NEURAL NETWORKS, PRE-LENSES, AND TRIPLE TAMBARA MODULES

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1. Introduction

Neural networks are an example of composable systems, so it's no surprise that they can be modeled in category theory, which is the ultimate science of composition. Moreover, the categorical ideas behind neural networks can be immediately implemented and tested in a programming language. In the first part of this post I will present the Haskell implementation of parametric lenses, generalize them to pre-lenses and introduce their profunctor representation. Using the profunctor representation I will build a working multi-layer perceptron.

In the second part of this post I will introduce the bicategory **PreLens** of pre-lenses and the bicategory of triple Tambara profunctors and show how they related to pre-lenses.

Complete Haskell implementation is available on gitHub.

2. Haskell Implementation

Every component of a neural network can be thought of as a system that transform input to output, and whose action depends on some parameters. In the language of neural networsks, this is called the *forward pass*. It takes a bunch of parameters **p**, combines it with the input **s**, and produces the output **a**. It can be described by a Haskell function:

But the real power of neural networks is in their ability to learn from mistakes. If we don't like the output of the network, we can nudge it towards a better solution. We can ask it the question: What would it take to change the output by some da, in order to get it closer to what we want? What change dp to the parameters should we make? The backward pass partitions the blame for the error in proportion to the impact each parameter had on the result.

Because neural networks are composed of layers of neurons, each with their own sets or parameters, we might also ask the question: What change ds to the inputs (which are the outputs of the previous layer) should we make to improve the result? We could then back-propagate this information to the previous layer and let it adjust its own parameters. The backward pass can be described by another Haskell function:

```
bwd :: (p, s, da) -> (dp, ds)
```

The combination of these two functions forms a parametric lens:

```
data PLens a da p dp s ds =
  PLens { fwd :: (p, s) -> a
    , bwd :: (p, s, da) -> (dp, ds) }
```

In this representation it's not immediately obvious how to compose parametric lenses, so I'm going to present a variety of other representations that may be more convenient in some applications.

2.1. Existential Parametric Lens. Notice that the backward pass re-uses the arguments (p, s) of the forward pass. Although some information from the forward pass is needed for the backward pass, it's not always clear that all of it is required. It makes more sense for the forward pass to produce a care package to be delivered to the backward pass. In the simplest case, this package is just the pair (p, s). But from the perspective of the user of the lens, the exact type of this package is just an internal implementation detail, so we encode it as an existential type m. We thus arrive at a more symmetric representation:

The type \mathbf{m} is often called the *residue* of the lens.

These existential lenses can be composed in series. The result of the composition is parameterized by the product (a tuple) of the original parameters. We'll see it more clearly in the next section.

But since the product of types is associative only up to isomorphism, the composition of parametric lenses is associative only up to isomorphism.

There is also an identity lens:

```
identityLens :: ExLens a da () () a da
identityLens = ExLens id id
```

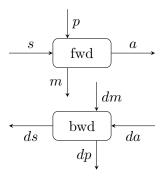
but, again, the categorical identity laws are satisfied only up to isomorphism. This is why parametric lenses cannot be interpreted as hom-sets in a traditional category. Instead they are described by a bicategory that arises from the **Para** construction.

2.2. **Pre-Lenses.** Notice that there is still an asymmetry in the treatment of the parameters and the residues. The parameters are accumulated (tupled) during composition, while the residues are traced over (categorically,

an existential type is described by a coend, which is a generalized trace). There is no reason why we shouldn't accumulate the residues during composition and postpone the taking of a trace till the very end.

We thus arrive at a fully symmetrical definition of a pre-lens:

We now have two types: m describing the residue, and dm describing the change of the residue.



If all we need, at the end, is to trace over the residues, we'll identify the two types.

Notice that the role of parameters and residues is reversed between the forward and the backward pass. The forward pass, given the parameters and the input, produces the output together with the residue. The backward pass answers the question: How should we nudge the parameters and the inputs (dp, ds) if we want the residues and the outputs to change by (dm, da). In neural networks this will be calculated using gradient descent.

