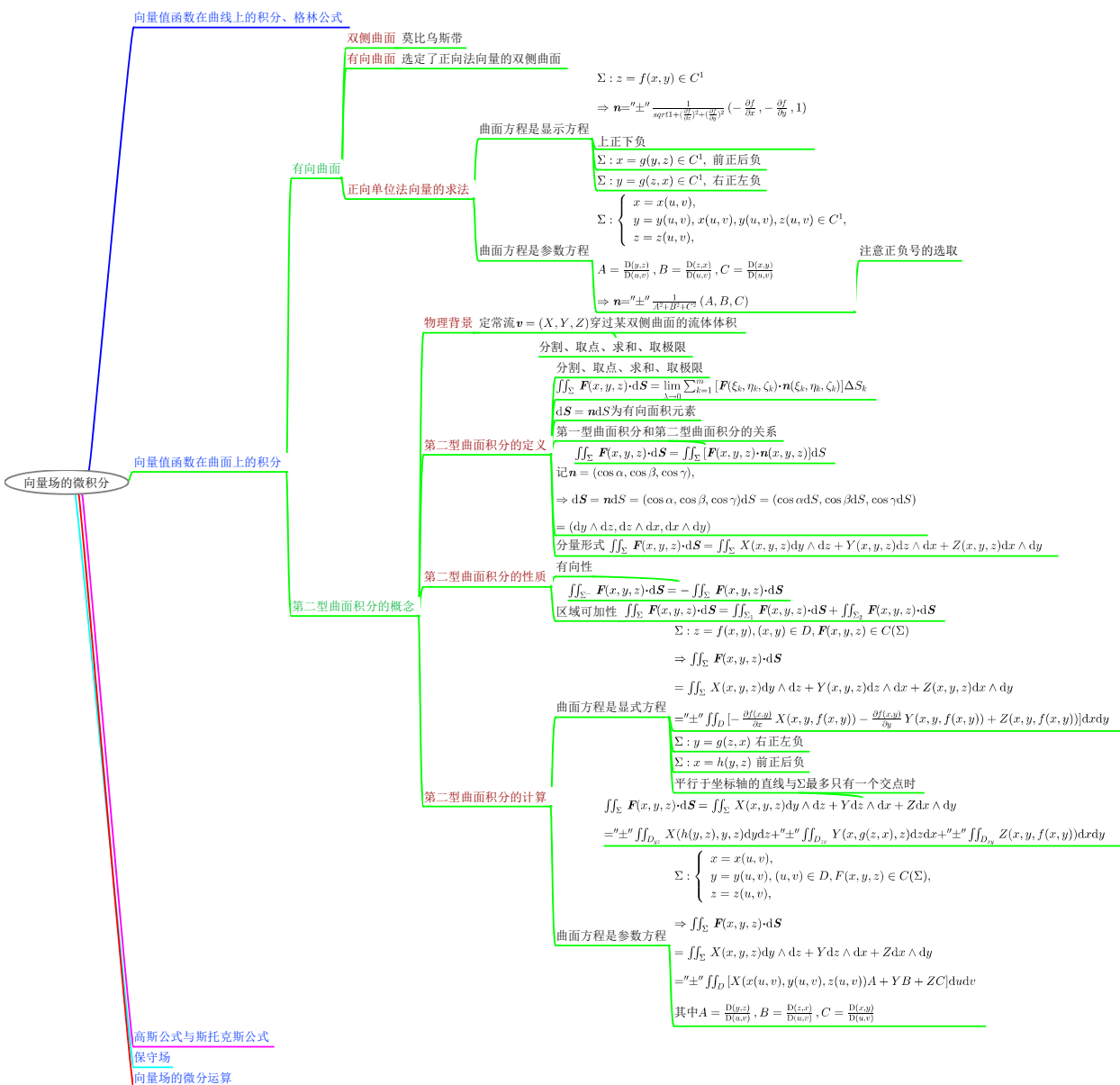


11 第二型曲面积分

11.1 复习计划



11.2 知识结构



11.3 通量的图示

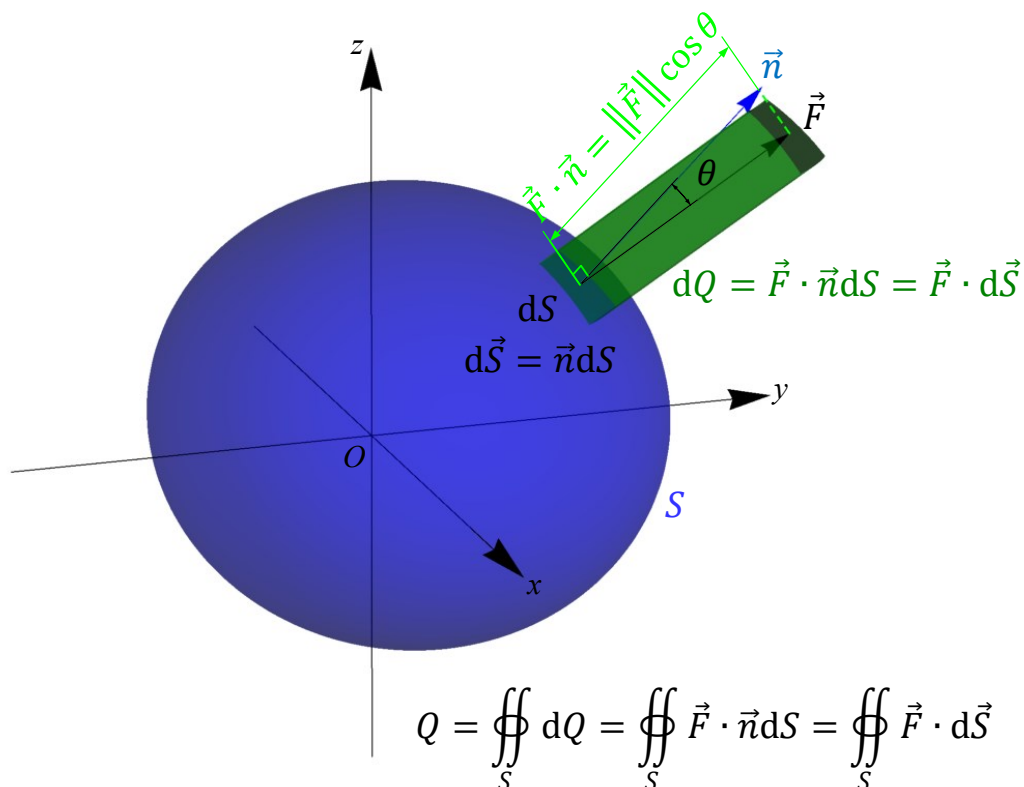


图 1: 通量的图示

11.4 第二型曲面积分的计算

第二型曲面积分 $\iint_S \mathbf{F} \cdot d\mathbf{S}$ 中:

$\mathbf{F}(x, y, z) = (X(x, y, z), Y(x, y, z), Z(x, y, z)) \in C(S)$, 为空间向量场;

$d\mathbf{S} = \mathbf{n} dS = (\cos \alpha, \cos \beta, \cos \gamma) dS = (\cos \alpha dS, \cos \beta dS, \cos \gamma dS)$
 $= (dy \wedge dz, dz \wedge dx, dx \wedge dy)$, 为有向面积元素;

有向曲面 S 可有以下几种形式:

1. 显示方程

(a) $S: z = f(x, y), (x, y) \in D_{xy}$. 此时

$$\mathbf{n} = \pm \left(-\frac{\partial f}{\partial x}, -\frac{\partial f}{\partial y}, 1 \right) \frac{1}{\sqrt{\left(\frac{\partial f}{\partial x} \right)^2 + \left(\frac{\partial f}{\partial y} \right)^2 + 1}}, \quad dS = \sqrt{\left(\frac{\partial f}{\partial x} \right)^2 + \left(\frac{\partial f}{\partial y} \right)^2 + 1} dx dy$$

$$d\mathbf{S} = \mathbf{n}dS = \pm\left(-\frac{\partial f}{\partial x}, -\frac{\partial f}{\partial y}, 1\right)dx dy = (dy \wedge dz, dz \wedge dx, dx \wedge dy).$$

若在曲面 S 的 $+z$ 侧做积分取 $+$ 号, 若在曲面 S 的 $-z$ 侧做积分取 $-$ 号.

