## Group A

Course: Laboratory Practice III

# **Assignment No: 4**

**Title of the Assignment:** Write a program to solve a 0-1 Knapsack problem using dynamic programming or branch and bound strategy.

**Objective of the Assignment:** Students should be able to understand and solve 0-1 Knapsack problem using dynamic programming

## **Prerequisite:**

- 1. Basic of Python or Java Programming
- 2. Concept of Dynamic Programming
- 3. 0/1 Knapsack problem

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## **Contents for Theory:**

- 1. Greedy Method
- 2. 0/1 Knapsack problem
- 3. Example solved using 0/1 Knapsack problem

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#### What is Dynamic Programming?

• Dynamic Programming is also used in optimization problems. Like divide-and-conquer method, Dynamic Programming solves problems by combining the solutions of subproblems.

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- Dynamic Programming algorithm solves each sub-problem just once and then saves its answer in a table, thereby avoiding the work of re-computing the answer every time.
- Two main properties of a problem suggest that the given problem can be solved using Dynamic Programming. These properties are **overlapping sub-problems and optimal substructure**.
- Dynamic Programming also combines solutions to sub-problems. It is mainly used where the solution of one sub-problem is needed repeatedly. The computed solutions are stored in a table, so that these don't have to be re-computed. Hence, this technique is needed where overlapping sub- problem exists.
- For example, Binary Search does not have overlapping sub-problem. Whereas recursive program of Fibonacci numbers have many overlapping sub-problems.

## **Steps of Dynamic Programming Approach**

Dynamic Programming algorithm is designed using the following four steps –

- Characterize the structure of an optimal solution.
- Recursively define the value of an optimal solution.
- Compute the value of an optimal solution, typically in a bottom-up fashion.
- Construct an optimal solution from the computed information.

#### **Applications of Dynamic Programming Approach**

- Matrix Chain Multiplication
- Longest Common Subsequence
- Travelling Salesman Problem

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## **Knapsack Problem**

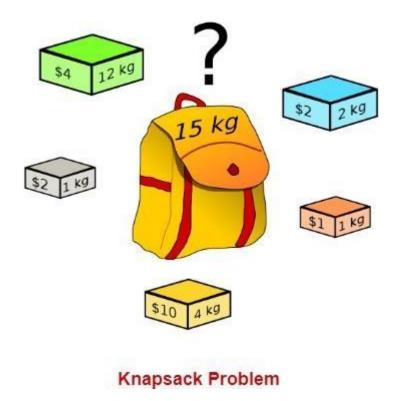
You are given the following-

- A knapsack (kind of shoulder bag) with limited weight capacity.
- Few items each having some weight and value.

The problem states-

Which items should be placed into the knapsack such that-

- The value or profit obtained by putting the items into the knapsack is maximum.
- And the weight limit of the knapsack does not exceed.



# **Knapsack Problem Variants**

Knapsack problem has the following two variants-

- 1. Fractional Knapsack Problem
- 2. 0/1 Knapsack Problem

# 0/1 Knapsack Problem-

In 0/1 Knapsack Problem,

- As the name suggests, items are indivisible here.
- We can not take a fraction of any item.
- We have to either take an item completely or leave it completely.
- It is solved using a dynamic programming approach.

# 0/1 Knapsack Problem Using Greedy Method-

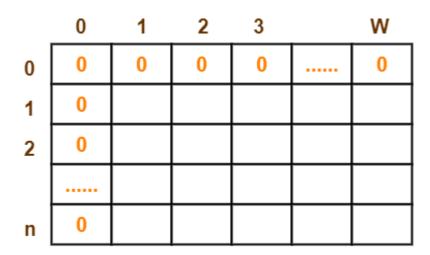
## Consider-

- Knapsack weight capacity = w
- Number of items each having some weight and value = n

0/1 knapsack problem is solved using dynamic programming in the following steps-

## **Step-01:**

- Draw a table say 'T' with (n+1) number of rows and (w+1) number of columns.
- Fill all the boxes of 0<sup>th</sup> row and 0<sup>th</sup> column with zeroes as shown-



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T-Table

## **Step-02:**

Start filling the table row wise top to bottom from left to right.

Use the following formula-

$$T(i,j) = max \{ T(i-1,j), value_i + T(i-1,j-weight_i) \}$$

Here, T(i, j) = maximum value of the selected items if we can take items 1 to i and have weight restrictions of j.

- This step leads to completely filling the table.
- Then, value of the last box represents the maximum possible value that can be put into the knapsack.

