# **Modern Physics**

basics

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This material is part of the basics-books project. It is also available as a .pdf document.

Please check out the Github repo of the project, basics-book project.

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# Part I Special Relativity

# **CHAPTER**

# **ONE**

# **SPECIAL RELATIVITY**

- Electromagnetism and the need for new relativity
- Space-time, Lorentz transformations,...
- Mechanics: kinematics, dynamics,...
- Electromagnetism: Maxwell's equations, potentials, Lorentz force, energy balance

# **SPECIAL RELATIVITY - NOTES**

An event is determined by spatio-temporal information together,  $t, \vec{r}$ . Absolute nature of physics needs vector algebra and calculus formalism

$${\bf X} = c\,t\,{\bf e}_0 + \vec{r} = c\,t\,{\bf e}_0 + x^1{\bf e}_1 + x^2{\bf e}_2 + x^3{\bf e}_3 = X^\alpha{\bf E}_\alpha\;,$$

having used Cartesian coordinates for the space coordinate.

Minkowski metric reads

$$g_{\alpha\beta} = \mathbf{E}_{\alpha} \cdot \mathbf{E}_{\beta} = \text{diag}\{-1, 1, 1, 1\}$$

The reciprocal basis reads  $\mathbf{E}_{\alpha} \cdot \mathbf{E}^{\beta} = \delta_{\alpha}^{\beta}$ ,  $\mathbf{E}_{\alpha} = g_{\alpha\beta} \mathbf{E}^{\beta}$ , s.t. the elementary interval between two events can be written as

$$d\mathbf{X} = dX^{\alpha} \, \mathbf{E}_{\alpha} = \underbrace{dX^{\alpha} \, g_{\alpha\beta}}_{=dX_{\beta}} \, \mathbf{E}^{\beta} = dX_{\beta} \, \mathbf{E}^{\beta} \; ,$$

having used Cartesian coordinates,

$$X^0 = ct$$
  $X^1 = x$   $X^2 = y$   $X^3 = z$   
 $X_0 = ct$   $X_1 = -x$   $X_2 = -y$   $X_3 = -z$ 

Its "length", or better pseudo-norm with Minkowski metric, is invariant and reads

$$ds^2 = d{\bf X} \cdot d{\bf X} = (dX_\alpha {\bf E}^\alpha) \cdot \left( dX^\beta {\bf E}_\beta \right) = c^2 \, dt^2 - (dx^1)^2 - (dx^2)^2 - (dx^3)^2 = c^2 \, dt^2 - |d\vec{r}|^2$$

**Note:** ds is invariant **todo** prove it. And/or add a section about the role of invariance.

For a co-moving observer,  $d\vec{r}' = \vec{0}$ , and t' is commonly indicated with  $\tau$ , and its differential is invariant itself, being the product of a constant (c is a universal constant in special relativity) and an invariant quantity.

$$ds^2 = c^2 dt'^2 - |d\vec{r}'|^2 = c^2 d\tau^2$$
.

Given the invariant nature of ds,

$$ds^2 = c^2 d\tau^2 = c^2 dt^2 - |d\vec{r}|^2 = c^2 dt^2 \left[ 1 - \frac{1}{c^2} \frac{|d\vec{r}|^2}{dt^2} \right] = c^2 dt^2 \left[ 1 - \frac{|\vec{v}|^2}{c^2} \right]$$

and thus

$$ds = c d\tau = \gamma^{-1}(v/c) c dt$$
,

with 
$$\gamma(w) = \frac{1}{\sqrt{1-w^2}}$$
.

**4-Velocity** Given the parametric representation of an event in space-time as a function of its proper time,  $\mathbf{X}(\tau)$  or coordinate s,  $\mathbf{X}(s)$  the derivative w.r.t. this parameter is defined as the 4-velocity of the event in space time. Using Cartesian coordinates inducing constant and uniform basis  $\mathbf{E}_{\alpha}$ , as a function of the observer time t, ct,  $x^i(t)$ , and the transformation of coordinates  $t(\tau)$ , with differential  $dt = \frac{1}{2} d\tau$ 

$$\mathbf{U}(\tau) := \mathbf{X}'(\tau) = \frac{d}{d\tau} \left( X^{\alpha}(\tau) \mathbf{E}_{\alpha} \right) = \frac{dt}{d\tau} (ct \mathbf{E}_{0} + x^{i}(t) \mathbf{E}_{i}) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i}(t) \mathbf{E}_{i} \right) = \gamma(v/c) \left( c \mathbf{E}_{0} + \dot{x}^{i$$

or

$$\mathbf{U}(s) := \mathbf{X}'(s) = \frac{dt}{ds} \frac{d}{dt} \mathbf{X}(t) = \dots = \gamma(v/c) \left( \mathbf{E}_0 + \frac{\vec{v}}{c} \right) \ .$$

**Note:** Using s as the parameter, **U** is non-dimensional, and has pseudo-norm = 1,

$$\mathbf{U}(s) \cdot \mathbf{U}(s) = \gamma^2 \underbrace{\left(1 - \frac{|\vec{v}|^2}{c^2}\right)}_{=\gamma^{-2}} = 1.$$

Using  $\tau$  as the parameter, U has physical dimension of a velocity and pseudo-norm = c.

**4-acceleration**  $X''(\tau)$  or X''(s), **todo** 

# 2.1 Dynamics

### 4-momentum

$$\mathbf{P} = m\mathbf{U}$$

Using Cartesian coordinates and  $\tau$  as independent variable,

$$\mathbf{P} = m\mathbf{U} = m\frac{d\mathbf{X}}{d\tau} = m\gamma(c, \vec{v}) .$$

The spatial component is  $\gamma$  times the 3-dimensional momentum  $\vec{p} = m\vec{v}$ ; the time component reads

$$P^0 = m\gamma(w)c,$$

and for small ratio  $w:=\frac{v}{c}$  it can be expanded in Taylor series around w=0 as

$$\gamma(w) \sim \gamma(0) + w \gamma'(0) + \frac{1}{2} w^2 \gamma''(0) + o(w^2)$$
,

with

$$\begin{split} \gamma(w)|_{w=0} &= \left. \frac{1}{\sqrt{1-w^2}} \right|_{w=0} = 1 \\ \gamma'(w)|_{w=0} &= \left. -\frac{1}{2}(1-w^2)^{-\frac{3}{2}}(-2w) \right|_{w=0} = w(1-w^2)^{-\frac{3}{2}} = 0 \\ \gamma''(w)|_{w=0} &= \left. \left( (1-w^2)^{-\frac{3}{2}} + w \left( -\frac{3}{2} \right) (1-w^2)^{-\frac{5}{2}}(-2w) \right) \right|_{w=0} = \\ &= \left. \left( (1-w^2)^{-\frac{3}{2}} + 3w^2(1-w^2)^{-\frac{5}{2}} \right) \right|_{w=0} = 1 \end{split}$$

and thus

$$\gamma(w) = 1 + \frac{1}{2}w^2 + o(w^2)$$

and

$$\gamma(v/c)\,m\,c \sim m\,c\left(1+\frac{v^2}{c^2}\right) = \frac{1}{c}\left(mc^2 + \frac{1}{2}m|\vec{v}|^2\right)$$

Thus, recognizing energy  $(E=\gamma mc^2)$  and 3-momentum  $(\vec{p}=m_3\vec{v})$ , with  $m_3:=\gamma m$ , the 4-momentum can be written as

$$\mathbf{P} = m\mathbf{U} = \gamma m \left(1, \frac{\vec{v}}{c}\right) =: \frac{1}{c} \left(\frac{E}{c}, \vec{p}\right)$$

Its pseudo-norm reads

$$m^2 = \mathbf{P} \cdot \mathbf{P} = \frac{1}{c^4} \left( E^2 - c^2 |\vec{p}|^2 \right)$$

and thus the relation between E,  $\vec{p}$ , m and c,

$$E^2 = m^2 c^4 + c^2 |\vec{p}|^2 \; ,$$

from which, for  $\vec{v} = \vec{0} \rightarrow \vec{p} = \vec{0}$ ,

$$E^2 = m^2 c^4 \; ,$$

and keeping only the solution with positive energy (todo reference to Dirac's equation and anti-matter?)

$$E = mc^2$$
.

