





UNIVERSITY OF TEXAS AT ARLINGTON
RESEARCH INSTITUTE

F.L. Lewis, NAI



Moncrief-O'Donnell Chair, UTA Research Institute (UTARI)
The University of Texas at Arlington, USA
and

Foreign Consulting Professor,
Chongqing University, China

Adaptive Control, Robust Control & Neural Networks for Control of Nonlinear Processes and Systems



Talk available online at
<https://lewisgroup.uta.edu/ee5323/ee5323home.htm>

Importance of Feedback Control

Darwin 1850- FB and natural selection

Vito Volterra 1890- FB and fish population balance

Adam Smith 1760- FB and international economy

James Watt 1780- FB and the steam engine

FB and cell homeostasis

The resources available to most species for their survival are meager and limited

Nature uses Optimal control

Feedback Control Systems

Aircraft autopilots

Car engine controls

Ship controllers

Compute Hard disk drive controllers

Industry process control – chemical, manufacturing

Robot control

Industrial Revolution –

Windmill control, British millwrights - 1600s

Steam engine and prime movers

James Watt 1769

Steamship

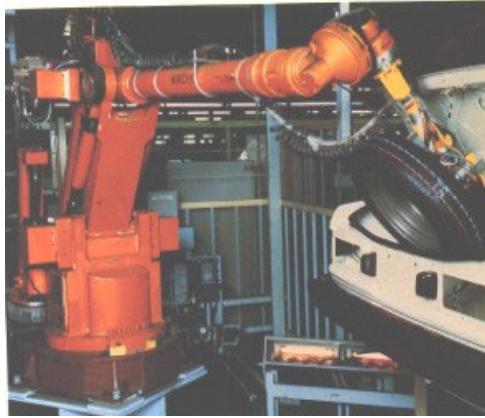
Steam Locomotive boiler control

Sputnik 1957

Aerospace systems

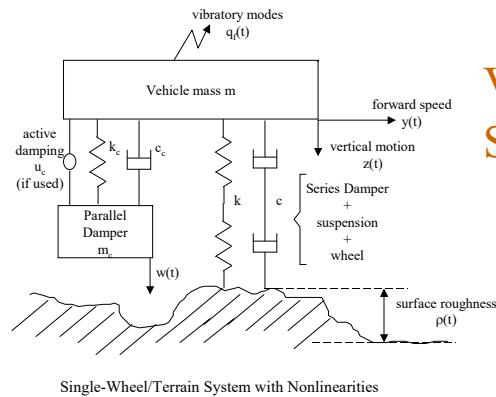
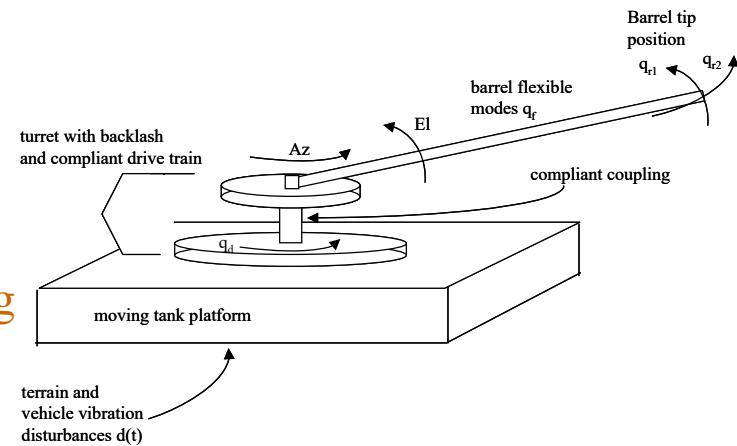
Relevance- Machine Feedback Control

High-Speed Precision Motion Control with unmodeled dynamics, vibration suppression, disturbance rejection, friction compensation, deadzone/backlash control



Industrial
Machines

Satellite pointing
Land Systems



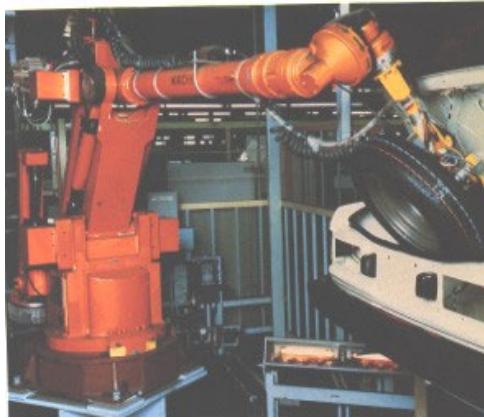
Vehicle
Suspension

Aerospace



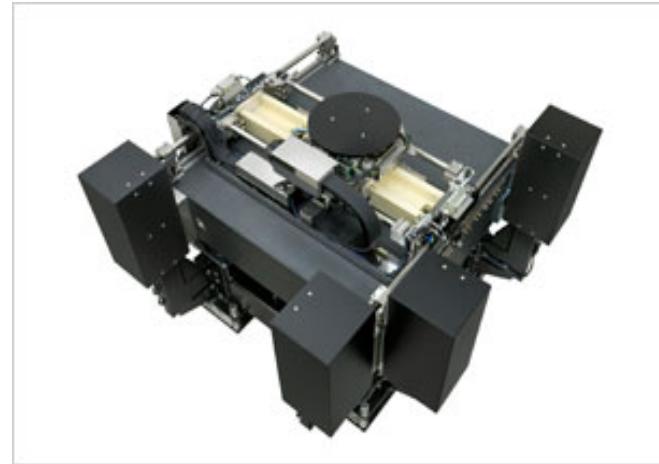
Relevance- Industrial Process Control

Precision Process Control with unmodeled dynamics, disturbance rejection, time-varying parameters, deadzone/backlash control



Industrial
Machines

XY Table



Chemical
Vapor
Deposition

Autoclave

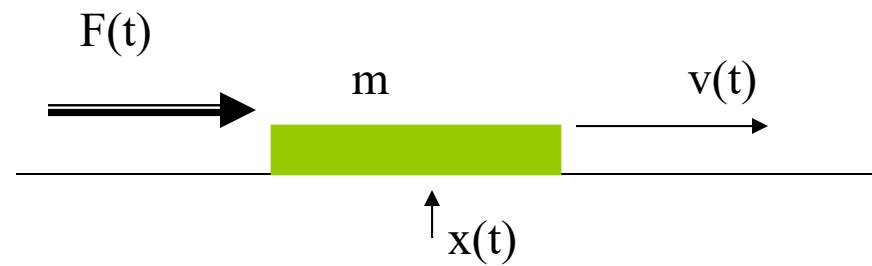


Lagrange Dynamical systems

Newton's Law

$$F = ma = m\ddot{x}$$

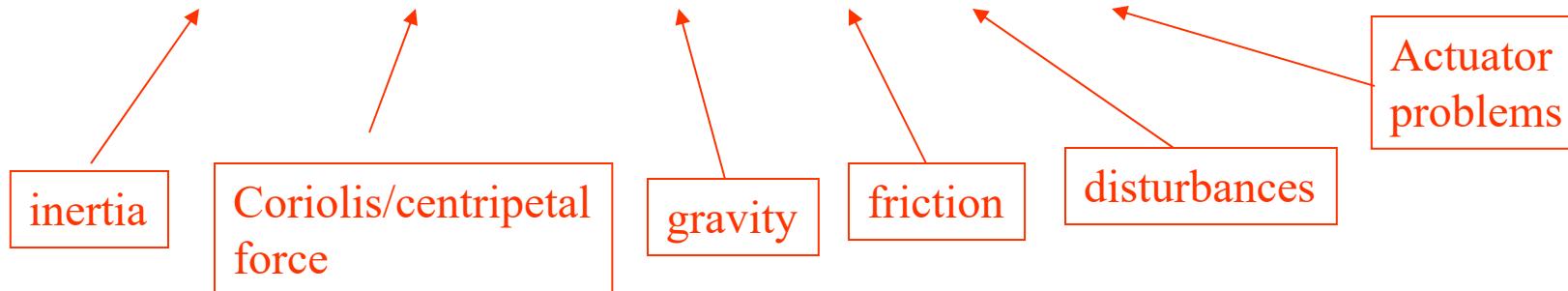
$$\ddot{x} = \frac{F(t)}{m} \equiv u(t)$$



Lagrange's Eqs. Of Motion \implies

Industrial Process and Motion Systems (Vehicles, Robots)

$$M(\dot{q})\ddot{q} + V_m(q, \dot{q})\dot{q} + G(q) + F(\dot{q}) + \tau_d = B(q)\tau$$



Dynamical System Models

Continuous-Time Systems

Discrete-Time Systems

Nonlinear system

$$\dot{x} = f(x) + g(x)u$$

$$y = h(x)$$

$$x_{k+1} = f(x_k) + g(x_k)u_k$$

$$y_k = h(x_k)$$

Linear system

$$\dot{x} = Ax + Bu$$

$$y = Cx$$

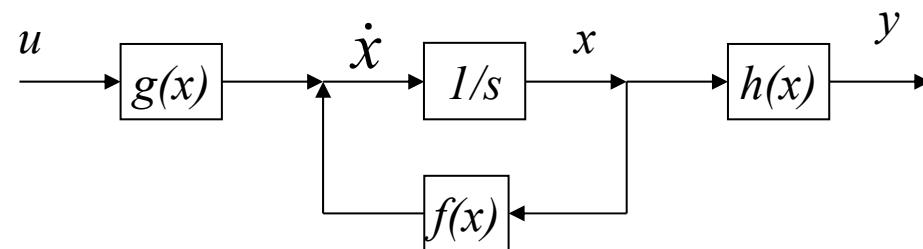
$$x_{k+1} = Ax_k + B_k$$

$$y_k = Cx_k$$

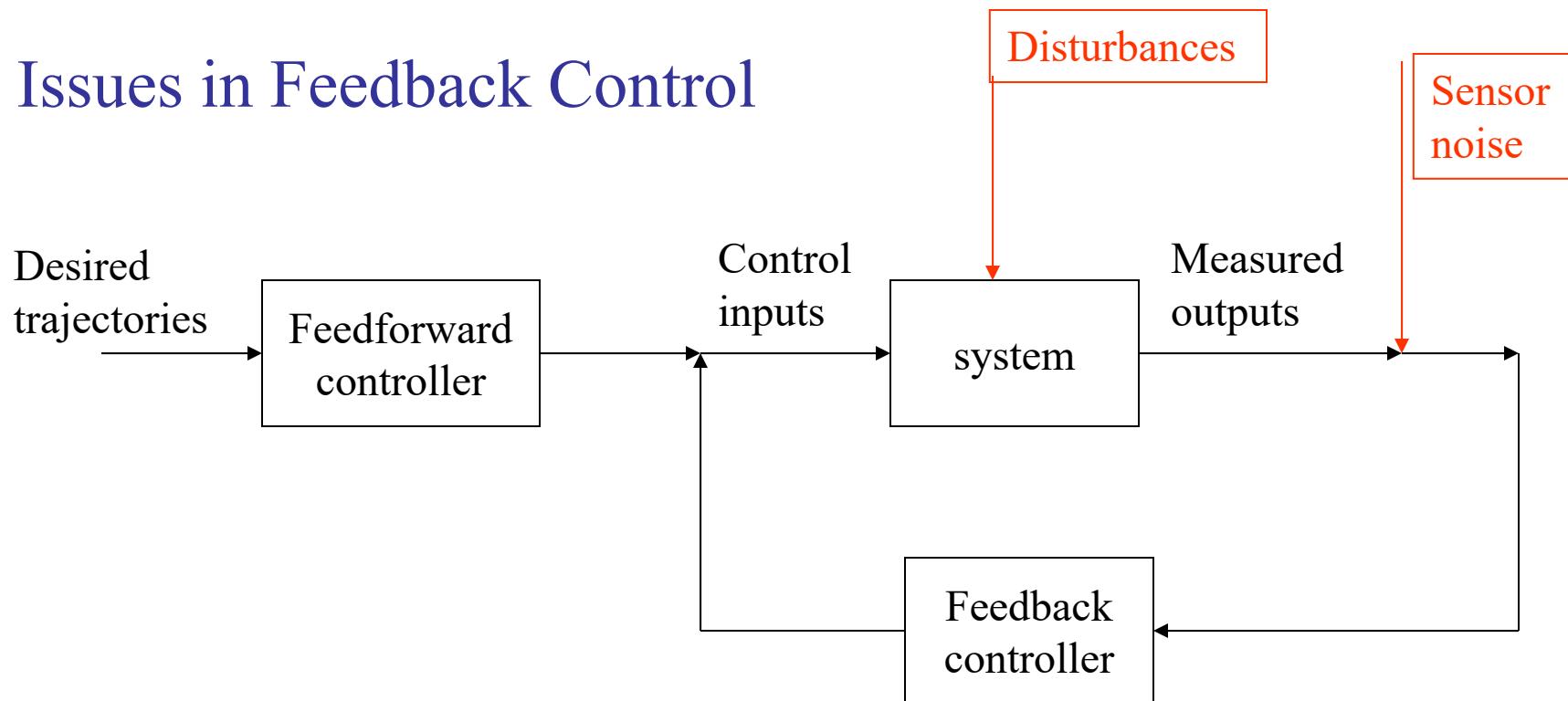
Control Inputs

Internal States

Measured Outputs



Issues in Feedback Control

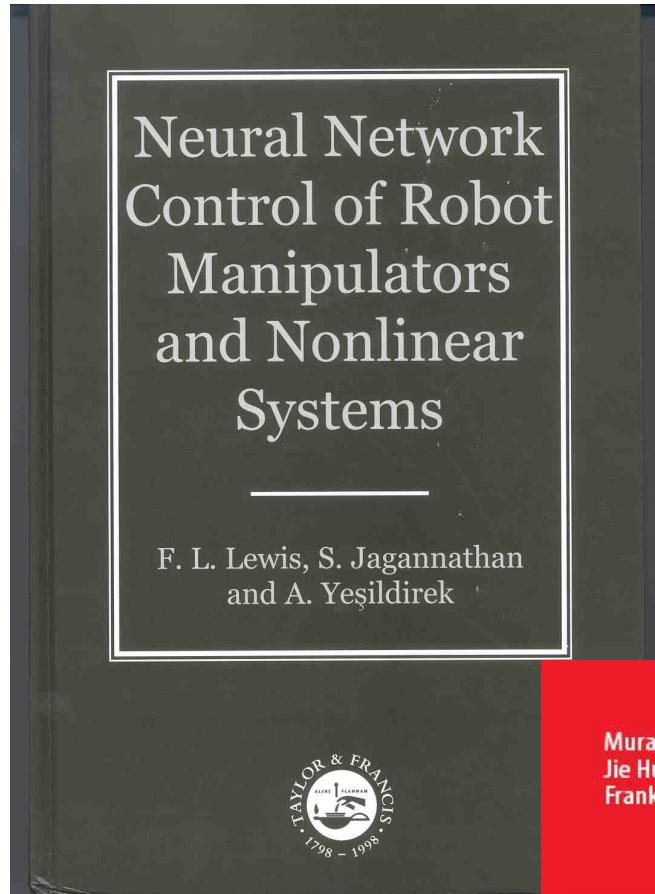


Stability
Tracking
Boundedness
Robustness
 to disturbances
 to unknown dynamics

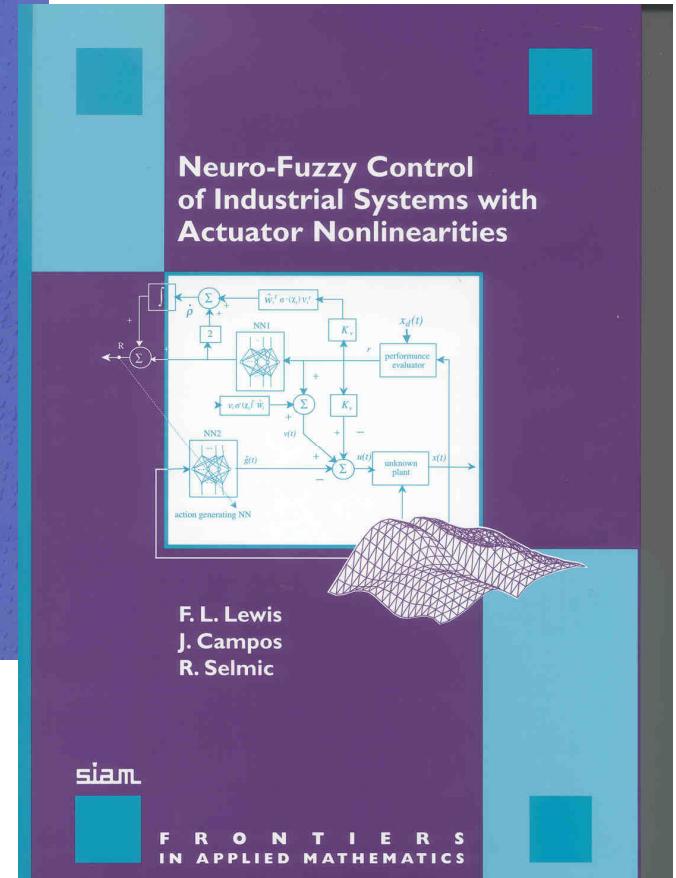
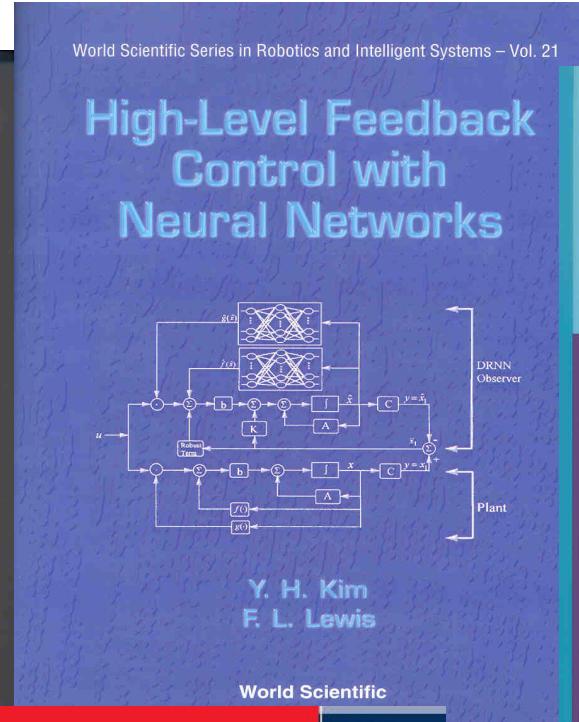
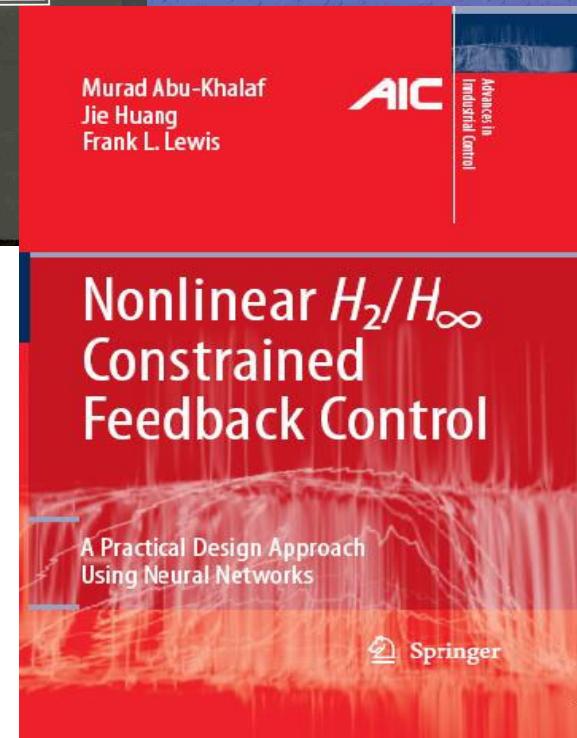
Unknown Process dynamics
Process Nonlinearities
Unknown Disturbances

Feedback Linearization
Adaptive Control
Neural Networks for Control
Neural-adaptive Control





6 US Patents



Sponsored by:
 China Qian Ren
 China Project 111
 US NSF, ARO, ONR,
 AFOSR

Example 1. Linear System

Feedback Linearization

$$y = \frac{1}{s^2 + a_1 s + a_2} u$$

$$\ddot{y} + a_1 \dot{y} + a_2 y = u$$

desired to track a reference input $y_d(t)$

Tracking error $e = y_d - y$

$$\ddot{e} = \ddot{y}_d + a_1 \dot{y} + a_2 y - u$$

Sliding variable $r = \dot{e} + \Lambda e$

Error dynamics $\dot{r} = \ddot{e} + \Lambda \dot{e} = \ddot{y}_d + \Lambda \dot{e} + a_1 \dot{y} + a_2 y - u$

Define Auxiliary input $u = v + \ddot{y}_d + \Lambda \dot{e}$

Then Error dynamics $\dot{r} = a_1 \dot{y} + a_2 y - v$

Of the form $\dot{r} = f(x) - v$

Unknown parameters

Unknown function

$$f(x) = a_1 \dot{y} + a_2 y = \begin{bmatrix} a_1 & a_2 \end{bmatrix} \begin{bmatrix} y \\ \dot{y} \end{bmatrix} = W^T \phi(x)$$

Known Regression Vector

Example 2. Nonlinear Lagrange System

$$\ddot{y} + d(y, \dot{y}) + k(y) = u$$

↑
unknown nonlinear friction
↓
unknown nonlinear damping term

desired to track a reference input $y_d(t)$

Tracking error $e = y_d - y$

$$\ddot{e} = \ddot{y}_d + d(y, \dot{y}) + k(y) - u$$

Sliding variable $r = \dot{e} + \Lambda e$

$$\text{Error dynamics} \quad \dot{r} = \ddot{e} + \Lambda \dot{e} = \ddot{y}_d + \Lambda \dot{e} + d(y, \dot{y}) + k(y) - u$$

$$\text{Define Auxiliary input} \quad u = v + \ddot{y}_d + \Lambda \dot{e}$$

$$\text{Then Error dynamics} \quad \dot{r} = f(x) - v$$

with $f(x) = d(y, \dot{y}) + k(y)$

Assume Linear in the Parameters (LIP)

$$f(x) = [D \quad K] \begin{bmatrix} d_1(y, \dot{y}) \\ k_1(y, \dot{y}) \end{bmatrix} = W^T \phi(x)$$

