
Reawakening knowledge: Anticipatory recovery from catastrophic interference via structured training

Yanlai Yang¹, Matt Jones², Michael C. Mozer^{3,2}, and Mengye Ren¹

¹New York University, ²University of Colorado, Boulder, ³Google DeepMind
{yy2694,mengye}@nyu.edu, mcj@colorado.edu, mcmozer@google.com

Abstract

We explore the training dynamics of neural networks in a structured non-IID setting where documents are presented cyclically in a fixed, repeated sequence. Typically, networks suffer from catastrophic interference when training on a sequence of documents; however, we discover a curious and remarkable property of LLMs finetuned sequentially in this setting: they exhibit *anticipatory* behavior, recovering from the forgetting on documents *before* encountering them again. This behavior occurs even though the documents are never presented in context together. The behavior emerges and becomes more robust as the architecture scales up its number of parameters. Through comprehensive experiments and visualizations, we demonstrate a new mechanism by which over-parametrized neural networks can recover from catastrophic interference and uncover new insights into training over-parameterized networks in cyclically structured environments.

1 Introduction

Large language models (LLMs) [1, 2, 3, 4] have demonstrated remarkable general capabilities in a wide range of natural language tasks. During the training of LLMs, documents are typically uniformly sampled at random. Due to the large scale of the training set—in contrast to many other domains—LLM training typically occurs in an online fashion: each document is used only once for just one update step without further repetition [5, 6, 7].

Such a training style is in stark contrast with how real world agents like humans acquire new knowledge. In naturalistic settings, the material we are exposed to is structured in time and often repeats in predictable, quasi-cyclic patterns (e.g., a person’s everyday morning routine consists of first taking a shower, then eating breakfast, and finally dressing up). Hence it is important to understand how existing deep learning methods and architectures perform in this setting.

Toward the goal of investigating more naturalistic training setups, we study a simplistic setting involving structured training of LLMs: documents are presented cyclically in a fixed sequence and repeated multiple times, just as we humans go through our daily routines. Moreover, to account for the cost of switching among documents (analogous to the mental switching cost between different environments and the waiting cost of obtaining new data), we allow the network to take multiple gradient steps for each document. Compared to standard task-incremental and class-incremental continual learning settings [8] which experience each task only once, our cyclic training setting better approximates the quasi-cyclic temporal structure of real-world environments.

Typically, networks exhibit *catastrophic interference* (also known as catastrophic forgetting) [9] when training on a sequence of tasks: the loss on a given document increases as the training advances to other documents. Curiously, we discover that in a structured training environment, LLMs exhibit a remarkable *anticipatory recovery* behavior: they recover from the forgetting of

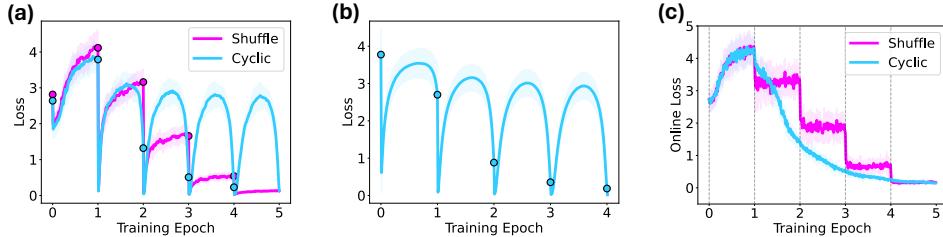


Figure 1: (a) Loss curves on document 1 for cyclic and random shuffled fine-tuning on a pre-trained Pythia-1B model. The black circles indicate points just prior to training on the focal document. The inverted-U loss curves within each epoch demonstrate the anticipatory recovery phenomenon. (b) Shift-averaged loss curve for cyclic fine-tuning. (c) Online loss curves for cyclic and random shuffled fine-tuning with prequential evaluation.

Model Size	410M	1B	1.4B	2.8B
Cyclic	1.09 ± 0.03	1.03 ± 0.03	1.14 ± 0.06	1.34 ± 0.06
Shuffle	1.34 ± 0.02	1.51 ± 0.04	1.51 ± 0.04	1.79 ± 0.06

Table 1: Average online loss across epochs 2 to 5 for cyclic fine-tuning and random shuffled fine-tuning.

one document before seeing it again, multiple steps in the sequence prior to the recurrence of the document (see Figure 1(a) and 1(b)). It is analogous to a person anticipating to eat breakfast while taking a morning shower, but leaving the thought aside for the rest of the day. Critically, we never present two documents together in context, so the model cannot directly learn sequential relationships between them. Thus, our finding is surprising as there is no explicit memory in LLMs that stores sequential knowledge across context windows, and there is no systematic overlap of content across documents—the behavior emerges from a random document sequence after repeated exposure to that sequence. Furthermore, we demonstrate that, as a result of anticipatory recovery, training with fixed ordering achieves superior performance than random shuffling in the prequential evaluation [10] setting (see Figure 1(c) and Table 1). For an agent that continuously acts and learns in the real world, the performance on the upcoming task is what matters, and prequential evaluation measures such performance. This result hints at the practical benefits of structured training.

Through extensive experiments, we study how different factors in model architecture and training contribute to the anticipatory recovery phenomenon (Section 3.3). We show that only large-scale networks exhibit this reawakening of knowledge, and smaller ones exhibit no such behavior (Section 3.2). We also show that this phenomenon is not unique to LLMs; some vision models with sufficient width and depth also demonstrate a similar behavior, but LLMs on language modeling tasks exhibit the strongest recovery (Section 3.4). We offer insights on the training dynamics in sequentially and cyclically structured input data and propose hypotheses for the causes of the behavior (Section 4).

2 Data and Experiment Setup

In this section, we describe the models, datasets, and training setups that we use in the subsequent experiments. Additional details are presented in Appendix A.

Models. For the LLM experiments, we use Pythia [11], a suite of decoder-only autoregressive language models pre-trained on the Pile dataset [12, 13]. We use pre-trained Pythia models ranging from 160M to 2.8B parameters. For the vision experiments, we use pre-trained Image GPT [14] models for causal image modeling and pre-trained vision transformer (ViT) [15] and VGG-19 [16] models for image classification.

Datasets. For the LLM experiments, we use the CNN/Daily Mail news dataset [17]. We repurpose it for causal language modeling by discarding the summaries and only using the articles. Importantly, the CNN/Daily Mail dataset is not part of the Pile dataset and hence it is a new domain for the Pythia pre-trained models. We use the same documents for both training and evaluation. Our goal here is not to determine whether a trained model generalizes to new documents, but rather to study the memory for a particular document as a function of position within the training history. For the vision experiments, we use images sampled from CIFAR-10 [18] and ImageNet [19].

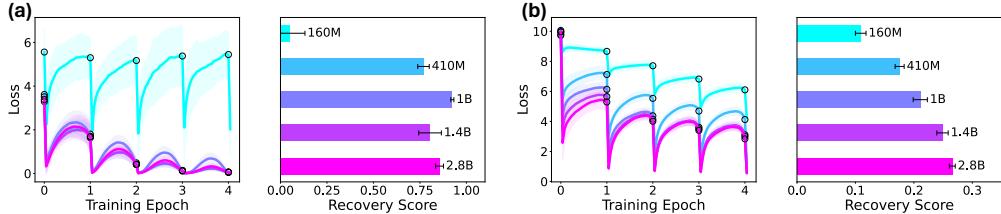


Figure 2: Effect of model size for (a) pre-trained models and (b) random initializations. In each subfigure, the left shows shift-averaged loss curves and the right shows the recovery score as a function of model size.

Training Setup. We randomly sample T documents from the dataset. In pre-processing, we truncate each document to the first C tokens (we refer to C as “context length” in subsequent text). We then fine-tune the LLM on each pre-processed sample for M gradient steps (i.e., using a batch size of 1). We refer to the multiple gradient updates of each document as an “episode”. After each episode we evaluate the loss on all T documents. We repeat the training process for E epochs, where an epoch consists of one episode of each document in a fixed sequence. We use a vanilla gradient descent optimizer. Unless otherwise stated, the default hyperparameters in the subsequent experiments are $T = 25$, $C = 256$, $M = 10$, $E = 5$. We use the average cross entropy loss (average negative log-likelihood for each token) as our training and evaluation metric.

3 Emergent Anticipatory Recovery

In this section, we present our experimental results that reveal the anticipatory recovery phenomenon in cyclic fine-tuning of large language models. We then demonstrate that anticipatory recovery is an emergent behavior that appears only for models with sufficient capacity.

