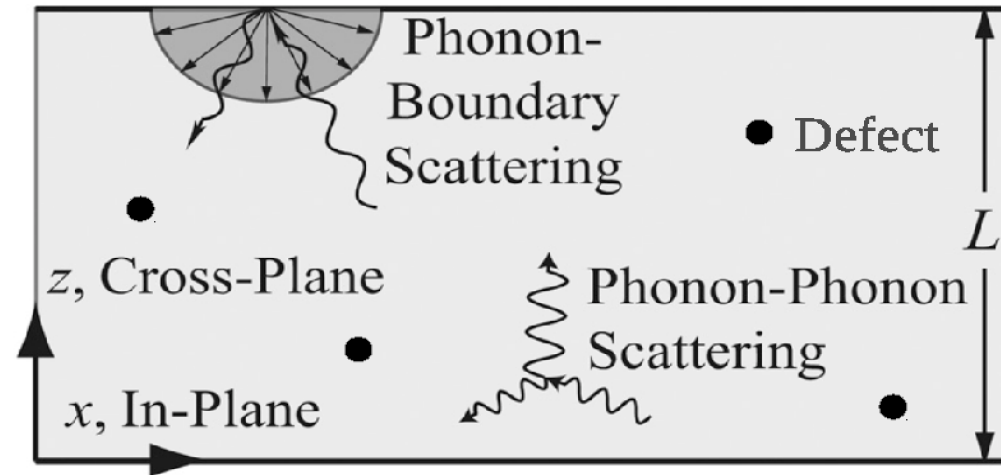


# phonon scattering mechanisms

## • Matthiessen rule:

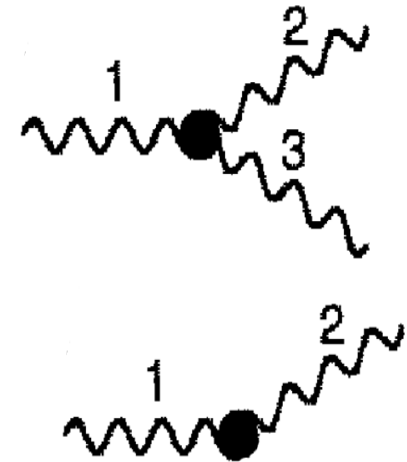
$$\frac{1}{\tau} = \frac{1}{\tau_{p-p}} + \frac{1}{\tau_b} + \frac{1}{\tau_d}$$



## ALD + Debye->

$$\tau_{p-p} = \frac{(6\pi^2)^{1/3} \bar{m} v_g v_p^2}{2V^{1/3} \omega^2 \gamma^2 T}$$

$$\frac{1}{\tau_d} = \frac{V \omega^4}{4\pi v_p^2 v_g} \sum_i c_i (1 - m_i / \bar{m})^2$$



- [1] P. G. Klemens, ed. R. P. Tye, 1969, Vol. 1, Academic Press, London.  
 [2] Alan J. H. McGaughey and Ankit Jain, Applied Physics Letters, 100(6):061911, 2012.  
 [3] P. G. Klemens, Proc. Phys. Soc., London, Sect. A, 1955, 68, 1113.  
 [4] David G. Cahill, Fumiya Watanabe, Angus Rockett, and Cronin B. Vining, Phys. Rev. B, 71:235202, Jun 2005.