$$\begin{aligned} \text{故 } \iint_S \mathbf{F} \cdot d\mathbf{S} &= \iint_S X(x, y, z)dy \wedge dz + Y(x, y, z)dz \wedge dx + Z(x, y, z)dx \wedge dy \\ &= \pm \iint_S \left[-X(x, y, f(x, y))\frac{\partial f}{\partial x} - Y(x, y, f(x, y))\frac{\partial f}{\partial y} + Z(x, y, f(x, y)) \right] dx dy \end{aligned}$$

【利用该公式进行计算的习题: 8.(第三类题目)】

注意此时 $dx \wedge dy = \pm dx dy$, 在曲面 $+z$ 侧积分, 取 $+$ 号, 在曲面 $-z$ 侧积分, 取 $-$ 号.

【利用这一点进行计算的习题: 1,2,3,4,5.(第一类题目) 7,10.(第二类题目)】

(b) $S: x = g(y, z), (y, z) \in D_{yz}$. 可进行类似的推导.

注意此时 $dy \wedge dz = \pm dy dz$, 在曲面 $+x$ 侧积分, 取 $+$ 号, 在曲面 $-x$ 侧积分, 取 $-$ 号.

(c) $S: y = g(z, x), (z, x) \in D_{zx}$. 可进行类似的推导.

注意此时 $dz \wedge dx = \pm dz dx$, 在曲面 $+y$ 侧积分, 取 $+$ 号, 在曲面 $-y$ 侧积分, 取 $-$ 号.

2. 参数方程

$$S: \begin{cases} x = x(u, v), \\ y = y(u, v), \\ z = z(u, v), \end{cases} \quad (u, v) \in D_{uv}. \text{ 此时}$$

$$\mathbf{n} = \pm(A, B, C) \frac{1}{\sqrt{A^2 + B^2 + C^2}}, \quad dS = \sqrt{A^2 + B^2 + C^2} du dv,$$

$$d\mathbf{S} = \mathbf{n}dS = \pm(A, B, C)dS = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$$

$$\text{其中 } A = \frac{D(y, z)}{D(u, v)}, \quad B = \frac{D(z, x)}{D(u, v)}, \quad C = \frac{D(x, y)}{D(u, v)}.$$

若在曲面的 $+x$ 侧积分, 则选取 \pm 号使得 $\pm A > 0$; 若在曲面的下侧积分, 则选取 \pm 号使得 $\pm A < 0$; 若在曲面的 $+y$ 侧积分, 则选取 \pm 号使得 $\pm B > 0$; 若在曲面的 $-y$ 侧积分, 则选取 \pm 号使得 $\pm B < 0$; 若在曲面的 $+z$ 侧积分, 则选取 \pm 号使得 $\pm C > 0$; 若在曲面的 $-z$ 侧积分, 则选取 \pm 号使得 $\pm C < 0$.

$$\begin{aligned} \text{故 } \iint_S \mathbf{F} \cdot d\mathbf{S} &= \iint_S X(x, y, z)dy \wedge dz + Y(x, y, z)dz \wedge dx + Z(x, y, z)dx \wedge dy \\ &= \pm \iint_S [X(x(u, v), y(u, v), z(u, v))A + YB + ZC] du dv. \end{aligned}$$

【利用该公式进行计算的习题：9,6.(第五类题目)】

【其他类型的习题：9,11,6.(第四类题目)】

11.5 习题13.4解答

计算下列第二型曲面积分：

1. $\iint_S (x^2 + y^2) dx \wedge dy$, S 为 $x^2 + y^2 \leq 1, z = 0$ 的下侧.

$$\begin{aligned} \text{解: } \iint_S (x^2 + y^2) dx \wedge dy &= \iint_{x^2+y^2 \leq 1} (x^2 + y^2)(-dx dy) = - \int_0^{2\pi} d\theta \int_0^1 r^2 \cdot r dr = -2\pi \cdot \frac{1}{4} r^4 \Big|_0^1 \\ &= -\frac{\pi}{2}. \end{aligned}$$

2. $\iint_S z dx \wedge dy$, S 为 $x^2 + y^2 + z^2 = R^2$ 上半部分的下侧.

