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# Mechanical fatigue of biodegradable polymers: A study on polylactic acid (PLA), polybutylene succinate (PBS) and polybutylene adipate terephthalate (PBAT)

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### ABSTRACT

The fatigue behavior of three biodegradable polymers, PLA (polylactic acid), PBS (polybutylene succinate) and PBAT (polybutylene adipate terephthalate) was determined under cyclic tension/tension loading in strain-controlled measurements. Stress response and surface temperature were recorded and analyzed to compare their behavior and evolution with on-going fatigue. The stress was decomposed into linear and nonlinear contributions by Fourier transform (FT) analysis and higher harmonics were correlated with fatigue. The surface temperature variation is analyzed as a function of the strain amplitude and compared for these materials during fatigue. The relative even  $(I_{2/1})$  and odd  $(I_{3/1})$  higher harmonics (ratio between the  $n^{th}$  harmonic to the fundamental one  $(I_{n/1})$ ) in the stress response were found to be good criteria for fatigue characterization. The cumulative nonlinearity parameter  $(^nQ_f)$ , defined as the (cycle number) integral of the relative higher harmonic, normalized to the strain amplitude with an exponent of n-1, was found to be the best criterion to quantify mechanical fatigue and predict fatigue lifetime.

# 1. Introduction

Mechanical fatigue occurs when a material is exposed to cyclic loading, which is related to its intrinsic mechanical properties and structure. Usually, the fatigue life of thermoplastics, such as highdensity polyethylene (HDPE), polyamide 6 (PA6), ultra high molecular weight polyethylene (UHMWPE) and polypropylene (PP), polystyrene (PS) decreases with increasing strain amplitude [1-4]. Increasing the strain ratio (minimum strain divided by maximum strain) from 0.1 to 0.5 was found to decrease the fatigue resistance (fatigue life = number of cycle to failure,  $N_f$ ) of polymethylmethacrylate (PMMA) and PS by up to one order of magnitude [5]. A recent study also reported an important decrease of the fatigue resistance when low density polyethylene (LDPE) was exposed to ultraviolet (UV) irradiation [6]. But increasing the molecular weight can improve the fatigue performance under fixed testing conditions [2,3]. Although several investigations studied the fatigue behavior of a broad range of thermoplastics, information on the fatigue performance of biodegradable polymers is almost inexistent in the current literature even if these materials are gaining more interest for different applications, especially in packaging. With respect to

sustainability, *carbon neutral* or *net-zero* concepts became the new mantra in this century to limit global warming below 2 °C, but preferably to 1.5 °C above pre-industrial levels [7]. For example, greenhouse gas (GHG) emissions from plastics is expected to reach 15% of the global carbon budget by 2050 [8]. Therefore, minimizing the carbon footprint from plastics is an essential part of GHG mitigation. However, a very limited amount of plastics waste is recycled (around 9% in Canada [9]) which is detrimental to achieve the target [10]. Recent studies indicated that integrating recycling and replacing fossil fuel-based plastics by biodegradable/compostable/biobased plastics is a promising approach to reduce GHG emissions from plastics [10,11].

One option is to replace petroleum-based polymers by biosourced/biodegradable polymers with or without natural fiber reinforcement. This transition implies replacing environmental unfriendly (petrobased) plastics by biodegradable/compostable (preferably bio-based) ones, like PLA (polylactic acid), PBS (polybutylene succinate) and PBAT (polybutylene adipate terephthalate), for applications, such as packaging, automotive, consumer goods, healthcare, etc. Furthermore, these polymers can be filled with biobased particles (lignocellulosic fibers for example) to further improve their mechanical performances.

One example is cellulose nanofibers, which can improve the

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Nomenclature		strain ratio, $\varepsilon_{min}/\varepsilon_{max}$
	tan δ	loss factor
$d(F/F_0)/dN$ loading degradation rate	$T_a$	ambient temperature
$dI_{3/1}/dN$ rate of change of $I_{3/1}$	$T_g$	glass transition temperature
E' storage modulus	$W_{diss}$	dissipate energy
E" loss modulus		
F test frequency	Greek le	
F maximum force during cyclic loadings	$\Delta T$	surface temperature rise
$F_0$ initial value of F from the first loading	$\Delta T_{max}$	maximum surface temperature rise
$I_i$ fundamental harmonic	$\delta_n$	phase angle of the n <sup>th</sup> harmonics
$I_{2/1}$ ratio of the second harmonic normalized by the	$\varepsilon_0$	strain amplitude
fundamental	$\sigma$	stress
$I_{3/1}$ ratio of the third harmonic normalized by the fundamental	Ø	phase angle
5,1	$\phi$	phase shift
$^{n}Q$ $I_{n/1}/\varepsilon_{0}^{n-1}$	$\omega_{1}$ $\omega$	angular frequency of the deformation
${}^{n}Q_{f}$ cumulative nonlinearity	1,	

mechanical stiffness, strength and elastic modulus of polymers [12]. Blending CNC with PBS was found to increase the Young's modulus at the cost of elongation at break. Higher yield strength was observed when the CNC content was below 3 wt% [13]. Addition of reinforcement based on biomass to PBS was reported to increase the tensile properties [14-20], while some natural fillers (lignin, starch, etc.) decreased them [21-23]. The mechanical properties of PBAT can also be improved by producing composites. However, the main challenge is always the compatibility between nonpolar/hydrophobic matrices with polar/hydrophilic natural fillers [24,25]. To overcome this issue, surface modification of the fillers must be conducted to improve the interaction with the matrix. Blending surface modified CNC [26-28], nanofibrillated cellulose [29], and organoclay [30] can improve the elastic modulus and tensile strength. For example, 6 wt% acetylated cellulose nanocrystals (CNC) into PLA was shown to improve the tensile strength by 61% due to good interactions (high interfacial adhesion) with the neat PLA [31]. However, lower tensile strength (10-20%) and elongation at break (11-17%) of PLA/nanoclay (0-5 %wt.) composite was observed [32].