# 2.1.1 Lagrangian approach

Free particle.

$$\mathbf{0} = \frac{d\mathbf{P}}{ds} = \frac{d}{ds} \left( m\mathbf{X}'(s) \right)$$

Weak form

$$\begin{aligned} 0 &= \mathbf{W}(s) \cdot \frac{d}{ds} \left( m \mathbf{X}'(s) \right) = \\ &= \frac{d}{ds} \left[ m \mathbf{W}(s) \cdot \mathbf{X}'(s) \right] - m \mathbf{W}'(s) \cdot \mathbf{X}'(s) = \end{aligned}$$

Using generalized coordinates  $q^k(s)$ , the event can be written in parametric form as  $\mathbf{X}(q^k(s),s)$ , while the velocity reads

$$\mathbf{U}(s) = \mathbf{X}'(s) = \frac{d}{ds}\mathbf{X}(q^k(s), s) = q^{k'}(s)\underbrace{\frac{\partial \mathbf{X}}{\partial q^k}(q^k(s), s)}_{= \underbrace{\frac{\partial \mathbf{X}'}{\partial s'}}} + \underbrace{\frac{\partial \mathbf{X}}{\partial s}(q^k(s), s)}_{= \underbrace{\frac{\partial \mathbf{X}'}{\partial s'}}} = \mathbf{U}(q^{k'}(s), q^k(s), s)$$

Choosing  $\mathbf{W} = \frac{\partial \mathbf{X}}{\partial q^k} = \frac{\partial \mathbf{X}'}{\partial q^{k'}}$  in the weak form,

$$\begin{split} 0 &= \frac{d}{ds} \left[ m \mathbf{W} \cdot \mathbf{X}' \right] - m \mathbf{W}' \cdot \mathbf{X}' = \\ &= \frac{d}{ds} \left[ m \frac{\partial \mathbf{X}'}{\partial q^{k'}} \cdot \mathbf{X}' \right] - m \frac{d}{ds} \frac{\partial \mathbf{X}}{\partial q^{k}} \cdot \mathbf{X}' = \\ &= \frac{1}{2} \left[ \frac{d}{ds} \left( \frac{\partial}{\partial q^{k'}} \left( m \mathbf{X}' \cdot \mathbf{X}' \right) \right) - \frac{\partial}{\partial q^{k}} \left( m \mathbf{X}' \cdot \mathbf{X}' \right) \right] = \end{split}$$

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Defining

$$f\left(q^{k'}(s),q^k(s),s\right) = -m\mathbf{X}'\left(q^{k'}(s),q^k(s),s\right)\cdot\mathbf{X}'\left(q^{k'}(s),q^k(s),s\right) = -m\;,$$

multiplying by a "regular" generic function w(s), neglecting factor  $\frac{1}{2}$  and integrating by parts

$$\begin{split} 0 &= -\int_{s=s_a}^{s_b} w(s) \left[ \frac{d}{ds} \frac{\partial f}{\partial q^{k'}} - \frac{\partial f}{\partial q^k} \right] ds = \\ &= -\left[ w(s) \frac{\partial f}{\partial q^{k'}} \right]_{s=s_a}^{s_b} + \int_{s=s_a}^{s_b} \left[ w'(s) \frac{\partial f}{\partial q^{k'}} + \frac{\partial f}{\partial q^k} \right] ds = \\ &= -\left[ w(s) \frac{\partial f}{\partial q^{k'}} \right]_{s=s_a}^{s_b} + \delta \int_{s=s_a}^{s_b} f\left( q^{k'}(s), q^k(s), s \right) ds \; . \end{split}$$

Thus, provided that  $w(s_1) = w(s_2) = 0$ , equation of motion of free particle implies stationariety of functional

$$\int_{s=s_a}^{s_b} f\left(q^{k'}(s), q^k(s), s\right) \, ds \; ,$$

i.e.

$$\delta \int_{s=s_{-}}^{s_{b}} f\left(q^{k'}(s), q^{k}(s), s\right) ds = 0$$

Using t as independent parameter,  $ds=\gamma^{-1}\,c\,dt,$  the functional can be recast as

$$\int_{t=t_a}^{t_b} -m\,c\,\sqrt{1-\frac{|\vec{v}|^2}{c^2}}\,dt\;,$$

to find the (3-dimensional) Lagrangian (multiply by c to get the right physical dimension; check if it's required and wheter it's possible to make c appear before),

$$\mathcal{L} = - \sqrt{1 - \frac{|\vec{v}|^2}{c^2}} \, m \, c^2 \, ,$$

and retrieve 3-momentum as (being  $\vec{v} = \vec{r}$ )

$$\begin{split} \vec{p} &:= \frac{\partial \mathcal{L}}{\partial \dot{\vec{r}}} = \\ &= -mc^2 \frac{1}{2} \left( 1 - \frac{|\vec{v}|^2}{c^2} \right)^{-\frac{1}{2}} \left( -2\frac{\vec{v}}{c^2} \right) = \\ &= m \left( 1 - \frac{|\vec{v}|^2}{c^2} \right)^{-\frac{1}{2}} \vec{v} = \\ &= \gamma \, m \, \vec{v} \; , \end{split}$$

and energy as

$$\begin{split} E &:= \vec{p} \cdot \vec{v} - \mathcal{L} = \\ &= \gamma \, m \, |\vec{v}|^2 + \gamma^{-1} \, m \, c^2 = \\ &= \gamma \, m \, c^2 \left( \frac{|\vec{v}|^2}{c^2} + \gamma^{-2} \right) = \\ &= \gamma \, m \, c^2 \left( \frac{|\vec{v}|^2}{c^2} + 1 - \frac{|\vec{v}|^2}{c^2} \right) = \\ &= \gamma \, m \, c^2 \; . \end{split}$$

# 2.2 Electromagnetism

# 2.2.1 Classical electromagnetic theory

# **Maxwell equations**

Maxwell equations read

$$\begin{cases} \nabla \cdot \vec{d} = \rho_f \\ \nabla \times \vec{e} + \partial_t \vec{b} = \vec{0} \\ \nabla \cdot \vec{b} = 0 \\ \nabla \times \vec{h} - \partial_t \vec{d} = \vec{j}_f \end{cases}$$

or in vacuum, with  $\rho_f=\rho,\,\vec{j}=\vec{j}_f,\,\vec{d}=\varepsilon_0\vec{e},\,\vec{b}=\mu_0\vec{h}$ 

$$\begin{cases} \nabla \cdot \vec{e} = \frac{\rho}{\varepsilon_0} \\ \nabla \times \vec{e} + \partial_t \vec{b} = \vec{0} \\ \nabla \cdot \vec{b} = 0 \\ \nabla \times \vec{b} - \mu_0 \varepsilon_0 \partial_t \vec{e} = \mu_0 \vec{j} \end{cases}$$

# **Electromagnetic potentials**

The electromagnetic field can be written in terms of the electromagnetic potentials

$$\begin{cases} \vec{b} = \nabla \times \vec{a} \\ \vec{e} = -\partial_t \vec{a} - \nabla \varphi \end{cases}$$

### **Lorentz force**

A particle in motion in a electromagnetic field is subject to Lorentz force. In classical electromagnetism, the expression of Lorentz force reads

$$\vec{F} = q \left( \vec{e} - \vec{b} \times \vec{v} \right) \; , \label{eq:force}$$

whose power is

$$\vec{v}\cdot\vec{F} = \vec{v}\cdot q\left(\vec{e} - \vec{b}\times\vec{v}\right) = q\vec{v}\cdot\vec{e}\;.$$

# 2.2.2 Electromagnetic potential

$$\begin{split} \left\{ \begin{split} \vec{b} &= \nabla \times \vec{a} \\ \vec{e} &= -\partial_t \vec{a} - \nabla \varphi \end{split} \right. \\ \mathbf{A} &= \mathbf{E}_\alpha A^\alpha = \frac{\varphi}{c} \mathbf{E}_0 + \vec{a} \end{split}$$
 
$$\mathbf{\nabla} \mathbf{A} = \left( \mathbf{E}^\alpha \frac{\partial}{\partial X^\alpha} \right) \left( A^\beta \mathbf{E}_\beta \right) = \frac{\partial A^\beta}{\partial X^\alpha} \mathbf{E}^\alpha \otimes \mathbf{E}_\beta = g_{\alpha\gamma} \frac{\partial A^\beta}{\partial X^\alpha} \mathbf{E}_\gamma \otimes \mathbf{E}_\beta \;. \end{split}$$

whose components may be collected in a 2-dimensional array (first index for rows, second index for columns),

$$(\nabla \mathbf{A})^{\beta}_{\alpha} = \frac{\partial A^{\beta}}{\partial X^{\alpha}} = \begin{bmatrix} c^{-2}\partial_{t}\varphi & c^{-1}\partial_{x}\varphi & c^{-1}\partial_{y}\varphi & c^{-1}\partial_{z}\varphi \\ c^{-1}\partial_{t}a_{x} & \partial_{x}a_{x} & \partial_{y}a_{x} & \partial_{z}a_{x} \\ c^{-1}\partial_{t}a_{y} & \partial_{x}a_{y} & \partial_{y}a_{y} & \partial_{z}a_{y} \\ c^{-1}\partial_{t}a_{z} & \partial_{x}a_{z} & \partial_{y}a_{z} & \partial_{z}a_{z} \end{bmatrix}$$

or covariant-covariant coomponents,

$$(\nabla \mathbf{A})_{\alpha\beta} = \frac{\partial A_{\beta}}{\partial X^{\alpha}} = g_{\beta\gamma} \frac{\partial A^{\gamma}}{\partial X^{\alpha}} = \begin{bmatrix} c^{-2}\partial_{t}\varphi & c^{-1}\partial_{x}\varphi & c^{-1}\partial_{y}\varphi & c^{-1}\partial_{z}\varphi \\ -c^{-1}\partial_{t}a_{x} & -\partial_{x}a_{x} & -\partial_{y}a_{x} & -\partial_{z}a_{x} \\ -c^{-1}\partial_{t}a_{y} & -\partial_{x}a_{y} & -\partial_{y}a_{y} & -\partial_{z}a_{y} \\ -c^{-1}\partial_{t}a_{z} & -\partial_{x}a_{z} & -\partial_{y}a_{z} & -\partial_{z}a_{z} \end{bmatrix}$$

