### $\mathbf{D}$

### Python Scripts

This appendix provides installation instructions and documentation for the Python scripts implementing the algorithms described in the text. The programs were tested with 32-bit Python 2.7 on MS Windows 7.0, and with Python 2.7 on 32 and 64-bit Linux (Ubuntu 12.04).

#### D.1 Installation

The Python interpreter is pre-installed on Mac OS and Linux. The latest Python 2.7x can be obtained for most operating systems from http://www.python.org/

#### D.1.1 Required packages

The following is a list of the Python extension packages which are imported in the various scripts, together with the URLs at which they can be obtained.\*

```
numpy: http://www.numpy.org/ (numerical Python)
scipy: http://www.scipy.org/ (scientific Python)
matplotlib: http://matplotlib.org/ (2D plotting library)
gdal: https://pypi.python.org/pypi/GDAL/ (geo-spatial data abstraction library)
mlpy: http://mlpy.sourceforge.net/ (machine learning Python)
ctypes: http://python.net/crew/theller/ctypes/ (foreign function interface)
shapely: https://pypi.python.org/pypi/Shapely (manipulation and analysis of planar geometric objects)
```

<sup>\*</sup>Windows users can obtain pre-compiled binaries for most of these at http://www.lfd.uci.edu/~gohlke/pythonlibs/

opencv: http://sourceforge.net/projects/opencvlibrary/ (open source computer vision library)

spy: http://spectralpython.sourceforge.net/ (spectral Python)

multyvac: https://www.multyvac.com/ (high performance cloud computing platform)

auxil (additional auxiliary routines, included with the software on the author's website)

All Python scripts which accompany this book, including the auxil package, can be downloaded from

 $\label{lem:http://ms-image-analysis.appspot.com/static/homepage/software.html or from$ 

http://mcanty.homepage.t-online.de/software.html

The IR-MAD script imad.py requires the dynamic library prov\_means.dll (Windows) or libprov\_means.so (Linux, Mac OS). Compiled versions for 32-bit Python (Windows) and Python on 32-bit and 64-bit Linux are included with the software, together with the source code prov\_means.c. The libraries should be placed in the OS path, e.g., C:\Windows or /usr/lib.

To install the auxil package, open a console in the unpacked directory and type the following:

```
python setup.py install
```

The scripts themselves are organized according to book chapter and can be run from the command line. Convenient environments are idle (included in most Python distributions) and ipython; see http://ipython.org/.

#### D.1.2 Eclipse and Pydev

For those who wish to program the examples given in the exercises, or modify/improve the scripts provided here, Eclipse (http://www.eclipse.org/) together with the plug-in Pydev (http://pydev.org/) provide an excellent, platform-independent Python programming development environment, very similar to that for IDL. The environment includes syntax highlighting, code completion and debugging.

#### D.2 Documentation

#### D.2.1 Utilities

The auxil package contains the following modules: auxil.auxil.py

A collection of auxiliary routines for processing multispectral imagery.

auxil.congrid.py

Arbitrary re-sampling of an array to new dimension sizes. Mimics the  ${\tt CONGRID}$  () function in IDL.

auxil.header.py

Defines an object class representing the text fields of an ENVI format header.

auxil.png.py

A pure Python PNG coder/decoder, see http://pythonhosted.org/pypng/png.html

auxil.polsar.py

Defines an object class to store fully polarimetric SAR data in multilook covariance matrix form.

auxil.supervisedclass.py

Defines object classes for supervised image classification: Bayes maximum likelihood, neural networks with backpropagation and scaled conjugate gradient training, and a support vector machine.

#### D.2.2 Scripts for Chapter 1

dispms.py

A command-line oriented routine to display any three spectral bands of a multispectral image as an RGB composite. Usage:

python dispms [-f filename] [-p pos] [-d dims] [- e enhancement]

The RGB band positions and spatial dimensions are quoted lists, e.g.,

```
-p "[0,1,3]" -d "[0,0,400,400]"
```

The dimensions list dims is of form [XO,YO,samples,lines]. The enhancement modes are: 1 = linear byte stretch, 2 = linear stretch, 3 = linear 2% stretch, 4 = histogram equalization. Information not supplied on the command line is queried interactively.

