



## Seq2seq and Attention

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#### Plan

- ➤ Machine translation task
- > Encoder-decoder framework
- Decoding
- > Bahdanau attention model
- > Attention is all you need: Transformer
- Pointer networks
- Attention helps for understanding the model (context-aware NMT)
- > Attention: other use cases
- > Hack of the day!

### Machine translation task (seq2seq)

$$\boldsymbol{x} = (x_1, x_2, ..., x_{\text{Tx}})$$
 - source sentence

$$\mathbf{y} = (y_1, y_2, ..., y_{Ty})$$
 - target sentence

Any type of machine translation system can be defined as a function

$$\widehat{y} = mt(x)$$

Translation is equivalent to finding a target sentence that maximizes the conditional probability of y given a source sentence x, i.e.

$$arg max_v p(y|x)$$

### Machine translation task (seq2seq)

Machine translation systems create a probabilistic model for the probability of y given x,

$$p(y|x, \theta)$$
,

and find the target sentence that maximizes this probability:

$$\widehat{y} = \arg \max_{y} p(y|x, \theta).$$

 $(\theta$  – the parameters of the model specifying the probability distribution)

#### Machine translation task (seq2seq)

Modeling

What the model  $p(y|x, \theta)$  will look like?

Learning

We need a method to learn appropriate values for parameters  $\theta$  from training data.

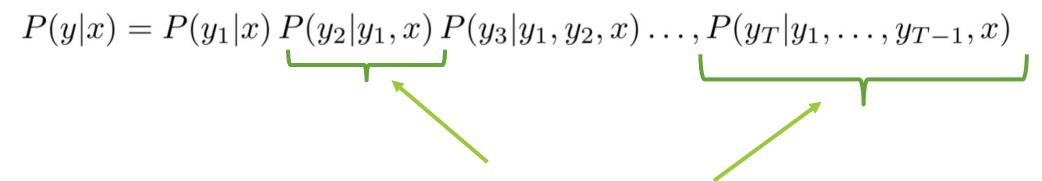
Search

We need to solve the problem of finding the most probable sentence (solving "argmax")

Seq2seq directly models probability P(y|x):

$$P(y|x) = P(y_1|x) P(y_2|y_1, x) P(y_3|y_1, y_2, x) \dots, P(y_T|y_1, \dots, y_{T-1}, x)$$

Seq2seq directly models probability P(y|x):



Probability of next target words so far and source sentence *x* 

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Probability of next target words so far and source sentence  $x$ 

Why conditional language model?

Seq2seq directly models probability P(y|x):

$$P(y|x) = P(y_1|x) P(y_2|y_1,x) P(y_3|y_1,y_2,x) \dots, P(y_T|y_1,\dots,y_{T-1},x)$$

Probability of next target words so far and source sentence  $x$ 

Why conditional language model?

- ➤ LM predicts next word
- Conditional predictions conditioned on source sentence

# Encoder-Decoder Framework

#### **RECAP: RNN Language Models**

#### **Output distribution**

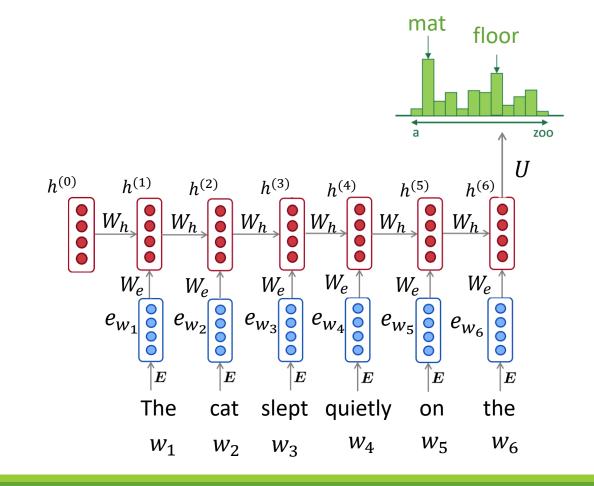
$$\hat{y}_t = \operatorname{softmax}(Uh^{(t)} + b_2) \in \mathbb{R}^{|V|}$$

#### Hidden states

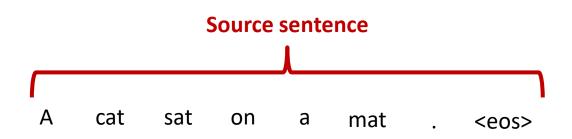
$$h^{(t)} = \sigma (W_h h^{(t-1)} + W_e e_{w_{t-1}} + b_1)$$

