



中山大學  
SUN YAT-SEN UNIVERSITY

# Lecture 2. Images and Transformations

**Pattern Recognition (in Computer Vision)**

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# (Tentative) Schedule

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- L1. Introduction to PR
- L2. Images and Transformations
- L3. Color and Filters
- L4. Features and fitting
- L5. Feature descriptors
- L6. Clustering and Segmentation
- L7. Dimensionality Reduction
- L8. Face identification
- L9. Bayesian Decision Theory
- L10. Image Classification
- L11. Regularization and Optimization
- L12. Image Classification with CNNs
- L13. CNN Architectures
- L14. Training Neural Networks
- L15. Object Detection and Image Segmentation
- L16. Recurrent Neural Networks
- L17. Attention and Transformers
- L18. Generative Models
- L19. Self-supervised Learning

# Types of Images

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Binary



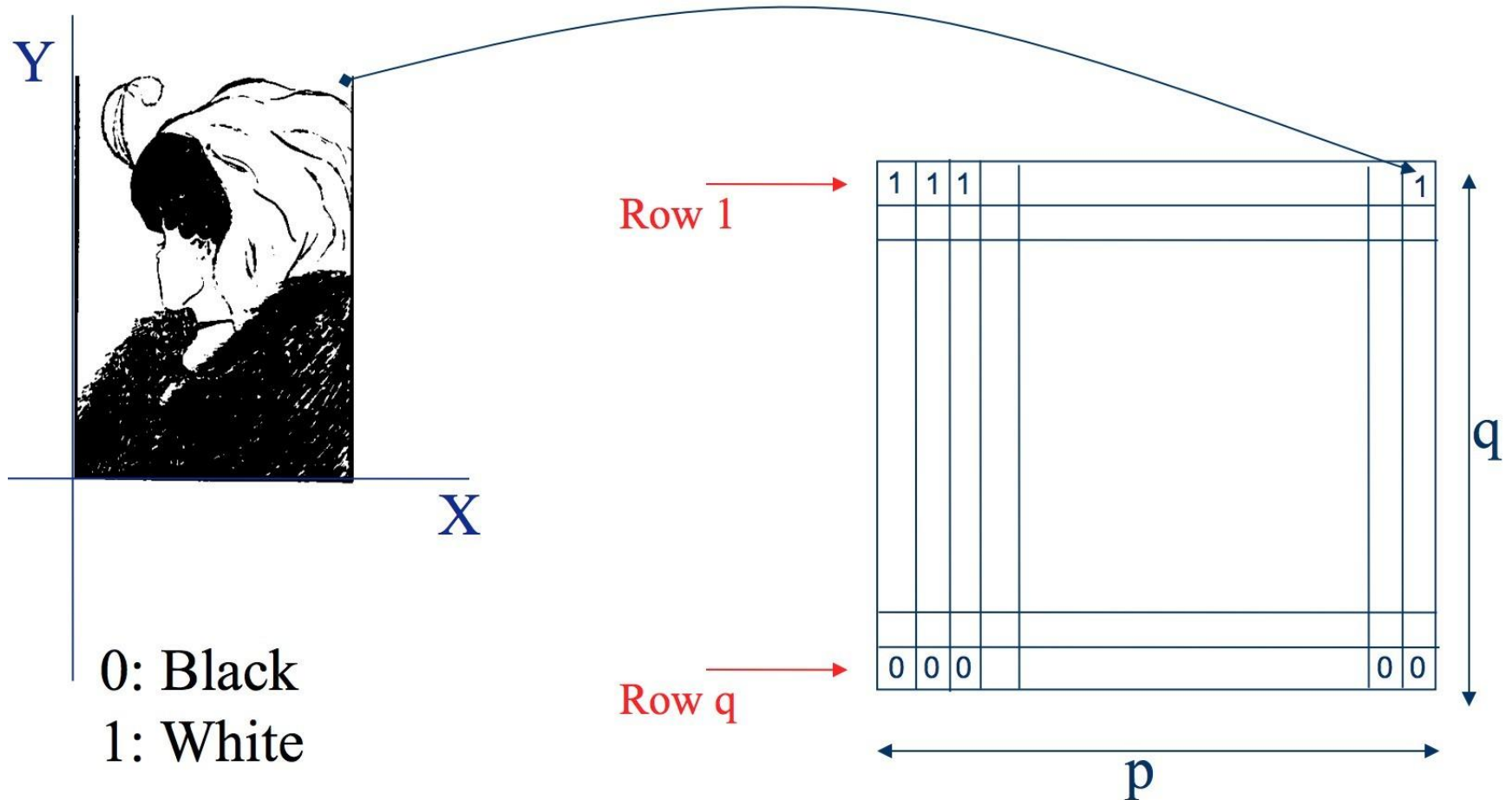
Grayscale



Color

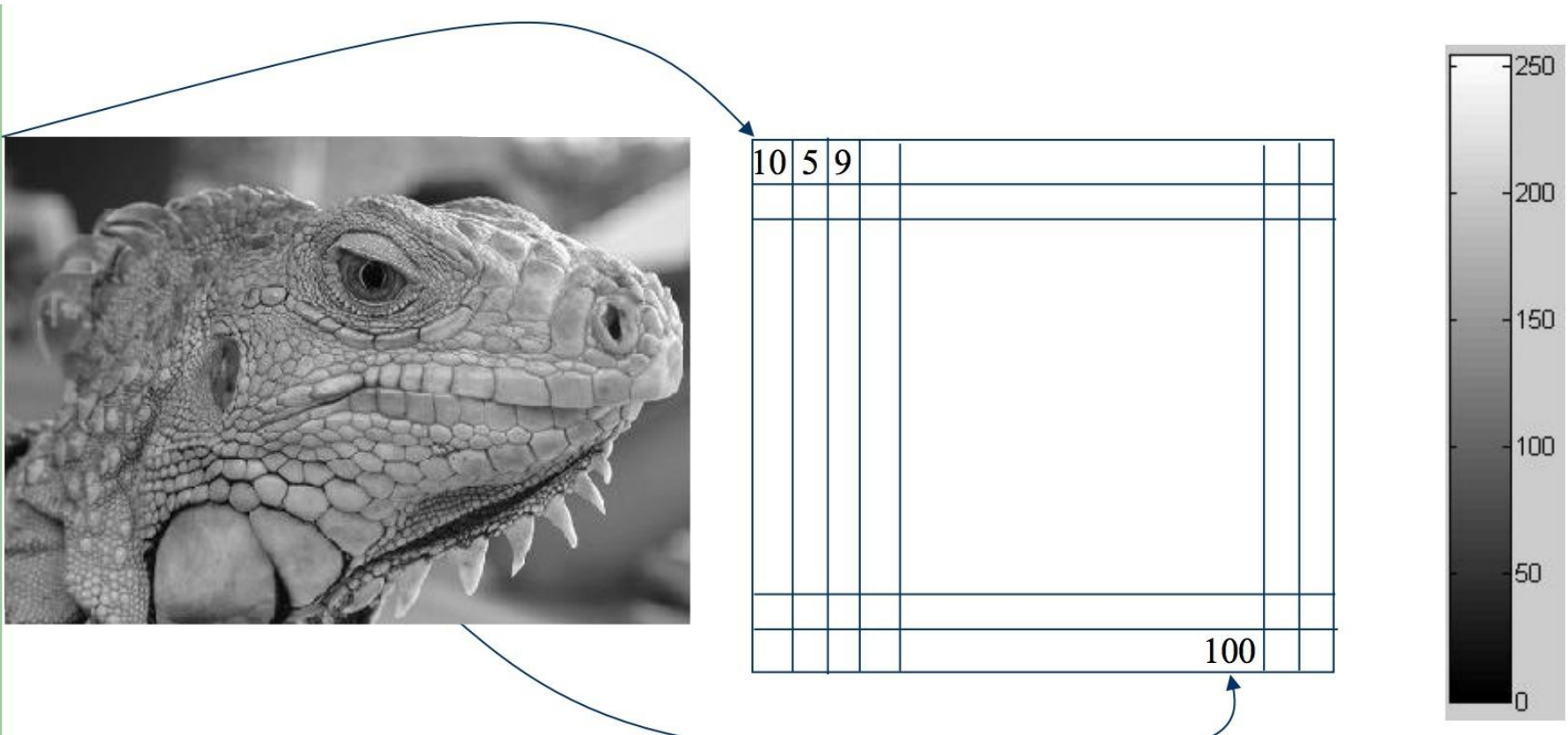