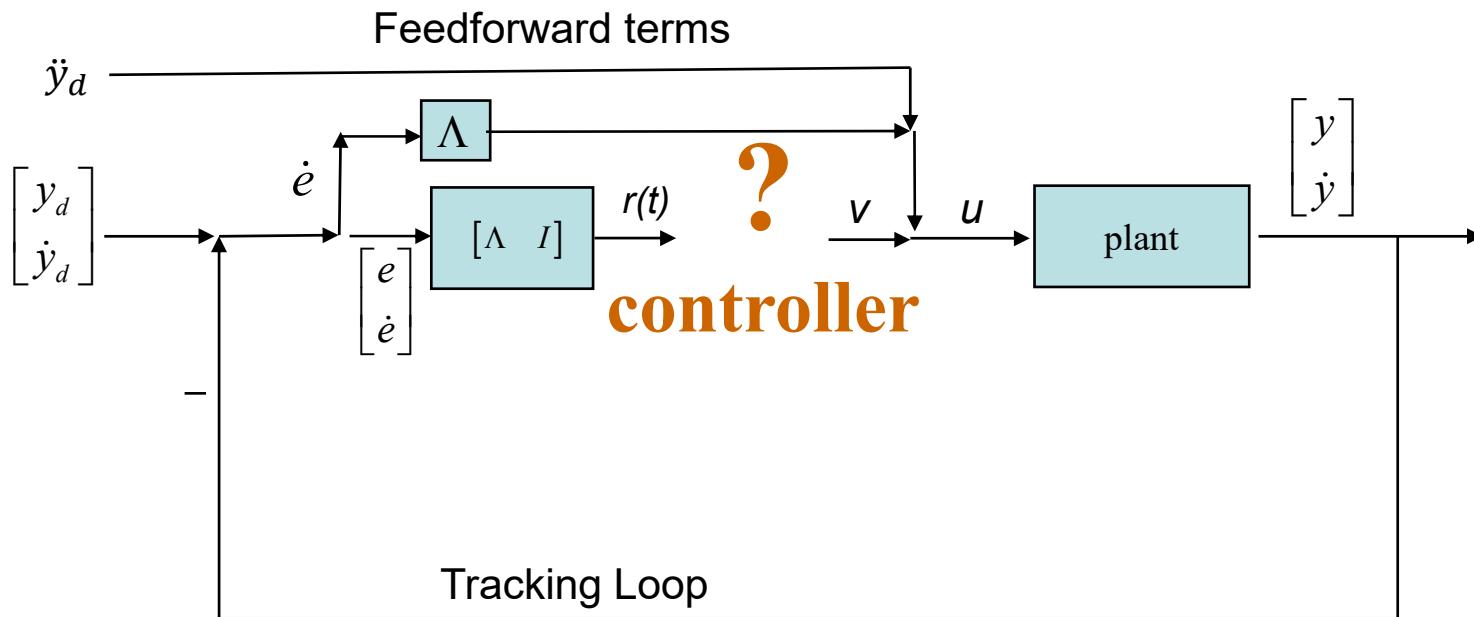
↑
Known possibly nonlinear regression function
↓
Unknown parameters

Feedback Linearization

Lagrangian System Appears in:
 Process control
 Mechanical systems
 Robots

Feedback Linearization Controller

$$r = \dot{e} + \Lambda e \quad u = v + \ddot{y}_d + \Lambda \dot{e}$$



The equations give the FB controller structure

Feedback Linearization Controller

$$r = \dot{e} + \Lambda e$$

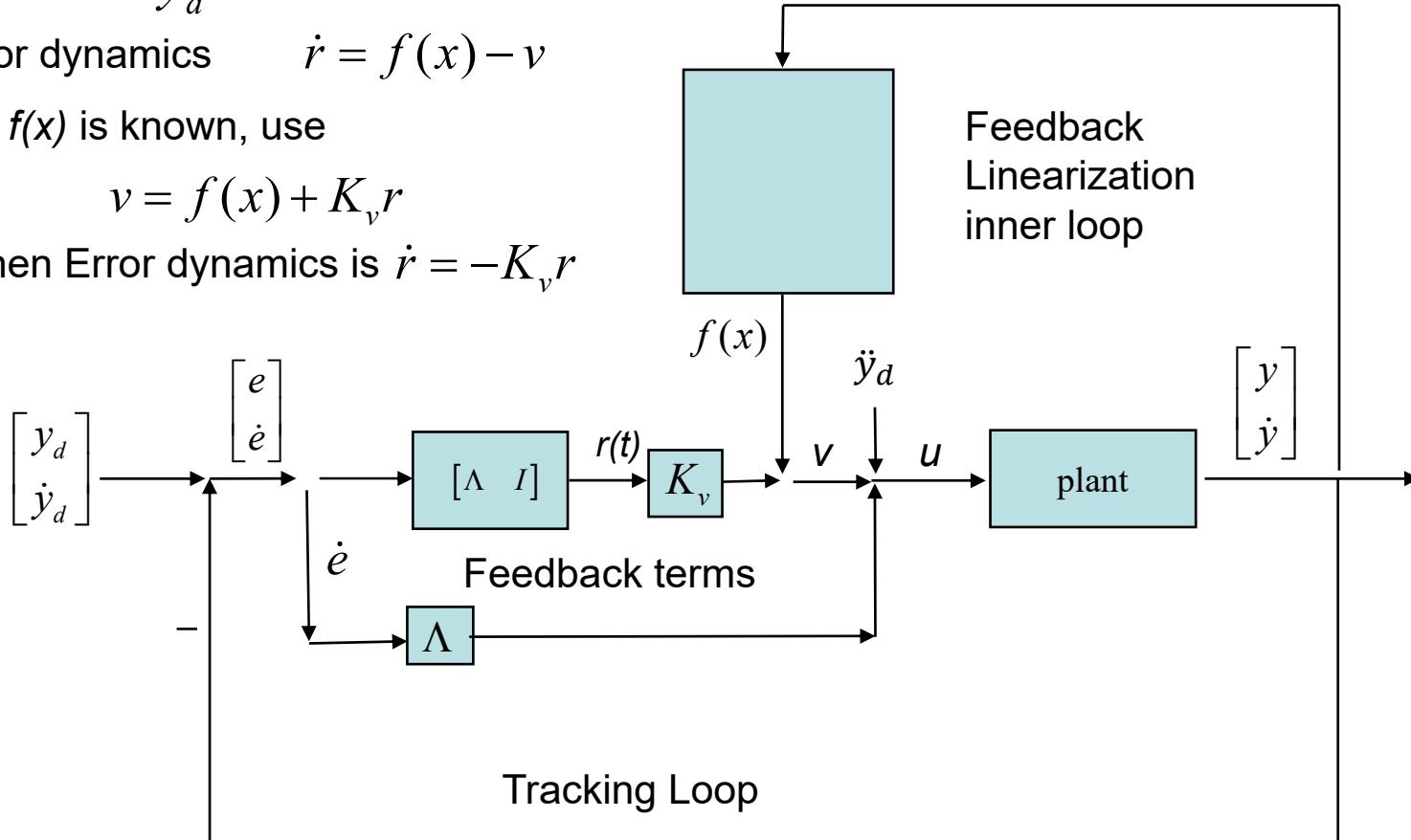
$$u = v + \ddot{y}_d + \Lambda \dot{e}$$

Error dynamics $\dot{r} = f(x) - v$

If $f(x)$ is known, use

$$v = f(x) + K_v r$$

Then Error dynamics is $\dot{r} = -K_v r$



The equations give the FB controller structure

Adaptive Control

Error Dynamics

$$\dot{r} = f(x) - v$$

$r(t)$ = control error

Control input
Unknown nonlinearities

Assume: $f(x)$ is known to be of the structure

$$f(x) = W^T \phi(x)$$

Known basis set= regression vector – DEPENDS ON THE SYSTEM

Unknown parameter vector

LINEAR-IN-THE-PARAMETERS (LIP)

Error Dynamics

$$\dot{r} = W^T \phi(x) - v$$

Adaptive Control

Controller

$$v = \hat{f}(x) + K_v r = \hat{W}^T(t)\phi(x) + K_v r$$

Pos. def. control gain
ESTIMATE of unknown parameters

closed-loop system becomes

$$\begin{aligned}\dot{r} &= W^T\phi(x) - v = W^T\phi(x) - \hat{W}^T\phi(x) - K_v r && \text{Est. error drives the control error} \\ \dot{r} &= \tilde{W}^T\phi(x) - K_v r\end{aligned}$$

Parameter estimation error

$$\tilde{W}(t) = W - \hat{W}(t)$$

Parameter estimate is updated (tuned) using the adaptive tuning law

$$\frac{d\hat{W}}{dt} = \dot{\hat{W}} = F\phi(x)r^T$$

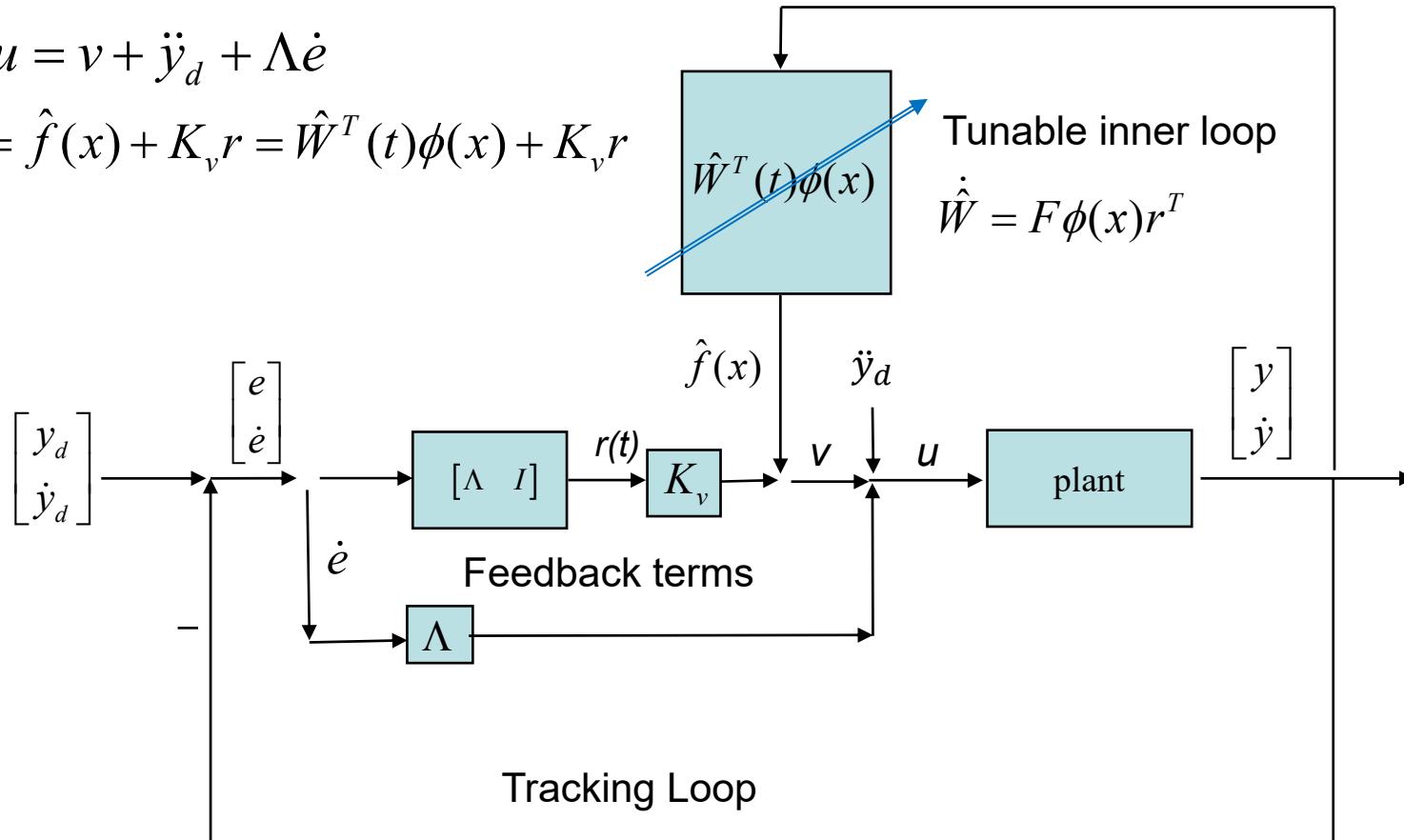
Feedback Linearization Adaptive Controller

A dynamic controller

$$r = \dot{e} + \Lambda e$$

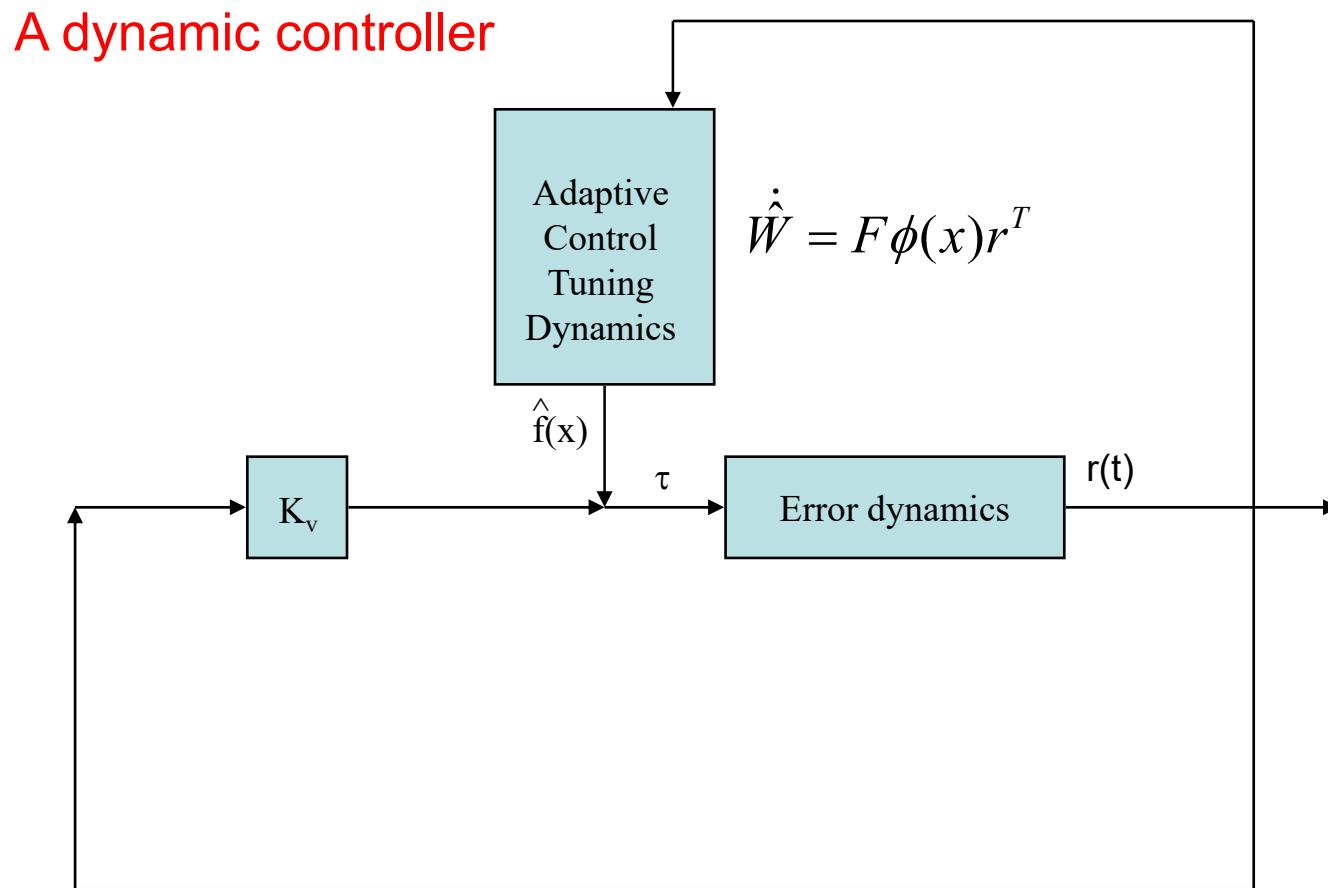
$$u = v + \ddot{y}_d + \Lambda \dot{e}$$

$$v = \hat{f}(x) + K_v r = \hat{W}^T(t) \phi(x) + K_v r$$



The equations give the FB controller structure

Adaptive Controller



Must define **extra state variables** for adaptive control parameters $\hat{W}(t)$
Extra complications to implement adaptive controller

Adaptive Control

Performance of Adaptive Controller: Using the adaptive controller, the closed-loop system is asymptotically stable, i.e. the control error $r(t)$ goes to zero.

If an additional Persistence of Excitation (PE) condition holds, the parameter estimates converge to the actual unknown parameters.

Proof:

$$L = \frac{1}{2} r^T r + \frac{1}{2} \text{tr}\{\tilde{W}^T F^{-1} \tilde{W}\} > 0 \quad \text{Frobenius Norm}$$

$$\dot{L} = r^T \dot{r} + \text{tr}\{\tilde{W}^T F^{-1} \dot{\tilde{W}}\}$$

$$\dot{r} = \tilde{W}^T \phi(x) - K_v r \quad \text{Error dynamics}$$

$$\dot{L} = r^T (\tilde{W}^T \phi(x) - K_v r) + \text{tr}\{\tilde{W}^T F^{-1} \dot{\tilde{W}}\} = -r^T K_v r + \text{tr}\{\tilde{W}^T (F^{-1} \dot{\tilde{W}} + \phi(x) r^T)\}$$

$$\dot{\hat{W}} = F \phi(x) r^T \quad \text{or} \quad \dot{\tilde{W}} = -F \phi(x) r^T \quad \text{Parameter tuning law}$$

$$\dot{L} = -r^T K_v r \leq 0$$

Therefore Lyapunov shows that $r(t), \tilde{W}(t)$ are bounded

Typical Behavior of Adaptive Controllers

Control errors go to zero and the parameter estimates converge.

This assumes that $f(x) = W^T \phi(x)$ holds exactly, and that there are no disturbances in the system.

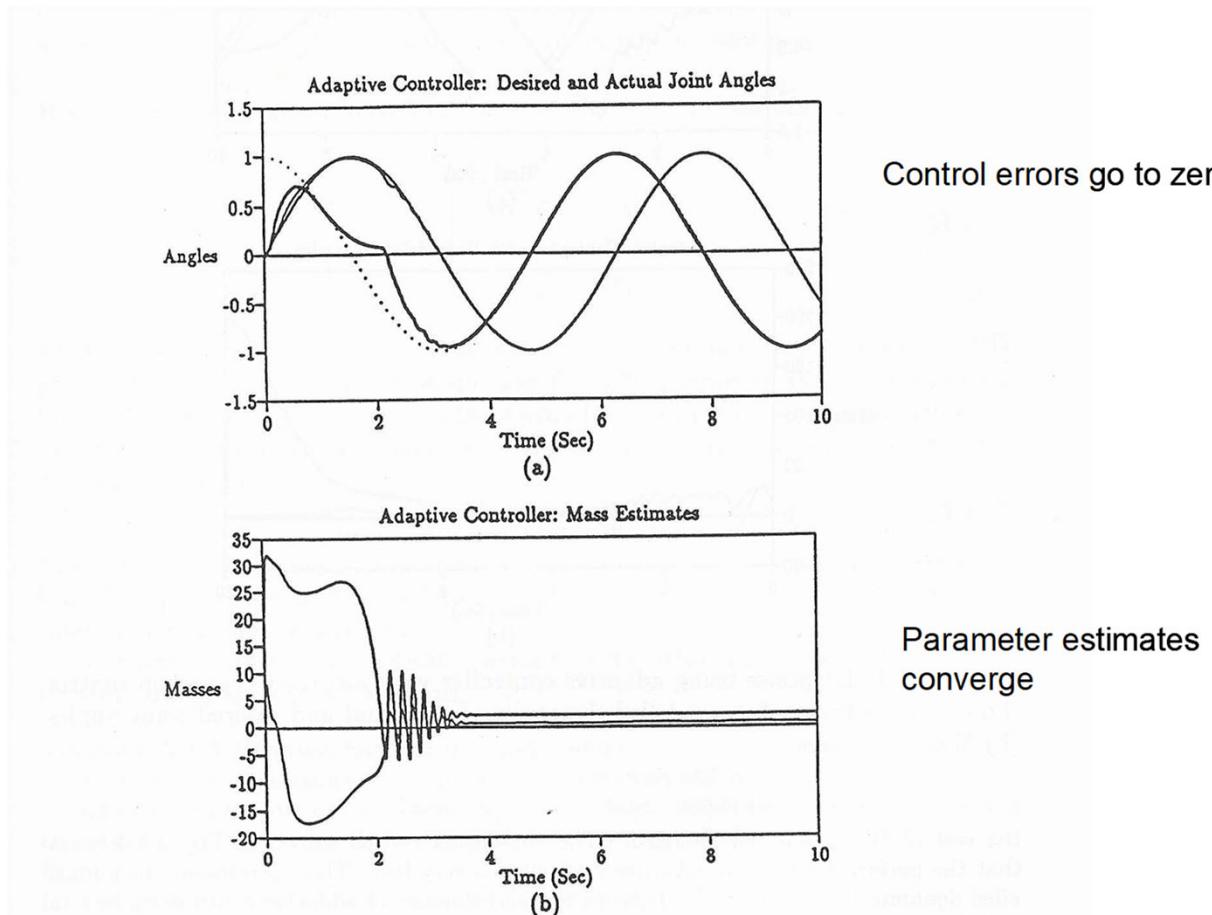


Figure 3.4.3: Response using adaptive controller. (a) Actual and desired joint angles. (b) Mass estimates.