#### D.2.3 Scripts for Chapter 4

#### D.2.3.1 Nonlinear principal components analysis (Section 4.4.2)

kpca.py

The user is first queried for a working directory, image filename and a training sample size. If the latter is 0, then 100 representative training pixel vectors are chosen by the k-means algorithm. Otherwise, a random sample of the desired size is used. Next, the number of kernel principal components to retain is entered and the destination output file selected. The user can then choose between a linear or Gaussian kernel. The Gaussian kernel parameter  $\gamma$  is calculated as  $\gamma = 1/(2\sigma^2)$ , where  $\sigma = \langle || \mathbf{g}(\nu) - \mathbf{g}(\nu') || \rangle$  is the average Euclidean distance between the training observations. Finally, the output destination is selected. After centering on the training data and diagonalizing the kernel matrix, a plot of the eigenvalues is displayed and the projected image is stored to disk.

#### D.2.4 Scripts for Chapter 5

#### D.2.4.1 Panchromatic sharpening of multispectral images using the discrete or à trous wavelet transform (Sections 5.3.4 and 5.3.5)

dwt.py
atwt.py

The user is queried for the working directory, the (spatial/spectral subset of the) multispectral image to be sharpened, the corresponding panchromatic or high-resolution image and the output file. The multispectral image should overlap the panchromatic image completely, so that the panchromatic image defines the extent of the final pan-sharpened product. (The upper left-hand corner of the panchromatic image should be as close as possible to that of the MS image, since phase correlation is used to co-register them.) Then the MS to pan spatial resolution ratio (2 or 4), the MS band to be used for co-registration, and a fine adjustment parameter are queried. During the calculation regression coefficients and correlations of the wavelet coefficients for the low- vs. high-resolution bands are printed.

#### D.2.4.2 Conversion of SAR imagery to ENVI standard files

polsaringest.py

Geocoded, multi-look polarimetric SAR imagery suitable for processing with the filtering, classification and change detection algorithms described in the text may be obtained directly from the provider or generated from single-look complex (SLC) data. In the latter case, the open source software

packages PolSARpro (European Space agency),

http://earth.eo.esa.int/polsarpro/

together with MapReady (Alaska Satellite Facility),

http://www.asf.alaska.edu/downloads/software\_tools

are a good choice; see Gens et al. (2013). PolSARpro is first used to create multi-look images in covariance matrix format, which can then be exported to MapReady for georeferencing with or without a DEM. Alternative commercial solutions are the Gamma Software (Gamma Remote Sensing)

http://www.gamma-rs.ch/

and the SARscape add-on module for ENVI

http://www.exelisvis.com/ProductsServices/ENVI/ENVISARscape.aspx

The Python script polsaringest.py combines the outputs from the above preprocessing systems to a single, multi-band file in 32-bit floating point format. For full quad polarimetric data, it generates 9 bands, which are ordered as follows:

$$C11 = \langle |s_{hh}|^2 \rangle$$

$$C12re = \langle \sqrt{2}s_{hh}s_{hv}^* \rangle \text{(real part)}$$

$$C12im = \langle \sqrt{2}s_{hh}s_{hv}^* \rangle \text{(imaginary part)}$$

$$C13re = \langle s_{hh}s_{vv}^* \rangle \text{(real part)}$$

$$C13im = \langle s_{hh}s_{vv}^* \rangle \text{(imaginary part)}$$

$$C22 = \langle 2|s_{hv}|^2 \rangle$$

$$C23re) = \langle \sqrt{2}s_{hv}s_{vv}^* \rangle \text{(real part)}$$

$$C23im) = \langle \sqrt{2}s_{hv}s_{vv}^* \rangle \text{(imaginary part)}$$

$$C33 = \langle |s_{vv}|^2 \rangle.$$

For dual or single polarimetry, the bands corresponding to the missing matrix elements are not present. Thus, a dual polarimetric image will consist of four bands, e.g., C11, C12re, C12im, and C22; a single polarimetric image will consist of just one band, usually C11 or C33. The files generated by the script can be read by all of the SAR processing routines described below, and also by their ENVI/IDL counterparts described in Appendix C.

The user is prompted for the directory containing the georeferenced covariance matrix files (consisting of one file for each of the real and imaginary components), and then requested to choose (a spatial subset of) one of them. The other files are then read in automatically with the same spatial subset. Finally, an output filename and desired format are requested.