Word embeddings

Words/tokens



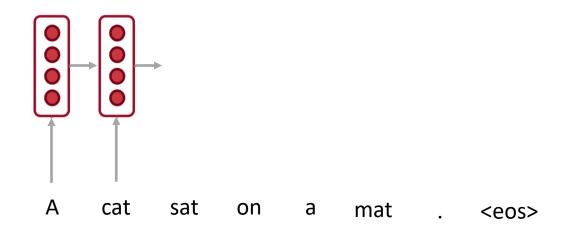




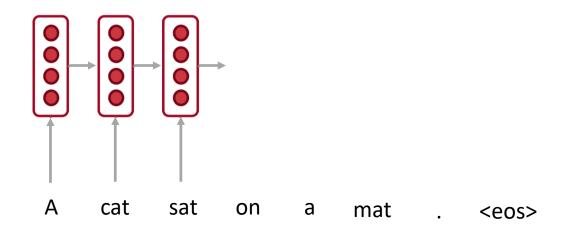




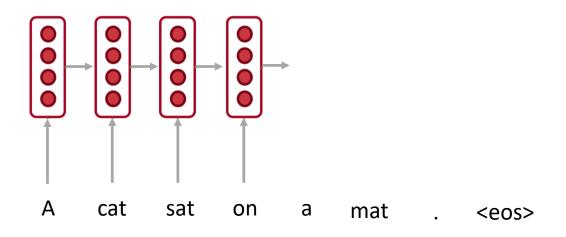




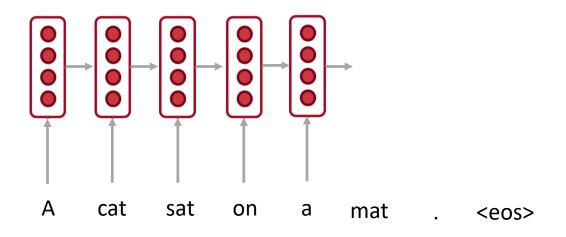




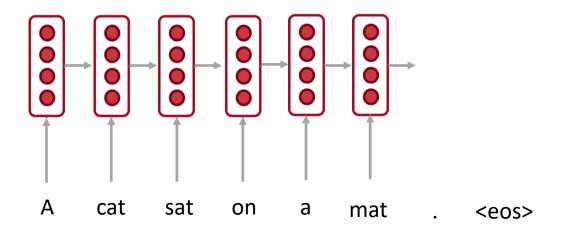




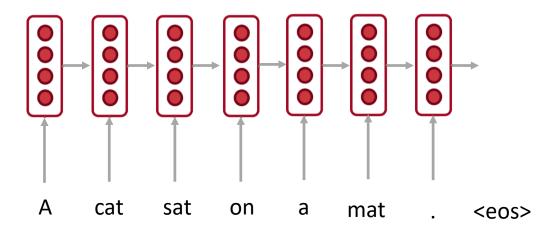




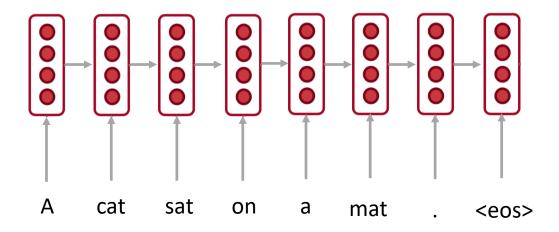


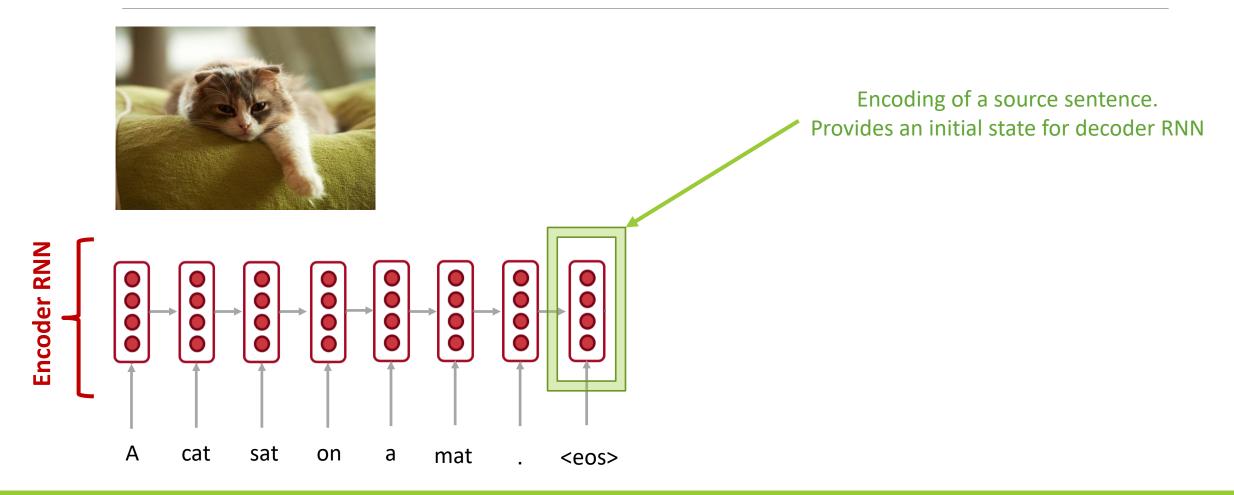




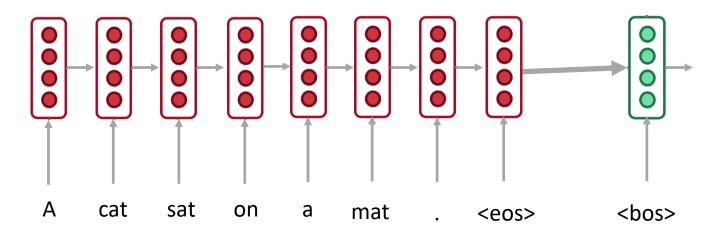


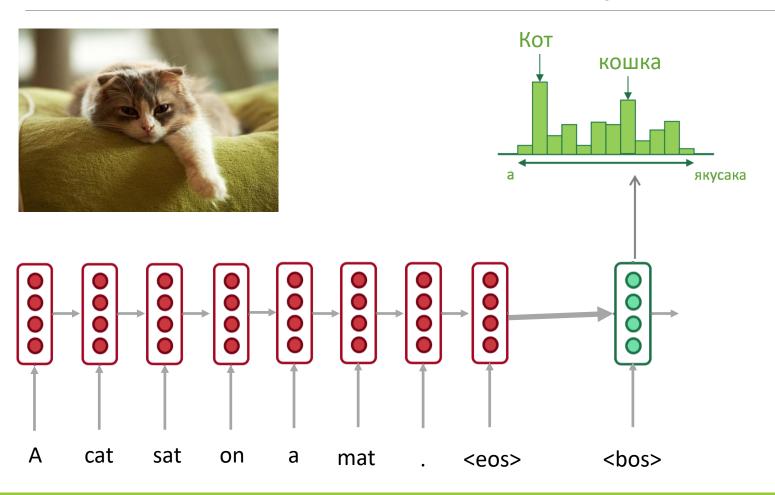


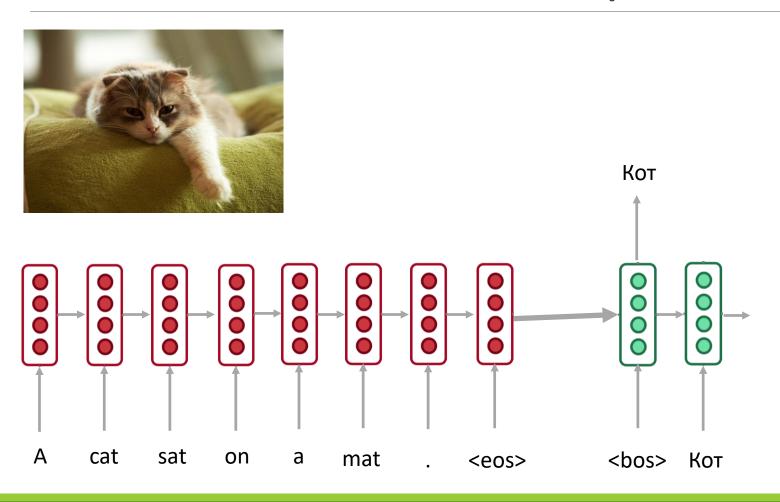


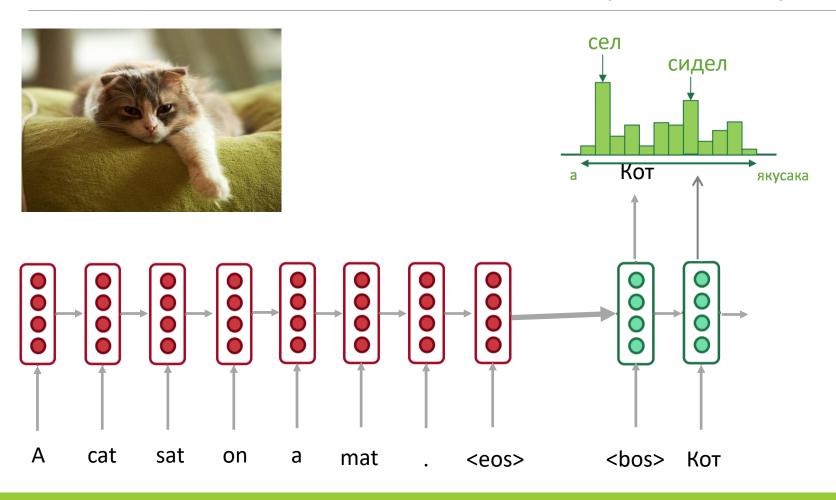


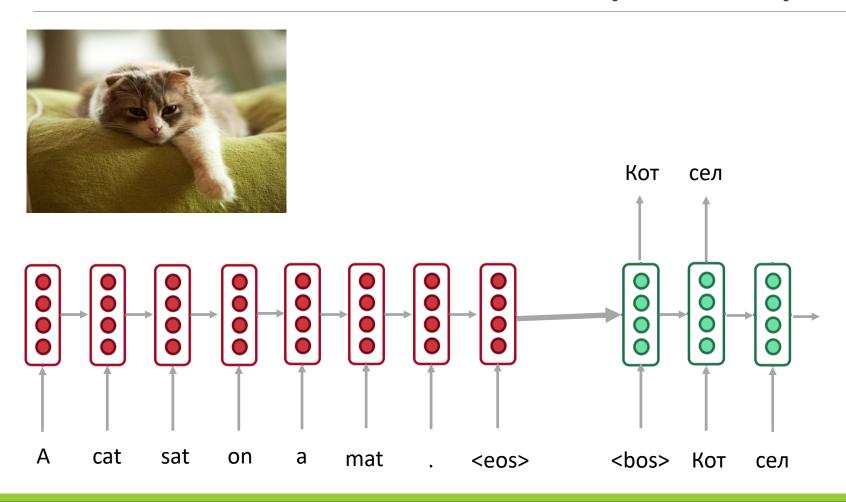


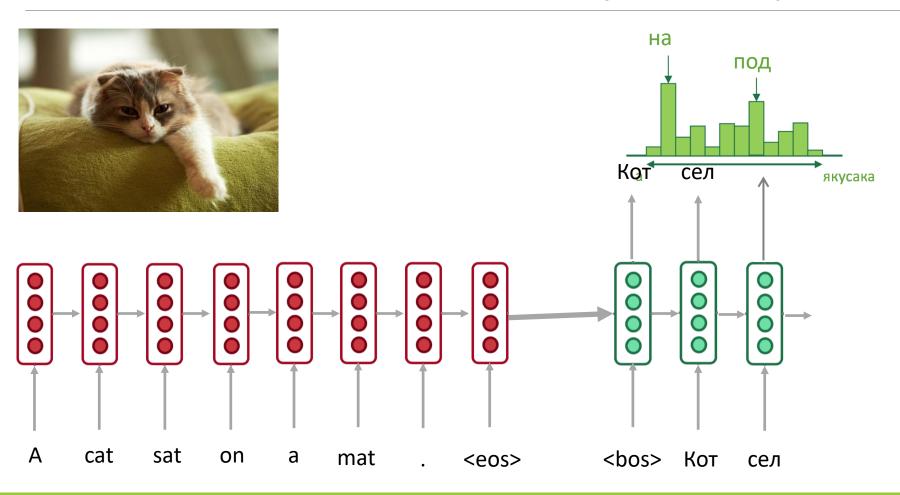


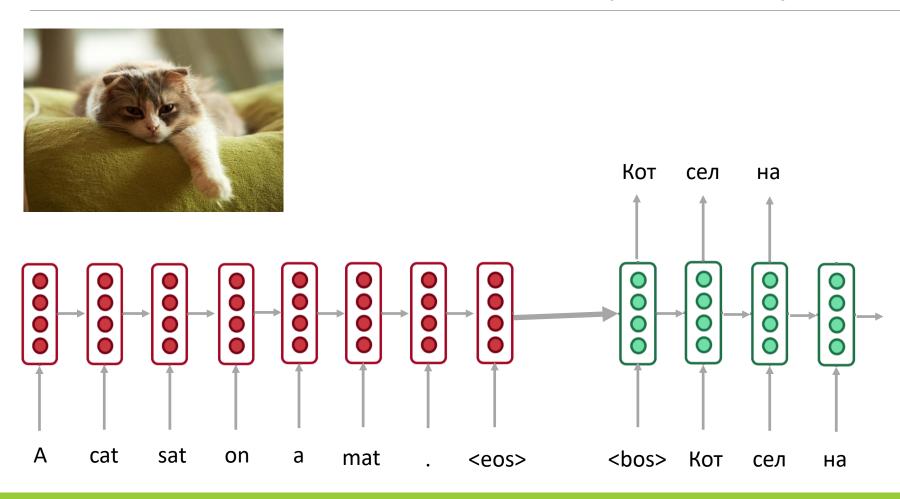


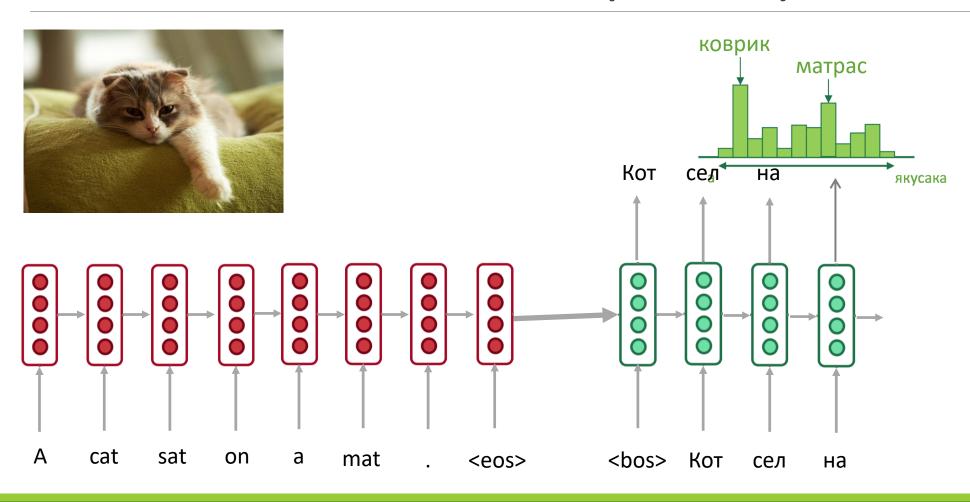


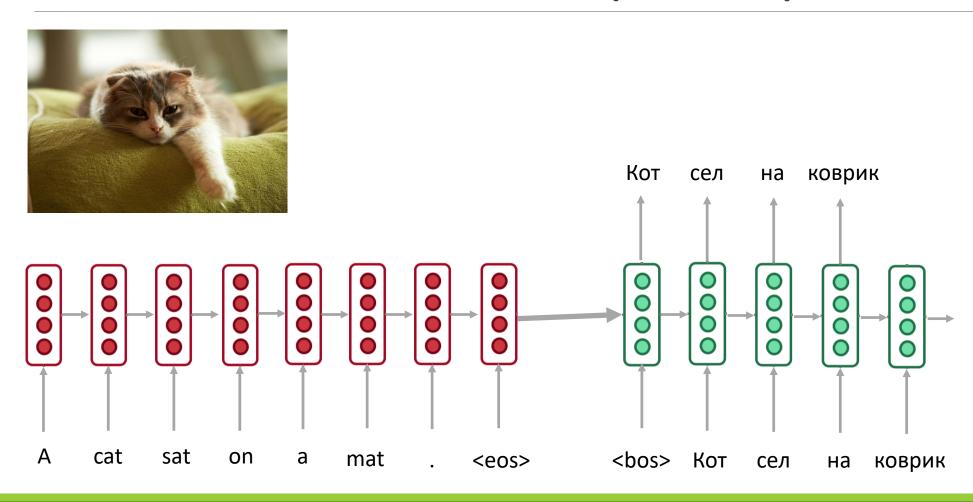


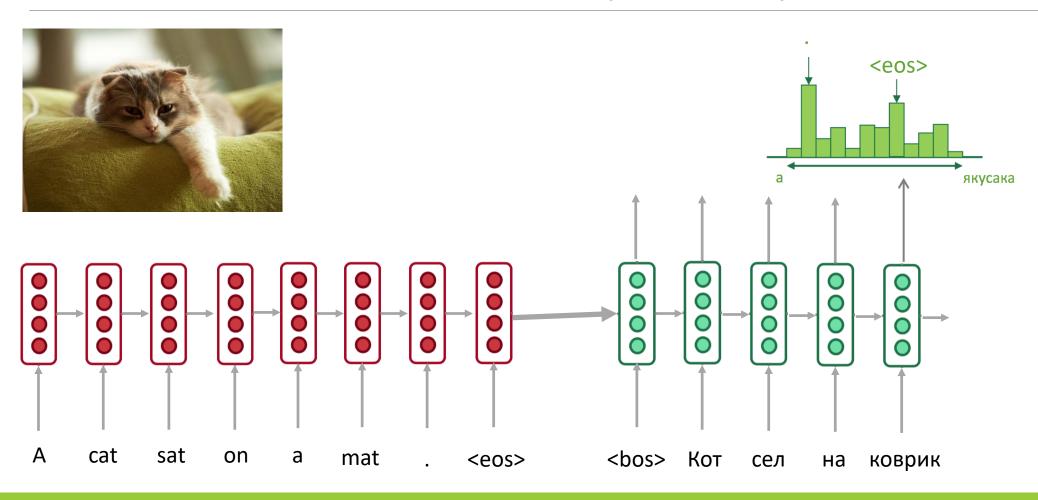


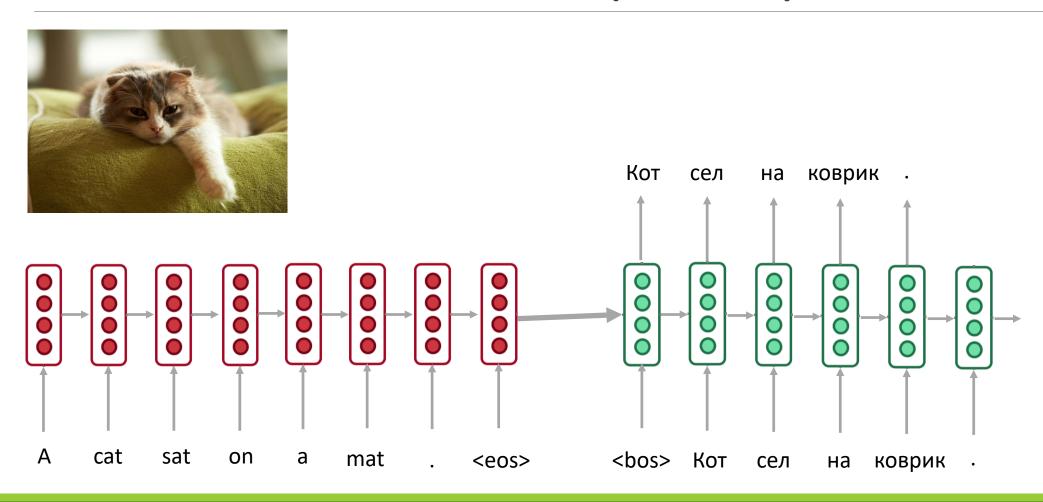


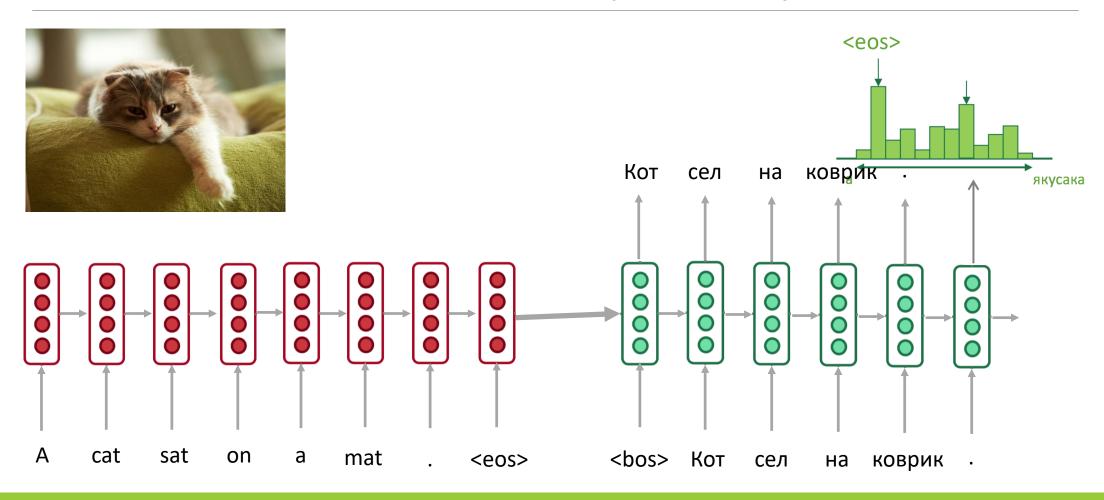


