

Functional Programming

Lecture 8: Reasoning and calculating

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Outline

- Equational reasoning
- Proof by induction
- Program synthesis
- Fusion
- Summary



Equational reasoning

Reasoning about programs

- Functional programs are just equations
 - equations as definitions, intended for evaluation
 - but also useful for reasoning: proofs
- Better than testing, because exhaustive
- No side-effects mean that rules of ordinary algebra apply:
 - Substitution of equals for equals
 - If $x = y$ then $y = x$



Equational reasoning (I)

Given

```
not :: Bool → Bool
not False = True
not True  = False
```

We can prove that: `not (not False) = False`

Proof:

```
not (not False)
= { definition of not (equation 1) }
not True
= { definition of not (equation 2) }
False
```



Equational reasoning (II)

Prove that: $\text{curry fst } x \ y = \text{const } x \ y$

Proof:

$$\begin{aligned} & \text{curry fst } x \ y \\ &= \{ \text{definition of curry} \} \\ & \text{fst } (x,y) \\ &= \{ \text{definition of fst} \} \\ & x \\ &= \{ \text{definition of const} \} \\ & \text{const } x \ y \end{aligned}$$

Where

$$\begin{aligned} \text{curry } f \ a \ b &= f \ (a,b) \\ \text{fst } (a,b) &= a \\ \text{const } a \ b &= a \end{aligned}$$



Equational reasoning (III)

Prove that: `reverse [x] = [x]`

Proof:

```
reverse [x]
= { list notation }
reverse (x:[])
= { definition of reverse (equation 2) }
reverse [] ++ [x]
= { definition of reverse (equation 1) }
[] ++ [x]
= { definition of ++ (equation 1) }
[x]
```

```
reverse :: [a] → [a]
reverse [] = []
reverse (x:xs) = reverse xs ++ [x]
```

```
(++) :: [a] → [a] → [a]
[] ++ ys = ys
(x:xs) ++ ys = x : (xs ++ ys)
```



Proof by induction

Programming and proving

Programs over recursive types typically require *recursion*

explicit recursion (ad hoc)

$$\begin{aligned}\text{sum } [] &= 0 \\ \text{sum } (x:xs) &= x + \text{sum } xs\end{aligned}$$

or “canned” recursion

$$\text{sum} = \text{foldr } (+) \ 0$$

uses predefined program schemes (higher-order functions)

Programming and proving

Proofs about recursive programs typically require *induction*

explicit induction (ad hoc)

$$\begin{array}{l} \text{proof } P([]) \quad = \text{---} \text{---} \\ \text{proof } P(x:xs) = \text{---} \textit{use } P(xs) \text{---} \end{array}$$

or “canned” induction

$$\text{proof } P(xs) = \text{---} \textit{use property of foldr} \text{---}$$

uses properties of predefined program schemes (higher-order proofs)



Proof by induction for lists

Every recursive datatype comes with a *pattern of induction*

- To prove: for any list xs , property $P(xs)$ holds



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- To prove: for any list xs , property $P(xs)$ holds
- Then the proof has two parts:
 1. Prove that $P([])$ holds (*base case*)



Proof by induction for lists

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- To prove: for any list xs , property $P(xs)$ holds
- Then the proof has two parts:
 1. Prove that $P([])$ holds (*base case*)
 2. Prove that $P(x:xs)$ holds, given that $P(xs)$ holds (*induction step*)



Proof by induction for lists

Every recursive datatype comes with a *pattern of induction*

- To prove: for any list xs , property $P(xs)$ holds
- Then the proof has two parts:
 1. Prove that $P([])$ holds (*base case*)
 2. Prove that $P(x:xs)$ holds, given that $P(xs)$ holds (*induction step*)
- Part 2 uses the *induction hypothesis*: that P holds for xs



Proof by induction for lists

Every recursive datatype comes with a *pattern of induction*

- To prove: for any list xs , property $P(xs)$ holds
- Then the proof has two parts:
 1. Prove that $P([])$ holds (*base case*)
 2. Prove that $P(x:xs)$ holds, given that $P(xs)$ holds (*induction step*)
- Part 2 uses the *induction hypothesis*: that P holds for xs
- Induction is valid for the same reason that recursive programs are valid: every list is either $[]$ or of the form $(x:xs)$



