## Outline

- Introduction
- Constraint propagation
- Backtracking search
- Local search



### Local search

- Applies to both satisfaction and optimization problems
- Incomplete (non-systematic) algorithms
  - do not come with a guarantee that a solution will be found if one exists
  - cannot be used to find a provably optimal solution
- Finds locally optimal solutions that are not necessarily globally optimal

## Notation and definitions

S

Set of states

 $c: S \to \Re$ 

Cost function

 $N: S \to 2^S$ 

Neighborhood function

Definition: A solution  $s^* \in S$  is *globally optimal* iff  $c(s^*) \le c(s)$ , for all  $s \in S$ .

Definition: A solution  $s^+ \in S$  is *locally optimal* iff  $c(s^+) \le c(s)$ , for all  $s \in N(s^+)$ .

## Graph interpretation

- Local search can be viewed as a walk in a directed, node-labeled graph
  - nodes are the elements of set of states S
  - nodes are labeled with cost values
  - arcs are given by the neighborhood function

### Local search for CSPs

### Given a CSP, we consider

- some constraints hard (must be satisfied)
  - set of solutions of hard constraints gives set of states S; i.e., nodes in the search graph
- remaining constraints soft (moved into cost function)
  - cost function is +1 for each constraint that is not satisfied

# Constraint model for 4-queens

#### variables:

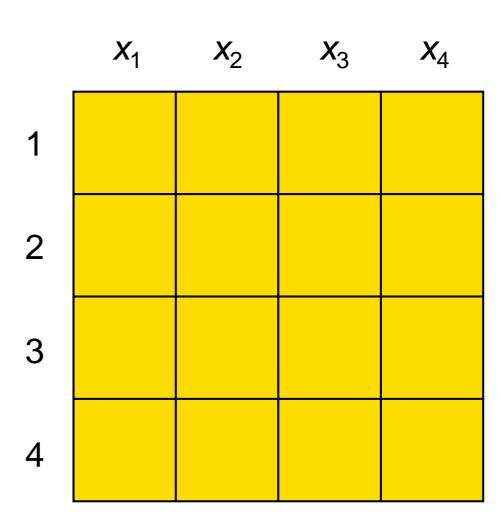
$$X_1, X_2, X_3, X_4$$

#### domains:

 $\{1, 2, 3, 4\}$ 

#### constraints:

$$X_{1} \neq X_{2} \land | X_{1} - X_{2} | \neq 1$$
  
 $X_{1} \neq X_{3} \land | X_{1} - X_{3} | \neq 2$   
 $X_{1} \neq X_{4} \land | X_{1} - X_{4} | \neq 3$   
 $X_{2} \neq X_{3} \land | X_{2} - X_{3} | \neq 1$   
 $X_{2} \neq X_{4} \land | X_{2} - X_{4} | \neq 2$   
 $X_{3} \neq X_{4} \land | X_{3} - X_{4} | \neq 1$ 



## Local search algorithm template

```
s \leftarrow some initial complete assignment k \leftarrow 0
repeat
r \leftarrow select a neighbor of s
if c(r) - c(s) < t_k then
s \leftarrow r
k \leftarrow k + 1
until stopping criteria satisfied
return best s
```

## Stopping criteria

- Maximum iterations
- Solution of low enough cost found
- Number of iterations since last (big enough) improvement is too large

## Choices

- How to get an initial feasible solution?
  - random or "good"
- What neighborhood function?
  - small neighborhood is easily explored, but low quality solution may be found
  - large neighborhood is expensive to explore
- How to select "r", the neighbor to move to?
  - first-improvement (first improving solution is selected)
  - best-improvement (solution with lowest cost is selected)