3.1 The Anticipatory Recovery Phenomenon

In this first experiment, we have $T = 100$ documents, and we do cyclic fine-tuning of a pre-trained Pythia-1B model [11] on the documents for $E = 5$ epochs in the same ordering. Both the documents and the ordering are sampled at random beforehand, but kept fixed during the sequential fine-tuning process. We refer to these T documents as $\mathbf{x}_1, \dots, \mathbf{x}_T$. At the start, we fine-tune on \mathbf{x}_1 for $M = 10$ gradient steps, leading to a significant decrease in the model’s loss on \mathbf{x}_1 . As we move away from \mathbf{x}_1 and fine-tune on other documents, we naturally observe catastrophic interference: the model’s loss on \mathbf{x}_1 gradually increases until we finish fine-tuning on all other documents and return to \mathbf{x}_1 . As we iterate through the same document sequence for a second time, we would normally expect the loss on \mathbf{x}_1 to increase monotonically after the initial decrease. However, Figure 1(a) shows that the loss on \mathbf{x}_1 peaks around \mathbf{x}_{60} and then starts to decrease. Before we return to \mathbf{x}_1 , the model has recovered more than half of its initial forgetting during the second epoch. We refer to this counterintuitive decrease in loss as the *anticipatory recovery* phenomenon. In Figure 1(b), we plot the losses for all the documents and re-align them so that 0 on the x-axis refers to the loss on each document t immediately before training on it for the first time. The figure confirms that the anticipatory recovery phenomenon exists for not only \mathbf{x}_1 but all documents. On the other hand, when we randomly shuffle the document order within each epoch (except \mathbf{x}_1 is always the first document), we do not observe such anticipatory recovery behavior, and the loss on \mathbf{x}_1 keeps increasing before we return to it every time.

To quantify the strength of the anticipatory recovery phenomenon, we define the *recovery score* as the proportion of the initial forgetting during the current epoch that the model recovers before returning to the same document. Mathematically, let the mean (over t) of the maximum loss on each document \mathbf{x}_t between the n^{th} and $(n+1)^{\text{th}}$ time we train on that document be $l_{\max}(n)$, right before the $(n+1)^{\text{th}}$ time we train on it be $l_{\text{before}}(n)$, and right after the $(n+1)^{\text{th}}$ time we train on it be $l_{\text{after}}(n)$. Then we define the recovery score (RS) for epoch n to be¹ $RS(n) = \frac{l_{\max}(n) - l_{\text{before}}(n)}{l_{\max}(n) - l_{\text{after}}(n)}$. In the following

¹In some cases, a randomly initialized model will produce loss curves that decrease throughout the epoch, because its knowledge is so poor that it enjoys positive generalization among all documents. This yields a misleadingly large recovery score under this definition. We do not include such cases in our experiments so do not bother with more nuanced recovery scores.

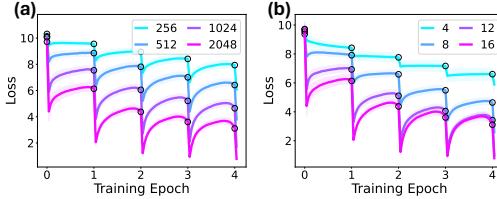


Figure 3: Models trained from scratch with (a) different width (token embedding size) and (b) different depth (number of transformer blocks).

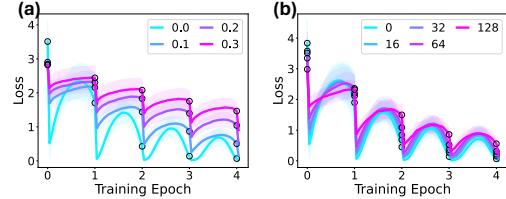


Figure 4: Effect of data randomization strength. (a) Random masking with probability up to 0.3; (b) Random shift of context window up to 128 tokens.

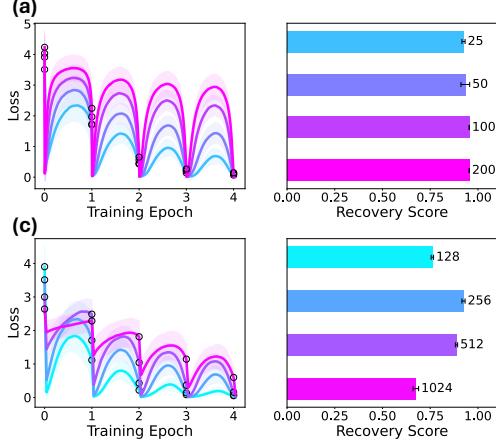


Figure 5: Effects of (a) number of documents (b) number of gradient steps (c) context length and (d) number of frozen blocks.

subsections we compute the recovery scores for different model sizes and training hyperparameters (Figures 2 and 5) to investigate their effects on the anticipatory recovery phenomenon.

3.2 Anticipatory Recovery is an Emergent Behavior

To study how the model size affects the amount of anticipatory recovery, we repeat this experiment with pre-trained Pythia models [11] of sizes 160M, 410M, 1.4B, and 2.8B. We plot the average loss curves as well as the recovery score for epoch 4 in Figure 2(a). We observe that larger models clearly demonstrate stronger anticipatory recovery. The sharp increase of average recovery score from the 160M model to the 410M model indicates that anticipatory recovery is an emergent behavior.

Anticipatory Recovery in Randomly Initialized Models. To study whether anticipatory recovery is a result of pre-training, we repeat the experiments on randomly initialized models of different sizes, and plot the loss curves and average recovery scores in Figure 2(b). We follow the model initialization recipe of [11]. From the loss curves for the 410M and 1B models, especially in the last epoch, we see that the anticipation phenomenon also exists in randomly initialized LLMs. We observe that the anticipation effect is not as strong as in the pre-trained models. The effect of model size still holds: larger models clearly demonstrate stronger recovery.

Effects of Model Width and Depth. To further study the effect of model width and depth on the anticipatory recovery phenomenon beyond the model hyperparameters in the Pythia suite, we take a Pythia-1B model and vary the width (size of token embedding) and depth (number of transformer blocks) of the model and plot the average loss curves for cyclic training from random initializations in Figure 3. The original Pythia-1B model has token embedding of size 2048 and 16 transformer blocks. We observe that the model needs sufficient width (at least 512) and depth (at least 8 transformer blocks) to exhibit noticeable recovery, confirming that it is an emergent behavior contingent on model size.

3.3 Other Influential Factors

In this section we discuss the effect of other training hyperparameters on the anticipatory recovery phenomenon. We also include additional experiment details in Appendix A.1 and additional results in Appendix B.

Number of Tasks. Figure 5(a) plots the loss curves for different number of documents ($T \in \{10, 25, 50, 100, 200\}$). We observe clear recovery for all the curves, suggesting that the model can “memorize” a certain task transition even after training on 200 other tasks.

Number of Gradient Steps. Figure 5(b) plots training curves with different numbers of gradient steps taken on each document ($M \in \{1, 5, 10, 20\}$). More gradient steps in general leads to a higher recovery score, although in Appendix B.2 and B.8 we show that slight anticipation is still observed for 1 gradient step if we use a larger learning rate, and that the anticipation effect is stronger when the same total update is divided among more gradient steps by scaling the learning rate inversely with M .

Context Length. Figure 5(c) plots the loss curves for different context lengths ($C \in \{128, 256, 512, 1024\}$). Documents are padded to the same length with padding tokens if they are shorter than the specified context length. With the same number of gradient steps, larger context length is correlated with lower recovery score. This suggests that sufficient training on each task is necessary, and for longer input context it takes more gradient descent steps to memorize the task.

Number of Frozen Blocks. We experimented with freezing the first $B \in \{4, 6, 8, 10, 12\}$ transformer blocks in the pre-trained Pythia-1B model and tune only the last $16 - B$ blocks. Loss curves are plotted in Figure 5(d). More frozen transformer blocks is correlated with lower recovery score. This observation is consistent with section 3.2 and confirm that the model needs sufficient depth to exhibit anticipatory recovery even with a frozen pre-trained deep representation.

Optimizer. In addition to the gradient descent optimizer, we experimented with the Adam optimizer [20]. Loss curves are plotted in Figure 6. We reset the optimizer state for each document. Results show that Adam, which is a stronger optimizer, further facilitates anticipatory recovery for both randomly initialized and pre-trained models.

Data Randomness. In realistic sequential learning setting the data points might be slightly different for each repetition (e.g. different descriptions of the same concept, different perspectives of the same object), leading to stochasticity in the optimization process. To explore sequential cyclic training with data randomness, we design the following two training settings: (1) we randomly mask a subset of the tokens in the input, and (2) we randomly shift the “window” of C tokens used for training. The resulting loss curves are plotted in Figure 4. We observe that, while anticipatory recovery is generally weaker when there is more variation in each data point, the recovery still clearly exists.

Summary. The experiment results in this subsection suggest that the model’s ability to fit on each task is crucial for the strength of anticipatory recovery. With a larger number of gradient steps, shorter context length, more learnable layers, and a better optimizer, the model is more capable of fitting to the focal task, and those factors also correlate with larger recovery score. We also confirmed that anticipatory recovery exists for long task sequences and slightly augmented data points within each episode, and again these factors that make learning harder also reduce anticipatory recovery.

3.4 Anticipatory Recovery in Vision Models

To examine the generality of the anticipatory recovery phenomenon, in this subsection we explore the sequential cyclic training setting on two tasks in computer vision: causal image modeling and image classification. More detailed experiment setup is available in Appendix A.

Causal Image Modeling. Similar to the LLM experiments, we fine-tune a pre-trained Image GPT model [14] on each sampled image from CIFAR-10 [18] for M gradient steps, and repeat E epochs with a fixed order of the images. The resulting loss curves are shown in Figure 7(a). The results show that the anticipatory recovery phenomenon also exists for sequential cyclic training of image modeling in addition to language modeling.

