

# Predicting Vibrational Mean Free Paths in Amorphous Materials

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Understanding thermal transport in crystalline systems requires detailed knowledge of phonons, which are the quanta of energy associated with atomic vibrations. By definition, phonons are non-localized vibrations that transport energy over distances much larger than the atomic spacing. For disordered materials (e.g., alloys, amorphous phases), with the exception of very long wavelength modes, the vibrational modes are localized and do not propagate like phonons. The Einstein model assumes that the mean free path of these localized vibrations is the average interatomic distance and that their group velocity is equal to the speed of sound. The Cahill-Pohl model assumes that the mean free path of the localized modes is equal to half of their wavelength. While these approach can be used to estimate the thermal conductivity of disordered systems, they only provide a qualitative description of the vibrations that contribute to the lattice thermal conductivity. Using lattice dynamics calculations and molecular dynamics simulations on model amorphous silicon and silica, we predict and characterize the contributions from phonons and localized vibrations to lattice thermal conductivity. The vibrational mean free paths are predicted for these two amorphous materials and the thermal conductivity accumulation function is compared with recent experimental results.

## I. INTRODUCTION

Thermal transport at scales comparable to phonon wave-lengths and mean free paths (MFPs) is presently a topic of considerable interest [14].<sup>1-4</sup>

Recently, nanostructured materials such as nanowires, superlattices, and nanocomposites with strongly reduced thermal conductivities due to phonon scattering at interfaces and boundaries have been reported and are being assessed for use in thermoelectrics applications [3,4,6,7].<sup>3-6</sup>

Another type of size effect can occur if there is a temperature gradient over length scales comparable to phonon MFPs. In this case, local thermal equilibrium does not exist and the transport is nondiffusive.

Transient ballistic transport has been studied using heat-pulse techniques at cryogenic temperatures [8].<sup>7</sup>

A nonlocal theory of heat transport was proposed as a modification of diffusion theory [9].<sup>7</sup>

It was also predicted that the heat conduction from a nanoparticle is significantly reduced from the Fourier law prediction.<sup>8</sup>

Traditionally, empirical expressions and simple relaxation time models have been the only means to estimate MFPs [11].<sup>9</sup>

Recent first-principles calculations show that MFPs of phonons relevant to thermal conductivity vary by more than 5 orders of magnitude [12].<sup>10</sup>

Experimentally, inelastic neutron scattering has been used to measure phonon lifetimes in certain materials, but this technique is more suited for single crystal sam-

ples [13].<sup>11</sup>

An x-ray diffraction and thermoreflectance technique can measure ballistic transport in some structures [14].<sup>12</sup>

Koh et al. proposed a technique which uses a variation of modulation frequency to measure MFPs, but this technique is limited by the modulation frequency [15].<sup>13</sup>

Thermal conductivity ( $k$ ), which relates the heat flux ( $!q$ ) and temperature gradient ( $!T$ ) in a material through the Fourier law,  $!q = -k !T$ , results from the cumulative contributions of phonons with a broad range of mean free paths (MFPs). The spectral MFP distribution is critical in nanostructured materials and devices, where size effects selectively scatter phonons or create non-Fourier conduction based on individual phonon MFPs. Such effects have an impact on heat dissipation in nanoelectronics and photonics, as well as the design of nanostructured thermoelectric materials with reduced thermal conductivity.<sup>19</sup> Because of its ubiquity in electronics, crystalline silicon (c-Si) has emerged as the prototypical material of study, yet controversy persists on what phonon MFPs dominate thermal transport, even in the bulk material. Kinetic theory defines the thermal conductivity as  $k = \frac{1}{3} C v_s LG$ , where  $C$  is the volumetric heat capacity,  $v_s$  is the speed of sound and  $LG$  is the average (or grey) MFP. For c-Si, kinetic theory yields  $LG = 41$  nm at a temperature ( $T$ ) of 300 K.<sup>10</sup> This grey approximation severely underestimates the MFPs of the phonons that contribute significantly to thermal conductivity because (i) dispersion makes  $v_s$  an overestimate of the average group velocity of acoustic phonons and (ii) optical phonons contribute to  $C$  but negligibly to bulk

k (ref. 11). Thermal conductivity measurements of thin silicon films indicate that an effective MFP of 300 nm at T 14 300 K is more appropriate<sup>12</sup>.

The thermal conductivity of amorphous solids display unique temperature dependance compared to ordered solids.<sup>14</sup> Cahill argued that the lattice vibrations in a disordered crystal are essentially the same as those of an amorphous solid.<sup>15</sup>

Measurements by all the refs from Galli paper, including Moon.<sup>16–22</sup> The key to understanding such measurement is to estimate a MFP for the vibrational modes in disordered systems.

The goal of this work is to predict the MFP of vibrational modes in disordered systems. Simple Lennard-Jones systems will be studied. A perfect LJ crystal are alloyed with a species of differing mass and amorphous samples are prepared. Thermal transport will be studied to quantify and characterize the ordered and disordered contributions to lattice thermal conductivity. In particular, a more rigorous way to classify vibrational modes in disordered alloys and amorphous samples as phonon-like or diffuson will be investigated. These results will be compared to the phenomenological Einstein and Cahill-Pohl models,<sup>23–25</sup>.

The vibrational modes in these systems are characterized in the limit of propagating (phonon) and non-propagating (diffuson) modes by predicting the mode lifetimes and estimating their mean free paths. Estimating an effective dispersion relation is necessary for calculating an effective group velocity for disordered, which is crucial for transforming lifetimes to MFPs. The spectrum of phonon MFPs and the accumulated thermal conductivity are predicted for a model of amorphous silicon. Predictions of thermal conductivity using a boundary scattering model demonstrates

Measurements of a-Si thin films demonstrate a film-thickness dependance of the thermal conductivity.

<sup>16</sup> find 1.8 W/m-K, similar to the SW results presented here.