### **Thresholds**

- Iterative improvement
  - only cost improving neighbors are accepted; i.e.,  $t_k = 0$ , k = 0, 1, ...
- Threshold accepting
  - worst cost neighbors are accepted, but diminishes; i.e.,  $t_k \ge 0$ ,  $t_k \ge t_{k+1}$
  - variation: simulated annealing. Worst cost neighbors accepted with a probability that is gradually decreased over time
  - variation: tabu search. Worst cost neighbors accepted but only if it is a legal neighbor. Set of legal neighbors restricted by a tabu list to prevent going back to a recently visited node

## **Improvements**

#### Multi-starts

restart the algorithm with different starting solutions, keep best solution found from all runs

#### Multi-level

• start the search in a neighborhood with a solution selected from a different neighborhood

## Neighborhoods for 8-queens

Consider a permutation representation of 8-queens

What could be its neighbors?

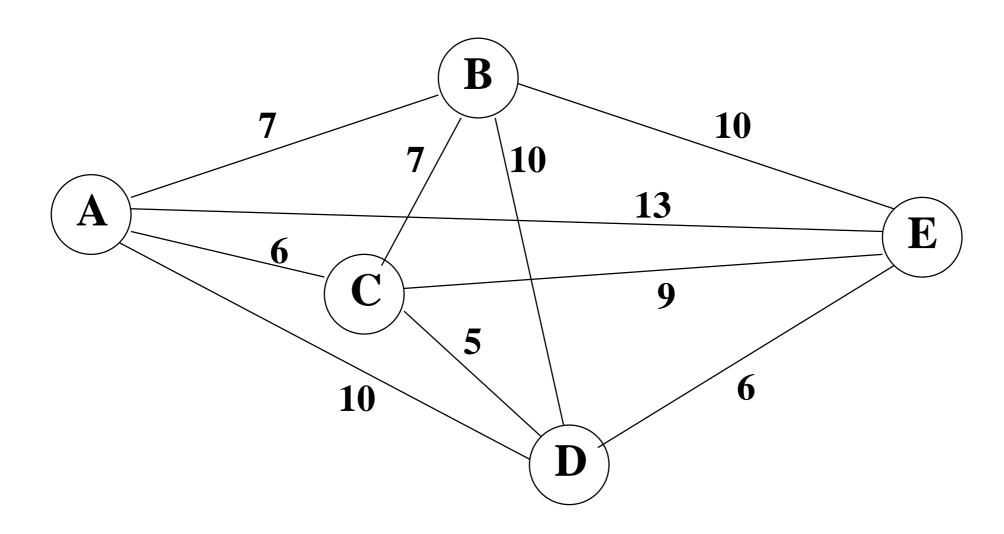
<u>Transpose</u>	swap two adjacent queens	O(n)
	e.g., <1, 2, 4, 3, 5, 6, 7, 8> is a neighbor	
<u>Insert</u>	move a queen e.g., <1, <u>5</u> , 2, 3, 4, 6, 7, 8> is a neighbor	$O(n^2)$
<u>Swap</u>	swap two queens (not necessarily adjacent) e.g., <1, <u>6</u> , 3, 4, 5, <u>2</u> , 7, 8> is a neighbor	$O(n^2)$
Block insert move a subsequence of queens		$O(n^3)$

e.g., <1, 4, 5, 2, 3, 6, 7, 8> is a neighbor

 $O(n^3)$ 

### Local search for TSP

Starting at city A, find a route of minimal distance that visits each of the cities only once and returns to A.



## TSP: Example theoretical results

### Definition: exact neighborhood

every local optimum is also a global optimum

### Exact neighborhoods

- exact neighborhoods for TSP must be exponential in size
- unless P = NP, polynomially searchable (an improving move can be found in polynomial time) exact neighborhoods cannot exist

### Non-exact neighborhoods

- if a neighborhood is not exact, the cost of a local optimum can be arbitrarily far from a global optimum
- if a neighborhood is not exact, local search can take an exponential number of steps to read a local optimum

# TSP: Example empirical results

- Best local search algorithms
  - get within 1.5-2.5% of optimal on random and benchmark instances
  - can solve instances with 1m cities in under 1 hour