For specific applications with a long service life, it is essential to determine their long terms properties/endurance. For design purposes, studies dedicated to mechanical properties prediction must be done [33]. However, very limited information is available on the fatigue behavior of biodegradable polymers (alone or as the matrix of composites). Most of the data available are from additively manufactured (AM) samples based on PLA. For example, it was reported that the raster angle during printing highly affected the fatigue lifetime of unnotched sample and 45° was identified as providing the optimal performance [34]. However, Bzeh et al. suggested that 3D printed PLA can be treated as a homogenous and isotropic material from a fatigue point of view [35]. For notched PLA specimens, an increase of the notch angle decreased the fatigue resistance as the cracks propagated along the filaments orientation [36]. Cicero et al. found that for notched specimen (localized defect), the optimal orientations were  $30^{\circ}/-60^{\circ}$  and  $45^{\circ}/-45^{\circ}$ leading to higher fracture resistance [37]. But the measuring conditions also affect the final results. For example, Vanaei et al. reported that increasing the loading amplitude (5-55 MPa) and frequency (1-80 Hz) highly reduced the fatigue lifetime of 3D printed PLA [38].

Although some investigations provided useful information on the mechanical properties of biodegradable polymers, they mostly focused on the mechanical properties under static and quasi-static testing. No comprehensive overview or comparison of the fatigue properties of these polymers can be found in the literature. Therefore, more research must be done to fully characterize the fatigue behavior of these polymers as a systematic characterization of their fatigue behavior is still an uncharted territory. To provide a broader overview, this work investigates and compares the mechanical fatigue behavior of three commercially available polymers: PBS, PBAT and PLA. For example, PLA is an

interesting material applied in 3D printing and PBS is considered as a suitable biodegradable replacement of polypropylene. Furthermore, their scope of application is still growing due to their biodegradability. But first, the main theories and parameters are described to relate with the results presented. But first, the main theories and parameters are described to relate with the results presented.

### 2. Nonlinear theory based on FT analysis

For sinusoidal strain-controlled fatigue tests, the stress response of polymers becomes nonlinear under sufficiently large oscillatory strains [5]. In this case, the stress response is no longer in phase with the input strain and the linear parameters partly loose their meaning as a material property [39]. However, this nonlinear behavior can be quantified via Fourier transform (FT) with high sensitivity by separately analyzing each higher harmonics in the frequency domain from their response in the time domain [40–42]. This transformation (time to frequency) can be done via FT and quantified by a limited number of frequency as  $I(\omega_1)$ ,  $I(2\omega_1)$ ,  $I(3\omega_1)$ , etc., while the remaining points of the truncated spectra are assumed to be non-periodic (noise) as a first approximation [5]. Thus, the stress in the frequency domain can be expressed as [43]:

$$\sigma = I_1 e^{i\omega t + \delta_1} + I_2 e^{2i\omega t + \delta_2} + I_3 e^{3i\omega t + 3} + I_4 e^{4i\omega t + \delta_4} + I_5 e^{5i\omega t + \delta_5} + \cdots$$
 (1)

More details on FT analysis of mechanical signals can be found in the works of Hirschberg et al. [1-3,5,39,42,43] and Hyun et al. [40,44]. The higher harmonics ( $I_n$ , n > 2) are generally normalized by the fundamental one ( $I_1$ ) and denoted as  $I_{n/1}$ . With this normalization, the intensity of each higher harmonics for different materials or testing conditions can be easily compared [5].

To further quantify nonlinear parameters as fatigue criteria, the intensities ( $I_{n/1}$ , n > 1) can be normalized by  $\varepsilon_0^m$  and the ratio is defined as the Q parameter [3]. Since higher harmonics depend on the strain amplitude via power-law functions with an exponent m = n - 1, the Q parameters are calculated as [45,46]:

$${}^{n}Q = \frac{I_{n/1}}{\varepsilon_0^{n-1}} \tag{2}$$

The cumulative nonlinearity  ${}^{n}Q_{f}$  is obtained by integration of  ${}^{n}Q$  from the beginning of a test until specimen failure as:

$${}^{n}Q_{f} = \int\limits_{0}^{N_{f}} QdN \tag{3}$$

where  $N_f$  is the number of cycles for failure. As proposed by Hirschberg et al. [3], the  ${}^3Q_f$  parameter can provide better statistics to quantify fatigue since it is independent of the measurement conditions and only

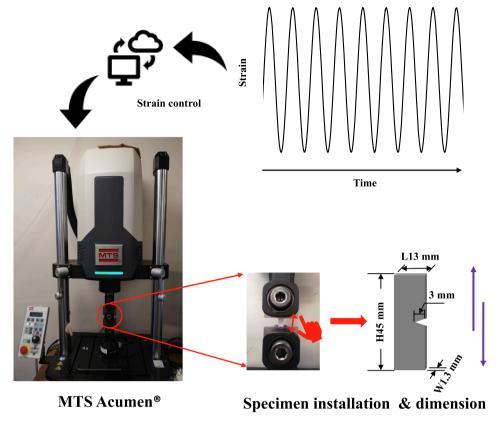


Fig. 1. Schematic diagram of the fatigue characterization set-up and conditions.

**Table 1**Material properties and molding conditions.

Material properties					
Resin	PLA (2003D)	PBS (FZ71)	PBAT(TH801T)		
Density, g/cm <sup>3</sup>	1.25	1.26	1.21		
Melting point, °C	130-180 [47,48]	115 [47]	110-122 [49]		
Glass transition, °C	50-80 [48]	-28.5[50]	-33 [49,51]		
Molding conditions					
Temperature, °C	180 [52]	160 [51]	140		
Pressure, MPa	0.05 [53]	0.05 [53]	0.05 [53]		
Time, min	20	20	20		

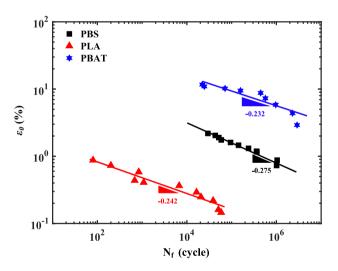
### related to fatigue.

Here, the second  $(I_{2/1})$  and third  $(I_{3/1})$  higher harmonics were selected to quantify the cumulative nonlinearity as  $^2Q_f$  and  $^3Q_f$ , respectively. Therefore, a quantitative comparison of the nonlinear parameters is investigated under dynamic tension–tension fatigue testing analyzed via FT characterization.

