



Drinfeld modular forms

IAS Short Talk

Liam Baker

Department of Mathematical Sciences
Stellenbosch University

5 October 2023

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Definition

A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $SL_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$ satisfying the following properties:

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Definition

A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $SL_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$ satisfying the following properties:

- f is complex analytic on \mathcal{H}

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Definition

A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $SL_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$ satisfying the following properties:

- f is complex analytic on \mathcal{H} ,
- $f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z)$ for all $a, b, c, d \in \mathbb{Z}$ such that $ad - bc = 1$

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Definition

A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $SL_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$ satisfying the following properties:

- f is complex analytic on \mathcal{H} ,
- $f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z)$ for all $a, b, c, d \in \mathbb{Z}$ such that $ad - bc = 1$, and
- $|f(z)|$ is bounded as $\text{Im } z \rightarrow +\infty$.

Classical modular forms – Definition 1

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

Definition

A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $\mathrm{SL}_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \mathrm{Im} z > 0\}$ satisfying the following properties:

- f is complex analytic on \mathcal{H} ,
- $f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z)$ for all $a, b, c, d \in \mathbb{Z}$ such that $ad - bc = 1$, and
- $|f(z)|$ is bounded as $\mathrm{Im} z \rightarrow +\infty$. *(holomorphic at infinity)*

The second condition can be written as $f(\gamma z) = j(\gamma, z)^k f(z)$ for all $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$, with *factor of automorphy* $j(\gamma, z) = cz + d$.

Classical modular forms – Definition 1

Here the group $\mathrm{SL}_2(\mathbb{Z})$ acts on \mathcal{H} from the left by the fractional linear transformation $z \xrightarrow{\gamma} \frac{az+b}{cz+d}$, which is closely related to matrix multiplication on the left:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} = \frac{1}{cz + d} \begin{pmatrix} az + b \\ 1 \end{pmatrix}.$$

Classical modular forms – Definition 1

Here the group $\mathrm{SL}_2(\mathbb{Z})$ acts on \mathcal{H} from the left by the fractional linear transformation $z \mapsto \frac{az+b}{cz+d}$, which is closely related to matrix multiplication on the left:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} = \frac{1}{cz + d} \begin{pmatrix} az + b \\ 1 \end{pmatrix}.$$

The left action of $\mathrm{SL}_2(\mathbb{Z})$ on \mathcal{H} translates into a right action on the functions $f : \mathcal{H} \rightarrow \mathbb{C}$:

$$f|_{\gamma} : \mathcal{H} \rightarrow \mathbb{C}, \quad z \mapsto j(\gamma, z)^{-k} f(\gamma z) = (cz + d)^{-k} f\left(\frac{az + b}{cz + d}\right).$$

Classical modular forms – Definition 1

Here the group $\mathrm{SL}_2(\mathbb{Z})$ acts on \mathcal{H} from the left by the fractional linear transformation $z \mapsto \frac{az+b}{cz+d}$, which is closely related to matrix multiplication on the left:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} = \frac{1}{cz + d} \begin{pmatrix} az + b \\ 1 \end{pmatrix}.$$

The left action of $\mathrm{SL}_2(\mathbb{Z})$ on \mathcal{H} translates into a right action on the functions $f : \mathcal{H} \rightarrow \mathbb{C}$:

$$f|_{\gamma} : \mathcal{H} \rightarrow \mathbb{C}, \quad z \mapsto j(\gamma, z)^{-k} f(\gamma z) = (cz + d)^{-k} f\left(\frac{az + b}{cz + d}\right).$$

The transformation condition in the previous definition can then be restated more simply:

Classical modular forms – Definition 1

Here the group $\mathrm{SL}_2(\mathbb{Z})$ acts on \mathcal{H} from the left by the fractional linear transformation $z \mapsto \frac{az+b}{cz+d}$, which is closely related to matrix multiplication on the left:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} = \frac{1}{cz + d} \begin{pmatrix} az + b \\ 1 \end{pmatrix}.$$