# normal mode decomposition (nmd)

10

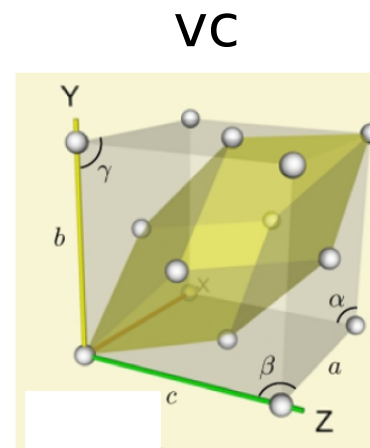
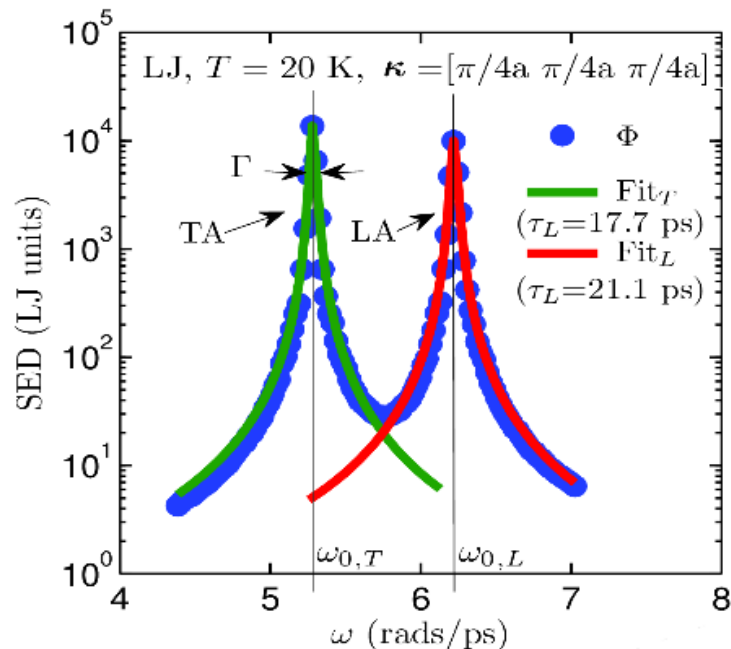
- Phonon Normal Mode Coordinate:

$$\dot{q}(\kappa_{\nu}; t) = \sum_{\alpha, b, l}^{3, n, N} \sqrt{\frac{m_b}{N}} \dot{u}_{\alpha}(l_b; t) e^{*}(\kappa_{\nu} \frac{b}{\alpha}) \exp[i\kappa \cdot \mathbf{r}_0(l_b)]$$

**MD** (anharmonic)

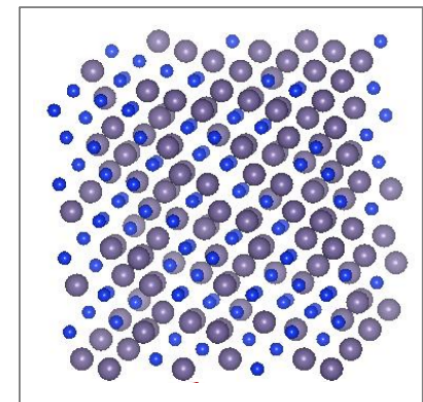
**Lattice Dynamics**  
(harmonic)

$$\Phi(\kappa, \omega) = \sum_{\nu}^{3n} C_0(\kappa_{\nu}) \frac{\Gamma(\kappa_{\nu}) / \pi}{[\omega_0(\kappa_{\nu}) - \omega]^2 + \Gamma^2(\kappa_{\nu})} \quad \tau(\kappa_{\nu}) = \frac{1}{2\Gamma(\kappa_{\nu})}$$



vs

gamma



Unit cell?



# normal mode decomposition (NMD)

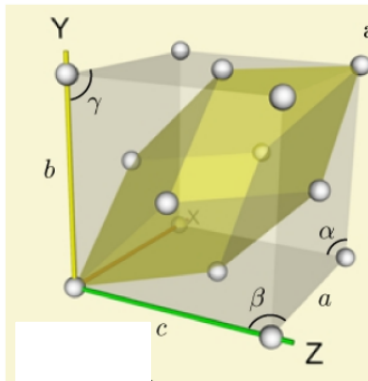
8

$$\Phi(\boldsymbol{\kappa}; \omega) = \lim_{\tau_0 \rightarrow \infty} \frac{1}{2\tau_0} \left| \frac{1}{\sqrt{2\pi}} \int_0^{\tau_0} \dot{q}(\boldsymbol{\kappa}; t) \exp(-i\omega t) dt \right|^2$$

- Phonon Normal Mode Coordinate:

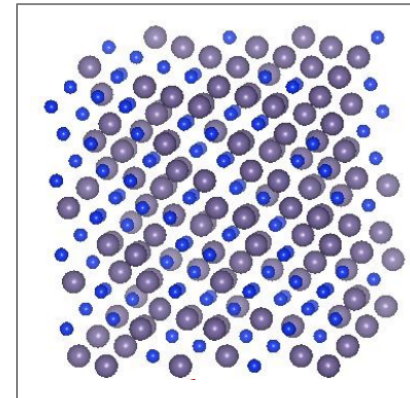
$$\dot{q}(\boldsymbol{\kappa}; t) = \sum_{\alpha, b, l}^{3, n, N} \sqrt{\frac{m_b}{N}} \dot{u}_{\alpha}(l_b; t) e^*(\boldsymbol{\kappa} \begin{smallmatrix} b \\ \alpha \end{smallmatrix}) \exp[i\boldsymbol{\kappa} \cdot \mathbf{r}_0(l_b)]$$

VC



VS

Gamma



$$\mathcal{T}(\boldsymbol{\kappa})$$

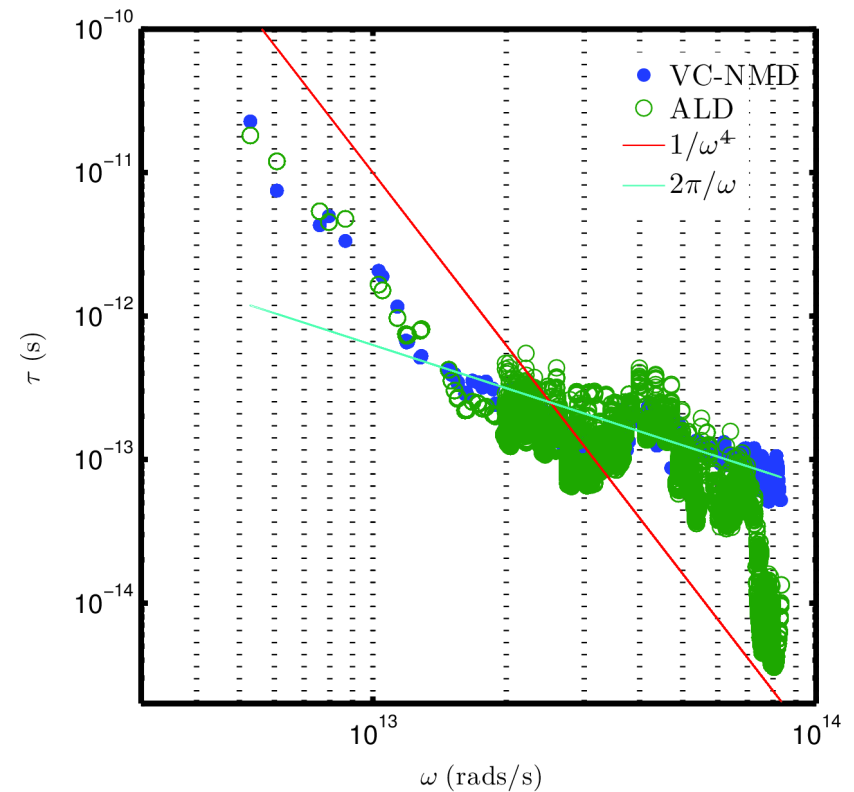
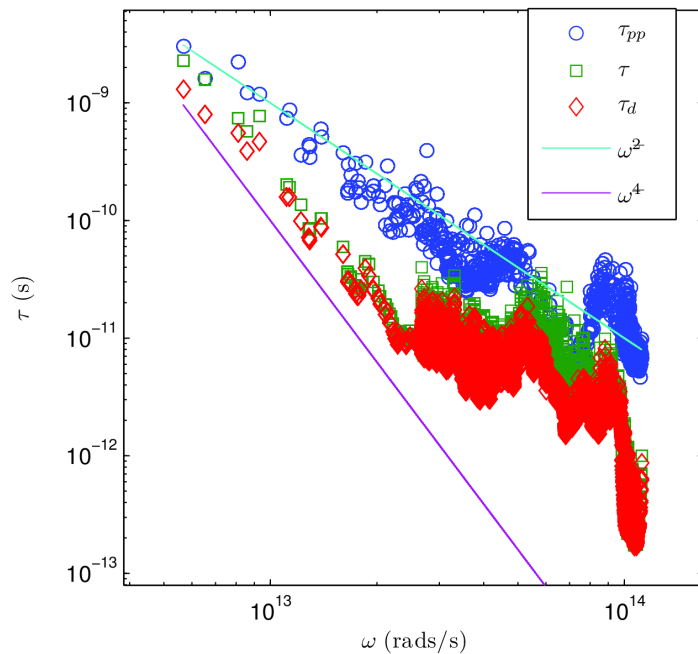
$$\mathcal{T}(\boldsymbol{\kappa} = 0)$$