or contravariant-contravariant coomponents,

$$(\nabla \mathbf{A})^{\alpha\beta} = \frac{\partial A^{\beta}}{\partial X_{\alpha}} = g_{\beta\gamma} \frac{\partial A^{\alpha}}{\partial X^{\gamma}} = \begin{bmatrix} c^{-2}\partial_{t}\varphi & -c^{-1}\partial_{x}\varphi & -c^{-1}\partial_{y}\varphi & -c^{-1}\partial_{z}\varphi \\ c^{-1}\partial_{t}a_{x} & -\partial_{x}a_{x} & -\partial_{y}a_{x} & -\partial_{z}a_{x} \\ c^{-1}\partial_{t}a_{y} & -\partial_{x}a_{y} & -\partial_{y}a_{y} & -\partial_{z}a_{y} \\ c^{-1}\partial_{t}a_{z} & -\partial_{x}a_{z} & -\partial_{y}a_{z} & -\partial_{z}a_{z} \end{bmatrix}$$

The electromagnetic field tensor is defined as the anti-symmetric part of the gradient of the 4-electromagnetic potential,

$$\mathbf{F} = \left[ \mathbf{\nabla} \mathbf{A} - \left( \mathbf{\nabla} \mathbf{A} \right)^T \right]$$

whose components may be collected in a 2-dimensional array (first index for rows, second index for columns),

$$F^{\alpha\beta} = \begin{bmatrix} 0 & -\frac{\underline{e}^T}{c} \\ \frac{\underline{e}}{c} & \underline{b}_{\times} \end{bmatrix} \qquad , \qquad F_{\alpha\beta} = \begin{bmatrix} 0 & \frac{\underline{e}^T}{c} \\ -\frac{\underline{e}}{c} & \underline{b}_{\times} \end{bmatrix}$$

# 2.2.3 Electromagnetic field and electromagnetic field equations

The pair of Maxwell equations

$$\begin{cases} \rho_f = \nabla \cdot \vec{d} \\ \vec{j}_f = -\partial_t \vec{d} + \nabla \times \vec{h} \end{cases}$$

can be re-written in 4-formalism, using 4-gradient in Cartesian coordinates

$$\mathbf{\nabla} = \mathbf{E}^{\alpha} \frac{\partial}{\partial X^{\alpha}} = \mathbf{E}_{0} \frac{\partial}{c \partial t} + \mathbf{E}_{i} \frac{\partial}{\partial x^{i}} = \mathbf{E}_{0} \frac{\partial}{c \partial t} + \nabla ,$$

and the definition of the 4-current density vector

$$\mathbf{J} = J^{\alpha} \mathbf{E}_{\alpha} = c \rho \, \mathbf{E}_0 + \vec{j}$$

so that

$$c\rho\mathbf{E}_0 + \vec{j} = \boldsymbol{\nabla}\cdot\mathbf{F} = \boldsymbol{\nabla}\cdot[(0\,\mathbf{E}_0 + c\vec{d})\otimes\mathbf{E}_0 + (-\mathbf{E}_0c\vec{d} + \vec{h}_\times)]\;,$$

with the displacement field tensor,

$$\mathbf{D} = D^{\alpha\beta} \, \mathbf{E}_{\alpha} \, \mathbf{E}_{\beta} \; ,$$

with components (rows for the first index, columns for the second index)

$$D^{\alpha\beta} = \begin{bmatrix} 0 & -cd_x & -cd_y & -cd_z \\ cd_x & 0 & -h_z & h_y \\ cd_y & h_z & 0 & -h_x \\ cd_z & -h_y & h_x & 0 \end{bmatrix} = \begin{bmatrix} 0 & -c\underline{d}^T \\ c\underline{d} & \underline{h}_\times \, . \end{bmatrix}$$

The pair of Maxwell equations

$$\begin{cases} \nabla \cdot \vec{b} = 0 \\ \partial_t \vec{b} + \nabla \times \vec{e} = \vec{0} \end{cases}$$

can be re-written in 4-formalism as

$$0 = \partial_{\mu} F_{\eta\xi} + \partial_{\eta} F_{\xi\mu} + \partial_{\xi} F_{\mu\eta}$$

Among these  $64 = 4^3$  equations, there are only 4 independent equations.

• If 2 indices are the same, the corresponding equation is the identity 0=0. As an example, if  $\mu=\eta$ 

$$0 = \partial_{\mu} F_{\mu\xi} + \partial_{\mu} \underbrace{F_{\xi\mu}}_{-F_{\mu x i}} + \partial_{\xi} \underbrace{F_{\mu\mu}}_{=0} = 0 \; , \label{eq:final_state}$$

thus only combinations with different indices may provide some information.

Given the ordered set of indices (μ, η, ξ), switching a pair of indices provides the same equation. As an example, switching μ and η

$$\begin{split} 0 &= \partial_{\eta} F_{\mu\xi} + \partial_{\mu} F_{\xi\eta} + \partial_{\xi} F_{\eta\mu} = \\ &= \partial_{\eta} (-F_{\xi\mu}) + \partial_{\mu} (-F_{\eta\xi}) + \partial_{\xi} (-F_{\mu\eta}) \;. \end{split}$$

Thus, only 4 combination of different indices, without taking order into account, provide independent information

$$\begin{aligned} &(1,2,3): &&0 = \partial_1 F_{23} + \partial_2 F_{31} + \partial_3 F_{12} = \partial_x (-b_x) + \partial_y (-b_y) + \partial_z (-b_z) \\ &(2,3,0): &&0 = \partial_2 F_{30} + \partial_3 F_{02} + \partial_0 F_{23} = \partial_y \left( -\frac{e_z}{c} \right) + \partial_z \left( \frac{e_y}{c} \right) + \partial_{ct} (-b_x) \\ &(3,0,1): &&0 = \partial_3 F_{01} + \partial_0 F_{13} + \partial_1 F_{30} = \partial_z \left( \frac{e_x}{c} \right) + \partial_{ct} (-b_y) + \partial_x \left( -\frac{e_z}{c} \right) \\ &(0,1,2): &&0 = \partial_0 F_{12} + \partial_1 F_{20} + \partial_2 F_{01} = \partial_{ct} (-b_z) + \partial_x \left( -\frac{e_y}{c} \right) + \partial_y \left( \frac{e_x}{c} \right) \end{aligned}$$

i.e.

$$\begin{split} &(1,2,3): \quad 0 = -\nabla \cdot \vec{b} \\ &(2,3,0): \quad 0 = -\frac{1}{c} \left[ \partial_t b_x + (\partial_y e_z - \partial_z e_y) \right] \\ &(3,0,1): \quad 0 = -\frac{1}{c} \left[ \partial_t b_y + (\partial_z e_x - \partial_x e_z) \right] \\ &(0,1,2): \quad 0 = -\frac{1}{c} \left[ \partial_t b_z + (\partial_x e_y - \partial_y e_x) \right] \end{split}$$

i.e.

$$\begin{cases} 0 = \nabla \cdot \vec{b} \\ \vec{0} = \partial_t \vec{b} + \nabla \times \vec{e} \end{cases}$$

# 2.2.4 Point particle in electromagnetic field

Lorentz 4-force acting on a point charge of electric charge charge q reads

$$\mathbf{f} = \mathbf{F} \cdot \mathbf{J} = q \, \mathbf{F} \cdot \mathbf{U} \, .$$

so that the dynamical equation reads

$$m \mathbf{X}'' = q \mathbf{F} \cdot \mathbf{X}'$$

# 2.2.5 Energy balance

$$\frac{\partial u}{\partial t} = \frac{\partial \vec{s}}{\partial t} = \frac{\partial \vec{s$$

. . .

$$\boldsymbol{\nabla}\cdot\boldsymbol{T}=-\boldsymbol{F}\cdot\boldsymbol{J}$$

# Part II General Relativity

СНАРТ	ER
THRE	ΞΕ

# **GENERAL RELATIVITY**

СНАРТЕ	ER
FOU	R

# **GENERAL RELATIVITY - NOTES**

# Part III Statistical Mechanics

CHAPTER	
FIVE	

# STATISTICAL PHYSICS

# STATISTICAL PHYSICS - NOTES

- 6.1 Ensembles
- 6.2 Microcanonical ensemble
- 6.3 Canonical ensemble
- 6.4 Macrocanonical esemble

# 6.5 Statistics

Each of the N components of the system is in an **energy level** i. Energy level i has  $g_i$  sublevels with the same energy level.

