

Developing AntBot:  
A navigational system inspired by  
the insect brain

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# Acknowledgements

*Pending . . .*



# Declaration

I declare that this dissertation was composed by myself, the work contained herein is my own except where explicitly stated otherwise in the text, and that this work has not been submitted for any other degree or professional qualification except as specified.

*Robert Mitchell*



# Abstract

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# 1 Introduction

Navigation is a complex task. Determining a sequence of actions to reach a known location, based on a combination of sensory inputs requires a lot of computational power. Desert ants, are capable of performing such a task over comparatively huge distances with limited, low resolution sensory information and remarkable efficiency. While the exact method by which the ants perform this task is still unknown, a reasonably complete navigational model can be constructed from existing physiologically plausible components, which may mimic the insect behaviour.

In this paper we introduce a combined model, the One Ring (OR)<sup>1</sup> model for insect navigation. To be clear, there is no (known) physiological basis for such a model; however, it is biologically plausible, and may provide insight into the operation of the real insect brain. The OR model combines the tasks of Visual Navigation, Path Integration, and Collision Avoidance; using, the Mushroom Body Circuit (MB)[1], the Central Complex model (CX)[10], and Optical Flow Collision Avoidance (OFCA)[6] for each task at a low level, then combining their outputs to get a form of higher visual processing (similar to the weighted “base model” described in [12]). The OR model is a modified Central Complex model, named simply to ensure distinction between the two models. The individual components are all biologically plausible and two of three are known to be physiologically plausible. [1, 10, 6].

This project primarily extends the work done in [6]. As such we continue using the AntBot platform; a robot constructed for the express purpose of experimenting with the algorithms in the *Ant Navigational Toolkit* [13].

## 1.1 Motivation

Currently, a full base model for insect navigation does not exist [12]. We here aim to take the abstraction presented by *Webb* and create a biologically plausible implementation using our three-system approach. Both the MB and CX models have been implemented and tested on AntBot previously [9, 6, 3, 14], and a model combining the two has also been attempted by *Zhang* in [14]. This is used as an inspiration and will be discussed further in Section 2.2.

The previous AntBot implementations have demonstrated good performance of the CX and MB models individually [9, 6]. Performance of a combined system has also been shown to be reasonable, however, it is less consistent than we would desire[14]. In the combined model from [14] we note two key problems: A fixed outbound route, and fixed component weightings. We address the former by adding the OFCA component to our model; as in [6], the AntBot will follow a non-deterministic outbound route through a cluttered arena. The latter brings up the more complicated question of plausible synaptic plasticity which, while undoubtedly interesting, lies outside the scope of this project. It is worth noting that here may also have been unknown technical issues with the robot which affected results (see [6]).

While [6] provides a reasonable collision avoidance system based on optical flow, it does not fit so neatly into the OR model. We therefore aim to explore an alternative, yet still

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<sup>1</sup>[DRAFT] This is just a little fun, if it's too on-the-nose I'm happy to change it to Extended CX or something a little more serious.

biologically plausible collision avoidance system which will fit into the OR model.

Our ultimate hope, is to provide some insight into the precise biological systems in play during a point-to-point navigational task.

## 1.2 Practical Goals

We aim to build upon the experimental scenario from [6]. The robot will be tested by allowing it to navigate through a cluttered environment using a collision avoidance system. The navigational systems will then be tasked with bringing the robot home through the cluttered environment using a combination of visual information, a path integration vector, and collision avoidance.

In order to achieve this experimental goal, we break the project down into four components:

1. The first stage will involve solving some technical issues picked up by [6]; making any hardware/software adjustments required to provide a solid foundation on which to develop.
2. The second stage will involve investigating existing systems and establishing experimental metrics. This stage will involve research and review of new topics (the main one being the Central Complex model for Path Integration), and their implementations on the robot (if they exist). This stage will look to establish appropriate metrics by testing the existing CX model in a non-deterministic navigational task (see Section 4).
3. This stage will involve the setup and testing of the individual components of the OR model. Building the modified optical flow system, adapting the work from *Zhang* to combine the MB model with the CX, and finally, putting the three pieces together.
4. Finally, the collection and compilation of results from the combined system and the individual systems.

## 1.3 Results

This work is based on work done previously by Leonard Eberding, Luca Scimeca, Zhaoyu Zhang, and Robert Mitchell. [3, 9, 14, 6].

