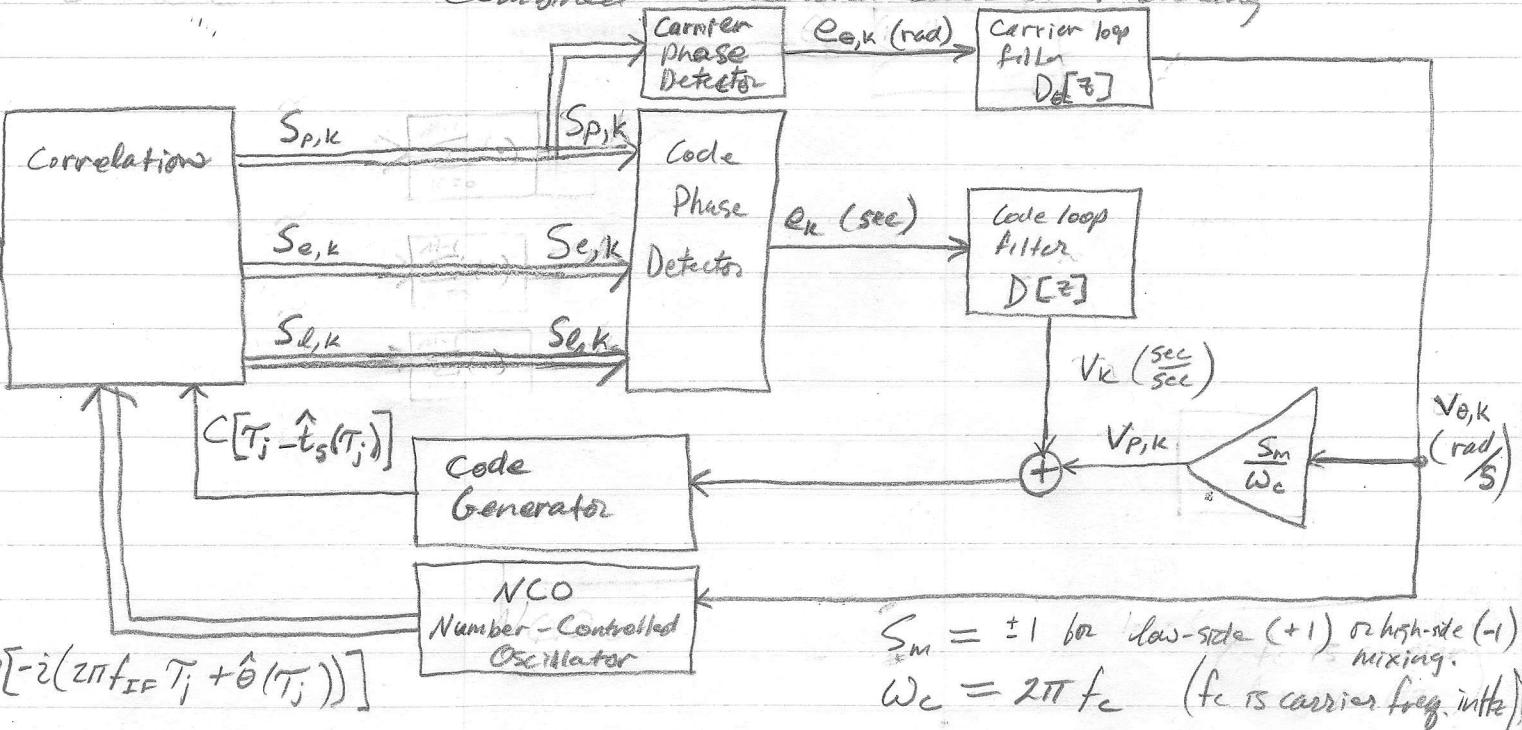
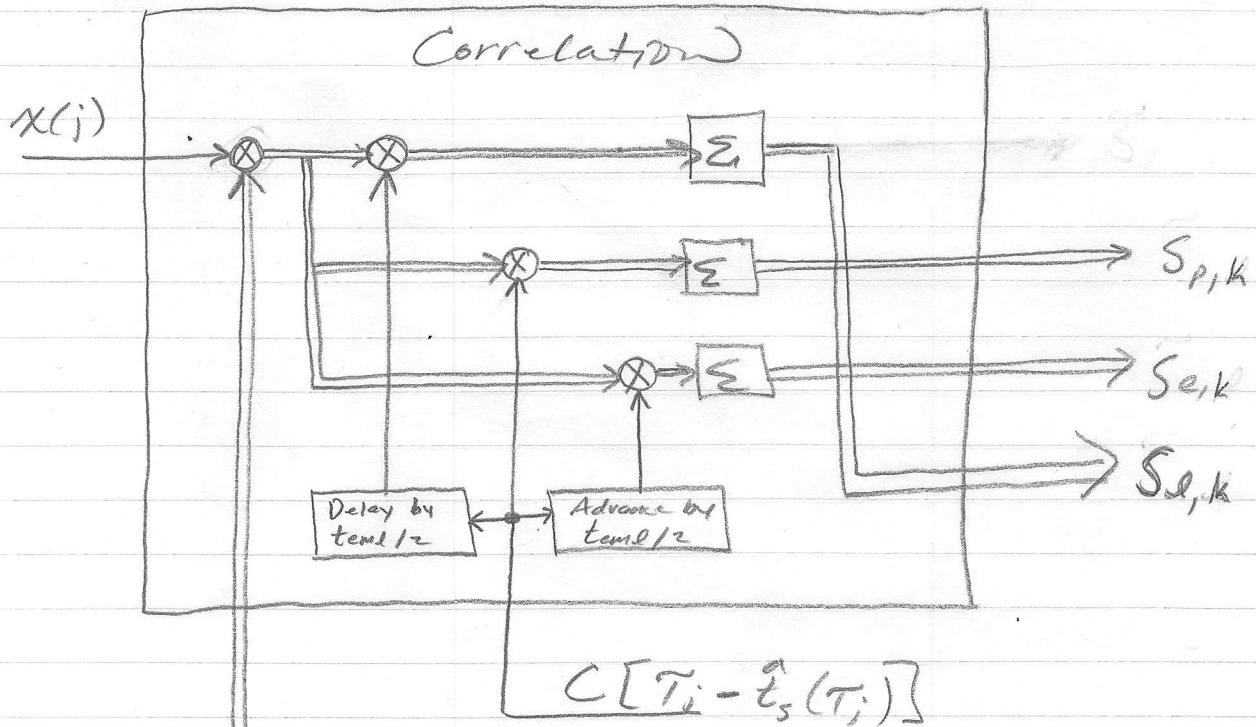


