Homework 1

Start date: 18th Jan 2017

Due date: 04 February 2017, 11:55 pm

How to Submit

When you have completed the exercises and everything has finsihed running, click on 'File' in the menu-bar and the 'Download ipynb'. This file must be submitted to Moodle named as **studentnumber_DL_hw1.ipynb** before the de above.

Also send a **sharable link** to the notebook at the following email: ucl.coursework.submit@gmail.com. You can all it sharable via link to everyone, up to you.

IMPORTANT

Please make sure you submission includes all results/plots/tables required for grading. We will not re-run your c

The Data

Handwritten Digit Recognition Dataset (MNIST)

In this assignment we will be using the MNIST digit dataset.

The dataset contains images of hand-written digits (0-9), and the corresponding labels.

The images have a resolution of 28×28 pixels.

The MNIST Dataset in TensorFlow

You can use the tensorflow build-in functionality to download and import the dataset into python (see *Setup* sect below).

The Assignment

Objectives

You will use TensorFlow to implement several neural network models (labelled Model 1-4, and described in the corresponding sections of the Colab).

You will then train these models to classify hand written digits from the Mnist dataset.

Variable Initialization

Initialize the variables containing the parameters using Xavier initialization.

```
initializer = tf.contrib.layers.xavier_initializer()
my variable = tf.Variable(initializer(shape))
```

Hyper-parameters

For each of these models you will be requested to run experiments with different hyper-parameters.

More specifically, you will be requested to try 3 sets of hyper-parameters per model, and report the resulting mod accuracy.

Each combination of hyper-parameter will specify how to set each of the following:

- num_epocns: Number of iterations through the training section of the dataset [a positive integer].
- learning_rate: Learning rate used by the gradient descent optimizer [a scalar between 0 and 1]

In all experiments use a batch_size of 100.

Loss function

All models, should be trained as to minimize the cross-entropy loss function:

$$\log = -\sum_{i=1}^{N} \log p(y_i | x_i, \theta) = -\sum_{i=1}^{N} \log \left(\frac{\exp(z_i [y_i])}{\sum_{c=1}^{10} \exp(z_i [c])} \right) = \sum_{i=1}^{N} \left(-z_i [y_i] + \log \left(\sum_{c=1}^{10} \exp(z_i [c]) \right) \right)$$

where $z \in \mathbb{R}^{10}$ is the input to the softmax layer and z[c] denotes the c-th entry of vector z. And i is a index for the dataset $\{(x_i, y_i)\}_{i=1}^N$.

Note: Sum the loss across the elements of the batch with tf.reduce_sum().

Hint: read about TensorFlow's tf.nn.softmax_cross_entropy_with_logits function.

Optimization

Use stochastic gradient descent (SGD) for optimizing the loss function.

Hint: read about TensorFlow's tf.train.GradientDescentOptimizer().

Training and Evaluation

The tensorflow built-in functionality for downloading and importing the dataset into python returns a Datasets of This object will have three attributes:

- train
- validation
- test

Use only the train data in order to optimize the model.

Use datasets.train.next_batch(100) in order to sample mini-batches of data.

Every 20000 training samples (i.e. every 200 updates to the model), interrupt training and measure the accuracy model.

each time evaluate the accuracy of the model both on 20% of the train set and on the entire test set.

Reporting

For each model i, you will collect the learning curves associated to each combination of hyper-parameters.

Use the utility function plot learning curves to plot these learning curves,

and the and utility function plot_summary_table to generate a summary table of results.

For each run collect the train and test curves in a tuple, together with the hyper-parameters.

```
experiments_task_i = [
    (num_epochs_1, learning_rate_1), train_accuracy_1, test_accuracy_1),
    (num_epochs_2, learning_rate_2), train_accuracy_2, test_accuracy_2),
```

Hint

If you need some extra help, familiarizing yourselves with the dataset and the task of building models in TensorF can check the <u>TF tutorial for MNIST</u>.

The tutorial will walk you through the MNIST classification task step-by-step, building and optimizing a model in TensorFlow.

(Please do not copy the provided code, though. Walk through the tutorial, but write your own implementation).

Imports and utility functions (do not modify!)