Significant contributions of this project:

1. Research and installation of a new compass sensor for the AntBot control systems.
2. A major code refactor has taken place to make AntBot more usable for this project, and make it more accessible for future students.
3. Addition of a calibration system to allow the user to auto-detect the position of the camera lens attachment (see Section 3).
4. Results gathered for the Central Complex model using a non-deterministic outbound route.



5. *[FUTURE]* Construction of a modified optical flow collision avoidance system, and a modified Mushroom Body model based on [6] and [14] respectively.
6. *[FUTURE]* Construction of a biologically plausible “base model” for insect navigation. The One Ring (OR) model.
7. *[FUTURE]* Results indicating the capability of the OR model.



## 2 Background

This project builds directly upon [6]. We first provide a review of the relevant background topics from that paper, before developing the relevant ideas further for this project. This will be a very brief summary of the work that took place and any relevant results or new perspectives. For more detail, please consult [6].

### 2.1 Optical Flow for Collision Avoidance

Optical flow is a large and diverse area of study. As such, we will not provide a complete background on the fundamental principles. Relevant terms will be explained, however, a succinct background of the concepts necessary for this paper can be found in [6]. A comprehensive introduction is given by *O'Donovan* in [7].

The main driving point in this paper is the integration of multiple navigational systems into a single model, namely, the Central Complex. Optical flow filtering worked well for a standalone collision avoidance system, however, it does not fit so neatly into the CX model. We therefore require a different approach. The relevant background revisits the concept of the *focus of expansion* (FOE) from [6, 7]. The FOE is the point from which all optical flow vectors originate. The location of the FOE can tell us things about the motion, and depth of the image.

In [6], the FOE was used explicitly to compute time-to-contact with an obstacle. In this work, we instead use it simply to determine the potential location of an obstacle. As stated in [2], computing the FOE is not trivial. Following [6] we will be using a dense optic flow field (tracking motion for every pixel in the image) as the computation of sparse fields was shown to be unreliable on the AntBot. This makes the problem more difficult; the basic from-flow method for computing the FOE given by [7] is computationally complex for a dense flow field [6]. The time taken to compute may become prohibitive. We will therefore look at a few different methods including that given by *O'Donovan*.

#### 2.1.1 The from-flow method

This is the method given by *O'Donovan* and discussed in [6]. We term it the *from-flow* method, as we must first compute an optic flow field, from which we compute the FOE. The FOE is computed simply as:

$$FOE = (A^T A)^{-1} A^T \mathbf{b} \tag{1}$$
$$A = \begin{bmatrix} a_{00} & a_{01} \\ \dots & \dots \\ a_{n0} & a_{n1} \end{bmatrix} \quad \mathbf{b} = \begin{bmatrix} b_0 \\ \dots \\ b_n \end{bmatrix}$$

Where, each pixel  $p_i = (x, y)$  has associated flow vector  $\mathbf{v} = (u, v)$ . Finally, set  $a_{i0} = u$ ,  $a_{i1} = v$  and  $b_i = xv - yu$ . Note that this computation and explanation have been more or less copied from [6] for the benefit of the reader. For more details, the reader should consult [7].