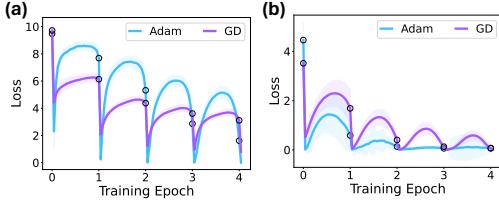


Figure 6: Comparison between Adam and vanilla gradient descent on (a) randomly initialized and (b) pre-trained Pythia-1B models with cyclic training.

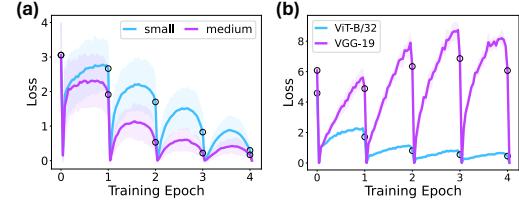


Figure 7: Results for cyclic training on (a) Causal image modeling with Image GPT. (b) image classification with vision transformers and VGG networks.

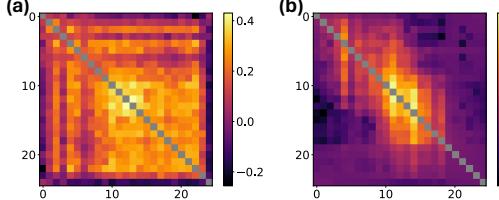


Figure 8: Heat map visualizations for (a) cosine similarities between the gradient vectors of the attention layer in transformer block 12 of the model for each task; (b) loss recoveries for training on task x_i (y-axis) and evaluating on task x_j (x-axis); (c) cosine similarities between the flattened model weight residuals at each point in training; (d) cosine similarities between the last layer activations for document x_1 at each point in training.

Image Classification. For each experiment, we randomly sample 800 images from Imagenet [19] and divide them into 25 batches of 32 images each. We fine-tune a pre-trained vision transformer (ViT) [15] and VGG-19 [16] model on each batch for M gradient steps and repeat E epochs with a fixed order of the batches. The resulting loss curves are plotted in Figure 7(b). Results show that both the transformer ViT and the convolutional VGG exhibit anticipatory recovery in cyclic training.

By these experiments we confirm that anticipatory recovery occurs not only for LLMs but also for at least some of the widespread image classification models and non-transformer architectures.

3.5 Online Loss Evaluation

We compare the performance of training with fixed ordering and random shuffling of each epoch in the prequential evaluation setting with $T = 100$ documents. Prequential evaluation [10] measures online performance by evaluating the model on the document it is about to be trained on, which is equivalent to evaluating the training loss of each batch. For the random shuffling condition, document 1 is always trained first in each epoch, and the other 99 documents are presented in a different random order in each epoch. In this experiment we set $C = 512$, $M = 10$, $E = 5$ and the error bars are based on 10 different seeds.

The resulting loss curves are plotted in Figure 1(c), and the average loss throughout each run is summarized in Table 1. We exclude epoch 1 when computing the average loss since all documents are new to the model for both the cyclic training and random shuffling condition. We observe that training with fixed ordering is superior to random shuffling in the prequential evaluation setting across all 4 pre-trained Pythia models of different sizes, due to the structure in the data stream. The results suggest practical potential of structured training.

4 Understanding Cyclic Training Dynamics

An important general question about anticipatory recovery is whether it is due to some causal mechanism relating the dynamics of model parameters to the training sequence, or whether it is more correlational in that adjacent tasks come to be represented more similarly by the model. We found initial evidence for the latter hypothesis in experiments locally reversing the task sequence (e.g., showing that x_{t+1} primes x_t nearly as much as vice versa). To further test this learned similarity hypothesis, we explore the relationships between tasks and the model’s loss gradients, weights, and activations across

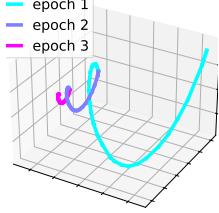
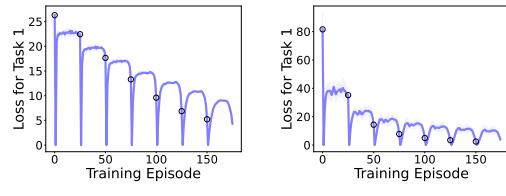


Figure 9: Top three PCA components of last layer weights in the first three epochs.



(a) $f_i(\mathbf{w}) = \mathbf{w}$ (b) $f_i(\mathbf{w}) = \mathbf{y}_i - \mathbf{w}$
Figure 10: Loss curve for task 1 in computational toy model, with different f_i . More experiment details in Appendix A.5.

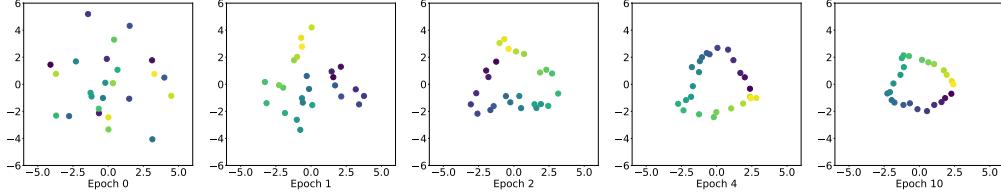


Figure 11: Visualization of PCA embeddings of the projected data points ($f_i^{-1}(\mathbf{P}\mathbf{x}_i)$, where $f_i(\mathbf{w}) = \mathbf{y}_i - \mathbf{w}$) in the toy model throughout training. Epoch 0 refers to the model before any training.

training history. The results enable us to better understand the dynamics of cyclic training. Unless otherwise stated, all visualizations in this section use the 410M model and default hyperparameters.

4.1 Temporal Structure of Gradients

We first explore the similarities of gradient information between documents during the training process. Our goal is to test the hypothesis that anticipatory recovery is mediated by increased similarity between gradients of proximal documents in our training sequence.

We do cyclic training for 4 epochs and compute the gradient of each document at the attention layer of transformer block 12 at the conclusion of training. In Figure 8(a), we plot the cosine similarities between these gradient vectors of each document. Results show that the gradients have mostly positive cosine similarities (except for the last document, on which the model has just been trained). To our surprise, the gradient similarities are highest near the center of the heat map rather than peaking along the diagonal. That is, the gradient similarity between documents \mathbf{x}_{t-1} and \mathbf{x}_t depends on where we are in the cycle. This result suggests an additional layer to the anticipatory recovery phenomenon: Recovery for document \mathbf{x}_t is greatest from training on document \mathbf{x}_{t-1} , but the strength of the potential facilitation between \mathbf{x}_{t-1} and \mathbf{x}_t is actually greatest after we train for another b documents (for some small number b). We verify this by computing the pairwise recovery: we take the model checkpoint after 4 epochs of cyclic training, and then for each pair of documents $(\mathbf{x}_i, \mathbf{x}_j)$, we do M gradient updates on \mathbf{x}_i and compute the difference in the loss of \mathbf{x}_j before and after these gradient updates. We plot these pairwise loss recoveries in Figure 8(b). Results confirm that the amount of recovery on document \mathbf{x}_j is highest when the model checkpoint is taken from roughly b documents before or after document \mathbf{x}_j in cyclic training and then fine-tuned on a proximal document \mathbf{x}_i in the sequence. The fact that this pairwise loss recovery matrix is roughly symmetric also suggests that the anticipatory recovery phenomenon approximately exhibits task symmetry: gradient updates on document \mathbf{x}_t decrease the loss for document \mathbf{x}_{t+k} for small integers k , and vice versa. We provide additional visualizations for $T = 50, 100, 200$ in Appendix C and a more detailed description for this phenomenon.

4.2 Temporal Structure of Model Weights

We explore the structure of model weights along the optimization trajectory of cyclic training. We flatten and concatenate the model weight vectors after fine-tuning on each document. However, the cosine similarities between these raw model weight vectors are all very close to 1 without obvious structure, due to the proximity of model weights along the same optimization trajectory and numerical instability in huge weight vectors. To resolve these issues, we instead explore the

structure of “weight residuals.” We compute the weight residuals by subtracting the average of weights in a window of length T centered at the current document from the current weight, i.e. $w_{\text{res}}(t) = w(t) - \frac{1}{T} \sum_{n=t-T/2}^{t+T/2} w(n)$. This removes the shared components along the optimization trajectory and allows us to focus on the model weight updates for each document. Figure 8(c) visualizes a heat map of the cosine similarity between each pair of weight residuals from the second epoch to the fourth epoch. The visualization shows a cyclic structure in the weight residuals, as equidistant bright stripes that align with the training epochs. Furthermore, each stripe spans several documents, suggesting the similarity of weight residuals for proximal documents.

In addition to cosine similarities between weights, we explore visualizing the weights in a lower-dimensional space with Principle Component Analysis (PCA). We compute the top three PCs of the flattened last-layer weight vector (the output word embedding layer) for the Pythia-1B model, and plot its trajectory in Figure 9. The plot exhibits a clear helical structure that gradually converges. We believe this is highly relevant to anticipatory recovery: right before revisiting a task, the projected model weights in the helix move closer to the point corresponding to the previous appearance of that task, leading to anticipatory recovery on the loss of that task. As we go on with cyclic training, the model also exhibits less forgetting and gradually converges to a solution that achieves low loss on all tasks. It is important to note that the helical structure of the weight trajectory is not an obviously necessary consequence of the cyclical training. Cyclical training could be expected to yield a repeating pattern, but the facts that the tasks come to be organized in a circle that respects their ordering and that the trajectory goes through one full revolution per epoch (rather than some other arc length) are nontrivial and seem to be essential for anticipatory recovery.