### D.2.4.3 Multivariate estimation of equivalent number of looks for polarimetric SAR in covariance matrix format

enlml.py
lookup.txt

This method is not discussed in the text. It is a multivariate technique based on the maximum likelihood estimator explained in Anfinsen et al. (2009a) and is reported to be superior to the standard univariate method discussed in Section 5.4.2. The polarimetric SAR image should be in the format generated by the script polsaringest.py described in Section D.2.4.2 above. The user is asked to select (a spatial subset of) the covariance matrix file, the desired window size (default  $7 \times 7$ ) and an output filename and format. The local ENL values are estimated in a moving window and stored as a single-band, floating point raster image. A histogram of the ENL values is plotted.

### D.2.4.4 Minimum mean square error filtering of polarimetric SAR imagery (Section 5.4.3.1)

mmse\_filter.py

The polarimetric SAR image should be in the format generated by the script polsaringest.py described in Section D.2.4.2 above. The user is queried for the working directory, then for the input filename, the equivalent number of looks and, finally, the output filename. The filtering operation occurs in two steps: first the span image is processed to determine the filter weights, then all components of the covariance matrix are filtered separately.

## D.2.4.5 Gamma maximum a posteriori filtering of polarimetric SAR imagery (Section 5.4.3.2)

gamma\_filter.py

The polarimetric SAR image should have the same format as in Section D.2.4.2 above. The user is queried for the working directory, then for the input filename, the equivalent number of looks, the number of iterations and, finally, the output filename. Only the diagonal elements of the covariance matrix are filtered.

#### D.2.5 Scripts for Chapter 6

# D.2.5.1 Supervised classification of multispectral images with maximum likelihood, neural network and support vector machine (Sections 6.3, 6.5, 6.6 and Appendix B)

classify.py

The user is first queried for a working directory and input image filename and (optionally) a spectral subset. The image can be in any format recognized

by GDAL, but must be geo-referenced. Then the user can choose between maximum likelihood, neural network (with backpropagation or scaled conjugate gradient training), or support vector machine algorithms. Next an ESRI shape file, which defines the regions of interest in the input image, and an output filename for the test results must be entered. Following this, the user must enter the filename for the classification (thematic map) image and (optionally) a filename for the class membership probability image. The latter is not available for the maximum likelihood classifier. Finally, if the neural network classifier was selected, the number of hidden neurons must be entered. (A cross-entropy plot will be displayed after training has completed.) If the output format chosen is ENVI, then an ENVI header corresponding to the ENVI filetype "classification" will be written. The test result file can be processed with the scripts ct.py and mcnemar.py discussed in Section D.2.6.3 below.

#### D.2.6 Scripts for Chapter 7

#### D.2.6.1 Probabilistic label relaxation postprocessing (Section 7.1.2)

plr.py
plr\_reclass.py

The script takes as input a class probability vector image generated by most of the supervised classification extensions described in this appendix, as well as from the clustering routine em.py described in Section D.2.7.2 below, and generates a modified class probability vector image (rule image). At the prompt, choose a class membership probabilities image and the number of iterations (default = 3). Then choose a destination filename and format. The generated rule image can then be processed with the script plr\_reclass.py to produce an improved classification image with better spatial coherence. At the prompt, choose a class membership probabilities image. Then choose an output filename and format. If the format is ENVI, then an ENVI classification file will be written.

## D.2.6.2 Neural network supervised classification with cross-validation (Section 7.2.2)

ffncg.py

This script requires registration on the Multyvac website

http://www.multyvac.com/

The user is first queried for a working directory, an input image filename and the training data shapefile. The image can be in any format recognized by GDAL, but must be georeferenced. Next, enter the number of hidden neurons and the filename for the classification image (thematic map). Training and classification take place on the host computer using 9/10th of the training data. The classification method used is a two-layer feed-forward network with

scaled conjugate gradient training. Upon completion, a cross-entropy plot characterizing the training phase is displayed. When the plot is closed, the training data are uploaded to the cloud service and a 10-fold cross-validation is carried through. The results (misclassification rate and standard deviation) are printed to the standard output.

#### D.2.6.3 Contingency tables and McNemar test (Section 7.2)

ct.py
mcnemar.py

The scripts ct.py and mcnemar.py are used to evaluate and compare test results generated by the supervised classifiers discussed in this appendix. They request input files with extension tst and generate their outputs (contingency tables, accuracies, test statistics, etc.) on the standard output.