$$\begin{aligned} \text{解: } \iint_S z dx \wedge dy &= \iint_{x^2+y^2 \leq R^2} \sqrt{R^2 - x^2 - y^2} (-dx dy) = - \int_0^{2\pi} d\theta \int_0^R \sqrt{R^2 - r^2} \cdot r dr \\ &= -2\pi \left(-\frac{1}{2}\right) \frac{1}{1+\frac{1}{2}} (R^2 - r^2)^{\frac{1}{2}+1} \Big|_0^R = -\frac{2}{3}\pi R^3. \end{aligned}$$

3. $\iint_S x z^2 dx \wedge dy$, S 为 $x^2 + y^2 + z^2 = 1$ 第一卦限部分的外侧.

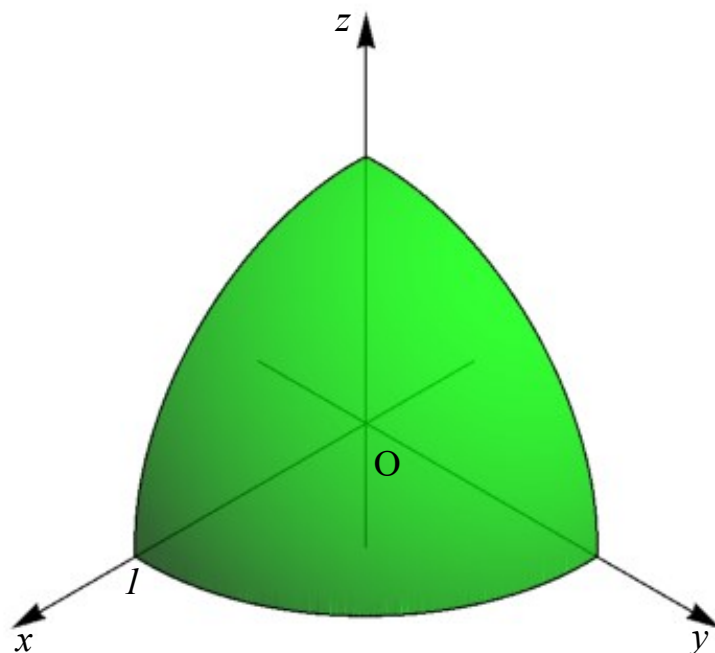


图 2: 习题13.4 3.题图示

解: 曲面 S 的方程可以表示为 $z = \sqrt{1 - x^2 - y^2}$, $x^2 + y^2 \leq 1$, $x \geq 0$, $y \geq 0$,

$$\begin{aligned} \therefore \iint_S xz^2 dx \wedge dy &= \iint_{\substack{x^2+y^2 \leq 1, \\ x \geq 0, y \geq 0}} x(1 - x^2 - y^2) dx dy = \int_0^{\frac{\pi}{2}} d\theta \int_0^1 r \cos \theta (1 - r^2) \cdot r dr \\ &= \int_0^{\frac{\pi}{2}} \cos \theta d\theta \int_0^1 r^2(1 - r^2) dr = 1 \cdot \left(\frac{1}{3} r^2 - \frac{1}{5} r^5 \right) \Big|_0^1 = \frac{2}{15}. \end{aligned}$$

4. $\iint_S z^2 dx \wedge dy$, S 为 $z = \sqrt{a^2 - x^2 - y^2}$ ($a > 0$)被圆柱面 $x^2 + y^2 = ax$ 所截部分的上侧.