# nmd vc vs ald lifetimes

SW:

- ALD+taud agrees with VC-NMD.



# phonon scattering mechanisms

- Matthiessen rule:

$$\frac{1}{\tau} = \frac{1}{\tau_{p-p}} + \frac{1}{\tau_b} + \frac{1}{\tau_d}$$

- phonon-phonon scattering [1] (ald):

$$\begin{aligned} 1/\tau_{p-p}(\kappa) = & \frac{\pi \hbar}{16N} \sum_{\kappa', \nu'}^{N, 3n} \sum_{\kappa'', \nu''}^{N, 3n} \left| \Phi \begin{pmatrix} \kappa & \kappa' & \kappa'' \\ \nu & \nu' & \nu'' \end{pmatrix} \right|^2 \left\{ \left[ f(\kappa') + f(\kappa'') + 1 \right] \left[ \delta \left( \omega(\kappa) - \omega(\kappa') - \omega(\kappa'') \right) \right] + \left[ f(\kappa') - f(\kappa'') \right] \right. \\ & \times \left. \left[ \delta \left( \omega(\kappa) + \omega(\kappa') - \omega(\kappa'') \right) - \delta \left( \omega(\kappa) - \omega(\kappa') + \omega(\kappa'') \right) \right] \right\}. \end{aligned} \quad (16)$$

- f(freq\_hld, eigvec\_hld, fc\_3)  
freq\_hld, eigvec\_hld = easy  
fc\_3 = hard

$$\text{Debye} \rightarrow \tau_{p-p} = \frac{(6\pi^2)^{1/3} \bar{m} v_g v_p^2}{2V^{1/3} \omega^2 \gamma^2 T}$$



# phonon scattering mechanisms

- Defect scattering [3]:

$$\frac{1}{\tau_d(\mathbf{\kappa})} = \frac{\pi}{2N} \omega_{\mathbf{q}s}^2 \sum_{\mathbf{q}'s'} \delta(\omega_{\mathbf{q}s} - \omega_{\mathbf{q}'s'}) \sum_b g(b) |e_{\mathbf{q}'s'}^*(b) \cdot e_{\mathbf{q}s}(b)|^2$$

$$g(b) = \sum_i c_i(b) (1 - m_i(b)/\bar{m}(b))^2$$

- f(freq\_hld, eigvec\_hld)  
freq\_hld, eigvec\_hld = easy

Debye- $\rightarrow$   $\frac{1}{\tau_d} = \frac{V \omega^4}{4\pi v_p^2 v_g} \sum_i c_i (1 - m_i/\bar{m})^2$



# Diffuson Theory

- Allen Feldman theory of diffusons [1]:

$$k_{AF} = \sum_i C(\omega_i) D_{AF}(\omega_i)$$

$$D_{AF}(\omega_i) = \frac{\pi V^2}{3\hbar^2 \omega_i^2} \sum_{j \neq i} |S_{ij}|^2 \delta(\omega_i - \omega_j)$$

- Conservation of energy:

$$\delta(\omega_i - \omega_j)$$

- Heat current operator:

$$|S_{ij}|^2$$

- Ingredients: **harmonic** Lattice Dynamics

[1] Philip B. Allen and Joseph L. Feldman. Thermal conductivity of disordered harmonic solids. Physical Review B, 48(17):12581–12588, Nov 1993.

char

14