# Binary image representation



Slide credit: Ulas Bagci

# Grayscale image representation



Slide credit: Ulas  
Bagci

# Color image representation



Phil Noble / AP



B channel



Phil Noble / AP

G channel



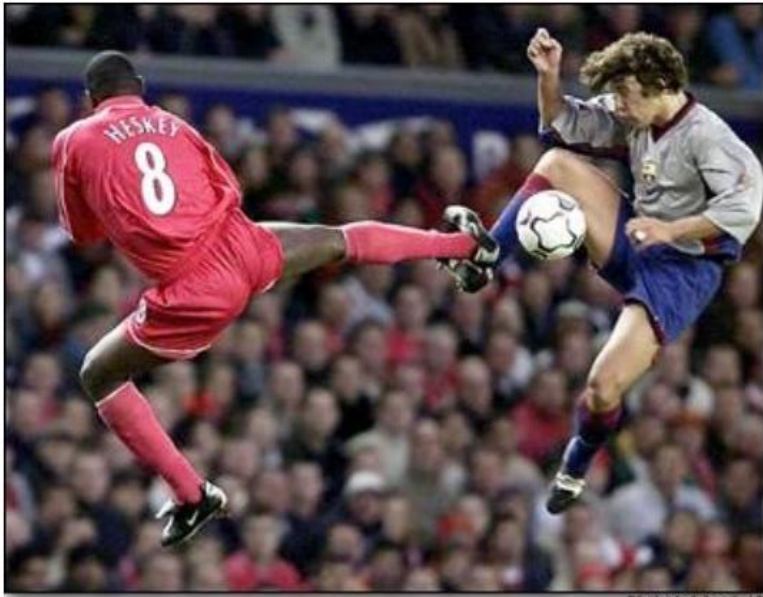
Phil Noble / AP

R channel

Slide credit: Ulas Bagci



# Color image - one channel



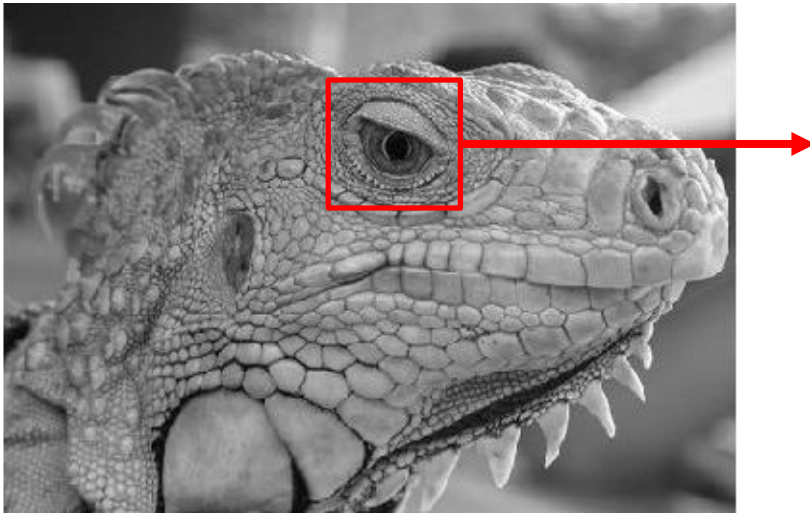
Phil Noble / AP



Phil Noble / AP

R channel

# Digital Images are sampled

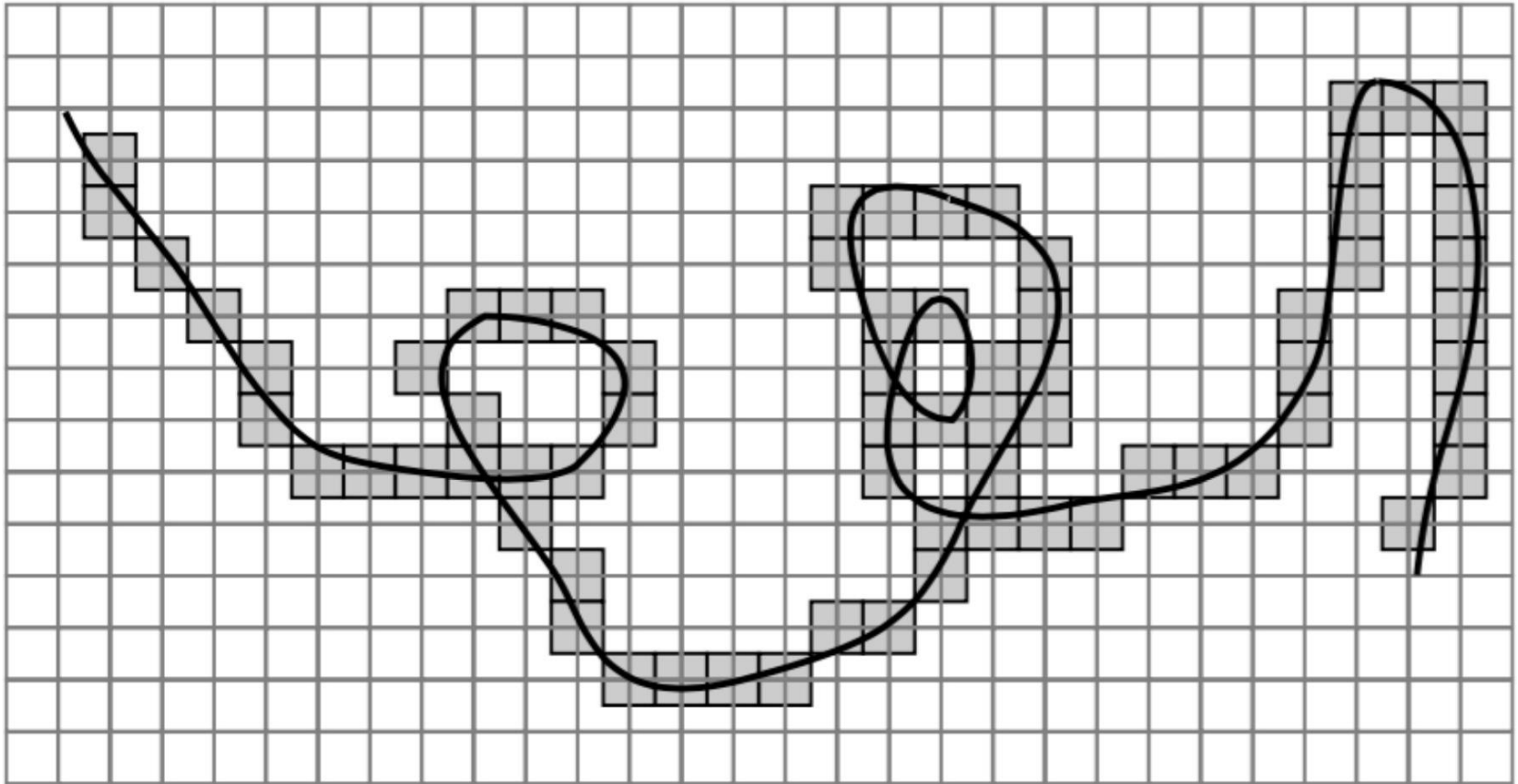


- What happens when we zoom into the images we capture?



# Errors due to Sampling

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Slide credit: Ulas  
Bagci

# Resolution

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is a **sampling** parameter, defined in dots per inch (DPI) or equivalent measures of spatial pixel density



Slide credit: Ulas  
Bagci

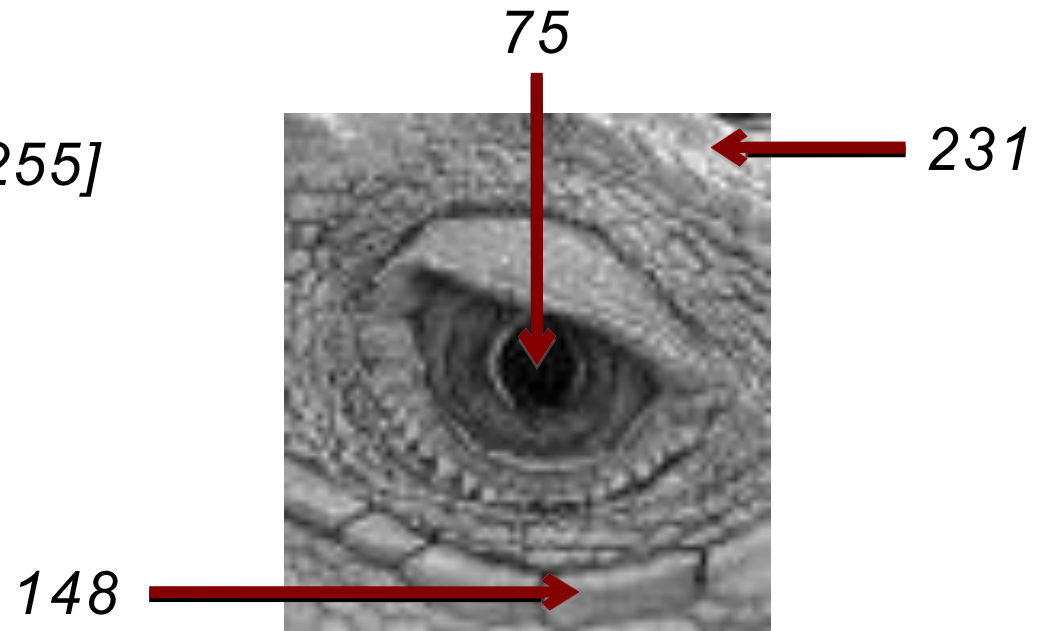
# Images are Sampled and Quantized

- An image contains discrete number of pixels

–Pixel value:

- “*grayscale*”

