

# WHEN IS A FUNCTOR REPRESENTABLE?

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Category theory is full of aha! moments. You open a book, read a sentence containing the words "of course," or "obviously," and have no idea what they are talking about. You start digging into it, go back to definitions of terms, draw a few pictures, and then you get it. It's a very satisfying feeling.

I recently opened Max Kelly's book *Basic Concepts of Enriched Category Theory* in the section about representable functors, in which he says that there is no simple criterion for deciding whether an enriched functor is representable. Then he remarks:

"It is of course otherwise in the classical case  $\mathcal{V} = \mathbf{Set}$ ; there we have the comma category  $1/F = el F$  of "elements of  $F$ ", whose objects are pairs  $(A, x)$  with  $A \in \mathcal{A}$  and  $x \in FA$ ; and  $\alpha$  is invertible if and only if  $(K, \eta)$  is initial in  $el F$ , so that  $F$  is representable if and only if  $el F$  has an initial object."

If you understand this one-sentence explanation then you are a better person than me and you can stop reading right now. Otherwise, bear with me.

## 1. REPRESENTABLE FUNCTOR

Let's start with the definition of a representable functor. A functor  $F$  from some category  $\mathcal{A}$  to  $\mathbf{Set}$  is representable if there exists an object  $K$  such that

$$\alpha: \mathcal{A}(K, -) \rightarrow F$$

is a natural isomorphism. In other words, for every object  $A$  in  $\mathcal{A}$  the set  $FA$  is isomorphic to the hom-set  $\mathcal{A}(K, A)$ , and  $\alpha$  is a family of bijections

$$\alpha_A: \mathcal{A}(K, A) \rightarrow FA$$

The *unit*  $\eta$  of the representation is the image of the identity morphism under  $\alpha$

$$\eta = \alpha_K(id_K) \in FK$$

## 2. COMMA CATEGORY

A comma category is defined using three categories and two functors

$$\mathcal{B} \xrightarrow{S} \mathcal{C} \xleftarrow{T} \mathcal{A}$$

The name "comma category" is a historical accident. Modern notation for a comma category is either  $S \downarrow T$  or  $S/T$  (which is what Kelly uses). It is, essentially, a category of arrows in  $\mathcal{C}$ , whose sources come from the embedding of  $\mathcal{B}$  using  $S$ , and targets come from the embedding of  $\mathcal{A}$  using  $T$ . So objects of  $S/T$  are triples

$$(B, A, f: SB \rightarrow TA)$$

where  $B$  is an object in  $\mathcal{B}$ ,  $A$  is an object in  $\mathcal{A}$  and  $f$  is a morphism in  $\mathcal{C}$ .

A morphism from  $(B, A, f)$  to  $(B', A', f')$  is a pair of morphisms  $(h: B \rightarrow B', h': A \rightarrow A')$  that makes the following diagram commute:

$$\begin{array}{ccc}
SB & \xrightarrow{Sh} & SB' \\
\downarrow f & & \downarrow f' \\
TA & \xrightarrow{Th'} & TA'
\end{array}$$

This is a very general construction that can be specialized in many ways. For instance, you can take  $\mathcal{B}$  to be the terminal single-object category. The functor  $S$  then selects one object in  $\mathcal{C}$ . Furthermore, if  $\mathcal{C}$  is **Set**, you can specialize  $S$  to pick the terminal set, the singleton  $*$ . In that case, the arrows in  $S/T$  all have the singleton as the source. Such arrows pick individual elements in the set  $TA$ .

If we call  $1$  the functor that picks the singleton set  $*$ , and rename  $T$  to  $F$ , we can say that the objects of the comma category  $1/F$  are pairs  $(A, a)$  where  $A$  is an object in  $\mathcal{A}$  and  $a$  is an element  $a \in FA$ .

A morphism from  $(A, a)$  to  $(A', a')$  is a morphism  $h: A \rightarrow A'$  such that the following diagram commutes

$$\begin{array}{ccc}
& & * \\
& \swarrow a & \searrow a' \\
FA & \xrightarrow{Fh} & FA'
\end{array}$$

In other words, the lifted  $h$  must map the point  $a \in FA$  to the point  $a' \in FA'$ .

### 3. CATEGORY OF ELEMENTS

If you are intimately familiar with comma categories then the easiest way to describe the category of elements of a functor  $F$  is to say that it's  $1/F$ . For the rest of us, a more direct definition may be more intuitive.