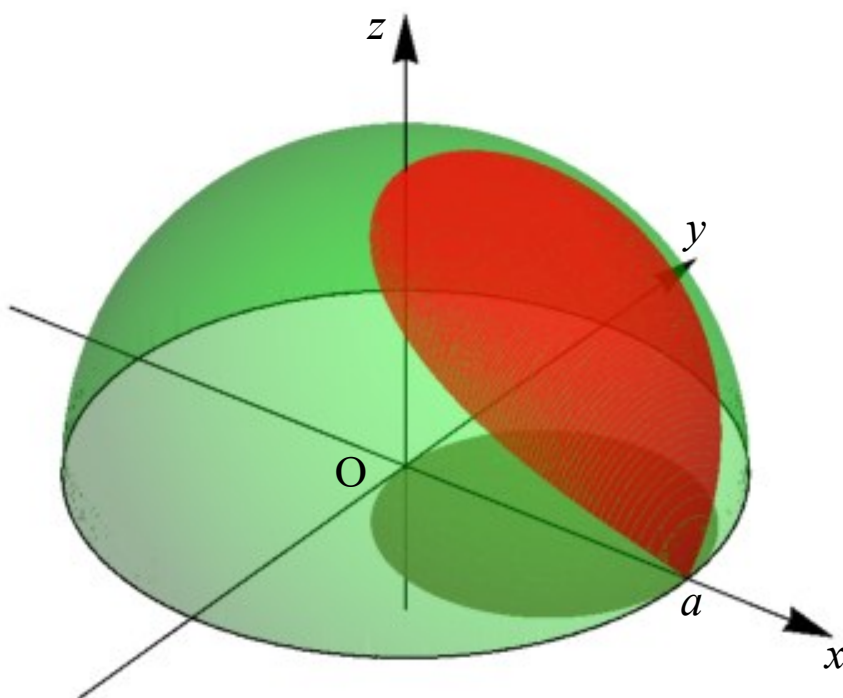


图 3: 习题13.4 4.题图示

$$\begin{aligned}
 \text{解: } \iint_S z^2 dx \wedge dy &= \iint_{x^2+y^2 \leq ax} (a^2 - x^2 - y^2) dx dy = \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} d\theta \int_0^{a \cos \theta} (a^2 - r^2) \cdot r dr \\
 &= \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \left(\frac{1}{2} a^2 r^2 - \frac{1}{4} r^4 \right) \Big|_0^{a \cos \theta} d\theta = \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \left(\frac{1}{2} a^4 \cos^2 \theta - \frac{1}{4} a^4 \cos^4 \theta \right) d\theta = 2 \int_0^{\frac{\pi}{2}} \left(\frac{1}{2} a^4 \cos^2 \theta - \frac{1}{4} a^4 \cos^4 \theta \right) d\theta \\
 &= 2 \left(\frac{1}{2} a^4 \frac{1}{2} \cdot \frac{\pi}{2} - \frac{1}{4} a^4 \frac{3}{4} \cdot \frac{1}{2} \cdot \frac{\pi}{2} \right) = \frac{5}{32} \pi a^4.
 \end{aligned}$$

5. $\iint_S 2y dz \wedge dx$, 其中 S 为锥面 $y = \sqrt{x^2 + z^2}$ 介于 $y = 1$ 和 $y = 2$ 之间的部分外侧.

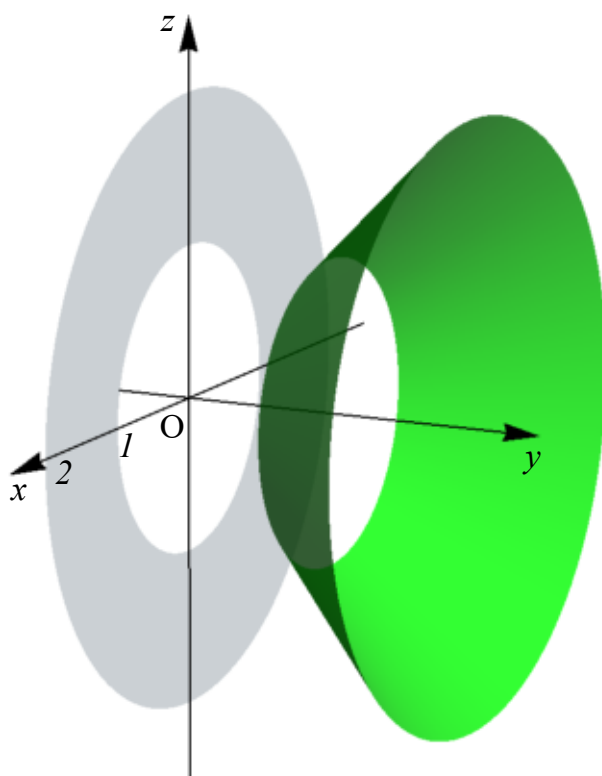


图 4: 习题13.4 5.题图示

$$\begin{aligned} \text{解: } \iint_S 2y dz \wedge dx &= \iint_{1 \leq x^2 + z^2 \leq 4} 2\sqrt{x^2 + z^2} (-dx dz) = - \int_0^{2\pi} d\theta \int_1^2 2r \cdot r dr = -2\pi \frac{2}{3} r^3 \Big|_1^2 \\ &= -\frac{28}{3}\pi. \end{aligned}$$

6. $\iint_S x dy \wedge dz + z dx \wedge dy$, S 为 $x^2 + y^2 = a^2$ 在第一卦限中介于 $z = 0, z = h (h > 0)$ 之间的部分, 外侧为正.