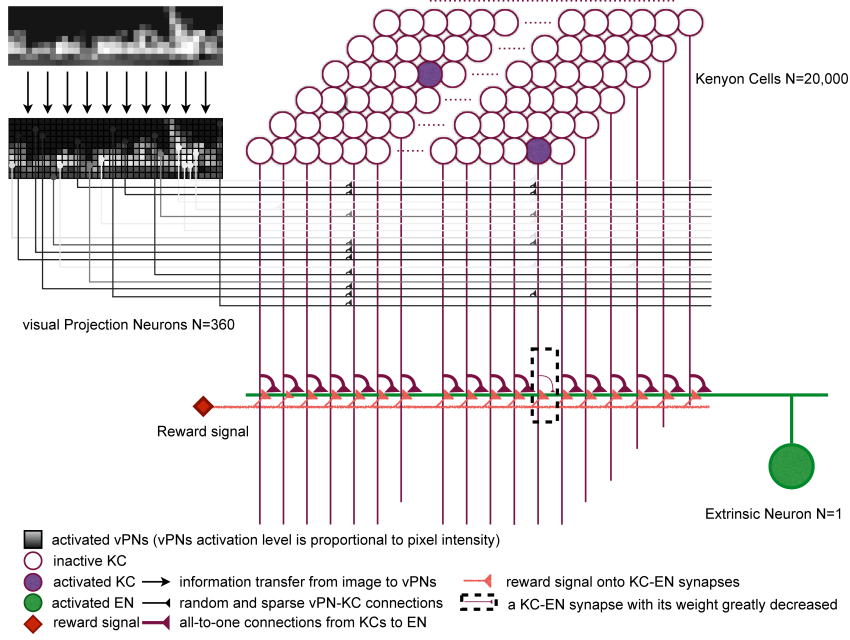


Figure 1: The Mushroom Body circuit: (Caption from *Ardin et al.*, Figure 2; note, their description and figure uses “EN” instead of “MBON”): Images (see Fig 1) activate the visual projection neurons (vPNs). Each Kenyon cell (KC) receives input from 10 (random) vPNs and exceeds firing threshold only for coincident activation from several vPNs, thus images are encoded as a sparse pattern of KC activation. All KCs converge on a single extrinsic neuron (EN) and if activation coincides with a reward signal, the connection strength is decreased. After training the EN output to previously rewarded (familiar) images is few or no spikes.

This method for estimating the focus of expansion was originally given by *Tistarelli et al.* in their paper *Dynamic Stereo in Visual Navigation*[11, 7], and it serves as an excellent example for the reason the FOE is so difficult to compute. In theory, we should be able to take any two vectors  $\mathbf{u}$ ,  $\mathbf{v}$  from the flow field, and compute the point at which lines running along them intersect. This point of intersection would give us the FOE[7]. While wonderfully simple, this method only works for a perfect flow field. In reality, flow fields are imperfect; for example, visual noise can cause disruptions to areas of the field. Therefore picking two arbitrary vectors could lead to an erroneous FOE. Unravelling the matrix notation of Equation 1, shows this to be a least squares technique; essentially, we try to fit the best FOE to the flow field given.

## 2.2 The Mushroom Body for Visual Navigation

The Mushroom Body model is an artificial neural network which models the mushroom body structures present in the insect brain[1]. It consists of three layers: Projection Neurons (PNs), Kenyon Cells (KCs) and Mushroom Body Output Neurons (MBONs). These MBONs are also referred to as Extrinsic Neurons (ENs) by older works, we use MBON herein. The original MB model proposed by *Ardin et al.* for navigation in [1] contained 360 visual PNs (vPNs), 20,000 KCs, and a single MBON. Every KC connects to the MBON, and each KC also connects to 10 vPNs chosen uniform randomly. The KC-MBON connections all start with weight  $w = 1$ . The figure and caption from *Ardin et al.* is given here in Figure 1.

In the implementation by *Ardin et al.* and previous AntBot implementations, captured images were converted to greyscale and downsampled[1, 3, 14, 6]. As such, each pixel

can be described by a brightness (greyscale value). Each KC has a brightness threshold. Learning occurs by showing patterns (images) to the vPNs. KCs each have a brightness activation threshold; each KC sums the brightness of all connected vPNs and compares that sum to the threshold. If the total brightness is greater than the threshold then the KC is *activated* and the weight of the KC-MBON connection is lowered from 1 to 0. Thus, each image shown to the vPNs should induce a sparse activation pattern in the KC layer.

To determine image familiarity during the recapitulation process, a pattern is projected onto the vPNs (again giving a sparse pattern of KC activation). The MBON then sums the weights of all active KCs to obtain a familiarity measure; the lower the MBON output, the more familiar the image. Different route following strategies have been implemented (scanning[1, 3, 14], Klinokinesis[14], combination with the CX model[14](see below), and visual scanning[6]); but, most commonly, some form of scanning is used whereby the agent scans an arc for the most familiar direction.

This has been a brief explanation for context. Part 1 gives slightly deeper insight[6] (particularly in relation to AntBot projects), and of course the work *Ardin et al.* can give the full details[1]

The MB model described above has been tested on the AntBot in three different works (albeit using different methods and metrics); the network as presented in [3, 14, 6] differs only in the number of PNs present (900 vPNs are used in all three works). More relevant to this work, is the proposed modification given by *Zhang*, whereby, 8 MBONs are present as opposed to 1 (see Section 2.4).