#### **Step-03:**

- To identify the items that must be put into the knapsack to obtain that maximum profit,
- Consider the last column of the table.
- Start scanning the entries from bottom to top.
- On encountering an entry whose value is not same as the value stored in the entry immediately above it, mark the row label of that entry.
- After all the entries are scanned, the marked labels represent the items that must be put into the knapsack

Problem-.

For the given set of items and knapsack capacity = 5 kg, find the optimal solution for the 0/1 knapsack problem making use of a dynamic programming approach.

| Item | Weight | Value |  |  |
|------|--------|-------|--|--|
| 1    | 2      | 3     |  |  |
| 2    | 3      | 4     |  |  |
| 3    | 4      | 5     |  |  |
| 4    | 5      | 6     |  |  |

$$n = 4$$
 $w = 5 \text{ kg}$ 
 $(w1, w2, w3, w4) = (2, 3, 4, 5)$ 
 $(b1, b2, b3, b4) = (3, 4, 5, 6)$ 

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## **Solution-**

## <u>Given</u>

- Knapsack capacity (w) = 5 kg
- Number of items (n) = 4

## **Step-01:**

- Draw a table say 'T' with (n+1) = 4 + 1 = 5 number of rows and (w+1) = 5 + 1 = 6 number of columns.
- Fill all the boxes of 0<sup>th</sup> row and 0<sup>th</sup> column with 0.

|   | 0 | 1 | 2 | 3 | 4 | 5 |
|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 |   |   |   |   |   |
| 2 | 0 |   |   |   |   |   |
| 3 | 0 |   |   |   |   |   |
| 4 | 0 |   |   |   |   | _ |

T-Table

## **Step-02:**

Start filling the table row wise top to bottom from left to right using the formula-

$$T(i,j) = max \{ T(i-1,j), value_i + T(i-1,j-weight_i) \}$$

#### Finding T(1,1)-

We have,

- $\bullet$  i=1
- $\bullet$  j = 1
- $(value)_1 = (value)_1 = 3$
- $(weight)_{i} = (weight)_{1} = 2$

Substituting the values, we get-

$$T(1,1) = \max \{ T(1-1, 1), 3 + T(1-1, 1-2) \}$$

$$T(1,1) = \max \{ T(0,1), 3 + T(0,-1) \}$$

$$T(1,1) = T(0,1) \{ Ignore T(0,-1) \}$$

$$T(1,1) = 0$$

## **Finding T(1,2)-**

We have,

- $\bullet$  i=1
- j=2
- $(value)_1 = (value)_1 = 3$
- $(weight)_{i} = (weight)_{1} = 2$

Substituting the values, we get-

$$T(1,2) = \max \{ T(1-1, 2), 3 + T(1-1, 2-2) \}$$

$$T(1,2) = \max \{ T(0,2), 3 + T(0,0) \}$$

$$T(1,2) = max \{0, 3+0\}$$

$$T(1,2) = 3$$

## **Finding T(1,3)-**

We have,

- $\bullet$  i=1
- j = 3
- $(value)_i = (value)_1 = 3$
- $(weight)_i = (weight)_1 = 2$

Substituting the values, we get-

$$T(1,3) = \max \{ T(1-1,3), 3 + T(1-1,3-2) \}$$

$$T(1,3) = \max \{ T(0,3), 3 + T(0,1) \}$$

$$T(1,3) = \max \{0, 3+0\}$$

$$T(1,3) = 3$$

## Finding T(1,4)-

We have,

- $\bullet$  i=1
- j = 4
- $(value)_{i} = (value)_{1} = 3$
- $(weight)_i = (weight)_1 = 2$

Substituting the values, we get-

$$T(1,4) = \max \{ T(1-1,4), 3 + T(1-1,4-2) \}$$

$$T(1,4) = max \{ T(0,4), 3 + T(0,2) \}$$

$$T(1,4) = \max \{0, 3+0\}$$

$$T(1,4) = 3$$

## Finding T(1,5)-

We have,

- $\bullet$  i=1
- $\bullet$  j = 5
- $(value)_i = (value)_1 = 3$
- $(weight)_{i} = (weight)_{1} = 2$

Substituting the values, we get-

$$T(1,5) = \max \{ T(1-1,5), 3 + T(1-1,5-2) \}$$

$$T(1,5) = \max \{ T(0,5), 3 + T(0,3) \}$$

$$T(1,5) = \max \{0, 3+0\}$$

$$T(1,5) = 3$$

## Finding T(2.1)-

We have,

- $\bullet$  i = 2
- j = 1
- $(value)_i = (value)_2 = 4$
- (weight)i = (weight)2 = 3

