## Porting xv6 to Loongson 3A, a CPU in MIPS64 family

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## Chapter 1

## Operating system interfaces

The job of an operating system is to share a computer among multiple programs and to provide a more useful set of services than the hardware alone supports. The operating system manages and abstracts the low-level hardware, so that, for example, a word processor need not concern itself with which type of disk hardware is being used. It also multiplexes the hardware, allowing many programs to share the computer and run (or appear to run) at the same time. Finally, operating systems provide controlled ways for programs to interact, so that they can share data or work together.

An operating system provides services to user programs through an interface. Designing a good interface turns out to be difficult. On the one hand, we would like the interface to be simple and narrow because that makes it easier to get the implementation right. On the other hand, we may be tempted to offer many sophisticated features to applications. The trick in resolving this tension is to design interfaces that rely on a few mechanisms that can be combined to provide much generality.

This book uses a single operating system as a concrete example to illustrate operating system concepts. That operating system, xv6, provides the basic interfaces introduced by Ken Thompson and Dennis Ritchie's Unix operating system, as well as mimicking Unix's internal design. Unix provides a narrow interface whose mechanisms combine well, offering a surprising degree of generality. This interface has been so successful that modern operating systems—BSD, Linux, Mac OS X, Solaris, and even, to a lesser extent, Microsoft Windows—have Unix-like interfaces. Understanding xv6 is a good start toward understanding any of these systems and many others.

xv6 takes the traditional form of a *kernel*, a special program that provides services to running programs. Each running program, called a *process*, has memory containing instructions, data, and a stack. The instructions implement the program's computation. The data are the variables on which the computation acts. The stack organizes the program's procedure calls.

When a process needs to invoke a kernel service, it invokes a procedure call in the operating system interface. Such a procedure is called a *system call*. The

System call (with argument	s) Description
fork()	Create process
exit(code)	Terminate current process
waitpid(pid, stat, opts	) Wait for a child process to exit
kill(pid, sig)	Send signal to a process with given PID
<pre>getpid()</pre>	Get current process ID
sleep(n)	Sleep for n seconds
usleep(n)	Sleep for (about) n microseconds
execve(name, argv, envp	) Load and execute a file
sbrk(incr)	Grow or shrink process heap
open(name, flags)	Open a file or device in some mode
read(fd, buf, len)	Read from opened file or device
write(fd, buf, len)	Write to opened file or device
close(fd)	Close an opened file
<pre>dup(fd)</pre>	Duplicate a file descriptor
<pre>dup2(old, new)</pre>	Duplicate a file descriptor with preference
pipe(p)	Create a pipe
chdir(dir)	Change current directory
mkdir(dir)	Make directory
mknod(name, major, mino	r) Make a device file
<pre>fstat(fd, stat)</pre>	Fetch file info
link(old, new)	Create another name for given file
unlink(name)	Remove a name or a file

Figure 1.1: List of system calls supported by xv6', with difference from xv6 colored red

system call enters the kernel; the kernel performs the service and returns. Thus a process alternates between executing in *user space* and *kernel space*.

The kernel uses the CPU's hardware protection mechanisms to ensure that each process executing in user space can access only its own memory. The kernel executes with the hardware privileges required to implement these protections; user programs execute without those privileges. When a user program invokes a system call, the hardware raises the privilege level and starts executing a pre-arranged function in the kernel.

The collection of system calls that a kernel provides is the interface that user programs see. The xv6 kernel provides a subset of the services and system calls that Unix kernels traditionally offer.

The current implementation, dubbed xv6', (is planned to) add some more features and functions provided my more modern operating systems. The system calls supported by xv6' is listed in Figure1.1. Currently, only write() is implemented, and the write() call can only print contents to a serial.

The rest of this chapter outlines xv6's services—processes, memory, file descriptors, pipes, and file system—and illustrates them with code snippets and discussions of how the shell uses them. The shell's use of system calls illustrates

how carefully they have been designed.

The shell is an ordinary program that reads commands from the user and executes them, and is the primary user interface to traditional Unix-like systems. The fact that the shell is a user program, not part of the kernel, illustrates the power of the system call interface: there is nothing special about the shell. It also means that the shell is easy to replace; as a result, modern Unix systems have a variety of shells to choose from, each with its own user interface and scripting features. The xv6 shell is a simple implementation of the essence of the Unix Bourne shell. The shell is not implemented yet.

#### 1.1 Processes and memory

An xv6 process consists of user-space memory (instructions, data, and stack) and per-process state private to the kernel. Xv6 can *time-share* processes: it transparently switches the available CPUs among the set of processes waiting to execute. When a process is not executing, xv6 saves its CPU registers, restoring them when it next runs the process. The kernel associates a process identifier, or *PID*, with each process.

A process may create a new process using the fork system call. fork creates a new process, called the *child process*, with exactly the same memory contents as the calling process, called the *parent process*. fork returns in both the parent and the child. In the parent, fork returns the child's pid; in the child, it returns zero. For example, consider the following program fragment:

```
int pid = fork();
int status;
if(pid > 0){
        printf("parent: child=%d\n", pid);
        waitpid(pid, &status, 0);
        printf("child %d is done\n", pid);
} else if(pid == 0){
        printf("child: exiting\n");
        exit(0);
} else {
        printf("fork error\n");
}
```

The exit system call causes the calling process to stop executing and to release resources such as memory and open files. The waitpid system call returns the pid of an exited child of the current process; if none of the caller's children has exited, waitpid waits for one to do so. In the example, the output lines

```
parent: child=1234
child: exiting
```

might come out in either order, depending on whether the parent or child gets to its printf call first. After the child exits the parent's wait returns, causing the parent to print

```
parent: child 1234 is done
```

Note that the parent and child were executing with different memory and different registers: changing a variable in one does not affect the other.

