX...9c1040A/>**BBH Rate $\sim 23.9 [+14.3, -8.6] \sim 15.3 - 38.2 \text{ Gpc}^{-3} \text{ yr}^{-1}$**

without GW190814

BBH Rate $\sim 52 [+52, -26] \sim 26 - 104 \text{ Gpc}^{-3} \text{ yr}^{-1}$

with GW190814

Abbott et al. 2020, <https://ui.adsabs.harvard.edu/abs/2020arXiv201014533T/abstract>

Note: every rate estimation requires assumptions about the population!

Rates from GWTC-3:

BNS Rate $\sim 10 - 1700 \text{ Gpc}^{-3} \text{ yr}^{-1}$

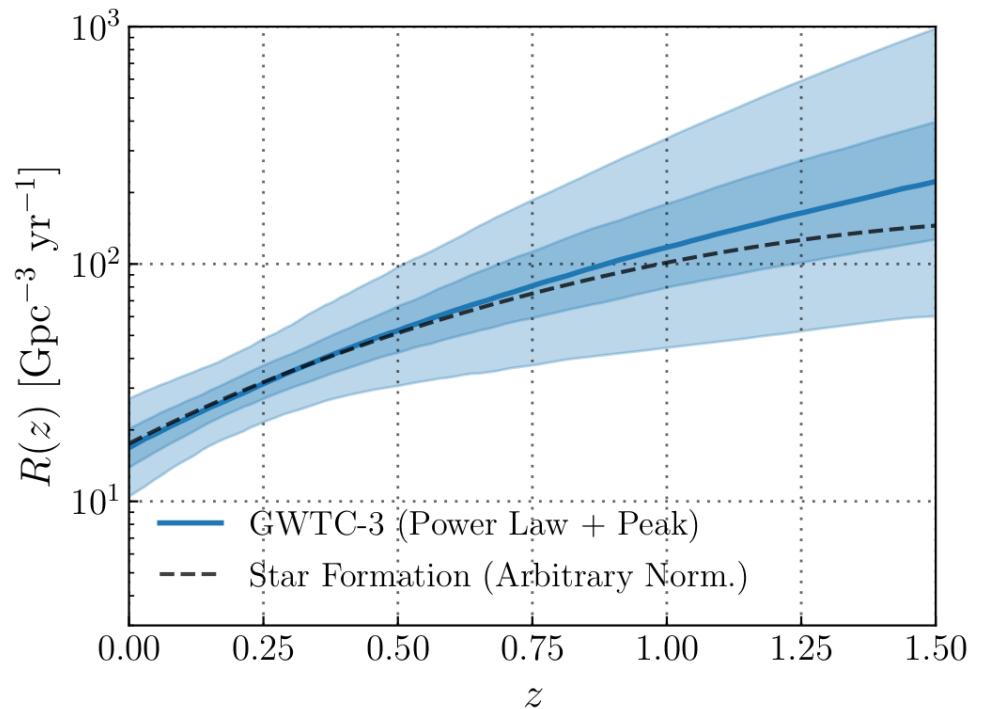
BHNS Rate $\sim 8 - 140 \text{ Gpc}^{-3} \text{ yr}^{-1}$

BBH Rate $\sim 18 - 44 \text{ Gpc}^{-3} \text{ yr}^{-1}$

if redshift evolution allowed

BBH Rate $\sim 16 - 61 \text{ Gpc}^{-3} \text{ yr}^{-1}$

otherwise



Abbott et al. 2022, population

Note: every rate estimation requires assumptions about the population!

References:

- * Abbott et al. 2016, <https://ui.adsabs.harvard.edu/abs/2016PhRvL.116f1102A/>
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- * Abbott et al. 2019, Observing scenarios paper,
<https://dcc.ligo.org/LIGO-P1200087-V57/public>
- * Abbott et al. 2021, GWTC-2, <https://arxiv.org/abs/2010.14527>
- * Abbott et al. 2021, population from GWTC-2,
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- * Abbott et al. 2022, GWTC-2.1
- * Abbott et al. 2022, GWTC-3
- * Abbott et al. 2022, populations of GWTC-3
- * Public alert webpage (GRACEDB):
<https://gracedb.ligo.org/superevents/public/O3/>