Example: Lists form a monoid

Recall definition

$$(++) :: [a] \rightarrow [a] \rightarrow [a]$$

$$[] \quad ++ \quad ys = ys$$

$$(x:xs) \quad ++ \quad ys = x : (xs \quad ++ \quad ys)$$

Monoid laws

1. $[] \quad ++ \quad ys = ys$

2. $xs \quad ++ \quad [] = xs$

3. $(xs \quad ++ \quad ys) \quad ++ \quad zs = xs \quad ++ \quad (ys \quad ++ \quad zs)$

Law 1: $[] \quad ++ \quad ys = ys$ holds by definition of $(++)$ (first equation)



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] &\quad ++ \text{ys} = \text{ys} \\ (x:xs) ++ \text{ys} &= x : (xs ++ \text{ys}) \end{aligned}$$

To prove law 2: $xs ++ [] = xs$

Use induction over xs

- Case $xs = []$ (*base case*):

$$\begin{aligned} &[] ++ [] \\ &= \{ \text{definition of } ++ \} \\ &[] \end{aligned}$$

- Case $xs = a:as$ (inductive step), with Induction Hypothesis: $as ++ [] = as$

$$\begin{aligned} &(a:as) ++ [] \\ &= \{ \text{definition of } ++ \} \\ &a:(as ++ []) \\ &= \{ \text{IH} \} \\ &a:as \end{aligned}$$



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] &\quad ++ \text{ys} = \text{ys} \\ (x:xs) &\quad ++ \text{ys} = x : (xs ++ \text{ys}) \end{aligned}$$

To prove law 3: $(xs ++ ys) ++ zs = xs ++ (ys ++ zs)$

Three variables, which one to choose for applying induction?

Heuristic: $++$ does pattern matching on first argument.

So do induction on xs .

- Case $xs = []$ (*base case*):

$$\begin{aligned} & ([] ++ \text{ys}) ++ \text{zs} \\ &= \{ \text{definition of } ++ \} \\ &\quad \text{ys} ++ \text{zs} \\ &= \{ \text{definition of } ++ \} \\ &\quad [] ++ (\text{ys} ++ \text{zs}) \end{aligned}$$



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] & \quad ++ \, ys = ys \\ (x:xs) & ++ \, ys = x : (xs ++ \, ys) \end{aligned}$$

To prove law 3: $(xs ++ ys) ++ zs = xs ++ (ys ++ zs)$

- Case $xs = a:as$ (*inductive step*)

Assuming IH: $(as ++ ys) ++ zs = as ++ (ys ++ zs)$

$$\begin{aligned} &((a : as) ++ ys) ++ zs \\ &= \end{aligned}$$

$$(a : as) ++ (ys ++ zs)$$



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] & \quad ++ \text{ys} = \text{ys} \\ (x:\text{xs}) & ++ \text{ys} = x : (\text{xs} ++ \text{ys}) \end{aligned}$$

To prove law 3: $(\text{xs} ++ \text{ys}) ++ \text{zs} = \text{xs} ++ (\text{ys} ++ \text{zs})$

- Case $\text{xs} = a:\text{as}$ (*inductive step*)

Assuming IH: $(\text{as} ++ \text{ys}) ++ \text{zs} = \text{as} ++ (\text{ys} ++ \text{zs})$

$$\begin{aligned} & ((a : \text{as}) ++ \text{ys}) ++ \text{zs} \\ &= \{ \text{definition of } ++ \} \\ & (a : (\text{as} ++ \text{ys})) ++ \text{zs} \\ &= \{ \text{definition of } ++ \} \\ & a : ((\text{as} ++ \text{ys}) ++ \text{zs}) \end{aligned}$$

$$(a : \text{as}) ++ (\text{ys} ++ \text{zs})$$



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] & \quad ++ \text{ys} = \text{ys} \\ (x:xs) & ++ \text{ys} = x : (xs ++ \text{ys}) \end{aligned}$$