The execve system call replaces the calling process's memory with a new memory image loaded from a file stored in the file system. The file must have a particular format, which specifies which part of the file holds instructions, which part is data, at which instruction to start, etc. xv6 uses the ELF format, which Chapter 3 discusses in more detail. When execve succeeds, it does not return to the calling program; instead, the instructions loaded from the file start executing at the entry point declared in the ELF header. execve takes two arguments: the name of the file containing the executable and an array of string arguments. For example:

```
char *argv[3];
argv[0] = "echo";
argv[1] = "hello";
argv[2] = 0;
execve("/bin/echo", argv, NULL);
printf("exec error\n");
```

This fragment replaces the calling program with an instance of the program /bin/echo running with the argument list echo hello. Most programs ignore the first argument, which is conventionally the name of the program.

The xv6 shell uses the above calls to run programs on behalf of users. The main structure of the shell is simple. The main loop reads the input on the command line using getcmd. Then it calls fork, which creates a copy of the shell process. The parent shell calls waitpid, while the child process runs the command. For example, if the user had typed "echo hello" at the prompt, runcmd would have been called with "echo hello" as the argument. runcmd runs the actual command. For "echo hello", it would call execve. If execve succeeds then the child will execute instructions from echo instead of runcmd. At some point echo will call exit, which will cause the parent to return from waitpid in main. You might wonder why fork and execve are not combined in a single call; we will see later that separate calls for creating a process and loading a program is a clever design.

Xv6 allocates most user-space memory implicitly: fork allocates the memory required for the child's copy of the parent's memory, and execve allocates enough memory to hold the executable file. A process that needs more memory at run-time (perhaps for malloc) can call sbrk(n) to grow its data memory by n bytes; sbrk returns the location of the new memory.

Xv6 does not provide a notion of users or of protecting one user from another; in Unix terms, all xv6 processes run as root. If there's still time, perhaps user access control could be added into xv6'?

### 1.2 I/O and File descriptors

A file descriptor is a small integer representing a kernel-managed object that a process may read from or write to. A process may obtain a file descriptor by

opening a file, directory, or device, or by creating a pipe, or by duplicating an existing descriptor. For simplicity we'll often refer to the object a file descriptor refers to as a "file"; the file descriptor interface abstracts away the differences between files, pipes, and devices, making them all look like streams of bytes.

Internally, the xv6 kernel uses the file descriptor as an index into a perprocess table, so that every process has a private space of file descriptors starting at zero. By convention, a process reads from file descriptor 0 (standard input, STDIN\_FILENO), writes output to file descriptor 1 (standard output, STDOUT\_FILENO), and writes error messages to file descriptor 2 (standard error, STDERR\_FILENO). As we will see, the shell exploits the convention to implement I/O redirection and pipelines. The shell ensures that it always has three file descriptors open, which are by default file descriptors for the console.

The read and write system calls read bytes from and write bytes to open files named by file descriptors. The call read(fd, buf, n) reads at most n bytes from the file descriptor fd, copies them into buf, and returns the number of bytes read. Each file descriptor that refers to a file has an offset associated with it. Read reads data from the current file offset and then advances that offset by the number of bytes read: a subsequent read will return the bytes following the ones returned by the first read. When there are no more bytes to read, read returns zero to signal the end of the file.

The call write(fd, buf, n) writes n bytes from buf to the file descriptor fd and returns the number of bytes written. Fewer than n bytes are written only when an error occurs. Like read, write writes data at the current file offset and then advances that offset by the number of bytes written: each write picks up where the previous one left off.

The following program fragment (which forms the essence of cat) copies data from its standard input to its standard output. If an error occurs, it writes a message to the standard error.

The important thing to note in the code fragment is that cat doesn't know whether it is reading from a file, console, or a pipe. Similarly cat doesn't know whether it is printing to a console, a file, or whatever. The use of file descriptors and the convention that file descriptor 0 is input and file descriptor 1 is output allows a simple implementation of cat.

The close system call releases a file descriptor, making it free for reuse by a future open, pipe, or dup system call (see below). A newly allocated file descriptor is always the lowest-numbered unused descriptor of the current process.

File descriptors and fork interact to make I/O redirection easy to implement. fork copies the parent's file descriptor table along with its memory, so that the child starts with exactly the same open files as the parent. The system call execve replaces the calling process's memory but preserves its file table. This behavior allows the shell to implement I/O redirection by forking, reopening chosen file descriptors, and then execve-ing the new program. Here is a simplified version of the code a shell runs for the command cat <input.txt:

```
char *argv[2];
argv[0] = "cat";
argv[1] = NULL;
if(fork() == 0) {
    fd = open("input.txt", O_RDONLY);
        dup2(fd, STDIN_FILENO);
        close(fd);
        execve("cat", argv, NULL);
}
```

Unlike original xv6, child process in this code snippet opens input.txt in a new file descriptor, which is copied to the standard input file descriptor (see below), closing the standard input during the process (as specified by dup2(2) in POSIX standard). The child then invokes execve, with the newly-allotted file descriptor closed, but the (duplicated) standard input preserved. This snippet somehow resembles more to modern programs.

The code for I/O redirection in the xv6 shell works in exactly this way. Recall that at this point in the code the shell has already forked the child shell and that runcmd will call execve to load the new program. Now it should be clear why it is a good idea that fork and execve are separate calls. This separation allows the shell to fix up the child process before the child runs the intended program.

Although fork copies the file descriptor table, each underlying file offset is shared between parent and child. Consider this example:

At the end of this fragment, the file attached to file descriptor 1 will contain the data hello world. The write in the parent (which, thanks to waitpid, runs only after the child is done) picks up where the child's write left off. This behavior helps produce sequential output from sequences of shell commands, like (echo hello; echo world) >output.txt.

The dup (and dup2 in xv6') system call duplicates an existing file descriptor, returning a new one that refers to the same underlying I/O object. Both file

descriptors share an offset, just as the file descriptors duplicated by fork do. This is another way to write hello world into a file:

```
fd = dup(STDOUT_FILENO);
write(STDOUT_FILENO, "hello ", 6);
write(fd, "world\n", 6);
```

Two file descriptors share an offset if they were derived from the same original file descriptor by a sequence of fork and dup calls. Otherwise file descriptors do not share offsets, even if they resulted from open calls for the same file. dup allows shells to implement commands like this: 1s existing-file non-existing-file > tmp1 2>&1. The 2>&1 tells the shell to give the command a file descriptor 2 that is a duplicate of descriptor 1. Both the name of the existing file and the error message for the non-existing file will show up in the file tmp1. The xv6 shell doesn't support I/O redirection for the error file descriptor, but now you know how to implement it. Standard error redirection would be implemented in xv6'.