4.3 Temporal Structure of Activations

In addition to gradients and weights, we visualize the trajectory of activations on a single document during the course of cyclic training. We do cyclic training for three epochs and save model checkpoints after fine-tuning on each document. We then compute the model activations before the output word embedding layer for document x_1 on each model checkpoint, and plot the cosine similarities between the flattened activation vectors in Figure 8(d). From the plot we can clearly observe the blocked pattern wherein the similarity between the activations become progressively higher across each epoch of cyclic training. This pattern suggests that every time we train on document x_i , the internal representation of x_i in the model is more resistant to gradient updates on other documents x_j .

4.4 Computational Toy Model

To further understand the essential mechanism that yields anticipatory recovery, we design a minimalist “toy” simulation experiment. In this toy simulation, each task (formerly, document) $i \in \{1, \dots, T\}$ is described by a single data point, $x_1, \dots, x_T \in \mathbb{R}^N$. We assume a learnable linear embedding $\mathbf{P} \in \mathbb{R}^{M \times N}$ that projects each x_i into an M -dimensional embedding space. We also assume a learnable vector w and task-specific mappings f_i , where $f_i(w)$ is the target for task i in the same embedding space. We require each f_i to be invertible as a simplifying assumption.

We define the loss for task i as $\ell_i(\mathbf{P}, w) = \frac{1}{2} \|\mathbf{P}x_i - f_i(w)\|_2^2$. Just as when training a deep net, we assume here that representation learning occurs slowly, and that one training step for task i involves a single gradient update of \mathbf{P} with step size α :

$$\mathbf{P} \leftarrow \mathbf{P} - \alpha (\mathbf{P}x_i - f_i(w))x_i^\top. \quad (1)$$

In contrast, at each training step, w , analogous to the fast-adapting weights in a neural network, can be rapidly tuned to solve for task i , yielding the loss minimizer conditional on \mathbf{P} :

$$w \leftarrow f_i^{-1}(\mathbf{P}x_i). \quad (2)$$

As in our main experiments, we sequentially optimize each ℓ_i as we iterate through the sequence of tasks. In each training step, we first update \mathbf{P} and then solve for w given the update to \mathbf{P} . Updating \mathbf{P} approximately reduces the distance between $\mathbf{P}x_{i+1}$ and $f_{i+1}(f_i^{-1}(\mathbf{P}x_i))$, which entails reducing the upper bound of $\|f_{i+1}^{-1}(\mathbf{P}x_{i+1}) - f_i^{-1}(\mathbf{P}x_i)\|$, assuming that each f_i^{-1} is Lipschitz continuous. As a result of this optimization objective, the model will evolve along the optimization trajectory such that the $f_i^{-1}(\mathbf{P}x_i)$ for all tasks i gradually form a circular pattern. This gives an intuitive explanation

on the anticipatory recovery phenomenon, since updating \mathbf{w} according to equation 2 will also bring it closer to $f_{i+1}^{-1}(\mathbf{P}\mathbf{x}_{i+1})$, thus reducing the loss on task $i + 1$ and exhibits anticipatory recovery.

We experimented with two very simple choices of f_i : $f_i(\mathbf{w}) = \mathbf{w}$ and $f_i(\mathbf{w}) = \mathbf{y}_i - \mathbf{w}$ for some task-dependent targets \mathbf{y}_i . We follow the same order over tasks— $1, \dots, T$ —for multiple epochs of training. The resulting loss curves are shown in Figure 10, which exhibits very similar anticipatory recovery trajectory as the full-blown LLM experiment. Visualizations of the 2-dimensional PCA embeddings for $f_i^{-1}(\mathbf{P}\mathbf{x}_i)$ in the second experiment are shown in Figure 11, which confirms our analysis that they gradually self-organize into a cyclic structure.

There are two potential reasons large overparameterized networks might produce the anticipatory recovery in a way analogous to the toy simulation. First, for larger networks, it is more likely that the network can develop task-specific parameters that quickly adapt to and memorize new input data, corresponding to Equation 2. And when the fast memorization is achieved, the gradient descent dynamics of the slow weights push the representations of the two adjacent tasks ($\mathbf{P}\mathbf{x}_i$ and $\mathbf{P}\mathbf{x}_{i+1}$) closer when f is an identity function, according to Equation 1. This effect can be seen in earlier LLM experiments (Figure 2), where larger models achieve significantly lower losses within a few gradient update steps. Second, larger networks have more learning capacity to map the features of two adjacent tasks closer. In our linear projection model, anticipatory recovery keeps growing over many epochs, whereas the anticipatory effect is already at the strongest within 2 or 3 epochs in LLM experiments. Moreover, all data points are randomly generated in the toy model, which makes it easier to separate and map their representations according to a temporal structure than real-world data. In contrast, real-world data could require more representation capacity since data points are noisy and correlated.

4.5 Summary

In this section, we visualized model weight dynamics with heatmaps and we showed model activations and gradients during cyclic training. We discussed the special temporal structure that is exhibited in these heat maps. We also plotted the pairwise degree of recovery for fine-tuning on document i and evaluating on document j , as well as the change of distance between fine-tuned model weights on different tasks. The results suggest that after we train on a document, the model’s representation of that document becomes less sensitive to gradient updates on other documents. Finally, we showed a simple toy experiment that demonstrates a similar anticipatory recovery phenomenon in its loss curve, and discuss its connections to neural network training dynamics through the lens of task-specific and task-general parameters. Overall, these results shed some light on the dynamics of cyclic training.

5 Related Work

In this section we discuss the most relevant prior works to this paper. Please refer to Appendix D for additional related work.

Cyclic and Structured Training. Prior theoretical works have studied convergence rates, under various assumptions, for the training setup where the data points are shuffled only once and that order is reused for all epochs [21, 22, 23, 24]. On the empirical side, [25] found that shuffling the data only once in the beginning can achieve a convergence rate comparable to shuffling every epoch. The training setup is equivalent to our cyclic training setup, but our research examines the loss on each task throughout the training cycle and discovers the anticipatory recovery effect. We also extend it to multiple gradient update steps on each data point.

Online Learning. Online learning deals with the setting where the tasks come from an online sequential stream. One of the simplest algorithms in online learning is follow-the-leader [26], which stores all previous data from the stream and minimizes the total loss. It has strong performance guarantees but is computationally very expensive, and it also might not be feasible to store all the past data. Many subsequent works have developed cheaper algorithms under different assumptions [27, 28, 29]. Many recent works also explore the connection between online learning and meta-learning or continual learning [30, 31, 32, 33, 34, 35, 36]. The cyclic training setting that we explore in this research can be considered as a special case of the online learning setting where the data stream has a cyclic repetition structure. We employ multiple steps of online gradient descent [37] on each document from the stream and study the training dynamics of over-parameterized neural networks.

Catastrophic Interference. When transitioning between tasks sequentially, neural networks often experience “catastrophic interference” [9], marked by a significant drop in performance on previously learned tasks. Numerous algorithms have been proposed to mitigate catastrophic forgetting, focusing on general approaches including parameter regularization [38, 39, 40], data replay [41, 42, 43], knowledge distillation [44, 45, 46, 47], and architectural isolation and expansion [48, 49, 50, 51]. Our work extends interleaved training [52] to a larger number of tasks, specifically investigating the emergent anticipatory recovery phenomenon in cyclic training. This finding adds to the above literature by demonstrating a new mechanism by which large networks can avoid or recover from catastrophic interference.

Continual Learning Continual learning [8, 53, 54, 55] addresses a simplified setup where a model sequentially learns a set of tasks without revision. Recently, there have been debates over the practicality of continual learning setups. Studies like [56] have shown that as networks learn more tasks, they improve in learning speed and reduce forgetting. In large models, studies suggest that pre-trained vision classifiers can undertake continual learning with ease, by either freezing or fine-tuning representations [57, 58, 59]. In the language domain, research also suggests that LLMs exhibit emerging continual learning capabilities [60, 61]. Nevertheless, it is uncommon in real environments for tasks to occur only once yet for an agent to need to retain them. Unlike prior literature on continual learning, our research uniquely focuses on sequential learning environments with cyclic repetition.

6 Discussion and Limitations

In this work, we explored the training dynamics of overparametrized neural networks, especially LLMs, in sequential cyclic fine-tuning, where a finite set of documents are presented in the same order within each epoch. We demonstrated the remarkable phenomenon of anticipatory recovery—networks recover from the initial forgetting before seeing the same document again. The effect holds across many different network instances and training hyperparameters. This phenomenon is a sharp contrast with the well known phenomenon of catastrophic interference, where forgetting increases monotonically as a network is trained on a sequence of different documents.

We showed that anticipatory recovery occurs only when the network has sufficient width and depth and when it is well fitted to each document before moving to the next. Visualizations of model weights, model activations, and gradients exhibit clear temporal structure, which provide insights on the underlying mechanisms of anticipatory recovery.