The composition of pre-lenses accumulates both the parameters and the residues into tuples:

```
preCompose ::
    PreLens a' da' m dm p dp s ds ->
    PreLens a da n dn q dq a' da' ->
    PreLens a da (m, n) (dm, dn) (q, p) (dq, dp) s ds
preCompose (PreLens f1 g1) (PreLens f2 g2) = PreLens f3 g3
    where
    f3 = unAssoc . second f2 . assoc . first sym .
        unAssoc . second f1 . assoc
    g3 = unAssoc . second g1 . assoc . first sym .
        unAssoc . second g2 . assoc
```

We use associators and symmetrizers to rearrange various tuples. Notice the separation of forward and backward passes. In particular, the backward pass of the composite lens depends only on backward passes of the composed lenses.

There is also an identity pre-lens:

```
idPreLens :: PreLens a da () () () () a da
idPreLens = PreLens id id
```

Pre-lenses then form a bicategory that combines the **Para** and the **coPara** constructions in one.

There is also a monoidal structure in this category induced by parallel composition. In parallel composition we tuple the respective inputs and outputs, as well as the parameters and residues, both in the forward and the backward passes.

The existential lens can be obtained from the pre-lens at any time by tracing over the residues:

```
data ExLens a da p dp s ds =
forall m. ExLens (PreLens a da m m p dp s ds)
```

Notice however that the tracing can be performed *after* we are done with all the (serial and parallel) compositions. In particular, we could dedicate one pipeline to perform forward passes, gathering both parameters and residues, and then send this data over to another pipeline that performs backward passes. The data is produced and consumed in the LIFO order.

2.3. **Pre-Neuron.** As an example, let's implement the basic building block of neural networks, the neuron. In what follows, we'll use the following type synonyms:

```
type D = Double
type V = [D]
```

A neuron can be decomposed into three mini-layers. The first layer is the linear transformation, which calculates the scalar product of the input vector and the vector of parameters:

$$a = \sum_{i=1}^{n} p_i \times s_i$$

It also produces the residue which, in this case, consists of the tuple (V, V) of inputs and parameters:

```
fw :: (V, V) -> ((V, V), D)
fw (p, s) = ((s, p), sumN n $ zipWith (*) p s)
```

The backward pass has the general signature:

```
bw :: ((dm, da) -> (dp, ds))
```

Because we're eventually going to trace over the residues, we'll use the same type for dm as for m. And because we are going to do arithmetic over the parameters, we reuse the type of p for the delta dp. Thus the signature of the backward pass is:

```
bw :: ((V, V), D) -> (V, V)
```

In the backward pass we'll encode the gradient descent. The steepest gradient direction and slope is given by the partial derivatives:

$$\frac{\partial a}{\partial p_i} = s_i \qquad \qquad \frac{\partial a}{\partial s_i} = p_i$$

We multiply them by the desired change in the output da:

```
dp = fmap (da *) s
ds = fmap (da *) p
```

Here's the resulting lens:

The linear transformation is followed by a bias, which uses a single number as the parameter, and generates no residue:

```
biasL :: PreLens D D () () D D D D
biasL = PreLens fw bw
where
   fw :: (D, D) -> ((), D)
   fw (p, s) = ((), p + s)
    -- da/dp = 1, da/ds = 1
   bw :: ((), D) -> (D, D)
   bw (_, da) = (da, da)
```

Finally, we implement the non-linear activation layer using the tanh function:

```
activL :: PreLens D D D D () () D D
activL = PreLens fw bw
where
fw (_, s) = (s, tanh s)
```

```
-- da/ds = 1 + (tanh s)^2
bw (s, da)= ((), da * (1 - (tanh s)^2))
```

A neuron with m inputs is a composition of the three components, modulo some monoidal rearrangements:

The parameters for the neuron can be conveniently packaged into one data structure:

Using parallel composition, we can create whole layers of neurons, and then use sequential composition to model multi-layer neural networks. The loss function that compares the actual output with the expected output can also be implemented as a lens.

2.4. **Tambara Modules.** As a rule, all optics that have an existential representation also have some kind of profunctor representation. The advantage of profunctor representations is that they are functions, and they compose using function composition.