The left action of $\mathrm{SL}_2(\mathbb{Z})$ on \mathcal{H} translates into a right action on the functions $f : \mathcal{H} \rightarrow \mathbb{C}$:

$$f|_{\gamma} : \mathcal{H} \rightarrow \mathbb{C}, \quad z \mapsto j(\gamma, z)^{-k} f(\gamma z) = (cz + d)^{-k} f\left(\frac{az + b}{cz + d}\right).$$

The transformation condition in the previous definition can then be restated more simply:

$$f|_{\gamma}(z) = f(z) \quad \text{for all } \gamma \in \mathrm{SL}_2(\mathbb{Z}).$$

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

- f is complex analytic on \mathcal{H}

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

- f is complex analytic on \mathcal{H} ,
- $f|_{\gamma}(z) = f(z)$ for all $\gamma \in \Gamma$

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

- f is complex analytic on \mathcal{H} ,
- $f|_{\gamma}(z) = f(z)$ for all $\gamma \in \Gamma$, and
- $|f|_{\gamma}(z)|$ is bounded as $\mathrm{Im} z \rightarrow +\infty$ for all $\gamma \in \mathrm{SL}_2(\mathbb{Z})$.

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

- f is complex analytic on \mathcal{H} ,
- $f|_{\gamma}(z) = f(z)$ for all $\gamma \in \Gamma$, and
- $|f|_{\gamma}(z)|$ is bounded as $\mathrm{Im} z \rightarrow +\infty$ for all $\gamma \in \mathrm{SL}_2(\mathbb{Z})$. (*holomorphic at the cusps*)

Classical modular forms – Definition 1

The first definition above is that of a modular form for the *full* group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

Definition

A modular form f of weight k for the congruence subgroup Γ is a function $f : \mathcal{H} \rightarrow \mathbb{C}$ such that:

- f is complex analytic on \mathcal{H} ,
- $f|_{\gamma}(z) = f(z)$ for all $\gamma \in \Gamma$, and
- $|f|_{\gamma}(z)|$ is bounded as $\mathrm{Im} z \rightarrow +\infty$ for all $\gamma \in \mathrm{SL}_2(\mathbb{Z})$. (*holomorphic at the cusps*)

Classical modular forms – Definition 2

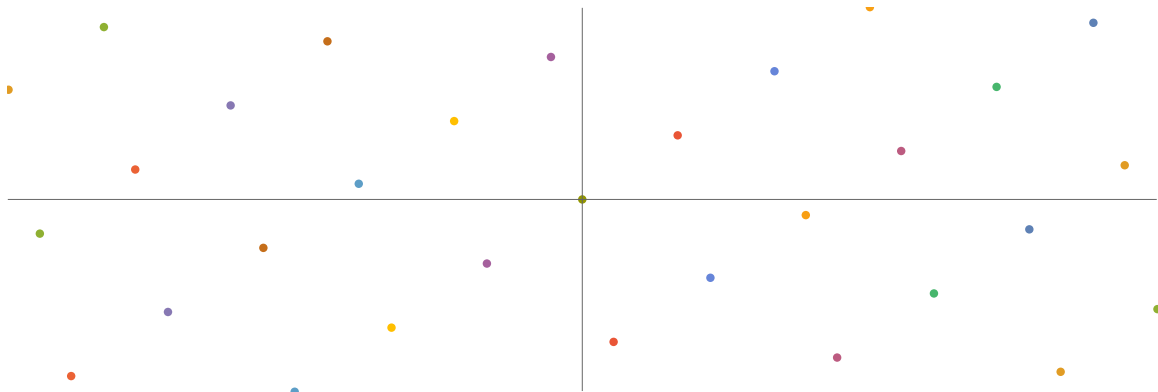
An alternative way to define a modular form is as a function on the space \mathcal{L} of lattices of rank 2