Yang et al find as high as 7 W/m-K.<sup>18</sup>

Liu et al. find a film dependance between 60 and 80 microns.<sup>22</sup> Claim the HWCVD method and hydrogenation creates the most ordered a-Si.

zink shows no plateau at low T for a-Si thin film, indicating the scattering of long-wavelength phonon-like modes by the boundaries.<sup>17</sup>

Show film thickness dependance up to 10 microns.<sup>20</sup>

4.8 W/m-K.<sup>23</sup>

minnich studies c-Si<sup>24</sup>

The understanding of the accumulation function for bulk crystalline has made significant advancement experimentally and theoretically.<sup>25</sup> However, understanding of accumulation in amorphous systems is still not well understood.<sup>26–28</sup>

recent measurements of the accumulation function by Regner.<sup>29</sup>

Measurements of the thermal conductivity of a-SiO<sub>2</sub> thin films show no significant dependance on the film

thickness.<sup>30,31</sup>

## II. THEORETICAL FORMULATION

### III. THERMAL DIFFUSIVITY

$$k_{vib} = k_{ph} + k_{AF} \quad (1)$$

To calculate the contribution from  $k_{ph}$  and  $k_{AF}$ , we use the Allen-Feldman theory of diffusons and an analytical Debye model for  $k_{ph}$ .<sup>26,27</sup>

$$k_{vib} = \int_0^{\omega_{cut}} \frac{d\omega DOS(\omega) C(\omega) D(\omega)}{V} + \frac{1}{V} \sum_i C_i(\omega) D_i(\omega) \quad (2)$$

where we will consider the phonon contribution  $k_{ph}$  to be the first term in Eq. and the diffuson contribution  $k_{AF}$  to be the second. Based, there is

The macroscopic thermal diffusivity comes from

$$D = \frac{k_{thermal}}{V}, \quad (3)$$

where

For disordered systems, the vibrational modes are no longer pure plane-waves (i.e., phonon modes), except in the low-frequency (long-wavelength) limit. When applied in the classical limit, the Allen-Feldman (AF) theory computes the contribution of diffusive, non-propagating modes (i.e., diffusons) to thermal conductivity<sup>32</sup>

$$k_{AF} = \sum_{diffusons} \frac{k_B}{V} D_{AF,i}(\omega_i), \quad (4)$$

where  $D_{AF,i}$  is the mode diffusivity and  $\omega_i$  is the frequency of the  $i$ th diffuson. The diffusivity of diffusons can be calculated from harmonic lattice dynamics theory.<sup>26,27,32</sup>

The relative contribution of both phonons and diffusons to the total vibrational conductivity has been estimated to be approximately equal for a model of a-Si,<sup>33</sup> while earlier studies find that  $k_{ph}$  is somewhat less.<sup>26,27</sup>

For a perfect lattice, all vibrational modes are phonon modes, which by definition are delocalized, propagating plane waves.<sup>34</sup> In an amorphous system, only in the low-frequency, long-wavelength limit can the vibrational modes be considered phonons. Identifying this limit is crucial to quantify the contribution of phonon-like modes in a-Si and a-SiO<sub>2</sub> (see Section ).

Using the single-mode relaxation time approximation<sup>34</sup> to solve the Boltzmann transport equation gives an expression for thermal conductivity,

$$k_{ph} = \sum_{\kappa} \sum_{\nu} c_{ph}(\kappa) v_g^2(\nu) \tau(\nu). \quad (5)$$

In general, the thermal conductivity  $k_{ph}$  and group velocity  $\mathbf{v}_g(\boldsymbol{\kappa})$  depend on the spatial direction  $\mathbf{n}$ . Since the amorphous materials studied in this work are isotropic,  $k_{ph}$  and  $v_g$  are quantities independent of the direction  $\mathbf{n}$ .

For Eq. , the sum is over the phonon modes in the first Brillouin zone,  $\boldsymbol{\kappa}$  is the wave vector, and  $\nu$  labels the polarization branch. The phonon mode has frequency  $\omega(\boldsymbol{\kappa})$ , volumetric specific heat  $C_{ph}(\boldsymbol{\kappa})$ , group velocity vector  $\mathbf{v}_{g,n}(\boldsymbol{\kappa})$ , and lifetime  $\tau(\boldsymbol{\kappa})$ . The phonon mean free path (MFP),

$$\Lambda(\boldsymbol{\kappa}) = |\mathbf{v}_g(\boldsymbol{\kappa})| \tau(\boldsymbol{\kappa}), \quad (6)$$

is the distance the phonon travels before scattering. The transformation from lifetime to MFP requires a group velocity.

Because there is a spectrum of vibrational modes in crystalline and amorphous systems, there are typically a range of vibrational MFPs.(cite) By reducing the system feature sizes, the vibrational MFPs can be reduced due to boundary scattering.(cite)

Taking the phonon mode specific heat to be  $c_{ph}(\boldsymbol{\kappa}) = k_B$ , the phonon mode specific vibrational conductivity (Eq. (??)) can be written as

$$k_{ph,n} = \sum_{\boldsymbol{\kappa}} \sum_{\nu} k_B D_{ph}(\boldsymbol{\kappa}), \quad (7)$$

and the vibrational conductivity is determined by the phonon mode diffusivities, defined as

$$D_{ph}(\boldsymbol{\kappa}) = v(\boldsymbol{\kappa})^2 \tau(\boldsymbol{\kappa}). \quad (8)$$

#### A. Thermal Diffusivity Limits

$k = \sum c D$ , only for low- $\omega$ , long- $\lambda$  limit does  $D = 1/3 v^2 \tau$ , or  $1/3 v \Lambda$

“The diffusivity  $D$  cannot be meaningfully represented as  $v \Lambda/3$  since  $v$  and  $\Lambda$  cannot be independently defined. Numerically we find that  $D$  for diffusons is of order  $D \approx a^2/\tau$  where  $a$  is the interparticle spacing. Also,  $D$  is independent of the particular state  $i$ , depending only on the energy as seen in Fig. 12. Our Eq. 7 for the heat conductivity is a close analog of Eq. A4.

$$\tau = \frac{2\pi}{\omega}. \quad (9)$$

$$\Lambda = \lambda. \quad (10)$$

It is not clear that these limits are equivalent if the group velocity is mode-dependent.

A high-scatter limit for the mode diffusivity is

$$D_{HS} = \frac{1}{3} v_s a, \quad (11)$$

where it is assumed that all vibrational modes travel with the sound speed,  $v_s$ , and scatter over a distance of the lattice constant,  $a$ . This diffusivity assumption leads to a high-scatter (HS) limit of thermal conductivity in the classical limit<sup>35</sup>

$$k_{HS} = \frac{k_B}{V_b} b v_s a, \quad (12)$$

where  $V_b$  is the volume of the unit cell and  $b$  is the number of atoms in the unit cell.

It was demonstrated by Birch and Clark and then Kittel that the thermal conductivity of glasses above 20K could be interpreted using a temperature-independent diffusivity on the order of Eq. In the phonon model, this would correspond to a MFP  $\Lambda = a$ , too small to justify use of the model. The success of this observation implies that the dominant normal modes in most glasses are diffusons and not phonons.