→ 1 cells hidden

Model 1 (20 pts)

Model

Train a neural network model consisting of 1 linear layer, followed by a softmax:

(input \rightarrow linear layer \rightarrow softmax \rightarrow class probabilities)

Hyper-parameters

Train the model with three different hyper-parameter settings:

- num_epochs=5, learning_rate=0.0001
- num_epochs=5, learning_rate=0.005
- num_epochs=5, learning_rate=0.1

```
1 # CAREFUL: Running this CL resets the experiments task1 dictionary where results sh
 2 # Store results of runs with different configurations in a dictionary.
 3 # Use a tuple (num epochs, learning rate) as keys, and a tuple (training accuracy,
 4 experiments task1 = []
 5 settings = [(5, 0.0001), (5, 0.005), (5, 0.1)]
 1 print('Training Model 1')
 3
  # Train Model 1 with the different hyper-parameter settings.
  for (num_epochs, learning_rate) in settings:
 6
     # Reset graph, recreate placeholders and dataset.
 7
    tf.reset default graph()
    x, y_ = get_placeholders()
 8
 9
    mnist = get_data()
10
     eval mnist = get data()
11
     # Define model, loss, update and evaluation metric.
12
    initializer = tf.contrib.layers.xavier initializer()
14
     w = tf.Variable(initializer([784,10]))
15
    b = tf.Variable(initializer([10]))
    logits = tf.matmul(x,w)+b
16
17
     y = tf.nn.softmax(logits)
     loss = tf.reduce sum(tf.nn.softmax cross entropy with logits(labels=y ,logits=log
18
19
     train step = tf.train.GradientDescentOptimizer(learning rate).minimize(loss)
     correct prediction = tf.equal(tf.argmax(y_,1),tf.argmax(y,1))
     accuracy = +f reduce mean(+f cast/correct prediction +f
```

((num epochs, learning rate), train accuracy, test accuracy))

Model 2 (20 pts)

1 hidden layer (32 units) with a ReLU non-linearity, followed by a softmax.

(input \rightarrow non-linear layer \rightarrow linear layer \rightarrow softmax \rightarrow class probabilities)

Hyper-parameters

56

57

Train the model with three different hyper-parameter settings:

num_epochs=15, learning_rate=0.0001

experiments task1.append(

- num_epochs=15, learning_rate=0.005
- num_epochs=15, learning_rate=0.1

```
# CAREFUL: Running this CL resets the experiments_task1 dictionary where results of runs with different configurations in a dictionary.

# Use a tuple (num_epochs, learning_rate) as keys, and a tuple (training_accuracy, experiments_task2 = [] settings = [(15, 0.0001), (15, 0.005), (15, 0.1)]

print('Training Model 2')

# Train Model 2 with the different hyper-parameter settings.

for (num_epochs, learning_rate) in settings:

# Pesset graph recreate placeholders and dataset
```

((num epochs, learning rate), train accuracy, test accuracy))

- Model 3 (20 pts)

experiments task2.append(

2 hidden layers (32 units) each, with ReLU non-linearity, followed by a softmax.

(input \rightarrow non-linear layer \rightarrow non-linear layer \rightarrow linear layer \rightarrow softmax \rightarrow class probabilities)

Hyper-parameters

63 64

65

Train the model with three different hyper-parameter settings:

- num_epochs=5, learning_rate=0.003
- num_epochs=40, learning_rate=0.003
- num epochs=40, learning rate=0.05

```
1 # CAREFUL: Running this CL resets the experiments task1 dictionary where results sh
 2 # Store results of runs with different configurations in a dictionary.
 3 # Use a tuple (num epochs, learning rate) as keys, and a tuple (training accuracy,
 4 experiments_task3 = []
 5 settings = [(5, 0.003), (40, 0.003), (40, 0.05)]
 1 print('Training Model 3')
 3 # Train Model 3 with the different hyper-parameter settings.
 4 for (num epochs, learning rate) in settings:
 6
     # Reset graph, recreate placeholders and dataset.
 7
    tf.reset default graph() # reset the tensorflow graph
     x, y_ = get_placeholders()
 8
 9
     mnist = get data() # use for training.
     eval_mnist = get_data() # use for evaluation.
10
11
12
     # Define model, loss, update and evaluation metric.
13
     initializer = tf.contrib.layers.xavier initializer()
14
15
     # non-linear layer 1
     w_1 = tf.Variable(initializer([784,32]))
16
17
     b 1 = tf.Variable(initializer([32]))
18
     h_1 = tf.nn.relu(tf.matmul(x,w_1)+b_1)
19
20
     # non-linear layer 2
21
     w 2 = tf.Variable(initializer([32,32]))
     b_2 = tf.Variable(initializer([32]))
22
2.3
     h_2 = tf.nn.relu(tf.matmul(h_1,w_2)+b_2)
2.4
25
     # linear layer
     w 3 = tf.Variable(initializer([32,10]))
26
     b_3 = tf.Variable(initializer([10]))
2.7
2.8
     logits = tf.matmul(h 2, w 3)+b 3
29
     y = tf.nn.softmax(logits)
     loss = tf.reduce sum(tf.nn.softmax cross entropy with logits(labels=y ,logits=log
30
31
     train step = tf.train.GradientDescentOptimizer(learning rate).minimize(loss)
32
33
     # evalutaion
34
     correct_prediction = tf.equal(tf.argmax(y_,1),tf.argmax(y,1))
35
     accuracy = tf.reduce mean(tf.cast(correct prediction, tf.float32))
36
     # Train.
37
38
     i, train_accuracy, test_accuracy = 0, [], []
39
     log_period_updates = int(log_period_samples / batch_size)
     with tf.train.MonitoredSession() as sess:
40
41
       while mnist.train.epochs completed < num epochs:
42
43
         # Update.
44
         i += 1
45
         batch xs, batch ys = mnist.train.next batch(batch size)
46
47
         # Training step
         sess.run(train step,feed dict={x:batch xs,y :batch ys})
48
49
50
         # Periodically evaluate.
51
         if i % log_period_updates == 0:
52
53
           # Compute and store train accuracy on 20% training data.
54
           a = 0.2
55
           ex = eval_mnist.train.images
56
           Di = Dial mnic+ +rain labole
```

```
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                                        17044633_DL_hw1.ipynb - Colaboratory
                cy - cvar mmrsc.crarm.rancrs
    57
                size = int(ey.shape[0]*a)
    58
                part_ex = ex[0:size,:]
                part ey = ey[0:size,:]
    59
    60
                train = sess.run(accuracy,feed dict={x:part ex,y :part ey})
                print("%d th iter train accuracy %f" %(i,train))
    61
    62
                train accuracy.append(train)
    63
    64
                # Compute and store test accuracy.
    65
                test = sess.run(accuracy,feed_dict={x:eval_mnist.test.images,y_:eval_mnist.
                print("%d th iter test accuracy %f" %(i,test))
    66
    67
                test accuracy.append(test)
    68
    69
            experiments task3.append(
    70
                ((num_epochs, learning_rate), train_accuracy, test_accuracy))
```

Model 4 (20 pts)

Model

3 layer convolutional model (2 convolutional layers followed by max pooling) + 1 non-linear layer (32 units), followed by softmax.

 $(input(28x28) \rightarrow conv(3x3x8) + maxpool(2x2) \rightarrow conv(3x3x8) + maxpool(2x2) \rightarrow flatten \rightarrow non-linear \rightarrow linear layer \rightarrow softmax \rightarrow class probabilities)$

- Use padding = 'SAME' for both the convolution and the max pooling layers.
- Employ plain convolution (no stride) and for max pooling operations use 2x2 sliding windows, with no overlapping pixels (note: this operation will down-sample the input image by 2x2).

Hyper-parameters

Train the model with three different hyper-parameter settings:

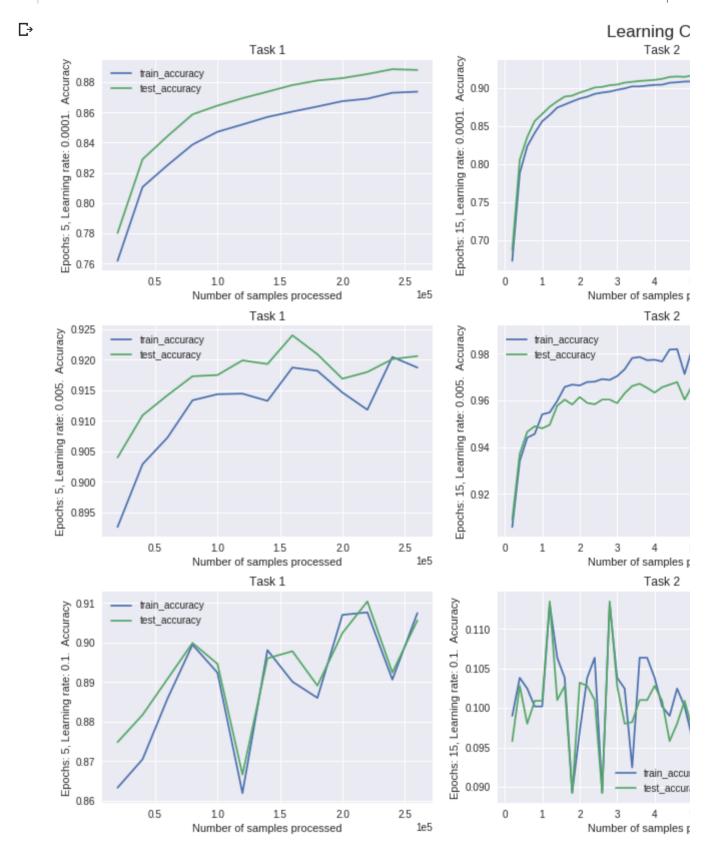
- num_epochs=5, learning_rate=0.01
- num_epochs=10, learning_rate=0.001
- num_epochs=20, learning_rate=0.001

```
1 # CAREFUL: Running this CL resets the experiments task1 dictionary where results sh
 2 # Store results of runs with different configurations in a dictionary.
 3 # Use a tuple (num epochs, learning rate) as keys, and a tuple (training accuracy,
 4 experiments task4 = []
 5 settings = [(5, 0.01), (10, 0.001), (20, 0.001)]
 1 print('Training Model 4')
 3 # Train Model 4 with the different hyper-parameter settings.
 4 for (num_epochs, learning_rate) in settings:
 6
     # Reset graph, recreate placeholders and dataset.
 7
    tf.reset_default_graph()
                               # reset the tensorflow graph
 8
    x, y_ = get_placeholders()
 9
    x_{image} = tf.reshape(x, [-1, 28, 28, 1])
10
     mnist = get data() # use for training.
11
     eval mnist = get data() # use for evaluation.
12
     # Define model, loss, update and evaluation metric.
13
14
     initializer = tf.contrib.layers.xavier_initializer()
15
16
     # conv laver 1
```

```
# COMV Tayer I
ΤU
17
     w conv1 = tf.Variable(initializer([3,3,1,8]))
    b_conv1 = tf.Variable(initializer([8]))
18
     h_conv1 = tf.nn.relu(tf.nn.conv2d(x_image, w_conv1, strides=[1, 1, 1, 1], padding
19
20
     h pool1 = tf.nn.max pool(h conv1, ksize=[1, 2, 2, 1], strides=[1, 2, 2, 1], paddi
2.1
2.2
     # conv layer 2
23
     w conv2 = tf.Variable(initializer([3,3,8,8]))
24
     b conv2 = tf.Variable(initializer([8]))
25
     h_conv2 = tf.nn.relu(tf.nn.conv2d(h_pool1, w_conv2, strides=[1, 1, 1, 1], padding
     h_pool2 = tf.nn.max_pool(h_conv2, ksize=[1, 2, 2, 1], strides=[1, 2, 2, 1], paddi
2.6
27
28
     # flatten
29
     h flat = tf.reshape(h pool2, [-1, 7*7*8])
30
31
     # non-linear layer
32
     w n = tf.Variable(initializer([7*7*8,32]))
     b n = tf.Variable(initializer([32]))
33
34
     h_n = tf.nn.relu(tf.matmul(h_flat,w_n)+b_n)
35
36
     # linear layer + softmax & loss
37
     w linear = tf.Variable(initializer([32,10]))
38
     b_linear = tf.Variable(initializer([10]))
39
     logits = tf.matmul(h n,w linear)+b linear
40
     y = tf.nn.softmax(logits)
     loss = tf.reduce sum(tf.nn.softmax cross entropy with logits(labels=y ,logits=log
41
42
     train step = tf.train.GradientDescentOptimizer(learning rate).minimize(loss)
43
44
     # evalutaion
45
     correct_prediction = tf.equal(tf.argmax(y_,1),tf.argmax(y,1))
46
     accuracy = tf.reduce mean(tf.cast(correct prediction, tf.float32))
47
48
     # Train.
49
     i, train_accuracy, test_accuracy = 0, [], []
50
     log period updates = int(log period samples / batch size)
51
     with tf.train.MonitoredSession() as sess:
52
       while mnist.train.epochs completed < num epochs:
53
54
         # Update.
55
         i += 1
56
         batch_xs, batch_ys = mnist.train.next_batch(batch_size)
57
58
         # Training step
59
         sess.run(train step,feed dict={x:batch xs,y :batch ys})
60
61
         # Periodically evaluate.
62
         if i % log period updates == 0:
63
64
           # Compute and store train accuracy on 20% training data.
65
           a = 0.2
66
           ex = eval mnist.train.images
           ey = eval_mnist.train.labels
67
68
           size = int(ey.shape[0]*a)
69
           part_ex = ex[0:size,:]
70
           part_ey = ey[0:size,:]
71
           train = sess.run(accuracy,feed dict={x:part ex,y :part ey})
           print("%d th iter train accuracy %f" %(i,train))
72
73
           train_accuracy.append(train)
74
75
           # Compute and store test accuracy.
           test = sess.run(accuracy,feed_dict={x:eval_mnist.test.images,y_:eval_mnist.
76
77
           print("%d th iter test accuracy %f" %(i,test))
78
           test accuracy.append(test)
79
80
       experiments task4.append(
           ((num epochs, learning rate), train accuracy, test accuracy))
81
```