## 2.3 The Central Complex for Path Integration

The Central Complex (CX) is a highly conserved structure present in the insect brain[8, 10]. Though the finer structural details and component position may vary, the basic composition is more or less the same across species [8]. Organization and function of the various parts of the CX are given by *Pfeiffer and Homberg* in [8]<sup>2</sup>

We instead direct our attention to the CX model presented by *Stone et al.* which is the first neural model for path integration in the insect brain, with structure drawn purely from physiology. Interestingly, this model is actually an advancement of an earlier model presented by *Haferlach et al.*, which was *evolved* using a Genetic Algorithm (GA). We present this also, purely for the sake of interest.

### 2.3.1 The Central Complex Model

The Central Complex model is a six layer artificial neural network presented by *Stone et al.* which has been shown to provide a plausible neural substrate for Path Integration (PI) both in simulation and on the AntBot platform [9, 10]. The model presented is shown in Figure 2. Splitting the model into its six layers, we get a breakdown of

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<sup>2</sup>[DRAFT] I would like to include a short overview of the neurobiology but have left it until I can properly read through [8]. I think I will need to leave this out, however, as I'm not sure where to stop in terms of detail given by *Pfeiffer and Homberg*; also I may run out of time!

functionality:

- Layer 1: Heading preprocessing (TL), Speed (TN)
- Layer 2: Heading preprocessing (CL1)
- Layer 3: Heading (TB1)
- Layer 4: Memory (CPU4)
- Layer 5: Normalisation and Inhibition (Pontine Neurons)
- Layer 6: Steering/output (CPU1)

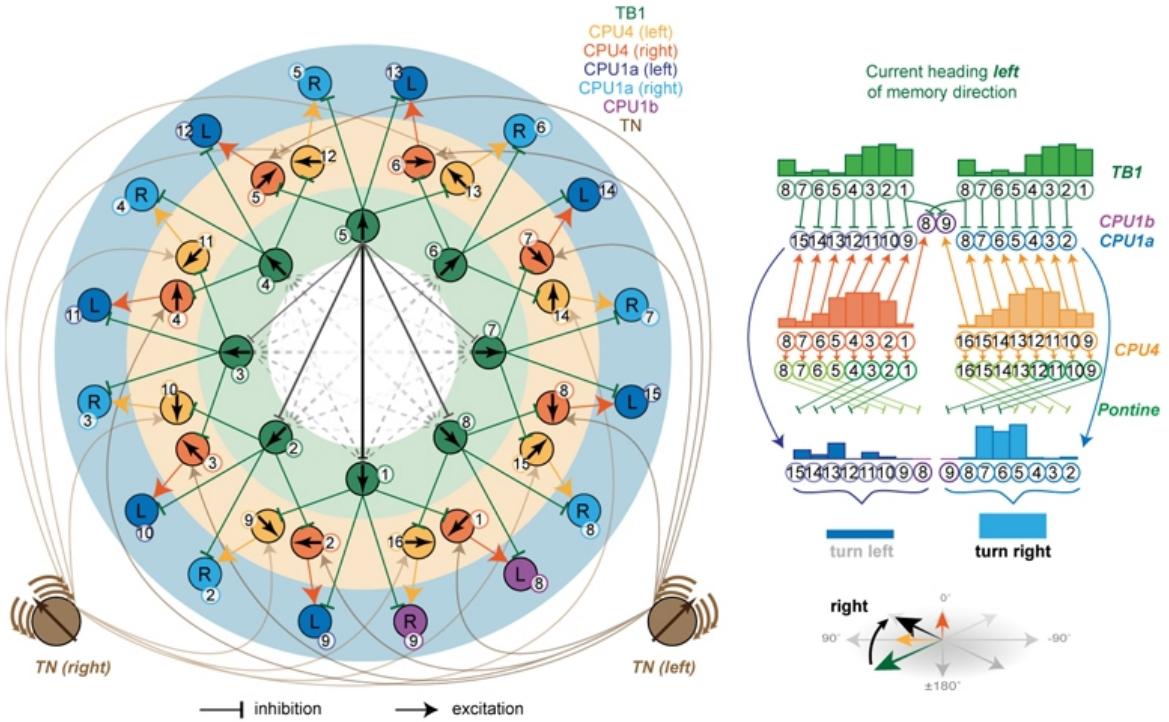


Figure 2: The Central Complex model presented by *Stone et al.*. (Left) This graph demonstrates the basic structure of the CX model (Figure 5G from [10]). Pontine neurons have been excluded for clarity. (Right) This graph shows how signals propagate through the network where the current heading lies to the left of the desired heading, i.e. a right turn should be generated (Figure 5I from [10]). The numbers given at each layer on the right correspond to the numbers given for each neuron in the graph on the left.