While Eq and are commonly used to establish a high-scatter limit for diffusivity and thermal conductivity, predictions for a-SiGe alloys demonstrated that these are not true high-scatter limits.<sup>26</sup> Recently, the thermal conductivity of several materials has been measured to be significantly below the high-scatter limit Eq. (cite)

## IV. CALCULATION DETAILS

### A. Sample Preparation

#### 1. Amorphous Si

We use models created by the Wooten-Winer-Weaire (WWW) algorithm in Ref. 36. Sample sizes of 216, 1000, 4096, and 100,000 atoms. The Stillinger-Weber potential is then used with these samples and the density  $\rho$  is set to  $\text{kg/m}^3$ , which is equivalent to a crystalline density with a lattice constant of 5.43 Å.(cite) The samples were annealed at a temperature of 1100 K for 5 ns to remove meta-stability. The meta-stability is demonstrated by increased  $v_s$  after annealing. structural relaxation:  $U_l = 7.64 \times 10^{-4} \text{ m/s}$  and  $U_t = 3.67 \times 10^{-4} \text{ m/s}$ , indicates that there has been structural relaxation  $v_{slong} : 8.2715 \times 10^{-4} \text{ m/s}$ ,  $v_{stran} : 3.8867 \times 10^{-4} \text{ m/s}$

With amorphous materials, have to deal with meta-stability.(cite) There are many potential energy configurations (atomic positions) which are nearly equivalent in energy. At a sufficient temperature, the meta-stable states cause the equilibrium atomic positions to vary. This can effect on the prediction of the vibrational mode lifetimes when using the normal mode decomposition method. In the time domain, the average normal mode potential and kinetic energy must be calculated and subtracted from the normal mode energy autocorrelation function. If the average energy is not specified correctly, unphysically large or small mode lifetimes can be predicted.

A large sample (800,000 atoms) was created from the 100,000 atom sample by treating it as a unit cell and tiling the 800,000 atom supercell. The box size is nm.

All calculations based on density of the perfect crystal with lattice constant 5.43 Å.

Similar structures and results can be obtained with a-Si samples created using a melt-quench-anneal procedure similar to that used to create a-SiO<sub>2</sub> samples in Section . The entire procedure is performed at constant volume. Crystalline silicon (c-Si) is first melted at a temperature of 10,000 K. The liquid is then quenched instantaneously to 300 K, and then annealed at 1100 K for 10 ns to remove meta-stability.

## 2. Amorphous SiO<sub>2</sub>

samples from Alan. The 24-6 LJ potential is replaced with a 12-6, which has a negligible effect on the predictions presented in this paper.

New samples of size up to 4400 atoms were created by first tiling the smaller samples, and then performing a liquid quench procedure similar to Alan. Results for these quenched, fully amorphous samples agree closely with those of the unquenched, tiled samples.

## B. Simulation Details

The MD simulations were performed using LAMMPS.<sup>37</sup>

dt = 0.00905, dt = 0.0005

*2<sup>20</sup> for 10 seeds. For the GK method, the thermal conductivity is predicted by window averaging the integral of the heat current autocorrelation function.* SiO<sub>2</sub> and s-Si, a interval of the the HCACF integral can be found which is constant within the statistical noise.

The trajectories from the MD simulations used for the GK calculations (Section ) are also used in the normal mode decomposition method (Section ).

The AF calculation is performed using the package GULP. A Lorentzian broadening is used of  $5\delta\omega_{avg}$  and  $14\delta\omega_{avg}$  for a-Si and a-SiO<sub>2</sub>. Varying the broadening around these values does not change the resulting thermal conductivity significantly (see Section ).

## V. VIBRATIONAL PROPERTIES

### A. Density of States

In this section, we compute the frequencies and density of states (DOS) for the vibrational modes for the models of a-SiO<sub>2</sub> and a-Si described in Section . The frequencies are computed using harmonic lattice dynamics calculations with GULP.<sup>38</sup> For amorphous supercells, the only allowed wave vector is the gamma-point (i.e.,  $\mathbf{k} = 0$ ), where there are  $3N_a$  polarization branches. Calculation of the Gamma modes require the eigenvalue solution of a dynamical matrix of size  $(3N_a)^2$  that scales as  $[(3N_a)^2]^3$ ,

limiting the system sizes that can be considered. This eigenvalue solution is also required to perform the NMD (see Section ??) and AF calculations (see Section VD).

The vibrational DOS is computed by

$$DOS(\omega) = \sum_i \delta\omega_i - \omega, \quad (13)$$

where a unit step function is used to broaden  $\delta(\omega_i - \omega)$ . The DOS for a-SiO<sub>2</sub> and a-Si are plotted in Fig. using two values of broadening,  $10\delta\omega_{avg}$  and  $100\delta\omega_{avg}$ , where  $\delta\omega_{avg}$  is the average frequency spacing. Because of the finite model size, the low-frequency modes are sparse and the DOS has a large variability dependent on the broadening.<sup>27</sup> As the system size  $L$  is increased, the lowest frequency mode will continue to decrease and the gaps at higher frequency will fill in. However, because of the computational cost of the NMD and AF methods, finite-size (4096 atoms,  $L = 16$ , 4608 atoms,  $L = 18$ ) must be used to predict the mode properties and the predicted thermal conductivity compared with that from the Green-Kubo (GK) method (see Section ).

While the DOS has a large amount of variability at low frequency, there is a clear scaling of  $DOS \propto \omega^{-2}$  for both a-Si and a-SiO<sub>2</sub>. The range of this scaling is larger for a-Si than a-SiO<sub>2</sub>. Under the Debye model, which assumes isotropic and linear dispersion, the DOS is

$$DOS(\omega) = \frac{3 * \pi \omega^2}{2v_s^3}, \quad (14)$$

where  $v_s$  is an appropriate sound speed.(cite) By fitting the DOS from Fig. to Eq. , a sound speed is predicted as reported in Table . For both a-Si and a-SiO<sub>2</sub>, the sound speeds predicted from the DOS are close to the transverse sound speeds predicted from the elastic constants and the structure factor. The Debye model predicts that the contribution from the larger longitudinal sound speed compared to the smaller transverse sound speed will scale as the difference cubed. For a-Si, the contribution from longitudinal modes to the Debye DOS is nearly an order of magnitude less than the transverse modes for a given frequency interval. For a-SiO<sub>2</sub>, the longitudinal and transverse sound speeds are closer.(cite experimental a-DOS)

This makes sense under the Debye model, which depends inversely on the cube of the sound speed, and predicts a significantly smaller number of longitudinal modes compared to transverse modes in a given interval of frequency.(cite)

The DOS predicted for jammed systems are similarly dominated by the transverse sound speed, while results for disordered lattices are

The DOS for a-Si is bears striking resemblance to the DOS of crystalline systems, with more pronounced features than even some disordered lattices.