(or “*intensity*”):  $[0, 255]$



# Images are Sampled and Quantized

- An image contains discrete number of pixels

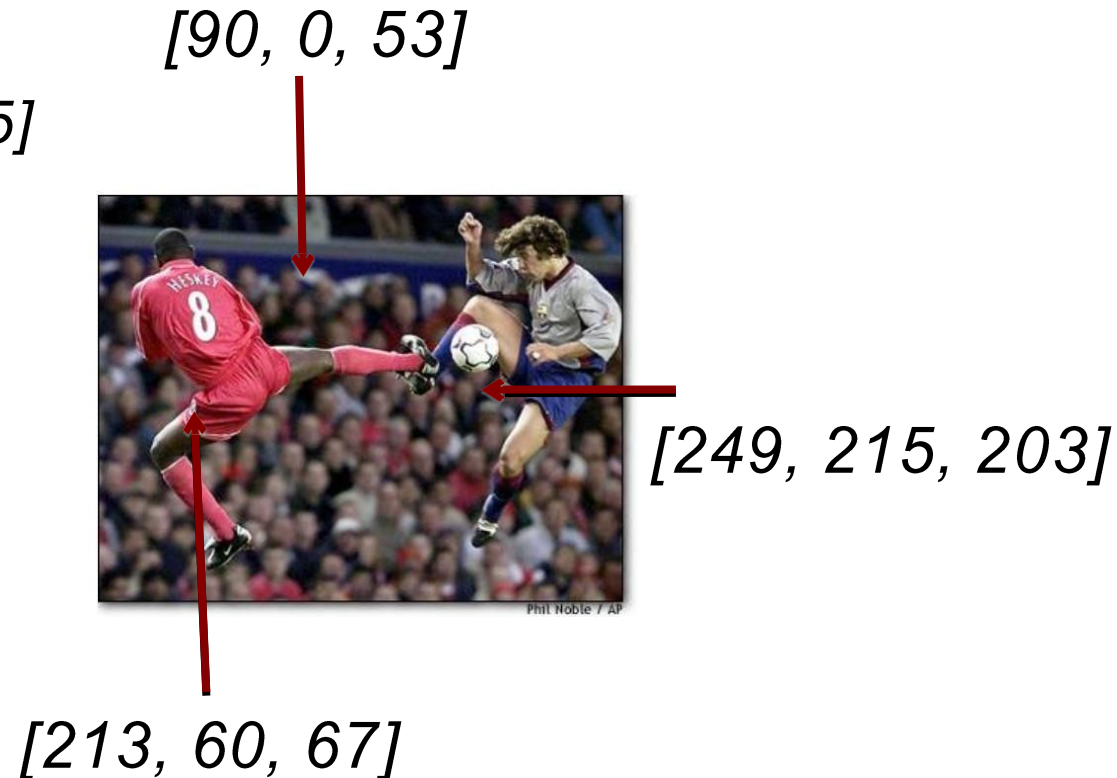
–Pixel value:

- “grayscale”

(or “intensity”):  $[0, 255]$

- “color”

–RGB:  $[R, G, B]$



*With this loss of information (from sampling and quantization),*

*Can we still use images for useful tasks?*



# Summary

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- *Image types (binary, grayscale, color)*
- *Images are sampled and quantized*

# 2D transformations

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- *Transformation Matrices*
- *Homogeneous coordinates*
- *Translation*
- *Scaling*
- *Rotation*

# Transformation Matrices

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- *Matrices can be used to transform vectors in useful ways, through multiplication:  $p' = A p$*
- *Simplest is scaling:*

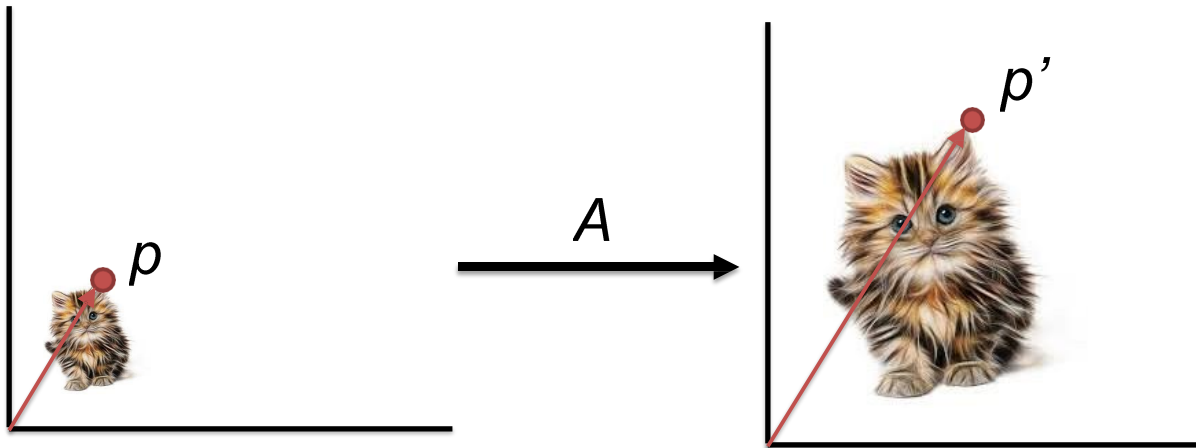
$$\begin{array}{ccc} \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} & \times & \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix} \\ A & p & p' \end{array}$$

*(Verify to yourself that the matrix multiplication works out this way)*

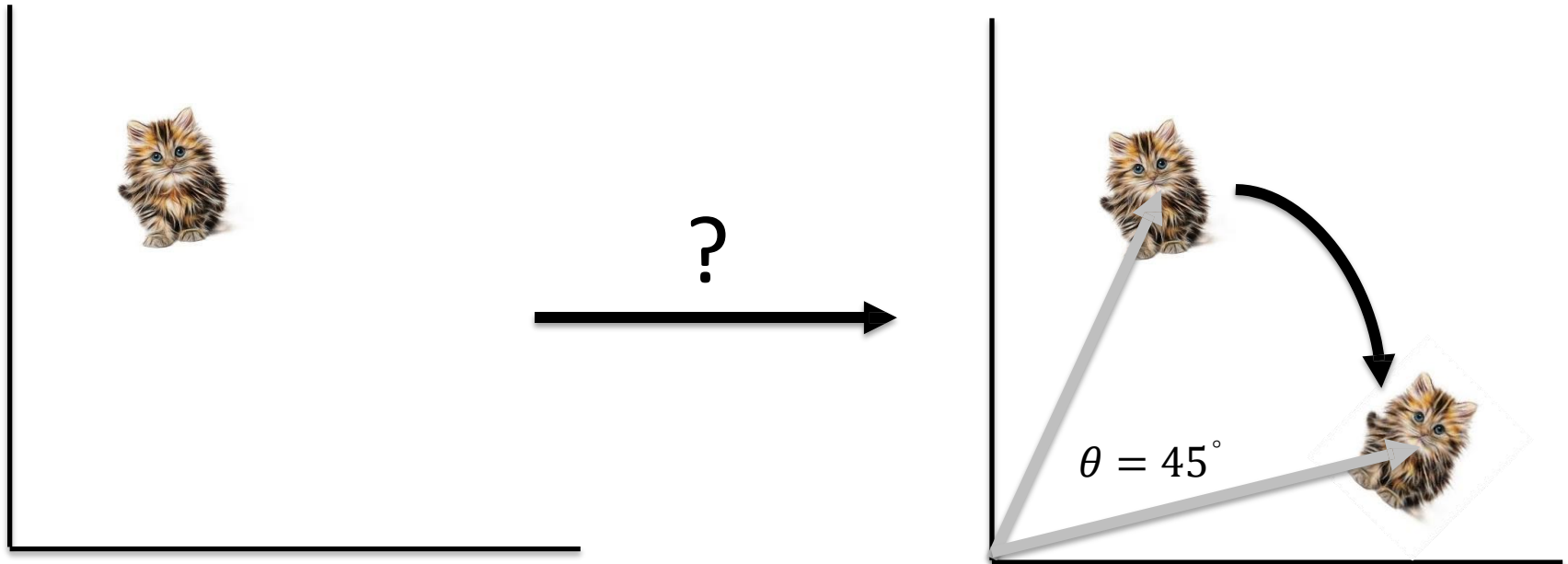
# Transformation Matrices

$$\begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \times \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix}$$