Figure 2 shows four types of neuron: TN (Tangential Neuron), TB1 (green), CPU4 (yellow and orange), and CPU1 (dark blue, light blue, and purple).

While Figure 2 (Left) shows a distinction between CPU1a (blue) and CPU1b (purple) neurons, we will ignore this distinction; for clarity, the physiological mapping between these CPU1 subtypes in the Upper Central Body (CBU) and the Protocerebral Bridge (PB) is different, but the function they serve in the Central Complex is the same [10]; thus, the distinction makes no difference in the model. Similarly the normalisation and

inhibition function of the Pontine Neurons only has an effect when the agent experiences holonomic motion (motion where the view direction does not match the direction of travel); as AntBot is incapable of such motion, we can safely ignore the function of the Pontine Neurons also; in our case, the Pontine Neurons would have the same activity patterns as the CPU4 neurons [10]. Figure 2 (Right) shows how the Pontine inhibition is structured.

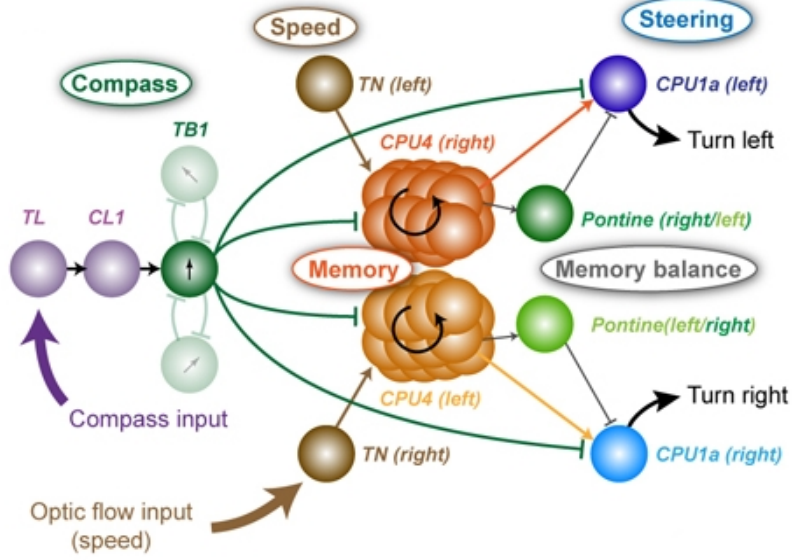


Figure 3: Here we can see the layers of the CX model and how they fit together. A heading signal is input to the TL neurons, propagating through the CL layer to TB1 (heading ring-attractor) and CPU4 (memory). TN neurons (speed sensitivity) input directly to CPU4. So, the combination of heading and speed inputs to CPU4 gives a measure of distance travelled in a particular direction; this facilitates generation of a steering command in CPU1 providing a mechanism for Path Integration.

We now break down the different neuronal types and their proposed functions. Citation is given, but for the avoidance of any doubt, the following descriptions are adapted from *Stone et al.* (see STAR Methods) [10].

**TL & CL1:** The TL neurons are the input point for heading information. In the ant brain this heading information comes from a *sky-compass*; the ant eye contains cells sensitive to polarized light which allows the ant to infer an accurate, allothetic direction from vision. Interestingly, there is also evidence that ants have the capability to infer a direction without a view of celestial cues, suggesting they may have access to some other signal, the candidate signal being the geomagnetic field [4, 5]. The TL neurons have been shown to be polarisation sensitive across multiple insect species [10] (see STAR Methods). Each TL neuron has a preferred direction (i.e. a specific direction of polarisation sensitivity)  $\theta_{TL}$ , and there are 16 such neurons representing the 8 directions around the agent (i.e.  $\theta_{TL} \in \{0^\circ, 45^\circ, 90^\circ, 135^{circ}, 180^\circ, 225^\circ, 270^\circ, 315^\circ\}$ ) [10]. Together, the 16 TL neurons encode the heading of the agent in a single timestep; each neuron receives input activation as:

$$I_{TL} = \cos(\theta_{TL} - \theta_h) \quad (2)$$

where  $\theta_{TL}$  is the preferred heading of the neuron as above, and  $\theta_h$  is the current heading

of the agent. In the next heading layer, there are 16 CL1 neurons which use inhibition to invert the polarisation response [10]. *Stone et al.* comment that these neurons effectively make no difference to the model and are included for completeness. They are also included in previous AntBot projects which make use of the CX model [14, 9]. On AntBot, the heading is derived from the onboard compass on the mobile phone (see Section 3).