### 3. Experimental details

### 3.1. Materials

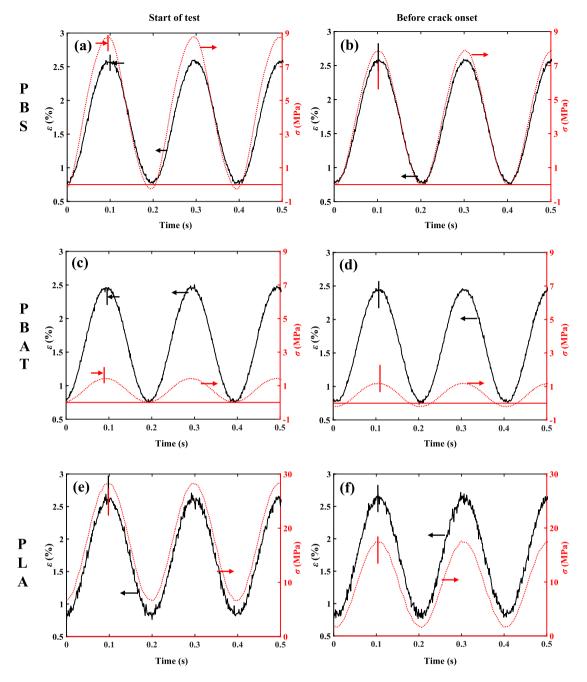
Three biodegradable polymers were selected to compare their fatigue behavior: PLA (2003D, NatureWorks), PBS (FZ71, Mitsubishi) and PBAT (TH801T, TunHe). The specimens were compression molded into a notched rectangular mold with dimensions of: length  $=13\,$  mm, width  $=45\,$  mm and thickness  $=1.3\,$  mm as shown in Fig. 1. The V-notch was in the middle with an angle of  $45^\circ$ . More details about the materials and molding conditions can be found in Table 1.



**Fig. 2.** Strain-life curves for PBS ( $\blacksquare$ ), PBAT ( $\star$ ) and PLA ( $\blacktriangle$ ): strain amplitude ( $\varepsilon_0$ ) as a function of the number of cycles to failure ( $N_f$ ).

### 3.2. Dynamic fatigue testing & thermal characterization

The experiments were performed under sinusoidal deformation (strain controlled) on an Acumen 3 (MTS) fatigue testing setup as shown in Fig. 1. The strain amplitudes chosen are up to 50% of the strain at yield of each polymer to avoid high differences between the testing conditions. The specimens were submitted to uniaxial tension–tension oscillation at a frequency of 5 Hz and a strain ratio R=0.3. To improve anti-aliasing performance and increase data resolution (reduce noise), oversampling was applied. [54] The time signals of the force and displacement were recorded by a data acquisition card with a sampling



**Fig. 3.** Cyclic loading of the three materials at  $\varepsilon_0 = 0.875\%$  and f = 5 Hz: time series strain and stress response at the start of the tests for: (a) PBS, (c) PBAT, (e) PLA; time series of strain and stress response before crack onset for: (b) PBS, (d) PBAT, (f) PLA.

rate of 200 points/cycle. The experiments were conducted at ambient temperature ( $T_a=23\,^{\circ}$ C) and followed by a thermal camera (FLIR ThermoVision A320) registering surface temperature of the polymers during a fatigue test with a sampling rate of 35 points/second. The materials' nonlinear mechanical properties were extracted via MATLAB code based on *Fourier* transform developed in-house.

### 4. Results and discussion

### 4.1. Fatigue characterization - Strain-life curve

The fatigue life  $(N_f)$  is correlated with the applied strain amplitude  $(\varepsilon_0)$  in Fig. 2 as strain-life curves and the results can be well fitted to a power-law relation as [2]:

$$\varepsilon_0 = AN_f^C \tag{4}$$

For all materials, the exponent C is quite similar (about -1/4) ranging from -0.275 to -0.232, but the prefactor A varies significantly (PLA = 2.56, PBS = 37.9, PBAT = 133.6). The fatigue resistance of brittle PLA is very limited as it is not able to sustain strain amplitudes of >1%. The toughness of ductile PBAT is the highest, resulting in the highest fatigue resistance, followed by PBS and PLA. A comparison between the mechanical properties of the three polymers can be obtained by referring to their stress–strain curves in Fig. A.1.

### 4.2. Analysis of the stress response

To compare the strain-stress response among the three

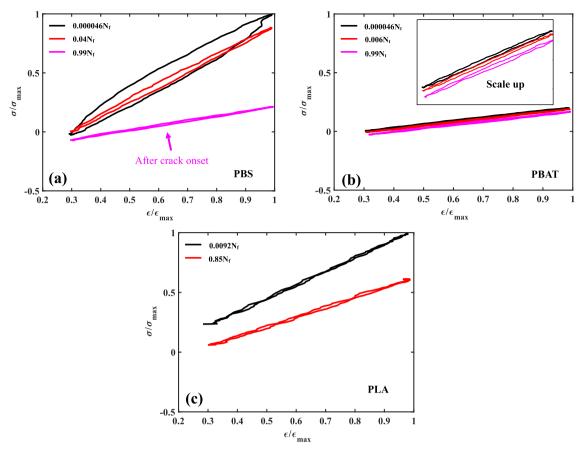


Fig. 4. Hysteresis (Lissajous) curves of different cyclic loadings during testing at  $ε_0 = 0.875\%$  and f = 5 Hz for: (a) PBS, (b) PBAT, (c) PLA. The stress and strain were normalized by their respective maximum value.  $σ_{max}$  is the peak stress of the first cycle.

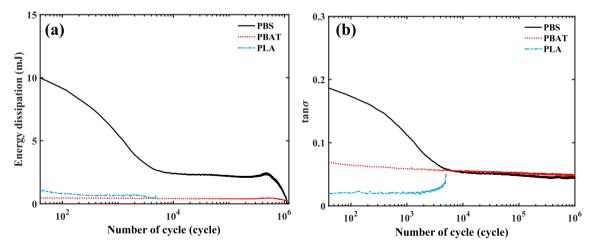


Fig. 5. Comparison of: (a) energy dissipation ( $W_{diss}$ ) and (b) loss factor (tan δ) during cyclic tension–tension loading (fatigue tests) at a strain amplitude  $\varepsilon_0 = 0.875\%$  and a frequency f = 5 Hz for PBS, PBAT and PLA.

biodegradable polymers PBS, PBAT and PLA, the time signals of the strain and stress under identical conditions ( $\varepsilon_0=0.875\%$ , f=5 Hz) are presented in Fig. 3. The strain amplitude of  $\varepsilon_0=0.875\%$  at R=0.3 is the maximum  $\varepsilon_0$  that PLA can sustain for the given specimen geometry. Interestingly, the phase shift,  $\varphi(t)=\phi_{in}(t)-\phi_{out}(t)$ , decreases for PBS during testing (Fig. 3a,b); i.e. the materials becomes more elastic. Here,  $\phi_{in}(t)$  and  $\phi_{out}(t)$  are the phase angle of the imposed sinusoidal strain signal (input) and the sinusoidal stress response (output) at a time t, respectively. However, this trend is limited for PBAT (Fig. 3c,d) and PLA (Fig. 3e,f). The difference between the input strain and out-of-phase

response stress is a strong indication that PBS has a stronger viscous behavior than PBAT and PLA under the selected conditions, dissipating more heat with ongoing dynamic cycling as discussed later.