Substituting the values, we get-

$$T(2,1) = \max \{ T(2-1, 1), 4 + T(2-1, 1-3) \}$$

$$T(2,1) = \max \{ T(1,1), 4 + T(1,-2) \}$$

$$T(2,1) = T(1,1) \{ Ignore T(1,-2) \}$$

$$T(2,1) = 0$$

## Finding T(2,2)-

We have,

- i = 2
- j = 2
- (value)<sub>i</sub> = (value)<sub>2</sub> = 4
- (weight)<sub>i</sub> = (weight)<sub>2</sub> = 3

Substituting the values, we get-

$$T(2,2) = max \{ T(2-1, 2), 4 + T(2-1, 2-3) \}$$

$$T(2,2) = max \{ T(1,2), 4 + T(1,-1) \}$$

$$T(2,2) = T(1,2) \{ \text{ Ignore } T(1,-1) \}$$

T(2,2) = 3

## Finding T(2,3)-

We have,

- i = 2
- j = 3
- (value)<sub>i</sub> = (value)<sub>2</sub> = 4
- $(weight)_i = (weight)_2 = 3$

Substituting the values, we get-

$$T(2,3) = max \{ T(2-1,3), 4 + T(2-1,3-3) \}$$

$$T(2,3) = max \{ T(1,3), 4 + T(1,0) \}$$

$$T(2,3) = max \{ 3, 4+0 \}$$

T(2,3) = 4

Similarly, compute all the entries.

After all the entries are computed and filled in the table, we get the following table-

|            | 0 | 1 | 2 | 3 | 4 | 5 |
|------------|---|---|---|---|---|---|
| 0          | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>1</b>   | 0 | 0 | 3 | 3 | 3 | 3 |
| <b>/</b> 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| 3          | 0 | 0 | 3 | 4 | 5 | 7 |
| 4          | 0 | 0 | 3 | 4 | 5 | 7 |

## T-Table

- The last entry represents the maximum possible value that can be put into the knapsack.
- So, maximum possible value that can be put into the knapsack = 7.

## **Identifying Items To Be Put Into Knapsack**

Following Step-04,

- We mark the rows labelled "1" and "2".
- Thus, items that must be put into the knapsack to obtain the maximum value 7 are-

## Item-1 and Item-2

# **Time Complexity-**

- Each entry of the table requires constant time  $\theta(1)$  for its computation.
- It takes  $\theta(nw)$  time to fill (n+1)(w+1) table entries.
- It takes  $\theta(n)$  time for tracing the solution since tracing process traces the n rows.
- Thus, overall  $\theta(nw)$  time is taken to solve 0/1 knapsack problem using dynamic programming

```
Code :-
# code
# A Dynamic Programming based Python
# Program for 0-1 Knapsack problem
# Returns the maximum value that can
# be put in a knapsack of capacity W
def knapSack(W, wt, val, n):
    dp = [0 \text{ for i in range (W+1)}] # Making the dp array
    for i in range(1, n+1): # taking first i elements
         for w in range (W, 0, -1): # starting from back, so that we also
have data of
                                    # previous computation when taking i-1
items
             if wt[i-1] <= w:
                  # finding the maximum value
                  dp[w] = max(dp[w], dp[w-wt[i-1]]+val[i-1])
    \texttt{return} \; \texttt{dp[W]} \quad \# \; \texttt{returning} \; \; \texttt{the maximum value of knapsack}
# Driver code
val = [60, 100, 120]
wt = [10, 20, 30]
W = 50
n = len(val)
print(knapSack(W, wt, val, n))
```

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# Output 220

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Conclusion-In this way we have explored Concept of 0/1 Knapsack using Dynamic approch

## **Assignment Question**

- 1. What is Dynamic Approach?
- 2. Explain concept of 0/1 knapsack
- 3. Difference between Dynamic and Branch and Bound Approach. Which is best?
- 4. Solve one example based on 0/1 knapsack(Other than Manual)

#### Reference link

- <a href="https://www.gatevidyalay.com/o-1-knapsack-problem-using-dynamic-programming-approach/">https://www.gatevidyalay.com/o-1-knapsack-problem-using-dynamic-programming-approach/</a>
- <a href="https://www.youtube.com/watch?v=mMhC9vuA-70">https://www.youtube.com/watch?v=mMhC9vuA-70</a>
- <a href="https://www.tutorialspoint.com/design">https://www.tutorialspoint.com/design</a> and analysis of algorithms/design and analysis of algorithms fractional knapsack.htm