**TN:** There are 4 TN neurons which act as an input for speed information. The TN neurons are sensitive to optical flow, and can be split into two subtypes: TN1, and TN2. *Stone et al.* showed that TN1 neurons are inhibited by simulated forward flight and excited by simulated backward flight, while TN2 neurons are inhibited by simulated backward flight and excited by simulated forward flight [10]. Each of the four TN neurons has a tuning preference; these tuning preferences were measured in bees as approximately  $+45^\circ/-45^\circ$  for TN2, and  $+135^\circ/-135^\circ$  for TN1 (where  $0^\circ$  is straight ahead). In short, we have  $TN1_{left}$ ,  $TN1_{right}$ ,  $TN2_{left}$ , and  $TN2_{right}$ . It is thought that these neurons provide a (or part of a) mechanism for odometry by allowing the model to integrate speed with respect to time giving a distance measure [10]. *Stone et al.* give the speed calculation from the TN neurons as:

$$I_{TN_L} = [\sin(\theta_h + \phi_{TN}) \quad \cos(\theta_h + \phi_{TN})] \mathbf{v} \quad (3)$$

$$I_{TN_R} = [\sin(\theta_h - \phi_{TN}) \quad \cos(\theta_h - \phi_{TN})] \mathbf{v} \quad (4)$$

where  $\mathbf{v}$  is the velocity of the agent in Cartesian coordinates,  $\theta_h \in [0^\circ, 360^\circ)$  is the current heading of the agent, and  $\phi_{TN}$  is the preferred angle of that TN neuron [10].

**TB1:** There are eight TB1 neurons, each with a directional preference  $\theta_{TB1}$ , which correspond to the eight cardinal directions in the model. Each TB1 neuron receives excitatory input from the pair of CL1 neurons that have the same directional preference. The TB1 layer contains inhibitory connections between peer neurons where each TB1 neuron strongly inhibits other TB1 neurons with opposite directional preferences (see Figure 2) forming a *ring attractor* [10]. The weighting for an arbitrary inhibitory connection from neuron  $i$  to neuron  $j$  is given by:

$$w_{ij} = \frac{\cos(\theta_{TB1,i} - \theta_{TB1,j}) - 1}{2} \quad (5)$$

where  $\theta_{TB1,i}$  is the direction preference of TB1 neuron  $i$  (similarly for  $\theta_{TB1,j}$ ). The total input for each TB1 neuron from the CL1 layer at timestep  $t$  is:

$$I_{TB1_j^{(t)}} = (1 - c) \cdot r_{CL1_j}^{(t)} + c \cdot \sum_{i=1}^8 w_{ij} \cdot r_{CL1_j}^{(t-1)} \quad (6)$$

where  $c = 0.33$ .

#### CPU4: CPU1:

### 2.4 The Eight MBON Model (CXMB)

In [14], the MB network is modified by adding 7 MBONs. Each MBON has its own KC-MBON connection array, each with their own unique weights. Each connection



array corresponds to one of the eight cardinal directions represented by the TB1 layer of the CX model (namely,  $0^\circ$ ,  $45^\circ$ ,  $90^\circ$ ,  $135^\circ$ ,  $180^\circ$ ,  $225^\circ$ ,  $270^\circ$ ,  $315^\circ$ ) (see Section 2.3).

Image memory now has an associated direction, so, training is performed with respect to orientation. For example, if the agent has a heading of  $45^\circ$  when a image is stored, then only the corresponding connection array has its weights updated during learning. Practically, this is done by querying the TB1 layer of the CX model to find the current direction according to the model (rather than directly querying the robot’s onboard compass). This process is visualised in Figure 4.

While, in theory, this eight MBON model could function independently, *Zhang* uses it to (rather neatly) augment the CX model; this allows navigation to be performed using a combination of visual memory and path integration information. The navigation process can be described by a sequence of equations. We modify the notation slightly for clarity, but the equations are the same as presented in [14].