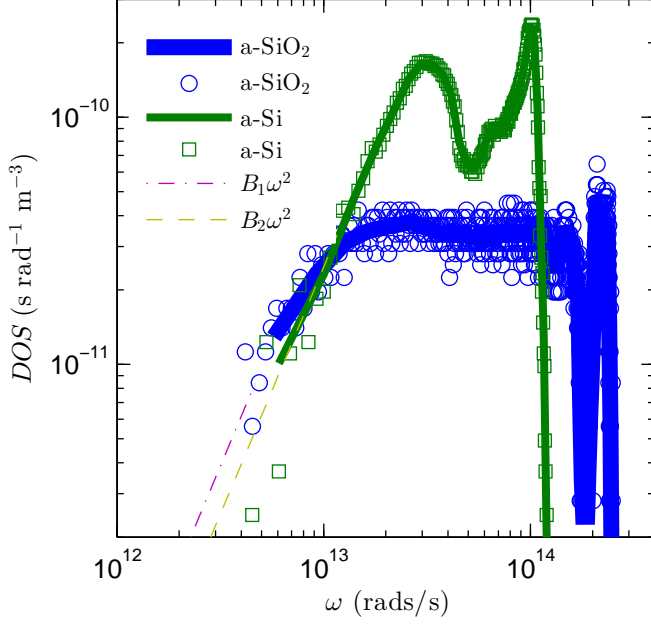


FIG. 1: film thickness dependant thermal conductivity of a-Si from experiment.

## B. Group Velocity

### 1. From Elastic Constants and DOS

Freeman gives 4100 m/s for a-SiO<sub>2</sub><sup>14</sup>  
 3,700 5,100 from<sup>39</sup>  
 for a-Si 3,800-4,800<sup>39</sup>

Experimentally measured values of sound speed for a-Si are 4,160<sup>40</sup> and 4,290 m/s<sup>41</sup>.  
<sup>42</sup>

The transverse and longitudinal sound speeds of a material can be related to the material's elastic constants, which determine the bulk ( $G$ ) and shear ( $K$ ) moduli.(cite) The transverse sound speed is given by

$$v_{s,T} = \frac{G^{1/2}}{\rho}, \quad (15)$$

and the longitudinal by

$$v_{s,L} = \frac{4G + 3K^{1/2}}{3\rho}. \quad (16)$$

We use the bulk and shear moduli defined in terms of the elastic constants according to the Voigt convention.(cite) The sound speeds calculated from the elastic constants are reported in Table . It is clear that the DOS of our models for a-Si and a-SiO<sub>2</sub> are characterized by using the transverse sound speeds, rather than an averaging of the two,

$$v_s = \frac{1}{3}v_{s,L} + \frac{2}{3}v_{s,T}. \quad (17)$$

This is backed up by theoretical(cite) and experimental(cite) results.

### 2. From Struture Factor

you cannot assign a unique wavevector to individual modes.<sup>43</sup>

For a disordered solid, the three acoustic group velocities (two transverse and one longitudinal) can be predicted using the elastic constants<sup>38</sup> or by finite differencing of the three lowest frequency branches of the dispersion relation of the supercell.<sup>28,33</sup> Except for this low-frequency behavior, there is not an accepted method to predict the group velocity of a vibrational mode in a disordered system, although there have been attempts.<sup>28,33,35,44,45</sup> In the Cahill-Pohl (CP) model, for example, the group velocity of all disordered modes is the sound speed,  $v_s$ , which is also assumed for the HS model, Eq. (12).<sup>35</sup> This assumption is not generally valid for any material.<sup>27,28,33,44,45</sup>

Calculating the structure factors of the supercell Gamma modes is a method to test for their plane-wave character at a particular wave vector and polarization corresponding to the VC.<sup>27,46</sup> Feldman et al. used the structure factor to predict an effective dispersion for a model of amorphous silicon, but did not predict group velocities.<sup>27</sup> Volz and Chen used the dynamic structure factor to predict the dispersion of crystalline SW silicon using MD simulation.<sup>47</sup>

which has also been used to determine the dispersion relation from experimentally derived predictions.<sup>48</sup>

The structure factor at a VC wave vector  $\mathbf{k}_{VC}$  is defined as<sup>46</sup>

$$S^{L,T} = \sum_{\nu} E^{L,T} \delta[\omega - \omega_{\nu}], \quad (18)$$

where the summation is over the Gamma modes,  $E^T$  refers to the transverse polarization and is defined as

$$E^L = \left| \sum_b \hat{\mathbf{k}}_{VC} \cdot \mathbf{e} \exp[i\mathbf{k}_{VC} \cdot \mathbf{r}_0^{(l=0)}] \right|^2 \quad (19)$$

and  $E^L$  refers to the longitudinal polarization and is defined as

$$E^T = \left| \sum_b \hat{\mathbf{k}}_{VC} \times \mathbf{e} \exp[i\mathbf{k}_{VC} \cdot \mathbf{r}_0^{(l=0)}] \right|^2. \quad (20)$$

In Eqs. (19) and (20), the  $b$  summations are over the atoms in the disordered supercell,  $\mathbf{r}_0^{(l=0)}$  refers to the equilibrium atomic position of atom  $b$  in the supercell,  $l$  labels the unit cells ( $l = 0$  for the supercell),  $\alpha$  labels the Cartesian coordinates, and  $\hat{\mathbf{k}}_{VC}$  is a unit vector. Explicit disorder is included in the Gamma frequencies  $\omega$  and the  $3N_a$  components of the eigenvectors,  $\mathbf{e}$ .

A frequency and lifetime is predicted by fitting each structure factor peak  $S^{L,T}$  to a Lorentzian function

$$S^{L,T} = \sum_{\nu}^{3n} \frac{C_0(\nu)}{[\omega_0(\kappa) - \omega]^2 + \Gamma^2(\nu)}, \quad (21)$$

where  $C_0(\nu)$  is a constant related to the DOS.<sup>49</sup>

Fig 4 of this work shows a dispersion extracted by locating the peaks in the structure factor.<sup>50</sup> The dispersion at low frequency is also dominated by transverse sound speed.