- energy levels,  $E_i$  of each component
- occupation number  $N_i$  of level i
- Central role of energy. In a system macroscopically at rest, the energy of a system is the only macroscopic meaningful non-zero mechanical quantity, constant for closed and isolated systems
- Principle of maximum uncertainty, maximum entropy, minimum information: given a measurement of a macroscopic variable V, describing the macrostate of the system, the feasible un-observed/able microstates of the system are the microstates consistent with it: there's usually a sharp maximum of in the probability density of the microstates.

Given a macrostate, what's the number of ways  $W(N_i;g_i)$  to get a consistent microstate? Once the expression is found, constrained optimization follows: optimization w.r.t.  $N_i$  is usually performed in the limit of  $N_i \to +\infty$  (why in Fermi-Dirac distribution, obeying Pauli exclusion principle?), with the values of the macroscopic variables as constraints usually treated with Lagrange multiplier.

### 6.5.1 Maxwell-Boltzmann

Statistics of distinguishible components.

### 6.5.2 Bose-Einstein

Statistics of undistinguishable components that can be in the same (sub)level. Given the number of elementary components  $\sum_i N_i = N$  and the energy  $\sum_i N_i E_i = E$ ,

$$W_{BE,i} = \frac{(N_i + g_i - 1)!}{N_i!(g_i - 1)!} \qquad , \qquad W_{BE} = \prod_i W_{BE,i} \ . \tag{6.1}$$

### **Counting microstates**

todo write page Combinatorics and add link

Most likely microstate. Instead of maximizing (6.1), the objective function is  $\ln W_{BE}$ , after using Stirling approximation in the limit of large  $N_i$  and  $g_i$ ,  $N_i! \sim \left(\frac{N_i}{e}\right)^{N_i}$ . The approximate occupation number of one of the  $G_i$  sublevels of the  $i^{th}$  level of the most likely microstate is

$$n_i := \frac{N_i}{G_i} = \frac{1}{e^{\alpha + \beta E_i} - 1} \; .$$

# **Optimization**

$$\begin{split} J(N_i,\alpha,\beta) &= \ln W_{BE} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{\ln(N_i + g_i - 1)! - \ln N_i! - \ln(g_i - 1)!\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) \simeq \\ &\simeq \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1) + N_i + g_i - 1 - N_i - (g_i - 1)\right\} + \alpha \left(N - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{(N_i + g_i - 1) \ln(N_i + g_i - 1) - N_i \ln N_i - (g_i - 1) \ln(g_i - 1)\right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i\right) +$$

Using  $\partial_n(n+a)\ln(n+a) = \ln(n+a) + 1$ ,

$$0 = \partial_{N_k} J \simeq \left\{ \ln(N_k + g_k - 1) - \ln N_k \right\} - \alpha - \beta E_k \; , \label{eq:def_J}$$

and thus

$$\begin{split} \ln \frac{N_k + g_k - 1}{N_k} &= \alpha + \beta E_k \;, \\ \frac{N_k + g_k - 1}{N_i} &= e^{\alpha + \beta E_k} \\ N_k &= \frac{g_k - 1}{e^{\alpha + \beta E_k} - 1} \simeq \frac{g_k}{e^{\alpha + \beta E_k} - 1} \;, \end{split}$$

Thus, in the limit of  $g_k \gg 1$ , the occupation number of the k level is

$$N_k = \frac{G_k}{e^{\alpha + \beta E_k} - 1} \;,$$

and the average occupation number of one of the  $g_k$  sublevels in the k level is

$$n_k := \frac{N_k}{G_k} = \frac{1}{e^{\alpha + \beta E_k} - 1}$$

# Meaning of $\alpha$ , $\beta$

### Example 1 (Black-body radiation: Planck, Wien, and Stefan-Boltzmann laws)

Planck's law. Energy density w.r.t. frequency

$$u_f(f,T) = \frac{8\pi h f^3}{c^3} \frac{1}{e^{\frac{hf}{k_BT}} - 1}$$

# Planck's law in a cubic box

Planck's law uses:

relation between pulsation and wave vector, or frequency and wave number and the speed of light c for light waves

$$c = \frac{\omega}{|\vec{k}|} = \lambda f$$

$$f = \frac{\omega}{2\pi} = \frac{c|\vec{k}|}{2\pi}$$

• Planck assumption that the minimum non-zero energy of a mode with frequency f is E=hf, and all the possible values of the energy of the mode is

$$E_m = mhf$$
 ,  $m \in \mathbb{N}$ .

Taking a cubic box with sides  $L_x = L_y = L_z = L$ , the possibile modes have (**todo** why? Which boundary condition? Periodic? Some physical? Just fictitious discretization?) in each direction wave-lengths  $\lambda_n = \frac{L}{|\vec{n}|} = \frac{2\pi}{|\vec{k}|}$ ,

$$\vec{k} = \frac{2\pi}{L}\vec{n} \ .$$

Mode density in  $\vec{n}$ -domain is 2 mode per each volume of unit length (2 polarization), and thus the number of modes dN in an elementary volume is

$$dN = 2 d^3 \vec{n}$$
,

Changing variables, it's possible to find the mode density w.r.t. wave vector  $\vec{k}$ ,

$$dN = 2 d^3 \vec{n} = 2 \frac{L^3}{(2\pi)^3} d^3 \vec{k} ,$$

or with its absolute value, exploiting the isotropy of the density function - and writing the elementary volume using "spherical coordinates"  $d^3\vec{k} = 4\pi \left| \vec{k} \right|^2 d \left| \vec{k} \right|$ ,

$$\begin{split} dN &= \frac{V}{(2\pi)^3} 8\pi \left| \vec{k} \right|^2 d \left| \vec{k} \right| = \\ &= \frac{V}{(2\pi)^3} 8\pi \frac{8\pi^3}{c^3} f^2 df = \\ &= V \frac{8\pi}{c^3} f^2 df =: V g(f) df \;. \end{split}$$

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## Average energy of a mode

Using Boltzmann distribution (why?) for the energy distribution in a single mode,

$$P(E_r) = \frac{e^{-\beta E_r}}{Z} \; , \label{eq:power_power}$$

with  $E_r = rhf$ , and the partition function

$$Z = \sum_{s} e^{-\beta E_s} = \sum_{s} e^{-\beta h f s} = \frac{1}{1 - e^{-\beta h f}}$$
.

The average energy of the mode reads

$$\begin{split} \langle E \rangle &= \sum_r E_r P(E_r) = \\ &= \sum_r rhf \frac{e^{-\beta hfr}}{Z} = \\ &= hf(1-e^{-\beta hf}) \sum_r re^{-\beta hfr} = \\ &= hf(1-e^{-\beta hf}) \frac{e^{-\beta hf}}{(1-e^{-\beta hf})^2} = \\ &= \frac{hf}{e^{\beta hf}-1} \; . \end{split}$$

Putting together the mode number density and the average energy of a mode, the energy density per unit volume, per frequency reads

$$\begin{split} u(f,T) &= \langle E \rangle(f)\,g(f) = \\ &= \frac{hf}{e^{\beta hf}-1}\frac{8\pi}{c^3}f^2 = \\ &= \frac{8\pi hf^3}{c^3}\frac{1}{e^{\beta hf}-1}\,. \end{split}$$

# Property of the series

$$\sum_{n=0}^{+\infty} nx^n = \frac{x}{(1-x)^2}$$

**Proof.** If the series is convergent (is this the required condition?)

$$\frac{d}{dx} \sum_{n=0}^{+\infty} x^n = \frac{d}{dx} \frac{1}{1-x} = \frac{1}{(1-x)^2}$$
$$\frac{d}{dx} \sum_{n=0}^{+\infty} x^n = \sum_{n=0}^{+\infty} nx^{n-1}$$
$$x \frac{d}{dx} \sum_{n=0}^{+\infty} x^n = \sum_{n=0}^{+\infty} nx^n = \frac{x}{(1-x)^2}$$

**Sperctral radiance**,  $B_f$ , so that an infinitesimal amount of power radiated by a surface ... is  $dP = B_f(f,T)\cos\theta\,dA\,d\Omega\,df$ 

$$B_f(f,T) = \frac{2hf^3}{c^2} \frac{1}{e^{\frac{hf}{k_BT}} - 1} \; .$$

This expression is obtained assuming homogeneous radiation from a small hole cut into a wall of the box. Only half of the energy radiates through the hole - so factor  $\frac{1}{2}$  in front of the energy density - through a solid angle  $2\pi$  - and thus this process give the same result as a radiation of all the energy density in all the space directions, just providing the same factor  $\frac{1}{4\pi}$ . The flux of energy "has velocity" c and thus

$$B_f(f,T) = \frac{1}{4\pi} u_f(f,T)c .$$

**Wien's law.** Wien's law tells that the frequency  $f^*$  corresponding to the maximum of the spectral radiance of a black-body radiation described by Planck's law is proportional to its temperature.