$A \qquad p \qquad p'$



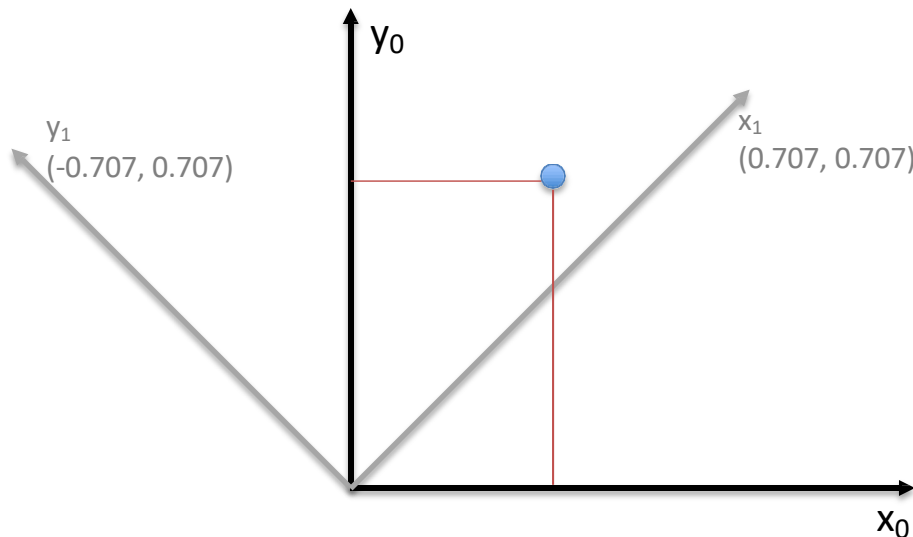
# Rotation





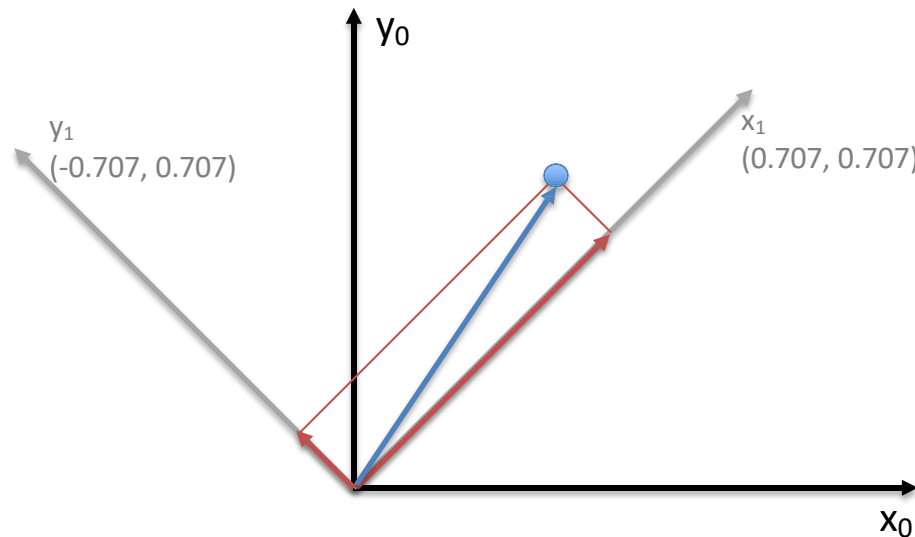
# Rotation

- *How can you convert a vector represented in the coordinate frame “0” to a new, rotated coordinate frame “1”?*
- *Remember what a vector is:  
[component in direction of the frame’s x axis, component in direction of y axis]*



# Rotation

- So to rotate it we must produce this vector:  
[component in direction of **new** x axis, component in direction of **new** y axis]
- We can do this easily with dot products!
- New x coordinate is [the new x axis] ( $x_1$ ) **dot** [original vector]
- New y coordinate is [the new y axis] ( $y_1$ ) **dot** [original vector]

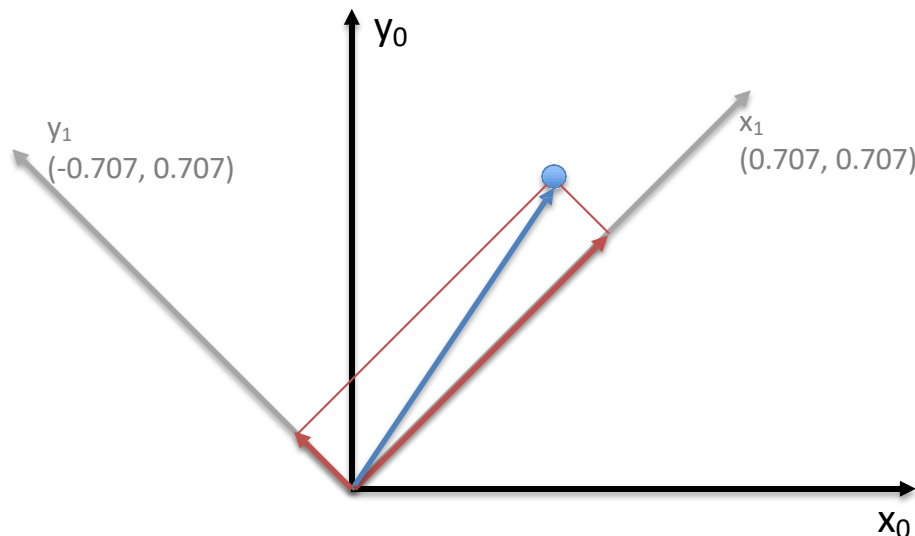


# Rotation

- *Insight: something similar happens in a matrix\*vector multiplication!*
- *The resulting x coordinate,  $x'$ , is: [matrix row 1] dot [original vector]*

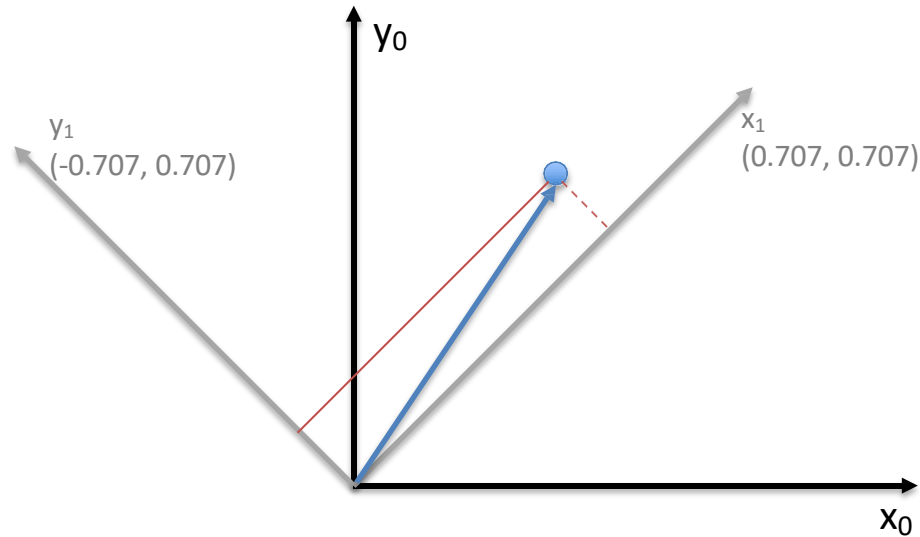
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} 0.707 & 0.707 \\ -0.707 & 0.707 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

- *The matrix multiplication  $Rp = p'$  produces the coordinates in the new frame.*



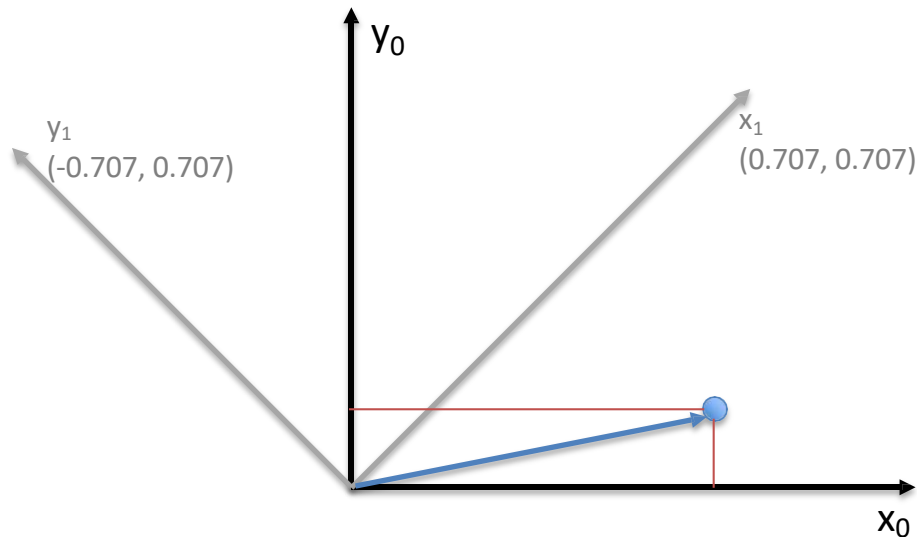
# Rotation

- Now we have our point in the new coordinate system which is rotated left
- If we plot the result in the **original** coordinate system, we have rotated the point right



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- If we plot the result in the **original** coordinate system, we have rotated the point right

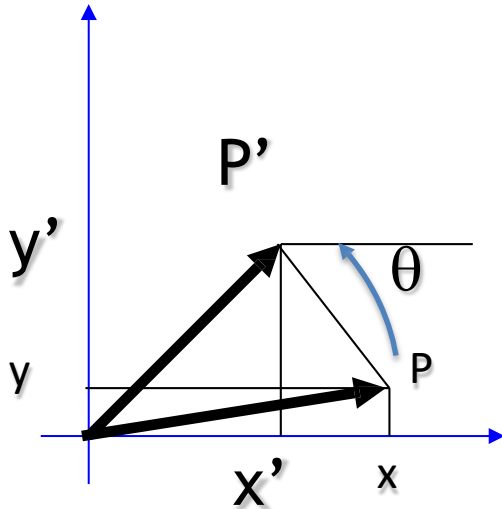


– Thus, rotation matrices can be used to rotate vectors. We'll usually think of them in that sense-- as operators to rotate vectors