To prove law 3: $(xs ++ ys) ++ zs = xs ++ (ys ++ zs)$

- Case $xs = a:as$ (*inductive step*)

Assuming IH: $(as ++ ys) ++ zs = as ++ (ys ++ zs)$

$$\begin{aligned} &((a : as) ++ ys) ++ zs \\ &= \{ \text{definition of } ++ \} \\ &(a : (as ++ ys)) ++ zs \\ &= \{ \text{definition of } ++ \} \\ &a : ((as ++ ys) ++ zs) \end{aligned}$$

$$\begin{aligned} &a : (as ++ (ys ++ zs)) \\ &= \{ \text{definition of } ++ \} \\ &(a : as) ++ (ys ++ zs) \end{aligned}$$



Example: Lists form a monoid

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] & \quad ++ \text{ys} = \text{ys} \\ (x:xs) & ++ \text{ys} = x : (xs ++ \text{ys}) \end{aligned}$$

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Example: reverse-append

```
reverse [] = []  
reverse (x:xs) = reverse xs ++ [x]  
[] ++ ys = ys  
(x:xs) ++ ys = x:(xs ++ ys)
```

Prove that for all xs, ys :

$$\text{reverse } (xs ++ ys) = \text{reverse } ys ++ \text{reverse } xs.$$

Proof by induction on xs

- Case: $xs = []$ (*base case*):

$$\begin{aligned} & \text{reverse } ([] ++ ys) \\ &= \{ \text{definition of } ++ \} \\ & \text{reverse } ys \\ &= \{ \text{unitality } ++ \} \\ & \text{reverse } ys ++ [] \\ &= \{ \text{definition of reverse} \} \\ & \text{reverse } ys ++ \text{reverse } [] \end{aligned}$$



Example: reverse-append

```
reverse [] = []  
reverse (x:xs) = reverse xs ++ [x]  
[] ++ ys = ys  
(x:xs) ++ ys = x:(xs ++ ys)
```

- Case: $xs = a:as$ (*inductive step*)

using IH: $reverse (as ++ ys) = reverse ys ++ reverse as$

```
reverse ((a:as) ++ ys)  
= { definition of ++ }  
reverse (a:(as ++ ys))  
= { definition of reverse }  
reverse (as ++ ys) ++ [a]  
= { IH }  
(reverse ys ++ reverse as) ++ [a]  
= { associativity of ++ }  
reverse ys ++ (reverse as ++ [a])  
= { definition of reverse }  
reverse ys ++ reverse (a:as)
```



Equality of functions

```
reverse [] = []  
reverse (x:xs) = reverse xs ++ [x]  
[] ++ ys = ys  
(x:xs) ++ ys = x:(xs ++ ys)
```

Suppose we want to prove: $\text{reverse} \circ \text{reverse} = \text{id}$



Equality of functions

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reverse [] = []  
reverse (x:xs) = reverse xs ++ [x]  
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Suppose we want to prove: `reverse . reverse = id`

There is no variable to do induction on



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Add missing arguments

Equality of functions

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Add missing arguments

Hence we have to prove that $(\text{reverse} \circ \text{reverse}) \text{ xs} = \text{id xs}$

which is the same as $\text{reverse} (\text{reverse xs}) = \text{xs}$



Equality of functions

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reverse [] = []  
reverse (x:xs) = reverse xs ++ [x]  
[] ++ ys = ys  
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Hence we have to prove that $(\text{reverse} \circ \text{reverse}) \text{ xs} = \text{id xs}$

which is the same as $\text{reverse} (\text{reverse xs}) = \text{xs}$

Proof by induction on xs

- Case $\text{xs} = []$ (*base case*):

```
reverse (reverse [])  
= { definition of reverse }  
reverse []  
= { definition of reverse }  
[]
```