File descriptors are a powerful abstraction, because they hide the details of what they are connected to: a process writing to file descriptor 1 may be writing to a file, to a device like the console, or to a pipe.

#### 1.3 Pipes

A pipe is a small kernel buffer exposed to processes as a pair of file descriptors, one for reading and one for writing. Writing data to one end of the pipe makes that data available for reading from the other end of the pipe. Pipes provide a way for processes to communicate, that is, it is a form of inter-process communication (IPC). Other forms of IPC include shared memory and signals, which would be implemented (potentially much) later in xv6'.

The following example code runs the program wc with standard input connected to the read end of a pipe.

```
int p[2];
char *argv[2];
argv[0] = "wc";
argv[1] = NULL;
pipe(p);
if(fork() == 0) {
          dup2(p[0], STDIN_FILENO);
          close(p[0]);
          close(p[1]);
          execve("/bin/wc", argv, NULL);
} else {
          write(p[1], "hello world\n", 12);
          close(p[0]);
          close(p[1]);
}
```

The program calls pipe, which creates a new pipe and records the read and write file descriptors in the array p. After fork, both parent and child have file descriptors referring to the pipe. The child dups the read end onto file

descriptor 0, closes the file descriptors in p, and execves wc. When wc reads from its standard input, it reads from the pipe. The parent writes to the write end of the pipe and then closes both of its file descriptors.

If no data is available, a read on a pipe waits for either data to be written or all file descriptors referring to the write end to be closed; in the latter case, read will return 0, just as if the end of a data file had been reached. The fact that read blocks until it is impossible for new data to arrive is one reason that it's important for the child to close the write end of the pipe before executing wc above: if one of wc's file descriptors referred to the write end of the pipe, wc would never see end-of-file.

The xv6 shell implements pipelines such as grep fork sh.c | wc -1 in a manner similar to the above code. The child process creates a pipe to connect the left end of the pipeline with the right end. Then it calls runcmd for the left end of the pipeline and runcmd for the right end, and waits for the left and the right ends to finish, by calling waitpid twice. The right end of the pipeline may be a command that itself includes a pipe (e.g., a | b | c), which itself forks two new child processes (one for b and one for c). Thus, the shell may create a tree of processes. The leaves of this tree are commands and the interior nodes are processes that wait until the left and right children complete. In principle, you could have the interior nodes run the left end of a pipeline, but doing so correctly would complicate the implementation.

Pipes may seem no more powerful than temporary files: the pipeline

```
echo hello world | wc
```

could be implemented without pipes as

```
echo hello world >/tmp/xyz; wc </tmp/xyz
```

There are at least three key differences between pipes and temporary files. First, pipes automatically clean themselves up; with the file redirection, a shell would have to be careful to remove /tmp/xyz when done. Second, pipes can pass arbitrarily long streams of data, while file redirection requires enough free space on disk to store all the data. Third, pipes allow for synchronization: two processes can use a pair of pipes to send messages back and forth to each other, with each read blocking its calling process until the other process has sent data with write.

#### 1.4 File system

As long as my box doesn't have a hard disk or other external storage, I have to implement a file system like tmpfs. Nevertheless, a file system should be implemented so as to demonstrate a key operating system concept. Kernel file systems such as procfs or sysfs could also be implemented, if I have time. Another workaround is to create some kind of RAM disk. It is preferred to implement a maybe-simplified version of contemporary file system, like Berkeley FFS, if time permitting.

The xv6 file system provides data files, which are uninterpreted byte arrays, and directories, which contain named references to data files and other directories. Xv6 implements directories as a special kind of file. The directories form a tree, starting at a special directory called the *root*. A path like /a/b/c refers to the file or directory named c inside the directory named b inside the directory named a in the root directory /. Paths that don't begin with / are evaluated relative to the calling process's current directory, which can be changed with the chdir system call. Both these code fragments open the same file (assuming all the directories involved exist):

```
chdir("/a");
chdir("b");
open("c", O_RDONLY);
open("/a/b/c", O_RDONLY);
```

The first fragment changes the process's current directory to /a/b; the second neither refers to nor modifies the process's current directory.

There are multiple system calls to create a new file or directory: mkdir creates a new directory, open with the O\_CREATE flag creates a new data file, and mknod creates a new device file. This example illustrates all three:

```
mkdir("/dir");
fd = open("/dir/file", O_CREATE|O_WRONLY);
close(fd);
mknod("/console", 1, 1);
```

mknod creates a file in the file system, but the file has no contents. Instead, the file's metadata marks it as a device file and records the major and minor device numbers (the two arguments to mknod), which uniquely identify a kernel device. When a process later opens the file, the kernel diverts read and write system calls to the kernel device implementation instead of passing them to the file system.

fstat retrieves information about the object a file descriptor refers to. It fills in a struct stat, defined in stat.h as stat structure may become different from original xv6 implementation. :

A file's name is distinct from the file itself; the same underlying file, called an inode, can have multiple names, called *links*. The link system call creates another file system name referring to the same inode as an existing file. This fragment creates a new file named both a and b.

```
open("a", O_CREATE|O_WRONLY);
link("a", "b");
```

Reading from or writing to a is the same as reading from or writing to b. Each inode is identified by a unique *inode number*. After the code sequence above, it is possible to determine that a and b refer to the same underlying contents by inspecting the result of fstat: both will return the same inode number (ino), and the nlink count will be set to 2.

The unlink system call removes a name from the file system. The file's inode and the disk space holding its content are only freed when the file's link count is zero and no file descriptors refer to it. Thus adding

```
unlink("a");
```

to the last code sequence leaves the inode and file content accessible as b. Further- more,

```
fd = open("/tmp/xyz", O_CREATE|O_RDWR);
unlink("/tmp/xyz");
```

is an idiomatic way to create a temporary inode that will be cleaned up when the process closes fd or exits.

Xv6 commands for file system operations are implemented as user-level programs such as mkdir, ln, rm, etc. This design allows anyone to extend the shell with new user commands. In hind-sight this plan seems obvious, but other systems designed at the time of Unix often built such commands into the shell (and built the shell into the kernel).