# 2D Rotation Matrix Formula

**Counter-clockwise rotation by an angle  $\theta$**



$$x' = \cos \theta \, x - \sin \theta \, y$$

$$y' = \cos \theta \, y + \sin \theta \, x$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$p' = R p$$

# Transformation Matrices

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- *Multiple transformation matrices can be used to transform a point:*

$$p' = R_2 R_1 S p$$

- *The effect of this is to apply their transformations one after the other, from **right to left**.*
- *In the example above, the result is equivalent to*

$$p' = R_2(R_1(Sp))$$

- *The result is exactly the same if we multiply the matrices first, to form a single transformation matrix:*

$$p' = (R_2 R_1 S) p$$

# 2D transformations

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- *Transformation Matrices*
- *Homogeneous coordinates*
- *Translation*
- *Scaling*
- *Rotation*

# Homogeneous coordinates

---

- *In general, a matrix multiplication lets us linearly combine components of a vector*

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \times \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} ax + by \\ cx + dy \end{bmatrix}$$

- *This is sufficient for scaling, rotating, and skewing (倾斜) transformations.*
- *But notice, we can't add a constant! ☹️*
- *That means, we cannot produce a new (translated) vector  $\begin{bmatrix} x + k \\ y + k \end{bmatrix}$ .*

# Homogeneous coordinates

---

- *The (somewhat hacky) solution? Stick a “1” at the end of every vector:*

$$\begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} ax + by + c \\ dx + ey + f \\ 1 \end{bmatrix}$$

- *Now we can rotate, scale, and skew like before, **AND translate** (note how the multiplication works out, above)*
- *This is called “homogeneous coordinates”*



# Homogeneous coordinates

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- In homogeneous coordinates, the multiplication works out so the rightmost column of the matrix is a vector that gets added.*

$$\begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} ax + by + c \\ dx + ey + f \\ 1 \end{bmatrix}$$

- Generally, a homogeneous transformation matrix will have a bottom row of  $[0 \ 0 \ 1]$ , so that the result has a “1” at the bottom too.*

# Homogeneous coordinates

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- *One more thing we might want: to divide the result by something*
  - *For example, we may want to divide by a coordinate, to make things scale down as they get farther away in an image*
  - *Matrix multiplication can't actually divide*
  - *So, **by convention**, in homogeneous coordinates, we'll divide the result by its last coordinate after doing a matrix multiplication*

$$\begin{bmatrix} x \\ y \\ 7 \end{bmatrix} \Rightarrow \begin{bmatrix} x/7 \\ y/7 \\ 1 \end{bmatrix}$$

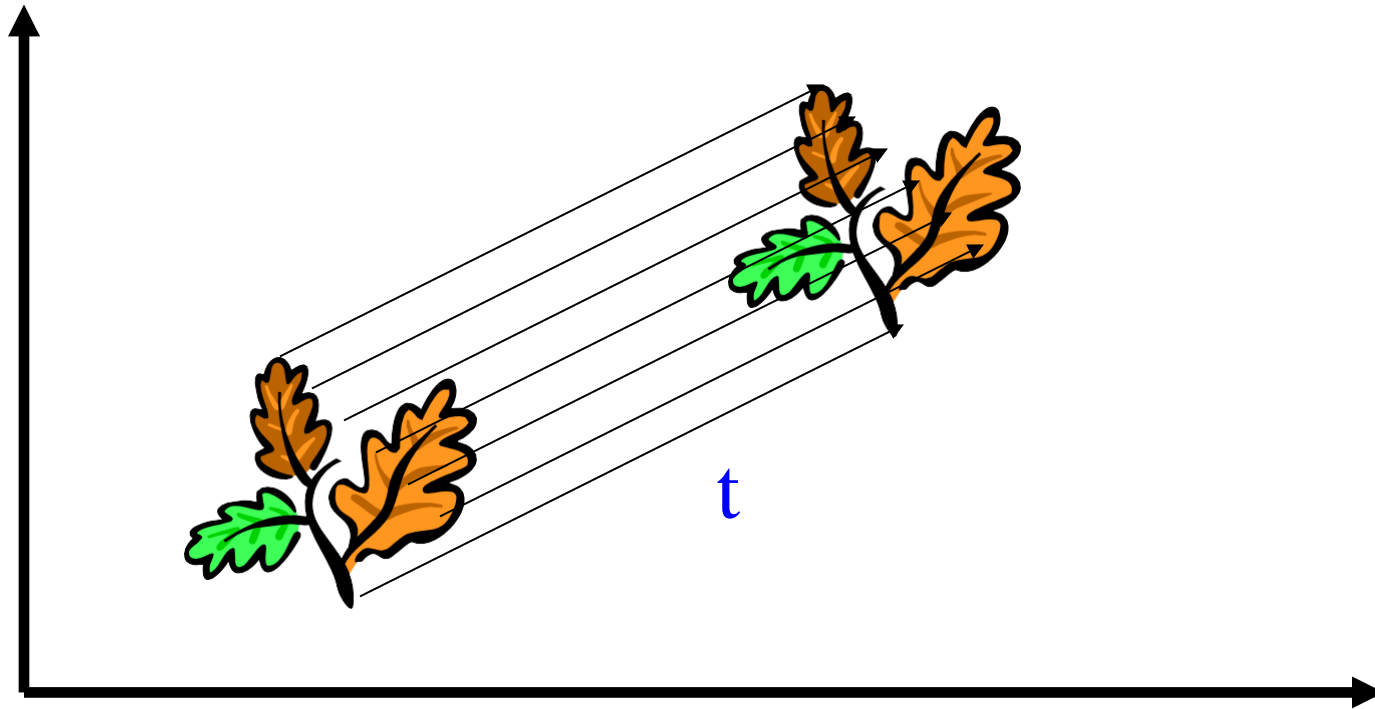
- *The original Cartesian coordinates are recovered by dividing the first two positions by the third.*
- *Unlike Cartesian coordinates, a single point can be represented by infinitely many homogeneous coordinates.*

# 2D transformations

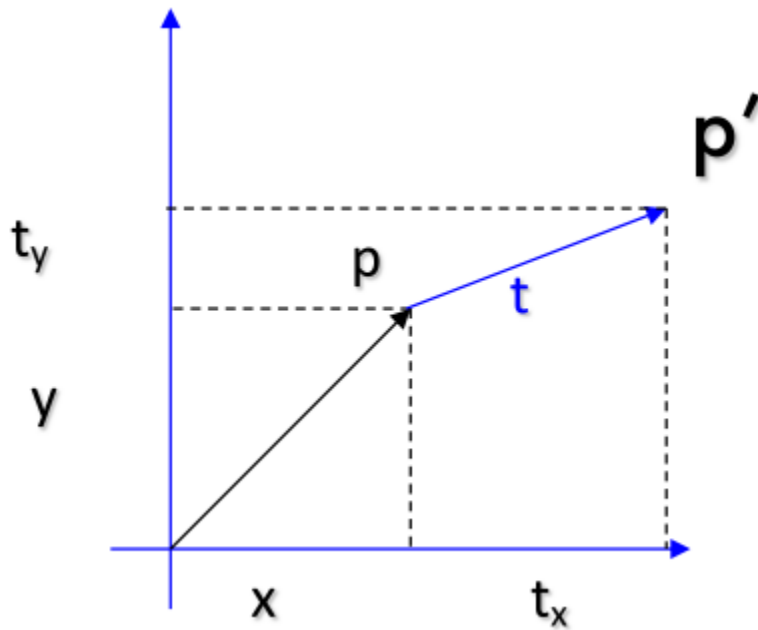
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- *Transformation Matrices*
- *Homogeneous coordinates*
- *Translation*
- *Scaling*
- *Rotation*

# 2D Translation



## 2D Translation using Homogeneous Coordinates



$$p = \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

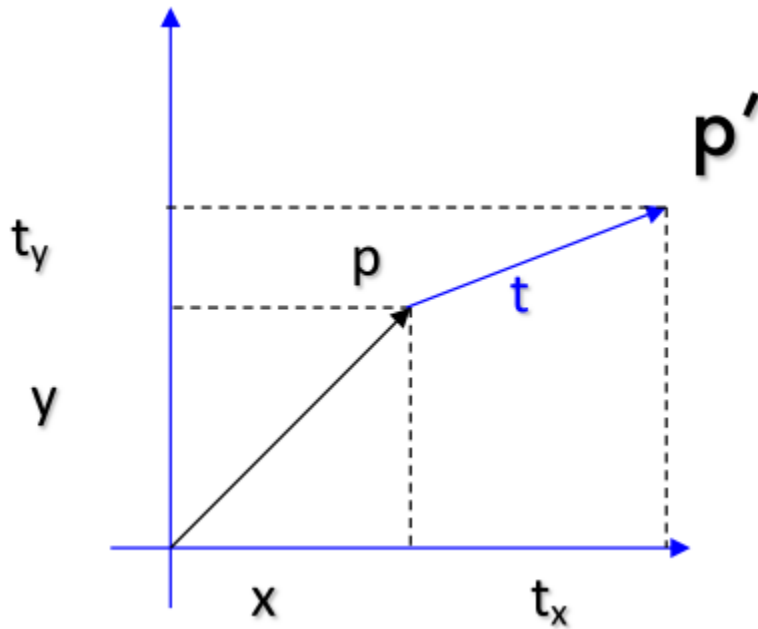
$$t = \begin{bmatrix} t_x \\ t_y \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