From direct evaluation of the derivative of the spectral radiance as a function of f,

$$\begin{split} \partial_f B_f(f,T) &= \frac{2h}{c^2} \left[ 3f^2 \frac{1}{e^{\frac{hf}{k_BT}} - 1} + f^3 \left( -\frac{\frac{h}{k_BT} e^{\frac{hf}{k_BT}}}{\left( e^{\frac{hf}{k_BT}} - 1 \right)^2} \right) \right] = \\ &= \frac{2hf^2 e^{\frac{hf}{k_BT}}}{c^2 \left( e^{\frac{hf}{k_BT}} - 1 \right)^2} \left[ 3 \left( 1 - e^{-\frac{hf}{k_BT}} \right) - \frac{hf}{k_BT} \right] \,. \end{split}$$

Now, if  $\partial_f B_f(f,T) = 0$  the frequency is either f = 0, or the solution of the nonlinear algebraic equation

$$0 = 3\left(1 - e^{-\frac{hf}{k_B T}}\right) - \frac{hf}{k_B T} \ .$$

Defining  $x := \frac{hf}{k_BT}$ , this equation becomes

$$0 = 3(1 - e^x) - x \;,$$

whose solution  $x^* \approx 2.82$  can be easily evaluated with an iterative method (or expressed in term of the Lambert's function W, so loved at Stanford and on Youtube: they'd probaly like to look at tabulated values, or pose). Once the solution  $x^*$  of this non-dimensional equation is found, the frequency where maximum energy density occurs reads

$$f^* = \frac{k_B T}{h} x^* \simeq 2.82 \frac{k_B}{h} T .$$

Stefan-Boltzmann law.

$$\begin{split} \frac{P}{A} &= \int B_f(f,T) \cos \phi \, df \, d\Omega = \\ &= \int_{f=0}^{+\infty} \int_{\phi=0}^{\frac{\pi}{2}} \int_{\theta=0}^{2\pi} B_f(f,T) \cos \phi \sin \phi \, df \, d\phi \, d\theta = \\ &= \pi \int_{f=0}^{+\infty} B_f(f,T) \, df = \\ &= \frac{2\pi h}{c^2} \int_{f=0}^{+\infty} \frac{f^3}{e^{\frac{hf}{k_BT}} - 1} \, df = \\ &= \frac{2\pi h}{c^2} \left(\frac{k_BT}{h}\right)^4 \int_{u=0}^{+\infty} \frac{u^3}{e^u - 1} \, du \; . \end{split}$$

The value of the integral is  $\frac{\pi^4}{15}$  and thus

$$\frac{P}{A} = \sigma T^4 \qquad , \qquad \sigma = \frac{2\pi^5 k_B^4}{15c^2 h^3} \,.$$

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<sup>&</sup>lt;sup>1</sup> Derivation of Planck's Law.

### Example 2 (Energy density and radiance)

**Radiance.** The radiance  $L_{e,\Omega}$  of a surface is the flux of energy per unit solid angle, per unit projected area of the source.

**Spectral radiance in frequency** is the radiance per unit frequency,  $L_{e,\Omega,f}=\frac{\partial L_{e,\Omega}}{\partial f}$ .

### 6.5.3 Fermi-Dirac

Statistics of undistinguishable components that can't be in the same (sub)level, obeying to the Pauli exclusion principle. Given the number of elementary components  $\sum_i N_i = N$  and the energy  $\sum_i N_i E_i = E$ ,

$$W_{FD,i} = \frac{G_i!}{(G_i - N_i)!N_i!} \qquad , \qquad W_{FD} = \prod_i W_{FD,i} \; . \eqno(6.2)$$

### **Counting microstates**

todo write page Combinatorics and add link

Most likely microstate. The approximate occupation number of the  $i^{th}$  level of the most likely microstate is

$$n_i := \frac{N_i}{G_i} = \frac{1}{1 + e^{\alpha + \beta E_i}} \;. \label{eq:ni}$$

## **Optimization**

$$\begin{split} J(N_i,\alpha,\beta) &= \ln W_{FD} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{ \ln G_i! - \ln(G_i - N_i)! - \ln N_i! \right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \\ &= \sum_i \left\{ G_i \ln G_i - (G_i - N_i) \ln(G_i - N_i) - N_i \ln N_i \right\} + \alpha \left(N - \sum_i N_i\right) + \beta \left(E - \sum_i N_i E_i\right) = \end{split}$$

Using  $\partial_n(n+a)\ln(n+a) = \ln(n+a) + 1$ ,

$$0 = \partial_{N_k} J \simeq \left\{ \ln(G_k - N_k) - \ln N_k \right\} - \alpha - \beta E_k \; , \label{eq:delta_N_k}$$

and thus

$$\begin{split} \ln \frac{G_k - N_k}{N_k} &= \alpha + \beta E_k \; , \\ \frac{G_k}{N_k} - 1 &= e^{\alpha + \beta E_k} \end{split}$$

 $N_k$  The occupation number of the k level is

$$N_k = \frac{G_k}{1 + e^{\alpha + \beta E_k}} \; .$$

The average occupation of the  $G_k$  sublevels of the k level is

$$n_k := \frac{N_k}{G_k} = \frac{1}{1 + e^{\alpha + \beta E_k}} \; . \label{eq:nk}$$

Meaning of  $\alpha$ ,  $\beta$ 

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## STATISTICAL PHYSICS - STATISTICS MISCELLANEA

## Information content and Entropy

Given a discrete random variable X with probability mass function  $p_X(x)$ , the self-information (**todo** what about mutual information of random variables?) is defined as the opposite of the logaritm of the mass function  $p_X(x)$ ,

$$I_X(x) := -\ln\left(p_X(x)\right) \;.$$

Information content of independent random variables is additive. Since  $p_{X,Y}(x,y) = p_X(x)p_Y(y)$ ,

$$I_{X,Y}(x,y) = -\ln \left( p_{X,Y}(x,y) \right) = -\ln \left( p_X(x) p_Y(y) \right) = -\ln p_X(x) - \ln p_Y(y) \; .$$

**Shannon entropy.** Shannon entropy of a discrete random variable X is defined as the expected value of the information content,

$$H(X):=\mathbb{E}[I_X(X)]=\sum p_X(x)I_X(x)=-\sum p_X\ln p_X(x)\;.$$

Gibbs entropy. Gibbs entropy was defined by J.W.Gibbs in 1878,

$$S = -k_B \sum_i p_i \ln p_i \; .$$

Additivity holds for independent random variables.

**Boltzmann entropy.** Boltmann entropy holds for uniform distributions over  $\Omega$  possible states,  $p_i = \frac{1}{\Omega}$ . Gibbs' entropy of this uniform distribution becomes

$$S = -k_B \Omega \frac{1}{\Omega} \ln \frac{1}{\Omega} = k_B \ln \Omega \; . \label{eq:S}$$

#### **Entropy in Quantum Mechanics. todo**

#### **Boltzmann distribution**

Given a set of discrete states with probability  $p_i$ , and the average measure as "macroscopic quantity"  $E = \sum_i p_i E_i$ , Boltzann distribution maximizes the entropy (**todo** Link to min info, max uncertainty)

$$S = -k_B \sum_i p_i \ln p_i \; . \label{eq:spectrum}$$

The distribution follows from the constrained optimization

$$\widetilde{S} = S - \alpha \left( \sum_{i} p_{i} - 1 \right) - \beta \left( \sum_{i} p_{i} E_{i} - E \right)$$

$$\begin{split} 0 &= \partial_{\alpha} \widetilde{S} = -\sum_{i} p_{i} - 1 \\ 0 &= \partial_{\beta} \widetilde{S} = -\sum_{i} p_{i} E_{i} - E \\ 0 &= \partial_{p_{i}} \widetilde{S} = -k_{B} \left( \ln p_{k} + 1 \right) - \alpha - \beta E_{k} \end{split}$$

and thus

$$p_k = e^{-1 - \frac{\alpha}{k_B} - \frac{\beta}{k_B} E_k} = e^{-\left(1 + \frac{\alpha}{k_B}\right)} e^{-\frac{\beta}{k_B} E_k} = C e^{-\frac{\beta}{k_B} E_k}$$

and the normalization constant C is determined by normalization condition

$$1 = \sum_k p_k = C \sum_k e^{-\frac{\beta E_k}{k_B}}$$

The inverse  ${\cal Z}={\cal C}^{-1}$  is defined as the **partition function**,

$$Z = C^{-1} = \sum_k e^{-\frac{\beta E_k}{k_B}} ,$$

and the probability distribution becomes

$$p_k = \frac{e^{-\frac{\beta E_k}{k_B}}}{Z} = \frac{e^{-\frac{\beta E_k}{k_B}}}{\sum_i e^{-\frac{\beta E_i}{k_B}}} \ .$$

Properties.

$$\frac{p_k}{p_i} = e^{-\frac{\beta}{k_B}(E_k - E_i)} .$$

## Thermodynamics. Comparison of statistics and classical thermodynamics

First principle of classical thermodynamics (for a monocomponent gas with no electric charge,...) reads

$$T dS = dE + P dV$$

Entropy for Boltzmann distribution reads

$$\begin{split} S &= -k_B \sum_i p_i \ln p_i = \\ &= -k_B \sum_i \left[ p_i \left( -\frac{\beta E_i}{k_B} - \ln Z \right) \right] = \\ &= \beta \langle E \rangle + k_B \ln Z \end{split}$$