Our research indicates that there is value in exploring naturalistic task sequences within continual learning, where tasks interleave in statistically regular patterns. This approach could expand the field’s current focus on learning and retaining new tasks to also consider how effectively previously encountered tasks are re-learned when they reappear. With the anticipatory recovery phenomenon, we discovered a mechanism in which ML models can do surprisingly better than expected on prequential evaluation. By analyzing the different factors of model pre-training and fine-tuning that moderate this phenomenon, our experiments provide a promising first step toward leveraging structured training with agents in realistic environments.

Limitations. The cyclic training setup investigated in this work is distinct from the IID training setting assumed in the vast majority of the machine learning literature. It accounts for task repetition and task switching costs, which are critical components of the learning experience of humans and other real world agents. However, our current setup is still highly simplified. Future research could investigate the emerging training dynamics of neural networks in different types of structured environments, such as multiscale temporal dynamics [62], from both theoretical and empirical perspectives.

The mathematical foundation of anticipatory recovery also requires further investigation. Although our computational toy model reproduces the anticipatory recovery phenomenon, it does not explain why the effect is stronger in LLMs and autoregressive tasks than in other types of architecture or learning objectives. In terms of the empirical experiments, the ablation studies are only run in a single setting. Future research could run the experiments in more settings to reach more conclusive results and investigate possible alternative theoretical explanations to the anticipatory recovery phenomenon.

Acknowledgment

We thank the Microsoft Accelerating Foundation Models Research program for providing Azure cloud compute credits. We thank members of the NYU Agentic Learning AI Lab for helpful discussions. The compute was supported by the NYU High Performance Computing resources, services, and staff expertise. MJ was supported by NSF grant 2020-906.

References

- [1] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: Pre-training of deep bidirectional transformers for language understanding. In *Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies*, 2019.
- [2] Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. *Advances in Neural Information Processing Systems*, 33:1877–1901, 2020.
- [3] Hugo Touvron, Thibaut Lavigra, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. LLaMA: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023.
- [4] OpenAI. GPT-4 technical report, 2023.
- [5] Jordan Hoffmann, Sebastian Borgeaud, Arthur Mensch, Elena Buchatskaya, Trevor Cai, Eliza Rutherford, Diego de Las Casas, Lisa Anne Hendricks, Johannes Welbl, Aidan Clark, et al. Training compute-optimal large language models. *arXiv preprint arXiv:2203.15556*, 2022.
- [6] Aakanksha Chowdhery, Sharan Narang, Jacob Devlin, Maarten Bosma, Gaurav Mishra, Adam Roberts, Paul Barham, Hyung Won Chung, Charles Sutton, Sebastian Gehrmann, et al. PaLM: Scaling language modeling with pathways. *Journal of Machine Learning Research*, 24(240):1–113, 2023.
- [7] Fuzhao Xue, Yao Fu, Wangchunshu Zhou, Zangwei Zheng, and Yang You. To repeat or not to repeat: Insights from scaling llm under token-crisis. *Advances in Neural Information Processing Systems*, 36, 2024.
- [8] Zhiyuan Chen and Bing Liu. *Lifelong Machine Learning*, volume 1. Springer, 2018.
- [9] Michael McCloskey and Neal J Cohen. Catastrophic interference in connectionist networks: The sequential learning problem. In *Psychology of Learning and Motivation*, volume 24, pages 109–165. Elsevier, 1989.
- [10] Zhipeng Cai, Ozan Sener, and Vladlen Koltun. Online continual learning with natural distribution shifts: An empirical study with visual data. In *Proceedings of the IEEE/CVF international conference on computer vision*, pages 8281–8290, 2021.
- [11] Stella Biderman, Hailey Schoelkopf, Quentin Gregory Anthony, Herbie Bradley, Kyle O’Brien, Eric Hallahan, Mohammad Aflah Khan, Shivanshu Purohit, USVSN Sai Prashanth, Edward Raff, et al. Pythia: A suite for analyzing large language models across training and scaling. In *International Conference on Machine Learning*, pages 2397–2430. PMLR, 2023.
- [12] Leo Gao, Stella Biderman, Sid Black, Laurence Golding, Travis Hoppe, Charles Foster, Jason Phang, Horace He, Anish Thite, Noa Nabeshima, et al. The Pile: An 800GB dataset of diverse text for language modeling. *arXiv preprint arXiv:2101.00027*, 2020.
- [13] Stella Biderman, Kieran Bicheno, and Leo Gao. Datasheet for the Pile. *arXiv preprint arXiv:2201.07311*, 2022.
- [14] Mark Chen, Alec Radford, Rewon Child, Jeffrey Wu, Heewoo Jun, David Luan, and Ilya Sutskever. Generative pretraining from pixels. In *International Conference on Machine Learning*, pages 1691–1703. PMLR, 2020.

- [15] Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, et al. An image is worth 16x16 words: Transformers for image recognition at scale. In *International Conference on Learning Representations*, 2020.
- [16] Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. In *International Conference on Learning Representations*, 2015.
- [17] Ramesh Nallapati, Bowen Zhou, Cicero dos Santos, Çağlar Gulçehre, and Bing Xiang. Abstractive text summarization using sequence-to-sequence rnns and beyond. In *Proceedings of the 20th SIGNLL Conference on Computational Natural Language Learning*, pages 280–290, 2016.
- [18] Alex Krizhevsky, Geoffrey Hinton, et al. Learning multiple layers of features from tiny images. *University of Toronto*, 2009.
- [19] Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In *2009 IEEE conference on Computer Vision and Pattern Recognition*, pages 248–255. IEEE, 2009.
- [20] Diederik P. Kingma and Jimmy Ba. Adam: A method for stochastic optimization. In *International Conference on Learning Representations*, 2015.
- [21] Kwangjun Ahn, Chulhee Yun, and Suvrit Sra. SGD with shuffling: Optimal rates without component convexity and large epoch requirements. *Advances in Neural Information Processing Systems*, 33:17526–17535, 2020.
- [22] M Gurbuzbalaban, Asu Ozdaglar, and Pablo A Parrilo. Convergence rate of incremental gradient and incremental newton methods. *SIAM Journal on Optimization*, 29(4):2542–2565, 2019.
- [23] Konstantin Mishchenko, Ahmed Khaled, and Peter Richtárik. Random reshuffling: Simple analysis with vast improvements. *Advances in Neural Information Processing Systems*, 33:17309–17320, 2020.
- [24] Itay Safran and Ohad Shamir. How good is SGD with random shuffling? In *Conference on Learning Theory*, pages 3250–3284. PMLR, 2020.
- [25] Lijie Xu, Shuang Qiu, Binhang Yuan, Jiawei Jiang, Cedric Renggli, Shaoduo Gan, Kaan Kara, Guoliang Li, Ji Liu, Wentao Wu, et al. Stochastic gradient descent without full data shuffle. *arXiv preprint arXiv:2206.05830*, 2022.
- [26] James Hannan. Approximation to Bayes risk in repeated play. *Contributions to the Theory of Games*, 3:97–139, 1957.
- [27] Martin Zinkevich. Online convex programming and generalized infinitesimal gradient ascent. In *International Conference on Machine Learning*, pages 928–936, 2003.
- [28] Nicolo Cesa-Bianchi and Gábor Lugosi. *Prediction, learning, and games*. Cambridge University Press, 2006.
- [29] Shai Shalev-Shwartz et al. Online learning and online convex optimization. *Foundations and Trends® in Machine Learning*, 4(2):107–194, 2012.
- [30] Giulia Denevi, Carlo Ciliberto, Riccardo Grazzi, and Massimiliano Pontil. Learning-to-learn stochastic gradient descent with biased regularization. In *International Conference on Machine Learning*, pages 1566–1575. PMLR, 2019.
- [31] Chelsea Finn, Aravind Rajeswaran, Sham Kakade, and Sergey Levine. Online meta-learning. In *International Conference on Machine Learning*, pages 1920–1930. PMLR, 2019.
- [32] Giulia Denevi, Dimitris Stamos, Carlo Ciliberto, and Massimiliano Pontil. Online-within-online meta-learning. *Advances in Neural Information Processing Systems*, 32, 2019.
- [33] Khurram Javed and Martha White. Meta-learning representations for continual learning. *Advances in Neural Information Processing Systems*, 32, 2019.