## 2D Translation using Homogeneous Coordinates



$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

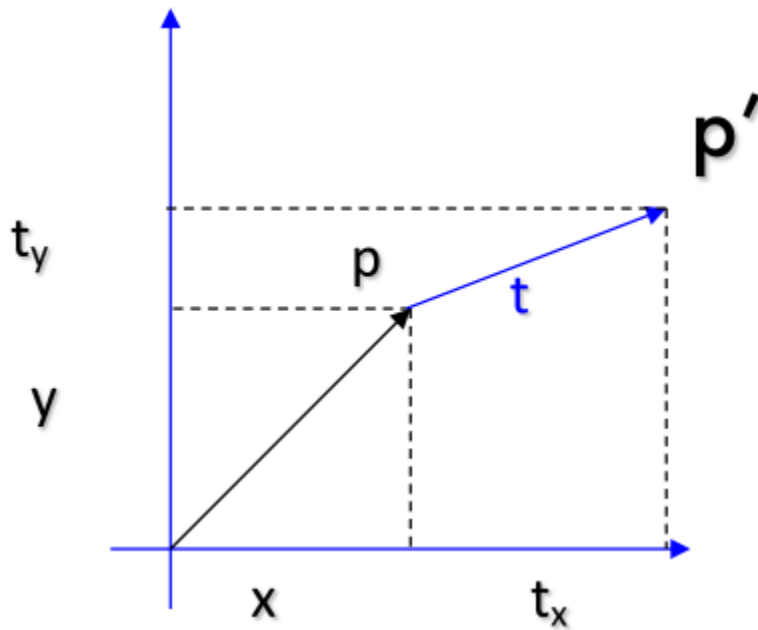
$$t = \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

## 2D Translation using Homogeneous Coordinates



$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

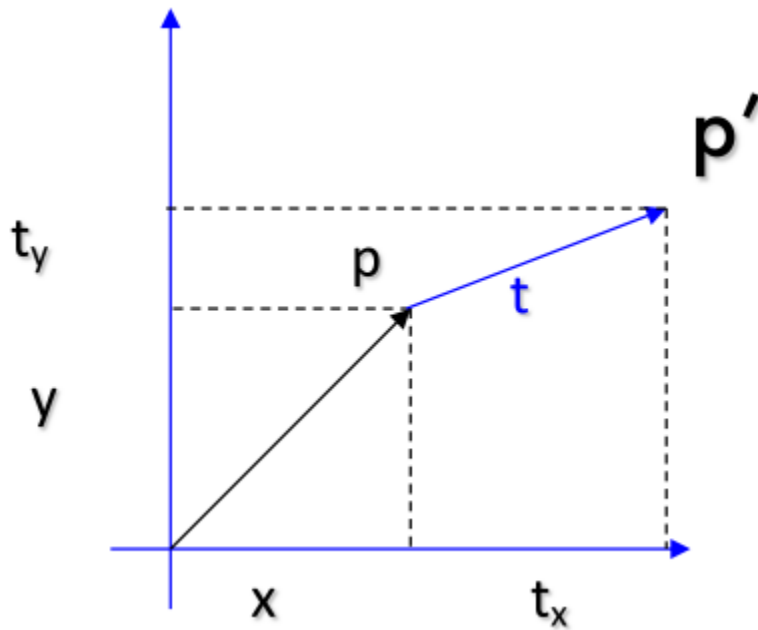
$$t = \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

## 2D Translation using Homogeneous Coordinates



$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

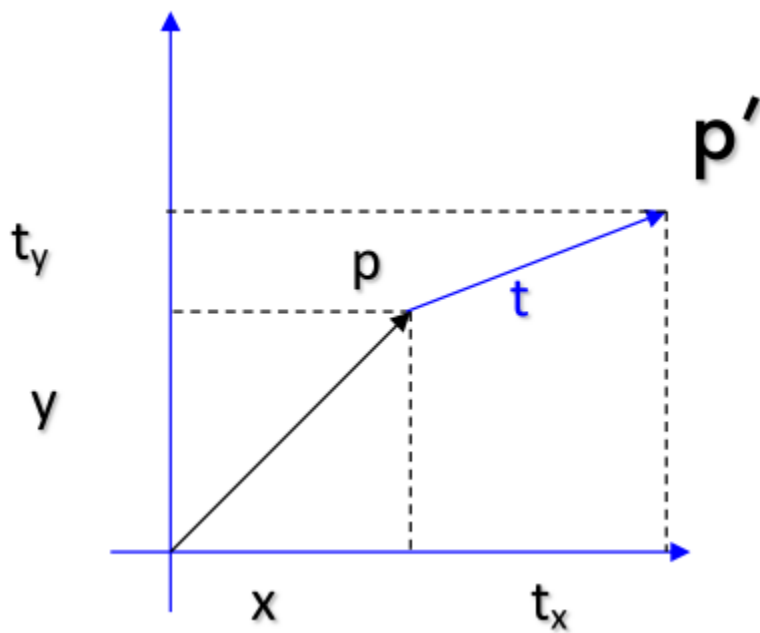
$$t = \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



## 2D Translation using Homogeneous Coordinates



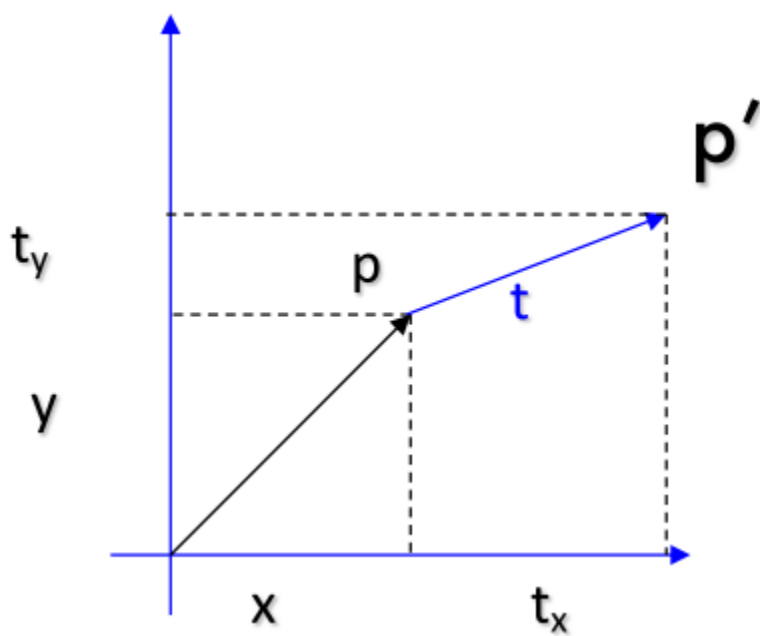
$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$t = \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

## 2D Translation using Homogeneous Coordinates



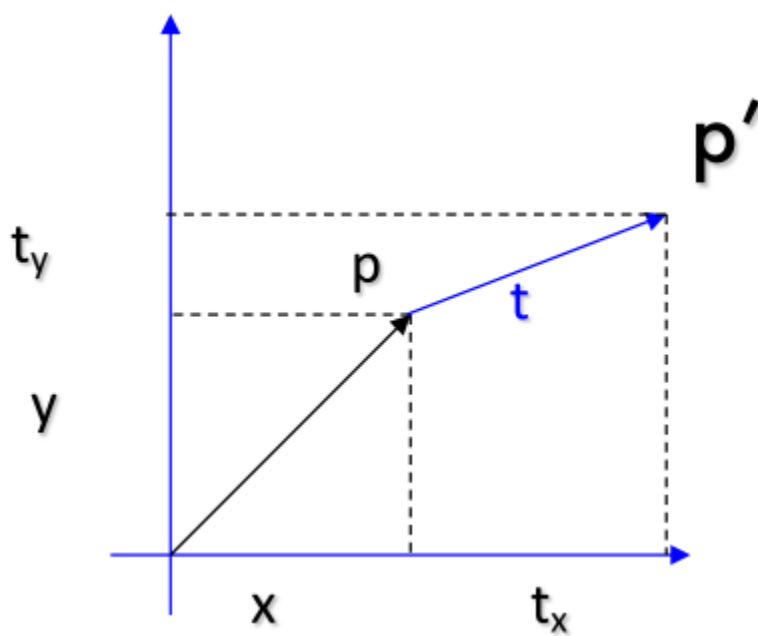
$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