We start with a functor  $F: \mathcal{A} \rightarrow \mathbf{Set}$ . The objects of  $el F$  are pairs  $(A, a \in FA)$ , where  $A$  is an object of  $\mathcal{A}$ . A morphism from  $(A, a)$  to  $(A', a')$  is a morphism  $h: A \rightarrow A'$  such that  $(Fh)a = a'$ .

Let's dissect this definition a little. The category of elements explodes every object  $A$  of  $\mathcal{A}$  into as many separate objects of  $el F$  as there are elements in the set  $FA$ . It's a giant disjoint union of sets  $FA$  indexed by objects of  $\mathcal{A}$ . This is reflected in the coend notation

$$el F = \int^{A: \mathcal{A}} FA$$

Every morphism  $h$  of  $\mathcal{A}$  explodes into as many morphisms of  $el F$  as there are elements in the domain of  $Fh$ . So a morphism in  $el F$  is uniquely determined by a pair

$$(h: \mathcal{A}(A, A'), a \in FA)$$

The domain of this morphism is  $(A, a)$  and the codomain is  $(A', (Fh)a)$ .

Moreover, for a fixed pair  $(A, a)$ , we get a natural transformation

$$\phi^{(A, a)}: \mathcal{A}(A, -) \rightarrow F$$

whose component at  $A'$  is implemented by lifting  $h \in \mathcal{A}(A, A')$  and applying it to  $a$

$$\phi_{A'}^{(A, a)} h = (Fh)a$$

This mapping is natural in  $A'$ . To show this, suppose that we have a morphism  $f: A' \rightarrow A''$ . The naturality square is

$$\begin{array}{ccc}
\mathcal{A}(A, A') & \xrightarrow{f \circ -} & \mathcal{A}(A, A'') \\
\downarrow \phi_{A'} & & \downarrow \phi_{A''} \\
FA' & \xrightarrow{Ff} & FA''
\end{array}$$

Indeed, acting on  $h \in \mathcal{A}(A, A')$ , the precomposition with  $f$  produces  $f \circ h$  and then  $\phi_{A''}$  produces  $(F(f \circ h))a$ . By functoriality, this is equal to  $(Ff)((Fh)a)$ . The other path produces first  $(Fh)a$  and then acts on it with  $Ff$ .

Notice that, in order to show that  $F$  is representable, all we have to do is to find a pair  $(A, a)$  for which  $\phi^{(A, a)}$  is an isomorphism. In other words, every component of  $\phi^{(A, a)}$  must be a bijection of sets.

There are two ways in which this condition may break down. First, it's possible that two morphisms  $h$  and  $h'$  from the same hom-set  $\mathcal{A}(A, A')$  produce the same element  $a'$  in  $FA'$ . In other words, it's possible to have two different morphisms in  $el F$  from  $(A, a)$  to  $(A', a')$ .

The other way of not being bijective is if there is an element  $a'$  in  $A'$  that is not covered by our mapping. In other words, there may be no such  $h$  in  $\mathcal{A}(A, A')$  for which  $(Fh)a$  is equal to  $a'$ . That would mean that there is no morphism in  $el F$  from  $(A, a)$  to  $(A', a')$ .

What we need is to have exactly one morphism from  $(A, a)$  to  $(A', a')$  for every  $(A', a')$ . That is only possible if  $(A, a)$  is the initial object.

#### 4. INITIAL OBJECT IN THE CATEGORY OF ELEMENTS

Suppose that there is an initial object in the category of elements  $el F$ . It's a pair  $(K, \eta)$ , where  $\eta$  is an element of  $FK$ . There is a unique morphism from it to any other object  $(A, a)$  of  $el F$ . Such a morphism is a morphism  $h \in \mathcal{A}(K, A)$ , such that  $Fh$  maps  $\eta$  to  $a$ .

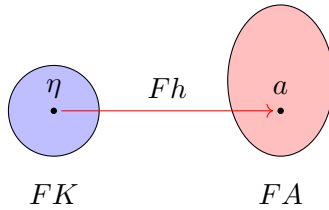
Let's dissect this statement. For every  $h$  we can produce an element  $a$  of  $FA$

$$a = (Fh)\eta$$

That gives us a mapping

$$\alpha_A: \mathcal{A}(K, A) \rightarrow FA$$

Initiality of  $(K, \eta)$  means that this mapping is a bijection. We can reach every  $a \in FA$  and every  $h$  maps  $\eta$  to a different  $a$ .



This proves that  $F$  is representable if and only if  $el F$  has an initial object. So it was obvious after all!