



Drinfeld modular forms

IAS Short Talk

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Classical modular forms – connections

Modular forms are analytic functions on the complex upper half plane which have important number theoretical properties.

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A (classical) modular form f of weight $k \in \mathbb{N}_0$ for the group $\mathrm{SL}_2(\mathbb{Z})$ is a function on the complex upper half plane $\mathcal{H} = \{z \in \mathbb{C} \mid \mathrm{Im} z > 0\}$ satisfying the following properties:

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- f is complex analytic on \mathcal{H} ,
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- $|f(z)|$ is bounded as $\mathrm{Im} z \rightarrow +\infty$. *(holomorphic at infinity)*

The second condition can be written as $f(\gamma z) = j(\gamma, z)^{-k} f(z)$ for all $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$, with *factor of automorphy* $j(\gamma, z) = cz + d$.

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Here the group $\mathrm{SL}_2(\mathbb{Z})$ acts on \mathcal{H} from the left by the fractional linear transformation $z \xrightarrow{\gamma} \frac{az+b}{cz+d}$, which is closely related to matrix multiplication on the left:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} z \\ 1 \end{pmatrix} = \begin{pmatrix} az + b \\ cz + d \end{pmatrix} = \frac{1}{cz + d} \begin{pmatrix} az + b \\ 1 \end{pmatrix}.$$

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The left action of $\mathrm{SL}_2(\mathbb{Z})$ on \mathcal{H} translates into a right action on the functions $f : \mathcal{H} \rightarrow \mathbb{C}$:

$$f|_{\gamma} : \mathcal{H} \rightarrow \mathbb{C}, \quad z \mapsto j(\gamma, z)^k f(\gamma z) = (cz + d)^k f\left(\frac{az + b}{cz + d}\right).$$

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The transformation condition in the previous definition can then be restated more simply:

$$f|_{\gamma}(z) = f(z) \quad \text{for all } \gamma \in \mathrm{SL}_2(\mathbb{Z}).$$

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The first definition above is that of a modular form for the *full* modular group $\mathrm{SL}_2(\mathbb{Z})$. More generally, for any $N \in \mathbb{N}$ we can define a modular form for any *congruence subgroup* $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$:

$$\Gamma \supseteq \Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} :$$

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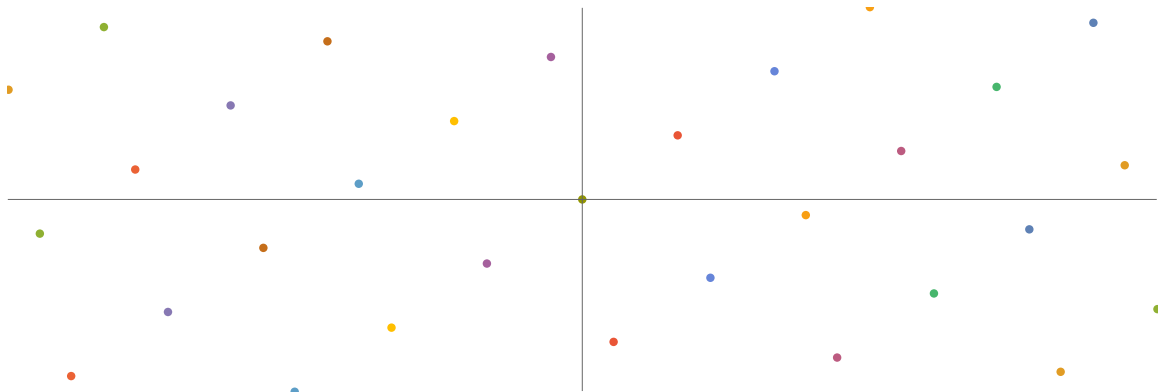
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If f is a modular form in this lattice sense, then $\bar{f}(z) = f(z\mathbb{Z} + \mathbb{Z})$ is a modular form in the complex-variable sense. In fact, the converse is also true, so that these two definitions are equivalent.

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Defining a modular form for the congruence subgroup $\Gamma(N)$ as a function of lattices is not as simple, but more on this later!

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We now move from the classical setting to that of function field arithmetic.

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Here, analogously with the classical setting, A is a Dedekind ring. We also consider the positive integer q , which is the cardinality of the field of constants of F , with associated finite field \mathbb{F}_q .

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- Here \mathbb{C}_∞ has infinite dimension as a vector space over \mathbb{F}_∞ , whereas \mathbb{C} has dimension 2 as a vector space over \mathbb{R} . As a result, whereas lattices in the classical case can have rank at most 2, here lattices can have arbitrarily high rank. This what makes the theory of ‘modular forms of higher rank’ possible.

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Additionally, in the rank 2 case there is the question of generators for the (graded) algebra of modular forms for the principal congruence subgroup $\Gamma(N)$, where I have some partial computational results.

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Every lattice has $\#\mathrm{GL}_r(A/N)$ different level N structures, since A is a Dedekind ring.

We denote the space of lattices Λ of rank r with level N structure α by \mathcal{L}_N^r , and the space of lattices Λ of rank r without level structure by \mathcal{L}^r .

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- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

Higher rank modular forms

We define metrics $d_{\mathcal{L}}$ and $d_{\mathcal{L}_N^r}$ on the rigid analytic spaces \mathcal{L}^r and \mathcal{L}_N^r , leading to their completions $\mathcal{L}^{\leq r}$ and $\overleftarrow{\mathcal{L}_N^r}$, where the boundaries consist of spaces of lattices of lower rank.

Definition

A *modular form* of weight k and rank r for $K(N)$ is a function $f : \overleftarrow{\mathcal{L}_N^r} \rightarrow \mathbb{C}_\infty$ which is:

- holomorphic on the interior \mathcal{L}_N^r of $\overleftarrow{\mathcal{L}_N^r}$,
- homogeneous of degree $-k$, and
- continuous on $\overleftarrow{\mathcal{L}_N^r}$.

My PhD thesis was on the general theory of modular forms of higher rank as functions of lattices, and I am currently working on translating the theory of Hecke operators to this viewpoint.

Typical examples of modular forms of rank 2 for $\Gamma(N)$ are the (partial) Eisenstein series:

$$E_{r_1, r_2}(z) = \sum_{m, n \in A} \frac{1}{(m + r_1)z + n + r_2} \quad \text{for } r_1, r_2 \in N^{-1}A/A;$$

here we are considering the case of $A = \mathbb{F}_q[T]$.

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