One exception is cd, which is built into the shell. cd must change the current working directory of the shell itself. If cd were run as a regular command, then the shell would fork a child process, the child process would run cd, and cd would change the child's working directory. The parent's (i.e., the shell's) working directory would not change.

## Chapter 2

# Operating system organization

A key requirement for an operating system is to support several activities. For example, using the system call interface described in chapter 0 a process can start new processes using fork. The operating system must arrange that these processes can time-share the resources of the computer. For example, a process may start more new processes than there are processors in the computer, yet all processes must be able to make some progress. In addition, the operating system must arrange for isolation between the processes. That is, if one process has a bug and fails, it shouldn't impact processes that don't have a dependency on the failed process. Complete isolation, however, is too strong, since it should be possible for processes to interact; for example, it is convenient for users to combine processes to perform complex tasks (e.g., by using pipes). Thus, the implementation of an operating system must achieve three requirements: multiplexing, isolation, and interaction.

This chapter provides an overview of how operating systems are organized to achieve these 3 requirements. It turns out there are many ways to do so, but this text focuses on mainstream designs centered around a *monolithic kernel*, which is used by many Unix operating systems. This chapter illustrates this organization by tracing the first process that is created when xv6 starts running. In doing so, the text provides a glimpse of the implementation of all major abstractions that xv6 provides, how they interact, and how the three requirements of multiplexing, isolation, and interaction are met. Most of xv6 avoids special-casing the first process, and instead reuses code that xv6 must provide for standard operation. Subsequent chapters will explore each ab- straction in more detail.

Xv6 runs on Intel 80386 or later ("x86") processors on a PC platform, and much of its low-level functionality (for example, its process implementation) is x86-specific. This book assumes the reader has done a bit of machine-level programming on some architecture, and will introduce x86-specific ideas as they come up. Appendix A briefly outlines the PC platform.

The original xv6 implementation mixes hardware-specific code and hardware-independent ones together. xv6' primarily aims to port xv6 from x86 architecture onto Loongson 3A, a MIPS64 CPU, in a so-called Loongson Multitech Board. Xv6' also tries to decouple these two parts, and potentially machine-dependent and architecture-dependent but machine-common codes.

#### 2.1 Abstracting physical resources

The first question one might ask when encountering an operating system is why have it at all? That is, one could implement the system calls in Figure 1.1 as a library, with which applications link. In this plan, each application could even have its own library, perhaps tailored to its needs. In this plan, the application can directly interact with the hardware resources and use those resources in the best way for the application (e.g., to achieve high performance or predictable performance). Some tiny operating systems for embedded devices or real-time systems are organized in this way.

The downside of this approach is that applications are free to use the library, which means they can also *not* use it. If they don't use the operating system library, then the operating system cannot enforce time sharing. It must rely on the application to behave properly and, for example, periodically give up a processor so that another application can run. Such a *cooperative* time-sharing scheme is maybe OK for a system where all applications trust each other, but doesn't provide strong isolation if applications are mutually distrustful.

To achieve strong isolation a helpful approach is to disallow applications to have direct access to the hardware resources, but instead to abstract the resources into services. For example, applications interact with a file system only through open, read, write, and close system calls, instead of read and writing raw disk sectors. This provides the application with the convenience of path names, and it allows the operating system (as the implementer of the interface) to manage the disk.

Similarly, in Unix applications run as processes using fork, allowing the operating system to save and restore registers on behalf of the application when switching between different processes, so that application don't have to be aware of process switching. Furthermore, it allows the operating system to forcefully switch an application out of a processor, if the application, for example, is an end-less loop.

As another example, Unix processes use execve to build up their memory image, instead of directly interacting with physical memory. This allows the operating system to decide where to place a process in memory and move things around if there is a shortage of memory, and provides applications with the convenience of a file system to store their images.

To support controlled interaction between applications, Unix applications can use only file descriptors, instead of to make up some sharing convention of their own (e.g., reserving a piece of physical memory). Unix file descriptors abstract all the sharing details away, hiding from the application if the interaction

is happening with the terminal, file system, or pipes, yet allows the operating system to control the interaction. For example, if one application fails, it can shut down the communication channel.

As you can see, the system call interface in Figure 1.1 is carefully designed to provide programmer convenience but also for the implementation of the interface to enforce strong isolation. The Unix interface is not the only way to abstract resources, but it has proven to be a very good one.

#### 2.2 User mode, kernel mode, and system calls

To provide strong isolation between the software that uses system calls and the software that implements the system calls, we need a hard boundary between applications and the operating system. If the application makes a mistake, we don't want the operating system to fail. Instead, the operating system should be able to clean up the application and continue running other applications. This strong isolation means that application shouldn't be able to write over data structures maintained by the operating system, shouldn't be able to overwrite instructions of the operating system, etc.

To provide for such strong isolation processors provide hardware support. For example, the x86 processor, like many other processors, has two modes in which the processor executes instructions: kernel mode and user mode. In kernel mode the processor is allowed to execute privileged instructions. For example, read and writing to the disk (or any other I/O device) is a privileged instruction. If an application in user mode attempts to execute a privileged instruction, then the processor doesn't execute the instruction, but switches to kernel mode so that the software in kernel mode can clean up the application, because it did something it shouldn't be doing. MIPS processors have a supervisor mode in addition to traditional user and kernel mode, but it is generally not used in modern operating system design. Applications can execute only user mode instructions (e.g., adding numbers, etc.) and is said to be running in user space, while the software in kernel mode can execute also privileged instructions and is said to be running in kernel space. The software running in kernel space (or in kernel mode) is called the kernel.