An informative parameter to assess the fatigue behavior of materials is the energy dissipation. Intrinsic self-heating and thermoelastic coupling during dynamic fatigue testing are generic fingerprints for fatigue prediction [1]. It was expected that the viscoelastic/plastic behavior of biodegradable polymers contributes to energy absorption and dissipation during dynamic loading. Fig. 4 describes the strainstress hysteresis loop at specific cycles. As expected, the maximum stress

**Table 2** Complex, storage and loss moduli for the three polymers in the linear regime tested at  $\varepsilon_0=0.875\%$  and f=5 Hz.

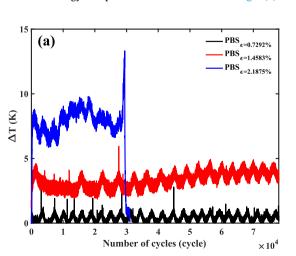
Modulus	PBS	PBAT	PLA
E* , MPa	22.5	4.05	40.4
E', MPa	22.5	4.04	40.3
E'', MPa	1.08	0.28	0.90
tan δ	0.048	0.069	0.022

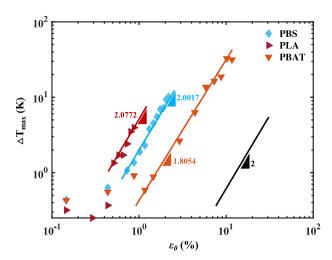
**Table 3** Cumulative nonlinearity ( ${}^2Q_{f_1}$   ${}^3Q_{f}$ ), strain amplitudes and fatigue lifetime of PBS and PBAT.

$\varepsilon_0$ (%)	PBS			PBAT		
	N <sub>f</sub> (10 <sup>3</sup> cycle)	$^{2}Q_{f}$ (10 <sup>3</sup> )	$^{3}Q_{f}$ (10 $^{3}$ )	$N_f (10^3$ cycle)	$^{2}Q_{f}$ (10 $^{3}$ )	$^{3}Q_{f}$ (10 $^{3}$ )
11.67 10.21 8.75 7.29 5.83 2.92 2.19 2.04 1.90 1.75 1.60 1.46	N.A.  29 43 51 58 94 142 238	28 28 33 31 39 51	1679 3892 5399 5958 9343 13,416 24,978	22 71 445 568 967 2300 N.A.	96 232 1055 1333 1758 1470	291 341 3226 6046 12,967 25,143
1.31	367	120	47,342			

decreased with increasing number of cycles (*N*) for all materials, indicating a loss (degradation) of mechanical strength: i.e. lower modulus values. Although these observations are analyzed based on selected results, they are representative of the overall behavior over the course of the tests as fatigue is a continuous process.

The energy dissipation ( $W_{diss}$ ) can be compared based on the enclosed area of the hysteresis loop (strain–stress curves). It is worth to note that PBS has the largest area compared to PBAT and PLA for the cycles before crack onset. PBAT and PLA have comparable heat dissipation, which is further quantified by integrating the force and displacement in each cycle. Fig. 5(a) presents the energy dissipation during the fatigue test up to complete fracture or to reach  $10^6$  cycles [1]. PBS has the highest energy dissipation at the beginning of the test, which decreases until a plateau after about  $10^3$  cycles is reached. At complete fracture the dissipated energy evidently drops to zero. PBAT dissipates, under the same measurement conditions, less heat than PLA, but both polymers produce less energy dissipation than PBS as shown in Fig. 5(a).





**Fig. 7.** Maximum temperature rise ( $\Delta T_{max}$ ) as a function of the strain amplitude for PBS, PBAT and PLA.

Fig. 5(b) shows that the loss factor ( $\tan \delta = E''/E'$ ) of PBS during fatigue has the highest value among the three polymers, leading to more energy dissipation in Fig. 5(a), because the loss modulus (E'') is correlated to energy dissipation via:

$$W_{diss} = \pi \varepsilon_0^2 E''(\varepsilon_0, t) \tag{5}$$

Here, the complex moduli ( $|E^*|$ ) are extract by the ratio of stress amplitude ( $I_1$ ) to the strain amplitude ( $\varepsilon_0$ ), while the storage (E') and loss (E'') moduli can be obtained by multiplying  $I_1$  by  $\cos\delta_1$  and  $\sin\delta_1$ , respectively. Although PBAT has higher  $\tan\delta$  than PLA (Fig. 5(b)), it releases less energy. This can be ascribed to its much lower complex moduli ( $|E^*|$ ) as reported in Table 2. Consequently, a larger phase angle ( $\delta$ ) does not inherently result in higher loss modulus as  $E'' = |E^*| \sin\delta$  (see Table 3).

# 4.3. Thermal stability in dynamic fatigue tests

Thermal analysis based on an increase of the surface temperature from the experimental observation under fatigue testing is an approach to estimate the fatigue limit of materials [55]. The temperature rise is strongly associated with the applied stress/strain amplitude under dynamic loading conditions [1,56].

Since the temperature rise for PLA under its maximum strain amplitude ( $\sim$ 1%) is lower than for the other polymers tested and showing similar trends as for PBS, only the data of PBS and PBAT are

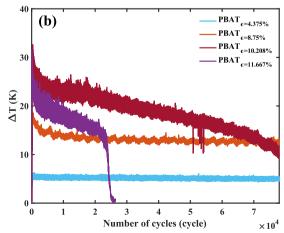


Fig. 6. Typical surface temperature evolution during fatigue tests at different strain amplitudes (f = 5 Hz) for: (a) PBS and (b) PBAT.