Lenses, in particular, have a representation using a special category of profunctors called Tambara modules. A vanilla Tambara module is a profunctor **p** equipped with a family of transformations. It can be implemented as a Haskell class:

```
class Profunctor p => Tambara p where alpha :: forall a da m. p a da -> p (m, a) (m, da)
```

The vanilla lens is then represented by the following profunctor-polymorphic function:

```
type Lens a da s ds = forall p. Tambara p => p a da -> p s ds
```

A similar representation can be constructed for pre-lenses. A pre-lens, however, has additional dependency on parameters and residues, so the analog of a Tambara module must also be parameterized by those. We need, therefore, a more complex type constructor **t**:

```
t m dm p dp s ds
```

This is supposed to be a profunctor in three pairs of arguments, **s** d**s**, **p** d**p**, and d**m** m. The inverted order in d**m** m means that **t** is covariant in **m** and contravariant in d**m**, as seen in the type signature of one of three dimap methods of TriProFunctor:

```
dimapM :: (m -> m') -> (dm' -> dm) ->
  t m dm p dp s ds -> t m' dm' p dp s ds
```

To generalize Tambara modules we first observe that the pre-lens now has two independent residues, **m** and **dm**, and the two should transform separately. Also, the composition of pre-lenses accumulates (through tupling) both the residues and the parameters, so it makes sense to use the additional type arguments to **TriProFunctor** as accumulators. Thus the generalized Tambara module has two methods, one for accumulating residues, and one for accumulating parameters:

These generalized Tambara modules have to satisfy a number of coherency conditions. One can also define natural transformations that are compatible with the new structures, so that they form a category.

The question arises: can this definition be satisfied by an actual non-trivial TriProFunctor? Fortunately, it turns out that a pre-lens itself is an example of a Trimbara module. Here's the implementation of alpha for a PreLens:

and this is beta:

This result will become important in the next section.

2.5. **TriLens.** Since **Trimbara** modules form a category, we can define a polymorphic function type (a categorical end) over **Trimbara** modules . This gives us the (tri-)profunctor representation for a pre-lens:

```
type TriLens a da m dm p dp s ds =
  forall t. Trimbara t => forall p1 dp1 m1 dm1.
    t m1 dm1 p1 dp1 a da ->
    t (m, m1) (dm, dm1) (p1, p) (dp1, dp) s ds
```

Indeed, given a pre-lens we can construct the requisite mapping of Trimbara modules simply by lifting the two functions (the forward and the backward pass) and sandwiching them between the two Tambara structure maps:

```
toTamb :: PreLens a da m dm p dp s ds ->
    TriLens a da m dm p dp s ds
toTamb (PreLens fw bw) = beta . dimapS fw bw . alpha
```

Conversely, given a mapping between **Trimbara** modules, we can construct a pre-lens by applying it to the identity pre-lens (modulo some rearrangement of tuples using the monoidal right/left unit laws):

The main advantage of the profunctor representation is that we can now compose two lenses using simple function composition; again, modulo some associators:

```
triCompose ::
    TriLens b db m dm p dp s ds ->
    TriLens a da n dn q dq b db ->
    TriLens a da (m, n) (dm, dn) (q, p) (dq, dp) s ds
triCompose f g = dimapP unAssoc assoc .
```

```
dimapM unAssoc assoc .
f . g
```

Parallel composition of **TriLens**es is also relatively straightforward, although it involves a lot of bookkeeping (see the gitHub implementation).

2.6. **Training a Neural Network.** As a proof of concept, I have implemented and trained a simple 3-layer perceptron.

The starting point is the conversion of the individual components of the neuron from their pre-lens representation to the profunctor representation using toTamb. For instance:

```
linearT :: Int -> TriLens D D (V, V) (V, V) V V V
linearT n = toTamb (linearL n)
```

We get a profunctor representation of a neuron by composing its three components:

```
neuronT :: Int ->
   TriLens D D ((V, V), D) ((V, V), D) Para Para V V
neuronT mIn =
   dimapP (second (unLunit . unPara))
        (second (mkPara . lunit)) .
   triCompose (dimapM (first runit) (first unRunit) .
   triCompose (linearT mIn) biasT) activT
```

With parallel composition of tri-lenses, one can build a layer of neurons of arbitrary width.

```
layer :: Int -> Int ->
   TriLens V V [((V, V), D)] [((V, V), D)] [Para] [Para] V V
layer mIn nOut =
   dimapP (second unRunit) (second runit) .
   dimapM (first lunit) (first unLunit) .
   triCompose (branch nOut) (vecLens nOut (neuronT mIn))
```

The result is again a tri-lens, and such tri-lenses can be composed in series to create a multi-layer perceptron.