Robust Control

Error dynamics

$$\dot{r} = f(x) - \tau$$

Actual function $f(x) = W^T \phi(x)$

Control input
Unknown nonlinearities

Assume

know a fixed nominal value or estimate $\hat{f}(x) = \hat{W}^T \phi(x)$ for unknown $f(x)$,

estimation error $\tilde{f} = f(x) - \hat{f}(x)$ is bounded like

$$\|\tilde{f}(x)\| \leq F(x)$$

Known bounding function, maybe nonlinear

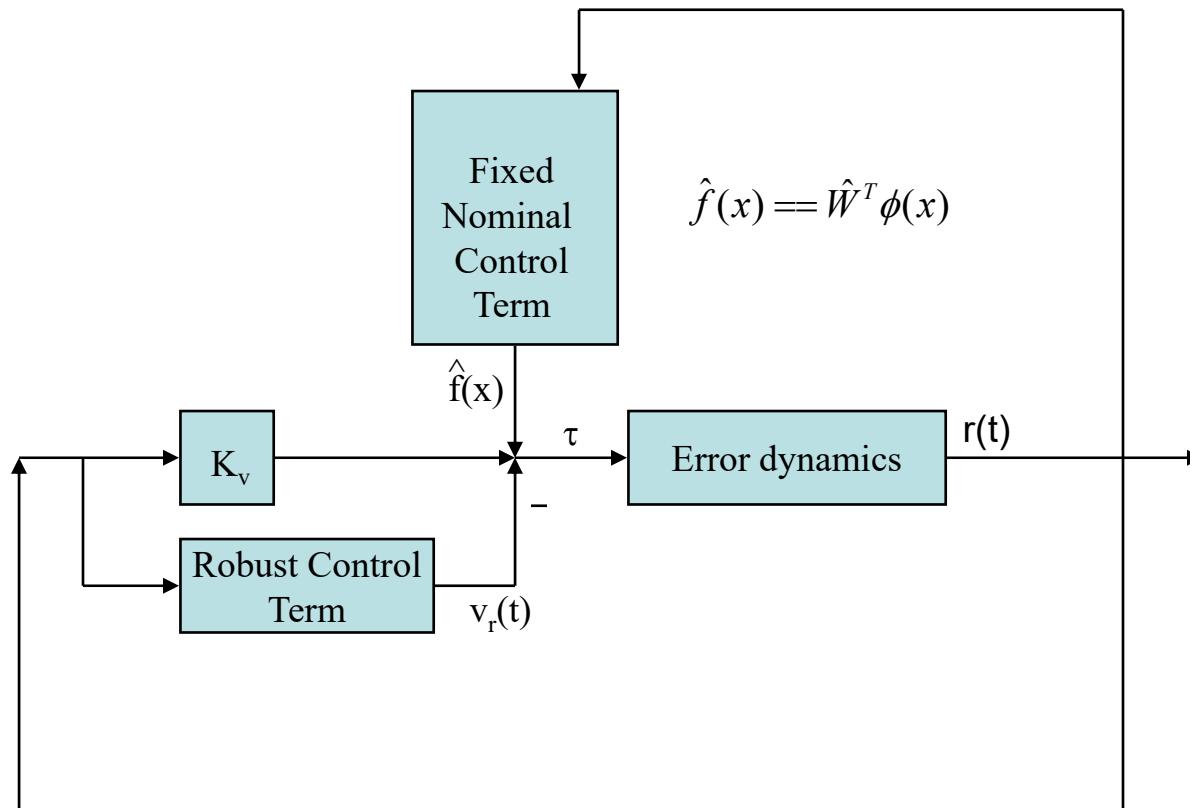
Controller

$$\tau = \hat{f}(x) + K_v r - v_r$$

$$v_r = \begin{cases} -r \frac{F(x)}{\|r\|}, & \|r\| \geq \varepsilon \\ -r \frac{F(x)}{\varepsilon}, & \|r\| < \varepsilon \end{cases}$$

Robust Controller

A NON Dynamic controller



Robust Controller

Robust Controller A NON Dynamic controller

Example. Linear System

$$y = \frac{1}{s^2 + a_1 s + a_2} u$$

$$\ddot{y} + a_1 \dot{y} + a_2 y = u$$

Actual system $\ddot{y} + 3\dot{y} + 5y = u$

Assumed estimates $\ddot{y} + 4\dot{y} + 2y = u$

$$\dot{r} = \ddot{e} + \Lambda \dot{e} = \ddot{y}_d + \Lambda \dot{e} + a_1 \dot{y} + a_2 y - u$$

$$= \ddot{y}_d + \Lambda \dot{e} + f(x) - u$$

$$f(x) = a_1 \dot{y} + a_2 y = [a_1 \quad a_2] \begin{bmatrix} y \\ \dot{y} \end{bmatrix} = W^T \phi(x) = [3 \quad 5] \begin{bmatrix} y \\ \dot{y} \end{bmatrix}$$

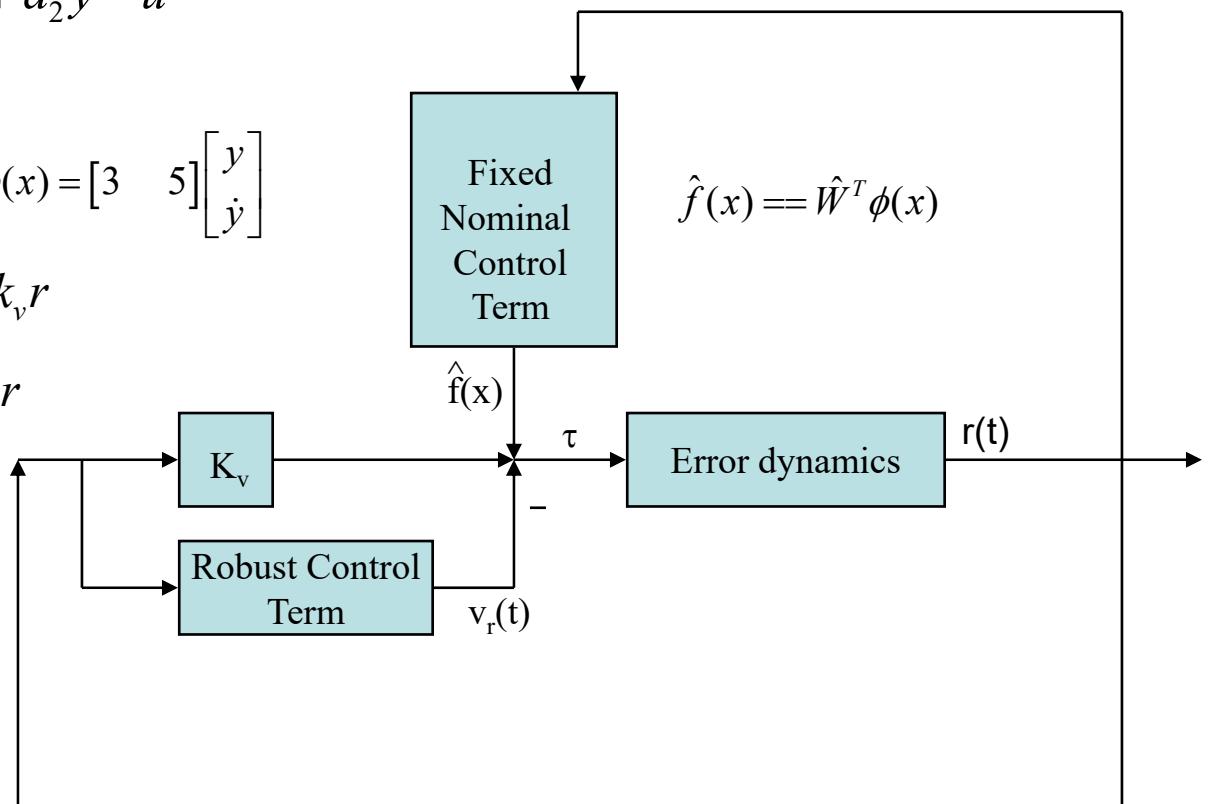
need $u = \ddot{y}_d + \Lambda \dot{e} + f(x) + k_v r$

pick $u = \ddot{y}_d + \Lambda \dot{e} + \hat{f}(x) + k_v r$

$$\hat{f}(x) = \hat{W}^T \phi(x) = [4 \quad 2] \begin{bmatrix} y \\ \dot{y} \end{bmatrix}$$

Then error dynamics is

$$\begin{aligned} \dot{r} &= W^T \phi(x) - \hat{W}^T \phi(x) - K_v r \\ &= \tilde{W}^T \phi(x) - K_v r \end{aligned}$$



This is a system with a bounded disturbance

Robust Controller

Closed-loop Error dynamics

$$\dot{r} = f(x) - \tau = f(x) - (\hat{f}(x) + K_v r - v_r)$$

$$\dot{r} = \tilde{f}(x) - K_v r + v_r \quad \dot{r} = W^T \phi(x) - \hat{W}^T \phi(x) - K_v r = \tilde{W}^T \phi(x) - K_v r$$

Performance of Robust Controller:

With this control, the closed-loop system is bounded stable with

$\|r\|$ bounded with a magnitude near ε

Proof:

$$L = \frac{1}{2} r^T r$$

$$\dot{L} = r^T \dot{r}$$

$$\dot{L} = r^T (\tilde{f}(x) - K_v r + v_r) = -r^T K_v r + r^T (\tilde{f}(x) + v_r)$$

$$\dot{L} \leq -\sigma_{\min}(K_v) \|r\|^2 + \|r\| F(x) + r^T v_r$$

$$v_r = \begin{cases} -r \frac{F(x)}{\|r\|}, & \|r\| \geq \varepsilon \\ -r \frac{F(x)}{\varepsilon}, & \|r\| < \varepsilon \end{cases}$$

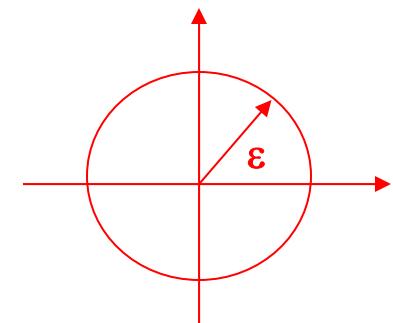
Case 1: $\|r\| \geq \varepsilon$

$$\begin{aligned} \dot{L} &\leq -\sigma_{\min}(K_v) \|r\|^2 + \|r\| F(x) - \|r\|^2 F(x) / \|r\| \\ &= -\sigma_{\min}(K_v) \|r\|^2 \end{aligned} \leq 0$$

Case 2: $\|r\| < \varepsilon$

$$\begin{aligned} \dot{L} &\leq -\sigma_{\min}(K_v) \|r\|^2 + \|r\| F(x) - \|r\|^2 F(x) / \varepsilon \\ &= -\sigma_{\min}(K_v) \|r\|^2 + \|r\| F(x) (1 - \|r\| / \varepsilon) \end{aligned}$$

indefinite



Typical Behavior of Robust Controllers

Control error does not go to zero but does indeed stay small.

Robust Control

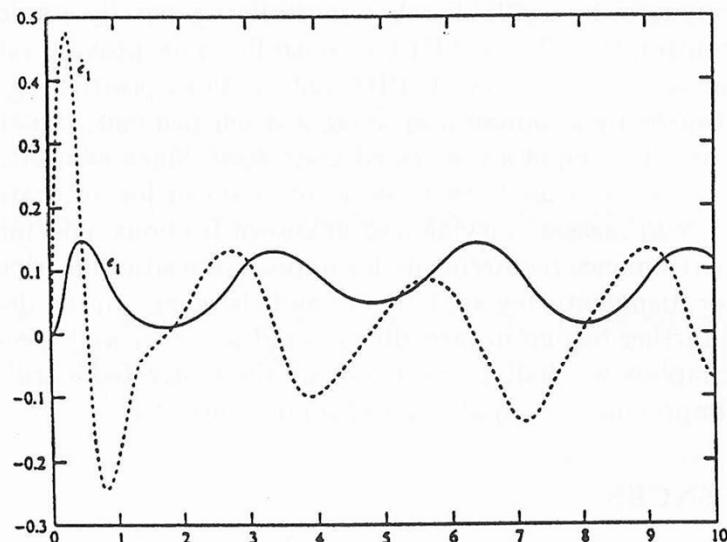
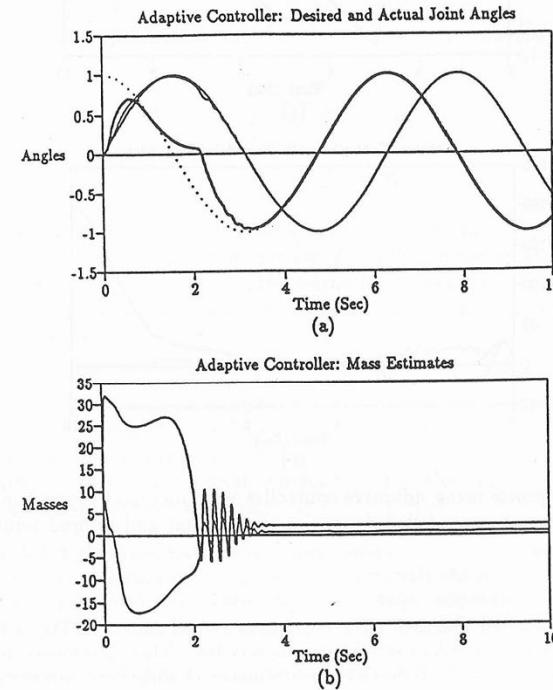


Figure 3.4.6: Typical behavior of robust controller.

Errors are bounded

Adaptive Control

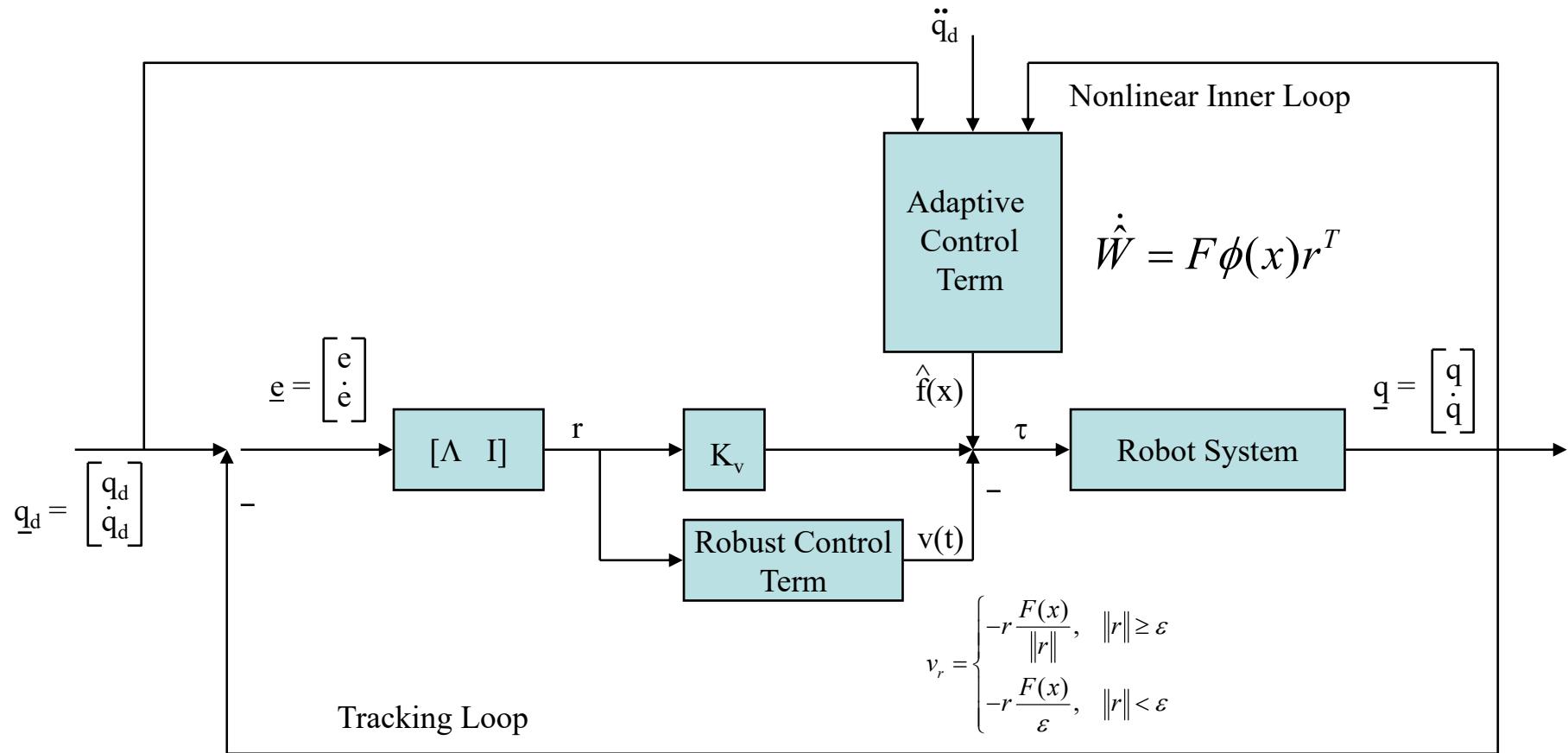


Control errors go to zero

Parameter estimates converge

Figure 3.4.3: Response using adaptive controller. (a) Actual and desired joint angles. (b) Mass estimates.

Adaptive plus robust control



Multiloop Nonlinear Controller Structure

Neural Networks for Control



Neural Network Control of Robot Manipulators and Nonlinear Systems

F. L. Lewis, S. Jagannathan
and A. Yesildirek

F. L. Lewis, S. Jagannathan, and A.
Yesildirek,

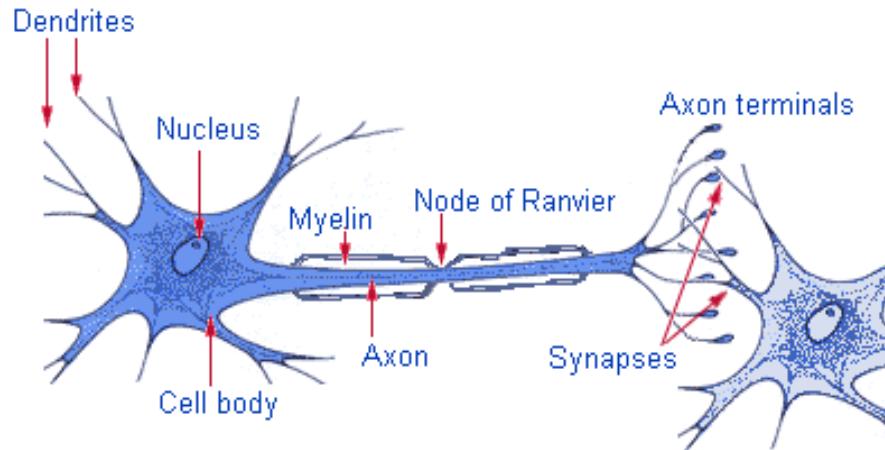
*Neural Network Control of Robot
Manipulators and Nonlinear Systems,*
Taylor and Francis, London, 1999.

NN control in Chapter 4



Neural Network Properties

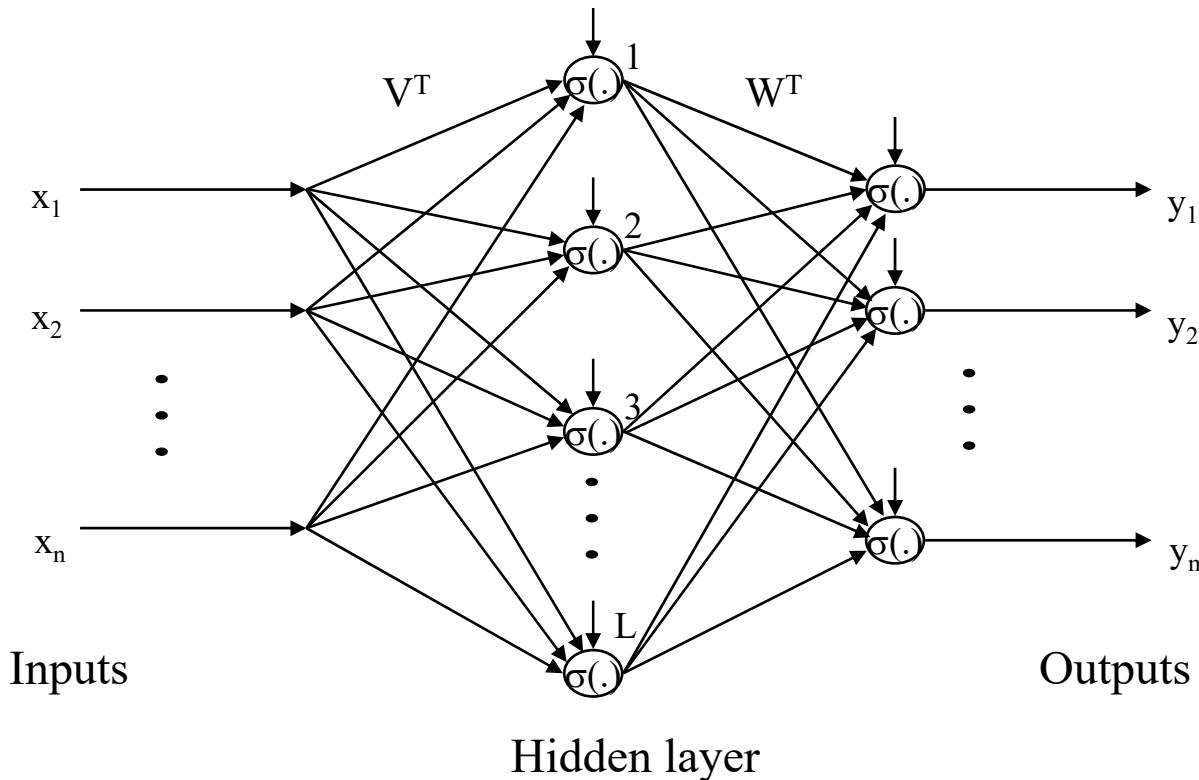
- Learning
- Recall
- Function approximation
- Generalization
- Classification
- Association
- Pattern recognition
- Clustering
- Robustness to single node failure
- Repair and reconfiguration



Nervous system cell.

<http://www.sirinet.net/~jgjohnso/index.html>

Two-layer feedforward static neural network (NN)



Summation eqs

$$y_i = \sigma \left(\sum_{k=1}^K w_{ik} \sigma \left(\sum_{j=1}^n v_{kj} x_j + v_{k0} \right) + w_{i0} \right)$$

Matrix eqs

$$\begin{aligned} y &= W^T \sigma(V^T x) \\ &= W^T \phi(x) \end{aligned}$$

Extra freedom to select basis set by tuning first-layer weights V .