The MB circuit is shown an image in the usual way; however, we now get eight responses, giving us a response distribution. This distribution can be interpreted as giving us the most likely direction of travel, when the image presented was first observed. This distribution requires some modification to integrate it into the CX response. Let  $M_i$  be the familiarity response of the  $i$ th MBON; the responses are normalised as:

$$\bar{M}_i = \frac{M_i}{\sum_{k=0}^8 M_k} \quad (7)$$

Which gives us the normalised response  $\bar{M}_i$  between 0 and 1.  $\bar{M}_i$  must be inverted so that the most likely direction has the greatest response (in the MB model, the most familiar direction would give the lowest response):

$$\bar{M}_i^{-1} = 1 - \bar{M}_i \quad (8)$$

This visual response is then combined with the memory response from the CPU4 layer of the CX model to give output at the CPU1 layer:

$$CPU1_{output} = k \cdot W_{CPU4} \cdot CPU4 + (1 - k) \cdot W_{MBON} \cdot \bar{M}^{-1} \quad (9)$$

where  $k$  is a weighting factor that determines the relative strengths of the CX response and the MB response in the output ( $k = 0.8$  in [14]),  $\bar{M}^{-1}$  is the collection of all inverse normalised MBON responses,  $W_{CPU4}$  is a custom matrix<sup>3</sup>,  $W_{MBON}$  is an identity matrix that will expand the MBON response array from 8x1 to 16x1 [14].

In order to test the CXMB model, *Zhang* first demonstrated the functionality of a *copied memory model*. The test of the copied memory model aimed to prove that the CPU4 state of the agent could be copied and stored, the CPU4 state modified, and then restored from the copy to allow the agent to navigate home. The copied memory model was tested by sending the agent on a pre-determined outbound route to a feeder (chosen at random, but consistent between trials), copying the CPU4 state, and allowing the robot to navigate home using the CX model; the CPU4 state will be modified by this

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<sup>3</sup>This is the term used by *Zhang* to describe the  $W_{CPU4}$  matrix. More specifically, this matrix describes the connections between the CPU4 and CPU1 layers of the CX model.