Fig. 5 discusses how since the low freq modes are sparse, there is a resonant effect between<sup>27</sup>

The structure factor gives the frequency spectrum needed to construct a (nonstationary) propagating state with a pure wave vector  $\mathbf{Q}$  and pure longitudinal or transverse polarization<sup>26</sup>. Locations of spectral peaks are peaked like a acoustic dispersion branches. Only low-frequency vibrations have an (approximate) wavevector in disordered systems, and there is no theorem guaranteeing this.<sup>27</sup>

It is not possible to identify a unique wavevector for each mode<sup>51</sup>

However, it is very difficult to distinguish between localized and extended modes at high frequencies on the basis of their  $S(k, \nu)$  functions, as illustrated by the very similar scattering functions for a 67-meV localized and a 63-meV extended mode in Fig. 3(b).<sup>43</sup>

The dynamic structure factor can be useful for demonstrating the plane-wave character of low-frequency vibrations. However, on a mode-by-mode basis, it is unable in general to characterize a given mode as either localized or delocalized. Thus, it is not possible to

$$\mathbf{v}_{g,n}(\kappa) = \frac{\partial \omega(\kappa)}{\partial \kappa}. \quad (22)$$

estimates of the sound speeds are found from using finite difference of the peaks in  $S_{T,L}$  for different values of  $k$ .

The dispersion for a-SiO<sub>2</sub> more closely resembles the so-called "dispersion law for diffusons", where  $\omega \propto q^2$ .<sup>49</sup>

A group velocity can be assigned by taking a slope of a graph of peak frequency versus wave vector.<sup>26</sup>

$$\mathbf{v}_{g,n}(\kappa) = \frac{\partial \omega(\kappa)}{\partial \kappa}. \quad (23)$$

Table ??.

### C. Mode Lifetimes

#### 1. From Structure Factor

Ioffe-Regel limit<sup>52</sup>.

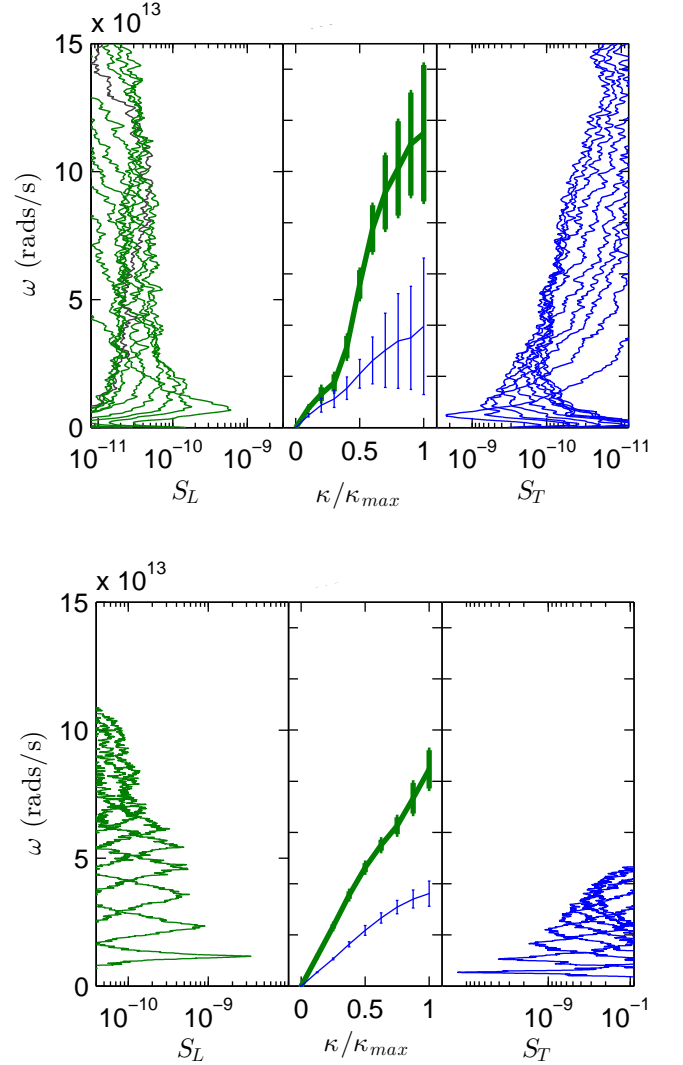


FIG. 2: film thickness dependant thermal conductivity of a-Si from experiment.

#### 2. From Normal Mode Decomposition

We use the MD simulation-based NMD method to predict the lifetimes.<sup>53-56</sup> In NMD, the atomic trajectories are first mapped onto the vibrational mode coordinate time derivative,<sup>57</sup>

$$\dot{q}(\kappa; t) = \sum_{\alpha, b, l}^{3, n, N} \sqrt{\frac{m_b}{N}} \dot{u}_{\alpha}(b; t) e^{*}(\kappa \frac{b}{\alpha}) \exp[i\kappa \cdot \mathbf{r}_0(l)]. \quad (24)$$

Here,  $m_b$  is the mass of the  $b_{th}$  atom in the unit cell,  $u_{\alpha}$  is the  $\alpha$ -component of the atomic displacement from equilibrium,  $\dot{u}_{\alpha}$  is the  $\alpha$ -component of the atomic velocity, and  $t$  is time.

The spectral energy of each vibrational mode,  $\Phi(\kappa; t)$ ,

TABLE I: Estimated from the elastic constants, the pre-annealed group velocities are  $v_{s,T} = 3,670$ ,  $v_{s,L,elas} = 7,840$ ,  $v_{s,T,elas} = 2,541$ ,  $v_{s,L,elas} = 4,761$  (see Section ).

method	$B_{mod}$ (Eq. (??))	$S_{T,L}$ (Eq. (18), (23))	DOS (Eq. (14))
a-SiO <sub>2</sub>			
transverse	3,161	2,732	2,339
longitudinal	5,100	4,779	
a-Si			
transverse	3,886	3,699	3,615
longitudinal	8,271	8,047	

is calculated from

$$\Phi(\kappa, \omega) = 2 \sum_{\nu}^{3n} T(\kappa_{\nu}; \omega) = 2 \sum_{\nu}^{3n} \lim_{\tau_0 \rightarrow \infty} \frac{1}{2\tau_0} \left| \frac{1}{\sqrt{2\pi}} \int_0^{\tau_0} \dot{q}(\kappa_{\nu}; t) \exp(-i\omega t) dt \right|^2 \quad (25)$$

The vibrational mode lifetime is predicted by fitting each mode's spectral energy  $\Phi(\nu, \omega)$  to a Lorentzian function

$$\Phi(\nu, \omega) = \sum_{\nu}^{3n} \frac{C_0(\nu)}{[\omega_0(\nu) - \omega]^2 + \Gamma^2(\nu)}, \quad (26)$$

where the constant  $C_0(\nu)$  is related to the average energy of each mode and the linewidth  $\Gamma(\nu)$ .<sup>56</sup> The mode lifetime is given by

$$\tau(\nu) = \frac{1}{2\Gamma(\nu)} \quad (27)$$

Lifetimes were predicted using anharmonic lattice dynamics, but no thermal transport properties were predicted.<sup>58</sup>