Here, the first integer specifies the number of inputs of each neuron in the first layer. The list [Int] specifies the number of neurons in consecutive

layers (which is also the number of inputs of each neuron in the following layer).

The training of a neural network is usually done by feeding it a batch of inputs together with a batch of expected outputs. This can be simply done by arranging multiple perceptrons in parallel and accumulating the parameters for the whole batch.

```
batchN :: (VSpace dp) => Int ->
   TriLens a da m dm p dp s ds ->
   TriLens [a] [da] [m] [dm] p dp [s] [ds]
```

To make the accumulation possible, the parameters must form a vector space, hence the constraint VSpace dp.

The whole thing is then capped by a square-distance loss lens that is parameterized by the ground truth values:

```
lossL :: PreLens D D ([V], [V]) ([V], [V]) [V] [V] [V] [V]
lossL = PreLens fw bw
where
  fw (gTruth, s) =
      ((gTruth, s), sqDist (concat s) (concat gTruth))
  bw ((gTruth, s), da) = (fmap (fmap negate) delta', delta')
      where
      delta' = fmap (fmap (da *)) (zipWith minus s gTruth)
```

3. Categorical View

3.1. **The Para Construction.** There's been a lot of interest in categorical foundations of deep learning. The basic idea is that of a parametric category, in which morphisms are parameterized by objects from a monoidal category \mathcal{P} :

$$a \xrightarrow{f_n} b$$

Here, p is an object of \mathcal{P} .

When two such morphisms are composed, the result is parameterized by the tensor product of the parameters.

$$a \xrightarrow{f_p} b \xrightarrow{g_q} c$$

An identity morphism is parameterized by the monoidal unit I.

If the monoidal category \mathcal{P} is not strict, then the parametric composition and identity laws are not strict either. They are satisfied up to associators and unitors of \mathcal{P} . A category with lax composition and identity laws is called a bicategory. The 2-cells in a parametric bicategory are called reparameterizations.

Of particular interest are parameterized bicategories that are built on top of actegories. An actegory \mathcal{C} is a category in which we define an action of a monoidal category \mathcal{P} :

$$\bullet : \mathcal{P} \times \mathcal{C} \to C$$

satisfying some obvious coherency conditions (unit and composition):

$$I \bullet c \cong c$$
$$p \bullet (q \bullet c) \cong (p \otimes q) \bullet c$$

There are two basic constructions of a parametric category on top of an actegory called **Para** and **coPara**. The first constructs parametric morphisms from a to b as $f_p = p \bullet a \to b$, and the second as $g_p = a \to p \bullet b$.

3.2. **Parametric Optics.** The **Para** construction can be extended to optics, where we're dealing with pairs of objects from the underlying category (or categories, in the case of mixed optics). The parameterized optic is defined as the following coend:

$$O\langle a, da \rangle \langle p, dp \rangle \langle s, ds \rangle = \int^m \mathcal{C}(p \bullet s, m \bullet a) \times \mathcal{C}(m \bullet da, dp \bullet ds)$$

where the residues m are objects of some monoidal category \mathcal{M} , and the parameters $\langle p, dp \rangle$ come from another monoidal category \mathcal{P} .

In Haskell, this is exactly the existential lens:

There is, however, a more general bicategory of pre-optics, which underlies existential optics. In it, both the parameters and the residues are treated symmetrically.

3.3. The Bicategory of pre-Optics. Pre-optics break the feedback loop in which the residues from the forward pass are fed back to the backward pass. We get the following formula:

$$O\langle a, da \rangle \langle m, dm \rangle \langle p, dp \rangle \langle s, ds \rangle = \mathcal{C}(p \bullet s, m \bullet a) \times \mathcal{C}(dm \bullet da, dp \bullet ds)$$

We interpret this as a hom-set from a pair of objects $\langle s, ds \rangle$ in $C^{op} \times C$ to the pair of objects $\langle a, da \rangle$ also in $C^{op} \times C$, parameterized by a pair $\langle m, dm \rangle$ in $\mathcal{M} \times \mathcal{M}^{op}$ and a pair $\langle p, dp \rangle$ from $\mathcal{P}^{op} \times \mathcal{P}$.