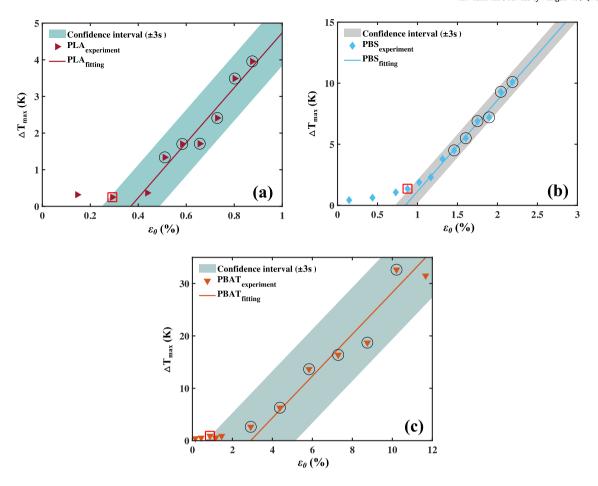


Fig. 8. Fatigue limit evaluation based on the maximum temperature rise for: (a) PLA, (b) PBS and (c) PBAT.

presented to show their different behaviors in terms of the mechanicalthermal coupling effect.

Fig. 6 reports the surface temperature rise ( $\Delta T$ ) monitored at different strain amplitude for PBS and PBAT. At the beginning of the test, the surface temperature of PBS rapidly increases and reaches a plateau. Increasing the strain amplitude considerably increases the temperature difference ( $\Delta T$ ). Similar trends can be observed for PBAT, except the temperature reaches a maximum value at first and then decreases monotonically instead of having a stationary value at high level of strain amplitude. The difference between PBS and PBAT at high strain amplitudes can be ascribed to the high susceptibility of PBAT to temperature changes associated with heat generation. Firstly, after crack initiation and propagation across the specimens, heat dissipation decreases due to lower mechanical property (E''). Secondly, PBAT has lower specific heat 0.2 W/(m·K) compared to PBS (2.25 W/(m·K)). This would lead to a 4-5 fold surface temperature decrease for PBAT compared to PBS under the same amount of energy generation. Therefore, both factors contribute to the different temperature behavior at high strain amplitudes between PBS and PBAT.

To better analyze the relationship between the maximum surface temperature rise ( $\Delta T_{max}$ ) and the applied strain amplitude ( $\epsilon_0$ ), Fig. 7 plots  $\Delta T_{max}$  as a function of  $\epsilon_0$  for the investigated materials. A quadratic relation (Eq. (2)) between  $\Delta T_{max}$  and  $\epsilon_0$  is observed above a critical strain amplitude depending on the material. However, no distinct trend can be concluded once the strain amplitude is below this critical strain. Therefore, this critical strain can be identified as a transition point to determine whether fatigue would happen at a given strain amplitude based on the surface temperature change. These information leads to the possibility of linking the surface temperature rise to the fatigue behavior since the applied strain amplitude can also highly affect the fatigue life

as shown in Fig. 2. In our case, PLA has the highest temperature rise ( $\Delta T_{max}$ ) below  $\varepsilon_0=0.875\%$  in Fig. 7 but dissipates much less energy than PBS as shown in Fig. 5. This is because the thermal conductivity of PLA (0.13 W/(m·K) [57]) is one order of magnitude less than PBS (2.25 W/(m·K) [58]). Another reason can be attributed to the fatigue process itself. For PBS it takes more cycles to reach the maximum temperature rise, as it occurs after the dissipated energy reached a plateau value as shown in Fig. 5.

According to Rosa et al. [56], a fingerprint of the surface temperature allows to rapidly determine the fatigue limit of materials. It actually gives an indication if the material has high fatigue resistance (10<sup>6</sup> cycles for example) below a critical stress/strain value. Their work also highlighted another important aspect: if the applied loading was below the fatigue limit of the material, the maximum temperature rise was limited. However, if the loading was above the fatigue limit, substantial temperature increase with distinct stages can be observed as shown in Fig. 6. These phenomena were closely related to the fatigue mechanisms as explained by Guo et al. [59]. Based on their theory, the relationship between self-heating and loading conditions originates from a change of heat generating mechanism during fatigue [60]. The main mechanism below the fatigue limit is viscoelastic dissipation, which only produces limited amount of heat per cycle. Once the loading conditions are above the fatigue limit, microplastic dissipation becomes the dominant heat generation source producing a large amount of heat per cycle. This difference can be used for fatigue limit assessment during thermal characterization. Fig. 7 shows a clear transition from lower to higher loads as the correlation between strain amplitude and maximum surface temperature rise in a log-log plot. For microplastic dissipation, selfheating as a function of strain amplitude can be fit to a linear relation on log-log scale with a slope of about 2 (1.81-2.08). If the material was

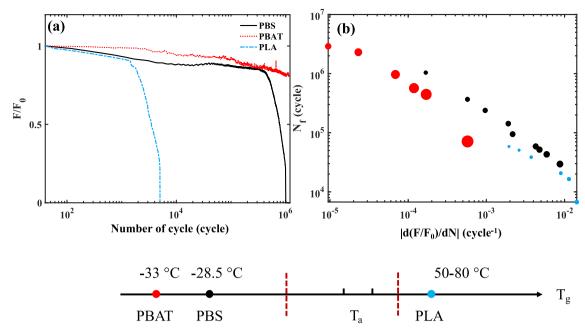
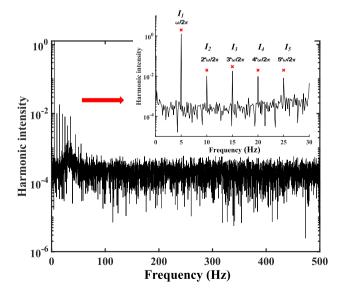


Fig. 9. (a) Evolution of the peak force degradation  $(F/F_0)$  as a function of the number of cycles (N) for fatigue tests at  $\varepsilon_0 = 0.875\%$  and f = 5 Hz. (b) Correlation between the strain amplitude  $(\varepsilon_0)$ , degradation rate  $(d(F/F_0)/dN)$  and fatigue life  $(N_f)$  for: PBS  $(\bullet)$ , PBAT  $(\bullet)$  and PLA  $(\bullet)$ . The symbol size is proportional to the strain amplitudes  $(\varepsilon_0)$ .

submitted to the identical heat generation mechanism (microplastic dissipation), the data should be within a statistical reproducibility range [56,59–61]. Otherwise, the data would deviate from the regression line due to a significant difference between the regimes of viscoelastic and microplastic dissipation. To assure a reliable and statistically significant analysis, the boundaries of  $\pm 3\sigma$  were defined to include over 99% probability that microplastic dissipation points would fall into this interval as shown in Fig. 8. The standard deviation (s) was calculated from the distance (residues) of each point in the microplastic regime away from the regression line [62,63]. The data points scattered outside the intervals in Fig. 8 would be considered as viscoelastic dissipation.