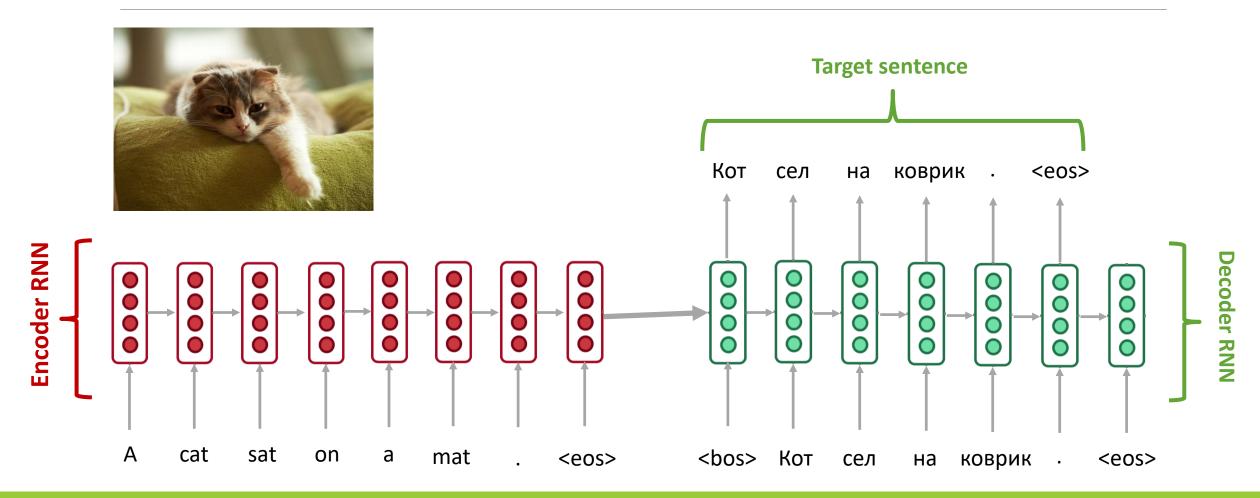


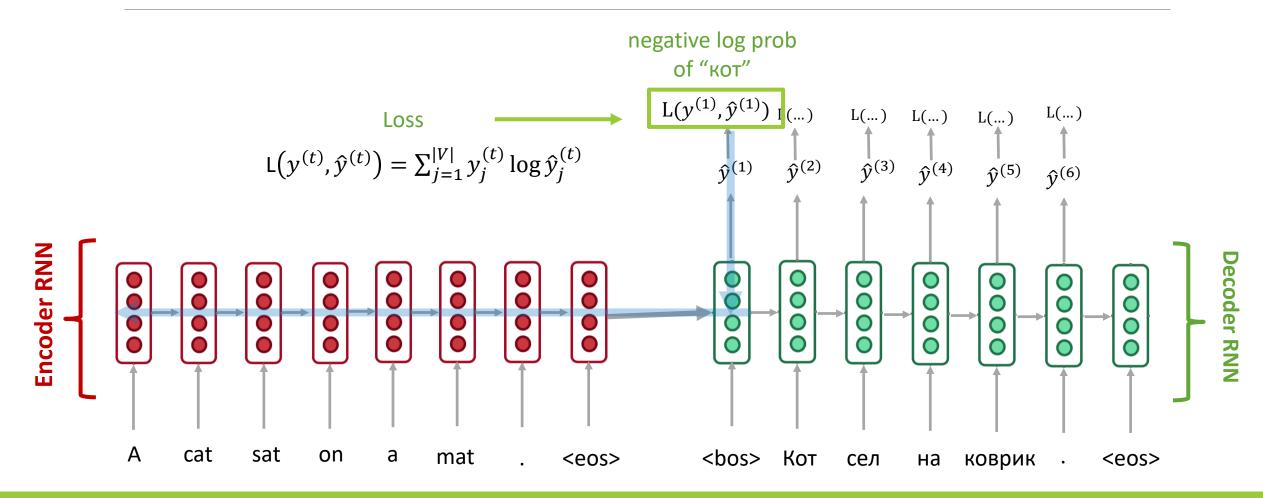


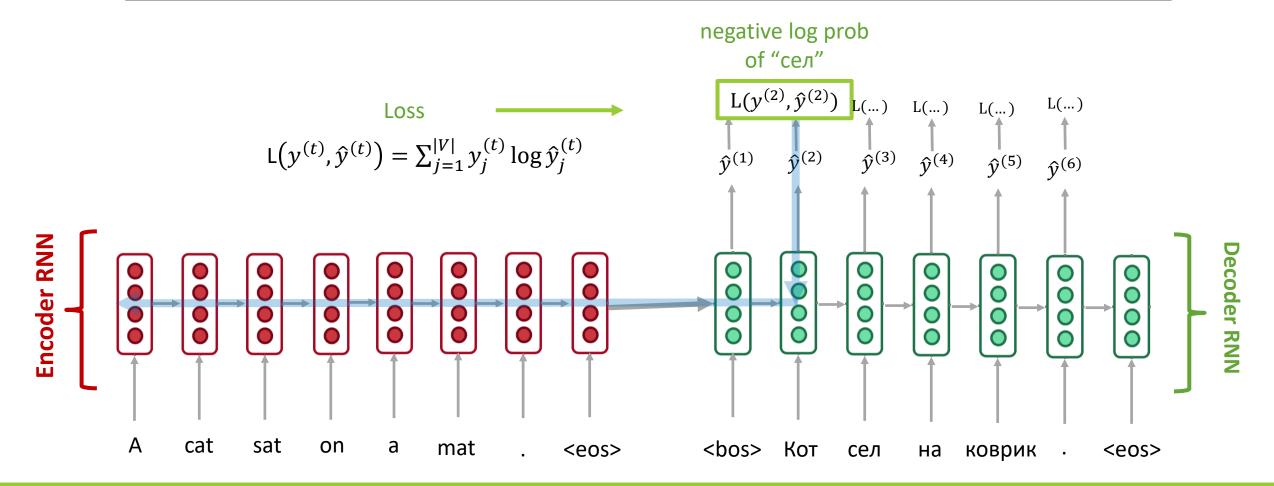




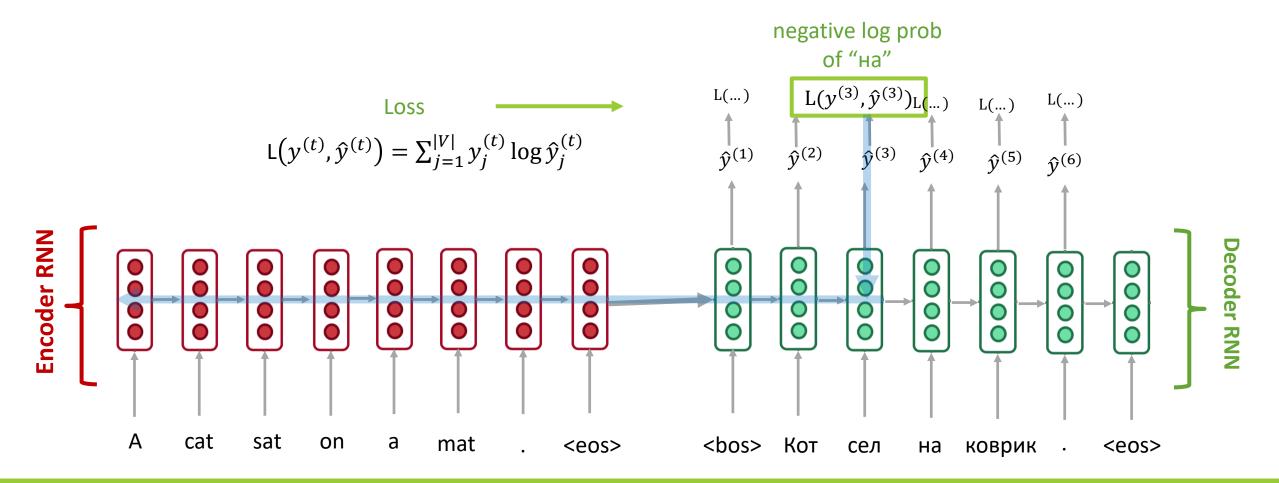




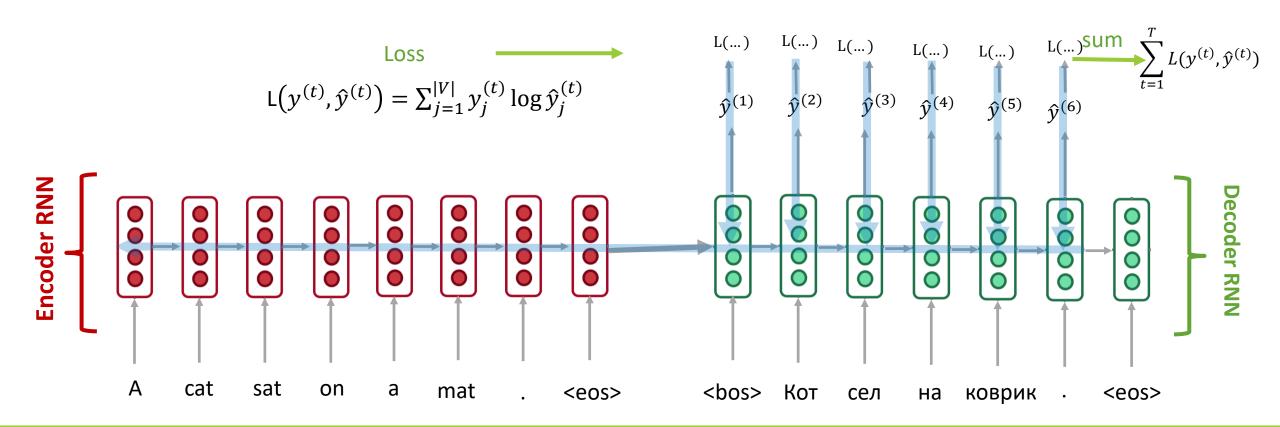




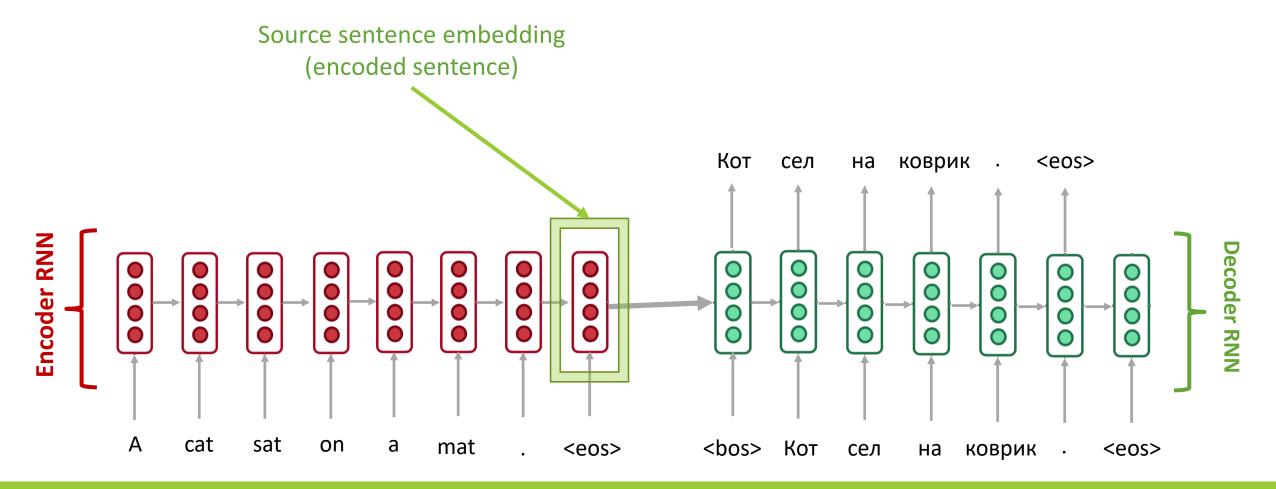
# RNN Encoder-Decoder (Vanilla)



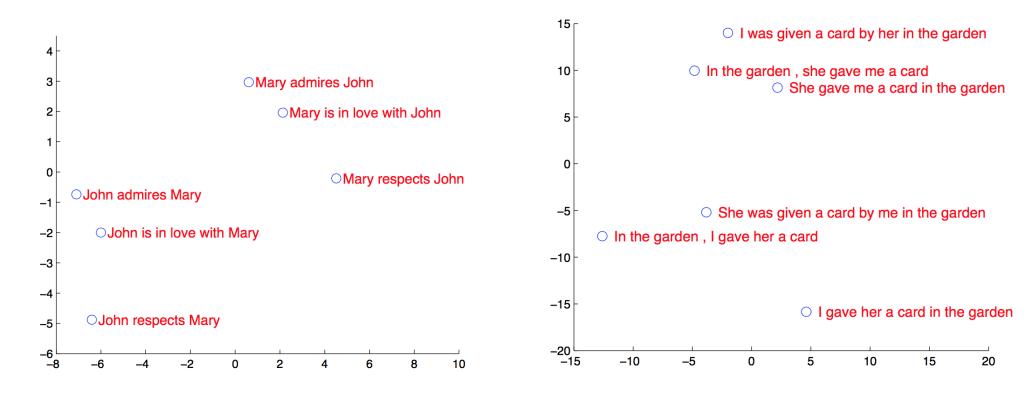
# RNN Encoder-Decoder (Vanilla)



# Let's look at sentence embedding

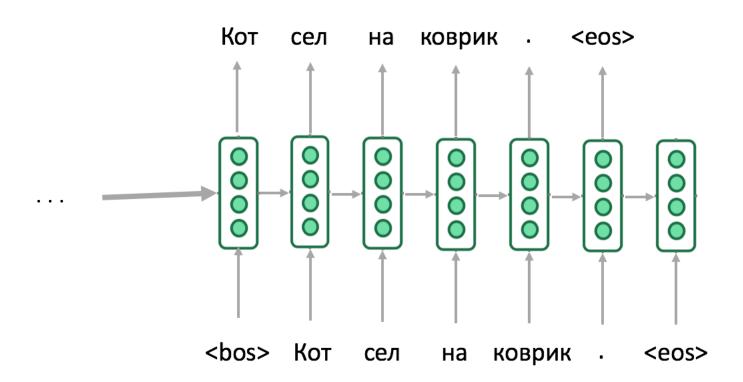


# Let's look at sentence embedding

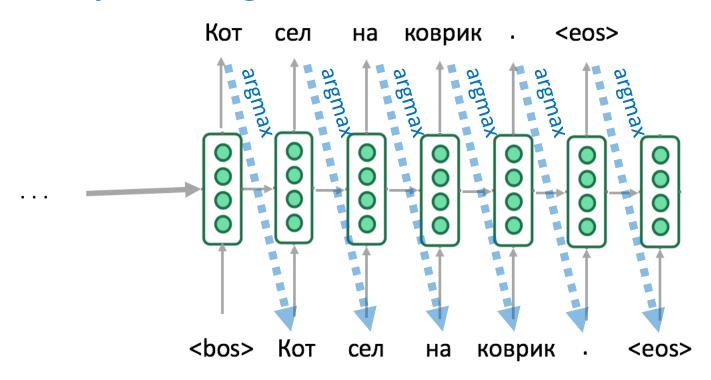


Sutskever et al, NIPS 2014, https://papers.nips.cc/paper/5346-sequence-to-sequence-learning-with-neural-networks.pdf

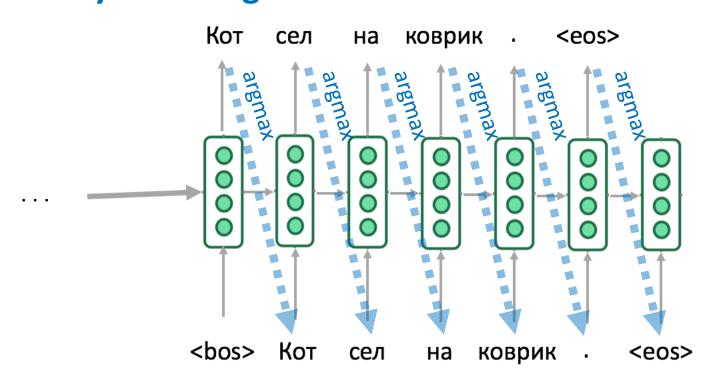
# Decoding



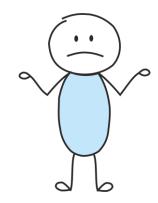
### 1. Greedy decoding



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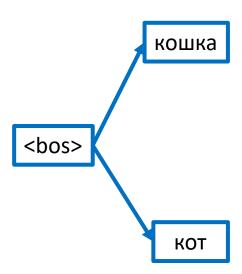
Is it really good?