To simplify notation, I'll use the bold \mathbf{C} for the category $\mathcal{C}^{op} \times \mathcal{C}$, and use bold letters for pairs of objects and (twisted) pairs of morphisms. For instance, $\mathbf{f} : \mathbf{a} \to \mathbf{b}$ is a member of the hom-set $\mathbf{C}(\mathbf{a}, \mathbf{b})$ represented by a pair $\langle f : a' \to a, g : b \to b' \rangle$.

Similarly, I'll use the notation $\mathbf{m} \bullet \mathbf{a}$ to denote the monoidal action of $\mathcal{M}^{op} \times \mathcal{M}$ on $\mathcal{C}^{op} \times \mathcal{C}$:

$$\langle m, dm \rangle \bullet \langle a, da \rangle = \langle m \bullet a, dm \bullet da \rangle$$

and the analogous action of $\mathcal{P}^{op} \times \mathcal{P}$.

In this notation, the pre-optic can be simply written as:

$$O \mathbf{a} \mathbf{m} \mathbf{p} \mathbf{s} = \mathbf{C} (\mathbf{m} \bullet \mathbf{a}, \mathbf{p} \bullet \mathbf{b})$$

and an individual morphism as a triple:

$$(\mathbf{m}, \mathbf{p}, \mathbf{f} : \mathbf{m} \bullet \mathbf{a} \to \mathbf{p} \bullet \mathbf{b})$$

Pre-optics form hom-sets in the **PreLens** bicategory. The composition is a mapping:

$$\mathbf{C}(\mathbf{m}\bullet\mathbf{b},\mathbf{p}\bullet\mathbf{c})\times\mathbf{C}(\mathbf{n}\bullet\mathbf{a},\mathbf{q}\bullet\mathbf{b})\to\mathbf{C}((\mathbf{m}\otimes\mathbf{n})\bullet\mathbf{a},(\mathbf{q}\otimes\mathbf{p})\bullet\mathbf{c})$$

Indeed, since both monoidal actions are functorial, we can lift the first morphism by $(\mathbf{q} \bullet -)$ and the second by $(\mathbf{m} \bullet -)$:

$$\mathbf{C}(\mathbf{m} \bullet \mathbf{b}, \mathbf{p} \bullet \mathbf{c}) \times \mathbf{C}(\mathbf{n} \bullet \mathbf{a}, \mathbf{q} \bullet \mathbf{b}) \xrightarrow{(\mathbf{q} \bullet) \times (\mathbf{m} \bullet)}$$

$$\mathbf{C}(\mathbf{q} \bullet \mathbf{m} \bullet \mathbf{b}, \mathbf{q} \bullet \mathbf{p} \bullet \mathbf{c}) \times \mathbf{C}(\mathbf{m} \bullet \mathbf{n} \bullet \mathbf{a}, \mathbf{m} \bullet \mathbf{q} \bullet \mathbf{b})$$

We can compose these hom-sets in C, as long as the two monoidal actions commute, that is, if we have:

$$\mathbf{q} \bullet \mathbf{m} \bullet \mathbf{b} \to \mathbf{m} \bullet \mathbf{q} \bullet \mathbf{b}$$

for all **q**, **m**, and **b**. The identity morphism is a triple:

parameterized by the unit objects in the monoidal categories M and P. Associativity and identity laws are satisfied modulo the associators and the unitors.

If the underlying category C is monoidal, the **PreOp** bicategory is also monoidal, with the obvious parallel composition of pre-optics.

