

# Progress Report

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February 5, 2021

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## 1 To Do

- Implement pose estimation: implement basic object detection and feature extraction using YCB dataset.
- Implement uncertainty.
- Implement DOPE with added dropout before each layer to estimate variational Bayesian inference.
- Read Data Association and Localization of Classified Objects in Visual SLAM [1]: in progress.
- Work on Pose Estimation Uncertainty paper.
- Implement PoseCNN, DOPE, and BayesOD.
- Look into domain randomization and adaptation techniques.
- Search for recent pose estimation survey papers: Found this paper, [2], on pose estimation.
- As I read more papers, go back and update Pose Estimation Survey paper.
- Finish Tensorflow tutorials, [3]: 4/9 chapters done.
- Learn to implement transfer learning.
- (On pause) Finish Docker tutorials, [4]: 4/18 chapters done.

## 2 Literature Reviews

### 2.1 Obtaining Well Calibrated Probabilities Using Bayesian Binning

- code: <https://github.com/pakdaman/calibration/>
- paper: <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4410090/>
- citation: [5]

### 2.1.1 Introduction

In this paper, the authors propose a novel calibration method for probabilistic predictive models. Bayesian Binning into Qualities (BBQ), is a non-parametric and post-processing calibration method. Their proposed method is a binary classifier calibration method is based on the histogram-binning calibration method [6]. It is important to note this method could be extended to multi-class classification tasks [7].

### 2.1.2 Problem Statement

In machine learning, classification problems are often solved by deploying a predictive model trained on some given data set but often underperform and make miscalibrated predictions ("classifier score"). Statistically speaking, for a calibrated prediction of i.e. 40%, there will be an occurrence of 40% for a give test set that is 1) large enough with respect to solution space size, 2) test data set is randomly selected (for more insight read on *Central Limit Theorem*).

Figure 1 is a reliability curve [8] [9] that is used as an example of a predictive model with poorly estimated probabilities.

### 2.1.3 Related Work

Mainly, calibration is done two ways 1) *ab initio*, by modifying objective function which increases computational cost. 2) It can be done as a post-processing procedure. Post processing can be categorized into parametric and non-parametric. Platt's method is an example of parametric calibration, [10]. Non-parametric methods include histogram- binning [6], Platt scaling [10], and isotonic regression [7].

### 2.1.4 Method

BBQ is an extension of simple histogram binning method [6], with added capability to consider different binning models (different number of bins) and their combinations under a Bayesian framework [11]. The generated Bayesian score provides further insight into network structure and it is also used when combining binning models.

$$Score(M) = P(M) \cdot P(D|M)$$

The marginal likelihood,  $P(D|M)$ , has a closed form solution under the following 3 conditions, [11]:

1. All samples are under i.i.d. assumption and the class distribution  $P(Z|B = b)$ , which is class distribution for bin  $b$ , with a binomial distribution with parameter  $\theta_b$ .
2. Bin distributions are independent.
3. The prior distribution over binning model parameters  $\theta$ 's are modeled using a Beta distribution.

Marginal likelihood in closed form, [11]:

$$P(D|M) = \prod_{b=1}^B \frac{\Gamma(\frac{N'}{B})}{\Gamma(N_b + \frac{N'}{B})} \frac{\Gamma(m_b + \alpha_b)}{\Gamma(\alpha_b)} \frac{\Gamma(n_b + \beta_b)}{\Gamma(\beta_b)}$$

Where:

- $\Gamma(n) = (n - 1)!$
- $N_b$ : The total number of training instances in the  $b$ 'th bin.
- $n_b$ : The total instances of class *zero* among all training instances  $N_b$ .
- $m_b$ : The total instances of class *one* among all training instances  $N_b$ .
- $P(M)$ : The prior distribution of binning model  $M$ , uniform distribution for initial condition.

The above equation is used for model averaging by BBQ, they point out that mentioned Bayesian scores could be used for model selection. Per [12], model averaging is superior to model selection methods.

### 2.1.5 BBQ

BBQ framework defines calibrated prediction as:

$$P(z = 1|y) = \sum_{i=1}^T \frac{Score(M_i)}{\sum_{j=1}^T Score(M_j)} \cdot P(z = 1|y, M_i)$$

Where:

- $T$  : total number of binning models considered
- $P(z = 1|y, M_i)$  : probability estimate using model  $M_i$  for uncalibrated classifier output  $y$ .

#### 2.1.6 Calibration Measures

- ECE: Expected Calibration Error is calculated over the bins.
- MCE: Maximum Calibration Error is calculated among the bins.

$$ECE = \sum_{i=1}^K P(i) \cdot |o_i - e_i| \quad , \quad MCE = \max_{i=1}^K (|o_i - e_i|),$$

Where:

- $o_i$ : true fraction of positive instances in the  $i^{th}$  bin.
- $e_i$ : mean of the post-calibrated probabilities in the  $i^{th}$  bin.
- $P(i)$ : empirical probability (fraction) of all instances in the  $i^{th}$  bin.

#### 2.1.7 Empirical Results

- Acc: accuracy
- AUC: area under the ROC curve (receiver operator characteristic curve).
- RMSE
- ECE
- MCE

### 3 Progress

The following items are listed in the order of priority:

- Object Pose Estimation with Uncertainty: After talking to Chris, it seems like using Tensorflow Graphics module may not be the simplest route. He recommended using OpenCV and NumPy for import-export and processing, respectively. I have some familiarity with both modules and it seems straight forward.

I recently learned a better way to keep track of my environment requirements. Python package manager (Pip) and Anaconda have built-in tools that if used properly could solve a large portion of my issues. There are features where once the environment is setup and operation, you can list and log all installed package with complete version number. This way I won't have to deal with Docker, for now at least.

- YCB Dataset [13]: I converted the provided download script from Python 2 to Python 3 and added it to the repository. I will use YCB as my main realistic dataset. I will have to some sort of semantic segmentation to isolate each object. For now, I can use pixel-wise Hough voting but later I will change to the method used by [14].
- Pose Estimation in Simulation: Chris recommended Nvidia Isaac SDK [15] and seems fairly realistic and is developed by Nvidia to tackle the *sim-to-reality* problem. Given my limited processing power and skillset, it seems to be a more feasible approach in comparison to using Unreal Engine.
- Implement features from PoseCNN, DOPE, and BayesOD.
- Look into domain randomization and adaptation techniques.
- Search for recent pose estimation survey papers: Found this paper, [2], on pose estimation.

## 4 Plans

The following items are listed in the order of priority:

- Use Nvidia Isaac SDK for pose estimation training.
- Keep working on Bayesian Pose Estimation paper.
- ARIAC: For now, I will focus on implementing pose estimation and BayesOD implementations.

- Continue on UE4 tutorials.
- Pose Estimation Survey Paper Feedback: On hold, I am working on Bayesian Pose Estimation.
- Project Alpe with Nolan: On pause for right now.
- UR5e: Finish ROS Industrial tutorials.

## **5 2021 Goals and Target Journals/Conferences**

- Submit a paper on pose estimation with uncertainty to ICIRS.
- Get comfortable with TensorFlow and related Python modules.
- Keep writing.

## References

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