- [34] Enrico Fini, Stéphane Lathuiliere, Enver Sangineto, Moin Nabi, and Elisa Ricci. Online continual learning under extreme memory constraints. In *Proceedings of the European Conference on Computer Vision*, pages 720–735. Springer, 2020.
- [35] Mengye Ren, Michael Louis Iuzzolino, Michael Curtis Mozer, and Richard S. Zemel. Wandering within a world: Online contextualized few-shot learning. In *International Conference on Learning Representations*, 2021.
- [36] Jianren Wang, Xin Wang, Yue Shang-Guan, and Abhinav Gupta. Wanderlust: Online continual object detection in the real world. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 10829–10838, 2021.
- [37] Michael Biehl and Holm Schwarze. Learning by on-line gradient descent. *Journal of Physics A: Mathematical and general*, 28(3):643, 1995.
- [38] James Kirkpatrick, Razvan Pascanu, Neil Rabinowitz, Joel Veness, Guillaume Desjardins, Andrei A Rusu, Kieran Milan, John Quan, Tiago Ramalho, Agnieszka Grabska-Barwinska, et al. Overcoming catastrophic forgetting in neural networks. *Proceedings of the National Academy of Sciences*, 114(13):3521–3526, 2017.
- [39] Friedemann Zenke, Ben Poole, and Surya Ganguli. Continual learning through synaptic intelligence. In *International Conference on Machine Learning*, pages 3987–3995. PMLR, 2017.
- [40] Rahaf Aljundi, Francesca Babiloni, Mohamed Elhoseiny, Marcus Rohrbach, and Tinne Tuytelaars. Memory aware synapses: Learning what (not) to forget. In *Proceedings of the European Conference on Computer Vision*, pages 139–154, 2018.
- [41] Sylvestre-Alvise Rebuffi, Alexander Kolesnikov, Georg Sperl, and Christoph H Lampert. iCaRL: Incremental classifier and representation learning. In *Proceedings of the IEEE conference on Computer Vision and Pattern Recognition*, pages 2001–2010, 2017.
- [42] David Rolnick, Arun Ahuja, Jonathan Schwarz, Timothy Lillicrap, and Gregory Wayne. Experience replay for continual learning. *Advances in Neural Information Processing Systems*, 32, 2019.
- [43] Arslan Chaudhry, Marcus Rohrbach, Mohamed Elhoseiny, Thalaiyasingam Ajanthan, Puneet K Dokania, Philip HS Torr, and Marc’Aurelio Ranzato. On tiny episodic memories in continual learning. *arXiv preprint arXiv:1902.10486*, 2019.
- [44] Geoffrey Hinton, Oriol Vinyals, and Jeff Dean. Distilling the knowledge in a neural network. *arXiv preprint arXiv:1503.02531*, 2015.
- [45] Zhizhong Li and Derek Hoiem. Learning without forgetting. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 40(12):2935–2947, 2017.
- [46] Pietro Buzzega, Matteo Boschini, Angelo Porrello, Davide Abati, and Simone Calderara. Dark experience for general continual learning: a strong, simple baseline. *Advances in Neural Information Processing Systems*, 33:15920–15930, 2020.
- [47] Divyam Madaan, Hongxu Yin, Wonmin Byeon, Jan Kautz, and Pavlo Molchanov. Heterogeneous continual learning. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 15985–15995, 2023.
- [48] Jaehong Yoon, Eunho Yang, Jeongtae Lee, and Sung Ju Hwang. Lifelong learning with dynamically expandable networks. In *International Conference on Learning Representations*, 2018.
- [49] Joan Serra, Didac Suris, Marius Miron, and Alexandros Karatzoglou. Overcoming catastrophic forgetting with hard attention to the task. In *International Conference on Machine Learning*, pages 4548–4557. PMLR, 2018.
- [50] Mustafa B Gurbuz and Constantine Dovrolis. NISPA: Neuro-inspired stability-plasticity adaptation for continual learning in sparse networks. In *International Conference on Machine Learning*, pages 8157–8174. PMLR, 2022.

- [51] Haeyong Kang, Rusty John Lloyd Mina, Sultan Rizky Hikmawan Madjid, Jaehong Yoon, Mark Hasegawa-Johnson, Sung Ju Hwang, and Chang D Yoo. Forget-free continual learning with winning subnetworks. In *International Conference on Machine Learning*, pages 10734–10750. PMLR, 2022.
- [52] David Mayo, Tyler Scott, Mengye Ren, Gamaleldin Elsayed, Katherine Hermann, Matt Jones, and Michael Mozer. Multitask learning via interleaving: A neural network investigation. In M. Goldwater, F. K. Anggoro, B. K. Hayes, and D. C. Ong, editors, *Proceedings of the 45th Annual Conference of the Cognitive Science Society*, volume 45, 2023.
- [53] Andrea Madotto, Zhaojiang Lin, Zhenpeng Zhou, Seungwhan Moon, Paul Crook, Bing Liu, Zhou Yu, Eunjoon Cho, Pascale Fung, and Zhiguang Wang. Continual learning in task-oriented dialogue systems. In *Proceedings of the 2021 Conference on Empirical Methods in Natural Language Processing*, pages 7452–7467, Online and Punta Cana, Dominican Republic, November 2021. Association for Computational Linguistics.
- [54] Chengwei Qin and Shafiq R. Joty. LFPT5: A unified framework for lifelong few-shot language learning based on prompt tuning of T5. In *International Conference on Learning Representations*. OpenReview.net, 2022.
- [55] Anastasia Razdaibiedina, Yuning Mao, Rui Hou, Madien Khabsa, Mike Lewis, and Amjad Almahairi. Progressive prompts: Continual learning for language models. In *International Conference on Learning Representations*. OpenReview.net, 2023.
- [56] Guy Davidson and Michael C Mozer. Sequential mastery of multiple visual tasks: Networks naturally learn to learn and forget to forget. In *Proceedings of the IEEE/CVF conference on Computer Vision and Pattern Recognition*, pages 9282–9293, 2020.
- [57] Paul Janson, Wenxuan Zhang, Rahaf Aljundi, and Mohamed Elhoseiny. A simple baseline that questions the use of pretrained-models in continual learning. In *NeurIPS 2022 Workshop on Distribution Shifts: Connecting Methods and Applications*, 2022.
- [58] Kuan-Ying Lee, Yuanyi Zhong, and Yu-Xiong Wang. Do pre-trained models benefit equally in continual learning? In *Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision*, pages 6485–6493, 2023.
- [59] Enrico Fini, Victor G Turrisi Da Costa, Xavier Alameda-Pineda, Elisa Ricci, Kartek Alahari, and Julien Mairal. Self-supervised models are continual learners. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 9621–9630, 2022.
- [60] Thomas Scialom, Tuhin Chakrabarty, and Smaranda Muresan. Fine-tuned language models are continual learners. In *Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing*, pages 6107–6122, 2022.
- [61] Zixuan Ke, Yijia Shao, Haowei Lin, Tatsuya Konishi, Gyuhak Kim, and Bing Liu. Continual pre-training of language models. In *International Conference on Learning Representations*, 2022.
- [62] Matt Jones, Tyler R. Scott, Mengye Ren, Gamaleldin Fathy Elsayed, Katherine L. Hermann, David Mayo, and Michael Curtis Mozer. Learning in temporally structured environments. In *International Conference on Learning Representations*, 2023.
- [63] Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pieric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, Joe Davison, Sam Shleifer, Patrick von Platen, Clara Ma, Yacine Jernite, Julien Plu, Canwen Xu, Teven Le Scao, Sylvain Gugger, Mariama Drame, Quentin Lhoest, and Alexander M. Rush. Transformers: State-of-the-art natural language processing. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing: System Demonstrations*, pages 38–45, Online, October 2020. Association for Computational Linguistics.
- [64] Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. Language models are unsupervised multitask learners. *OpenAI blog*, 1(8):9, 2019.

- [65] Stephen Merity, Caiming Xiong, James Bradbury, and Richard Socher. Pointer sentinel mixture models. In *5th International Conference on Learning Representations, Toulon, France, April 24-26, 2017, Conference Track Proceedings*, 2017.
- [66] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. *Advances in Neural Information Processing Systems*, 30, 2017.
- [67] Jason Wei, Yi Tay, Rishi Bommasani, Colin Raffel, Barret Zoph, Sebastian Borgeaud, Dani Yogatama, Maarten Bosma, Denny Zhou, Donald Metzler, et al. Emergent abilities of large language models. *Transactions on Machine Learning Research*, 2022.
- [68] Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed Chi, Quoc V Le, Denny Zhou, et al. Chain-of-thought prompting elicits reasoning in large language models. *Advances in Neural Information Processing Systems*, 35:24824–24837, 2022.
- [69] Deep Ganguli, Danny Hernandez, Liane Lovitt, Amanda Askell, Yuntao Bai, Anna Chen, Tom Conerly, Nova Dassarma, Dawn Drain, Nelson Elhage, et al. Predictability and surprise in large generative models. In *Proceedings of the 2022 ACM Conference on Fairness, Accountability, and Transparency*, pages 1747–1764, 2022.
- [70] Aarohi Srivastava, Abhinav Rastogi, Abhishek Rao, Abu Awal Md Shoeb, Abubakar Abid, Adam Fisch, Adam R Brown, Adam Santoro, Aditya Gupta, Adrià Garriga-Alonso, et al. Beyond the imitation game: Quantifying and extrapolating the capabilities of language models. *arXiv preprint arXiv:2206.04615*, 2022.
- [71] Nicholas Carlini, Daphne Ippolito, Matthew Jagielski, Katherine Lee, Florian Tramèr, and Chiyuan Zhang. Quantifying memorization across neural language models. In *International Conference on Learning Representations*, 2023.
- [72] A Emin Orhan. Recognition, recall, and retention of few-shot memories in large language models. *arXiv preprint arXiv:2303.17557*, 2023.