Combined Code and Carrier Tracking



$$\sum = \sum_{j=i_K}^{j_K+N_K-1} (+)$$

$$x(j) = A(\tau_j) D[\tau_j - t_d(\tau_j)] C[\tau_j - t_s(\tau_j)] \cos[2\pi f_{IF} \tau_j + \theta(\tau_j)] + n(j)$$



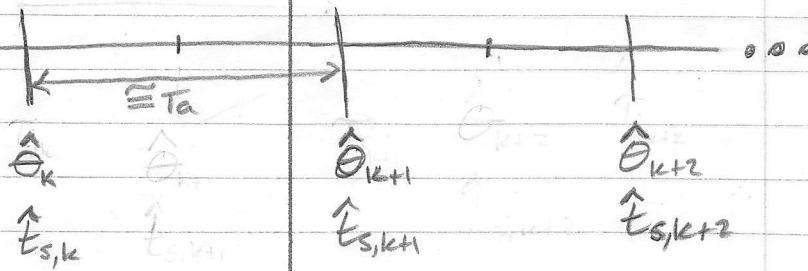
$$\exp[-i(2\pi f_{IF} \tau_j + \hat{\theta}(\tau_j))]$$

①

[Show full closed-loop tracking system as roadmap]

Filter-Based Est. of t_s, θ

The ML estimators for t_s, θ discussed earlier perform batch estimation — they produce a single estimate from a given interval of data. To perform ongoing estimation of t_s, θ , we could do batch estimation on consecutive segments of data:



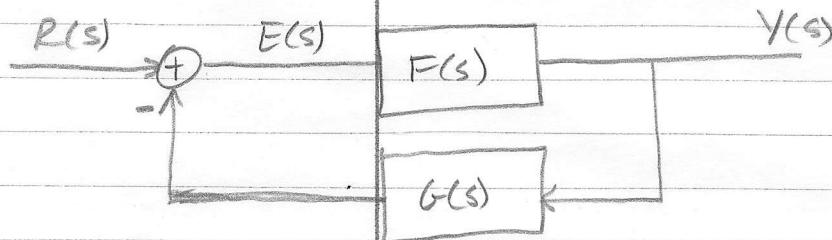
But this approach throws away information from previous segments. Better would be a recursive estimator such as a Kalman Filter.

A simple approximation to the Kalman Filter is feed back control loop. We'll design separate, but coupled, loops for carrier and code.

Basic Feedback Control Loop Theory

— Laplace thm.

Let $R(s)$ be a reference signal we wish to track. Std. feedback loop:



$F(s)$ = loop filter

$G(s)$ = feedback gain ($= 1$ for unity gain)

$E(s)$ = error signal

$Y(s)$ = output signal

$F(s) G(s)$ = open-loop transfer function

$$E(s) = R(s) - F(s) G(s) E(s)$$

$$\Rightarrow E(s) = \frac{R(s)}{1 + F(s) G(s)}$$

$$Y(s) = F(s) E(s)$$

$$\Rightarrow H(s) \equiv \frac{Y(s)}{R(s)} = \frac{F(s)}{1 + F(s) G(s)}$$

closed-loop transfer function

A study of $H(s)$ reveals the performance and stability of the feedback system.



5.3 PERFORMANCE OF A SECOND-ORDER SYSTEM

(Modern Control Sys.
Dorf / Bishop)

Let us consider a single-loop second-order system and determine its response to a unit step input. A closed-loop feedback control system is shown in Fig. 5.4. The closed-loop output is

$$F(s) = \frac{K}{s(s+p)}, \quad G(s) = 1 \quad Y(s) = \frac{G(s)}{1 + F(s)} R(s) = \frac{K}{s^2 + ps + K} R(s). \quad (5.6)$$

Utilizing the generalized notation of Section 2.4, we may rewrite Eq. (5.6) as

$$Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} R(s). \quad (5.7)$$

With a unit step input, we obtain

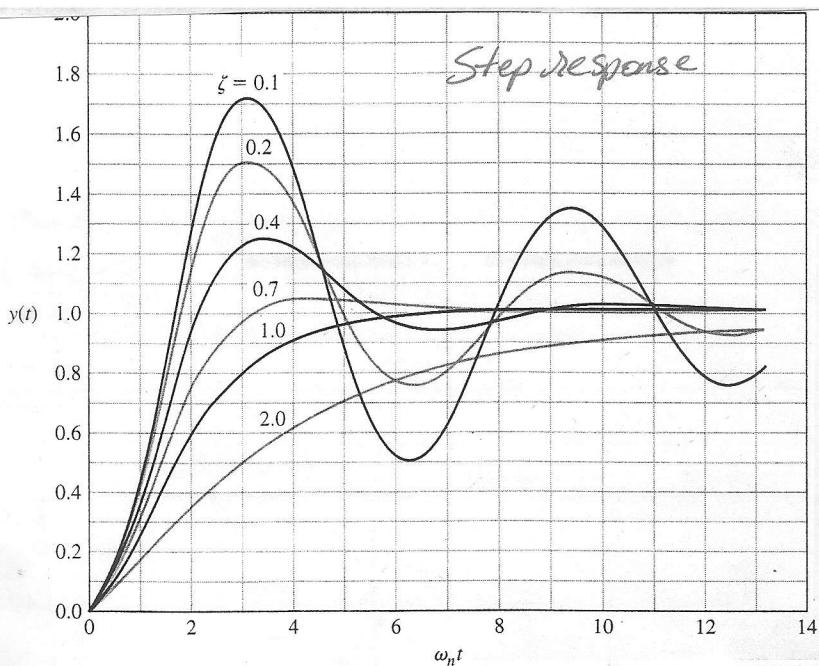
$$Y(s) = \frac{\omega_n^2}{s(s^2 + 2\zeta\omega_n s + \omega_n^2)}, \quad (5.8)$$