Evaluation

1 plot_learning_curves([experiments_task1, experiments_task2, experiments_task3, expe



1 plot_summary_table([experiments_task1, experiments_task2, experiments_task3, experi

₽

	Setting 1	Setting 2	Setting 3
Model 1	0.8881	0.9206	0.9056
Model 2	0.9251	0.9687	0.0959
Model 3	0.9679	0.9686	0.101
Model 4	0.1135	0.9825	0.9842

Questions

Q1 (5 pts): Indicate which of the previous experiments constitute an example of over-fitting. Why is this happening?

Task 2 Setting 2: training for so long & learning rate is high

Task 3 Setting 2: training for so long

Task 4 Setting 3: training for so long & model is complex

Q2 (5 pts): Indicate which of the previous experiments constitute an example of underfitting. Why is this happening?

Task 2 Setting 3 & Task 3 Setting 3 & Task 4 Setting 1: learning rate is too high

Task 1 Setting 1-3: the model is too simple(linear)

Task 2 Setting 1: not enough training

Q3 (10 pts): How would you prevent over-/under-fitting from happening?

To prevent overfitting, we can

- · Stop training early
- · Use simpler model
- · Use fewer features
- · Increase regularisation
- · Use batch normalisation

To prevernt underfitting

- · Train for longer
- · Use more complex model
- Use more features
- · Reduce regularisation

Feed more training data

Extension (Ungraded)

In the previous tasks you have used plain Stohastic Gradient Descent to train the models.

There is a large literatures on variants of Stochastic Gradient Descent, that improve learning speed and robustness to hyper-parameters.

<u>Here</u> you can find the documentation for several optimizers already implemented in TensorFlow, as well as the original papers proposing these methods.*italicized text*.

AdamOptimizer and RMSProp are among the most commonly employed in Deep Learning.

How does replacing SGD with these optimizers affect the previous results?

```
from tensorflow.python.client import device_lib
device_lib.list_local_devices()
```