The lifetimes of vibrational modes in a-Si were predicted using normal mode decomposition.<sup>33</sup>

The mode lifetimes show a similar plateau at higher frequencies, particularly for a-Si, which has been reported for disordered lattices.<sup>59</sup>

We find that the diffusivity<sup>15</sup> displays a well-defined kink at a frequency  $\omega_d$  that separates the low  $\omega$  regime of divergent diffusivity consistent with Rayleigh law from a characteristic plateau that persists up to high  $\omega$  where localization sets in.<sup>50</sup>

In conclusion, we have found that the high-frequency VS in a realistic model of amorphous Si decay on picosecond time scales, and at low temperatures their lifetimes decrease as frequency increases. This is in contrast to recent experimental claims that the modes decay on nanosecond scales and their lifetimes increase as frequency increases.<sup>58</sup>

The lifetimes found by this method are in good agreement with the perturbative calculations of Fabian and Allen and are on the order of 10 ps at low temperatures in both 216 and 4096 atom supercells.<sup>60</sup>

Our results indicate that all of these processes occur on a much faster time scale than the 1 ns temporal resolution of the Raman experiments, so it is not obvious that

the measured relaxation rates should be identified with vibrational lifetimes.<sup>61</sup>

“The modes of mixed character which lie outside or in between the central groups of pure plane-wave modes are typically resonances as indicated by the large values of  $1/p$ . However, these modes are also reasonably well interpreted as filling in the appropriate tails of Lorentzian response functions, modulo small statistical fluctuations to be expected in finite systems. bigger and small  $Q$ s become less sparse, Lorentzians of fixed width will overlap increasingly, and can force out the resonant states which otherwise would inhabit the gaps. For  $Q$  of order  $1/L$  there will always be gaps, no matter how big the system  $L$  see for instance the region near 4.1 meV in Fig. 8 where a resonance occurs, but these gaps drift toward  $Q = 0$  and  $0$  as  $L$  increases. Therefore the distinction between special frequencies lying in gaps, and other frequencies lying in Lorentzian peaks, must disappear as  $L$  increases. There are two possibilities: either resonant behavior entirely disappears, or else it remains in a diluted form and is shared uniformly by all the normal modes. That is, at any given frequency there may be isolated parts of a large sample which are particularly sensitive to oscillation at just this frequency and temporarily trap selected traveling waves of this frequency. If this behavior is found for all normal modes, then any one normal mode will be freely propagating almost everywhere, and it becomes a subtle matter of definition or taste whether they should be called resonances at all.”s

“To implement Eq. 6 one must know the correct  $Q$  dependence of  $\tau$ . As shown in Fig. 8, the fitted values scatter too much to guide the extrapolation well. In principle, at very small  $Q$  one should get a form  $\tau \propto Q^{-4}$  which corresponds to Rayleigh scattering of sound waves from the structural disorder. The data of Fig. 8 do not fit a  $Q^{-4}$  law; the  $Q^{-2}$  curve shown in the figure is a better fit. Two experiments<sup>13,15</sup> but not a third<sup>35</sup> and one calculation<sup>18</sup> on a-SiO<sub>2</sub> have also given  $Q^{-2}$ . We do not know a theory which can give this law in a harmonic model.

“The conclusion is that intrinsic harmonic glassy disorder contained in our finite calculation kills off the heat-carrying ability of propagons rapidly enough without invoking any exotic mechanism. Our  $\tau(Q)$  curve is reminiscent of the experiments of Zaitlin and Anderson after holes are introduced to enhance the elastic damping of long-wavelength modes. The plateau disappears from their data in much the same way that it disappears from our theory due to extra damping of small- $Q$  propagons.

scattering from static imperfections would predicts Rayleigh type scattering<sup>62</sup>

## D. Diffusivities

This supports the idea of Slack for a-SiO<sub>2</sub>.<sup>63</sup> While the thermal conductivity of a-SiO<sub>2</sub>, the material is characterized by a constant similar for other amorphous materials

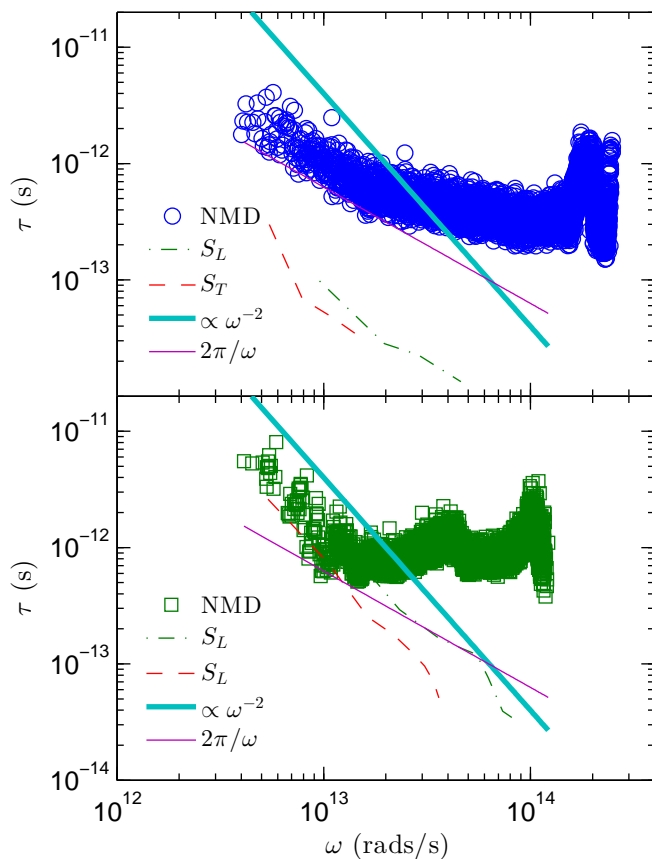


FIG. 3: film thickness dependant thermal conductivity of a-Si from experiment.

such as Lennard-Jones argon<sup>59</sup> and a model of a-GeTe.<sup>64</sup>

Thermal diffusivity was predicted for a percolation network which showed Rayleigh type scattering dependance in the low-frequency limit.<sup>65</sup>

which generally agree with diffusivities computed according to the formula of Edwards and THoules.<sup>27,49,66</sup>

Thermal diffusivity has been predicted using a wave-packet method

It was shown

Garber shows that these high-frequency modes are localized in the Anderson sense, showing exponential decay of the mode eigenvector.<sup>67</sup>

It was shown that the diffusivity  $D_{AF}(\omega) \propto DOS(\omega)$  at low frequency when the modes are spatially uncorrelated and the overlap between them is small and independent of the frequency.<sup>50,68</sup>