Equality of functions

- Case $xs = a:as$ (*inductive step*),
Assuming IH: $reverse (reverse as) = as$

```
reverse (reverse (a:as))
= { definition of reverse }
reverse (reverse as ++ [a])
= { property reverse-append }
reverse [a] ++ reverse (reverse as)
= { IH }
reverse [a] ++ as
= { property reverse-singleton }
[a] ++ as
= { list notation }
(a:[]) ++ as
= { definition of ++ }
a: ([] ++ as)
= { definition of ++ }
a: as
```

```
reverse [] = []
reverse (x:xs) = reverse xs ++ [x]
[] ++ ys = ys
(x:xs) ++ ys = x:(xs ++ ys)
```



Program synthesis

`reverse` is slow because `++` traverses its first argument. To eliminate `++` specify

`reverseCat xs ys = reverse xs ++ ys`

Use induction to synthesize an efficient implementation of `reverseCat`

- Case `xs = []` (*base case*):

```
reverseCat [] ys
= { specification of reverseCat }
reverse [] ++ ys
= { definition of reverse }
[] ++ ys
= { definition of ++ }
ys
```

```
reverse [] = []
reverse (x: xs) = reverse xs ++ [x]
[] ++ ys = ys
(x:xs) ++ ys = x:(xs ++ ys)
```



Program synthesis

```
reverse [] = []  
reverse (x: xs) = reverse xs ++ [x]  
[] ++ ys = ys  
(x:xs) ++ ys = x:(xs ++ ys)
```

- Case $xs = a:as$ (*inductive step*),

Assuming IH: for all lists es : $\text{reverseCat } as \ es = \text{reverse } as ++ es$

```
reverseCat (a:as) ys  
= { specification of reverseCat }  
reverse (a:as) ++ ys  
= { definition of reverse }  
(reverse as ++ [a]) ++ ys  
= { associativity of ++ }  
reverse as ++ ([a] ++ ys)  
= { list notation, definition of ++ }  
reverse as ++ (a:ys)  
= { IH (right-to-left substituting a:ys for es) }  
reverseCat as (a:ys)
```



Program synthesis

```
reverse [] = []  
reverse (x: xs) = reverse xs ++ [x]  
[] ++ ys = ys  
(x:xs) ++ ys = x:(xs ++ ys)
```

We obtained:

```
reverseCat [] ys = ys  
reverseCat (x:xs) ys = reverseCat xs (x:ys)
```

Now we can write:

```
reverse xs = reverseCat xs []
```



Properties of program schemes

Program schemes and their properties

- Use of program schemes (higher-order functions) improves modularity of *programs*
- Applies not only to programming but also to proving
- Use of properties of program schemes improves modularity of *proofs*, and hence understanding, modification, and reuse
- Example: map preserves identity and composition

$$\begin{aligned}\text{map id} &= \text{id} \\ \text{map } (f \cdot g) &= \text{map } f \cdot \text{map } g\end{aligned}$$

- Example: polymorphism of concat

$$\text{map } f \cdot \text{concat} = \text{concat} \cdot \text{map } (\text{map } f)$$



foldr fusion

$\text{foldr} :: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$

$\text{foldr } (\otimes) z [] = z$

$\text{foldr } (\otimes) z (x:xs) = x \otimes \text{foldr } z xs$

Example:

$\text{list} = 1 : (2 : (3 : (4 : (5 : []))))$

$\text{foldr } \otimes z \text{ list} = 1 \otimes (2 \otimes (3 \otimes (4 \otimes (5 \otimes z))))$



foldr fusion

Example:

$$\begin{aligned}\text{list} &= 1 : (2 : (3 : (4 : (5 : [])))) \\ \text{foldr } \otimes z \text{ list} &= 1 \otimes (2 \otimes (3 \otimes (4 \otimes (5 \otimes z))))\end{aligned}$$