A Additional Experiment Details

A.1 LLM Experiments

We use the Huggingface Transformers Library [63] for fine-tuning the LLMs. The learning rate 0.001 for vanilla gradient descent and 0.00001 for Adam. For all experiments we run 3 to 5 trials with different random seeds, except the results in Figure 1 which are based on 20 seeds. The shaded area in the figures denotes standard deviation among trials and documents (for shift-averaged loss curves).

A.2 Causal Image Modeling Experiments

Models Image GPT [14] is a GPT-2-like model trained to predict the next pixel value in an image. It is pre-trained on the Imagenet dataset [19] resized to 32x32. The Image GPT authors provide three pre-trained models of different sizes. In our experiments, we use the Image GPT-small and Image GPT-medium models.

Datasets We use the CIFAR-10 [18] dataset for fine-tuning. For tokenization, the pixel RGB values are categorized into 512 pre-determined clusters with the nearest-neighbor classifier, as in [14]. After pre-processing, each image is transformed to a sequence of length 1024, with code book of size 512.

Training Setup We did not manage to sequentially fine-tune the model stably with the dropout layers, so the dropout layers are turned off during the Image GPT fine-tuning experiments. We use the Adam optimizer [20] with learning rate 0.001. The default hyperparameters in the experiments are $T = 25$ images, $M = 10$ gradient update steps, $E = 5$ epochs. Same as the LLM experiments, we use the average cross-entropy loss as our evaluation metric.

A.3 Image Classification Experiments

The images are resized to 256x256 followed by a center crop of 224x224. We use the Adam optimizer with learning rate 0.0001 for $M = 10$ gradient steps on each batch of images.

A.4 Shift-averaged Loss Calculation

The shift-averaged loss curves plotted in Figure 1(b) are calculated by replicating Figure 1(a) on each document in the training sequence, re-aligning these curves so that 0 on the x-axis always represents the moment before the first occurrence of the focal document, and average them. For example, if the length of the sequence is 50, then for training epoch 0.5 on the x-axis, we take the loss of document 1 after training on document 25; the loss of document 2 after training on document 26; ...; the loss of document 50 after training on document 24 of the next epoch; and average these losses. Subsequent figures (Figure 2-7, 12-19) are plotted with the same approach.

A.5 Computational Toy Model

For Figure 9a, we pick $f_i(\mathbf{w}) = \mathbf{w}$, and each data point \mathbf{x}_i and \mathbf{w} is a vector of length $N = M = 1000$. We have $T = 25$ data points and use the vanilla gradient descent optimizer with learning rate 0.01. The projection matrix is initialized with every entry sampled independently from $\mathcal{N}(0, 1/N^2)$. Each entry of the data points \mathbf{x}_i and \mathbf{w} is sampled independently from $\text{Unif}(-1, 1)$. For Figure 9b, we pick $f_i(\mathbf{w}) = \mathbf{y}_i - \mathbf{w}$, $N = M = 100$, $T = 25$, and learning rate 0.01. Each entry of \mathbf{y}_i is also sampled independently from $\text{Unif}(-1, 1)$.

A.6 Compute Resources

Each experiment presented in the paper is run with one NVIDIA A100 GPU, 2 CPUs, and 32GB of RAM. The training time highly depends on the hyperparameter choices, especially model size and number of gradient steps. The longest fine-tuning experiment with 20 gradient steps per episode on the Pythia-1B model takes roughly 30 minutes under this setup. The minimal compute resource requirement needed to reproduce the experiments with a Pythia-1B model is one GPU with 16GB of GPU memory.

B Additional Experiment Results

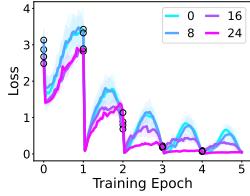


Figure 12: Effect of partial document shuffling.

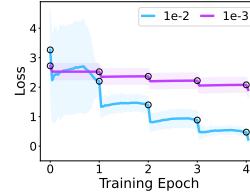


Figure 13: Effect of learn rate in 1-step GD.

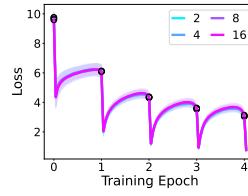


Figure 14: Effect of number of attention heads.

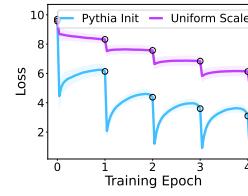


Figure 15: Effect of model initialization.

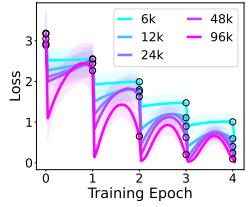


Figure 16: (Left) Effect of pre-training steps. The full pre-training process is 143k steps. (Right) Recovery scores for models with different pre-training steps.

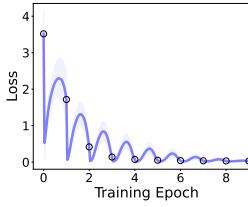
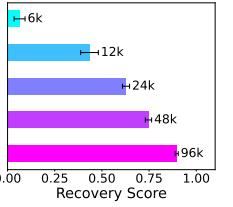


Figure 17: Loss curve for cosine learning rate schedule.

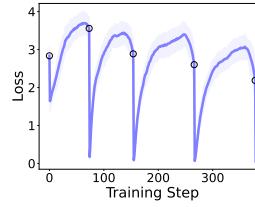


Figure 18: Effect of inserting random documents after the repeating sequence.

B.1 Partial Random Shuffling

Throughout the paper we have been focusing on the setting where the document ordering is sampled once and stay fixed for all epochs. What if we only fix the first and the last document, and shuffle the documents in between? We experimented with shuffling the documents from document x_2 through x_N for $N \in \{8, 16, 24\}$ every epoch. In Figure 12 we plot the loss curves for document x_1 . From the loss curves we can observe that even when $N = 24$ we can still observe some anticipatory recovery, suggesting that the order of the tasks between two consecutive repetitions of the x_{25} and x_1 can be arbitrary for us to observe recovery on x_1 .

B.2 One-step Gradient Descent with Larger Learning Rate

In Figure 5(b) we observe that there is no anticipation when we take only one gradient descent step on each document with learning rate 0.001. We experimented with one-step gradient descent using a higher learning rate, 0.01. We plot the resulting average loss curves under the same training setup in Figure 13. We observe that, with a larger learning rate, slight anticipation is still observed for 1 gradient step.

B.3 Effect of Number of Attention Heads

In addition to varying the model width and model depth in Figure 3, we also experimented with varying the number of attention heads $h \in \{2, 4, 8, 16\}$ while keeping model width to be 2048 and model depth to be 16. Loss curves on document x_1 are shown in Figure 14. The results suggest that the number of attention heads does not have a big effect on cyclic training in our setting.

B.4 Effect of LLM Model Initialization

Here we compare the performance of the initialization scheme used by [11] (also used for all randomly initialized models in the main text) and a simple initialization scheme that samples the weight matrices from an isotropic Gaussian distribution with $\sigma = 0.02$. The loss curves for document 1 under these two initializations of the Pythia-1B model are plotted in Figure 15. We observe that Pythia’s initialization scheme achieves much better average loss and also exhibits stronger anticipatory recovery. This demonstrates the importance of LLM initializations. The result is consistent with our

observations in section 3.3 that the model’s ability to fit on each task is correlated with the amount of anticipatory recovery.

B.5 Effect of Pre-training Steps

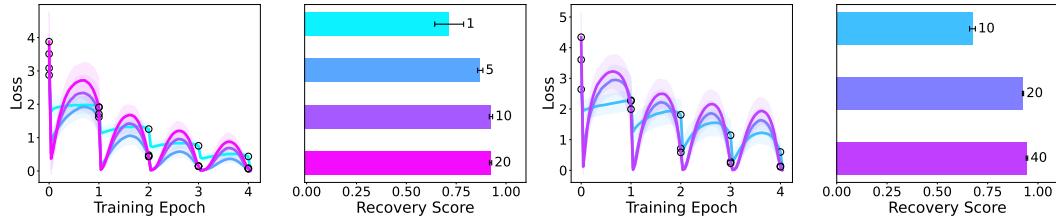
In addition to comparing pre-trained models and randomly initialized models in Figure 2, we further study the effect of model pre-training by examining model checkpoints with different numbers of pre-training steps. We took Pythia models pre-trained for 6K, 12K, 24K, 48K, and 96K steps and plot the shift-averaged loss curves for cyclic fine-tuning in Figure 16. We found that more pre-training does give rise to higher anticipatory recovery. As we summarize at the end of section 3.3, we hypothesize this result fits a broader pattern in which the strength of the anticipatory recovery effect is related to how well the model can fit each successive training task. Models with more pre-training steps are more capable of fitting each successive training task, and therefore exhibit higher anticipatory recovery.

B.6 Effect of Cosine Learning Rate Schedule

For experiments in the main paper we used a constant learning rate during the fine-tuning process. To study whether anticipatory recovery occurs in typical LLM optimization schemes, we experimented with cosine learning rate scheduling on the Pythia-1B model with 10 epochs (minimum learning rate = 0, maximum number of epochs = 10), and plot the results in Figure 17. We show that the model also exhibits the anticipatory recovery effect in other learning rate schedules.