At low frequencies, the AF-predicted and NMD-predicted mode diffusivities scale as  $D \propto \omega^{-2}$ , similar to the scaling due to Umklapp scattering of phonons in a crystalline system. Rayleigh scattering due to point defects predicts  $D \propto \omega^{-4}$ , but is not observed in the amorphous systems studied in this work. While Rayleigh-type scaling has been observed in harmonic studies of the

diffusivities of modes in disordered lattices and jammed systems,<sup>50,65,68</sup> it has been demonstrated that the harmonic disorder in a-Si produces a scaling similar to Umklapp scattering.<sup>26</sup>

In summary, we obtain a frequency-independent diffusivity when the density of states is frequency-independent and the following conditions are satisfied: A displacements of particles in different modes of similar frequency are uncorrelated; B the directions of changes in the relative displacements of pairs of interacting particles are spatially uncorrelated within a given mode; and C the direction of change in the relative displacement of a pair of interacting particles is uncorrelated from the direction of the displacement.

Both a-SiO<sub>2</sub> and a-Si have a region at higher frequencies where the AF-predicted mode diffusivities are relatively constant. This behavior has been reported for a number of disordered systems such as disordered lattices<sup>49,59,65</sup> and jammed systems. At the highest frequencies the AF-predicted diffusivities trend exponentially to zero, which is an indication that these modes are "locons", spatially localized modes which do not contribute to thermal conductivity.<sup>46</sup>

This perplexing property of glasses has been explained heuristically by assuming that phonons are scattered so strongly by structural disorder that transport becomes diffusive, with a frequency regime of small, constant thermal diffusivity.<sup>65,69</sup>

## E. Mean Free Paths

Using the lifetimes predicted from the structure factor peaks and the transverse sound speed, the MFP is about the size of the simulation cell  $L$ .

## VI. THERMAL CONDUCTIVITY

### A. Bulk

Lee found a value of around 1 W/m-K but with very small supercell sizes.<sup>70</sup>

We use the GK method to predict the thermal conductivity. The GK method is relatively inexpensive compared to the NMD and AF methods so that large system sizes can be simulated (see Section ).

For smaller system sizes, the same trajectories are used for the GK and NMD methods. The MD simulations were run with the same parameters as the NMD method (see Section ).

The predicted thermal conductivities from the GK method are plotted in Fig. . For a-SiO<sub>2</sub>, the thermal conductivity is size independent within the errors. For a-Si, there is a clear size-dependence of the thermal conductivity

To compare the results of the mode-based methods (NMD and AF) and the GK method, it is necessary to es-



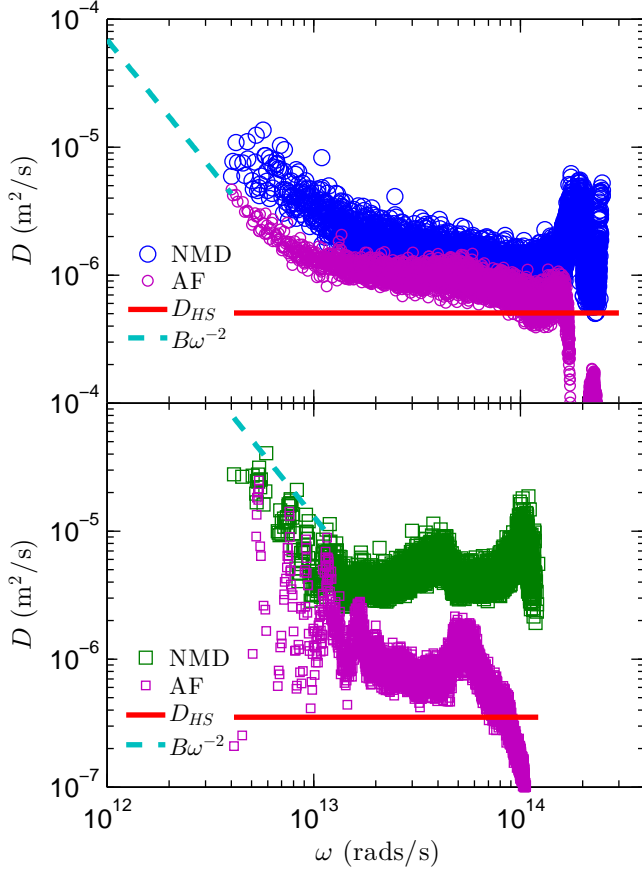


FIG. 4: film thickness dependant thermal conductivity of a-Si from experiment.

timate the missing contribution from vibrational modes with frequency less than the minimum frequency of the finite systems.

Assuming the thermal conductivity form Eq. for the lowest frequency modes in the system, the thermal conductivity as a function of the system size takes the form

$$\frac{k(N_0)}{k_{bulk}} = 1 - \frac{c_0}{N_0}, \quad (28)$$

“We find that we cannot define a wave vector for the majority of the states, but the intrinsic harmonic diffusivity is still well-defined and has a numerical value similar to what one gets by using the Boltzmann result, replacing  $v$  by a sound velocity and replacing  $l$  by an interatomic distance  $a$ . ”<sup>26</sup>

“In order to fit the experimental  $\kappa(T)$  it is necessary to add a Debye-like continuation from 10 meV down to 0 meV. The harmonic diffusivity becomes a Rayleigh law and gives a divergent  $\kappa(T)$  as  $T \rightarrow 0$ . To eliminate this we make the standard assumption of resonant-plus-relaxational absorption from two-level systems (this is an anharmonic effect which would lie outside our model even if it did contain two-level systems implicitly). ”<sup>26</sup>