Neural Network Universal Approximation Property

Let $f(x)$ be any smooth nonlinear function

Then $f(x)$ can be approximated by

$$f(x) = \sum_{i=1}^L w_i \phi_i(x) + \varepsilon(x)$$

For appropriate choice of the basis functions $\phi_i(x)$, $i = 1, L$

Moreover, the approximation error $\varepsilon(x)$ goes uniformly to zero as $L \rightarrow \infty$

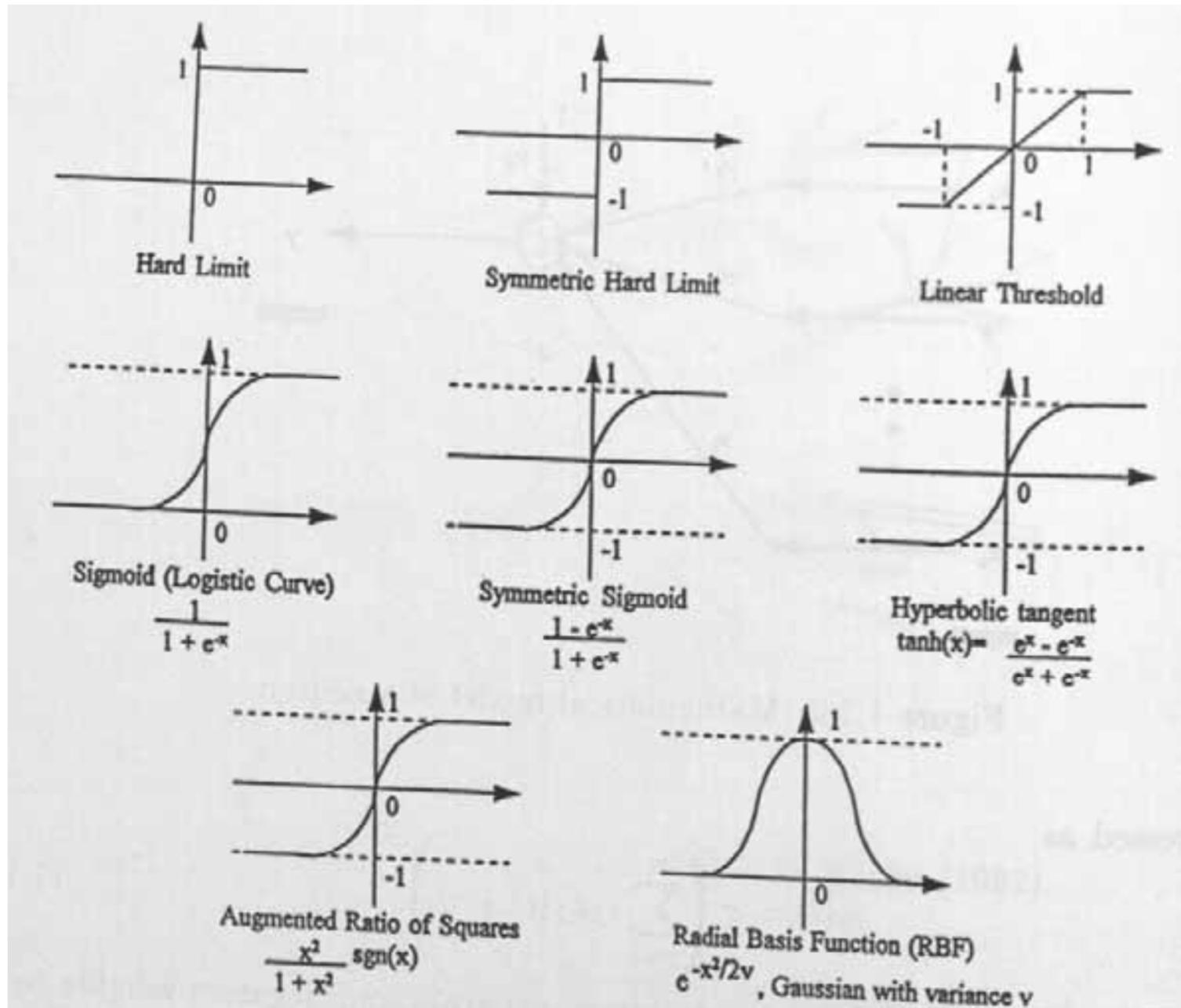
This was shown by Weierstrass for polynomial bases functions (Taylor series)

Hornik and Stinchcomb, Sandberg showed that $\varepsilon(x)$ is bounded on a compact set
For a large class of approximating functions

Need to find the unknown weights w_i

Do this by NN learning – tuning the NN weights

Common activation functions $\sigma(\cdot)$

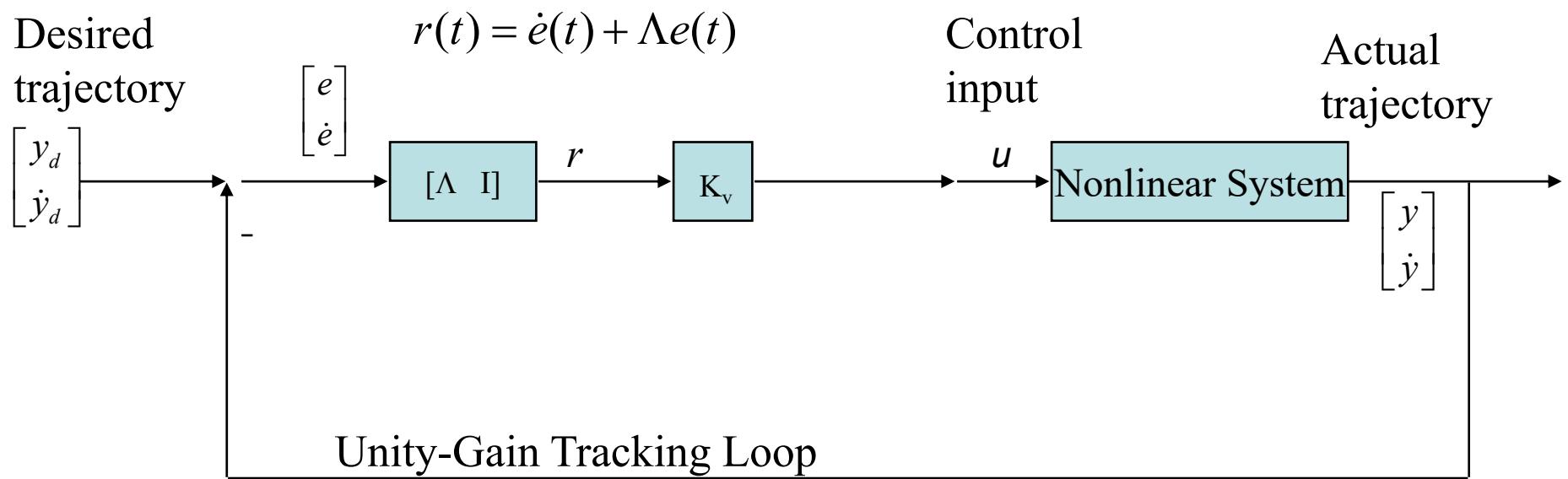


Industry Standard- PD Controller

Easy to implement with COTS controllers

Fast

Can be implemented with a few lines of code- e.g. MATLAB



But -- Cannot handle-

High-order unmodeled dynamics

Unknown disturbances

High performance specifications for nonlinear systems

Actuator problems such as friction, deadzones, backlash

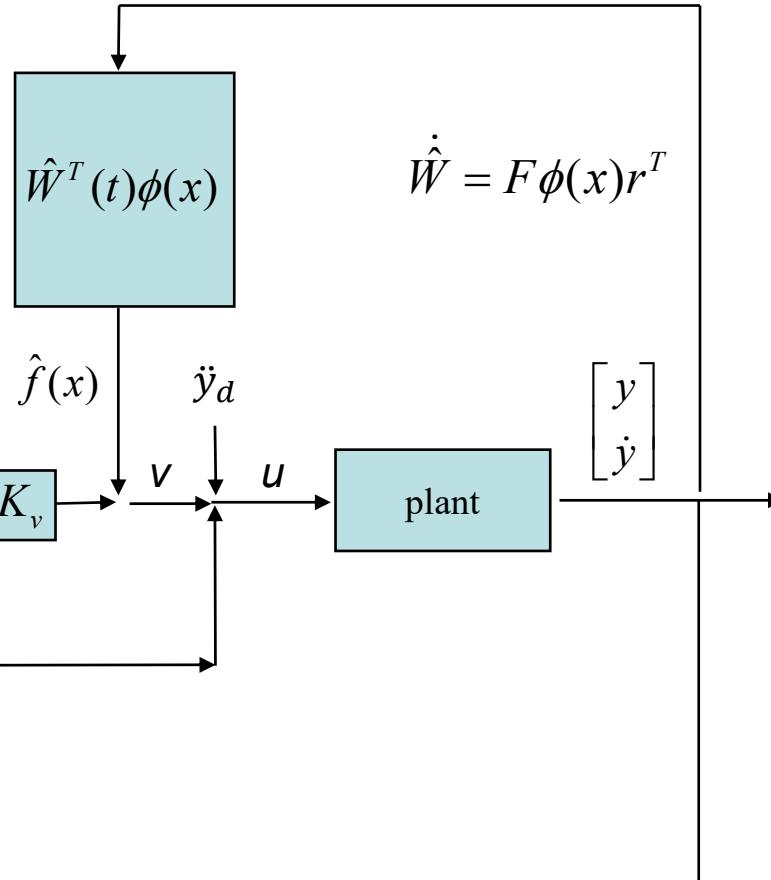
Feedback Linearization Adaptive Controller

A dynamic controller

$$r = \dot{e} + \Lambda e$$

$$u = v + \ddot{y}_d + \Lambda \dot{e}$$

$$v = \hat{f}(x) + K_v r = \hat{W}^T(t) \phi(x) + K_v r$$



The equations give the FB controller structure

Control System Design Approach

Robot dynamics $M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + F(\dot{q}) + G(q) + \tau_d = \tau$

Tracking Error definition $e(t) = q_d(t) - q(t)$

Siding variable $r = \dot{e} + \Lambda e$

Error dynamics $M\dot{r} = M(\ddot{e} + \Lambda\dot{e}) = M(\ddot{q}_d(t) - \ddot{q}(t) + \Lambda\dot{e})$

$$M\dot{r} = -V_m r + f(x) + \tau_d - \tau$$

Where the unknown function is

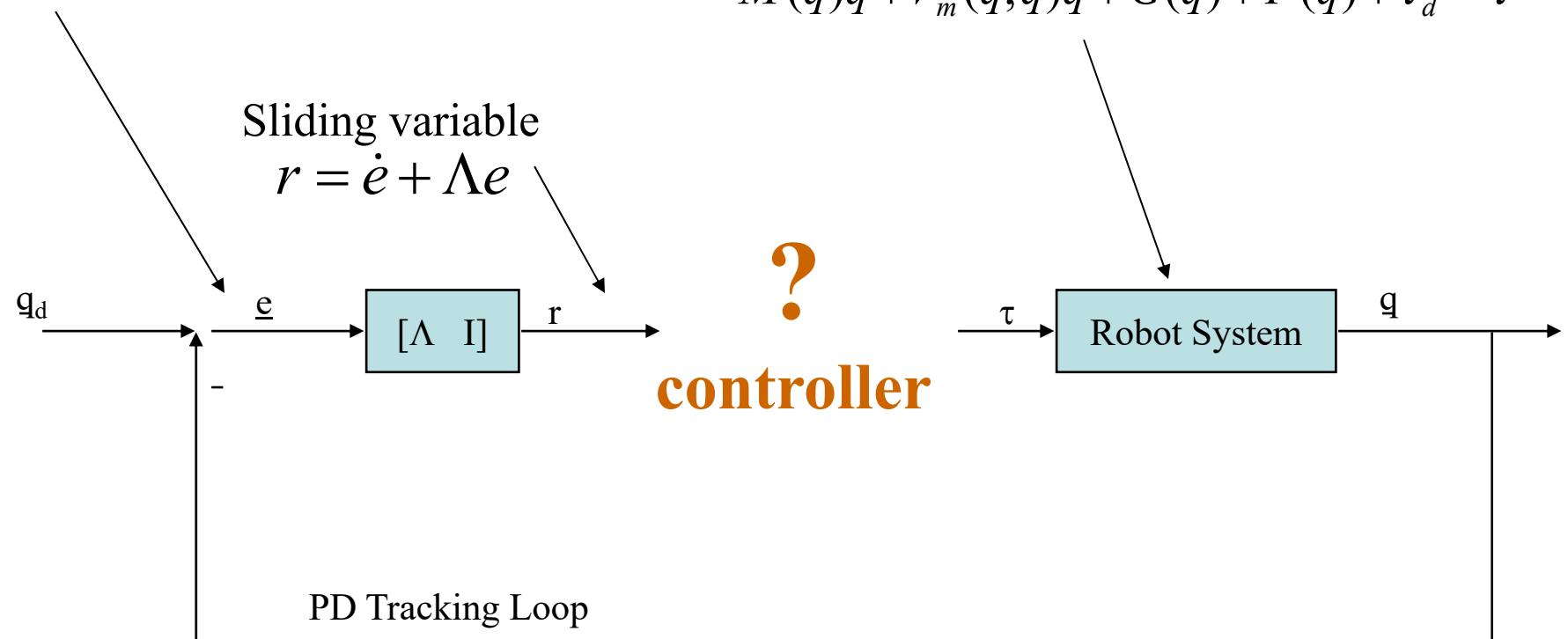
$$f(x) = M(q)(\ddot{q}_d + \Lambda\dot{e}) + V_m(q, \dot{q})(\dot{q}_d + \Lambda e) + F(\dot{q}) + G(q)$$

Tracking error

$$e(t) = q_d(t) - q(t)$$

Robot dynamics

$$M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + G(q) + F(\dot{q}) + \tau_d = \tau$$



The equations give the FB controller structure

Control System Design Approach

Robot dynamics $M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + G(q) + F(\dot{q}) + \tau_d = \tau$

Tracking Error definition $e(t) = q_d(t) - q(t)$ $r = \dot{e} + \Lambda e$

Error dynamics $M\dot{r} = -V_m r + f(x) + \tau_d - \tau$

Universal Approximation Property

Approx. unknown function by NN $f(x) = W^T \sigma(V^T x) + \varepsilon$

Define control input $\tau = \hat{W}^T \sigma(\hat{V}^T x) + K_v r - v$

Closed-loop dynamics

$$M\dot{r} = -V_m r - K_v r + W^T \sigma(V^T x) + \varepsilon - \hat{W}^T \sigma(\hat{V}^T x) + \tau_d + v(t)$$

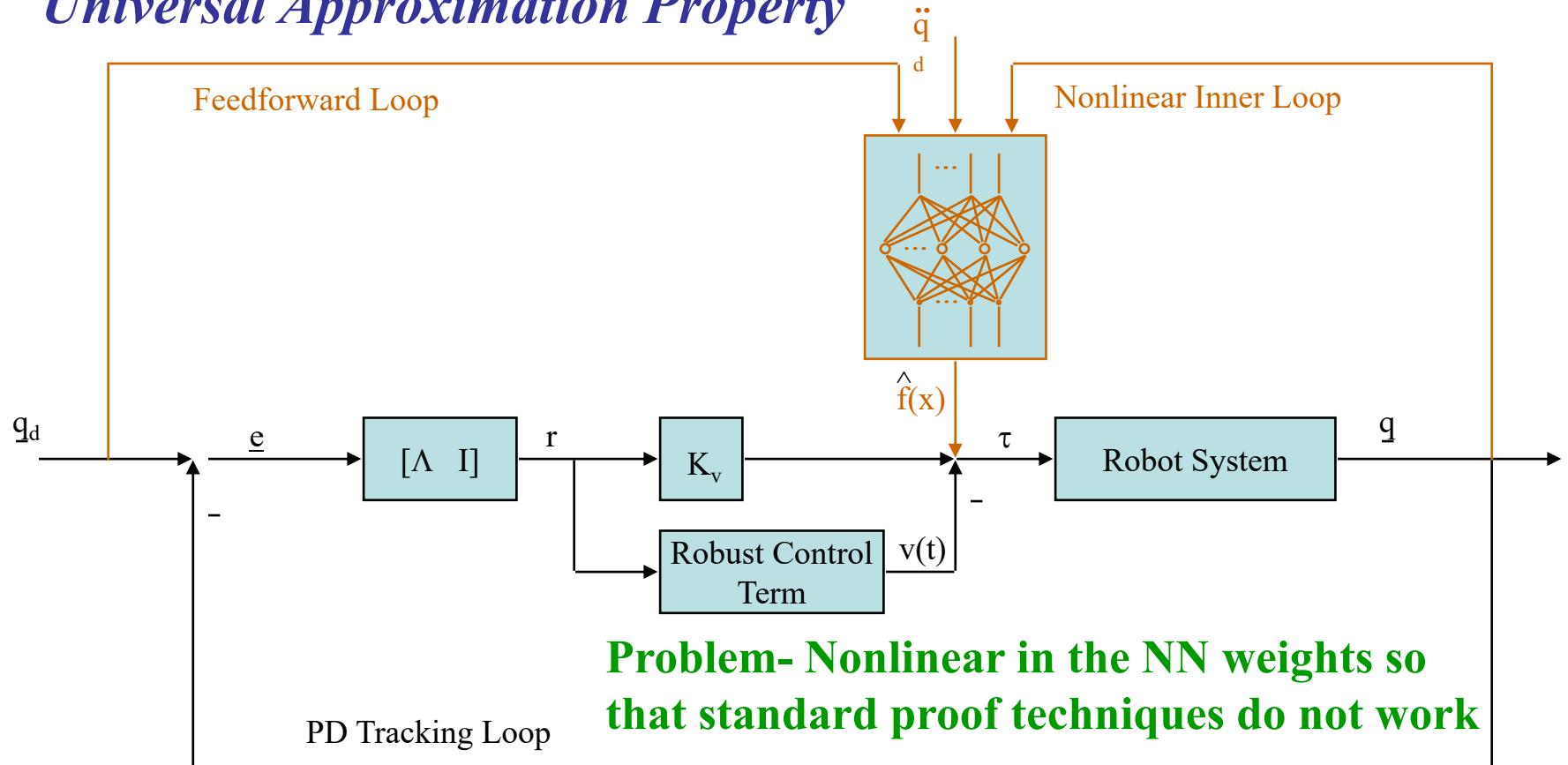
$$M\dot{r} = -V_m r - K_v r + \tilde{f} + \tau_d + v(t)$$

UNKNOWN FN.

Neural Network Robot Controller

Universal Approximation Property

Feedback linearization



Easy to implement with a few more lines of code

Learning feature allows for on-line updates to NN memory as dynamics change

Handles unmodelled dynamics, disturbances, actuator problems such as friction

NN universal basis property means no regression matrix is needed

Nonlinear controller allows faster & more precise motion

Adaptive part

Theorem 1 (NN Weight Tuning for Stability)

Robust part

Let the desired trajectory $q_d(t)$ and its derivatives be bounded. Let the initial tracking error be within a certain allowable set U . Let Z_M be a known upper bound on the Frobenius norm of the unknown ideal weights Z .

Take the control input as

$$\tau = \hat{W}^T \sigma(\hat{V}^T x) + K_v r - v \quad \text{with} \quad v(t) = -K_Z (\|Z\|_F + Z_M) r.$$

Let weight tuning be provided by

$$\dot{\hat{W}} = F \hat{\sigma} r^T - F \hat{\sigma}' \hat{V}^T x r^T - \kappa F \|r\| \hat{W}, \quad \dot{\hat{V}} = G x (\hat{\sigma}'^T \hat{W} r)^T - \kappa G \|r\| \hat{V}$$

with any constant matrices $F = F^T > 0, G = G^T > 0$, and scalar tuning parameter $\kappa > 0$. Initialize the weight estimates as $\hat{W} = 0, \hat{V} = \text{random}$.

Then the filtered tracking error $r(t)$ and NN weight estimates \hat{W}, \hat{V} are uniformly ultimately bounded. Moreover, arbitrarily small tracking error may be achieved by selecting large control gains K_v .

Backprop terms-
Werbos

Extra robustifying terms-
Narendra's e-mod extended to NLIP systems

Stability Proof based on Lyapunov Extension

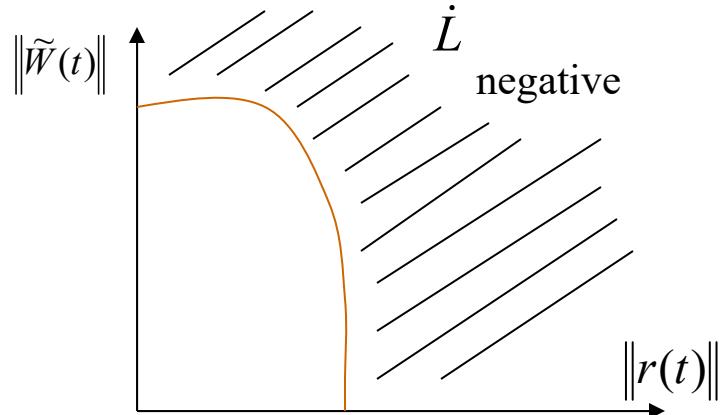
Define a Lyapunov Energy Function

$$L = \frac{1}{2} r^T M r + \frac{1}{2} \text{tr}(\tilde{W}^T \tilde{W}) + \frac{1}{2} \text{tr}(\tilde{V}^T \tilde{V})$$

Differentiate

$$\begin{aligned}\dot{L} = & -r^T K_v r + \frac{1}{2} r^T (\dot{M} - 2V_m) r \\ & + \text{tr } \tilde{W}^T (\dot{\tilde{W}} + \hat{\sigma} r^T - \hat{\sigma}' \hat{V}^T x r^T) \\ & + \text{tr } \tilde{V}^T (\dot{\tilde{V}} + x r^T \hat{W}^T \hat{\sigma}') + r^T (w + v)\end{aligned}$$

Using certain special tuning rules, one can show that the energy derivative is negative outside a compact set.



UUB- uniform ultimate boundednes

Problems—

1. How to characterize the NN weight errors as ‘small’?- use Frobenius Norm
2. Nonlinearity in the parameters requires extra care in the proof

This proves that all signals are bounded

Adaptive Control

Parameter Convergence and Persistence of Excitation:

Error dynamics

$$\dot{r} = \tilde{W}^T \phi(x) - K_v r$$

So that

$$z(t) \equiv \tilde{W}^T \phi(x) = \dot{r} + K_v r \quad \text{Is bounded}$$

Dynamics of param. est. error

$$\dot{\tilde{W}} = -F \phi(x) r^T$$

$$z^T(t) \equiv \phi^T(x) \tilde{W} = (\dot{r} + K_v r)^T$$

Lyapunov showed that $r(t) \rightarrow 0, \dot{r}(t) \rightarrow 0$ so input and output go to zero

So the state $\tilde{W}(t)$ goes to zero if the system is uniformly completely observable

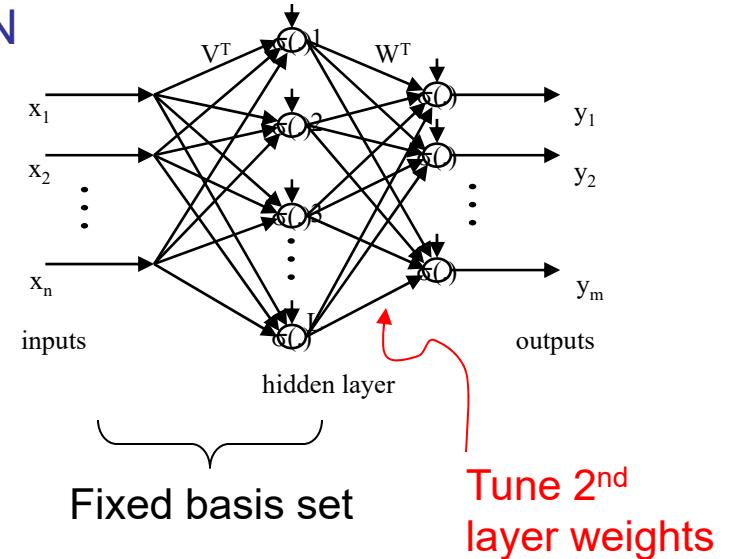
$$\alpha_1 I \leq \int_t^{t+T} \phi(x(\sigma)) \phi^T(x(\sigma)) d\sigma \leq \alpha_2 I$$

This is the same as a **persistence of excitation condition** on the regression vector

Therefore, if $\phi(x)$ is PE, the parameters converge.