Suppose $f(x \otimes y) = x \boxplus f y$ for all x, y

$$\begin{aligned}f(\text{foldr } (\otimes) z \text{ list}) &= f(1 \otimes (2 \otimes (3 \otimes (4 \otimes (5 \otimes z))))) \\ &= 1 \boxplus f(2 \otimes (3 \otimes (4 \otimes (5 \otimes z)))) \\ &= 1 \boxplus (2 \boxplus f(3 \otimes (4 \otimes (5 \otimes z)))) \\ &= 1 \boxplus (2 \boxplus (3 \boxplus f(4 \otimes (5 \otimes z)))) \\ &\quad \vdots \\ &= 1 \boxplus (2 \boxplus (3 \boxplus f(4 \boxplus (5 \boxplus f z)))) \\ &= \text{foldr } (\boxplus) (f z) \text{ list}\end{aligned}$$



foldr fusion law

Captured by the foldr fusion law:

$f (\text{foldr } (\otimes) z xs) = \text{foldr } (\boxplus) (f z) xs$ if for all $x y$: $f (x \otimes y) = x \boxplus f y$

Example: Suppose we want to prove that

$$\text{sum } xs + a = \text{foldr } (+) 0 xs + a = \text{foldr } (+) a xs$$

What are f , (\otimes) , (\boxplus) ?

$$f = (+ a)$$

$$(\otimes) = (+)$$

$$(\boxplus) = (+)$$



Fold fusion example

$$f \text{ . foldr } g \ z = \text{foldr } h \ (f \ z) \text{ if } \forall x \ y: f \ (g \ x \ y) = h \ x \ (f \ y)$$

We want to prove: `reverse . reverse = id`

First, we write `reverse` and `id` as folds

$$\begin{aligned} \text{reverse} &= \text{foldr } (\backslash a \ r \rightarrow r ++ [a]) \ [] \\ \text{id} &= \text{foldr } (:) \ [] \end{aligned}$$

Filling in the FF-law:

$$\text{reverse} \text{ . foldr } (\backslash a \ r \rightarrow r ++ [a]) \ [] = \text{foldr } (:) \ []$$

Hence

$$\begin{aligned} f &= \text{reverse} \\ g &= \backslash a \ r \rightarrow r ++ [a] \\ h &= (:) \end{aligned}$$

Fold fusion example

$$f \text{ . foldr } g \text{ z} = \text{foldr } h \text{ (f z)} \text{ if } \forall x \ y: f \text{ (g x y)} = h \text{ x (f y)}$$

We want to prove: $\text{reverse} \text{ . reverse} = \text{id}$

Filling in the FF-law:

$$\text{reverse} \text{ . foldr } (\backslash a \ r \rightarrow r ++ [a]) [] = \text{foldr } (:) []$$

Hence

$$f = \text{reverse}$$

$$g = \backslash a \ r \rightarrow r ++ [a]$$

$$h = (:)$$

To complete proof it suffices to show that

1. $[] = f []$
2. $f \text{ (g x y)} = h \text{ x (f y)}$



Fold fusion example

$$f \text{ . foldr } g \text{ z} = \text{foldr } h \text{ (f z)} \text{ if } \forall x y: f \text{ (g x y)} = h \text{ x (f y)}$$

We want to prove: `reverse . reverse = id`

Filling in the FF-law:

$$\text{reverse . foldr } (\backslash a \text{ r} \rightarrow \text{r ++ [a]}) \text{ []} = \text{foldr } (:) \text{ []}$$

Hence

$$f = \text{reverse}$$

$$g = \backslash a \text{ r} \rightarrow \text{r ++ [a]}$$

$$h = (:) \text{ }$$

To complete proof it suffices to show that

1. `[]` = `reverse []`
2. `reverse (y ++ [x]) = (:) x (reverse y)`



Fold fusion example

$$f \text{ . foldr } g \ z = \text{foldr } h \ (f \ z) \text{ if } \forall x \ y: f \ (g \ x \ y) = h \ x \ (f \ y)$$

We want to prove: `reverse . reverse = id`

Filling in the FF-law:

$$\text{reverse} \text{ . foldr } (\backslash a \ r \rightarrow r ++ [a]) \ [] = \text{foldr } (:) \ []$$

Hence

$$f = \text{reverse}$$

$$g = \backslash a \ r \rightarrow r ++ [a]$$

$$h = (:)$$

To complete proof it suffices to show that

1. `[]` = `reverse []`
2. `reverse (y ++ [x]) = x : (reverse y)`



Fold fusion example

$$f \text{ . foldr } g \ z = \text{foldr } h \ (f \ z) \text{ if } \forall x \ y: f \ (g \ x \ y) = h \ x \ (f \ y)$$