Fig. 8 presents the evaluation of the fatigue limit for the investigated polymers. Six data points in the microplastic dissipation region, as marked by black circles, were selected as suggested by Guo et al.[59]

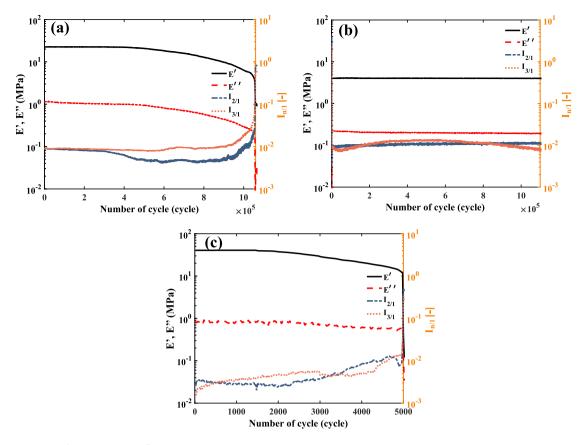


**Fig. 10.** Fourier spectra of the force response of PBS at  $\varepsilon_0=0.875\%$  and f=5 Hz. The inset shows the detailed harmonics at the beginning of the test.

and De Finis et al. [63] for the regression. Once the fit was established, the confidence interval can be outlined to classify the different regimes. Consequently, the fatigue limits of the materials can be defined as the first value outside the enclosed area from the left side. Our analysis indicates that the fatigue limit is 0.88% for PBS and PBAT, and 0.29% for PLA under the strain-controlled conditions tested (f = 5 Hz and R = 10.13). From Fig. 2, the fatigue life of PBS and PBAT both reached 10<sup>6</sup> cycles (criteria as the fatigue limit [1]) under the estimated fatigue limits, but it failed for PLA which had a fatigue lifetime of 10<sup>4</sup> cycles for a strain amplitude of 0.29%. Therefore, this method for a rapid determination of the fatigue limit seems to apply for PBS and PBAT, but overestimate the value for PLA. The main reason for this deviation might be the different behavior between ductile (PBAT and PBS) and brittle (PLA) materials (Fig. A.1). However, the conclusion that PBS and PBAT have longer fatigue life than PLA can still be made based on this analysis. Therefore, it can be of high interest for engineers to rapidly assess the suitability of each material for specific applications (comparative analysis).

### 4.4. Peak force degradation

Peak force degradation is one of the most commonly used parameters to analyze progressive damage during fatigue [64–66]. It represents the force degradation of the specimen allowing to identify the fatigue criterion in terms of number of cycles (N) necessary to reduce a damage index [65]. The evolution of the maximum load (F) for each cycle normalized by the value of the first cycle  $(F_0)$  is presented as a function of the number of cycles (N) in Fig. 9a. It can be seen, that the loss of load  $(F/F_0)$  is slow at first, followed by an abrupt decrease once crack onset occurs. However, the degradation rate among the three biodegradable polymers can be clearly distinguished in the early stage in the order of  $\mbox{PLA} > \mbox{PBS} > \mbox{PBAT}.$  To further investigate the correlation between strain amplitude, loading degradation and fatigue life, Fig. 9b plots the degradation rate  $(d(F/F_0)/dN)$  as a function of the fatigue life  $(N_f)$ . In Fig. 9b the symbol size represents the values of the strain amplitude ( $\varepsilon_0$ ). It can be seen that larger absolute value of degradation rate ( $|d(F/F_0)|$ dN |) resulted in shorter fatigue life for all materials. This is as expected, since the strain amplitude directly determines the degradation rate as

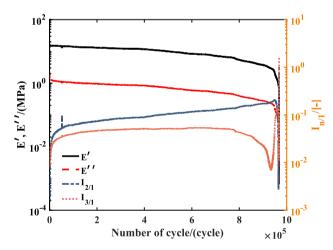


**Fig. 11.** Storage modulus (E'), loss modulus (E'') and nonlinear parameters ( $I_{2/1}$ ,  $I_{3/1}$ ) as a function of the cycle number for: (a) PBS, (b) PBAT and (c) PLA ( $\varepsilon_0 = 0.875\%$  and f = 5 Hz).

shown in Fig. 9b. However, even with much larger strain amplitudes, PBAT cannot degrade as fast as PLA. For example, the degradation rate of PBAT for a strain amplitude  $\varepsilon_0=11.7\%$  reaches  $\mid d(F/F_0)/dN\mid=0.0005/\text{cycle}$ , while PLA had an absolute degradation rate of 0.002/cycle when submitted to only  $\varepsilon_0=0.15\%$ . This significant difference can be attributed to the different glass transition temperature  $(T_g)$  of the materials. The  $T_g$  of PLA ranges from 50 to 80 °C, but PBAT has much lower  $T_g=-33$  °C. Therefore, under the testing conditions  $(T_a=23$  °C), PLA becomes brittle, but PBAT was ductile, which can also be confirmed by the moduli in Table 2. Therefore, the crack is initiated after the same cycle number at lower deformation for brittle PLA compared to ductile PBS and PBAT. Such difference further leads to fast crack propagation for PLA showing higher degradation rate. Also, PBS shows a similar trend regarding loading loss, but the performance is intermediate among the three polymers investigated.

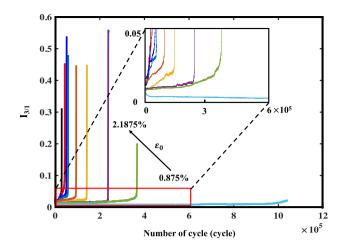
### 4.5. Nonlinear analysis of fatigue tests

Fig. 10 shows the typical Fourier spectra of the stress response for PBS at  $\varepsilon_0=0.875\%$  and f=5 Hz as an example. The fundamental harmonic ( $I(\omega_1)$ ) and peaks for higher harmonics, such as  $I(2\omega_1)$ ,  $I(3\omega_1)$ ,  $I(4\omega_1)$  and  $I(5\omega_1)$ , are shown in the inset which are associated with the nonlinear behavior of the material. Also, the peaks for higher frequencies (n>10) are considered as within the noise level (less than  $10^{-3}$ ). Therefore, the values are considered significant to analyze up to the third higher harmonics ( $I_{2/1}$  and  $I_{3/1}$ ) as they can provide the most essential information to capture the nonlinear features [43].