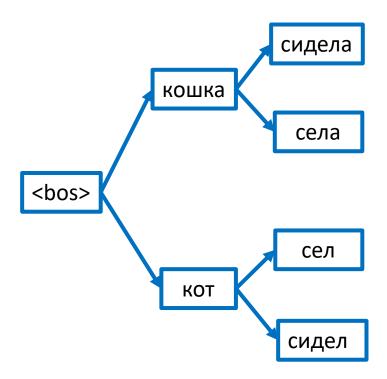
### 2. Beam search

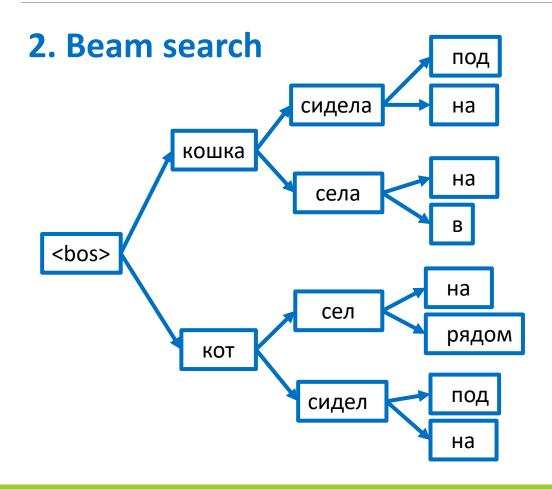


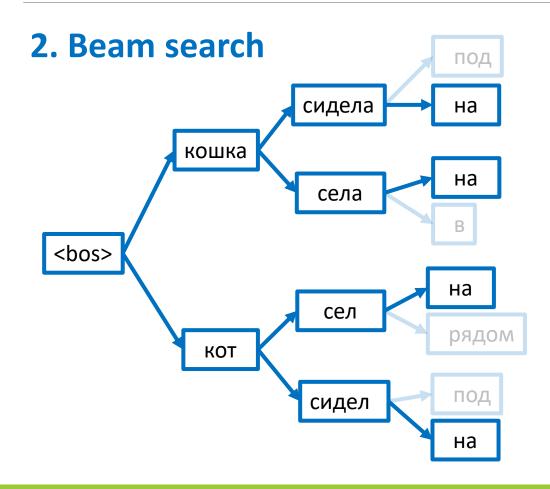
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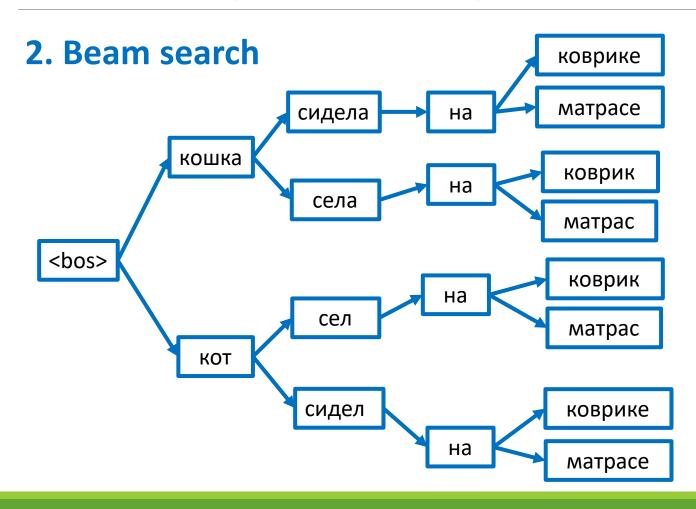


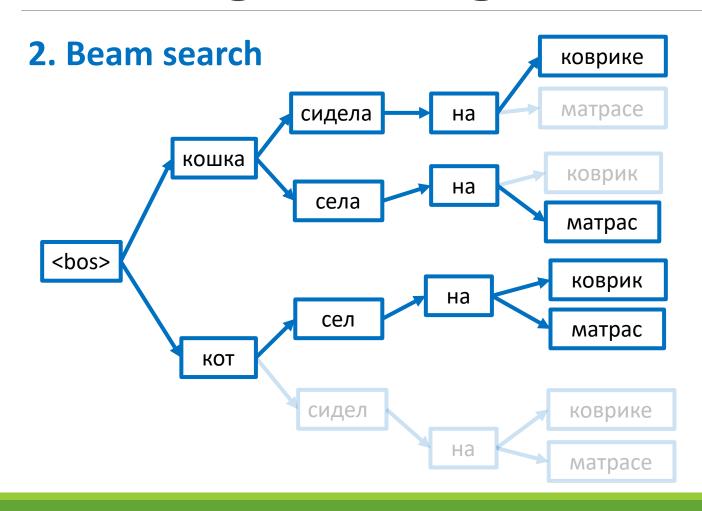
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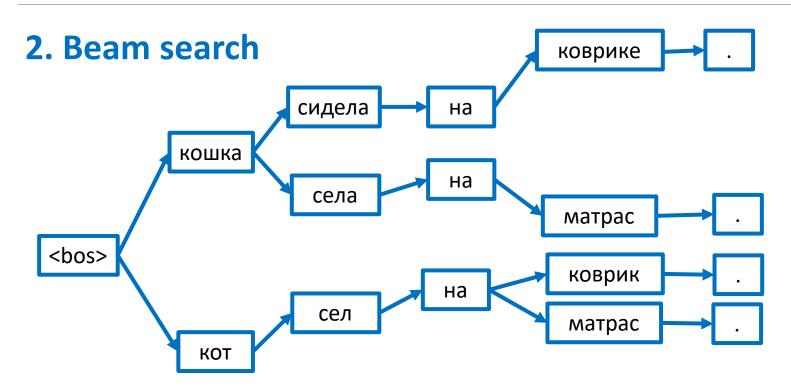


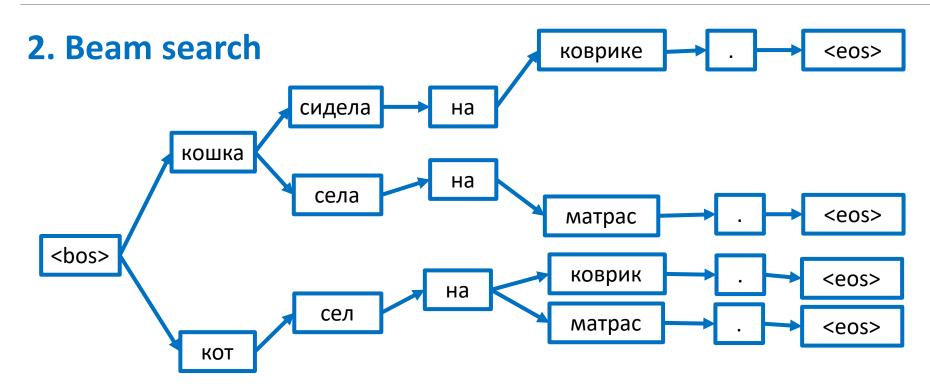


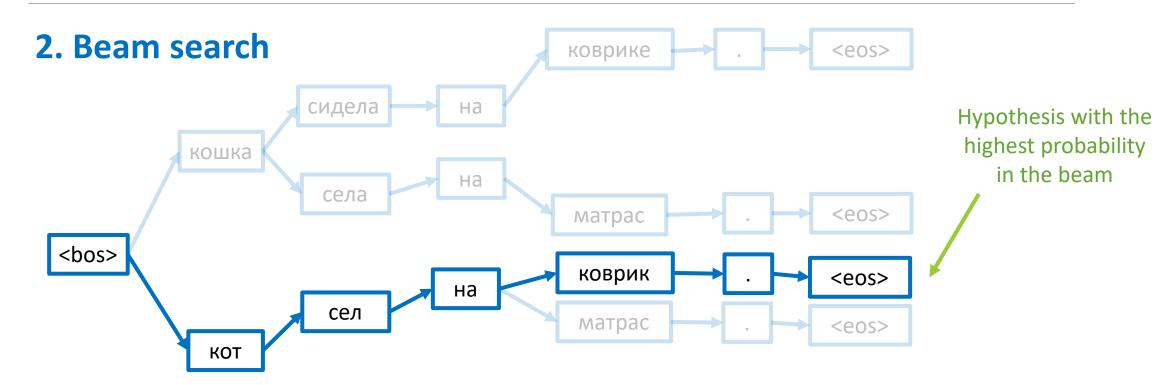






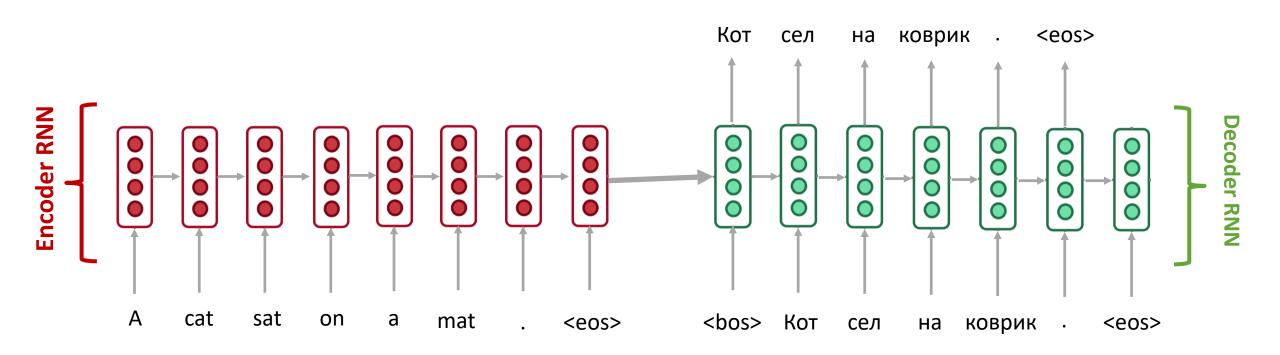






# Attention-based models

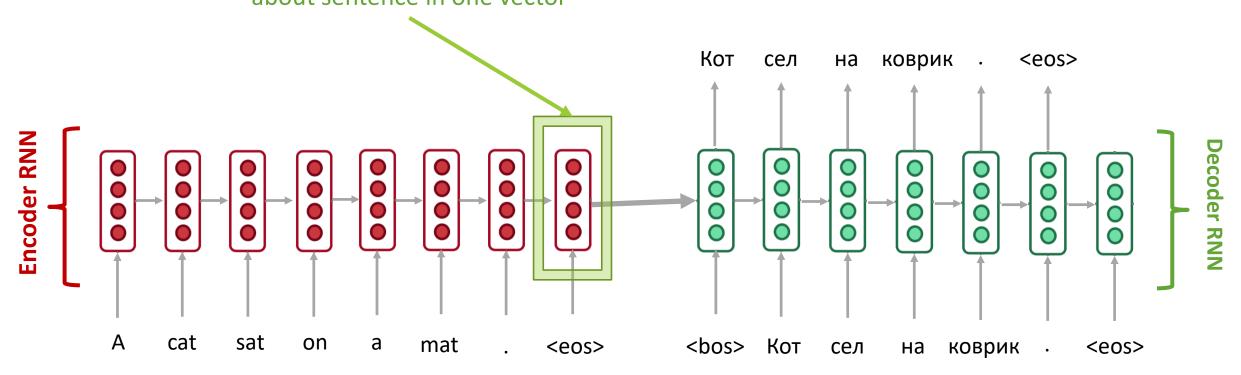
# What is the problem with such models?

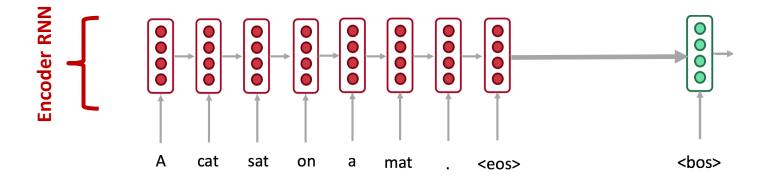


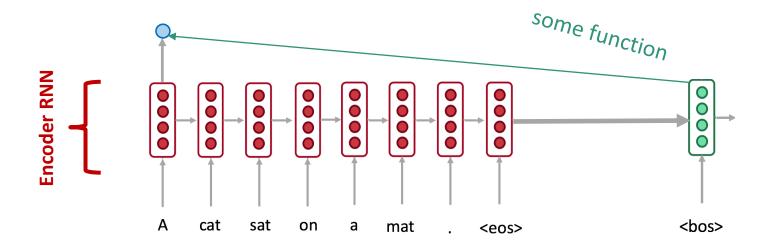
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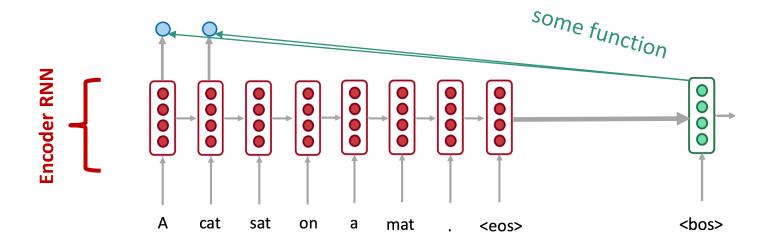
#### Information bottleneck:

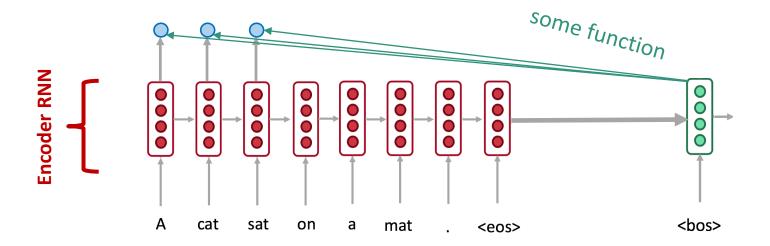
the model has to put all information about sentence in one vector