Classical modular forms – Definition 2

An alternative way to define a modular form is as a function on the space \mathcal{L} of lattices of rank 2; here a lattice (of rank 2) is a free rank-2 additive subgroup $\Lambda = a\mathbb{Z} + b\mathbb{Z} \subset \mathbb{C}$:

Classical modular forms – Definition 2

An alternative way to define a modular form is as a function on the space \mathcal{L} of lattices of rank 2; here a lattice (of rank 2) is a free rank-2 additive subgroup $\Lambda = a\mathbb{Z} + b\mathbb{Z} \subset \mathbb{C}$:



Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,

(?)

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,
- f is homogeneous of degree $-k$:

(?)

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,
- f is homogeneous of degree $-k$: $f(r\Lambda) = r^{-k}f(\Lambda)$ for all $r \in \mathbb{C}^\times$ and $\Lambda \in \mathcal{L}$

(?)

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,
- f is homogeneous of degree $-k$: $f(r\Lambda) = r^{-k}f(\Lambda)$ for all $r \in \mathbb{C}^\times$ and $\Lambda \in \mathcal{L}$, and
- $|f(\Lambda)|$ is bounded as long as the smallest element of Λ is bounded away from 0.

(?)

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,
 - f is homogeneous of degree $-k$: $f(r\Lambda) = r^{-k}f(\Lambda)$ for all $r \in \mathbb{C}^\times$ and $\Lambda \in \mathcal{L}$, and
 - $|f(\Lambda)|$ is bounded as long as the smallest element of Λ is bounded away from 0.
- (?)

If f is a modular form in this lattice sense, then $\bar{f}(z) = f(z\mathbb{Z} + \mathbb{Z})$ is a modular form in the complex-variable sense. In fact, the converse is also true, so that these two definitions are equivalent.

Classical modular forms – Definition 2

Definition

A modular form f of weight k for $\mathrm{SL}_2(\mathbb{Z})$ is a function $f : \mathcal{L} \rightarrow \mathbb{C}$ such that:

- f is analytic,
 - f is homogeneous of degree $-k$: $f(r\Lambda) = r^{-k}f(\Lambda)$ for all $r \in \mathbb{C}^\times$ and $\Lambda \in \mathcal{L}$, and
 - $|f(\Lambda)|$ is bounded as long as the smallest element of Λ is bounded away from 0.
- (?)

If f is a modular form in this lattice sense, then $\bar{f}(z) = f(z\mathbb{Z} + \mathbb{Z})$ is a modular form in the complex-variable sense. In fact, the converse is also true, so that these two definitions are equivalent.

To define a modular form for the congruence subgroup $\Gamma(N)$ as a function of lattices we actually define it as a homogeneous function $f(\Lambda, \alpha)$ of a lattice Λ together with a *level structure* $\alpha : N^{-1}\Lambda/\Lambda \hookrightarrow (N^{-1}/\mathbb{Z})^2$.