**Fig. 12.** Storage modulus (E'), loss modulus (E'') and nonlinear parameters ( $I_{2/}$  1,  $I_{3/1}$ ) as a function of the cycle number for PBAT ( $\varepsilon_0 = 5.83\%$  and f = 5 Hz).

Fig. 11 compares the linear parameters, such as E' and E'', as well as the nonlinear parameters, such as  $I_{2/1}$  and  $I_{3/1}$ , for PBS, PBAT and PLA under the same testing conditions ( $\varepsilon_0 = 0.875\%$  and f = 5 Hz). Both E' and E'' decrease with ongoing fatigue for PBS and PLA, but remain almost constant for PBAT, as the strain amplitude is not high enough to significantly damage the specimen and to break it. In more details, the



**Fig. 13.** Evolution of  $I_{3/1}$  as a function of the number of cycles (*N*) for PBS at different strain amplitudes ( $\varepsilon_0=0.875-2.1875\%$ ) and f=5 Hz.

linear parameters (E') of PBS and PLA stay constant until crack onset. Afterwards, the value decreases with increasing number of cycles, until a sharp decrease occurs due to complete fracture. However, the slope (|dE'/dN|) for PLA is much steeper. As proposed by Hirschberg et al. [4], the rate of change of the complex modulus can be correlated to crack propagation. Thus, it indicates that the crack growth in PLA is faster than PBS. Similarly, the loss modulus (E'') of PLA decreases much faster than PBS. Since PBAT does not generate a crack before  $10^6$  cycles for the conditions tested ( $\varepsilon_0 = 0.875\%$  and f = 5 Hz), no variation of the linear parameters is observed. However, the absolute value of E' and E'' show similar trend as PBS at larger strain amplitude (Fig. 12).

The intensity of the nonlinear parameters ( $I_{2/1}$  and  $I_{3/1}$ ) at the beginning for PLA is lower than for PBS and PBAT, but gradually increase until the specimen completely breaks. Before crack initiation,  $I_{3/1}$  slightly changes for PBS and PBAT. Once a crack started, similar to PBS,  $I_{3/1}$  grows at a constant rate ( $dI_{3/1}/dN$ ) during crack propagation. However, no general trend can be concluded from the  $I_{2/1}$  evolution before complete fracture. Ultimately, the intensities of  $I_{2/1}$  and  $I_{3/1}$ 

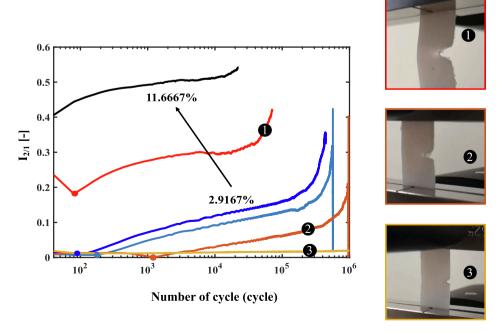
sharply increase when the specimen breaks. Although the nonlinear parameters barely change for PBAT during the test at  $\varepsilon_0=0.875\%$  (Fig. 11), the intensity of  $I_{2/1}$  becomes more dominant than  $I_{3/1}$  at larger strain amplitudes ( $\varepsilon_0=5.83\%$ ) as shown in Fig. 12 and increases with the number of cycles. Under sufficiently large amplitude oscillatory deformations, the nonlinearity of PBAT becomes noticeable, especially for even harmonics ( $I_{2/1}$ ), and the presence of even harmonics in the stress response is the result of asymmetry in the material [67,68].

To characterize the nonlinear behavior of PBS,  $I_{3/1}$  is used due to its stronger intensity and clearer trend. As shown in Fig. 13, the evolution of  $I_{3/1}$  at different strain amplitudes reveals the trends correlating with the strain amplitude. The intensity of  $I_{3/1}$  increases with increasing amplitude. In the inset of Fig. 13,  $I_{3/1}(N)$  is shown in detail. With increasing strain amplitude, the intensity of  $I_{3/1}(N)$  increases faster as a function of the cycle number, while the fatigue life  $(N_f)$  decreases. Therefore, a correlation for  $dI_{3/1}/dN$  based on  $I_{3/1}$  with fatigue life  $(N_f)$  can also be made for PBS. Using the rate of change of  $I_{3/1}(dI_{3/1}/dN)$  as an indicator of polymer fatigue was proposed by Hirschberg et al. [5,39,43].

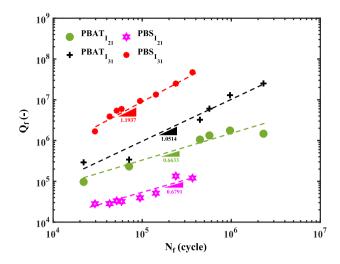
The evolution of the nonlinear parameters of PBAT is different from PBS, as the even higher harmonic  $(I_{2/1})$  becomes dominant and informative. Fig. 14 shows a transition point in the  $I_{2/1}$  curve during fatigue which is shifting to larger number of cycles. The intensity decreases when PBAT is submitted to smaller strain amplitudes. The presence of higher harmonics, such as  $I_{2/1}$ , implies an asymmetric stress response. Another possible reason for the transition point is the asymmetric stress response itself and buckling overlap, thus cancelling each other as their phase angles are shifted by  $\pi$  [42]. To quantify this phenomenon, a mathematical description based on a modified Kelvin-Voigt model was proposed as [42]:

$$\sigma = E\varepsilon_{0}sin\omega_{1}t + E\varepsilon_{s} + \eta_{0} + \sum_{n=1}^{\infty} \eta_{n}(\omega_{1}\varepsilon_{0}cos\omega_{1}t)^{n} - \frac{|\chi| + \chi}{2|\chi|} [B_{1}sin\omega_{1}t + \sum_{n=2,4,6,\dots}^{\infty} B_{n}(\omega_{1}\varepsilon_{0}cos\omega_{1}t)^{n}] \#$$
(6)

and its Fourier transform can be written as:



**Fig. 14.** Evolution of  $I_{2/1}$  as a function of the number of cycles (N) for PBAT at different strain amplitudes,  $\varepsilon_0 = 2.92\%$ , 5.83%, 7.29%, 8.75%, 10.94% and 11.67% at f = 5 Hz.