If a user-mode application must read or write to disk, it must transition to the kernel to do so, because the application itself can not execute I/O instructions. Processors provide a special instruction that switches the processor from user mode to kernel mode and enters the kernel at an entry point specified by the kernel. (The x86 processor provides the int instruction for this purpose, whereas the MIPS processors propose various instructions like syscall, break, or trap instructions, the syscall instruction mostly used.) Once the processor has switched to kernel mode, the kernel can then validate the arguments of the system call, decide whether the application is allowed to perform the requested operation, and then deny it or execute it. It is important that the kernel sets the entry point when transition to kernel mode; if the application could decide the kernel entry point, a malicious application could enter the kernel at a point

#### 2.3 Kernel organization

A key design question for an operating system is what part of the operating system should run in kernel mode. A simple answer is that the kernel interface is the system call interface. That is, fork, exec, open, close, read, write, etc. are all kernel calls. This choice means that the complete implementation of the operating system runs in kernel mode. This kernel organization is called a monolithic kernel.

In this organization the complete operating system runs with full hardware privilege. This organization is convenient because the OS designer doesn't have to decide which part of the operating system doesn't need full hardware privilege. Furthermore, it easy for different parts of the operating system to cooperate. For example, an operating system might have a buffer cache that can be shared both by the file system and the virtual memory system.

A downside of the monolithic organization is that the interfaces between different parts of the operating system are often complex (as we will see in the rest of this text), and therefore it is easy for an operating system developer to make a mistake. In a monolithic kernel, a mistake is fatal, because an error in kernel mode will often result in the kernel to fail. If the kernel fails, the computer stops working, and thus all applications fail too. The computer must reboot to start again.

To reduce the risk of mistakes in the kernel, OS designers can make the lines of code that run in kernel mode small. Most of the operating system doesn't need access to privileged instructions, and can thus run as ordinary user-level applications, with which applications interact with through messages. This kernel organization is called a *microkernel*.

In a microkernel, the kernel interface consists of a few low-level functions for starting applications, performing I/O, sending messages to applications, etc. This organization allows the kernel to be implemented with a few lines of code, since it doesn't do much, as most functionality of the operating system is implemented by user-level servers.

In the real-world, one can find both monolithic kernels and microkernels. For example, Linux is mostly implemented as a monolithic kernel, although some OS functions run as user-level servers (e.g., the windowing system). Xv6 is implemented as a monolithic kernel, following most Unix operating systems. Thus, in xv6, the kernel interface corresponds to the operating system interface, and the kernel implements the complete operating system. Since xv6 doesn't provide many functions, its kernel is smaller than some microkernels. xv6' would inherit the monolithic kernel design from original xv6 implementation.

#### 2.4 Process overview

The unit of isolation in xv6 (as in other Unix operating systems) is a process. The process abstraction prevents one process from wrecking or spying on another process' memory, CPU, file descriptors, etc. It also prevents a process from wrecking the kernel itself (i.e., from preventing the kernel to enforce isolation). The kernel must implement the process abstraction with care because a buggy or malicious application may trick the kernel or hardware in doing something bad (e.g., circumventing enforced isolation). The mechanisms used by the kernel to implement processes include user/kernel mode flag, address spaces, and time slicing of threads, which this subsection provides an overview of.

To be able to enforce isolation, a process is an abstraction that provides the illusion to a program that it has its own abstract machine. A process provides a program with what appears to be a private memory system, or address space, which other processes cannot read or write. A process also provides the program with what appears to be its own CPU to execute the program's instructions.

Xv6 uses page tables (which are implemented by hardware) to give each process its own address space. The x86 page table translates (or "maps") a virtual address (the address that an x86 instruction manipulates) to a physical address (an address that the processor chip sends to main memory).

In xv6', since the memory management hardware merely consists of a TLB in MIPS architecture, most part of virtual-to-physical translation is done *manually*, that is, by software. Memory management would be discussed in detail in chapter 3.

Xv6 maintains a separate page table for each process that defines that process's address space. An address space includes the process's user memory starting at virtual address zero. Instructions come first, followed by global variables, then the stack, and finally a "heap" area (for malloc) that the process can expand as needed.

Each process's address space maps the kernel's instructions and data as well as the user program's memory. When a process invokes a system call, the system call executes in the kernel mappings of the process's address space. This arrangement exists so that the kernel's system call code can directly refer to user memory. In order to leave room for user memory to grow, xv6's address spaces map the kernel at high addresses, starting at 0x80100000. xv6', running on MIPS, maps the kernel in a segment called CKSEGO , which corresponds to the lower 512MB of physical address.

The xv6 kernel maintains many pieces of state for each process, which it gathers into a struct proc. xv6' treats process and threads alike as tasks, a concept borrowed from Linux, and the state information is stored inside a task structure. A task's most important pieces of kernel state are its page table, its kernel stack, and its run state. We'll use the notation t-¿xxx (or p-¿xxx for compatibility with original xv6) to refer to elements of the process/task structure.

Each process has a thread of execution (or *thread* for short) that executes the process's instructions. A thread can be suspended and later resumed. To

arch/mips/include/asm/
addrspace.h:115

include/sched/task.h:39

switch transparently between processes, the kernel suspends the currently running thread and resumes another process's thread. Much of the state of a thread (local variables, function call return addresses) is stored on the thread's stacks. Each process has two stacks: a user stack and a kernel stack (p->kstack). When the process is executing user instructions, only its user stack is in use, and its kernel stack is empty. When the process enters the kernel (for a system call or interrupt), the kernel code executes on the process's kernel stack; while a process is in the kernel, its user stack still contains saved data, but isn't actively used. A process's thread alternates between actively using its user stack and its kernel stack. The kernel stack is separate (and protected from user code) so that the kernel can execute even if a process has wrecked its user stack.

If time permitting, xv6' would support processes with multiple threads. This is not my major goal since threads and processes don't differ very much in design of Linux, and thus, xv6'.

When a process makes a system call, the processor switches to the kernel stack, raises the hardware privilege level, and starts executing the kernel instructions that implement the system call. When the system call completes, the kernel returns to user space: the hardware lowers its privilege level, switches back to the user stack, and resumes executing user instructions just after the system call instruction. A process's thread can "block" in the kernel to wait for I/O, and resume where it left off when the I/O has finished.

In original xv6, p->state indicates whether the process is allocated, ready to run, running, waiting for I/O, or exiting. This is slightly different in xv6', where state of a process is indicated by t->state and t->flags together.