Special case- Linear in the parameters – 1 layer NN

NO REGRESSION VECTOR NEEDED!



Take the control input as

$$\tau = \hat{W}^T \sigma(\hat{V}^T x) + K_v r - v \quad \text{with} \quad v(t) = -K_Z (\|Z\|_F + Z_M) r .$$

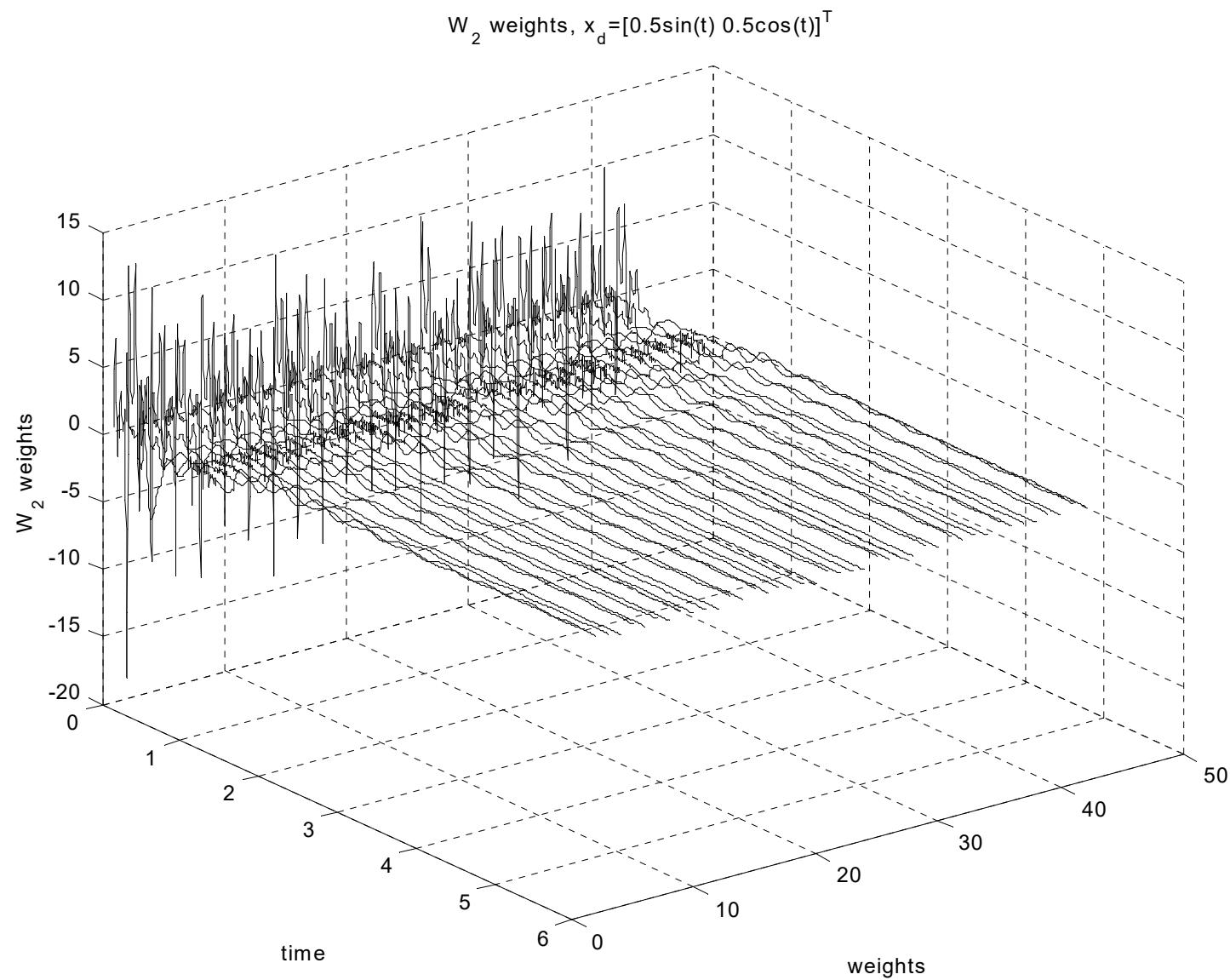
Let weight tuning be provided by

~~$$\dot{\hat{W}} = F \hat{\sigma} r^T - F \hat{\sigma} \hat{V}^T x r^T - \kappa F \|r\| \hat{W},$$~~

~~$$\dot{\hat{V}} = G x (\hat{\sigma}'^T \hat{W} r)^T - \kappa G \|r\| \hat{V}$$~~

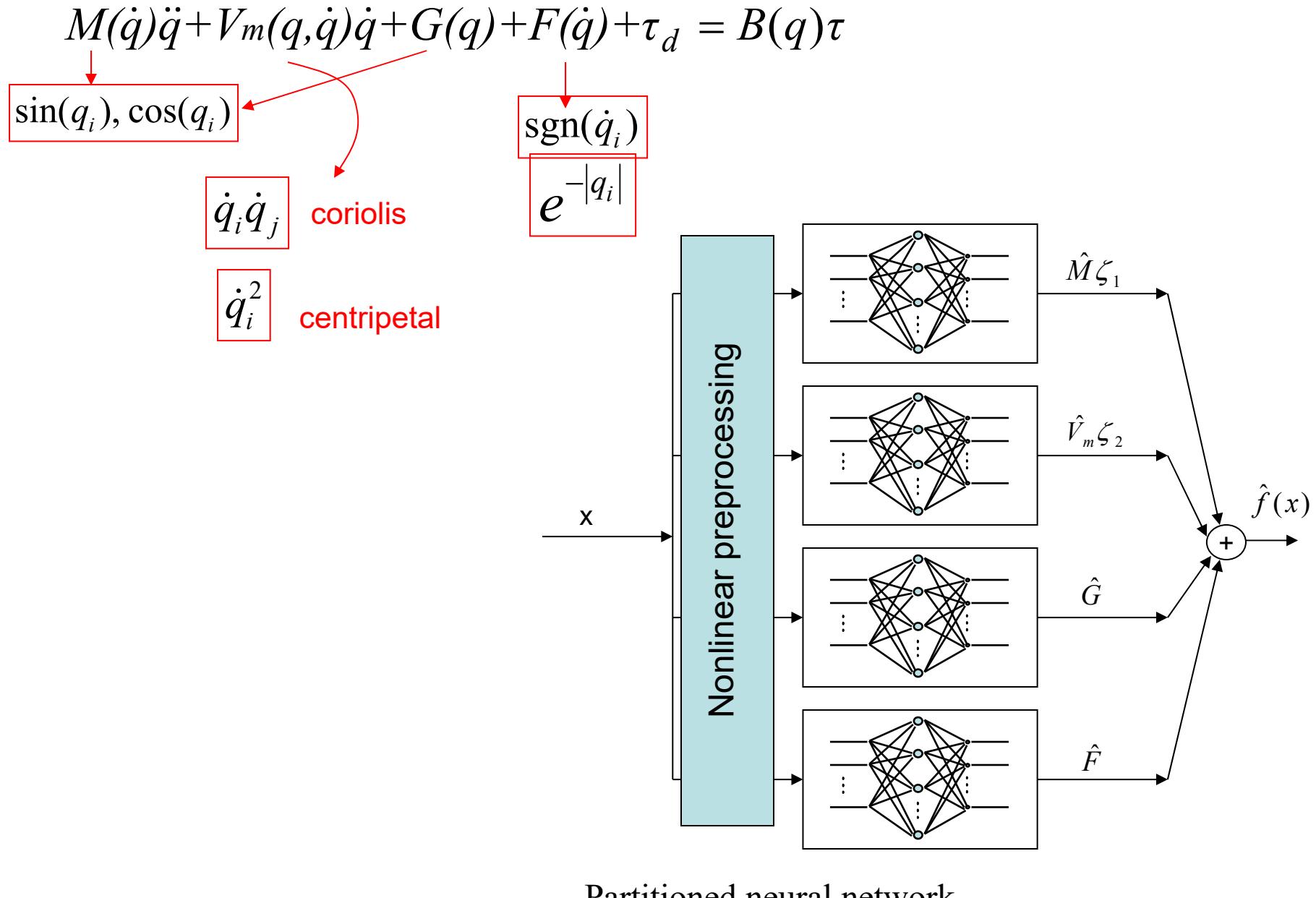
STILL BETTER THAN ADAPTIVE CONTROL

This is a universal basis set good for a class of systems
Not a regression vector good for only one system



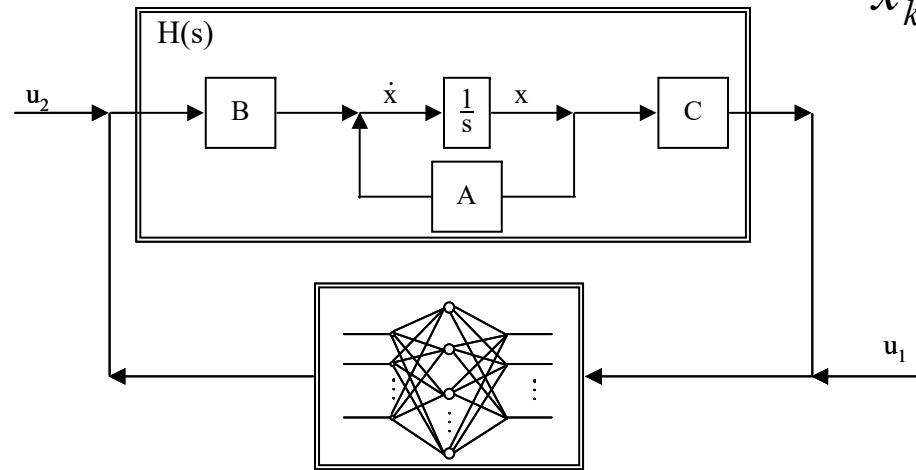
NN weights converge to the best learned values for the given system

Structured Control NN

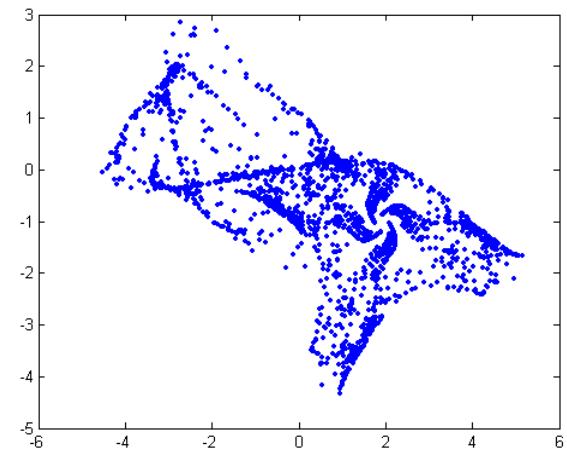


Chaos in Dynamic Neural Networks

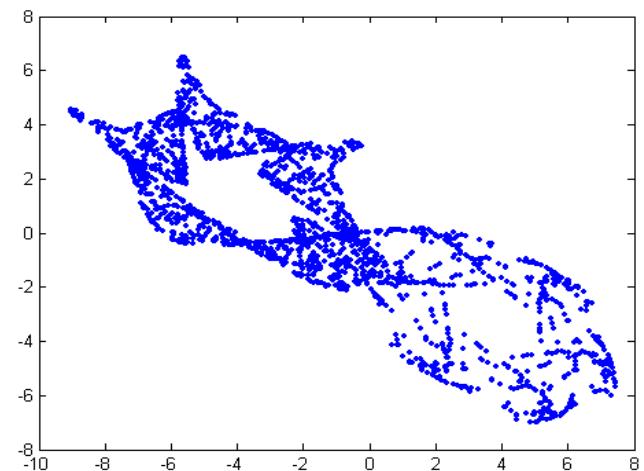
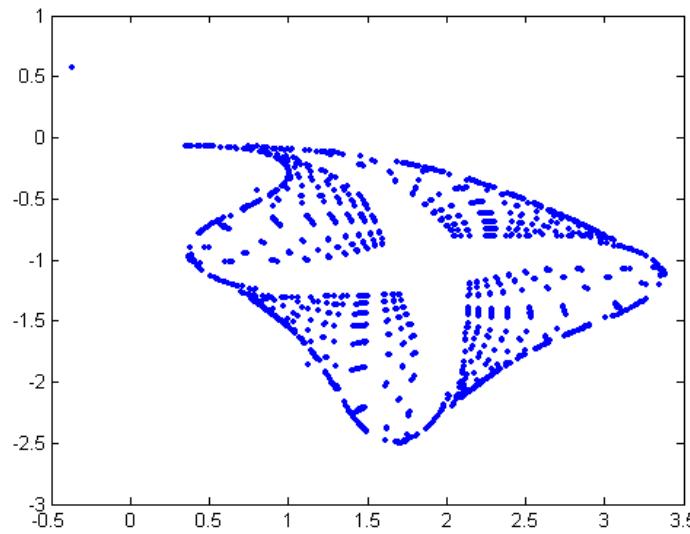
Recurrent or Dynamic NN



$$x_{k+1} = Ax_k + W^T \sigma(V^T x_k) + u_k$$



c.f. Ron Chen



Kung Tz 500 BC

Confucius

Tian xia da tong
Harmony under heaven

Archery
Chariot driving

Music
Rites and Rituals

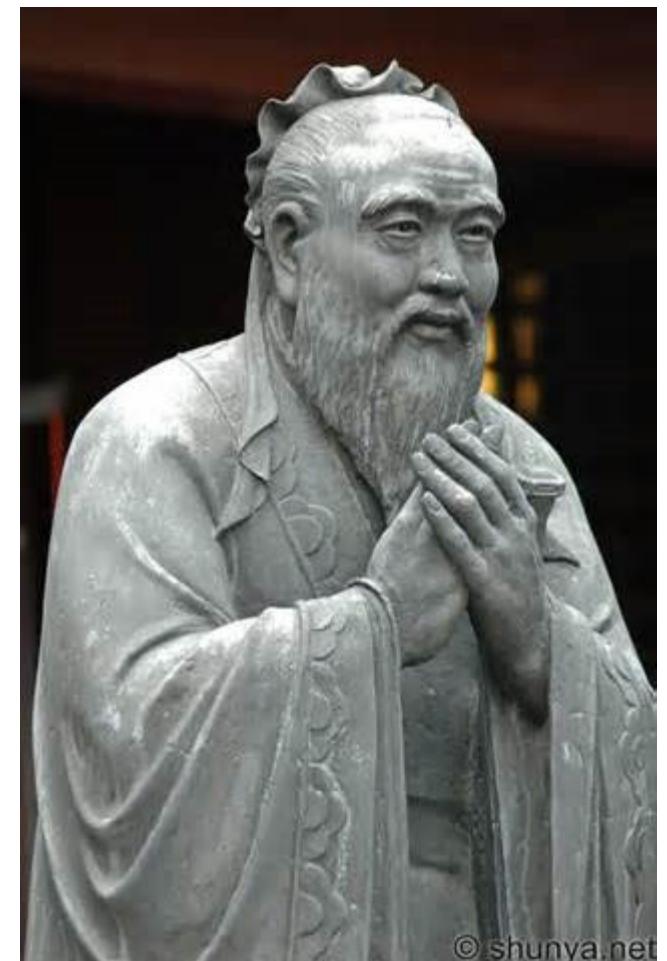
Poetry
Mathematics

孔子



124 BC - Han Imperial University in Chang-an

Man's relations to
Family
Friends
Society
Nation
Emperor
Ancestors



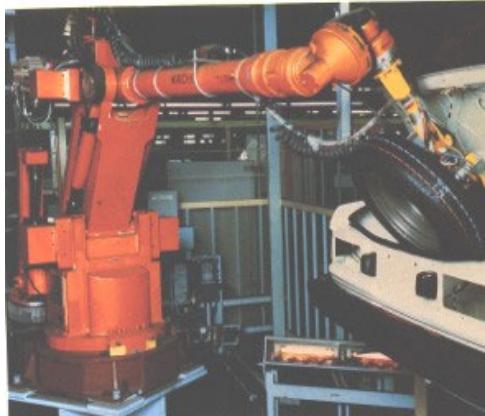
© shunya.net

Handling High-Frequency Dynamics



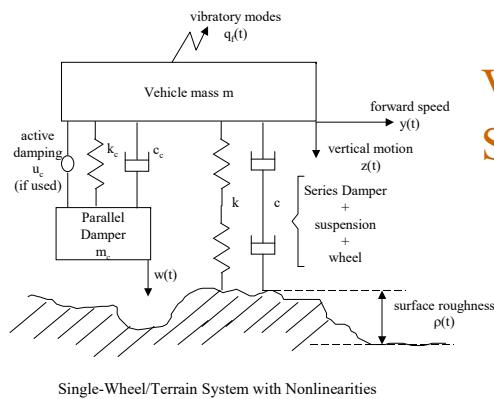
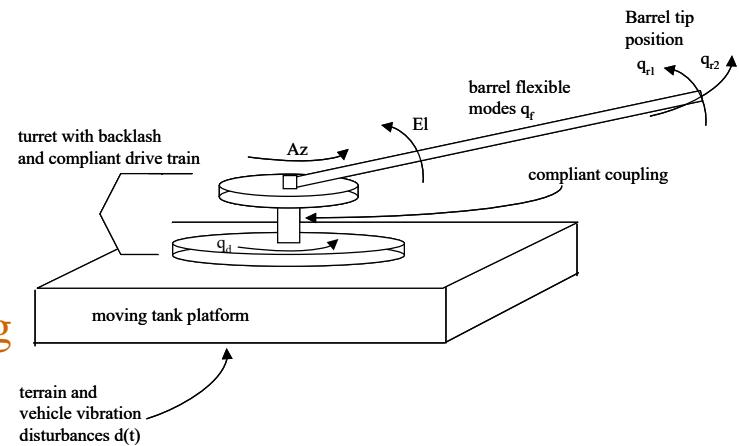
Relevance- Machine Feedback Control

High-Speed Precision Motion Control with unmodeled dynamics, vibration suppression, disturbance rejection, friction compensation, deadzone/backlash control



Industrial
Machines

Satellite pointing
Land Systems



Vehicle
Suspension

Aerospace





Force Control



Flexible pointing systems



Vehicle active suspension

SBIR Contracts

What about practical Systems?

Two types of high frequency modes in Industrial Processes

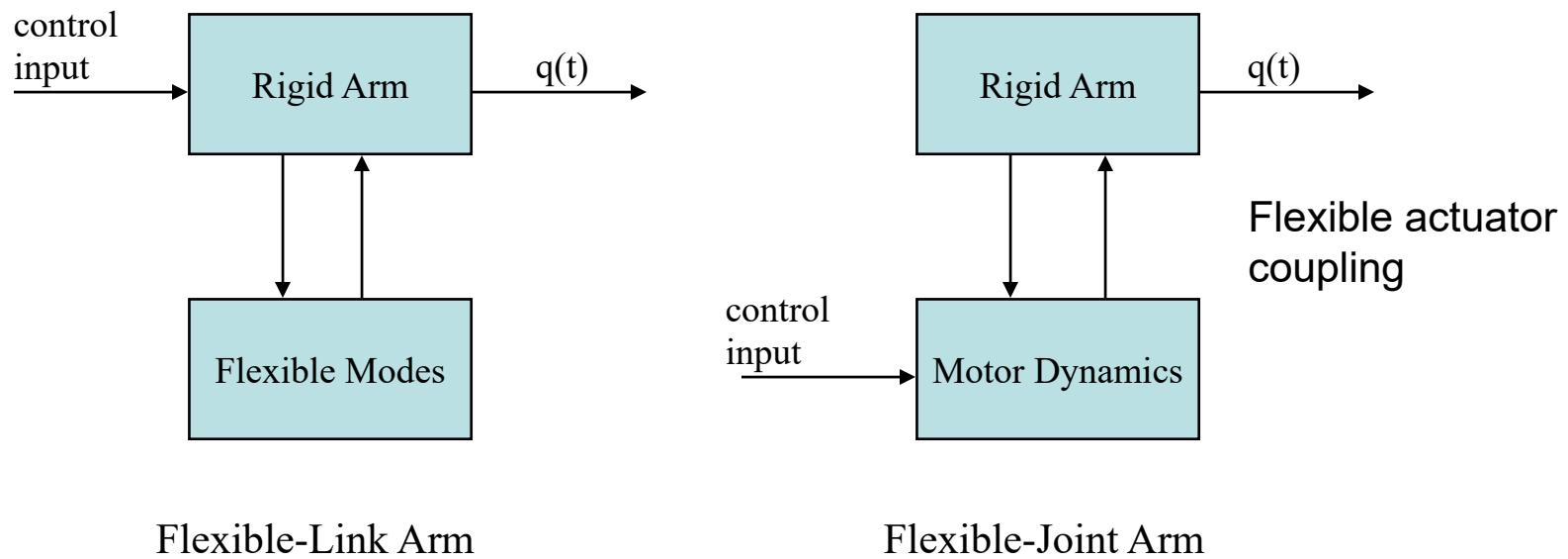


Fig. 5.2.4 Two canonical control problems with high-frequency modes.
(a) Flexible-link robot arm. (b) Flexible-joint robot arm.

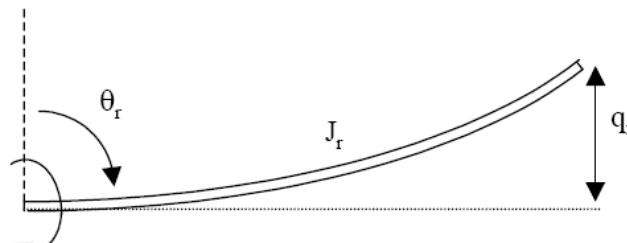
Flexible Systems with Vibratory Modes

$$\begin{bmatrix} M_{rr} & M_{rf} \\ M_{fr} & M_{ff} \end{bmatrix} \begin{bmatrix} \ddot{q}_r \\ \ddot{q}_f \end{bmatrix} + \begin{bmatrix} V_{rr} & V_{rf} \\ V_{fr} & V_{ff} \end{bmatrix} \begin{bmatrix} \dot{q}_r \\ \dot{q}_f \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ o & K_{ff} \end{bmatrix} \begin{bmatrix} q_r \\ q_f \end{bmatrix} + \begin{bmatrix} F_r \\ 0 \end{bmatrix} + \begin{bmatrix} G_r \\ 0 \end{bmatrix} = \begin{bmatrix} B_r \\ B_f \end{bmatrix} \tau$$

Rigid dynamics

Flexible dynamics

Problem- only one control input !