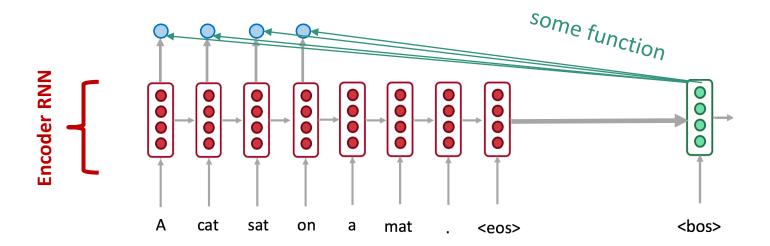


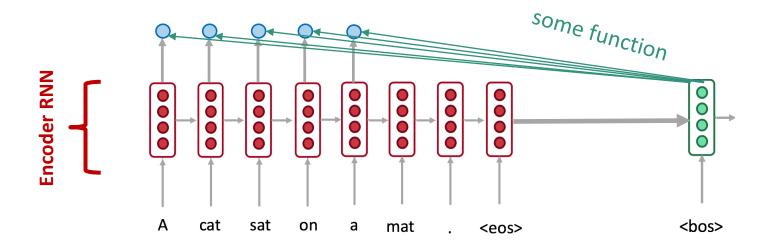


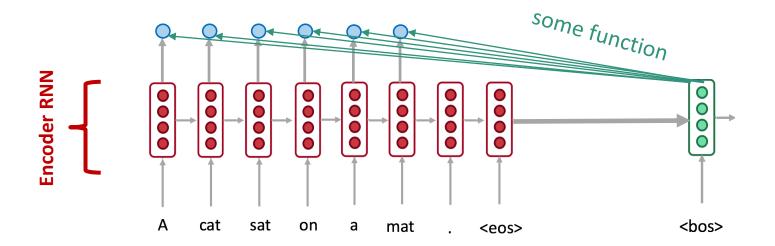


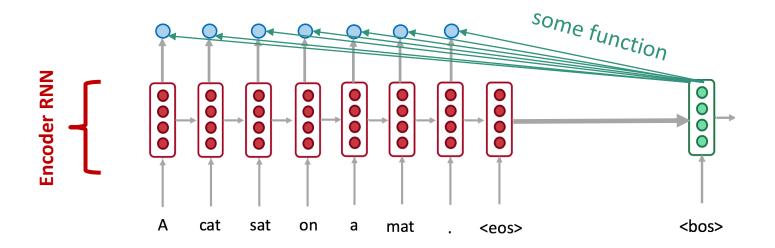


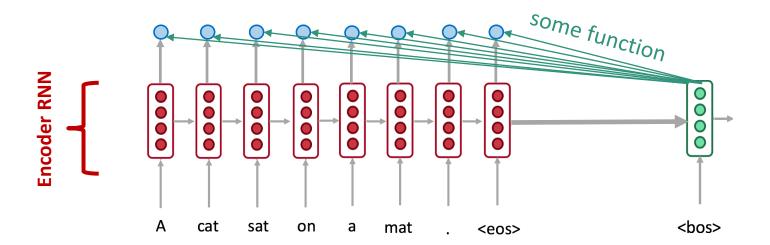


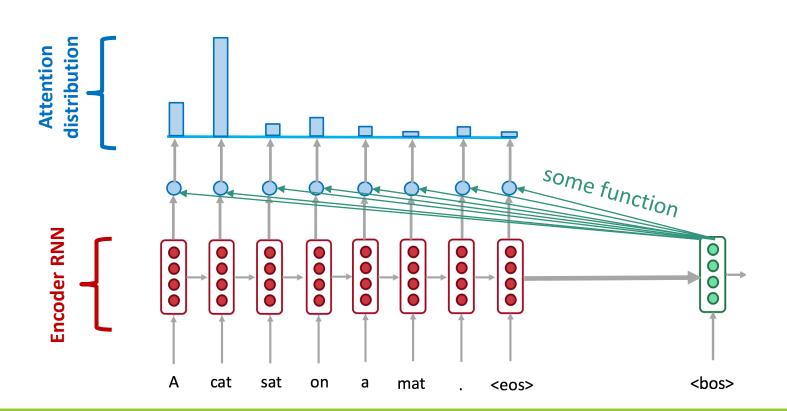


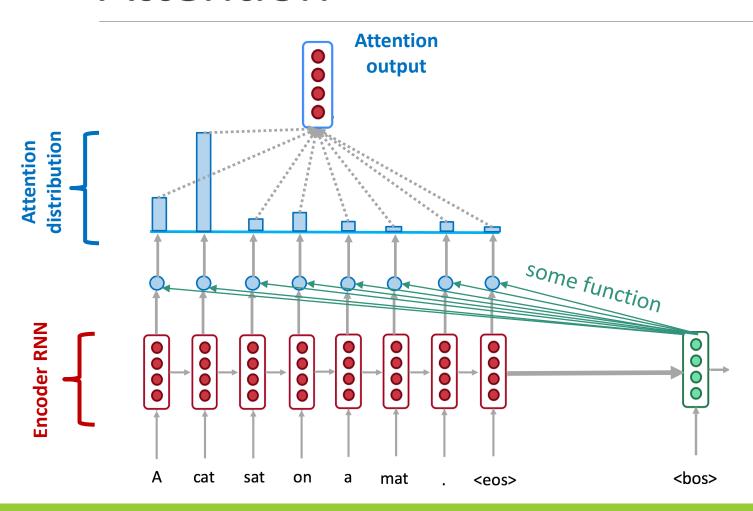


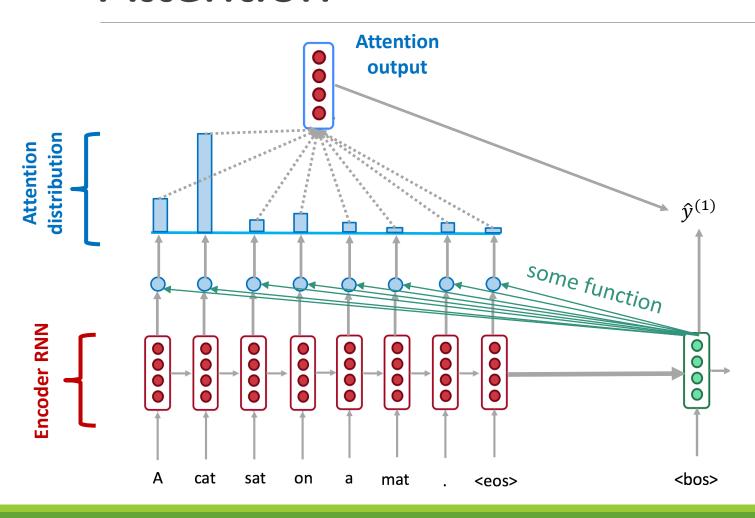


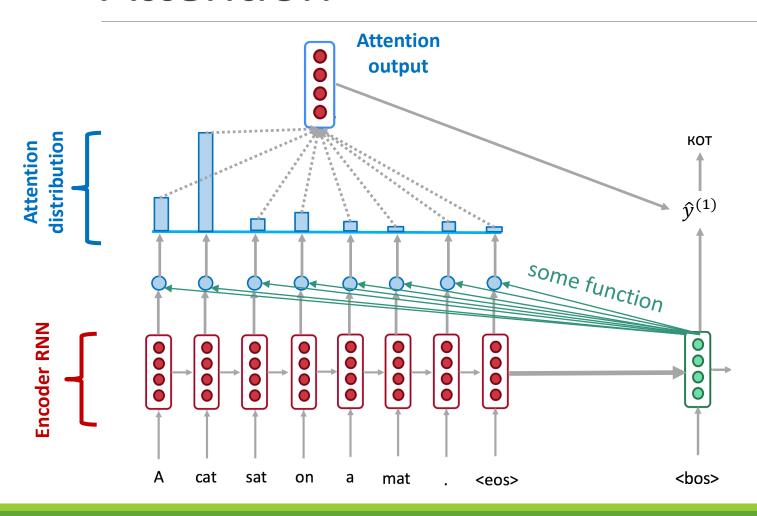


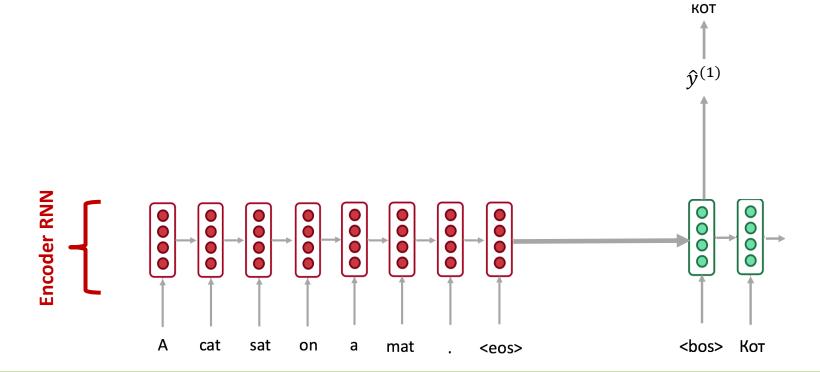


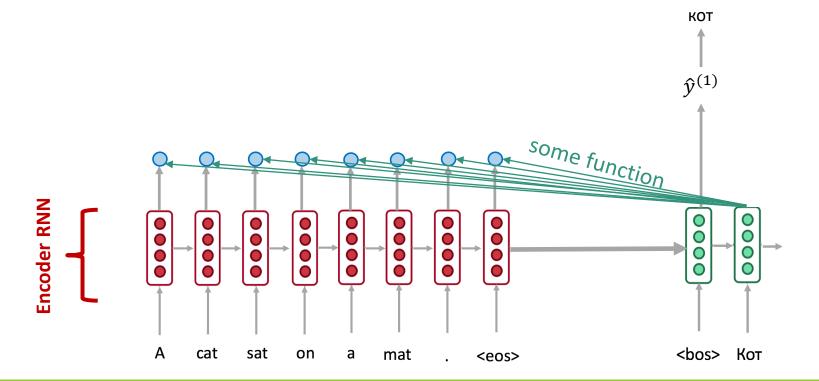


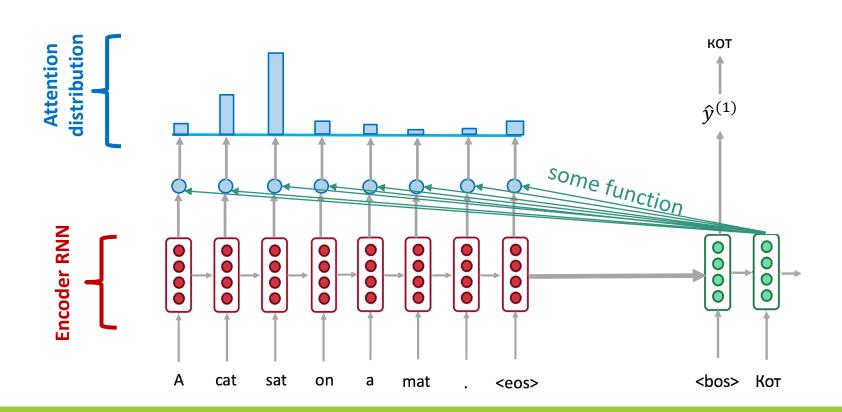


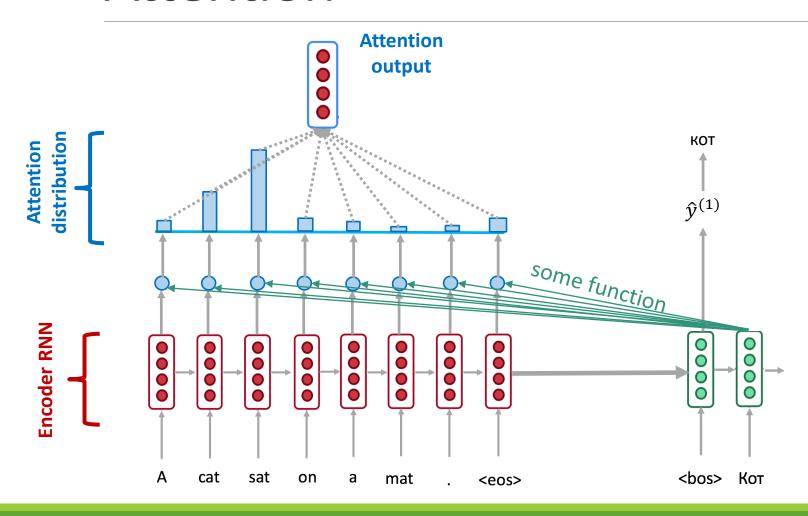


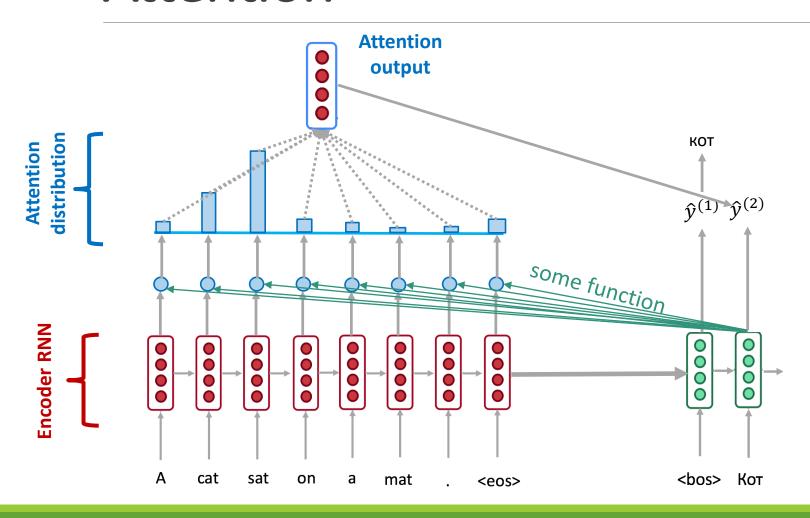


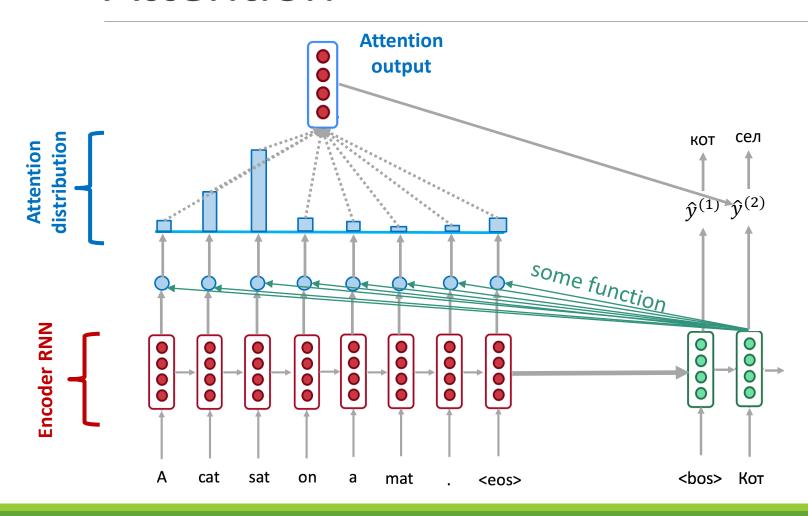


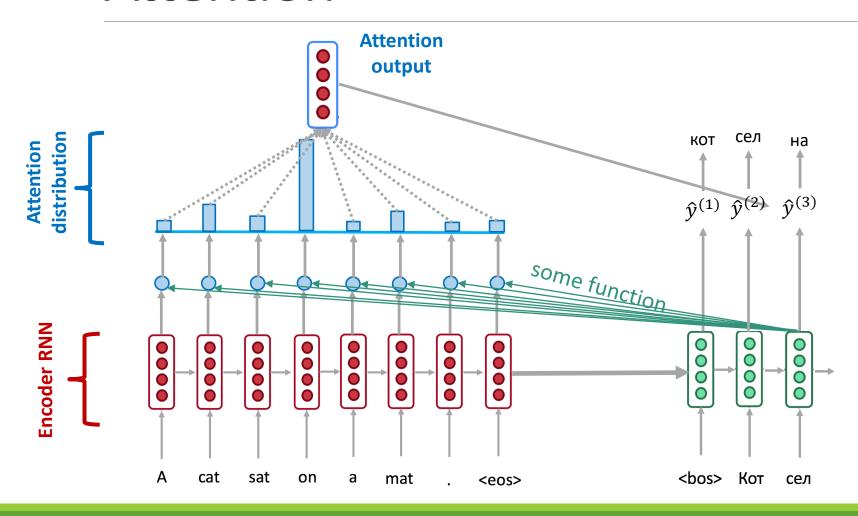


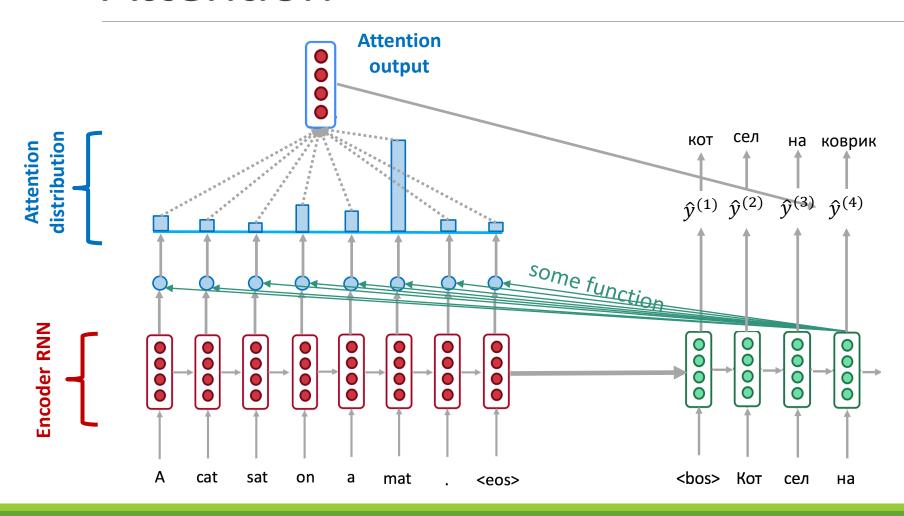


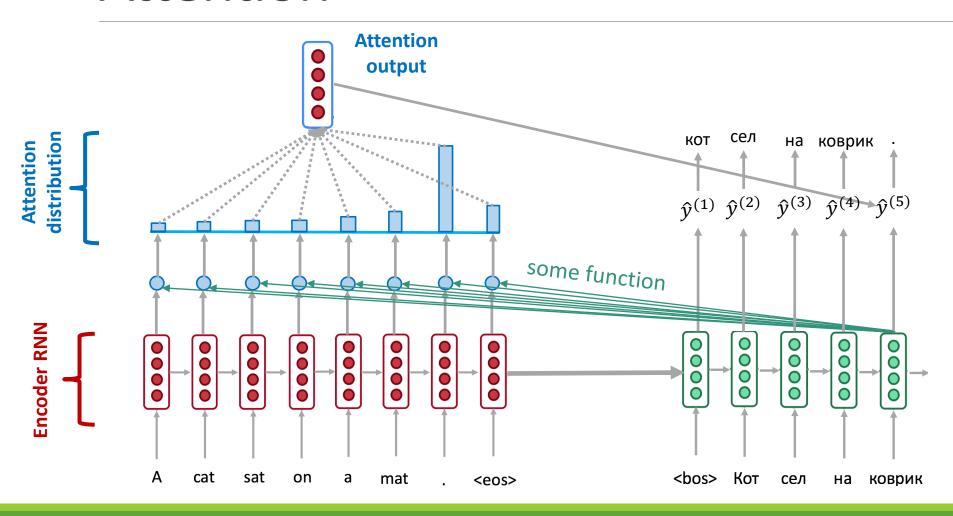


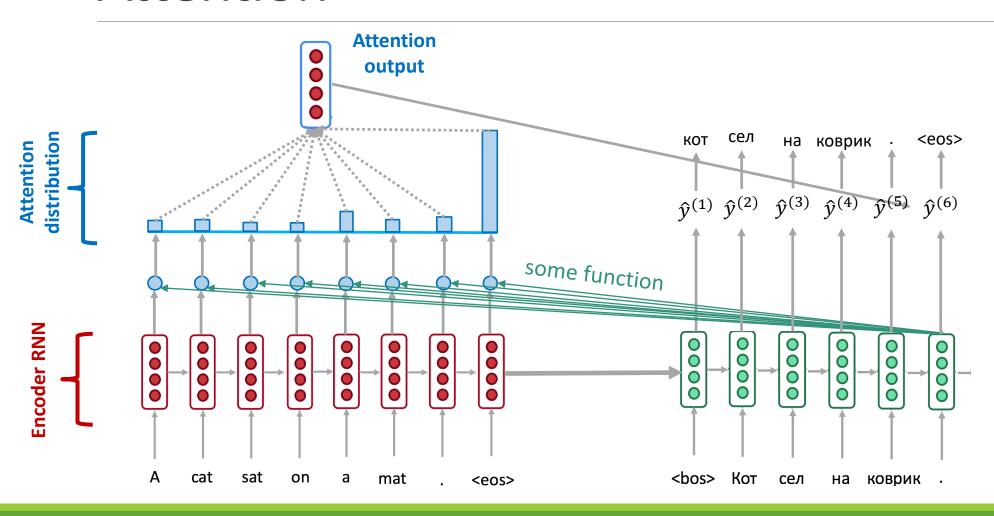








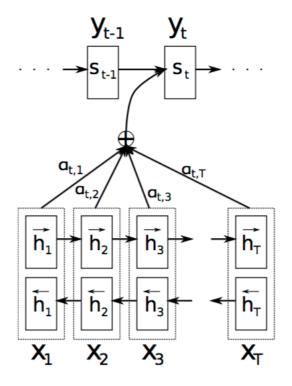




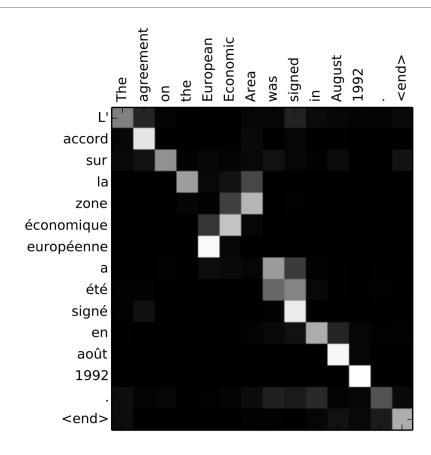
## Neural Machine Translation by Jointly Learn to Align and Translate

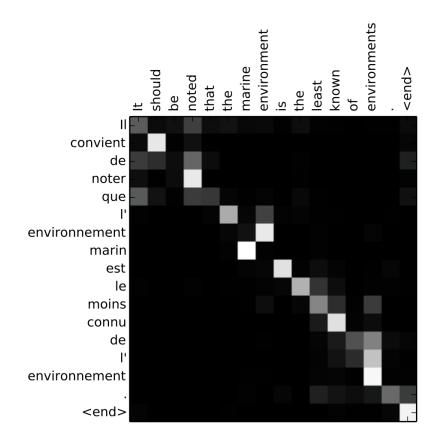
#### Attention advantages:

- do not have to remember the whole sentence
- no fixed size representation
- > similar to humans

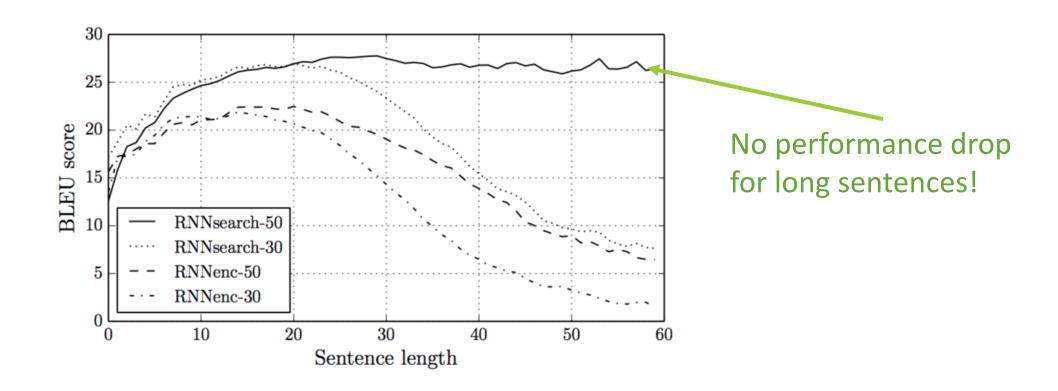


## Neural Machine Translation by Jointly Learn to Align and Translate





## Neural Machine Translation by Jointly Learn to Align and Translate



### Attention score: how to compute it?

- dot product
- bilinear function
- multi-layer perceptron
- > any, literally, ANY function you can imagine