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

Classical analogue

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

Classical analogue

\mathbb{Q} , the rational numbers

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

$|\cdot|$, an absolute value on F with place ∞

Classical analogue

\mathbb{Q} , the rational numbers

the usual absolute value $|\cdot|$ on \mathbb{C}

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

$|\cdot|$, an absolute value on F with place ∞

A , the ring of elements of F regular away from ∞

Classical analogue

\mathbb{Q} , the rational numbers

the usual absolute value $|\cdot|$ on \mathbb{C}

\mathbb{Z} , the integers

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

$|\cdot|$, an absolute value on F with place ∞

A , the ring of elements of F regular away from ∞

\mathbb{F}_∞ , the completion of F with respect to $|\cdot|$

Classical analogue

\mathbb{Q} , the rational numbers

the usual absolute value $|\cdot|$ on \mathbb{C}

\mathbb{Z} , the integers

\mathbb{R} , the real numbers

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

$|\cdot|$, an absolute value on F with place ∞

A , the ring of elements of F regular away from ∞

\mathbb{F}_∞ , the completion of F with respect to $|\cdot|$

\mathbb{C}_∞ , the completion of an algebraic closure of \mathbb{F}_∞

Classical analogue

\mathbb{Q} , the rational numbers

the usual absolute value $|\cdot|$ on \mathbb{C}

\mathbb{Z} , the integers

\mathbb{R} , the real numbers

\mathbb{C} , the complex numbers

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

Function field object

F , a fixed global function field

$|\cdot|$, an absolute value on F with place ∞

A , the ring of elements of F regular away from ∞

\mathbb{F}_∞ , the completion of F with respect to $|\cdot|$

\mathbb{C}_∞ , the completion of an algebraic closure of \mathbb{F}_∞

Classical analogue

\mathbb{Q} , the rational numbers

the usual absolute value $|\cdot|$ on \mathbb{C}

\mathbb{Z} , the integers

\mathbb{R} , the real numbers

\mathbb{C} , the complex numbers

Here, analogously with the classical setting, A is a Dedekind ring. We also consider the positive integer q , which is the cardinality of the field of constants of F , with associated finite field \mathbb{F}_q .

The Drinfeld setting

We now move from the classical setting to that of function field arithmetic.

	Function field object	Classical analogue
$\mathbb{F}_q(T)$	F , a fixed global function field	\mathbb{Q} , the rational numbers
	$ \cdot $, an absolute value on F with place ∞	the usual absolute value $ \cdot $ on \mathbb{C}
$\mathbb{F}_q[T]$	A , the ring of elements of F regular away from ∞	\mathbb{Z} , the integers
$\mathbb{F}_q((\frac{1}{T}))$	\mathbb{F}_∞ , the completion of F with respect to $ \cdot $	\mathbb{R} , the real numbers
	\mathbb{C}_∞ , the completion of an algebraic closure of \mathbb{F}_∞	\mathbb{C} , the complex numbers

Here, analogously with the classical setting, A is a Dedekind ring. We also consider the positive integer q , which is the cardinality of the field of constants of F , with associated finite field \mathbb{F}_q .

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

- The main rings are of finite characteristic, and the absolute value $|\cdot|$ is *non-archimedean*; hence analytic issues such as convergence of series are in some ways easier, whereas defining an analytic function is more complex than in the classical case.

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

- The main rings are of finite characteristic, and the absolute value $|\cdot|$ is *non-archimedean*; hence analytic issues such as convergence of series are in some ways easier, whereas defining an analytic function is more complex than in the classical case.
- We do not generally have factorisation of elements of A into products of prime elements and ideals are not always principal. However, since A is a Dedekind ring we do have unique factorisation of *ideals* into products of prime ideals.

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

- The main rings are of finite characteristic, and the absolute value $|\cdot|$ is *non-archimedean*; hence analytic issues such as convergence of series are in some ways easier, whereas defining an analytic function is more complex than in the classical case.
- We do not generally have factorisation of elements of A into products of prime elements and ideals are not always principal. However, since A is a Dedekind ring we do have unique factorisation of *ideals* into products of prime ideals. So we henceforth let N be an arbitrary proper ideal of A .

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

- The main rings are of finite characteristic, and the absolute value $|\cdot|$ is *non-archimedean*; hence analytic issues such as convergence of series are in some ways easier, whereas defining an analytic function is more complex than in the classical case.
- We do not generally have factorisation of elements of A into products of prime elements and ideals are not always principal. However, since A is a Dedekind ring we do have unique factorisation of *ideals* into products of prime ideals. So we henceforth let N be an arbitrary proper ideal of A .
- Here \mathbb{C}_∞ has *infinite* dimension as a vector space over \mathbb{F}_∞ , whereas \mathbb{C} has dimension 2 as a vector space over \mathbb{R} .