From classical thermodyamics, temperature T can be defined as the partial derivative of the entropy of a system w.r.t. its internal energy keeping constant all the other independent variables,

$$\begin{split} &\frac{1}{T} = \left(\frac{\partial S}{\partial E}\right)\Big|_{X} = \\ &= \frac{\partial \beta}{\partial E}E + \beta + k_{B}\frac{\partial \ln Z}{\partial E} = \\ &= \frac{\partial \beta}{\partial E}E + \beta + k_{B}\frac{1}{Z}\frac{\partial Z}{\partial E} = \\ &= \frac{\partial \beta}{\partial E}E + \beta + k_{B}\frac{1}{Z}\frac{\partial Z}{\partial \beta}\frac{\partial \beta}{\partial E} = \\ &= \frac{\partial \beta}{\partial E}E + \beta + k_{B}\frac{1}{Z}\left(-\sum_{i}\frac{E_{i}}{k_{B}}e^{-\frac{\beta E_{i}}{k_{B}}}\right)\frac{\partial \beta}{\partial E} = \\ &= \frac{\partial \beta}{\partial E}E + \beta - \left(\sum_{i}E_{i}p_{i}\right)\frac{\partial \beta}{\partial E} = \\ &= \frac{\partial \beta}{\partial E}E + \beta - E\frac{\partial \beta}{\partial E} = \beta \;. \end{split}$$

#### todo

- write the derivative above clearly in terms of composite functions
- microscopical/statistical approach to the first principle of thermodynamics

$$dE = d\left(\sum_{i} p_{i} E_{i}\right) = \sum_{i} E_{i} dp_{i} + \sum_{i} p_{i} dE_{i}$$

# Part IV Quantum Mechanics

# **QUANTUM MECHANICS**

- Principles and postulates
  - statistics and measurements outcomes (Heisenberg built its matrix mechanics only on observables...)
  - CCR
- angluar momentum, spin, and atom

# 8.1 Mathematical tools for quantum mechanics

#### **Definition 1 (Operator)**

### **Definition 2 (Adjoint operator)**

Given an operator  $\hat{A}:U\to V$ , its self-adjoint  $\hat{A}^*:V\to U$  is the operator s.t.

$$(\mathbf{v},~\hat{A}\mathbf{u})_V=(\mathbf{u},\hat{A}^*\mathbf{v})_U$$

holds for  $\forall \mathbf{u} \in U, \mathbf{v} \in V$ .

## **Definition 3 (Hermitian (self-adjoint) operator)**

If  $\hat{A}: U \to U$ , it is a self-adjoint operator if  $\hat{A}^* = \hat{A}$ .

Self-adjoint operators have real eigenvalues, and orthogonal eigenvectors (at least those associated to different eigenvalues; those associated with the same eigenvalues can be used to build an orthogonal set of vectors with orthogonalization process).

## 8.2 Postulates of Quantum Mechanics

- ...
- Canonical Commutation Relation (CCR) and Canonical Anti-Commutation Relation...
- ...

## 8.3 Non-relativistic Mechanics

## 8.3.1 Statistical Interpretation and Measurement

#### Wave function

The state of a system is described by a wave function  $|\Psi\rangle$ 

#### todo

- properties: domain, image,...
- unitary  $1 = \langle \Psi | \Psi \rangle = |\Psi|^2$ , for statistical interpretation of  $|\Psi|^2$  as a density probability function

## **Operators and Observables**

Physical **observable** quantities are represented by *Hermitian operators*. Possible outcomes of measurement are the eigenvalues of the operator

Given  $\hat{A}$  and the set of its eigenvectors  $\{|A_i\rangle\}_i$  (**todo** continuous or discrete spectrum..., need to treat this difference quite in details), with associated eigenvalues  $\{a_i\}_i$ 

$$\begin{split} \hat{A}|A_i\rangle &= a_i|A_i\rangle \\ |\Psi\rangle &= |A_i\rangle\langle A_i|\Psi\rangle = |A_i\rangle\Psi_i^A \\ \langle A_j|\Psi\rangle &= \langle A_j|A_i\rangle\langle A_i|\Psi\rangle = \Psi_j^A \end{split}$$

and thus

$$\begin{split} \Psi_j^A &= \langle A_j | \Psi \rangle \\ \Psi_j^{A*} &= \langle \Psi | A_j \rangle \end{split}$$

• identity operator  $\sum_i |A_i\rangle\langle A_i|=\mathbb{I}$ , since

$$\sum_i |A_i\rangle\langle A_i|\Psi\rangle = \sum_i |A_i\rangle\langle A_i|\Psi_j^AA_j\rangle = \sum_i |A_i\rangle\delta_{ij}\Psi_j^A = \sum_i |A_i\rangle\Psi_i^A = |\Psi\rangle$$

· Normalization:

$$1 = \langle \Psi | \Psi \rangle = \Psi_j^{A*} \underbrace{\langle A_j | A_i \rangle}_{\delta_{ii}} \Psi_i^A = \sum_i \left| \Psi_i^A \right|^2$$

with  $|\Psi_i^A|^2$  that can be interpreted as the probability of finding the system in state  $|\Psi_i^a\rangle$ 

• Expected value of the physical quantity in the a state  $|\Psi\rangle$ , with possible values  $a_i$  with probability  $|\Psi_i^A|^2$ 

$$\begin{split} \bar{A}_{\Psi} &= \sum_{i} a_{i} |\Psi_{i}^{A}|^{2} = \\ &= \sum_{i} a_{i} \Psi_{i}^{A*} \Psi_{i}^{A} = \\ &= \sum_{i} a_{i} \langle \Psi | A_{i} \rangle \langle A_{i} | \Psi \rangle = \\ &= \langle \Psi | \left( \sum_{i} a_{i} |A_{i} \rangle \langle A_{i} | \right) |\Psi \rangle = \\ &= \langle \Psi | \hat{A} |\Psi \rangle = \end{split}$$

since an operator  $\hat{A}$  can be written as a function of its eigenvalues and eigenvectors

$$\begin{split} \left(\sum_i a_i |A_i\rangle\langle A_i|\right)\Psi\rangle &= \left(\sum_i a_i |A_i\rangle\langle A_i|\right)c_k |A_k\rangle = \\ &= \sum_i a_i |A_i\rangle c_i = \\ &= \sum_i \hat{A} |A_i\rangle c_i = \\ &= \hat{A} \sum_i |A_i\rangle c_i = \hat{A} |\Psi\rangle \;. \end{split}$$

## **Space Representation**

**Position operator**  $\hat{\mathbf{r}}$  has eigenvalues  $\mathbf{r}$  identifying the possible measurements of the position

$$\hat{\mathbf{r}}|\mathbf{r}\rangle = \mathbf{r}|\mathbf{r}\rangle$$
,

being  $\mathbf{r}$  the result of the measurement (position in space, mathematically it could be a vector), and  $|\mathbf{r}\rangle$  the state function corresponding to the measurement  $\mathbf{r}$  of the position.

• Result of measurement,  $\mathbf{r}$ , is a position in space. As an example, it could be a point in an Euclidean space  $P \in E^n$ . It could be written using properties of Dirac's delta "function"

$$\mathbf{r} = \int_{\mathbf{r}'} \delta(\mathbf{r}' - \mathbf{r}) \, \mathbf{r}' d\mathbf{r}'$$

• Projection of wave function over eigenstates of position operator

$$\begin{split} \langle \mathbf{r} | \Psi \rangle(t) &= \Psi(\mathbf{r},t) = \int_{\mathbf{r}'} \delta(\mathbf{r} - \mathbf{r}') \Psi(\mathbf{r}',t) d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} \langle \mathbf{r} | \mathbf{r}' \rangle \Psi(\mathbf{r}',t) d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} \langle \mathbf{r} | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle(t) d\mathbf{r}' = \\ &= \langle \mathbf{r} | \underbrace{\left( \int_{\mathbf{r}'} | \mathbf{r}' \rangle \langle \mathbf{r}' | d\mathbf{r}' \right)}_{=\hat{\mathbf{l}}} |\Psi \rangle(t) \;. \end{split}$$

• having used orthogonality (todo why? provide definition and examples of operators with continuous spectrum)

$$\langle \mathbf{r}' | \mathbf{r} \rangle = \delta(\mathbf{r}' - \mathbf{r})$$

• Expansion of a state function  $|\Psi\rangle(t)$  over the basis of the position operator

$$|\Psi\rangle(t) = \hat{\mathbf{1}}|\Psi\rangle(t) = \left(\int_{\mathbf{r}'} |\mathbf{r}'\rangle\langle\mathbf{r}'d\mathbf{r}'\right) |\Psi\rangle(t) = \int_{\mathbf{r}'} |\mathbf{r}'\rangle\langle\mathbf{r}'|\Psi\rangle(t)\,d\mathbf{r}'\;.$$

· Unitariety and probability density

$$\begin{split} 1 &= \langle \Psi | \Psi \rangle(t) = \langle \Psi | \left( \int_{\mathbf{r}'} |\mathbf{r}' \rangle \langle \mathbf{r}' d\mathbf{r}' \right) | \Psi \rangle \\ &= \int_{\mathbf{r}'} \langle \Psi | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' \\ &= \int_{\mathbf{r}'} \Psi^*(\mathbf{r}', t) \Psi(\mathbf{r}', t) d\mathbf{r}' \\ &= \int_{\mathbf{r}'} |\Psi(\mathbf{r}', t)|^2 d\mathbf{r}' \end{split}$$

and thus  $|\Psi(\mathbf{r},t)|^2$  can be interpreted as the **probability density function** of measuring position of the system equal to  $\mathbf{r}'$ .