**Fig. 15.** Cumulative nonlinearity  $(Q_f)$  based on  $I_{2/1}$  and  $I_{3/1}$  as a function of the number of cycles to failure  $(N_f)$  under fatigue for PBS and PBAT.

$$\sigma = I_{0} + \underbrace{A_{1}sin(\omega_{1}t + \delta_{1}) - \frac{|\chi| + \chi}{2|\chi|} B_{1}sin\omega_{1}t}_{I_{1}sin(\omega_{1}t + \delta_{1})} + \underbrace{\sum_{n=2}^{\infty} A_{n}cosn\omega_{1}t - \frac{|\chi| + \chi}{2|\chi|} \sum_{n=2,4,6,...}^{\infty} B_{n}cosn\omega_{1}t}_{\sum_{n=2,4,6,...}^{\infty} I_{n}cosn\omega_{1}t}$$
(7)

In this model, if the specimen is stretched beyond a critical deformation, plastic deformation occurs. Buckling starts when the applied force (F) at the minimum strain value exceeds the critical value for buckling ( $F_{bc}$ ) as:

$$\chi = F - F_{bc} > 0\# \tag{8}$$

This leads to even harmonics being different on the right-hand side in the 4th and 5th terms of Eq. (7) when n is even leading to lower even harmonics ( $I_{2/1}$ ) before buckling occurs. Therefore,  $I_{2/1}$  is a very interesting indicator to predict buckling. However, there is no significant buckling observed during PBAT testing in our cases (low strain amplitudes). To confirm this, imaging was performed for selected tests with comparatively large (10.9%), intermediate (5.83%) and small (2.92%) strain amplitude as shown in Fig. 14. Obviously, buckling is observed at large strain amplitude, but it is difficult to see at intermediate and small strain amplitudes. Using frame-by-frame analysis, buckling for intermediate strain amplitude is observed in picture #2 in Fig. 14, but not for the small strain amplitude (picture #3). This corresponds to the minima of  $I_{2/1}$  for large to intermediate strain amplitudes, but not for the small one.

Fig. 15 presents the cumulative nonlinearity parameter  $(Q_f)$  as a function of the fatigue lifetime  $(N_f)$  to compare the fatigue behavior of PBS and PBAT as typical examples since they have similar fatigue lifetime range under the conditions tested. The fatigue life follows a power-law relation with respect to  ${}^nQ_f$  as:

$${}^{n}Q_{f} = {}^{n}A_{Q} N_{f}^{k_{n}}$$

$$\tag{9}$$

It is found that the exponents  $k_3$  ( $k_2$ ) in terms of  ${}^3Q_f({}^2Q_f)$  vs.  $N_f$  have different values for the different materials, PBS = 1.19 (0.68) and PBAT

= 1.05 (0.66). The exponent  $k_3$  is very close to 1 for PBAT which indicates small  $^3Q$  change for different strain amplitudes. Symmetric nonlinearity ( $^3Q_f$ ) is more intensive for PBS than PBAT. The exponents of  $^2Q_f$  are close to each other for PBS ( $k_2=0.663$ ) and PBAT ( $k_2=0.679$ ). This difference between  $^2Q_f$  and  $^3Q_f$  of PBS and PBAT must come from the material itself since the imposed cyclic loading is completely symmetric. It also indicates that  $^2Q_f$  correlated to the fatigue lifetime for both PBS and PBAT instead of summing up noise and possibly being a good indicator to relate to the cumulative nonlinearity vs. fatigue. For both materials,  $^nQ_f$  increases with increasing fatigue lifetime. This analysis should now be applied to other materials to determine its suitability as a more universal criterion.

### 5. Conclusion

Mechanical fatigue tests in strain-controlled tension–tension are performed for notched specimens of PLA, PBS and PBAT. The stress response is analyzed to characterize the fatigue behaviors of these biodegradable polymers. The evolution of surface temperature is used to understand the thermomechanical coupling effect during materials' fatigue. The nonlinear contribution of the materials is investigated by Fourier transform decomposition. Therefore, several conclusions can be drawn based on the obtained experimental results.

The ductile PBS and PBAT had competitive fatigue lifetime up to 10<sup>6</sup> cycles compared to brittle PLA which is two decades less. During the cyclic test, the out-of-phase behavior between imposed strain and response stress is appreciable for PBS but marginal for PLA and PBAT. Therefore, larger energy dissipation is expected for PBS as well. Under the condition of  $\varepsilon_0 = 0.875\%$  and f = 5 Hz, PBS can generate almost 10fold heat dissipation over PBAT and PLA at the beginning of the tests. With ongoing cyclic loadings, force degradation is observed for all three materials, but PLA had the highest absolute rate of 0.002/cycle, which is at least 4 times faster than PBAT. Further investigations revealed that the degradation rate depends on the strain amplitude. Surface temperature increased with strain amplitudes for all polymers and a quadratic relation between  $\Delta T_{max}$  and  $\varepsilon_0$  can be approximated. Even  $(I_{2/1})$  and odd  $(I_{3/1})$  harmonics in the stress can be well detected to characterize nonlinearity during fatigue tests under a range of conditions. It was found that  $I_{2/1}$  is sensitive to predict buckling initiation for PBAT and  $I_{3/2}$ 1 is highly fatigue sensitive for PBS. The cumulative nonlinearity parameter  ${}^3Q_f$  was shown to be a strong criterion to rationalize the fatigue behavior of PBS and  ${}^{2}Q_{f}$  can be used as a fatigue indicator for both PBS and PBAT.

### **Declaration of Competing Interest**

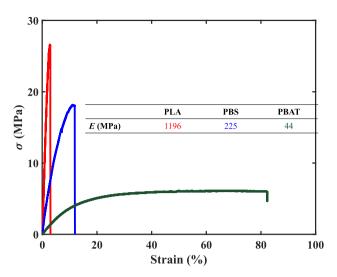
The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix

See Fig. A1.



**Fig. A1.** Stress-strain curves of PLA, PBS and PBAT. The tests were performed at a rate of 5 mm/min and room temperature.

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