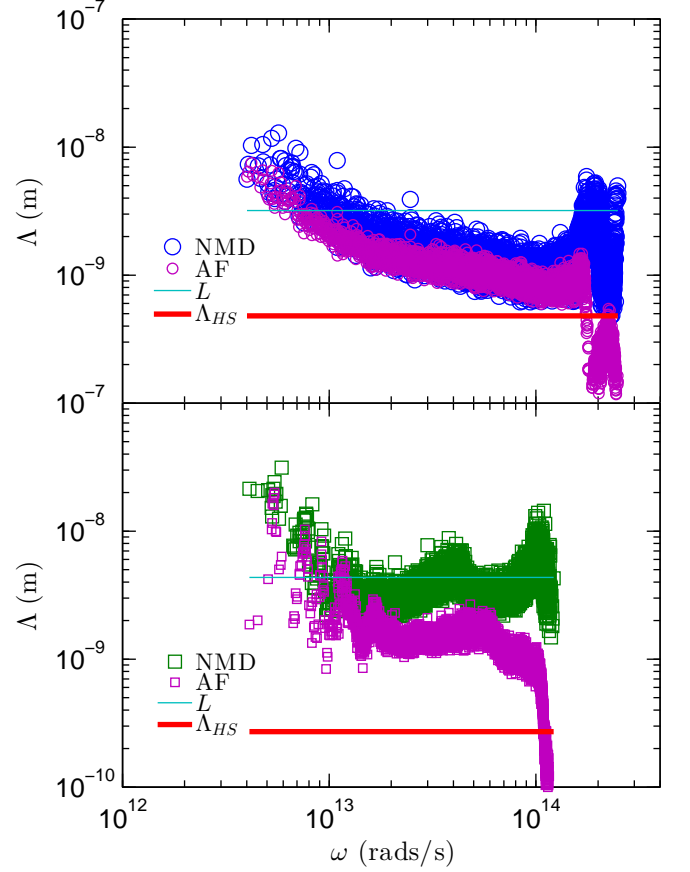


FIG. 5: film thickness dependant thermal conductivity of a-Si from experiment.

Debye model.  $k_{tot} = k_{phonon} + k_{AF}$ . For lack of a rigorous definition of phonon vs diffusion, we will call it Debye.

The relative contribution of  $k_{phonon}$  and  $k_{AF}$  is also predicted to be similar for silicon nanowires.<sup>45</sup>

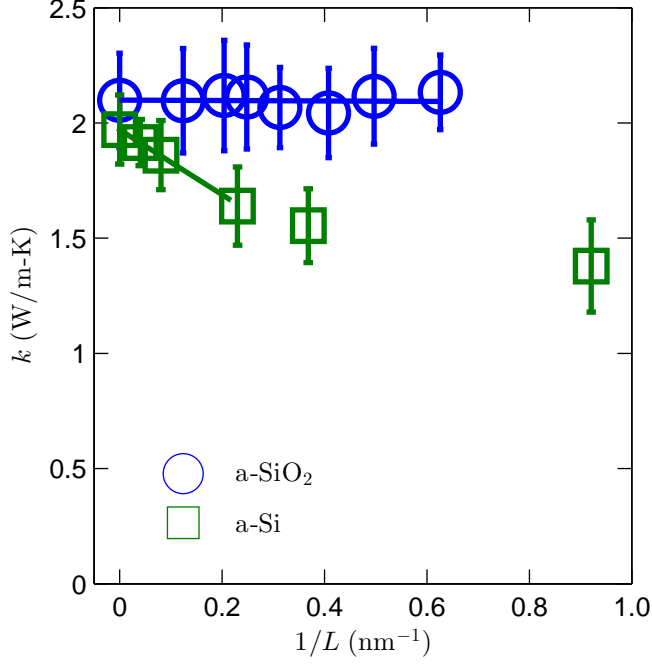
## B. Accumulation

## C. Discussion

This agrees with previous estimates for the contribution of low frequency ( $\omega < 30 \text{ rads/s}$ )<sup>71</sup>

Moedling shows that alloying a-Si with Ge can further reduce the thermal conductivity of the bulk and thin films.<sup>26,72</sup>

The agreement between the 3! and the TDTR measurements at 1.11 MHz suggests that phonons with  $\lambda_D = 1.2 \text{ } \mu\text{m}$  are not significant for thermal conduction in this sample. Furthermore, the difference between the high and low frequency measurements by TDTR indicates that phonons with  $162 \text{ } \mu\text{m} < \lambda < 612 \text{ } \mu\text{m}$



contribute 40% of the of the sample.<sup>22</sup>

The acoustic projected spectral density  $SQ; E$  is shown in Fig. 3. From the Q 14 h100i transverse and longitudinal projections, we obtain the respective phase velocities of  $v_t = 4,740$   $v_l = 7,830$  m/s Wooten model, where  $v_t$  is significantly higher than the value for our a-Si model (see Table ).<sup>22</sup>

Even though the DOS of the WWW produced structures compare well with other models and also experimental measurements, it has been demonstrated that the spectral properties at low frequency can be much different, particularly the linewidth of the structure factor.<sup>22</sup> It is possible that the

Based on the variation of thermal conductivity with film thickness, Liu et al also report a MFP scaling of  $\Lambda \propto \omega^{-2}$ .<sup>22</sup> Similar experimental results were obtained for a-SiO<sub>2</sub>.<sup>73</sup>

Tight-binding models for a-Si can improve the low-frequency DOS prediction compared to experiment.<sup>74</sup>

A combination of frequency-domain, time-domain, and variable physical heating size measurements would be helpful in investigating<sup>13,24,29,75</sup>

## VII. SUMMARY

Table ??.

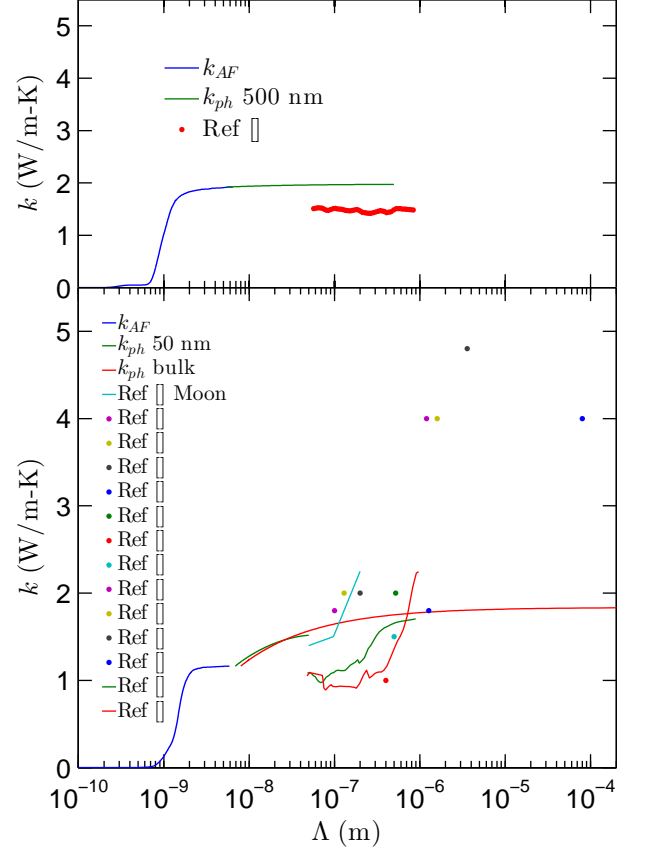


FIG. 7: film thickness dependant thermal conductivity of a-Si from experiment.

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