• Average value of the operator

$$\begin{split} \bar{\mathbf{r}} &= \langle \Psi | \hat{\mathbf{r}} | \Psi \rangle = \\ &= \int_{\mathbf{r}'} \langle \Psi | \mathbf{r}' \rangle \langle \mathbf{r}' | d\mathbf{r}' | \hat{\mathbf{r}} | \int_{\mathbf{r}''} |\mathbf{r}'' \rangle \langle \mathbf{r}'' | \Psi \rangle d\mathbf{r}'' \\ &= \int_{\mathbf{r}'} \int_{\mathbf{r}''} \langle \Psi | \mathbf{r}' \rangle \langle \mathbf{r}' | \hat{\mathbf{r}} | \mathbf{r}'' \rangle \langle \mathbf{r}'' | \Psi \rangle d\mathbf{r}' d\mathbf{r}'' = \\ &= \int_{\mathbf{r}'} \int_{\mathbf{r}''} \langle \Psi | \mathbf{r}' \rangle \underbrace{\langle \mathbf{r}' | \mathbf{r}'' \rangle}_{=\delta(\mathbf{r}' - \mathbf{r}'')} \mathbf{r}'' \langle \mathbf{r}'' | \Psi \rangle d\mathbf{r}' d\mathbf{r}'' = \\ &= \int_{\mathbf{r}'} \langle \Psi | \mathbf{r}' \rangle \mathbf{r}' \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} \Psi^*(\mathbf{r}', t) \mathbf{r}' \Psi(\mathbf{r}', t) d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} |\Psi(\mathbf{r}', t)|^2 \mathbf{r}' d\mathbf{r}' . \end{split}$$

## **Momentum Representation**

**Momentum operator** as the limit of ...**todo** prove the expression of the momentum operator as the limit of the generator of translation

$$\langle \mathbf{r} | \hat{\mathbf{p}} = -i\hbar \nabla \langle \mathbf{r} |$$

• Spectrum

$$\hat{f p}|{f p}
angle={f p}|{f p}
angle$$
  $\langle{f r}|\hat{f p}|{f p}
angle=-i\hbar
abla\langle{f r}|{f p}
angle={f p}\langle{f r}|{f p}
angle$ 

and thus the eigenvectors in space base  $\mathbf{p}(\mathbf{r}) = \langle \mathbf{r} | \mathbf{p} \rangle$  are the solution of the differential equation

$$-i\hbar\nabla\mathbf{p}(\mathbf{r}) = \mathbf{p}\mathbf{p}(\mathbf{r})$$
,

that in Cartesian coordinates reads

$$-i\hbar\partial_i p_k(\mathbf{r}) = p_i p_k(\mathbf{r})$$

$$p_k(\mathbf{r}) = p_{k,0} \exp\left[i\frac{p_j}{\hbar}r_j\right]$$

or

$$\langle \mathbf{r} | \mathbf{p} 
angle = \mathbf{p}(\mathbf{r}) = \mathbf{p}_0 \exp \left[ i \frac{\mathbf{p} \cdot \mathbf{r}}{\hbar} \right]$$

todo

– normalization factor  $\frac{1}{(2\pi)^{\frac{3}{2}}}$ 

$$\mathcal{F}\{\delta(x)\}(k) = \int_{-\infty}^{\infty} \delta(x)e^{-ikx} dx = 1$$

- Fourier transform and inverse Fourier transform: definitions and proofs (link to a math section)
- representation in basis of wave vector operator  $\hat{\mathbf{k}}$ ,  $\hat{\mathbf{p}} = \hbar \hat{\mathbf{k}}$

## From position to momentum representation

Momentum and wave vector,  $\mathbf{p} = \hbar \mathbf{k}$ 

$$\begin{split} \langle \mathbf{p} | \Psi \rangle &= \langle \mathbf{p} | \int_{\mathbf{r}'} | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} \langle \mathbf{p} | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \frac{1}{(2\pi)^{3/2}} \int_{\mathbf{r}'} \exp \left[ i \frac{\mathbf{p} \cdot \mathbf{r}}{\hbar} \right] \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \end{split}$$

Relation between position and wave-number representation can be represented with a Fourier transform

$$\begin{split} \langle \mathbf{k} | \Psi \rangle &= \langle \mathbf{k} | \int_{\mathbf{r}'} | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \int_{\mathbf{r}'} \langle \mathbf{k} | \mathbf{r}' \rangle \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \frac{1}{(2\pi)^{3/2}} \int_{\mathbf{r}'} \exp\left[i\mathbf{k} \cdot \mathbf{r}'\right] \langle \mathbf{r}' | \Psi \rangle d\mathbf{r}' = \\ &= \frac{1}{(2\pi)^{3/2}} \int_{\mathbf{r}'} \exp\left[i\mathbf{k} \cdot \mathbf{r}'\right] \Psi(\mathbf{r}') d\mathbf{r}' = \\ &= \mathcal{F}\{\Psi(\mathbf{r})\}(\mathbf{k}) \end{split}$$

## 8.3.2 Schrodinger Equation

$$i\hbar \frac{d}{dt}|\Psi\rangle = \hat{H}|\Psi\rangle$$

being  $\hat{H}$  the Hamiltonian operator and  $|\Psi\rangle$  the wave function, as a function of time t as an independent variable.

## **Stationary States**

Eigenspace of the Hamiltonian operator

$$\hat{H}|\Psi_k\rangle = E_k|\Psi_k\rangle$$
,

with  $E_k$  possible values of energy measurements. If no eigenstates with the same eigenvalue exists, then...otherwise... Without external influence todo be more detailed!, energy values and eigenstates of the systems are constant in time.

Thus, exapnding the state of the system  $|\Psi\rangle$  over the stationary states gives  $|\Psi_k\rangle$ ,  $|\Psi\rangle=|\Psi_k\rangle c_k(t)$ , and inserting in Schrodinger equation

$$i\hbar \dot{c}_k |\Psi_k\rangle = c_k E_k |\Psi_k\rangle$$

and exploiting orthogonality of eigenstates, a diagonal system for the amplitudes of stationary states ariese,

$$i\hbar\dot{c}_k = c_k E_k$$
.

whose solution reads

$$c_k(t) = c_{k,0} \exp \left[ -i \frac{E_k}{\hbar} t \right]$$

Thus the state of the system evolves like a superposition of monochromatic waves with frequencies  $\omega_k = \frac{E_k}{h}$ ,

$$\begin{split} |\Psi\rangle &= |\Psi_k\rangle c_k(t) = |\Psi_k\rangle c_{k,0} \exp\left[-i\frac{E_k}{\hbar}t\right] \,. \\ \frac{d}{dt}\bar{A} &= \frac{d}{dt}\left(\langle\Psi|\hat{A}|\Psi\rangle\right) = \\ &= \frac{d}{dt}\langle\Psi|\hat{A}|\Psi\rangle + \langle\Psi|\frac{d\hat{A}}{dt}|\Psi\rangle + \langle\Psi|\hat{A}\frac{d}{dt}|\Psi\rangle = \\ &= \langle\Psi|\frac{d\hat{A}}{dt}|\Psi\rangle + \frac{i}{\hbar}\langle\Psi|\hat{H}\hat{A}|\Psi\rangle - \frac{i}{\hbar}\langle\Psi|\hat{A}\hat{H}|\Psi\rangle = \\ &= \langle\Psi|\left(\frac{i}{\hbar}[\hat{H},\hat{A}] + \frac{d\hat{A}}{dt}\right)|\Psi\rangle \,. \end{split}$$

#### **Pictures**

- Schrodinger
- · Heisenberg
- Interaction

## **Schrodinger**

If  $\hat{H}$  not function of time

$$\begin{split} |\Psi\rangle(t) &= \exp\left[-i\frac{\hat{H}}{\hbar}(t-t_0)\right] |\Psi\rangle(t_0) = \hat{U}(t,t_0)|\Psi\rangle(t_0) \\ \\ \bar{A} &= \langle\Psi|\hat{A}|\Psi\rangle = \langle\Psi_0|\hat{U}^*(t,t_0)\hat{A}\hat{U}(t,t_0)|\Psi_0\rangle \end{split}$$

## Heisenberg

. .

for  $\hat{H}$  independent from time t,

$$\frac{d}{dt}\bar{\mathbf{r}} = \frac{\overline{i}}{\frac{i}{\hbar}\left[\hat{H},\hat{\mathbf{r}}\right]}$$
 
$$\frac{d}{dt}\bar{\mathbf{p}} = \overline{\frac{i}{\hbar}\left[\hat{H},\hat{\mathbf{p}}\right]}$$

#### **Hamiltonian Mechanics**

From Lagrange equations

$$\frac{d}{dt}\left(\frac{\partial L}{\partial \dot{q}}\right) - \frac{\partial L}{\partial q} = Q_q$$

q generalized coordinates,  $p:=\frac{\partial L}{\partial \dot{q}}$  generalized momenta.