1. $[] = \text{reverse } []$ { definition of reverse }
2. $\text{reverse } (y ++ [x])$
= { property reverse—append }
 $\text{reverse } [x] ++ \text{reverse } y$
= { property reverse—singleton }
 $[x] ++ \text{reverse } y$
= { list notation, definition of ++ }
 $x : \text{reverse } y$



Induction on natural numbers

Induction on natural numbers

Prove that for all $n \geq 0$, property $P(n)$ holds

Proof by induction on n , two cases:

- Base case: prove $P(0)$
- Inductive step: assume that $P(n)$ holds, prove $P(n + 1)$



take n xs ++ drop n xs = xs

take :: Int → [a] → [a]

take 0 _ = []

take _ [] = []

take n (x:xs) = x : take (n-1) xs

drop :: Int → [a] → [a]

drop 0 xs = xs

drop _ [] = []

drop n (x:xs) = drop (n-1) xs

Prove that $\forall n \geq 0, xs :: [a]: \text{take } n \text{ xs} ++ \text{drop } n \text{ xs} = xs$



take n xs ++ drop n xs = xs

Proof by induction on n

- Base case: $n = 0$
to prove $\forall xs \text{ take } 0 \text{ xs } ++ \text{ drop } 0 \text{ xs} = xs$
- Inductive case: Assume IH: $\forall xs \text{ take } n \text{ xs } ++ \text{ drop } n \text{ xs} = xs$
to prove $\forall xs \text{ take } (n+1) \text{ xs } ++ \text{ drop } (n+1) \text{ xs} = xs$

take	0	_	=	[]
take	_	[]	=	[]
take	n	(x:xs)	=	x : take (n-1) xs
drop	0	xs	=	xs
drop	_	[]	=	[]
drop	n	(x:xs)	=	drop (n-1) xs



take n xs ++ drop n xs = xs

Base case: $n = 0$

```
take 0 xs ++ drop 0 xs
= { definition of take }
  [] ++ drop 0 xs
= { definition of drop }
  [] ++ xs
= { definition of ++ }
  xs
```

take	0	_	=	[]
take	_	[]	=	[]
take	n	(x:xs)	=	x : take (n-1) xs
drop	0	xs	=	xs
drop	_	[]	=	[]
drop	n	(x:xs)	=	drop (n-1) xs

take n xs ++ drop n xs = xs

Inductive case.

Assume IH: $\forall xs: \text{take } n \text{ xs} ++ \text{drop } n \text{ xs} = xs$

Prove: $\forall xs: \text{take } (n+1) \text{ xs} ++ \text{drop } (n+1) \text{ xs} = xs$

We make a case distinction

Case $xs = []$

$\text{take } (n+1) [] ++ \text{drop } (n+1) []$
 $= \{ \text{definition of take, drop} \}$
 $[] ++ []$
 $= \{ \text{definition of ++} \}$
 $[]$

Case $xs = a:as$

$\text{take } (n+1) (a:as) ++ \text{drop } (n+1) (a:as)$
 $= \{ \text{definition of take, drop} \}$
 $(a:\text{take } n \text{ as}) ++ \text{drop } n \text{ as}$
 $= \{ \text{definition of ++} \}$
 $a:(\text{take } n \text{ as} ++ \text{drop } n \text{ as})$
 $= \{ \text{IH} \}$
 $a:as$

take	0	_	=	[]
take	_	[]	=	[]
take	n	(x:xs)	=	x : take (n-1) xs
drop	0	xs	=	xs
drop	_	[]	=	[]
drop	n	(x:xs)	=	drop (n-1) xs

Take away

The art of functional verification

- equational reasoning: substitution of equals for equals
- recursion and induction are two sides of the same coin
- explicit (ad-hoc) recursion and induction
... versus canned recursion and the use of laws
- after-the-fact verification
... versus calculating a program from a specification