3.4. Triple Tambara Modules. A triple Tambara module is a functor:

$$T \colon \mathbf{M}^{op} \times \mathbf{P} \times \mathbf{C} \to \mathbf{Set}$$

equipped with two families of natural transformations:

$$\alpha \colon T \mathbf{m} \mathbf{p} \mathbf{a} \to T (\mathbf{n} \otimes \mathbf{m}) \mathbf{p} (\mathbf{n} \bullet a)$$

$$\beta \colon T \mathbf{m} \mathbf{p} (\mathbf{r} \bullet \mathbf{a}) \to T \mathbf{m} (\mathbf{p} \otimes \mathbf{r}) \mathbf{a}$$

and some coherence conditions. For instance, the two paths from $T \mathbf{m} \mathbf{p}$ ($\mathbf{r} \bullet \mathbf{a}$) to $T (\mathbf{n} \otimes \mathbf{m}) (\mathbf{p} \otimes \mathbf{r}) (\mathbf{n} \bullet \mathbf{a})$ must give the same result.

One can also define natural transformations between such functors that preserve the two structures, and define a bicategory of triple Tambara modules **TriTamb**.

As a special case, if we chose the category \mathcal{P} to be the trivial one-object monoidal category, we get a version of (double-) Tambara modules. If we then take the coend, $P\langle a,b\rangle=\int^m T\langle m,m\rangle\langle a,b\rangle$, we get regular Tambara modules.

Pre-optics themselves are an example of a triple Tambara representation. Indeed, for any fixed \mathbf{a} , we can define a mapping α from the triple:

$$(\mathbf{m}, \mathbf{p}, \mathbf{f} \colon \mathbf{m} \bullet \mathbf{a} \to \mathbf{p} \bullet \mathbf{b})$$

to the triple:

$$(\mathbf{n} \otimes \mathbf{m}, \mathbf{p}, \mathbf{f}' \colon (\mathbf{n} \otimes \mathbf{m}) \bullet \mathbf{a} \to \mathbf{p} \bullet (\mathbf{n} \bullet \mathbf{b}))$$

by lifting of **f** by $(\mathbf{n} \bullet -)$ and the rearrangement of actions using their commutativity. Similarly for β , we map:

$$(\mathbf{m}, \mathbf{p}, \mathbf{f} \colon \mathbf{m} \bullet \mathbf{a} \to \mathbf{p} \bullet (\mathbf{r} \bullet \mathbf{b}))$$

to:

$$(\mathbf{m}, (\mathbf{p} \otimes \mathbf{r}), \mathbf{f}' \colon \mathbf{m} \bullet \mathbf{a} \to (\mathbf{p} \otimes \mathbf{r}) \bullet \mathbf{b})$$

3.5. **Tambara Representation.** The main result is that morphisms in **PreOp** can be expressed using triple Tambara modules. An optic:

$$(\mathbf{m}, \mathbf{p}, \mathbf{f} \colon \mathbf{m} \bullet \mathbf{a} \to \mathbf{p} \bullet \mathbf{b})$$

is equivalent to a triple end:

$$\int_{\mathbf{r}:\ \mathbf{P}} \int_{\mathbf{n}:\ \mathbf{M}} \int_{T:\ \mathbf{TriTamb}} \mathbf{Set} \big(T\ \mathbf{n}\ \mathbf{r}\ \mathbf{a}, T\ (\mathbf{m}\otimes\mathbf{n})\ (\mathbf{r}\otimes\mathbf{p})\ \mathbf{b} \big)$$

Indeed, since pre-optics are themselves triple Tambara modules, we can apply the polymorphic mapping of Tambara modules to the identity optic (1, 1, id) to get an arbitrary pre-optic.

Conversely, given an optic:

$$(\mathbf{m}, \mathbf{p}, \mathbf{f} \colon \mathbf{m} \bullet \mathbf{a} \to \mathbf{p} \bullet \mathbf{b})$$

we can construct the polymorphic mapping of triple Tambara modules:

$$T \mathbf{n} \mathbf{r} \mathbf{a} \xrightarrow{\alpha} T (\mathbf{m} \otimes \mathbf{n}) \mathbf{r} (\mathbf{m} \bullet \mathbf{a}) \xrightarrow{T \mathbf{f}} T (\mathbf{m} \otimes \mathbf{n}) \mathbf{r} (\mathbf{p} \bullet \mathbf{b}) \xrightarrow{\beta} T (\mathbf{m} \otimes \mathbf{n}) (\mathbf{r} \otimes \mathbf{p}) \mathbf{b}$$

4. Bibliography

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