Hamiltonian

$$H(p,q,t) = p\dot{q} - L(\dot{q},q,t)$$

Increment of the Hamiltonian

$$\begin{split} dH &= \partial_p H dp + \partial_q H dq + \partial_t H dt \\ dH &= \dot{q} dp + p d\dot{q} - \partial_{\dot{q}} L d\dot{q} - \partial_q L dq - \partial_t L dt = \\ &= \dot{q} dp - \partial_q L dq - \partial_t L dt = \\ &= \dot{q} dp - \left( \dot{p} + Q_q \right) dq - \partial_t L dt = \\ \left\{ \begin{aligned} \frac{\partial H}{\partial p} &= \dot{q} \\ \frac{\partial H}{\partial q} &= -\frac{\partial L}{\partial q} = -\dot{p} + Q_q \\ \frac{\partial H}{\partial t} &= -\frac{\partial L}{\partial t} \end{aligned} \right. \end{split}$$

Physical quantity f(p(t), q(t), t). Its time derivative reads

$$\begin{split} \frac{df}{dt} &= \frac{\partial f}{\partial p} \dot{p} + \frac{\partial f}{\partial q} \dot{q} + \frac{\partial f}{\partial t} = \\ &= \frac{\partial f}{\partial p} \left[ -\frac{\partial H}{\partial q} + Q_q \right] + \frac{\partial f}{\partial q} \frac{\partial H}{\partial p} + \frac{\partial f}{\partial t} = \\ &= \{H, f\} + \partial_t f + Q_q \partial_p f \end{split}$$

If  $Q_q=0$ , the correspondence between quantum mechanics and classical mechanics

$$\frac{df}{dt} = \{H, f\} + \partial_t f \qquad \leftrightarrow \qquad \frac{d}{dt} \overline{\hat{f}} = \frac{\overline{i}}{\hbar} [\hat{H}, \hat{f}] + \frac{\overline{\partial \hat{f}}}{\partial t}$$

$$\{H, f\} \qquad \leftrightarrow \qquad \frac{i}{\hbar} [\hat{H}, \hat{f}]$$

#### Interaction

#### 8.3.3 Matrix Mechanics

## Attualization of 1925 papers

...to find the canonical commutation relation,

$$\begin{split} [\hat{\mathbf{r}},\hat{\mathbf{p}}] &= i\hbar\mathbb{I} \; \hat{\mathbf{I}} \; . \\ [\hat{\mathbf{r}},\hat{\mathbf{p}}] &= \hat{\mathbf{r}}\hat{\mathbf{p}} - \hat{\mathbf{p}}\hat{\mathbf{r}} = \\ &= \hat{\mathbf{r}} \int_{\mathbf{r}} |\mathbf{r}\rangle\langle\mathbf{r}| d\mathbf{r}\hat{\mathbf{p}} - \hat{\mathbf{p}} \int_{\mathbf{r}} |\mathbf{r}\rangle\langle\mathbf{r}| d\mathbf{r} \; \hat{\mathbf{r}} \; \int_{\mathbf{r}'} |\mathbf{r}'\rangle\langle\mathbf{r}'| d\mathbf{r}' = \\ &= -\int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}| \; d\mathbf{r} - \hat{\mathbf{p}} \int_{\mathbf{r}} \int_{\mathbf{r}'} |\mathbf{r}\rangle \mathbf{r}' \; \frac{\langle\mathbf{r}|\mathbf{r}'\rangle}{\delta(\mathbf{r}-\mathbf{r}')} \langle\mathbf{r}'| d\mathbf{r}' = \\ &= -\int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}| \; d\mathbf{r} - \hat{\mathbf{p}} \int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle\langle\mathbf{r}| d\mathbf{r} = \\ &= -\int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}| \; d\mathbf{r} - \int_{\mathbf{r}'} |\mathbf{r}\rangle\langle\mathbf{r}| d\mathbf{r} \; \hat{\mathbf{p}} \int_{\mathbf{r}'} \mathbf{r}'|\mathbf{r}'\rangle\langle\mathbf{r}'| d\mathbf{r}' = \\ &= -\int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}| \; d\mathbf{r} + \int_{\mathbf{r}} |\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}| d\mathbf{r} \int_{\mathbf{r}'} \mathbf{r}'|\mathbf{r}'\rangle\langle\mathbf{r}'| d\mathbf{r}' = \dots \\ [\hat{\mathbf{r}},\hat{\mathbf{p}}] \; |\Psi\rangle = -\int_{\mathbf{r}} \mathbf{r}|\mathbf{r}\rangle i\hbar\nabla\Psi(\mathbf{r},t) + \int_{\mathbf{r}} |\mathbf{r}\rangle i\hbar\nabla\langle\mathbf{r}\Psi(\mathbf{r},t)) = \\ &= -\int_{\mathbf{r}} |\mathbf{r}\rangle i\hbar\left[\mathbf{r}\nabla\Psi(\mathbf{r},t) + \mathbb{I}\Psi(\mathbf{r},t) + \mathbf{r}\nabla\Psi(\mathbf{r},t)\right] = \\ &= i\hbar\int_{\mathbf{r}} |\mathbf{r}\rangle\langle\mathbf{r}| d\mathbf{r} \; |\Psi\rangle \; , \end{split}$$

and since  $|\Psi\rangle$  is arbitrary

$$[\hat{\mathbf{r}}, \hat{\mathbf{p}}] = i\hbar \hat{\mathbf{l}} \hat{\mathbf{l}}$$
.

$$[\hat{r}_a, \hat{p}_b] = i\hbar \delta_{ab} \; .$$

# 8.3.4 Heisenberg Uncertainty relation

Uncertainty principle is a relation that holds for "wave descriptions" as it can be proved in the generic framework of Fourier transform, see Fourier transform: Uncertainty relation.

- Heisenberg uncertainty relation is a relation between product of the variance of two physical quantities and their commutator,
- todo relation with measurement process and outcomes. Commutation as a measurement process: first measure B and then A, or first measure A and then B

$$\sigma_A \sigma_B \geq \frac{1}{2} \left| \overline{[\hat{A}, \hat{B}]} \right| \; .$$

## Proof of Heisenberg uncertainty "principle"

$$\begin{split} \sigma_A^2 \sigma_B^2 &= \langle \Psi | \left( \hat{A} - \bar{A} \right)^2 | \Psi \rangle \langle \Psi | \left( \hat{B} - \bar{B} \right)^2 | \Psi \rangle = \\ &= \langle (\hat{A} - \bar{A}) \Psi | (\hat{A} - \bar{A}) \Psi \rangle \langle (\hat{B} - \bar{B}) \Psi | (\hat{B} - \bar{B}) \Psi \rangle = \\ &= \| (\hat{A} - \bar{A}) \Psi \|^2 \| (\hat{B} - \bar{B}) \Psi \|^2 = \\ &\geq \left| \langle (\hat{A} - \bar{A}) \Psi | (\hat{B} - \bar{B}) \Psi \rangle \right|^2 = \\ &= \left| \langle \Psi | (\hat{A} - \bar{A}) (\hat{B} - \bar{B}) \Psi \rangle \right|^2 = \\ &= \left| \langle \Psi | (\hat{A} - \bar{A}) (\hat{B} - \bar{B}) \Psi \rangle \right|^2 = \\ &= \left| \langle \Psi | \hat{A} \hat{B} - \hat{A} \bar{B} - \bar{A} \hat{B} + \bar{A} \bar{B} | \Psi \rangle \right|^2 = \\ &= \left| \langle \Psi | \hat{A} \hat{B} - \bar{A} \bar{B} | \Psi \rangle \right|^2 \geq \\ &= \left| \left| \langle \Psi | \hat{A} \hat{B} - \hat{B} \hat{A} | \Psi \rangle \right|^2 = \\ &= \frac{\left| \langle \Psi | (\hat{A}, \hat{B}) | \Psi \rangle \right|^2}{2i} = \\ &= \frac{\left| \langle \Psi | (\hat{A}, \hat{B}) | \Psi \rangle \right|^2}{4} = \frac{1}{4} \left| [\hat{A}, \hat{B}] \right|^2 \end{split}$$

having used Cauchy-Schwartz triangle inequality in (1),

$$|z| \ge |\operatorname{im}(z)| = \frac{z - z^*}{2i} .$$

Hesienberg uncertainty principles applied to position and momentum reads

$$\sigma_{r_a}\sigma_{p_b} \geq \frac{1}{2} \left| \overline{[\hat{r}_a,\hat{p}_b]} \right| = \frac{\hbar}{2} \delta_{ab} \; .$$

# 8.4 Many-body problem

Wave function with symmetries: Fermions and Bosons

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# **QUANTUM MECHANICS - NOTES**

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