#### D.2.6.4 Anomaly detection with the RX-algorithm (Section 7.5.4)

rx.py

The user is first queried for a working directory and input image filename. The image can be in any format recognized by GDAL. Then the output filename and desired format must be entered. The anomaly image (the Mahalanobis distance of each pixel vector to the mean image background) is written to disk.

#### D.2.7 Scripts for Chapter 8

### D.2.7.1 Kernel K-means clustering of multispectral imagery (Section 8.2. 2)

kkmeans.py

The user is first queried for a working directory and input image filename. The image can be in any format recognized by GDAL. Then spatial and/or spectral subsets can be entered along with a training data sample size to estimate the kernel and the desired number of clusters. Finally, the output filename and format and the kernel type (Gaussian or linear) must be chosen. If the output format is ENVI, then an ENVI classification file header is generated.

### D.2.7.2 Gaussian mixture clustering using the expectation maximization algorithm (Section 8.3)

em.py

Clustering occurs optionally at different scales, and both simulated annealing and spatial memberships can be included if desired. The user is queried

for a working directory and input image filename. The image may be in any format recognized by GDAL. Then, at the corresponding prompts, spectral and/or spatial subsets may be chosen, followed by the number of clusters, the number of compressions (initial and final pyramid depths), initial annealing temperature (zero for no annealing), and spatial membership parameter beta (zero for no spatial memberships). Finally, the user is prompted for the desired output format and filename for the classification image and (optionally) for a probability image. If ENVI format is chosen, an ENVI classification file will be written.

#### D.2.8 Scripts for Chapter 9

### D.2.8.1 Iteratively re-weighted multivariate alteration detection (Section 9.4)

iMad.py

When running the iMad.py script, you are first prompted for an input directory and then for the (spectral and spatial subsets of) the first and second input images. The input subsets must be co-registered and have the same spatial/spectral dimensions. They can be in any format recognized by GDAL. The following prompts are for a regularization or penalization factor (default 0) and for an output filename, which again can be in any GDAL multispectral format. The script prints the convergence criterion Delta and the canonical correlations for each iteration. Computation terminates when Delta < 0.001 or after 100 iterations. The output consists of the stacked MAD variates in order of decreasing variance followed by the chi-square image.

#### D.2.8.2 Polarimetric SAR change detection

wishartchange.py

The two polarimetric SAR images should have the same format as in Section D.2.4.2 above and be co-registered. The user is asked to choose a working directory, and then to enter the input filename and the equivalent number of looks for each image successively. The images must have the same polarimetry, which can be any of the following:

- full polarimetry
- dual polarimetry
- single polarization

and have the same spatial dimension. Finally, an output filename and desired format is queried. After completion, a 3-band image consisting of the span of the first image chosen, the test statistic  $-2\rho \ln Q$  (Conradsen et al., 2003), and the associated change probability  $\Pr(-2\rho \ln Q) \leq z$ ) is written. The latter can

be thresholded to obtain a change map at any desired significance level (e.g., at 0.99 for changes at the 1% significance level.)

# D.2.8.3 IR-MAD relative radiometric normalization (Section 9.8) radcal.py

This script takes advantage of the linear and affine invariance of the MAD transformation to perform a relative radiometric normalization of the images involved in the transformation.

The routine first prompts for an input directory, (spectral/spatial subsets of) the reference and target images, as well as for the spatial subset of the iMad image generated according to Section D.2.8.1 above (the chi-square band is found automatically). The spatial subsets of all three input images must have the same size and the spectral subsets of the reference and target images must match. After the prompt for an output filename, which can have any of the formats recognized by GDAL, the filename of a larger (e.g., full) scene can be (optionally) entered. This file must have the same spectral dimensions as the reference and target files and will be normalized with the regression coefficients determined by them. The result will be stored in the same format and with the same root name as the specified output filename, but with \_norm appended. Finally the user is prompted for a minimum no-change probability threshold (default 0.95). The script prints, band-wise, the regression coefficients, and the results of statistical tests for equal means and variances of the reference and normalized target image bands. The tests are evaluated on the basis of the hold-out test pixels (train:test = 2:1). The script also plots the orthogonal regression lines for up to 10 spectral bands.