# **Yatong Bai**

Ph.D. Candidate in Robust Deep Learning, Audio Generation, and Optimization

#### yatong bai (at) berkeley.edu

Website: bai-yt.github.io LinkedIn: linkedin.com/in/yatong-bai

#### EDUCATION

University of California, Berkeley, Doctor of Philosophy & Master of Science

Aug 2020 - Aug 2025 (Expected)

**GPA:** 4.00 / 4.00

- Master's degree conferred in May 2022.

- Areas: Deep learning (especially audio generative AI and adversarial robustness), Optimization, and Control.

- Advisor: Somayeh Sojoudi.

- Courses: Convex optimization and algorithms, Deep neural networks, Statistical learning theory,

Deep reinforcement learning, Advanced control systems, Theoretical statistics.

## Georgia Institute of Technology, Bachelor of Science

Aug 2016 – Aug 2020

**GPA:** 4.00 / 4.00

- **Double major** in Computer Engineering and Mechanical Engineering.

Machine learning, Computer vision, Signals and systems, Embedded systems, Computer architecture.

## GRADUATE-LEVEL WORK EXPERIENCE (For Berkeley experiences please see publications)

## Adobe Research, Research Intern

San Francisco, CA, May 2024 - Aug 2024

- Conduct research on efficient, high-quality, long-duration AI audio generation.

# **Microsoft** Applied Science Group, Research Intern

Redmond, WA, May 2023 - Aug 2023

- Accelerate diffusion-model-based text-to-audio generation hundreds of times with minimal performance decrease via distillation.
- Innovatively combine the "consistency distillation" framework and "classifier-free guidance" to distill high-performance models.
- The distilled model needs only one neural net query (diffusion models need 400), enabling improving audio semantics by optimizing audio-space losses. We use the CLAP score loss as an example and use objective and subjective evaluation to show its effectiveness.
- Project website and demos at consistency-tta.github.io. Preprint paper at arxiv.org/abs/2309.10740.
- Code available at github.com/Bai-YT/ConsistencyTTA. Model checkpoints available at huggingface.co/Bai-YT/ConsistencyTTA.

#### Scale Al, Machine Learning Research Intern

San Francisco, CA, May 2022 – Dec 2022

- Researched proposing a dataset with 15 million image-caption pairs and processing its captions with various language models.
- Applied supervised and self-supervised image classification, object detection, image reconstruction, and generation methods (in PyTorch) to provide benchmarks on the dataset. Applied dimension reduction (UMAP) to visualize the embedding clustering.
- Used the above results to characterize the distribution shift of our data from existing datasets. Preprint paper on ArXiv (2401.04575).

# PUBLICATIONS AND PREPRINTS

## ConsistencyTTA: Accelerating Diffusion-Based Text-to-Audio Generation with Consistency Distillation

Yatong Bai, T Dang, D Tran, K Koishida, and S Sojoudi. Preprint, 2023. arxiv.org/abs/2309.10740

- See the Microsoft internship (listed above) for details.

#### MixedNUTS: Training-Free Accuracy-Robustness Balance via Nonlinearly Mixed Classifiers

Yatong Bai, M Zhou, VM Patel, and S Sojoudi. Preprint, 2024. arxiv.org/abs/2402.02263

- Balances neural network classifiers' (un-attacked) clean accuracy and adversarial robustness without additional training, reducing error rate by up to 31% compared to a standalone model.
- Achieved by proposing "nonlinear base model logit transformations" for "mixed classifiers (introduced in the two entries below)".
- The transformations augment the robust base classifier's benign confidence property, thereby balancing accuracy and robustness.

## Improving the Accuracy-Robustness Trade-Off of Classifiers via Local Adaptive Smoothing

Yatong Bai, BG Anderson, A Kim, and S Sojoudi. In SIAM Journal on Mathematics of Data Science, 2024. arxiv.org/abs/2301.12554

- Based on the work below, we further introduce a "mixing network" to adjust the mixing strengths for benign and attacked inputs differently, further improving the accuracy-robustness trade-off. On the CIFAR-10 and CIFAR-100 datasets, adaptive smoothing is the second most robust method listed on RobustBench, while noticeably improving the clean accuracy over all other works.
- Project code available at github.com/Bai-YT/AdaptiveSmoothing.

## Mixing Classifiers to Alleviate the Accuracy-Robustness Trade-Off

Yatong Bai, BG Anderson, and S Sojoudi. In Learning for Dynamics & Control Conference, 2024. arxiv.org/abs/2311.15165

- By building a "mixed classifier", specifically mixing the output probabilities of an accurate (often non-robust) classifier and a robust classifier, we greatly alleviate the accuracy-(adversarial) robustness trade-off and achieve certified robustness.
- Our analysis shows that the robust base classifier (RBC)'s prediction confidence is the main source of this improvement. Specifically, the RBC is more confident in correct examples than incorrect ones. The mixed classifier thus puts more trust in correct predictions.