In the old implementation p->pgdir holds the process's page table, in the format that the x86 hardware expects. xv6 causes the paging hardware to use a process's p->pgdir when executing that process. A process's page table also serves as the record of the addresses of the physical pages allocated to store the process's memory. In xv6' the page table is stored inside t->mm, a pointer pointing to a memory mapping structure, which is split into two parts, one hardware-dependent and the other hardware-independent. The hardware-related part is stored in an inner structure arch\_mm\_t. In current implementation, arch\_mm\_t merely holds the address of page global directory of the process. This structure organization is equivalent to that of original implementation, with the advantage of decoupling hardware-specific page table and hardware-irrelevant memory management code.

include/mm/vmm.h:86
arch/mips/include/
asm/mm/hier/vmm.h:20

#### 2.5 Code: the first address space

To make the xv6 organization more concrete, we look how the kernel creates the first address space (for itself), how the kernel creates and starts the first process, and the first system call that the first process makes. By tracing these operations we see in detail how xv6 provides strong isolation for processes. The first step in providing strong isolation is setting up the kernel to run in its own address space.

When it comes to Loongson Multitech Board, the machine bootstraps itself by loading program from a built-in PMON ROM which is already provided by the manufacturer. PMON downloads the operating system kernel remotely via TFTP after hardware initialization, and automatically detects the entry point and jumps there. The kernel must reside in CKSEGO, a segment inaccessible from other modes, where virtual addresses are linearly mapped to physical address by taking the lower 29 bits, not passing through TLB during translation. By convention the lower 256MB to 512MB actually corresponds to I/O devices or ROM, so the kernel can only take the lower 0-256MB address. The phenomenon one can observe is that xv6' loads itself in virtual address 0xfffff fffff 8030 0000.

arch/mips/include/
asm/addrspace.h:115

In MIPS64 architecture, the virtual address layout is fixed, and is described in arch/mips/include/asm/addrspace.h. By enforcing the virtual addresses directly used by kernel to reside in XKPHY, a kernel-mode-only address space, where virtual addresses are mapped to physical ones in a manner similar to that in CKSEGO, it is no longer necessary to make separate virtual address mapping for kernel, and to switch page table during user/kernel mode transition. However, the address space XKPHY is MIPS64-specific. In 32-bit MIPS architecture a different mechanism should be developed.

arch/mips/include
asm/addrspace.h:92

The kernel entry points to a small piece of assembly code, which is almost always architecture-specific. In xv6', all the assembly snippet would do is initializing various co-processor registers, boot arguments, initial kernel stack and processor information, and then transfer control to C code.

arch/mips/entry.S

kern/init.c

#### 2.6 Code: creating the first process

Now the kernel runs within its own address space, we look at how the kernel creates user-level processes and ensures strong isolation between the kernel and user-level processes, and between processes themselves.

The procedure which spawns initial tasks is task\_init. task\_init spawns two tasks named idle (idle\_init) and init (initproc\_init). initproc\_init dynamically allocates a task structure first by calling task\_new, which is called on allocating every new task. The initializer then allocates and sets up a new memory mapping object, creating a new page table on the way (task\_setup\_mm).

kern/sched/task.c:182 kern/sched/task.c:140 kern/sched/task.c:88 kern/sched/task.c:26 kern/sched/task.c:48

The initializer should then set up the process context and the kernel stack. task\_setup\_kstack tries to allocate a kernel stack in kernel space first, and then preserves a portion on the top for storing process context for context switches. The procedure for setting up initial process context is architecture-specific as shown in task\_bootstrap\_context. In short, this routine would make the process return to the entry of C function forkret, which would in turn transfer control to function arch\_forkret written in assembly, which would restore a previously built trap frame for restoring and returning in a way similar to that of finishing handling an exception. This setup is mostly the same for ordinary forks.

kern/sched/task.c:26

arch/mips/sched/ task.c:41 kern/syscall/fork.c:26 arch/mips/syscall/ forkret.S

Now the initializer should build a trap frame inside the kernel stack in a

manner so as to make the process have the illusion that it is returning from a trap handler after a context switch. Building such trap frame is architecture-dependent, and is accomplished by

1. task\_init\_trapframe (for filling some process-common trap frame entries),

arch/mips/sched/
task.c:21

- 2. set\_task\_user (for setting the task to run in user mode),
- 3. set\_task\_enable\_intr (to enable interrupt),
- 4. set\_task\_startsp (for setting up stack pointer register to point to top of user stack, called by set\_task\_ustack for user stack setup),
- 5. set\_task\_main\_args (for passing arguments of main()), and
- 6. set\_task\_entry (for specifying program entry) together.

After execution of set\_task\_main\_args, arguments should be placed properly above the per-process user stack, which should reside in a separate user page. User stack allocation is done by set\_task\_ustack

Unlike original xv6, the first process is loaded from a separate ELF program, named init, preferably stored on the disk, but now embedded in the kernel binary file as the box currently lacks external storage. init would become the xv6' equivalent of contemporary init program in System V or BSD, or systemd in Linux, but currently it does nothing rather than emitting a bunch of lines to the serial. To actually load the program into memory and execute it, the kernel locates the embedded init binary file by referencing the symbol \_binary\_ramdisk\_init\_init\_start, generated by GNU ld utility while linking. The procedure task\_load\_elf\_kmem loads each loadable segment into memory, mapping them to virtual address specified by the ELF program headers. The creation is complete after the entry is located by looking into the corresponding field inside the ELF header.