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$$p' = Tp$$

$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

## 2D Translation using Homogeneous Coordinates



$$p = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$t = \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} t_x \\ t_y \\ 1 \end{bmatrix}$$

$$p' = Tp$$

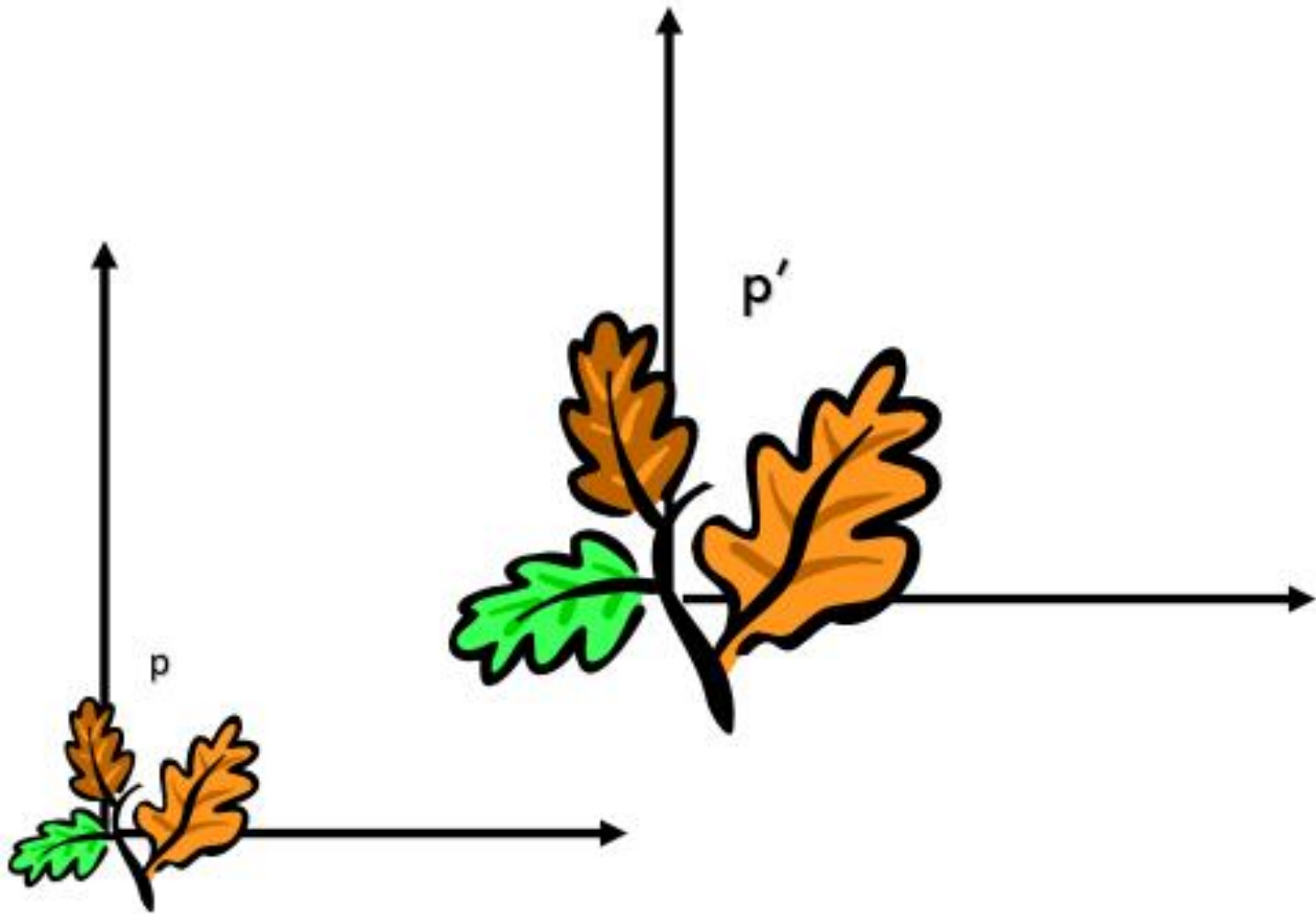
$$p' \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} I & t \\ \mathbf{0} & 1 \end{bmatrix} p = Tp$$

# 2D transformations

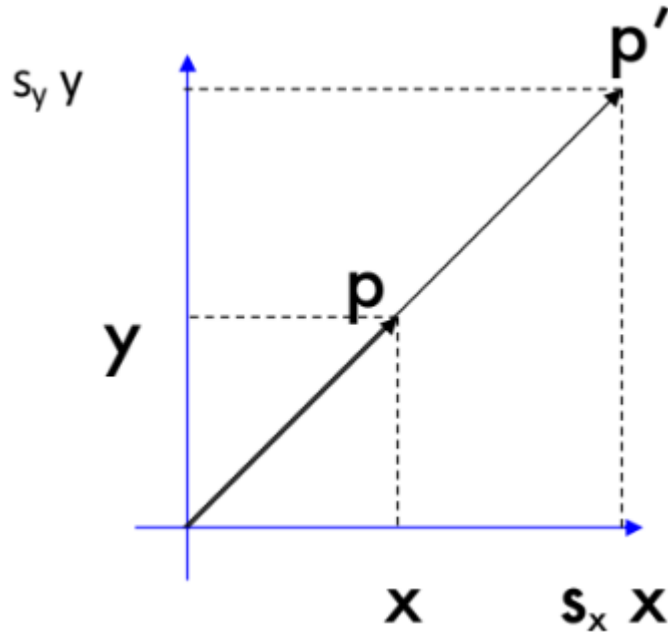
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- *Transformation Matrices*
- *Homogeneous coordinates*
- *Translation*
- *Scaling*
- *Rotation*

# Scaling



# Scaling Equation



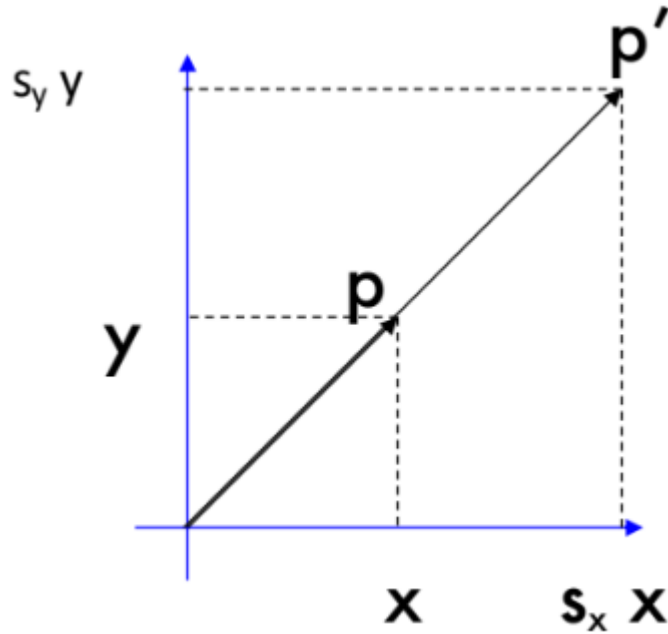
$$p = \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$p' = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix} \rightarrow \begin{bmatrix} s_x x \\ s_y y \\ 1 \end{bmatrix}$$

$$p' = Sp$$

$$p' \rightarrow \begin{bmatrix} s_x x \\ s_y y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 \\ 0 & s_y \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

# Scaling Equation



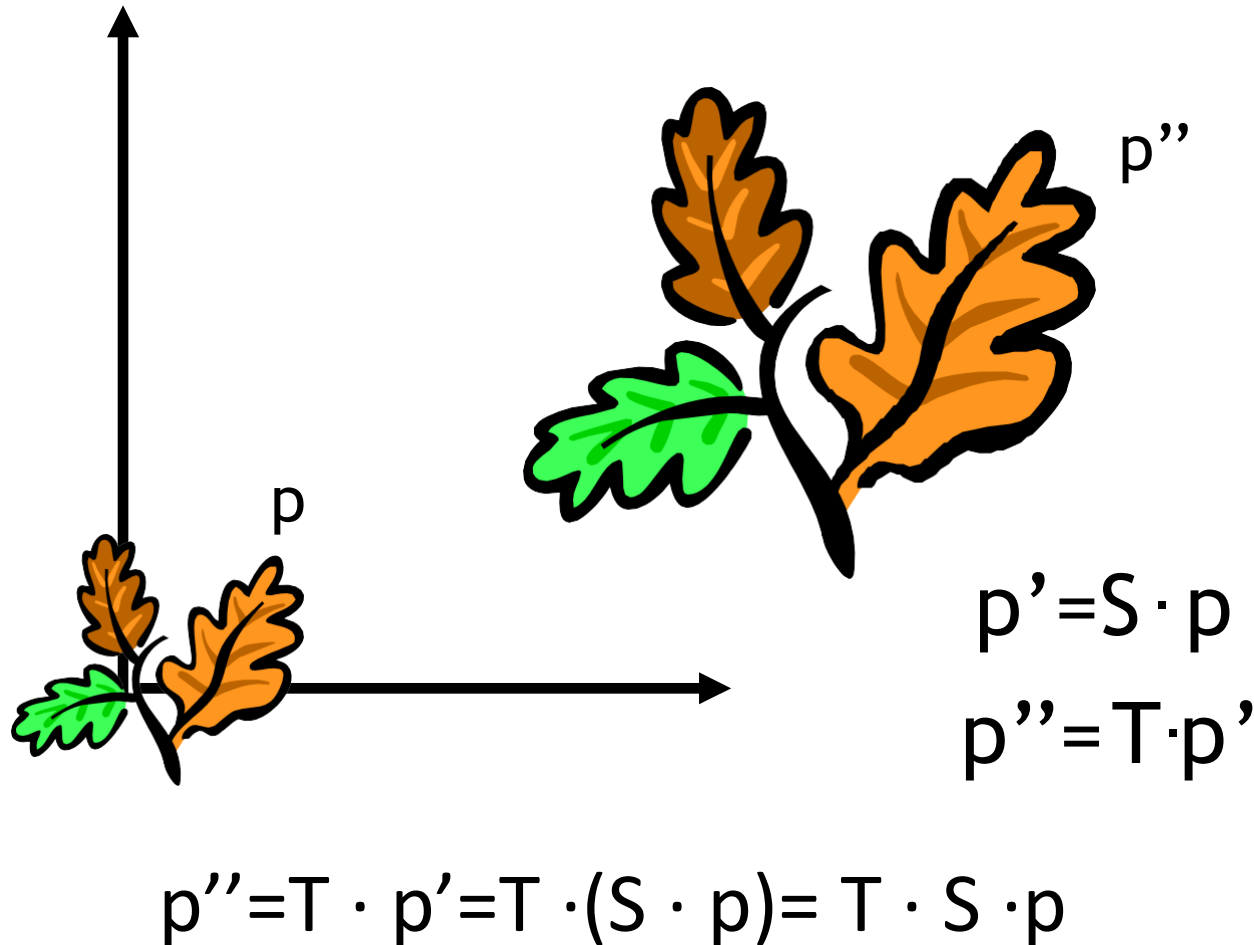
$$p = \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$p' = \begin{bmatrix} s_x x \\ s_y y \end{bmatrix} \rightarrow \begin{bmatrix} s_x x \\ s_y y \\ 1 \end{bmatrix}$$