Flexible link pointing system

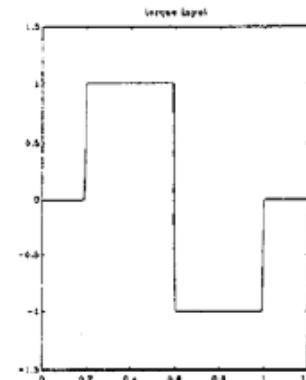


Fig. 2 Acceleration/deceleration torque profile.

acceleration

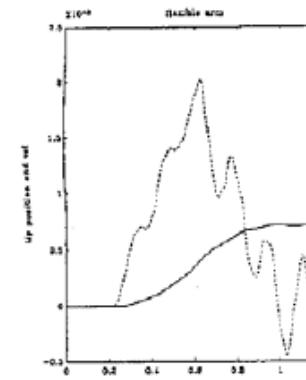


Fig. 3a Open-loop response of flexible arm.
Tip position (solid) and vel. (dashed).

velocity
position

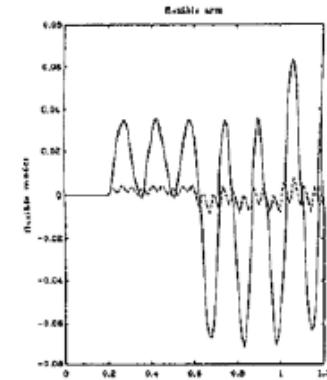


Fig. 3b Open-loop response of flexible arm.
Flexible modes.

Flex. modes

Singular Perturbation Theory

$$\begin{bmatrix} M_{rr} & M_{rf} \\ M_{fr} & M_{ff} \end{bmatrix} \begin{bmatrix} \ddot{q}_r \\ \ddot{q}_f \end{bmatrix} + \begin{bmatrix} V_{rr} & V_{rf} \\ V_{fr} & V_{ff} \end{bmatrix} \begin{bmatrix} \dot{q}_r \\ \dot{q}_f \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ o & K_{ff} \end{bmatrix} \begin{bmatrix} q_r \\ q_f \end{bmatrix} + \begin{bmatrix} F_r \\ 0 \end{bmatrix} + \begin{bmatrix} G_r \\ 0 \end{bmatrix} = \begin{bmatrix} B_r \\ B_f \end{bmatrix} \tau$$

Slow subsystem

$$\bar{M}_{rr} \ddot{\bar{q}}_r + \bar{V}_{rr} \dot{\bar{q}}_r + \bar{F}_r + \bar{G}_r = \bar{B}_r \bar{\tau}$$

NN design

TWO Control Inputs!!

Fast Subsystem

$$\frac{d}{dT} \begin{bmatrix} \tilde{q}_f \\ \dot{\tilde{q}}_f \end{bmatrix} = \begin{bmatrix} 0 & I \\ -\bar{H}_{ff} \tilde{K}_{ff} & 0 \end{bmatrix} \begin{bmatrix} \tilde{q}_f \\ \dot{\tilde{q}}_f \end{bmatrix} + \begin{bmatrix} 0 \\ \bar{B}_f \end{bmatrix} \tau_f$$

Time scaling $T = \frac{t}{\varepsilon}$

Linear Design

Tikhonov's Theorem

$$q_r = \bar{q}_r + O(\varepsilon)$$

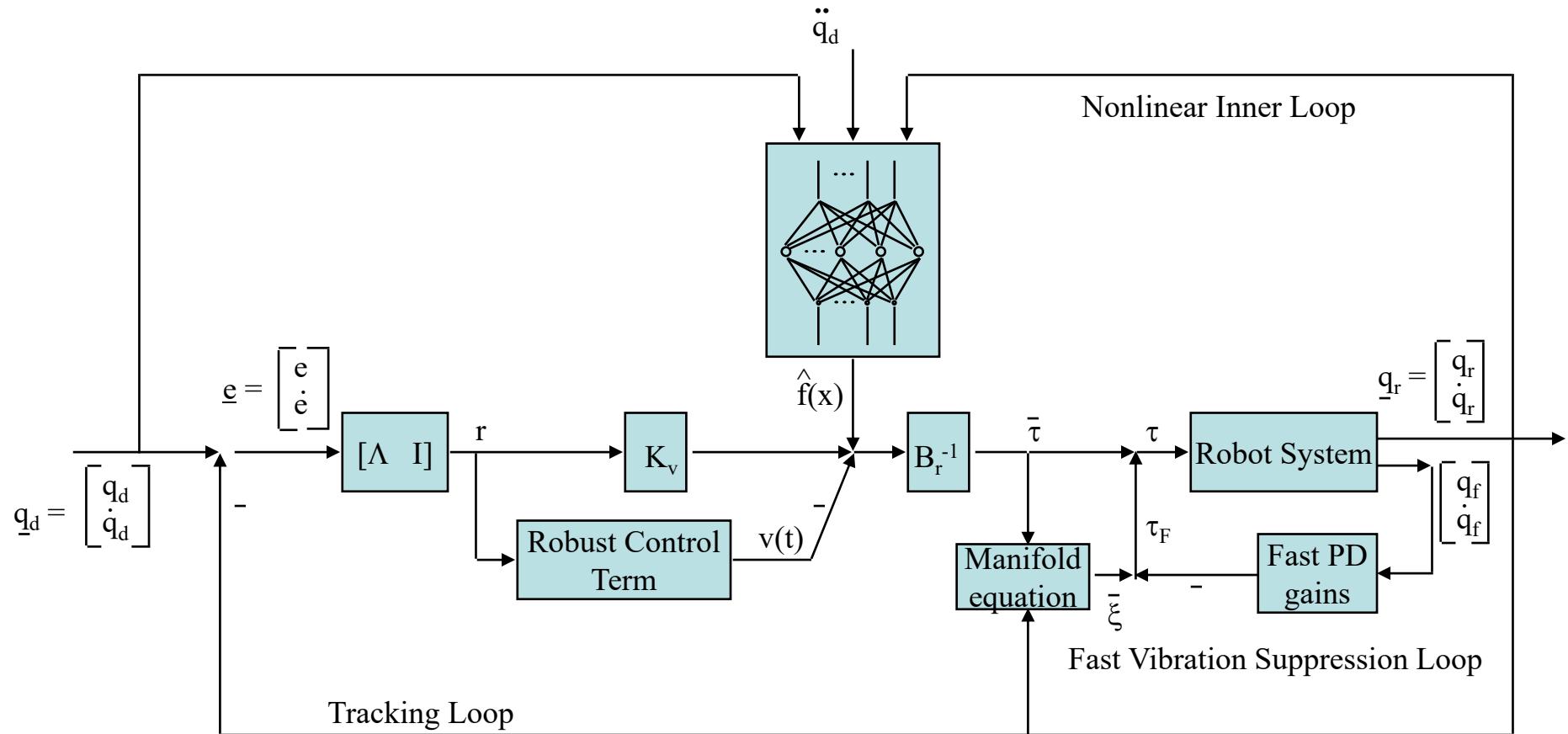
$$q_f = \varepsilon^2 (\tilde{q}_f + \bar{q}_f)$$

Comes from Manifold Equation

$$\tau = \bar{\tau} + \tau_f$$

Singular Perturbations

Add an extra feedback loop
Use passivity to show stability



Neural network controller for Flexible-Link robot arm

Coupled Systems

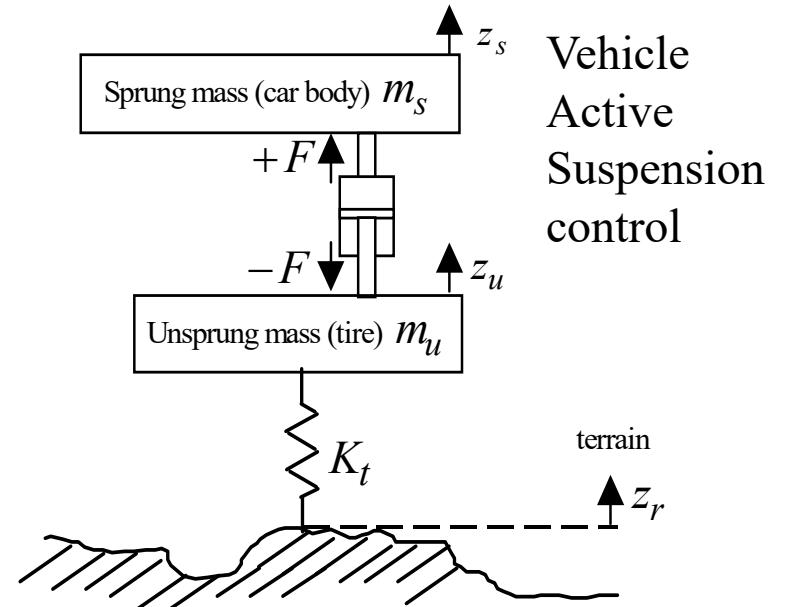
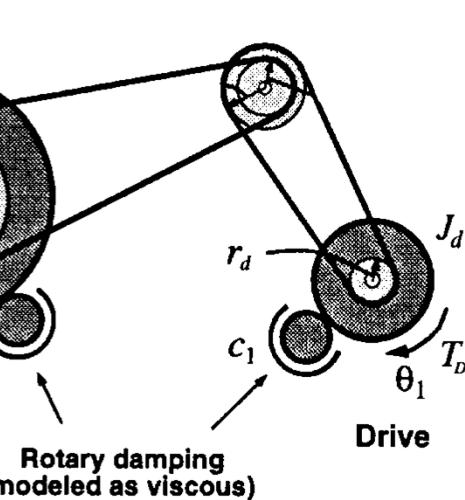
Flexible actuators

Problem- only one control input !

$$M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + F(\dot{q}) + G(q) + \tau_d = K_T i$$

$$Li + R(i, \dot{q}) + \tau_e = u_e$$

Robot mechanical dynamics



Backstepping Design

$$M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + F(\dot{q}) + G(q) + \tau_d = K_T i$$

$$L\dot{i} + R(i, \dot{q}) + \tau_e = u_e$$

1. Outer Loop Design

$$M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + F(\dot{q}) + G(q) + \tau_d = K_T i_d + K_T (i - i_d)$$

Design desired current i_d using NN number 1

2. Inner Loop Design

Design inner NN control loop to make error $\eta = i_d - i$ small

$$L\dot{\eta} + F(X) - \tau_e = -u_e$$

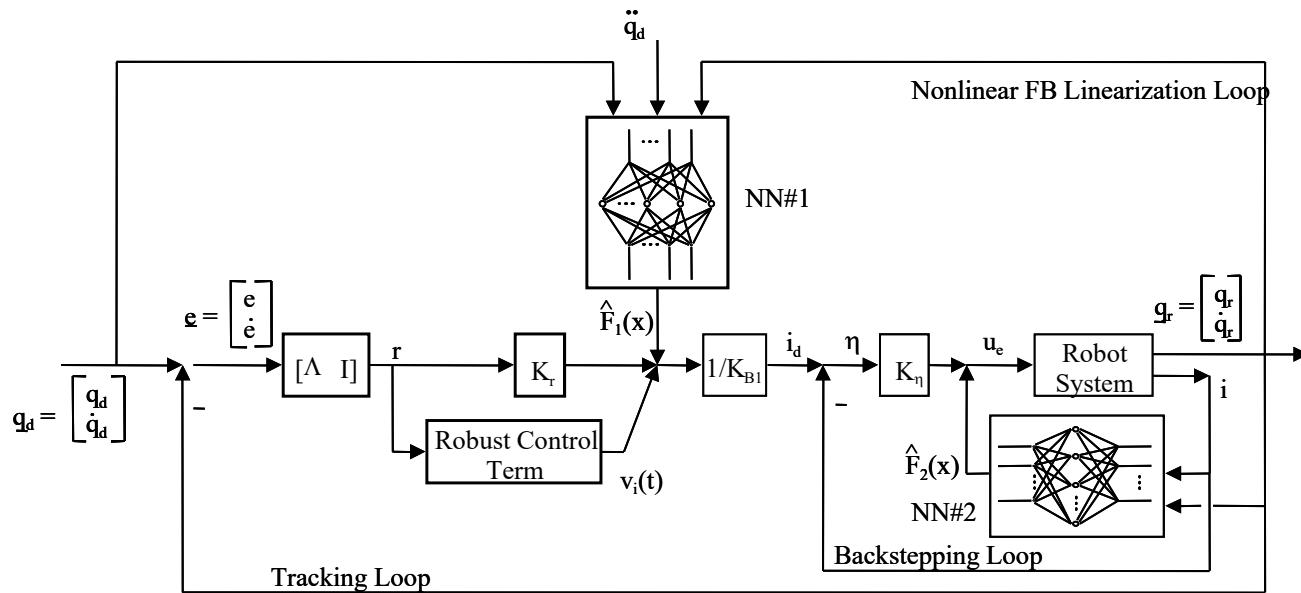
↑
Unknown Nonlinear term

Advantage of NN Backstepping-

DO NOT need to compute the form of $F(X)$ and find a linear parameterization

Backstepping

Add an extra feedback loop
 Two NN needed
 Use passivity to show stability



Neural network backstepping controller for Flexible-Joint robot arm

Advantages over traditional Backstepping- no regression functions needed

Modern Nonlinear Control Theory

Multi-Loop Control System

Structure of controller with adaptive backlash compensation and an additional loop for rejection of drive train compliance

Barrel
pointing
command

$$q_d$$

$$[K_p \ K_v]$$

$$\text{backlash comp.}$$

$$\text{Nonlinear Adaptive Network}$$

Feedback Linearization
Inner Loop

$$\text{Flexible turret/ barrel system}$$

measured output

$$y(t)$$

$$\text{nonlinear observer}$$

$$q_r$$

$$q_f$$

$$q_d$$

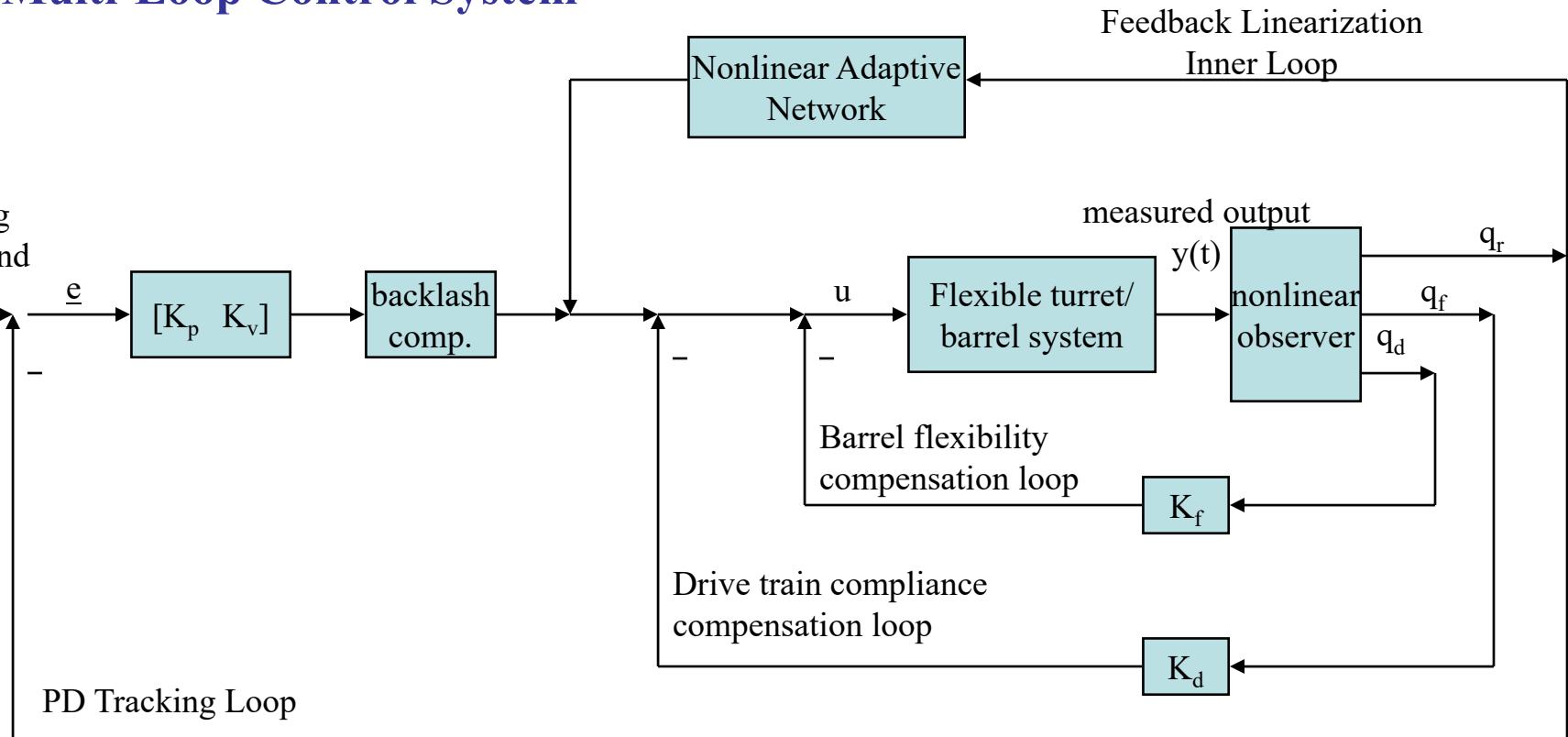
Barrel flexibility
compensation loop

$$K_f$$

Drive train compliance
compensation loop

$$K_d$$

PD Tracking Loop

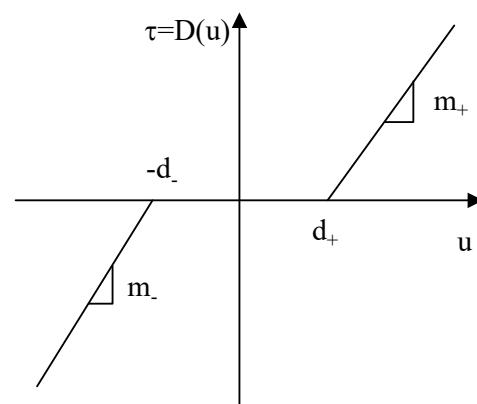
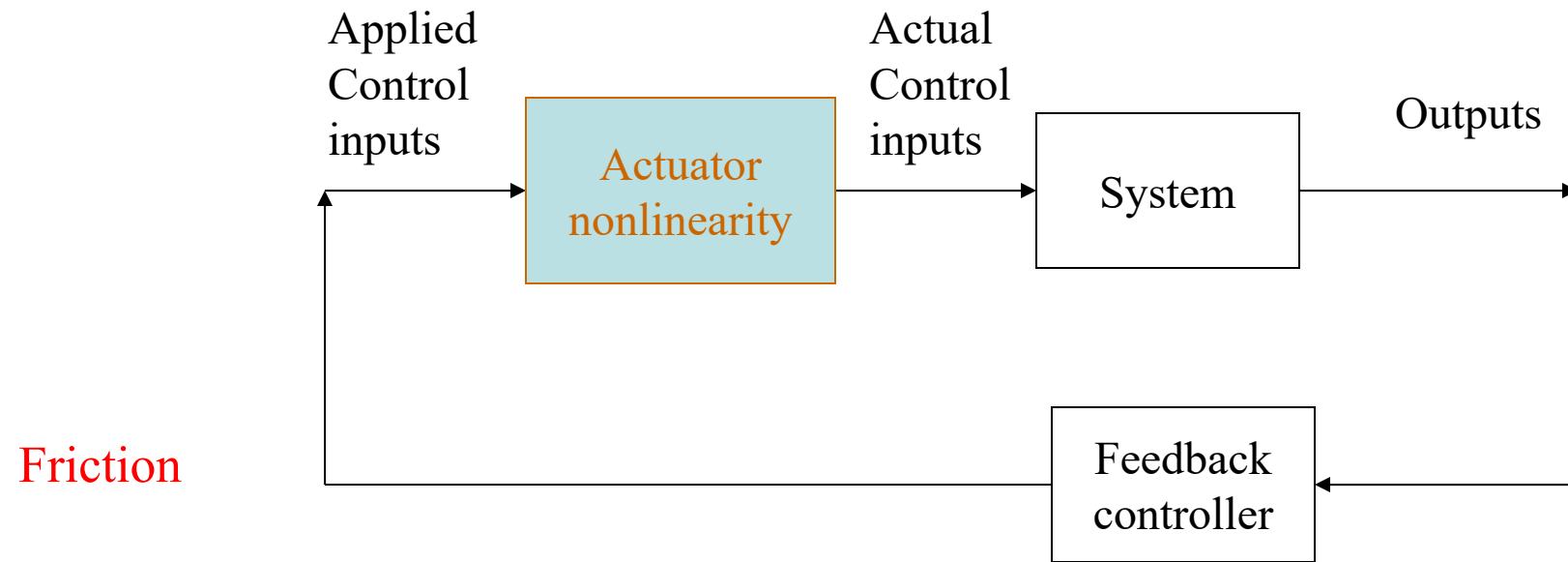




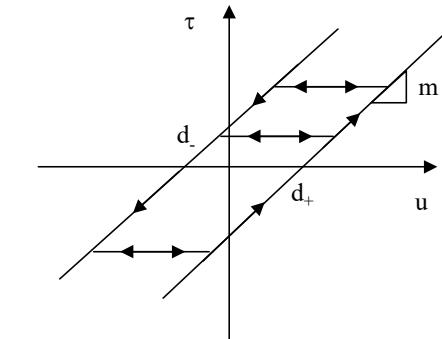
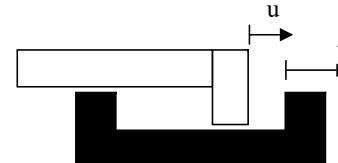
Actuator Dynamics