The process is now ready to run, and the initializer finalizes the procedure by setting appropriate PID, process name, and state (TASK\_RUNNABLE)

arch/mips/include/
asm/thread\_info.h:47
arch/mips/sched/switch.S

#### 2.7 Code: running the first process

Now that the first process's state is prepared, it is time to run it. After main bootstrapped everything, mpmain calls sched to start running processes (NYI). sched (NYI) looks for a process with p->state set to TASK\_RUNNABLE, and there's only one: init. It sets the per-cpu variable current\_task to the process it found and switches page table during context switch in switch\_context, by exploiting and changing the ASID field in CPO\_ENTRYHI register. In original xv6 implementation on x86, switchuvm also sets up a task state segment SEG\_TSS that instructs the hardware to execute system calls and interrupts on the process's kernel stack. This is no longer required on MIPS hardware, since

arch/mips/sched/

task.c:53
arch/mips/sched/
task.c:62
arch/mips/sched/
task.c:68
kern/sched/task.c:71
arch/mips/sched/
task.c:107
arch/mips/sched/

kern/sched/task.c:71

task.c:115

ramdisk/init/init.c
kern/sched/task.c:113

kern/syscall/
execve.c:118

kern/sched/sched.c

user/kernel stack switching is done manually. User/kernel stack switching, along with other elements of exception handling, will be explored in chapter 4.

sched now sets p-¿state to RUNNING and calls switch\_context to perform a context switch to the target process's kernel thread. switch\_context saves the current registers and loads the saved registers of the target kernel thread (proc-¿context) into the hardware registers. Unlike original xv6, switch\_context is implemented like an ordinary function. So the current context is inside the process's context switch, since switch\_context is invoked by sched function, which is periodically called during clock interrupts. As the current context is saved during a switch, the processor would run in the switching procedure of another process after restoring context registers. switch\_context also involves properly changing the current kernel stack. We'll examine switch\_context in more detail in Chapter 5.

Consider the very initial execution of init. As task\_bootstrap\_context sets the ra register, i.e. the returning address to the entry of forkret, with a0 register pointing to the trap frame structure built by initproc\_init. After returning from switch\_context, the processor found itself at the starting point of forkret, with the trap frame as argument. As forkret is executed only once per process (whether by fork() or by creating init), it could be designed as a no-return function. forkret passes the received trap frame to assembly code arch\_forkret, which is also a no-return function. arch\_forkret restores the trap frame in the same way as returning from an exception.

At this point, sp and program counter (set by eret instruction according to CPO\_EPC register) are set to appropriate values. These are virtual addresses in the process's address space. The processor's paging hardware translates them into physical addresses. The fact that set\_task\_user disables CPO and sets the processor to work in user mode means that the user code can only use pages within XUSEG, and cannot modify sensitive hardware registers such as CPO. So the process is constrained to using only its own memory.

arch/mips/sched/
task.c:41

kern/syscall/fork.c:26

arch/mips/syscall/
forkret.S

arch/mips/sched/ task.c:53 arch/mips/include asm/addrspace.h:44

#### 2.8 The first system call: write

Now we have seen how the kernel provides strong isolation for processes, let's see how a user-level process can enter back into the kernel to ask for services that it cannot perform itself.

As execve system call depends on a file system, which is not implemented yet, we'll consider write system call here. But even write can do nothing other than output a bunch of characters into serial console at present.

write is the first system call invoked by the user process init. It requests kernel service according to a simplified version of Linux/MIPS64 system call standard:

- 1. System calls are always requested by executing syscall instruction.
- 2. System call number is put in register v0(\$2).

- 3. Arguments are put in a0(\$4) to a7(\$11) registers. A C library is preferred to wrap user land system call functions into kernel-flavored argument format in case of dealing with more-than-eight arguments. But since few system calls have so many parameters (there's no such system call in OpenBSD after all), it is fine to simply ignore the case, and just put everything in the registers. When it comes to o32 ABI for MIPS32, things may become more complex.
- 4. Return values are placed in v0(\$2), or both v0(\$2) and v1(\$3) when one returns more values, e.g. returning from pipe.
- 5. Error number is stored in register a3(\$7).

When init execute the following C code:

```
printf("%s\r\n", hellomsg);
```

The C library transforms the print request into something like the following system call:

```
write(STDERR_FILENO, buf, strlen(buf));
```

As the arguments are automatically put into the argument registers by C compiler following the n64 ABI, the write function only needs to set up v0, and store error number register into a global symbol errno (NYI), since the returning value is already stored in the return value register v0.

After the processor catches a system call exception, it looks up a system call table according to number placed in v0, jumps into the corresponding handler, and begin servicing the user process. In write's case, the service handler is do\_write.

n64 a4 to a7
registers are
named t0 to t3
in current code,
while n64 t0 to
t3 are named
t4 to t7 due
to o32 legacy
ABI naming in
current code.
Someday we'll fix
that.

ramdisk/init/init.c:21

lib/libc/arch/
mips/syscall.S

arch/mips/traps.c:442

arch/mips/syscall/
syscall.c:23,
fs/write.c

## Chapter 3

## Page tables

Page tables are the mechanism through which the operating system controls what memory addresses mean. They allow xv6 to multiplex the address spaces of different processes onto a single physical memory, and to protect the memories of different processes. The level of indirection provided by page tables is also a source for many neat tricks. xv6 uses page tables primarily to multiplex address spaces and to protect memory. It also uses a few simple page-table tricks: mapping the same memory (the kernel) in several address spaces, mapping the same memory more than once in one address space (each user page is also mapped into the kernel's physical view of memory), and guarding a user stack with an unmapped page. The rest of this chapter explains the page tables that the MIPS64 hardware provides and how xv6 uses them.

#### 3.1 Paging hardware

Literally, paging hardware deal with *pages*, an aligned memory chunk of fixed size. Different from x86, MIPS paging hardware **only consists of a TLB**. The TLB have a number of entries (in case of Loongson 3A, 64 entries), each containing a pair of adjacent virtual page numbers (VPN), and their mapping to physical frame numbers (PFN), as well as permission flags.

When a virtual address is requested, either for reading or for writing, TLB tries to look up the virtual page number in its entry table. The hardware throws an exception if:

- 1. the VPN does not exist in TLB (*TLB loading miss* or *TLB storing miss*, depending on operation), or
- 2. the VPN does exist but is marked invalid, due to, for example, being swapped out to external storage (*TLB invalid load* or *TLB invalid store*), or
- 3. the process tries to write on a read-only virtual page (TLB modify).

TLB then leave everything to the operating system to handle these exception. Side note: MIPS does not provide execution prevention similar to NX bit on amd64 platform. Loongson 2F used to have a similar feature, which is removed in Loongson 3A.

#### 3.2 Cache aliasing – a MIPS specific issue

For speeding up the processor, MIPS radically indexes its primary cache by virtual address. This leads into a MIPS-specific problem called *cache aliasing*. The symptom is that a physical address may appear on multiple cache lines, each with possibly different data. Secondary cache is free from this issue.