for which the transient output, as obtained from the Laplace transform table in Appendix A, is

$$y(t) = 1 - \frac{1}{\beta} e^{-\zeta\omega_n t} \sin(\omega_n \beta t + \theta), \quad (5.9)$$

where $\beta = \sqrt{1 - \zeta^2}$, $\theta = \cos^{-1}\zeta$, and $0 < \zeta < 1$. The transient response of this second-order system for various values of the damping ratio ζ is shown in Fig. 5.5. As ζ decreases, the closed-loop roots approach the imaginary axis, and the response becomes increasingly oscillatory. The response as a function of ζ and time is also shown in Fig. 5.5(b) for a step input.

Actual oscillations occur at
 $\omega = \omega_n \sqrt{1-\zeta^2}$
decay as $e^{-\zeta\omega_n t}$



Steady State Tracking Error and Loop Type

The final value theorem states that for some signal $y(t)$,

$$\lim_{t \rightarrow \infty} y(t) = \lim_{s \rightarrow 0} s Y(s)$$

We can apply this to the error signal $e(t)$. Let e_{ss} be the steady-state error, then

$$e_{ss} = \lim_{s \rightarrow 0} \frac{s R(s)}{1 + F(s) G(s)}$$

We commonly test e_{ss} with the following inputs:

Step : $\square \quad R(s) = \frac{1}{s}$

Ramp : $\diagup \quad R(s) = \frac{1}{s^2}$

Quadratic : $\diagup \quad R(s) = \frac{1}{s^3}$

Ex: Let $F(s) = \frac{k(s+a)}{s^2}, \quad G(s) = 1$

Step: $e_{ss} = \lim_{s \rightarrow 0} \frac{s \cdot \frac{1}{s}}{1 + \frac{k(s+a)}{s^2}} = 0$

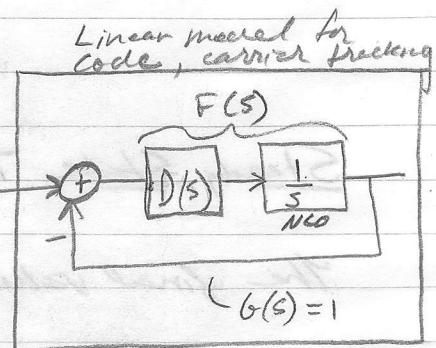
Ramp: $e_{ss} = \lim_{s \rightarrow 0} \frac{s \cdot \frac{1}{s^2}}{1 + \frac{k(s+a)}{s^2}} = \lim_{s \rightarrow 0} \frac{\frac{s}{s^2}}{\frac{s^2 + ks + ka}{s^2}} = 0$

Quadratic: $e_{ss} = \lim_{s \rightarrow 0} \frac{1}{s^2 + ks + ka} = \frac{1}{ka}$

The Type of a system is the number of perfect integrators in $F(s)G(s)$. For example, $F(s)G(s) = \frac{K(s+a)}{s^2}$ is a Type 2 system.

Type 2 system.

Input Type	Step	ramp	quadratic	e_{ss}
0	C_0	∞	∞	
1	0	C_1	∞	
2	0	0	C_2	
3	0	0	0	



where the C_i are constants.

The orbital dynamics of spacecraft give rise to carrier phase time histories that are closely approximated by quadratic functions. Thus, we often use Type 3 carrier tracking loops so that $e_{ss} \rightarrow 0$. $\rightarrow G(s) = 1$ (unit feedback) and there is another

In code and carrier tracking, block that represents a number controlled oscillator (NCO) which we can model approx. as a pure integrator: $NCO = \frac{1}{s}$.