Actuator Nonlinearities



Deadzone



Backlash

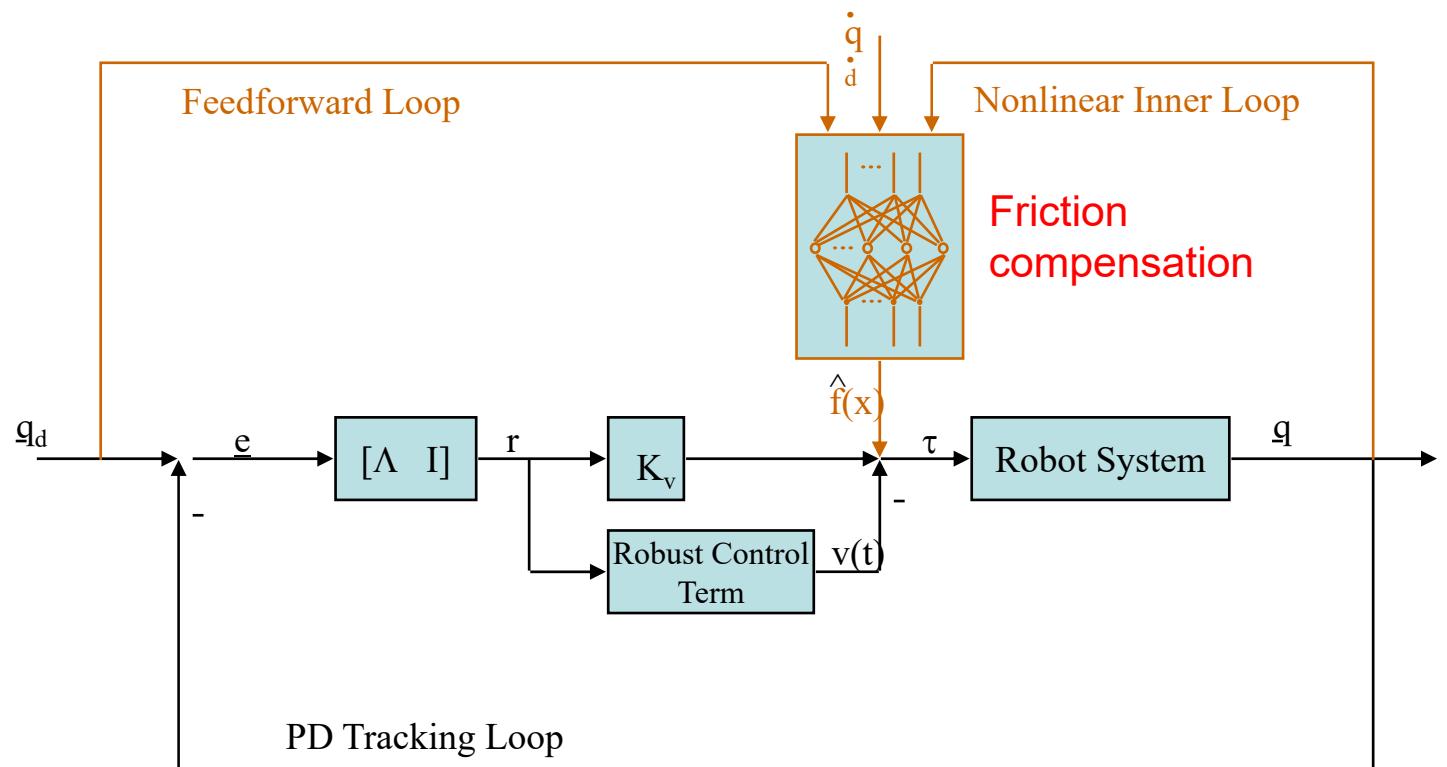
Friction Compensation

Lagrangian System Dynamics – e.g. Robot

$$M(q)\ddot{q} + V_m(q, \dot{q})\dot{q} + G(q) + F(\dot{q}) + \tau_d = \tau$$

Friction

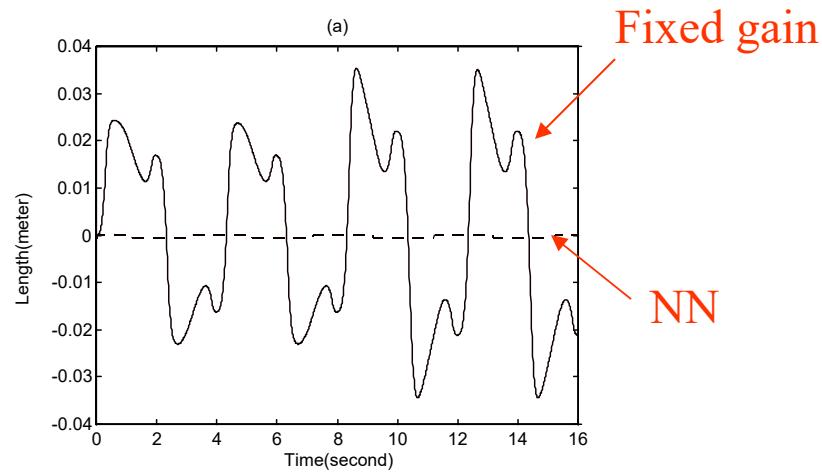
Use the standard NN from Lecture 1



NN Friction Compensator

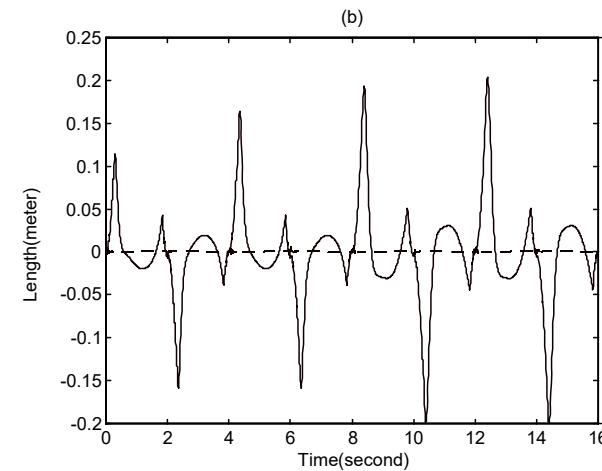
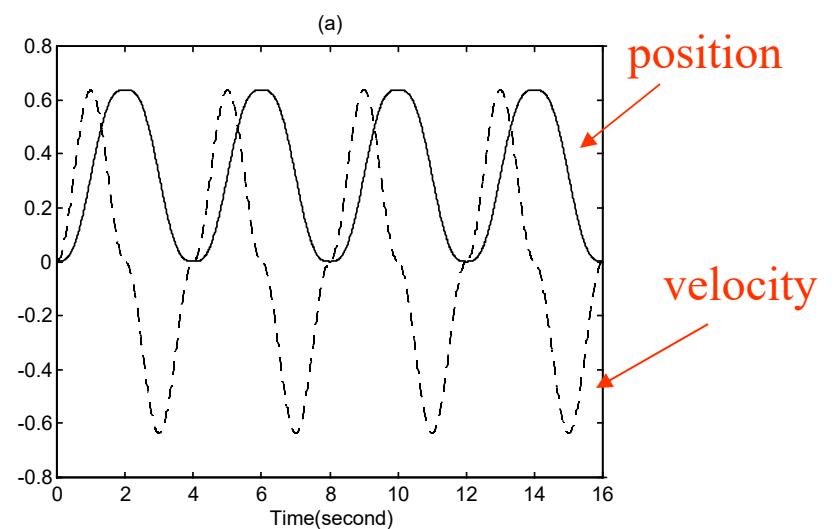
Trajectory Tracking Controller

Desired trajectory



Position

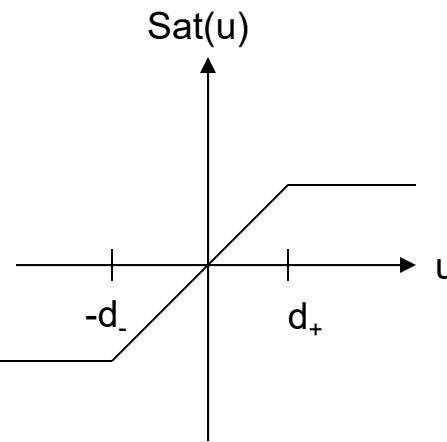
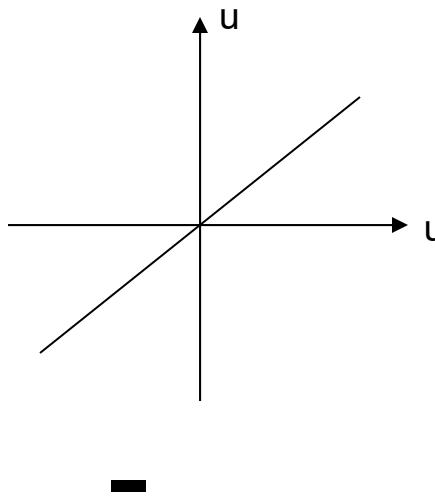
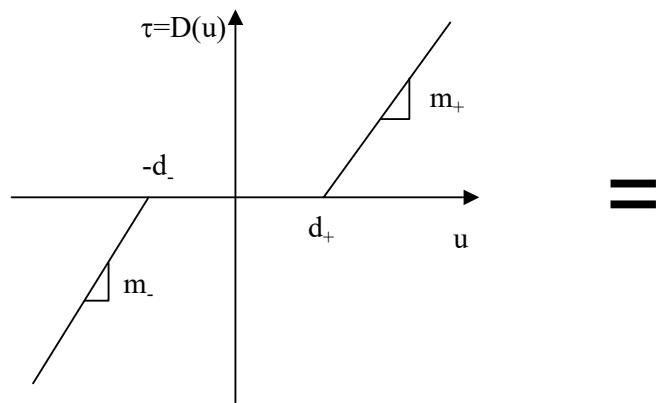
Tracking errors- solid = fixed gain controller, dashed= NN controller



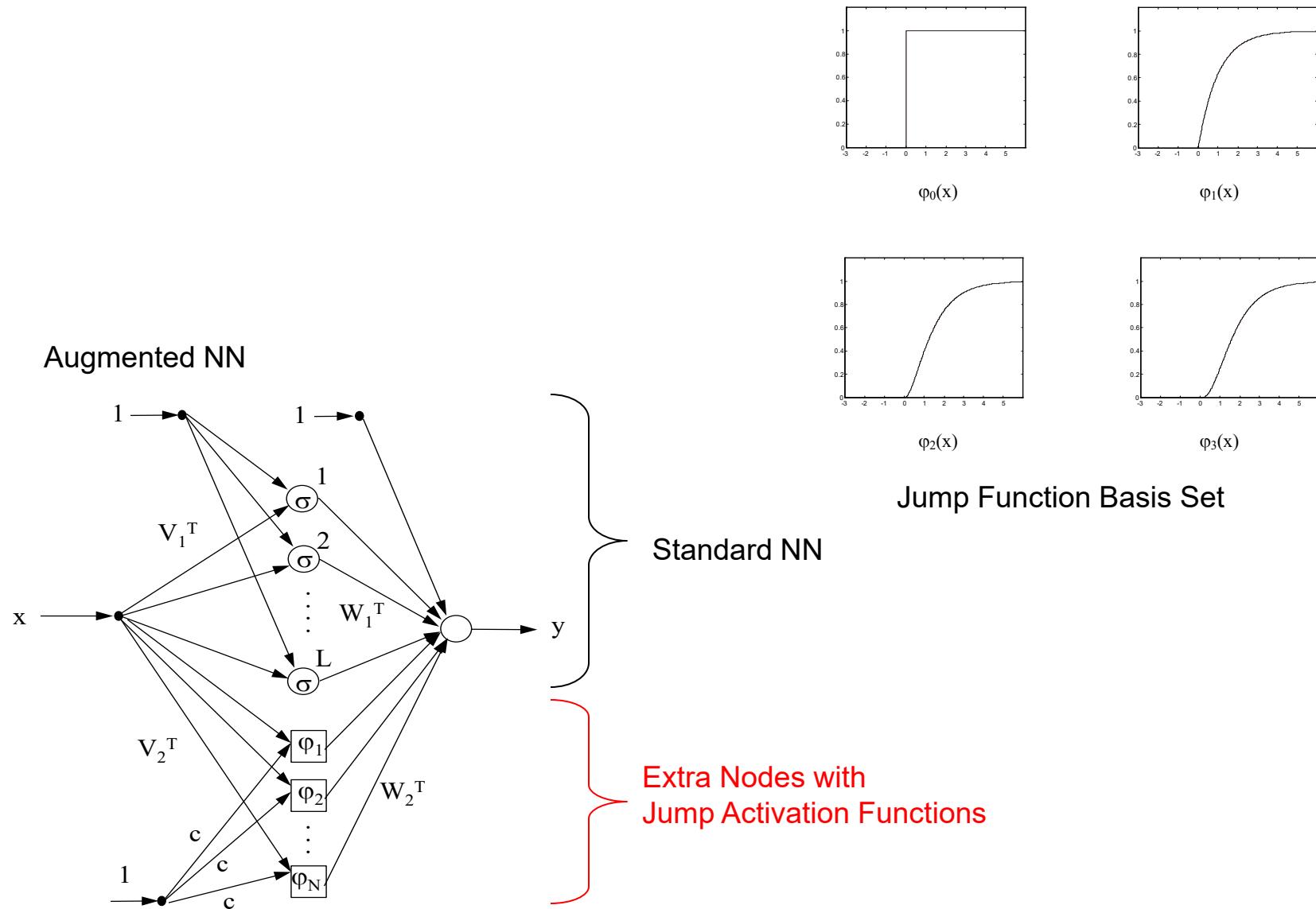
Velocity

Deadzone

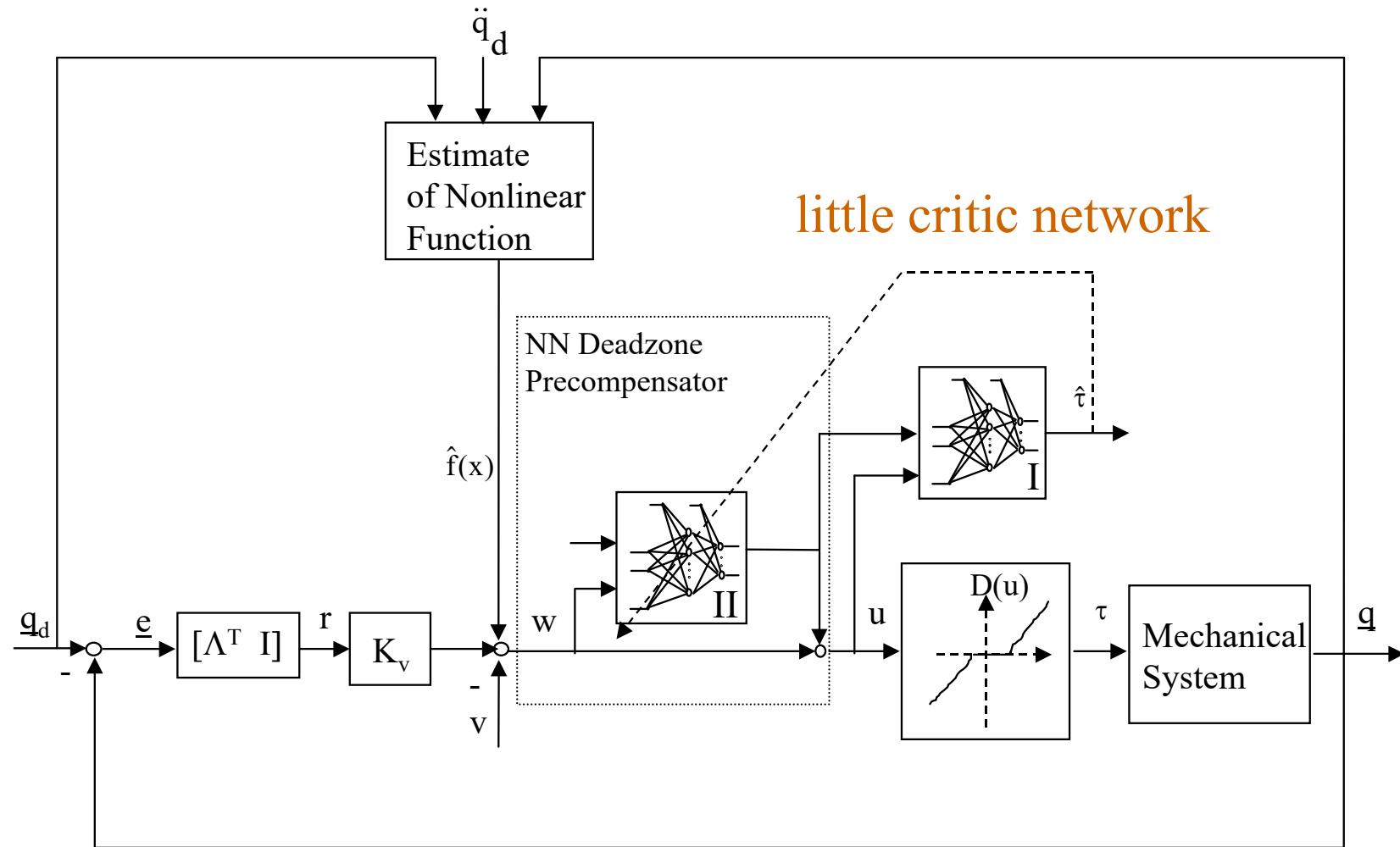
Key fact



NN Approximation of Functions with Jumps



NN in Feedforward Loop- Deadzone Compensation

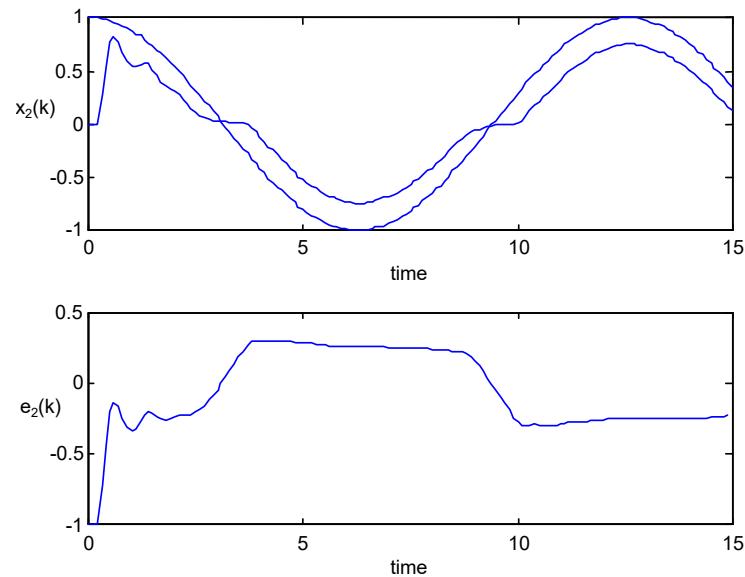


$$\hat{W}_i = T\sigma_i(U_i^T w)r^T \hat{W}^T \sigma'(U^T u)U^T - k_1 T \|r\| \hat{W}_i - k_2 T \|r\| \|\hat{W}_i\| \hat{W}_i$$

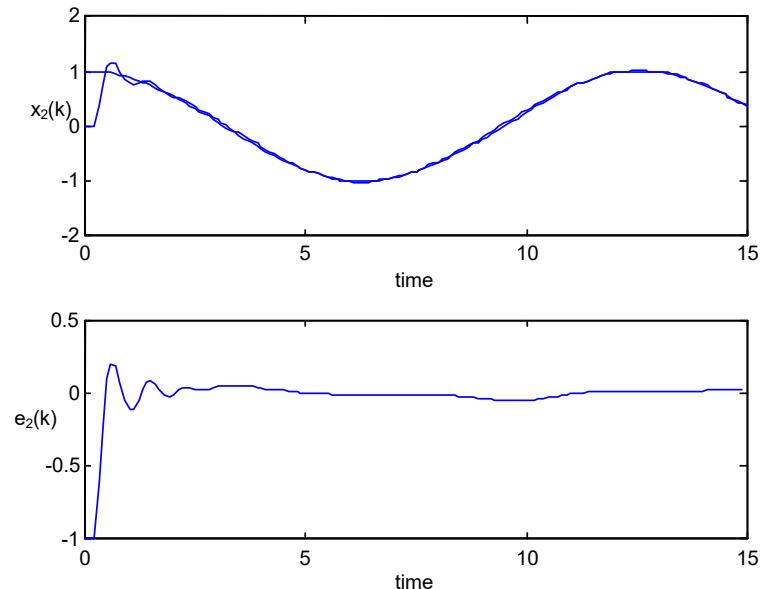
$$\hat{W} = -S\sigma'(U^T u)U^T \hat{W}_i \sigma_i(U_i^T w)r^T - k_1 S \|r\| \hat{W}$$

Acts like a 2-layer NN
With enhanced
backprop tuning !