B.7 Effect of Inserting Random Documents after the Repeating Sequence

To examine how the anticipatory recovery effect may generalize to other forms of structured training, we experimented with a new setting where only the first 20 documents are kept fixed in each epoch, and a random number of other documents (between 20 and 100) are inserted after the first 20. These random padding documents appear only once in the entire sequence. This new setting generalizes cyclic training in that (1) rather than having the same documents in every epoch, we insert random other documents between every repetition (2) epochs can have different lengths. The resulting loss curve is plotted in Figure 18. We still observe anticipatory recovery for documents 2 through 20 in this setting, suggesting that anticipatory recovery exists as long as there is a repeating sub-sequence in the data stream.



(a) Number of Gradient Steps with Inverse LR Scaling (b) Number of Gradient Steps for Context Length 1024

Figure 19: Effect of number of gradient steps (a) with inverse learning rate scaling and (b) for context length 1024.

B.8 Effect of Number of Gradient Steps with Inverse Learning Rate Scaling

In Figure 19a we experimented with inversely scaling the learning rate with the number of gradient steps. We use a learning rate of 0.01 for $M = 1$, learning rate 0.002 for $M = 5$, learning rate 0.001 for $M = 10$, and learning rate 0.0005 for $M = 20$. The results suggest that the anticipation effect is stronger when the same total update is divided among more gradient steps.

B.9 Effect of Number of Gradient Steps for Long Context Length

In Figure 19b we experimented with different number of gradient steps $M \in \{10, 20, 40\}$ for context length 1024. The results confirm that longer context length is not a fundamental limitation to

anticipatory recovery, and we can achieve the same recovery score as a smaller context length with more gradient steps.

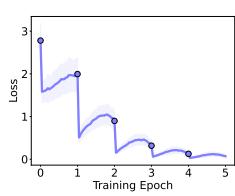


Figure 20: Experiments with GPT2-large.

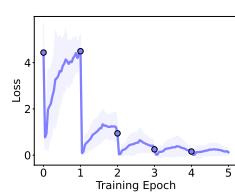
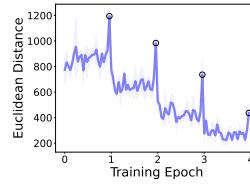
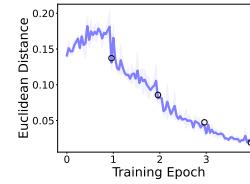


Figure 21: Experiments with wikitext-103 dataset.



(a) Model Activations



(b) Model Weights

Figure 22: Magnitude of (a) model activation updates and (b) model weight updates through cyclic training.

B.10 Experiments with GPT-2

To evaluate how the anticipatory recovery phenomenon generalizes across different LLM architectures, we experimented with GPT-2 architecture [64], specifically the GPT2-large pre-trained model (812M parameters) on the CNN/Daily Mail dataset. The loss curve for document 1 is plotted in Figure 20. The model consistently observed anticipatory recovery. Note that GPT-2 is the predecessor of many modern LLMs and therefore the results further suggest that the anticipatory recovery phenomenon is prevalent among more recent LLM architectures.

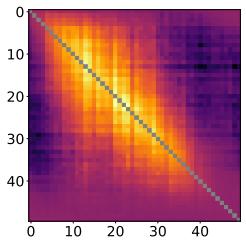
B.11 Experiments with Wikitext

To evaluate how the anticipatory recovery phenomenon generalizes across different natural language datasets, we experimented with the wikitext-103 dataset [65], which contains over 100 million tokens from articles on Wikipedia. Since Wikipedia data is part of the pre-training dataset of Pythia, we only experiment with randomly initialized models. The loss curve for document 1 is plotted in Figure 21. The result suggest that the anticipatory recovery phenomenon is generalizable to different data sources.

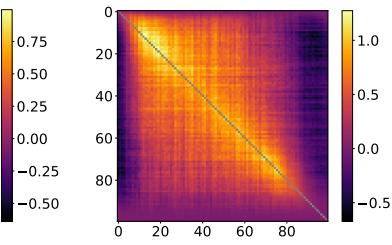
C Additional Visualizations

C.1 Magnitude of Changes in Model Weights and Model Activations

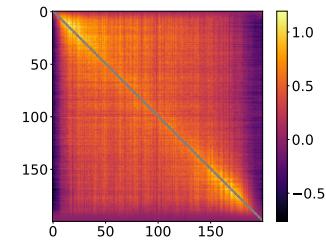
We plot the magnitude of the difference between the x_1 activations of model checkpoints saved at consecutive episodes throughout four epochs of cyclic training of a Pythia-410M model in Figure 21a, and observe a clear stepwise pattern. In contrast, the magnitude of model weight updates (Figure 21b) decreases monotonically over the training episodes and do not exhibit this stepwise pattern. This result is consistent with the pattern we observe in section 4.3.



(a) 50 Documents



(b) 100 Documents



(c) 200 Documents

Figure 23: Loss recoveries for training on task x_i (y-axis) and evaluating on task x_j (x-axis) for longer document sequences of different lengths.

C.2 Pairwise Recovery Matrices for Longer Document Sequences

Similar to Figure 8(b), we plot the pairwise loss recoveries for each pair of documents ($\mathbf{x}_i, \mathbf{x}_j$) in longer document sequences, where $T = 50, 100, 200$ respectively, in Figure 23. We use the 1B model and default hyperparameters. We observe that, as we increase the length of the document sequence, the highlight area near the center of the matrix is separated into two blobs, one in the top-left corner and the other in the bottom-right corner. We also observe a "boundary" on the sides of the matrix where there is little or no recovery. The width of this "boundary" stays relatively constant across different lengths of document sequences and is around 10 to 15 documents. This confirms our observation in the main text that the recovery on document \mathbf{x}_j when fine-tuning on a proximal document \mathbf{x}_i is highest when the model checkpoint is taken from document $\mathbf{x}_{j\pm b}$ where b is a small number relative to the length of the document sequence.

D Additional Related Work

Learning in Structured Environments. Our research also relates to the more general topic of learning in structured environments. [62] studied regression and classification tasks with multi-scale temporal structure in the environment characterized by $1/f$ dynamics. While the cyclic training setting that we study is a more simplified setup than that of [62], we aim at unveiling more insights on applying standard SGD on over-parameterized networks. A potential direction for future work would be to study anticipatory recovery in regimes with richer, hierarchical sequence structure.

LLM Emergent Capabilities. Recent advancements in large-scale Transformer networks [66, 1] have demonstrated exceptional ability to model long sequence language data. Beyond basic language modeling and downstream task performance, these models have shown emergent behaviors [67] that appear to manifest only beyond a certain model scale [2, 68, 69, 70]. Related to our research, recent studies reveal that LLMs possess remarkable memorization skills, enabling them to recall news sentences after just a few exposures [11, 71, 72]. However, the sequential learning dynamics behind such memorization have not been thoroughly examined. Our work comprehensively explore the sequential learning setting with cyclic task repetition and demonstrates task anticipation, a new emergent capability of large models.

E Broader Impact

This research deepens our understanding of catastrophic interference in naturalistic training setups. This understanding could lead to the design of better training algorithms of LLMs and other large neural networks that are more similar to human learning. These algorithms may give rise to more powerful and embodied AI systems with online adaptive learning capability, which may have many potential societal consequences.

Recovery from catastrophic interference might be undesirable in scenarios where some documents are intended to be forgotten. Our research provide more understanding into the anticipatory recovery phenomenon. Future research into the unlearning problem as well as a deeper understanding of the training dynamics of different types of structured environments can help further address the privacy issue.

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: [The authors confirm that the claims made in the abstract and introduction accurately reflect the paper's contributions and scope.](#)

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: [The limitations of this work are discussed in Section 6.](#)

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [NA]

Justification: The paper does not include theoretical results. While we provide a well-defined computational model in section 4.4, we are not trying to prove new theoretical results and the purpose of section 4.4 is to provide more intuition on how the anticipatory recovery phenomenon might occur.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: In Sections 2, 3 and Appendices A, B we disclose all the information needed to reproduce the main experimental results of the paper. The pre-trained models and datasets we use are also publicly available. We also include the code for reproducing main experimental results in the supplementary material.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility.

In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: [We provide the code and instructions for reproducing main experimental results in the supplementary material.](#)

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: [The experimental settings and details are provided in Section 2 and Appendix A.](#)

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: [All experiment result figures and tables in Section 3 of the paper are accompanied by error bars or confidence intervals. Some error bars might not be visible since they are too small.](#)

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: [We provided detailed information on the compute resources needed to reproduce the experiments in Section A.6.](#)

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: [The authors confirm that this paper conforms with the NeurIPS Code of Ethics. We used publicly available, non-deprecated datasets and models, and conform with their licenses.](#)

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: The broader impacts of this work are discussed in Appendix E.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: This paper does not release new data or models.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: The original papers for all the code, data and models used in the main experiments of the paper are cited. All these existing assets involved are publicly available, under the Apache 2.0 License (Pythia, huggingface transformers) or MIT License (cnn/dailymail version 3.0.0).

Guidelines:

- The answer NA means that the paper does not use existing assets.

- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New Assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: [This paper does not release new assets.](#)

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and Research with Human Subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: [This paper does not involve crowdsourcing nor research with human subjects.](#)

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: [This paper does not involve crowdsourcing nor research with human subjects.](#)

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.