Thus, $F(s) = \frac{1}{s}D(s)$ and $F(s)G(s) = F(s)$

The loop filter $D(s)$ typically has one of the following forms:

$$1^{\text{st}}\text{-order loop: } D(s) = K, \quad H(s) = \frac{K}{s+K} \quad (\text{Type I})$$

$$F(s)G(s) = K/s$$

$$2^{\text{nd}}\text{-order loop: } D(s) = \frac{K(s+a)}{s}, \quad H(s) = \frac{K(s+a)}{s^2 + Ks + Ka} \quad (\text{Type II})$$

$$3^{\text{rd}}\text{-order loop: } D(s) = \frac{K(s^2 + as + b)}{s^2}, \quad H(s) = \frac{K(s^2 + as + b)}{s^3 + Ks^2 + Kas + Kb} \quad (\text{Type III})$$

HW [Compute e_{ss} for each of these and several different inputs

(3)

An important quantity in the study of feedback loops is the loop noise bandwidth, defined in Hz as

$$B_n = \frac{1}{4\pi} \int_{-\infty}^{\infty} |H(j\omega)|^2 d\omega$$

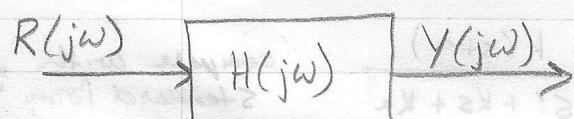
(single-sided)

where we're evaluating $H(s=j\omega)$, which applies in steady-state, and we assume $H(0) = 1$. B_n is single-sided vs. B_{nug} , introduced earlier.

Order	1st	2nd	3rd
Type	I	II	III
B_n (Hz)	$K/4$	$\frac{K+a}{4}$	$\frac{K(aK+a^2-b)}{4(KaK-b)}$

(with $D(s)$ for each order as before)

It's easy to study the passage of noise through a linear feedback loop. Suppose the input $r(t)$ is a Gaussian white noise process with (two-sided) noise density $N_0/2$. Then from sys. thy. the power spectrum of the output $y(t)$ is:



$$S_y(f) = \frac{N_0}{2} |\tilde{H}(f)|^2 \quad [\text{For convenience, let } \tilde{H}(f) = H(j\omega f)]$$

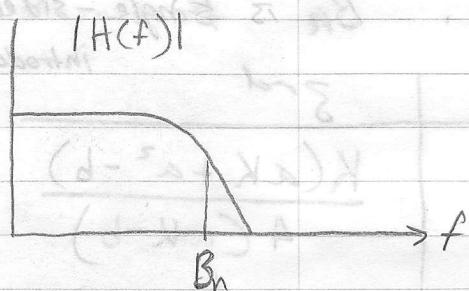
power spectrum of $y(t)$

Then the power in the output $y(t)$ is:

$$\begin{aligned}
 P_y &= \int_{-\infty}^{\infty} S_y(f) df = \frac{N_0}{2} \int_{-\infty}^{\infty} |\tilde{H}(f)|^2 df \\
 &= \frac{N_0}{2} \cdot 2B_n = N_0 B_n
 \end{aligned}$$

Q: How does one choose the form and parameters of $D(s)$? What is optimal?

A: For tracking we seek a frequency response that is approximately flat over the passband. [Explain why this makes sense], capturing the dynamics of the signal but eliminating the high-frequency noise.



One can derive an optimal loop filter $D(s)$ via Wiener Filtering theory. We minimize the integral square phase error under a bandwidth constraint. The

filters $D(s)$ previously introduced are optimal for step, ramp, and parabolic input, respectively.

How to select parameters K, a, b ?

Order

1

$$K = 4B_n$$

2

$$H(s) = \frac{K(s+a)}{s^2 + ks + ka}$$

compare with: $\frac{C(s+\omega_n)}{s^2 + 2\zeta\omega_n s + \omega_n^2}$
Standard Form:

Set $\zeta = \frac{1}{2}$ and recall that $B_n = \frac{K+a}{4}$
(damping ratio)

Then $K = \frac{8}{3} B_n$
 $a = K/2$

[derive these
for 2nd-order
sys.]

3

$$a = 1.2 B_n$$

$$b = a^2/2$$

$$K = 2a$$