In Loongson 3A, the primary data cache is indexed by the last 14 bit of virtual address, with the remaining high 24 bits serving as cache tag. If the page size is 4KB, i.e. VPN is the high 26 bits of a virtual address, the last 2 bits of VPN would become part of the cache index. If two virtual pages with VPNs different in the last 2 bits happen to point to the same physical page, it is possible to have different cache lines in a same cache set, referencing the same physical address, but caching different data, breaking coherency.

A simple workaround is to tune the page size so as to prevent any bits from VPN being used as cache index. In Loongson 3A, a page size of 16KB is a good choice.

#### 3.3 Page table organization

Since MIPS does not have hardware other than TLB to support paging, the operating system may maintain a page table by its choice. xv6' utilizes a page table hierarchy similar to the classic two-level hierarchy on 32-bit x86 processors.

Due to previously discussed *cache aliasing* issue, the page size on Loongson 3A is chosen to be 16KB. That is, the higher 24 bits are VPN, while the lower 14 bits represent page offset.

A straightforward attempt of maintaining a page table is to keep a huge array of pointers pointing to virtual pages. This approach is quite implausible and inefficient since such kind of table needs  $2^{24}$  pointers  $\times 8$  bytes per pointer = 128MB **per process**, storing nothing but pointers, while leaving most entries unused since most programs won't need that many pages.

One can employ a hierarchical scheme for reducing memory consumption of page tables. That is, to organize the page table as a page table tree. We can define leaf page tables, pointing to virtual pages to be used by user programs, and internal page tables, which points to pages storing another level of page tables, internal or leaf. The leaf and internal page tables themselves are stored in a one-table-per-page manner. Therefore, a one-page-sized page table could point to  $2^{14}/8 = 2048$  pages when page size is 16KB. The 11 bits next to the lowest 14 bits could be used as index of the leaf page tables, another higher 11 bits as index of the page middle directories (PMD), the last 11 bits as that of

the page global directory (PGD). The highest bit of virtual address is discarded and is always zero.

Hierarchical page tables share similar structures and the same rationale across different architectures. The amd64 architecture uses a 4-level page table tree to deal with 4KB-paged 48-bit virtual addresses, each page table pointing to 512 next-level pages.

#### 3.4 MIPS Physical address convention

It is previously mentioned that virtual address space CKSEGO corresponds to the lower 512MB physical address, while XKPHY can cover as large as  $2^48$  bytes space. However, a further low-level mapping exist between physical addresses and real hardware.

- 1. Physical address 0x0 to 0x0fff ffff maps to lower 256MB of main memory.
- 2. Usually, physical address 0x1000 0000 to 0x1fff fffff corresponds to I/O devices, BIOS (starting from 0x1fc0 0000), and other hardware. These addresses are normally directly accessed, not passing through caches during the process.
- 3. Method of accessing memory higher than 256MB generally varies between machines. In case of Loongson 3A, the physical addresses mapped to memory addresses higher than 256MB equals to the memory address itself plus the overall memory size. For example, if the total memory capacity is 4GB, the physical address range corresponding to memory higher than 256MB is 0x0001 1000 0000 to 0x0001 fffff ffff. setup\_page\_array installs the array of struct page at high memory, just above the lower 256MB, calculating the high physical memory offset during initialization.

arch/mips/mach/loongson3a5/mm.c:14

#### 3.5 Process address space

Each process has a separate page table, and xv6 tells the page table hardware to switch page tables when xv6 switches between processes. A process's user memory resides in XUSEG, starts at virtual address zero and can grow up to XSSEG, allowing a process to address up to 256 TB of memory (if there's really that much physical memory). The file arch/mips/include/asm/addrspace.h declares the constants for xv6's memory layout, and macros to convert virtual to physical addresses are defined in include/mm/mmap.h.

When a process asks xv6 for more memory, xv6 first finds free physical pages to provide the storage, and then adds PTEs to the process's page table that point to the new physical pages. xv6' sets the PTE\_DIRTY and PTE\_VALID flags in these PTEs when it's running on MIPS processors. Most processes do not use the entire user address space; xv6' leaves the entire PTE clear if it's not

arch/mips/include/
asm/addrspace.h
include/mm/mmap.h

used. Different processes' page tables translate user addresses to different pages of physical memory, so that each process has private user memory.

Xv6' includes all mappings needed for the kernel to run in every process's page ta- ble; these mappings are automatically enforced by MIPS hardware. The kernel's instruction and static data resides in CKSEGO, whereas the kernel's dynamically allocated data are in XKPHY, both address spaces directly mapped to physical pages without looking up in TLB, both protected by hardware so that they are only accessible in kernel mode. This design enables the kernel to read from and write to arbitrary positions, and negates the need of switching page tables repeatedly during user/kernel mode transition since in this way the kernel itself does *not* require a page table to run.

To review, xv6 ensures that each process can only use its own memory, and that each process sees its memory as having contiguous virtual addresses starting at zero. xv6' implements the first by only filling valid information in PTEs of virtual addresses that refer to the process's own memory. It implements the second using the ability of page tables to translate successive virtual addresses to whatever physical pages happen to be allocated to the process.

#### 3.6 Code: creating an address space

The original xv6 implementation employs a **setupkvm** routine for initializing the kernel page mapping. This is no longer needed in xv6'.

For user virtual memory, or other places where translation via a page table is needed, one can install a mapping from a range of virtual addresses to a list of physical ones by calling map\_pages. map\_pages maintains a list of virtual memory areas, and then establish mapping page by page by inserting PTEs for each virtual page via calling arch\_map\_page.

arch\_map\_page installs one page into the page table, connecting the VPN to PFN, by calling pgtable\_insert. It is not strictly hardware-specific since page tables on different hardware may look the same. The reason I put page-table-related code inside the arch directory is that one can potentially implement any page table structure he prefer, since MIPS does not have paging hardware other than TLB. pgtable\_insert traverses the page table hierarchy and fill the PTE position located by pgtable\_get with appropriate value.

kern/mm/vm.c:126

arch/mips/mm/hier/
pgtable.c:238
arch/mips/mm/hier/
pgtable.c:154

arch/mips/mm/hier/
pgtable.c:88