$$f_{att}(h_i, s_j) = h_i^{\top} s_j$$

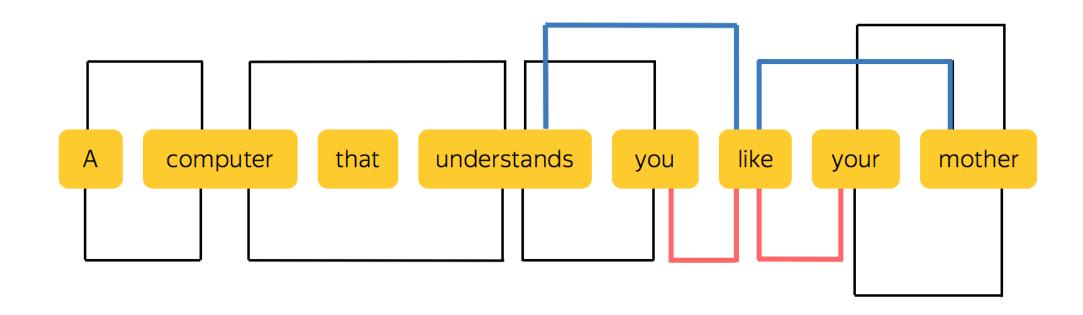
$$f_{att}(h_i, s_j) = h_i^{\top} \mathbf{W}_a s_j$$

$$f_{att}(\mathbf{h}_i, \mathbf{s}_i) = \mathbf{v}_a \cdot \tanh(\mathbf{W}_a[\mathbf{h}_i; \mathbf{s}_i])$$

$$z(c, m, q) = \begin{bmatrix} c, m, q, c \circ q, c \circ m, |c - q|, |c - m|, c^T W^{(b)} q, c^T W^{(b)} m \end{bmatrix}$$
 $G(c, m, q) = \sigma \left( W^{(2)} \tanh \left( W^{(1)} z(c, m, q) + b^{(1)} \right) + b^{(2)} \right)$ 

A bit crazy, huh?

## Transformer: Attention is all you need!

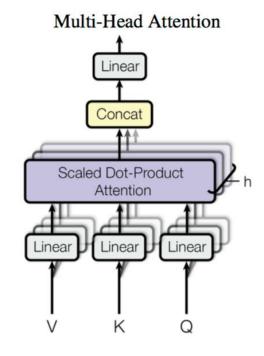


(example and picture from David Talbot)

#### Multi-Head Attention

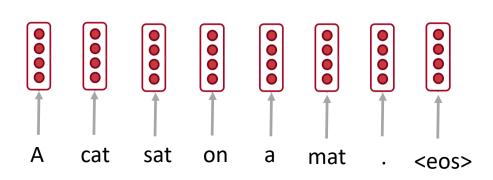


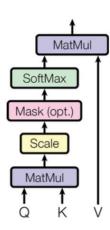
- Gender agreement
- Case government
- Lexical preferences
- ..



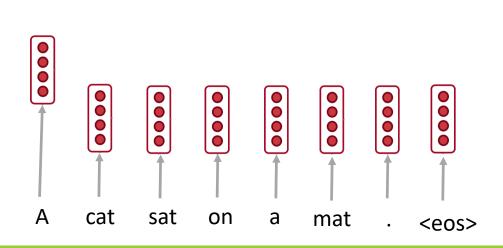
$$\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h) W^O \\ \text{where head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$$

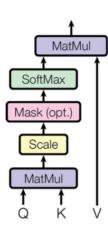
$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



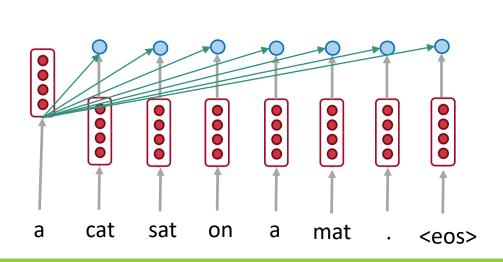


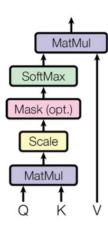
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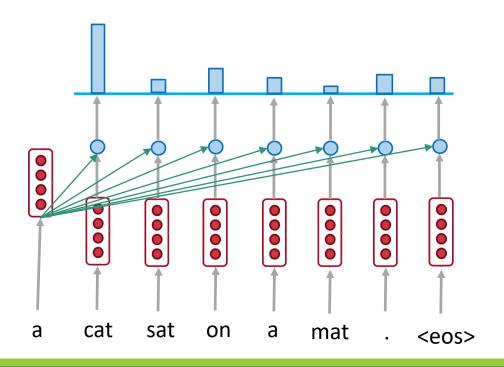


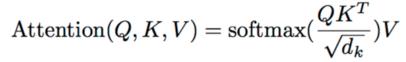


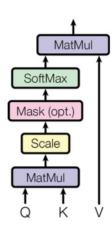
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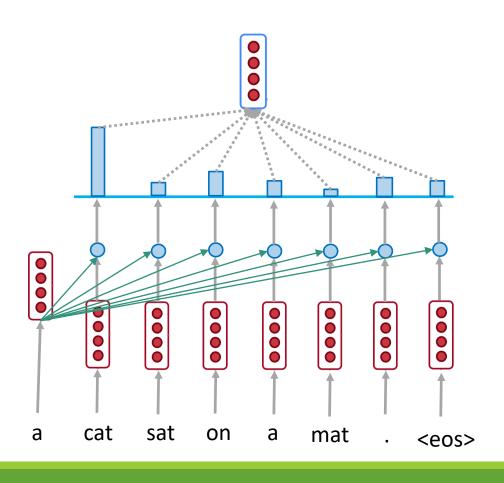