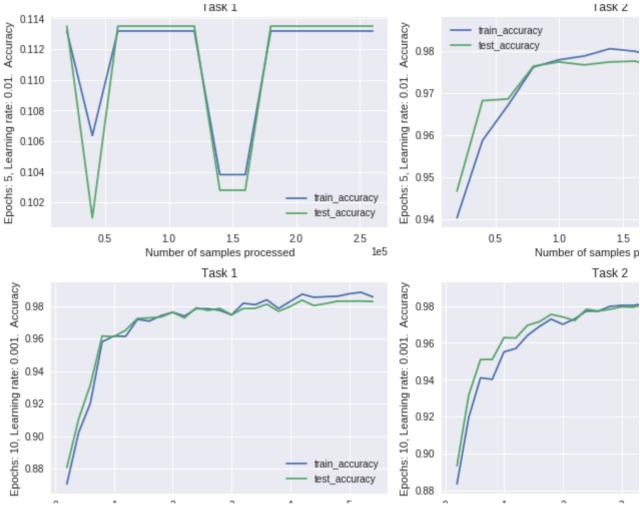
SHOW HIDDEN OUTPUT

```
1 # CAREFUL: Running this CL resets the experiments task5 using RMSPropOptimizer dict
 2 # Store results of runs with different configurations in a dictionary.
 3 # Use a tuple (num epochs, learning rate) as keys, and a tuple (training accuracy,
 4 experiments_task5 = []
 5 \mid \text{settings} = [(5, 0.01), (10, 0.001), (20, 0.001)]
 1 print('Training Model 4.2')
 2
 3
   # Train Model 4.2 with the different hyper-parameter settings.
  for (num epochs, learning rate) in settings:
 4
     # Reset graph, recreate placeholders and dataset.
 6
 7
     tf.reset default graph() # reset the tensorflow graph
 8
     x, y = get placeholders()
 9
     x_{image} = tf.reshape(x, [-1, 28, 28, 1])
10
     mnist = get data() # use for training.
11
     eval mnist = get data() # use for evaluation.
12
     # Define model, loss, update and evaluation metric.
13
14
     initializer = tf.contrib.layers.xavier initializer()
15
16
     # conv layer 1
     w conv1 = tf.Variable(initializer([3,3,1,8]))
17
     b conv1 = tf.Variable(initializer([8]))
18
     h_conv1 = tf.nn.relu(tf.nn.conv2d(x_image, w_conv1, strides=[1, 1, 1, 1], padding
19
20
     h pool1 = tf.nn.max pool(h conv1, ksize=[1, 2, 2, 1], strides=[1, 2, 2, 1], paddi
2.1
22
     # conv layer 2
     w conv2 = tf.Variable(initializer([3,3,8,8]))
23
     b_conv2 = tf.Variable(initializer([8]))
2.4
2.5
     h_conv2 = tf.nn.relu(tf.nn.conv2d(h_pool1, w_conv2, strides=[1, 1, 1, 1], padding
26
     h_pool2 = tf.nn.max_pool(h_conv2, ksize=[1, 2, 2, 1], strides=[1, 2, 2, 1], paddi
27
     # flatten
28
     h_flat = tf.reshape(h_pool2, [-1, 7*7*8])
29
30
31
     # non-linear layer
     w n = tf.Variable(initializer([7*7*8,32]))
32
     b_n = tf.Variable(initializer([32]))
33
34
     h_n = tf.nn.relu(tf.matmul(h_flat,w_n)+b_n)
35
36
     # linear layer + softmax & loss
     w linear = tf.Variable(initializer([32,10]))
37
38
     b linear = tf.Variable(initializer([10]))
39
     logits = tf.matmul(h_n,w_linear)+b_linear
     v = +f nn coftmax/logital
```

```
4 U
     y - LI.IIII.BUILIMAA(IUYILB)
     loss = tf.reduce sum(tf.nn.softmax cross entropy with logits(labels=y ,logits=log
41
     train step = tf.train.RMSPropOptimizer(learning_rate).minimize(loss)
42
43
44
     # evalutaion
45
     correct_prediction = tf.equal(tf.argmax(y_,1),tf.argmax(y,1))
46
     accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32))
47
48
49
     i, train_accuracy, test_accuracy = 0, [], []
50
     log_period_updates = int(log_period_samples / batch_size)
     with tf.train.MonitoredSession() as sess:
51
52
       while mnist.train.epochs completed < num epochs:
53
54
         # Update.
55
         i += 1
56
         batch xs, batch ys = mnist.train.next batch(batch size)
57
58
         # Training step
         sess.run(train_step,feed_dict={x:batch xs,y :batch ys})
59
60
61
         # Periodically evaluate.
62
         if i % log period updates == 0:
63
64
           # Compute and store train accuracy on 20% training data.
65
           a = 0.2
           ex = eval mnist.train.images
66
67
           ey = eval mnist.train.labels
68
           size = int(ey.shape[0]*a)
69
           part ex = ex[0:size,:]
           part_ey = ey[0:size,:]
70
71
           train = sess.run(accuracy,feed dict={x:part ex,y :part ey})
           print("%d th iter train accuracy %f" %(i,train))
72
73
           train accuracy.append(train)
74
75
           # Compute and store test accuracy.
76
           test = sess.run(accuracy, feed dict={x:eval mnist.test.images,y :eval mnist.
77
           print("%d th iter test accuracy %f" %(i,test))
78
           test accuracy.append(test)
79
80
       experiments_task5.append(
           ((num_epochs, learning_rate), train_accuracy, test_accuracy))
81
```

```
1 plot_learning_curves([experiments_task4, experiments_task5])
```

C→



From the curves we can see that, RMSProp is much steadier than SGD. Even for a larger learning rate, this method is still able to find better parameters without suffering from gradient problems. Also, it finds optimal values more quickly. This is the advantage of mini-batch with a flexible learning rate.