Differences to the classical setting

In contrast with the classical setting, there are some key differences:

- The main rings are of finite characteristic, and the absolute value $|\cdot|$ is *non-archimedean*; hence analytic issues such as convergence of series are in some ways easier, whereas defining an analytic function is more complex than in the classical case.
- We do not generally have factorisation of elements of A into products of prime elements and ideals are not always principal. However, since A is a Dedekind ring we do have unique factorisation of *ideals* into products of prime ideals. So we henceforth let N be an arbitrary proper ideal of A .
- Here \mathbb{C}_∞ has *infinite* dimension as a vector space over \mathbb{F}_∞ , whereas \mathbb{C} has dimension 2 as a vector space over \mathbb{R} . As a result, whereas lattices in the classical case can have rank at most 2, here lattices can have arbitrarily high rank. This what makes the theory of ‘modular forms of higher rank’ possible.

My work – higher rank modular forms

Whereas most of the theory of Drinfeld modular forms has focused on modular forms of rank 2, due to the simplicity of dealing with a function of one variable and on analogy with the classical case, recent work by Gekeler (for $F = \mathbb{F}_q(T)$) and Basson, Breuer, and Pink (for general F) have established theories of Drinfeld modular forms of higher rank.

My work – higher rank modular forms

Whereas most of the theory of Drinfeld modular forms has focused on modular forms of rank 2, due to the simplicity of dealing with a function of one variable and on analogy with the classical case, recent work by Gekeler (for $F = \mathbb{F}_q(T)$) and Basson, Breuer, and Pink (for general F) have established theories of Drinfeld modular forms of higher rank.

Their approach has been viewing them as functions of $r - 1$ variables, whereas my PhD thesis establishes a theory viewing them as functions on the space of lattices of higher rank.

My work – higher rank modular forms

Whereas most of the theory of Drinfeld modular forms has focused on modular forms of rank 2, due to the simplicity of dealing with a function of one variable and on analogy with the classical case, recent work by Gekeler (for $F = \mathbb{F}_q(T)$) and Basson, Breuer, and Pink (for general F) have established theories of Drinfeld modular forms of higher rank.

Their approach has been viewing them as functions of $r - 1$ variables, whereas my PhD thesis establishes a theory viewing them as functions on the space of lattices of higher rank. My current work in this area is on further filling out the theory from this point of view.

Definition

A lattice Λ of rank r is a projective A -submodule of \mathbb{C}_∞ of rank r

Definition

A lattice Λ of rank r is a projective A -submodule of \mathbb{C}_∞ of rank r (i.e. a subset of \mathbb{C}_∞ of the form $I_1\psi_1 + \cdots + I_r\psi_r$ for ideals $I_i \subseteq A$ and $\psi_i \in \mathbb{C}_\infty$ which are \mathbb{F}_∞ -linearly independent).

Definition

A lattice Λ of rank r is a projective A -submodule of \mathbb{C}_∞ of rank r (i.e. a subset of \mathbb{C}_∞ of the form $I_1\psi_1 + \cdots + I_r\psi_r$ for ideals $I_i \subseteq A$ and $\psi_i \in \mathbb{C}_\infty$ which are \mathbb{F}_∞ -linearly independent).
A level N structure for a lattice Λ of rank r is an A -module bijection $(N^{-1}/A)^r \hookrightarrow N^{-1}\Lambda/\Lambda$.

Definition

A lattice Λ of rank r is a projective A -submodule of \mathbb{C}_∞ of rank r (i.e. a subset of \mathbb{C}_∞ of the form $I_1\psi_1 + \cdots + I_r\psi_r$ for ideals $I_i \subseteq A$ and $\psi_i \in \mathbb{C}_\infty$ which are \mathbb{F}_∞ -linearly independent).

A level N structure for a lattice Λ of rank r is an A -module bijection $(N^{-1}/A)^r \hookrightarrow N^{-1}\Lambda/\Lambda$.