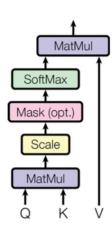




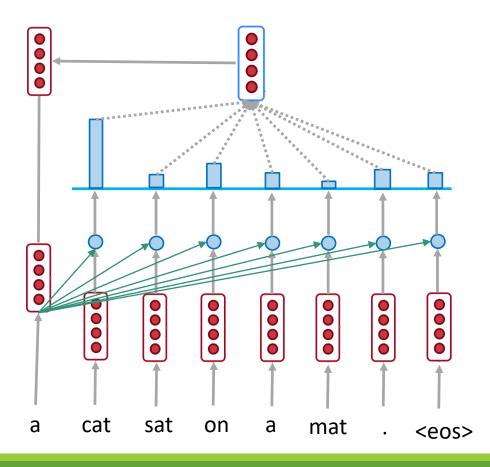




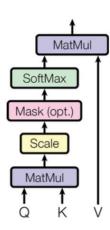
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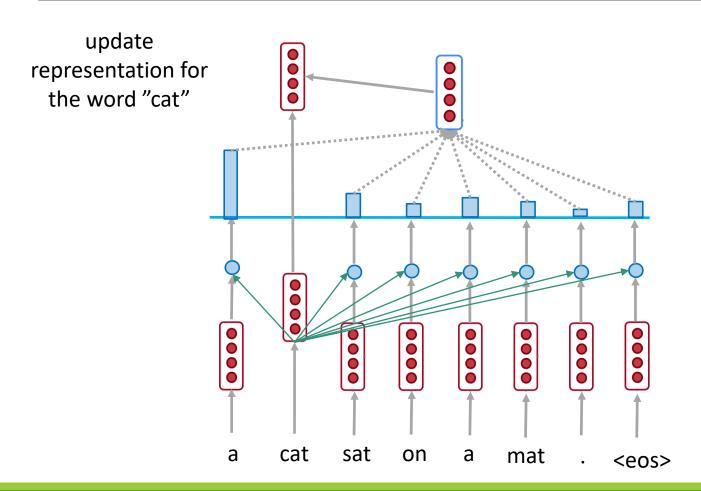


update representation for the word "a"

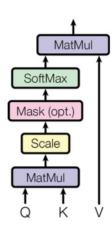


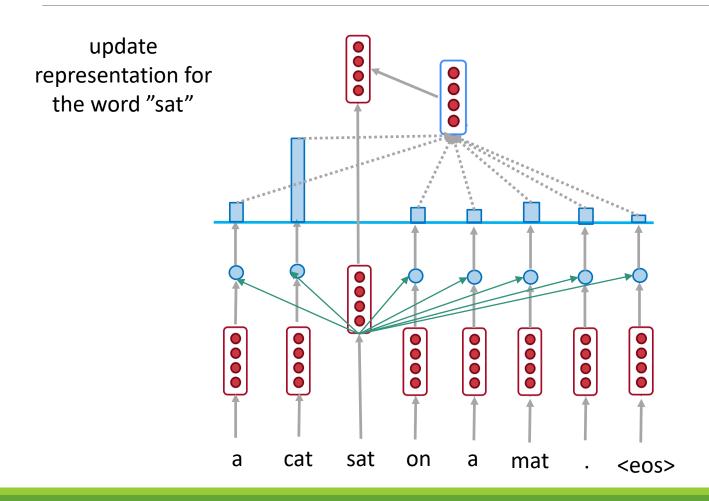
$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



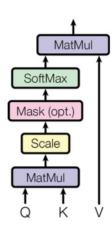


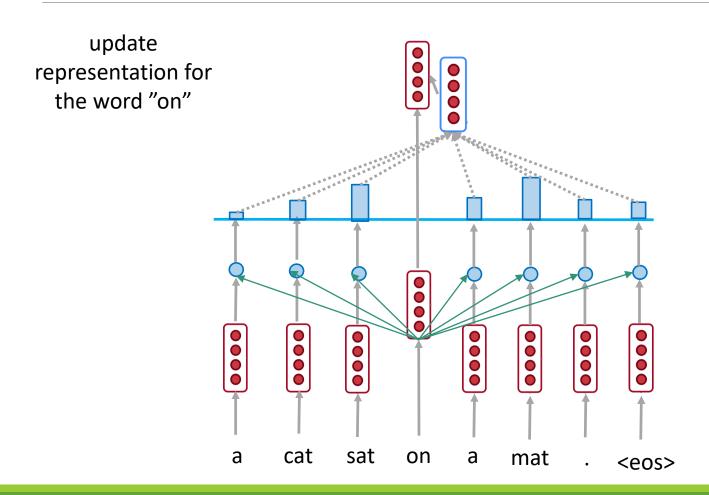
$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



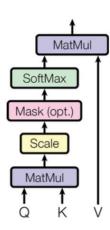


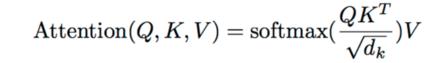
$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

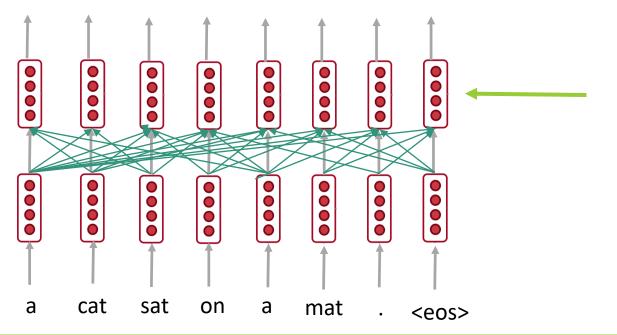




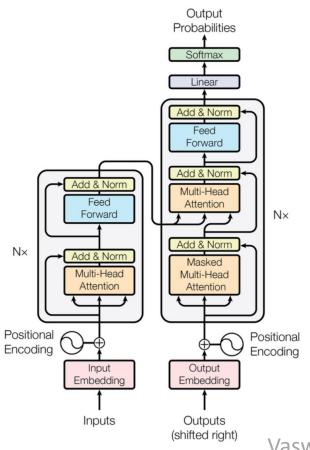
$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$



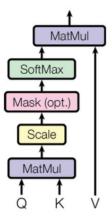




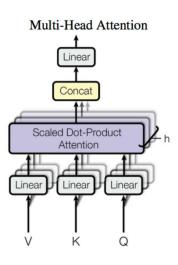
Updated representations for each word (one layer)



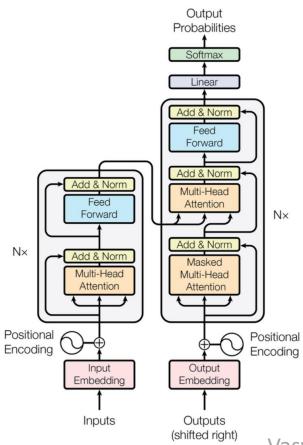
#### Scaled Dot-Product Attention



$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

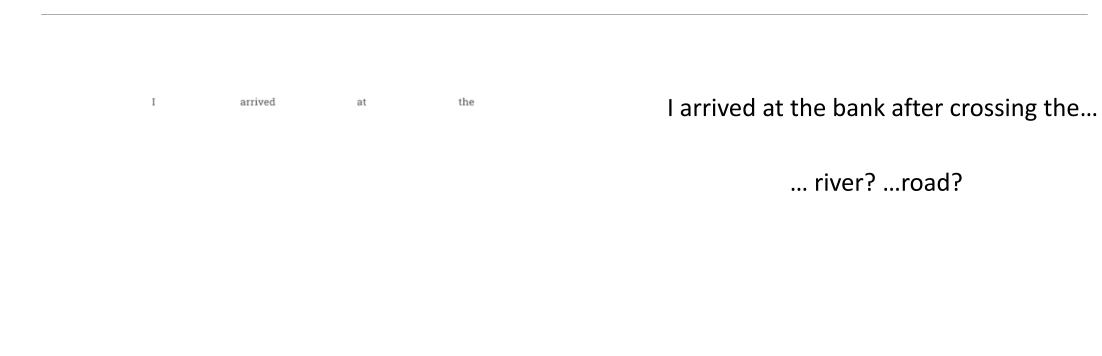


Vaswani et al, NIPS 2017, https://papers.nips.cc/paper/7181-attention-is-all-you-need



#### Advantages:

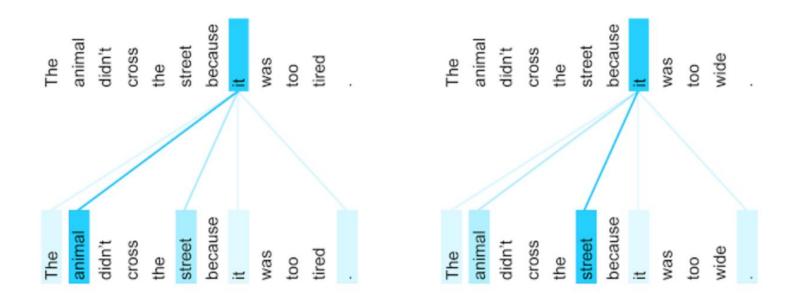
- > No recurrence: parallel encoding
- ➤ Fast training: both encoder and decoder are parallel
- ➤ No long connections: O(1) for all tokens
- ➤ Three attentions: the model does not have to remember too much
- Multi-head attention allows to pay attention to different aspects



The animal didn't cross the street because it was too tired.

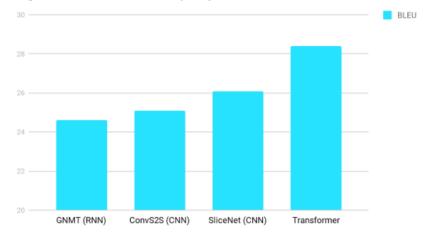
L'animal n'a pas traversé la rue parce qu'il était trop fatigué.

The animal didn't cross the street because it was too wide. L'animal n'a pas traversé la rue parce qu'elle était trop large.



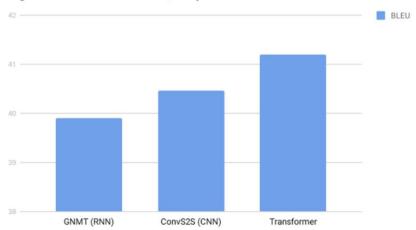
The encoder self-attention distribution for the word "it" from the 5th to the 6th layer of a Transformer trained on English to French translation (one of eight attention heads).

#### **English German Translation quality**



BLEU scores (higher is better) of single models on the standard WMT newstest2014 English to German translation

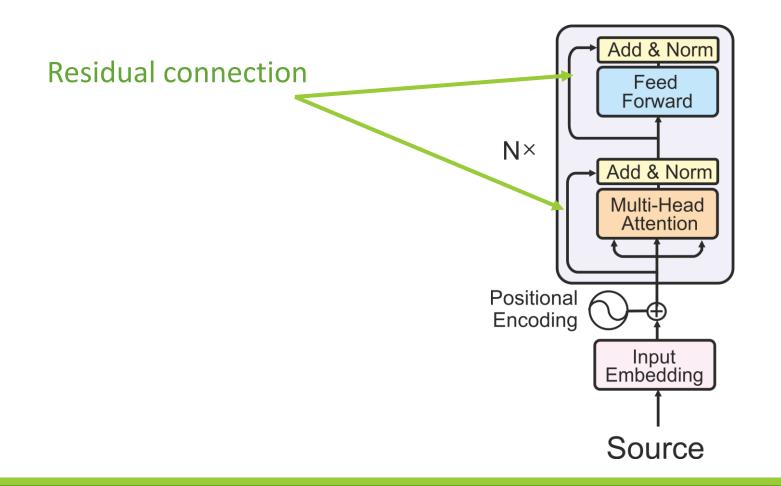
#### **English French Translation Quality**



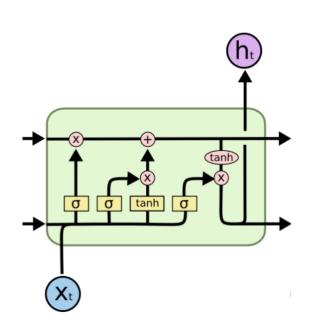
BLEU scores (higher is better) of single models on the standard WMT newstest2014 English to French translation benchmark.

# A piece of understanding: Why residual connection?

### Why residual connection?



## Example 1: LSTM and long-term dependences



$$f_t = \sigma \left( W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$

$$o_t = \sigma \left( W_o \left[ h_{t-1}, x_t \right] + b_o \right)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

$$h_t = o_t * \tanh(C_t)$$

Original version:

$$i_t = \sigma\left(W_i \cdot [h_{t-1}, x_t] \ + \ b_i\right)$$

$$o_t = \sigma\left(W_o \ [h_{t-1}, x_t] \ + \ b_o\right)$$
or
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] \ + \ b_C)$$

$$C_t = C_{t-1} + i_t * \tilde{C}_t$$

$$h_t = o_t * \tanh\left(C_t\right)$$

Why the problem of vanishing/exploding gradient here is not such urgent?

## Example 2: Deep Residual Learning for Image Recognition

Deep NNs are hard to train!

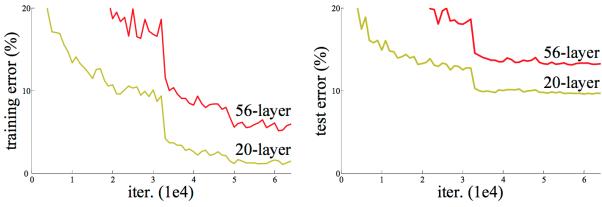


Figure 1. Training error (left) and test error (right) on CIFAR-10 with 20-layer and 56-layer "plain" networks. The deeper network has higher training error, and thus test error. Similar phenomena on ImageNet is presented in Fig. 4.

## Example 2: Deep Residual Learning for Image Recognition

Solution: add residual connection, then gradients can flow!

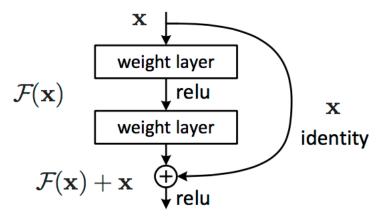


Figure 2. Residual learning: a building block.