$$p' = Sp$$

$$p' \rightarrow \begin{bmatrix} s_x x \\ s_y y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s' & \mathbf{0} \\ \mathbf{0} & 1 \end{bmatrix} p = Sp$$

# Scaling & Translating





# Scaling & Translating

$$p'' = TSp = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & t_x \\ 0 & s_y & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$= \begin{bmatrix} S' & \mathbf{t} \\ \mathbf{0} & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x x + t_x \\ s_y y + t_y \\ 1 \end{bmatrix}$$

# Scaling & Translating $\neq$ Translating & Scaling

---

$$p'' = TSp = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & t_x \\ 0 & s_y & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x x + t_x \\ s_y y + t_y \\ 1 \end{bmatrix}$$

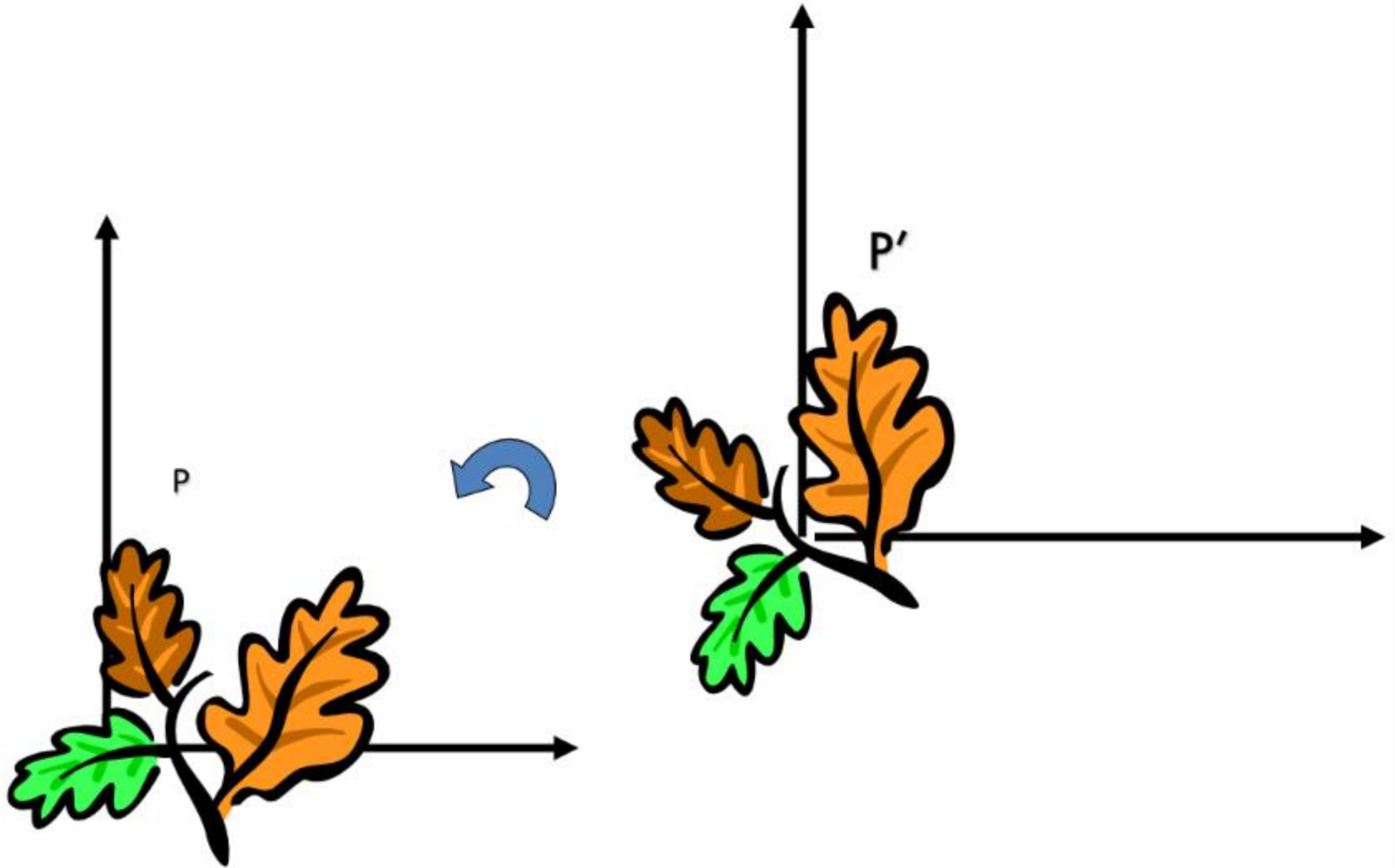
$$p''' = STp = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & s_x t_x \\ 0 & s_y & s_y t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} s_x x + s_x t_x \\ s_y y + s_y t_y \\ 1 \end{bmatrix}$$

# 2D transformations

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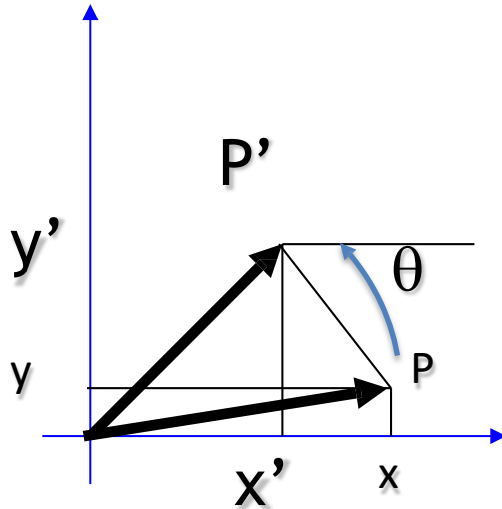
- *Transformation Matrices*
- *Homogeneous coordinates*
- *Translation*
- *Scaling*
- *Rotation*

# Rotation



# 2D Rotation Matrix Formula

**Counter-clockwise rotation by an angle  $\theta$**



$$x' = \cos \theta \, x - \sin \theta \, y$$

$$y' = \cos \theta \, y + \sin \theta \, x$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$p' = R p$$

# Rotation Matrix Properties

---

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

**A 2D rotation matrix is 2x2**

*Note:  $R$  belongs to the category of normal matrices and satisfies many interesting properties:*

$$\mathbf{R} \cdot \mathbf{R}^T = \mathbf{R}^T \cdot \mathbf{R} = \mathbf{I}$$

$$\det(\mathbf{R}) = 1$$

# Rotation Matrix Properties

---

- *Transpose of a rotation matrix produces a rotation in the opposite direction*

$$\mathbf{R} \cdot \mathbf{R}^T = \mathbf{R}^T \cdot \mathbf{R} = \mathbf{I}$$

$$\det(\mathbf{R}) = 1$$

- *The rows of a rotation matrix are always mutually perpendicular (a.k.a. orthogonal) unit vectors  
– (and so are its columns)*

# Scaling + Rotation + Translation

$$p' = (T R S) p$$

$$p' = TRSp = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$= \begin{bmatrix} \cos \theta & -\sin \theta & t_x \\ \sin \theta & \cos \theta & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$= \begin{bmatrix} R & t \\ 0 & 1 \end{bmatrix} \begin{bmatrix} S & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \boxed{\begin{bmatrix} RS & t \\ 0 & 1 \end{bmatrix}} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

This is the form of the general-purpose transformation matrix



# Summary

---

- *2D transformations*
  - *Transformation Matrices*
  - *Homogeneous coordinates*
  - *Translation*
  - *Scaling*
  - *Rotation*



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*Next time:*

## Color and Filters

**Pattern Recognition (in Computer Vision)**

Jinhua Ma,

School of Computer Science and Engineering, Sun Yat-Sen University