Performance Results



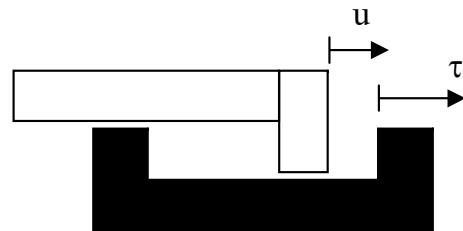
PD control-
deadzone chops out the middle



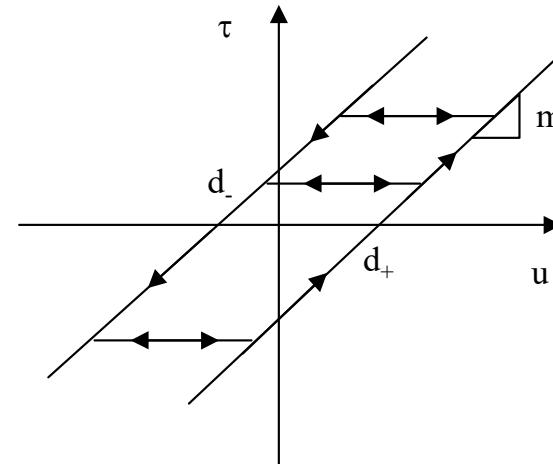
NN control fixes the problem

Backlash

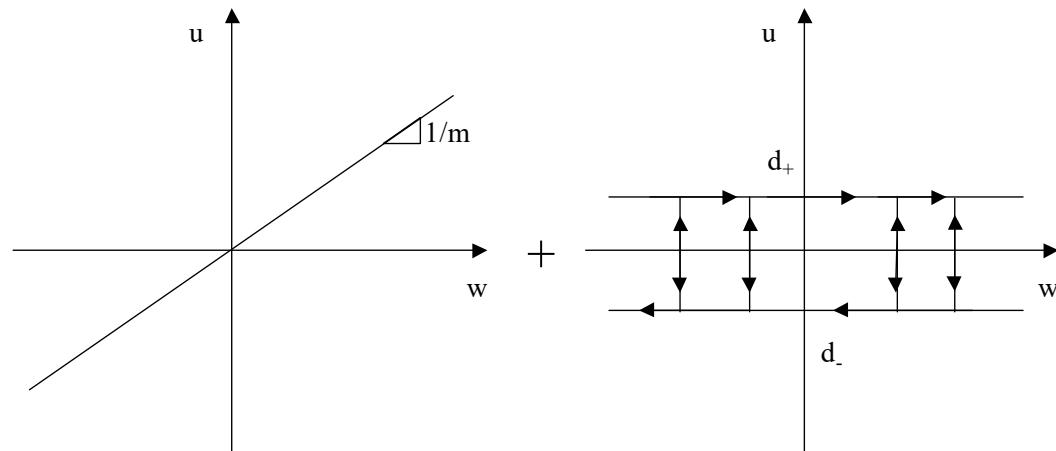
A dynamic nonlinearity



$$\dot{\tau} = B(\tau, u, \dot{u})$$

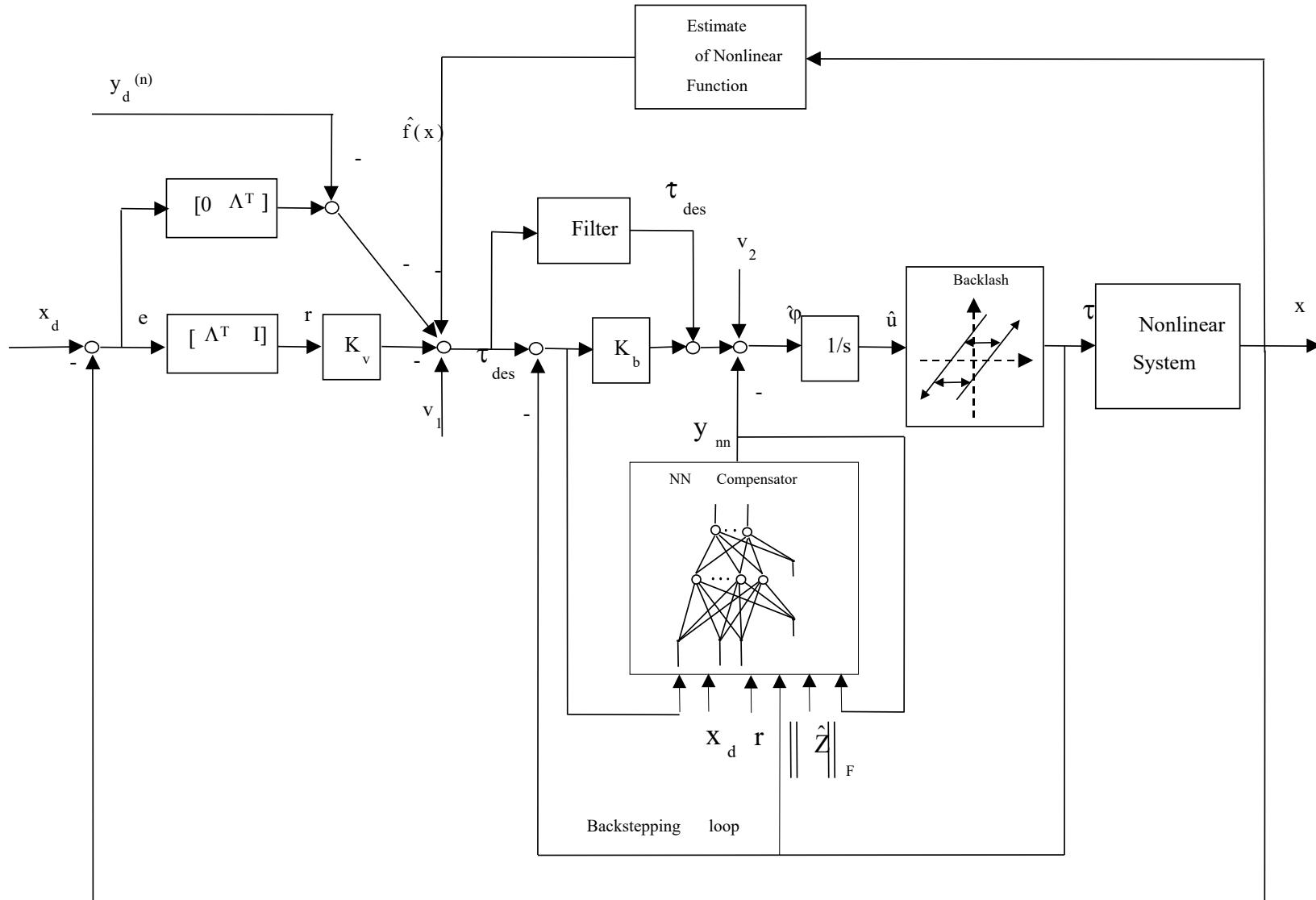


$$\dot{\tau} = B(\tau, u, \dot{u}) =$$



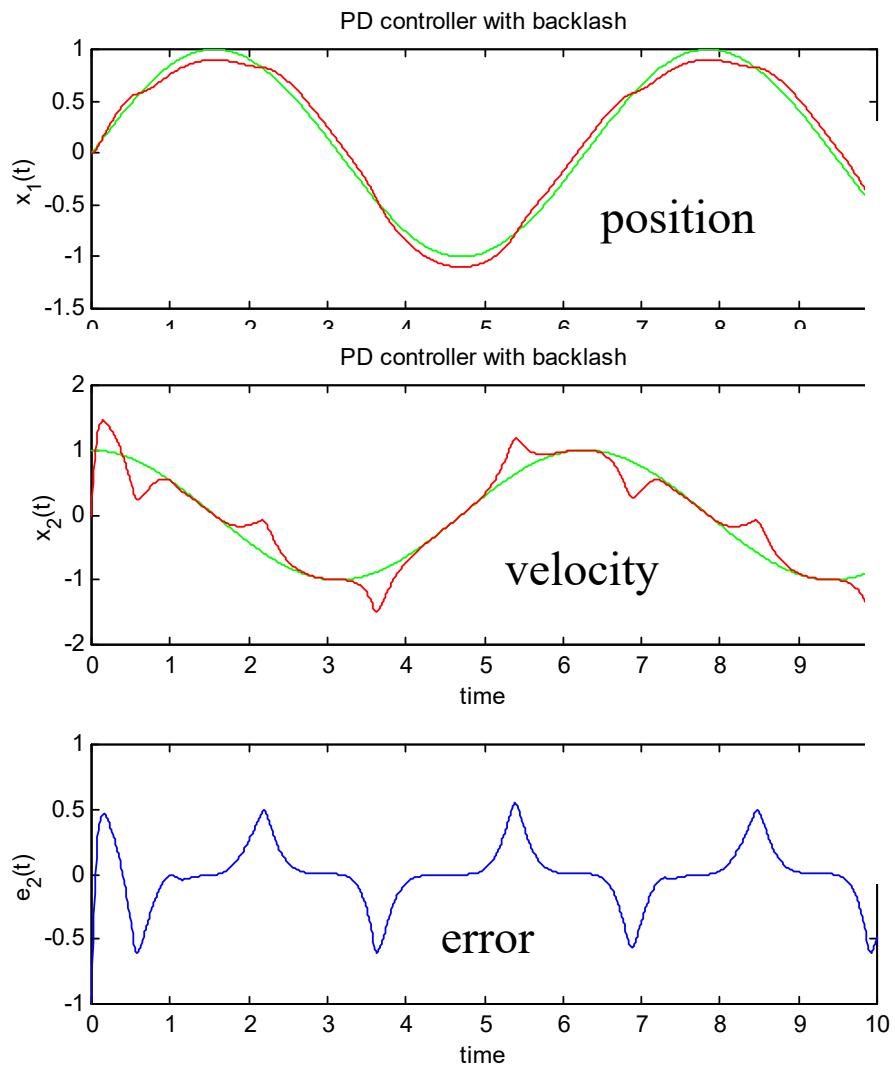
Nominal feedforward path + Unknown dynamic nonlinearity

Dynamic Inversion NN compensator for system with Backlash

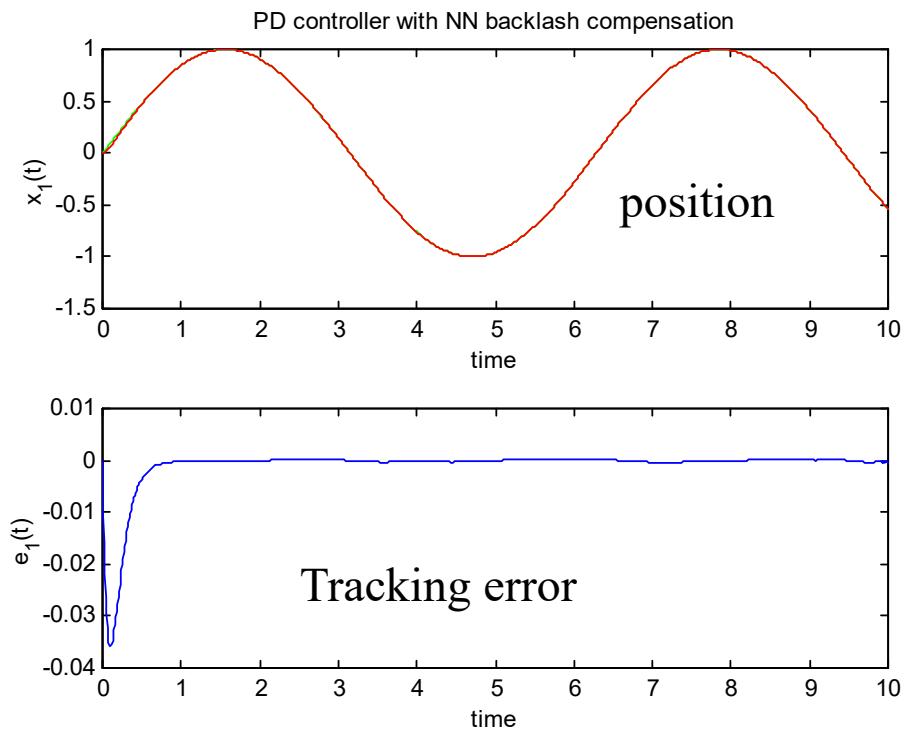


U.S. patent- Selmic, Lewis, Calise, McFarland

Performance Results



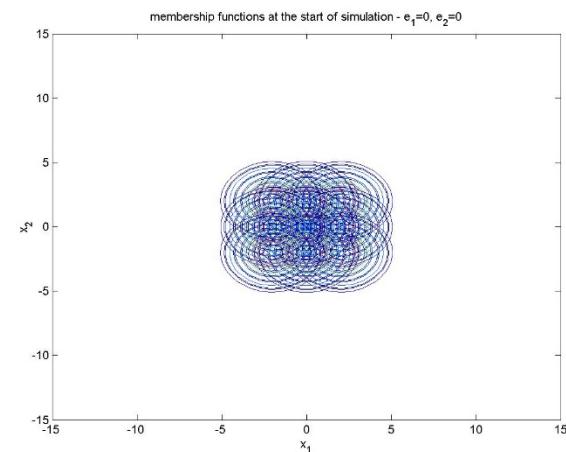
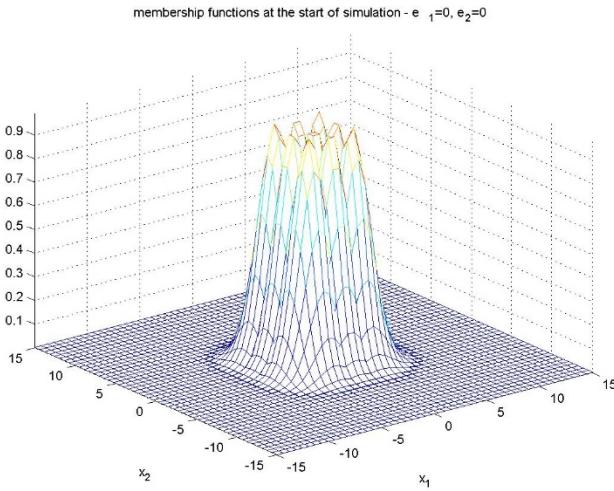
PD control-
backlash chops off tops & bottoms



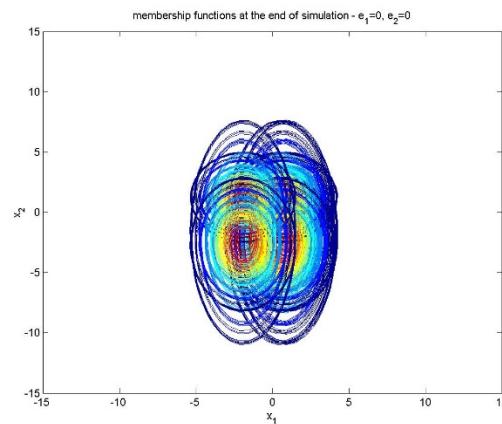
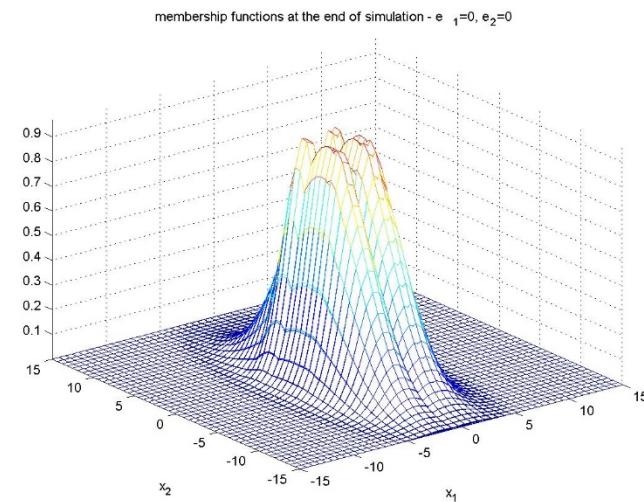
NN control fixes the problem

Dynamic Focusing of Awareness

Initial MFs



Final MFs

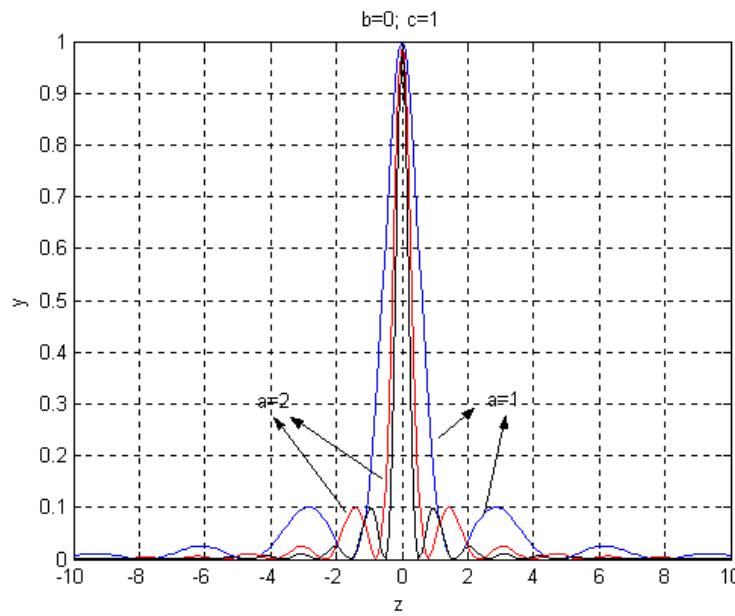


Depend on desired reference trajectory

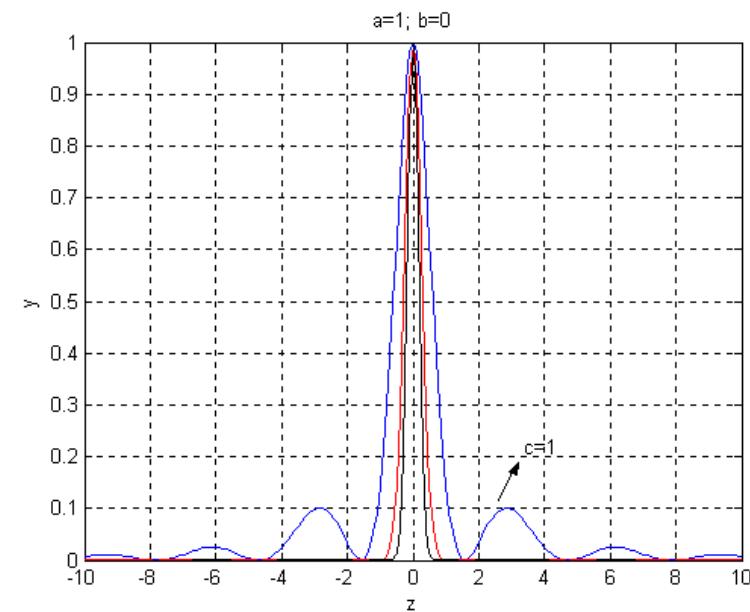
Elastic Fuzzy Logic- c.f. P. Werbos

$$\phi(z, a, b, c) = \phi_B(z, a, b)^{c^2} \leftarrow \text{Weights importance of factors in the rules}$$

$$\phi(z, a, b, c) = \left[\frac{\cos^2(a(z-b))}{1 + a^2(z-b)^2} \right]^{c^2}$$



Effect of change of membership function spread "a"



Effect of change of membership function elasticities "c"

Elastic Fuzzy Logic Control

Control

$$u(t) = -K_v r - \hat{g}(x, x_d)$$

Tune Control Rep. Values

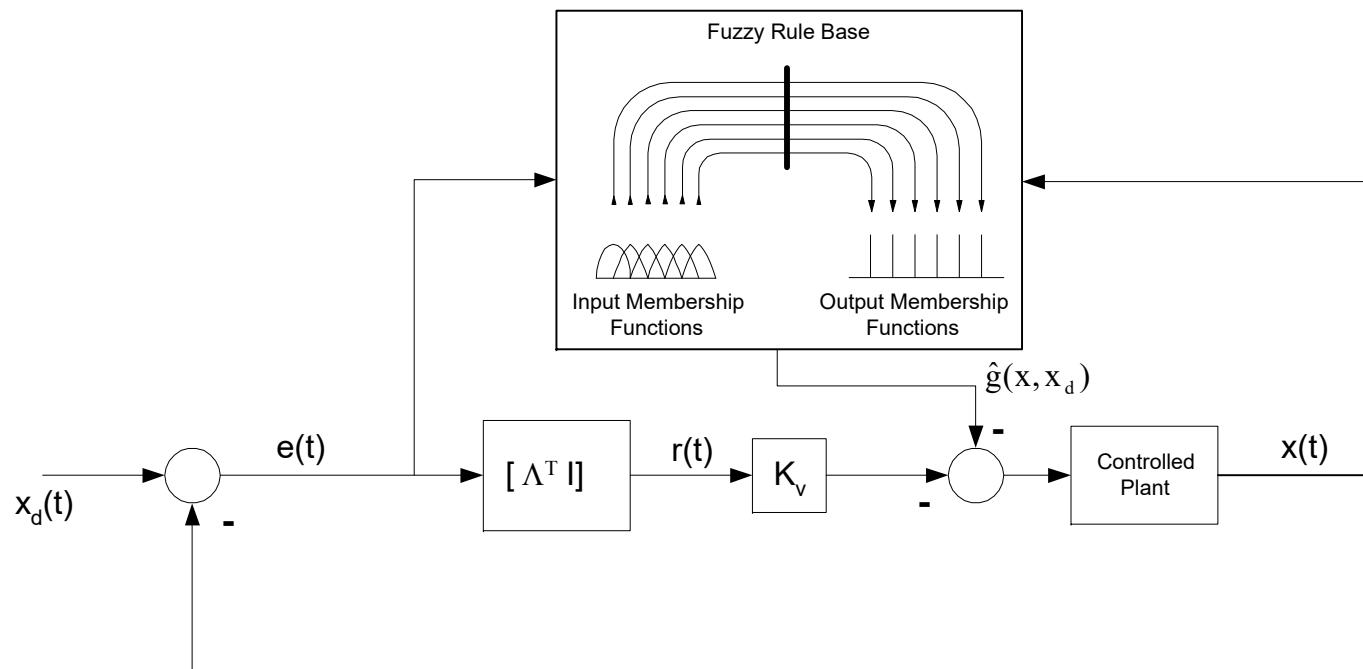
$$\dot{\hat{W}} = K_W (\hat{\Phi} - A\hat{a} - B\hat{b} - C\hat{c})r^T - k_W K_W \hat{W} \|r\|$$

Tune Membership Functions

$$\dot{\hat{a}} = K_a A^T \hat{W} r - k_a K_a \hat{a} \|r\|$$

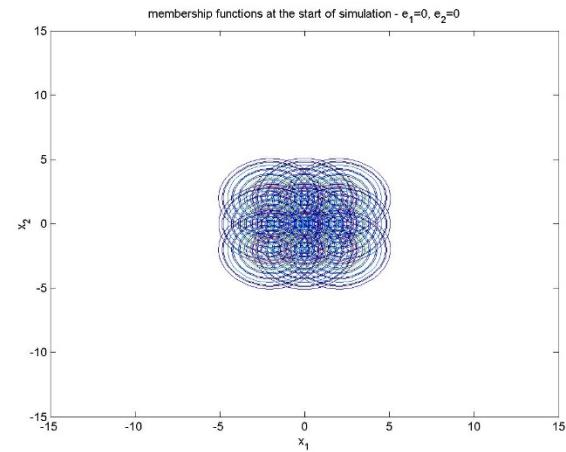
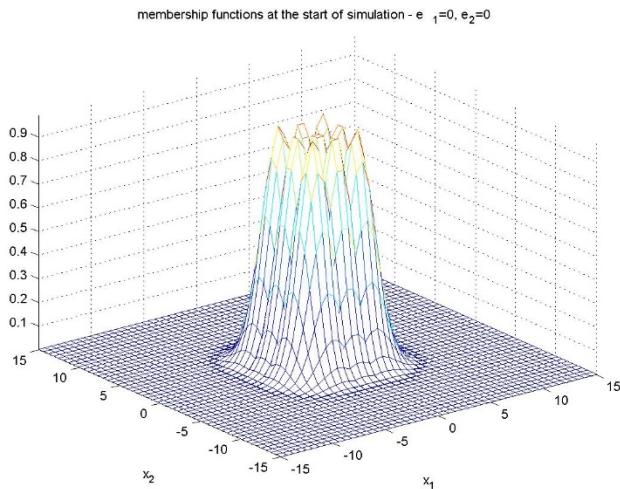
$$\dot{\hat{b}} = K_b B^T \hat{W} r - k_b K_b \hat{b} \|r\|$$

$$\dot{\hat{c}} = K_c C^T \hat{W} r - k_c K_c \hat{c} \|r\|$$



Better Performance

Initial MFs



Final MFs