## Efficient Global Optimization of Two-Layer ReLU Networks: Adversarial Training and Quadratic-Time Algorithms

Yatong Bai, T Gautam, and S Sojoudi. In SIAM Journal on Mathematics of Data Science, 2022. arxiv.org/abs/2201.01965

- 2021 INFORMS Data Mining Best Paper Competition (Student Track) Runner-up (2<sup>nd</sup> out of 48 papers).
- We develop efficient ADMM algorithms for the "convex training" formulation, which trains one-hidden-layer neural networks via convex optimization. We prove that the proposed algorithms polynomially improve the computational complexity.

#### **Initial State Interventions for Deconfounded Imitation Learning**

S Pfrommer, Yatong Bai, H Lee, and S Sojoudi. In IEEE Conference on Decision and Control, 2023. arxiv.org/abs/2307.15980

- Imitation learning agents suffer from causal confusion. We use a beta-VAE neural net to obtain disentangled latent representations underlying the observations, and use a statistical test to mask confounding latent variables so that the agent performs significantly better when the observations are confounded.

#### Practical Convex Formulation of Robust One-Hidden-Layer Neural Network Training

Yatong Bai, T Gautam, Y Gai, and S Sojoudi. In American Control Conference, 2022. arxiv.org/abs/2105.12237

- We leverage the duality theory and robust optimization techniques to develop efficient convex optimization formulations that train robust one-hidden-layer ReLU neural networks via adversarial training.
- Our method demonstrates improved adversarial robustness on common datasets, including CIFAR-10.

## Let's Go Shopping (LGS) - Web-Scale Image-Text Dataset for Visual Concept Understanding

Yatong Bai, U Garg, A Shanker, H Zhang, S Parajuli, E Bas, I Filipovic, AN Chu, ED Fomitcheva, E Branson, A Kim, S Sojoudi, K Cho. Preprint, 2024. arxiv.org/abs/2401.04575

- See the internship at Scale AI (listed above) for details.

# Undergraduate Experience

# **Georgia Institute of Technology**

Undergraduate Student Researcher

TINKER Group, RoboMed Group, Meaud Research Group, GT Off-road

Jan 2018 - Jan 2020

- Compiled the SPEC 2017 computer architecture benchmark into ARM binary programs using GCC-ARM: Used the Gem5 computer architecture simulator (in C++) to convert the binary programs into debug trace files.
- Built Graphical User Interfaces (GUIs) for a cochlear dynamics simulator in MATLAB. The GUIs controlled simulations, logged and processed experiment data, and visualized the simulation results.

Senior design project: Avionics and test stand controller for a "Monocopter" aircraft

- Implemented the avionics system of a novel unmanned "Monocopter" and a PID-controlled testbed using C++. The avionics filtered noisy magnetometer readings to accurately recover aircraft heading and control the actuators. Also developed a Windows C# GUI.

## Honda Aircraft Company, Engineering Intern

Greensboro, NC, May 2019 - Aug 2019

- Conducted dynamic simulations for flap linkages in MSC ADAMS, and evaluated the stress, deflection, and kinematics in CATIA via Finite Element Analyses (FEA).
- Defined the flap skew & asymmetry warning thresholds and designed a flap control logic in MATLAB.

#### **Tesla, Inc.,** Engineering Intern

Palo Alto, CA, May 2018 - Aug 2018

- Implement scripts that convert simulation models between different tolerance stack-up (GD&T) simulators.

# ACADEMIC ACTIVITIES

CDC 2022, ICML 2023, CCTA 2023, CDC 2023, NeurIPS 2023, ICLR 2024, and ICML 2024 conferences. - Reviewing:

- Teaching: Graduate Student Instructor (TA) for Spring+Fall 22, Fall 23, 24 "IEOR 160: Nonlinear and Discrete Optimization".

- Presentation: Presenter at ACC 2022, CCTA 2023, INFORMS 2021, and MOPTA 2021 conferences.

#### AWARDS

INFORMS Data Mining Best Paper Competition (student track) Runner-up UC Berkeley Graduate Division Block Grant Fellowship Georgia Tech School of ECE Roger P. Webb ECE Senior Scholar Awards

Oct 2021 April 2021

April 2021

## CODING

I regularly code in Python (PyTorch) and MATLAB and write in LaTeX, working on remote cloud computing machines. Other languages I've used include HTML, C, C++, Java, and R.