We denote the space $\{(\Lambda, \alpha)\}$ of lattices Λ of rank r with level N structure α by \mathcal{L}_N^r , and the space of lattices Λ of rank r without level structure by \mathcal{L}^r .

Definition

A lattice Λ of rank r is a projective A -submodule of \mathbb{C}_∞ of rank r (i.e. a subset of \mathbb{C}_∞ of the form $I_1\psi_1 + \cdots + I_r\psi_r$ for ideals $I_i \subseteq A$ and $\psi_i \in \mathbb{C}_\infty$ which are \mathbb{F}_∞ -linearly independent).

A level N structure for a lattice Λ of rank r is an A -module bijection $(N^{-1}/A)^r \hookrightarrow N^{-1}\Lambda/\Lambda$.

We denote the space $\{(\Lambda, \alpha)\}$ of lattices Λ of rank r with level N structure α by \mathcal{L}_N^r , and the space of lattices Λ of rank r without level structure by \mathcal{L}^r .

We prove that these spaces are rigid analytic spaces by identifying them with a double quotient:

$$\mathcal{L}_N^r \simeq \mathrm{GL}_r(F) \backslash \left(\Psi^r \times \mathrm{GL}_r(\mathbb{A}_F^{fin}) / K(N) \right)$$

and so we can speak of holomorphic functions on these spaces.

Higher rank modular forms

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_\infty$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$, and
- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$, and
- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

My PhD thesis established the general theory of modular forms of higher rank as functions of lattices, and I am currently extending this theory in the following areas:

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$, and
- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

My PhD thesis established the general theory of modular forms of higher rank as functions of lattices, and I am currently extending this theory in the following areas:

- Proving that modular forms have Fourier-type series expansions at cusps

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_{\infty}$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$, and
- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

My PhD thesis established the general theory of modular forms of higher rank as functions of lattices, and I am currently extending this theory in the following areas:

- Proving that modular forms have Fourier-type series expansions at cusps, and
- Defining Hecke operators and proving their recursive properties.

My work – Eisenstein series in rank 2

My work – Eisenstein series in rank 2

Typical examples of modular forms of rank 2 for $\Gamma(N)$ are the (partial) Eisenstein series:

$$E_{r_1, r_2}(z) = \sum_{m, n \in A} \frac{1}{(m + r_1)z + n + r_2} \quad \text{for } r_1, r_2 \in N^{-1}A/A;$$

which are forms of weight 1.

My work – Eisenstein series in rank 2

Typical examples of modular forms of rank 2 for $\Gamma(N)$ are the (partial) Eisenstein series:

$$E_{r_1, r_2}(z) = \sum_{m, n \in A} \frac{1}{(m + r_1)z + n + r_2} \quad \text{for } r_1, r_2 \in N^{-1}A/A;$$

which are forms of weight 1.

Cornelissen proved that in the case of $A = \mathbb{F}_q[T]$ the graded algebra of Drinfeld modular forms of rank 2 for $\Gamma(N)$ are generated by these Eisenstein series and possibly some cusp forms of weight 2, but it is not known whether or not these cusp forms are necessary.

My work – Eisenstein series in rank 2

Typical examples of modular forms of rank 2 for $\Gamma(N)$ are the (partial) Eisenstein series:

$$E_{r_1, r_2}(z) = \sum_{m, n \in A} \frac{1}{(m + r_1)z + n + r_2} \quad \text{for } r_1, r_2 \in N^{-1}A/A;$$

which are forms of weight 1.

Cornelissen proved that in the case of $A = \mathbb{F}_q[T]$ the graded algebra of Drinfeld modular forms of rank 2 for $\Gamma(N)$ are generated by these Eisenstein series and possibly some cusp forms of weight 2, but it is not known whether or not these cusp forms are necessary.

I have some partial computational results in this direction: for specific N we can reduce it to linear algebra using the series expansions of these Eisenstein series at the cusps and the known dimension of the space of weight 2 modular forms, but I hope to finish it off analytically.