# Example 2: Deep Residual Learning for Image Recognition

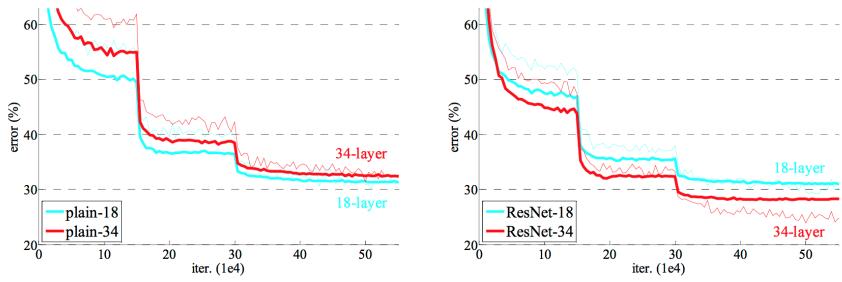
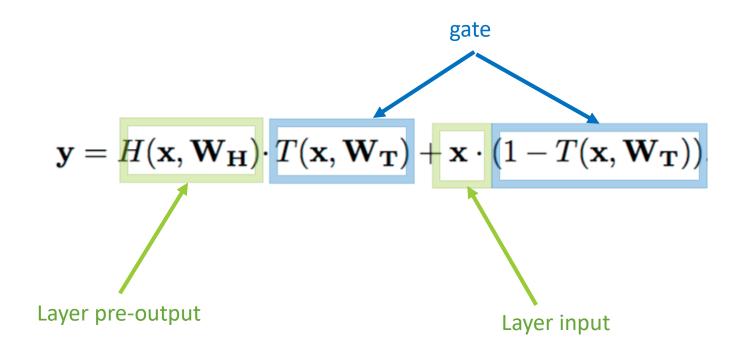


Figure 4. Training on **ImageNet**. Thin curves denote training error, and bold curves denote validation error of the center crops. Left: plain networks of 18 and 34 layers. Right: ResNets of 18 and 34 layers. In this plot, the residual networks have no extra parameter compared to their plain counterparts.

He et al, CVPR 2016,

https://www.cv-foundation.org/openaccess/content\_cvpr\_2016/papers/He\_Deep\_Residual\_Learning\_CVPR\_2016\_paper.pdf

# Example 2': Highway networks



# What if... We don't know our voc size?

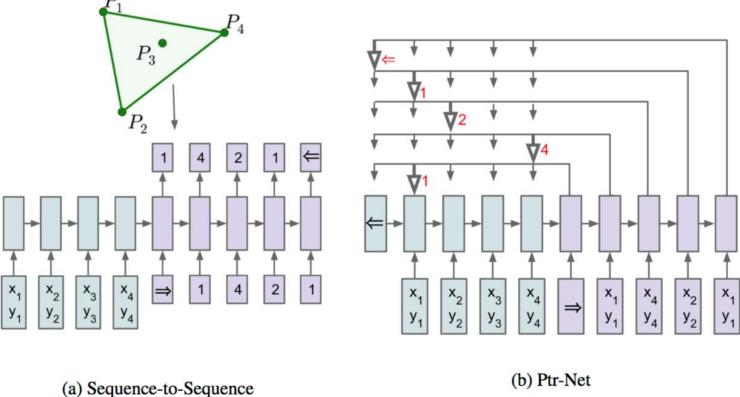
SUPPOSE THE TASK IS TO PUT SOME INPUTS IN A PARTICULAR ORDER

### Pointer Networks

Attention weights

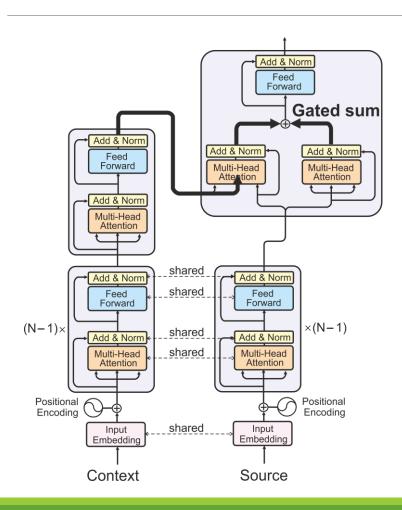


output distribution

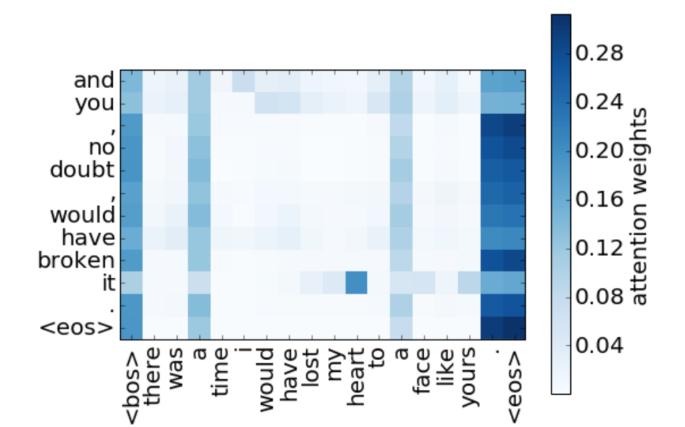


# What if... We give context to an NMT system?

LET'S SAY THAT CONTEXT IS ONE PREVIOUS SENTENCE



- > start with the Transformer [Vaswani et al, 2018]
- incorporate context information on the encoder side
- use a separate encoder for context
- > share first N-1 layers of source and context encoders
- > the last layer incorporates contextual information

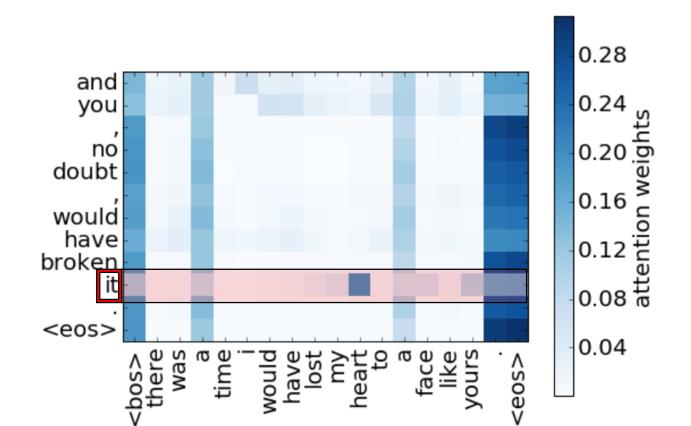


### Context:

There was a time I would have lost my heart to a face like yours.

### Source:

And you, no doubt, would have broken it.

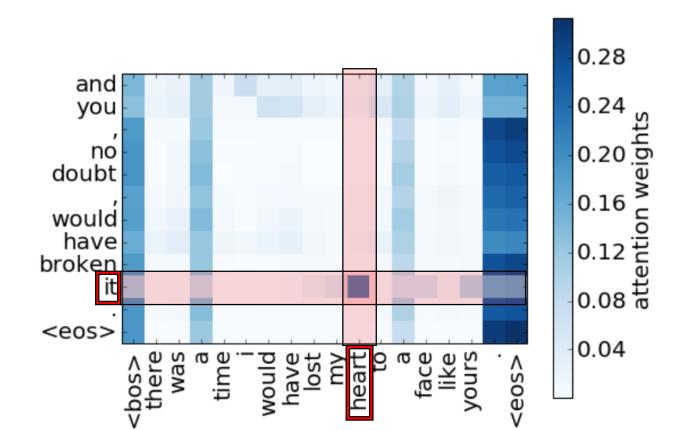


### Context:

There was a time I would have lost my heart to a face like yours.

### Source:

And you, no doubt, would have broken it.



### Context:

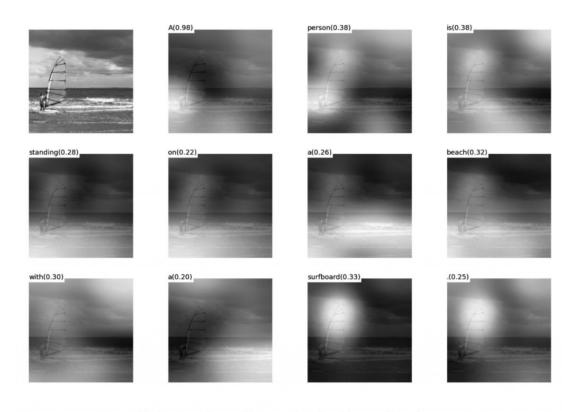
There was a time I would have lost my heart to a face like yours.

### Source:

And you, no doubt, would have broken it.

# Attention: Other use cases

## Image caption generation



Use a Convolutional Neural Network to "encode" the image, and a Recurrent Neural Network with attention mechanisms to generate a description.

(b) A person is standing on a beach with a surfboard.

## Question answering task

by ent423, ent261 correspondent updated 9:49 pm et, thu march 19,2015 (ent261) a ent114 was killed in a parachute accident in ent45, ent85, near ent312, a ent119 official told ent261 on wednesday. he was identified thursday as special warfare operator 3rd class ent23,29, of ent187, ent265." ent23 distinguished himself consistently throughout his career. he was the epitome of the quiet professional in all facets of his life, and he leaves an inspiring legacy of natural tenacity and focused

. . .

ent119 identifies deceased sailor as  ${\bf X}$  , who leaves behind a wife

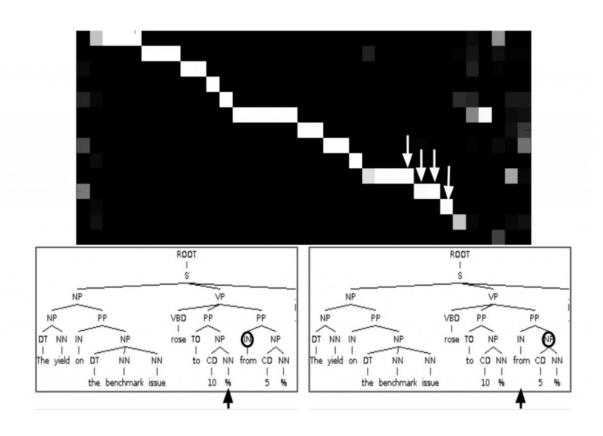
by ent270, ent223 updated 9:35 am et, mon march 2,2015 (ent223) ent63 went familial for fall at its fashion show in ent231 on sunday, dedicating its collection to ``mamma" with nary a pair of ``mom jeans "in sight.ent164 and ent21, who are behind the ent196 brand, sent models down the runway in decidedly feminine dresses and skirts adorned with roses, lace and even embroidered doodles by the designers' own nieces and nephews.many of the looks featured saccharine needlework phrases like ``ilove you,

. . .

X dedicated their fall fashion show to moms

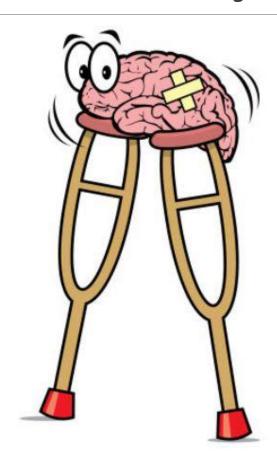
Use a RNN to read a text, read a (synthetically generated) question, and then produce an answer.

## Generate sentence parse trees

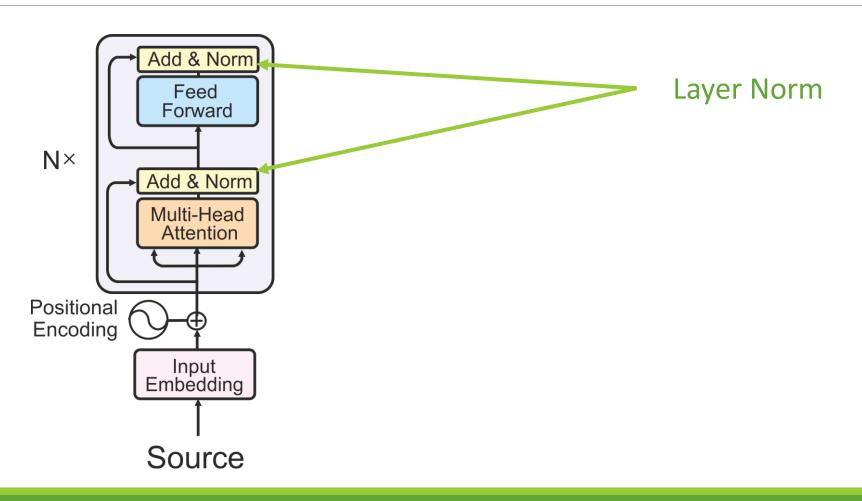


Use a Recurrent Neural Network with attention mechanism to generate sentence parse trees

# Hack of the day



## Layer Norm



## Layer norm

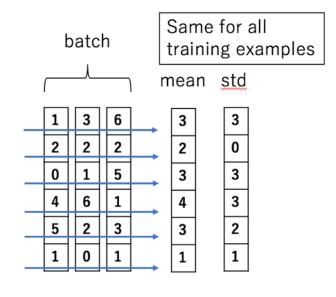
#### **Batch normalization**

$$\mu_{j} = \frac{1}{m} \sum_{i=1}^{m} x_{ij} 
\sigma_{j}^{2} = \frac{1}{m} \sum_{i=1}^{m} (x_{ij} - \mu_{j})^{2} 
\hat{x}_{ij} = \frac{x_{ij} - \mu_{j}}{\sqrt{\sigma_{j}^{2} + \epsilon}}$$

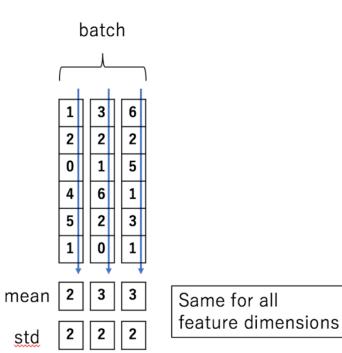
### Layer normalization:

$$\mu_{i} = \frac{1}{m} \sum_{j=1}^{m} x_{ij} 
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\hat{x}_{ij} = \frac{x_{ij} - \mu_{i}}{\sqrt{\sigma_{i}^{2} + \epsilon}}$$

#### **Batch Normalization**



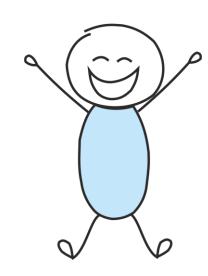
#### Layer Normalization



Paper: Ba et al, 2016, <a href="https://arxiv.org/abs/1607.06450">https://arxiv.org/abs/1607.06450</a>

Pictures: http://mlexplained.com/2018/01/13/weight-normalization-and-layer-normalization-explained-normalization-in-deep-learning-part-2/

## That's all for today!



## Coming soon:

- Structured learning
- > EM, Machine Translation (specific)
- ➤ Dialogue systems
- > and much, much more

Sincerely yours, Yandex Research