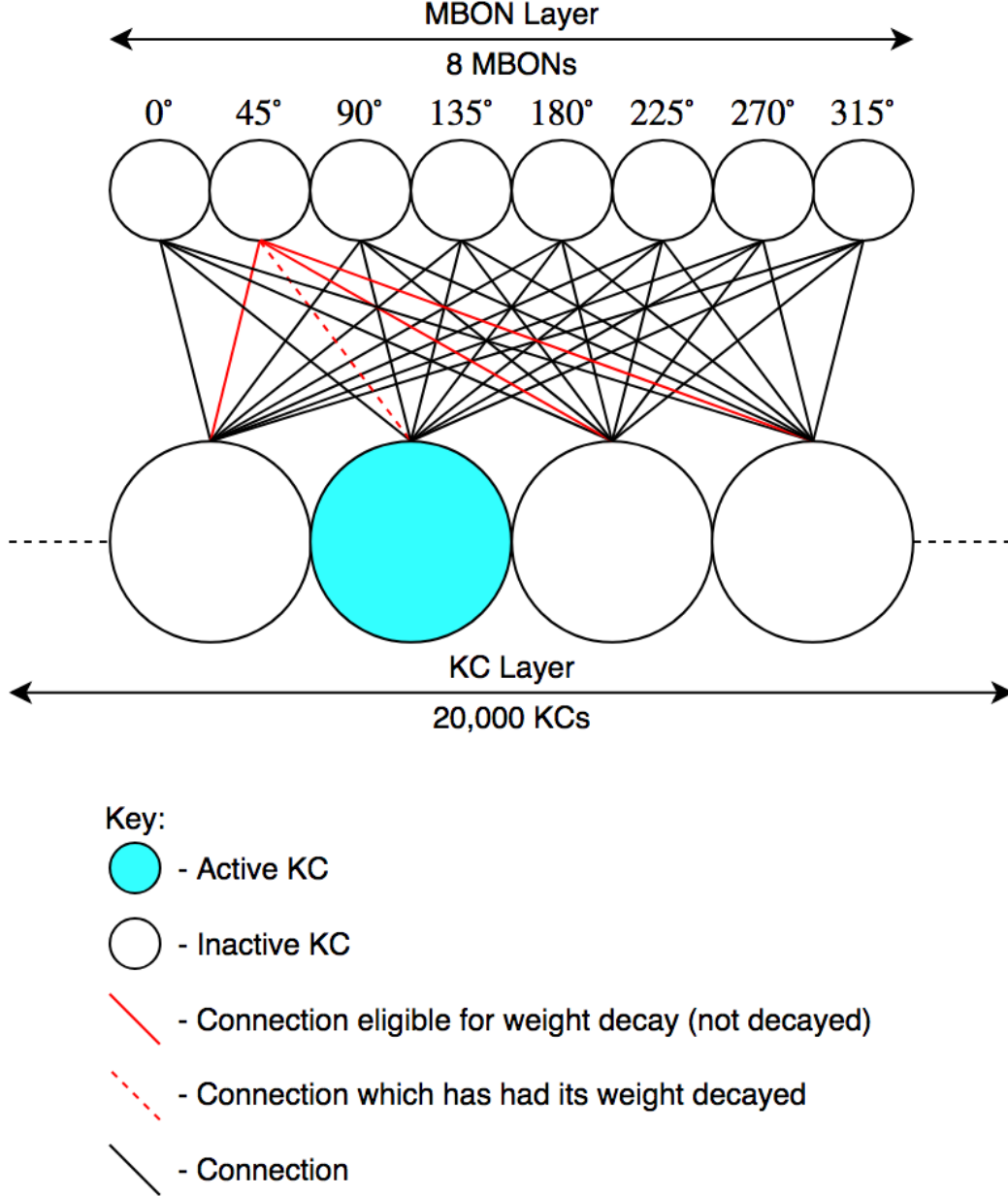


Figure 4: Our interpretation of the eight MBON model proposed by *Zhang*. Every KC connects to every MBON. All connection weights start out at  $w = 1$ . Following the example presented in the text, if an image being learned corresponds to facing a direction of 45°, then only the connections to that MBON (highlighted in red) are eligible to have their weights modified. Recall, however, that these weights will only be modified if the KC was activated (not shown in the figure).

homeward navigation. The agent is then replaced at the feeder, its CPU4 state restored from the copy, and tasked with navigating home a second time. The copied memory model was tested as a pre-requisite for testing the CXMB model, however, it demonstrates an important capability of the CX model; namely, the capability to directly load a state into its memory in order to navigate; a concept referred to as *vector memory* in [12]. Indeed, this concept of vector memory is shown to be quite a useful tool in insect navigation[12].

The CXMB model is then tested in the same way. The agent follows its outbound route to the feeder, navigates back once using the CX model (the MB model is trained on this first inbound trip), is replaced at the feeder, and finally, tasked with navigating back again, this time using the CXMB model. To be clear, the CPU4 state of the CX model is stored after the outbound route, and loaded back into the network before the second inbound trip. *Zhang* reports that the second inbound trip (using both CXMB) showed more heading adjustments during its traversal, and, on average, performed slightly better than a pure CX implementation[14]. It should also be noted that the average performance of both models in *Zhang's* work was good[14].

## 2.5 Review of Part 1

The work in [6] specifically covers the Mushroom Body and Optical Flow Collision Avoidance. The Mushroom Body model used in [6] is the same as that used by *Ardin et al.* in [1] though with 900 vPNs as opposed to 360. The model tested in [6] used a single MBON with a scan-based route following strategy. The scanning differed from previous works as it was implemented as an image manipulation algorithm (termed *visual scanning*) instead of a physical turn performed by the AntBot.

Multiple OFCA systems were tested, however, in final experiments an optical flow filtering system is used. In short, a pattern of expected motion is created, then the actual observed motion is projected on top of it. An absolute difference is computed between the two and this can tell us if part of the image is moving faster than we expect. This can be used to detect obstacles. This strategy proved simple, but effective. While we move away from it for the bulk of this project, it was used for initial tests of the CX model, as it provided a simple out-of-the-box method to generate a non-deterministic path for the model to integrate.

Both systems functioned well and provided a solid baseline from which we will work in this project. The MB model proved very capable at following routes learned in a non-deterministic fashion through a cluttered environment.



## **3 Platform**

### **3.1 Hardware**

### **3.2 Software**

#### **3.2.1 Android**

#### **3.2.2 Arduino**

### **3.3 Modifications**



## 4 Methods

*Methods not final, these are included as a rough plan/guide.*

### 4.1 Optical Flow

### 4.2 Visual Navigation

### 4.3 Path Integration

### 4.4 The Complete System





## 5 Experimentation

*Experimentation methods not final, anything in this section illustrates a rough plan only.*

### 5.1 General

### 5.2 Collision Avoidance

### 5.3 Visual Navigation

### 5.4 Path Integration



## 6 Results and Evaluation

*Included for skeleton purposes.*



## 7 Discussion

*Included for skeleton purposes.*



## 8 References

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