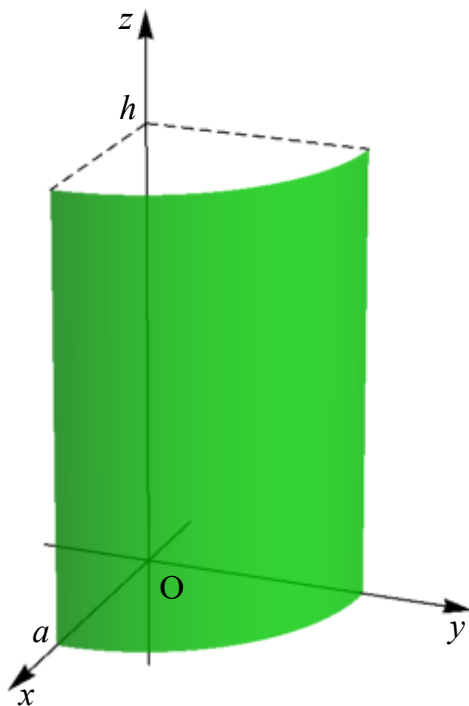


图 5: 习题13.4 6.题图示

解: 方法1: 令
$$\begin{cases} x = a \cos \theta, \\ y = a \sin \theta, \\ z = z, \end{cases} \quad (\theta, z) \in D = \{(\theta, z) \mid 0 \leq \theta \leq \frac{\pi}{2}, 0 \leq z \leq h\},$$

则 $A = \frac{D(y,z)}{D(\theta,z)} = \begin{vmatrix} a \cos \theta & 0 \\ 0 & 1 \end{vmatrix} = a \cos \theta$, $B = \frac{D(z,x)}{D(\theta,z)} = \begin{vmatrix} 0 & 1 \\ -a \sin \theta & 0 \end{vmatrix} = a \sin \theta$,

$C = \frac{D(x,y)}{D(\theta,z)} = \begin{vmatrix} -a \sin \theta & 0 \\ a \cos \theta & 0 \end{vmatrix} = 0$,

$\therefore d\mathbf{S} = (A, B, C)d\theta dz = (a \cos \theta, a \sin \theta, 0)d\theta dz = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$

$\therefore \iint_S x dy \wedge dz + z dx \wedge dy = \iint_D a \cos \theta \cdot a \cos \theta d\theta dz + z \cdot 0 = a^2 \int_0^{\frac{\pi}{2}} \cos^2 \theta d\theta \int_0^h dz = \frac{\pi}{4} a^2 h.$

方法2: 曲面 S 的正向单位法向量可以表示为 $\mathbf{n} = \frac{1}{a}(x, y, 0)$,

$\therefore d\mathbf{S} = \mathbf{n} dS = \frac{1}{a}(x, y, 0) dS = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$

$\therefore \iint_S x dy \wedge dz + z dx \wedge dy = \iint_S x \cdot \frac{1}{a} x dS + z \cdot 0 = \frac{1}{a} \iint_S x^2 dS,$

\therefore 曲面 S 关于平面 $y = x$ 对称,

$$\therefore \text{上式} = \frac{1}{a} \frac{1}{2} \iint_S (x^2 + y^2) dS = \frac{1}{2a} \iint_S a^2 dS = \frac{a^2}{2a} \iint_S dS = \frac{a}{2} \cdot \frac{1}{4} \cdot 2\pi a \cdot h = \frac{\pi}{4} a^2 h.$$

7. $\iint_S z dx \wedge dy + dy \wedge dz$, S 为平面 $x + y - z = 1$ 在第五卦限中的部分下侧.

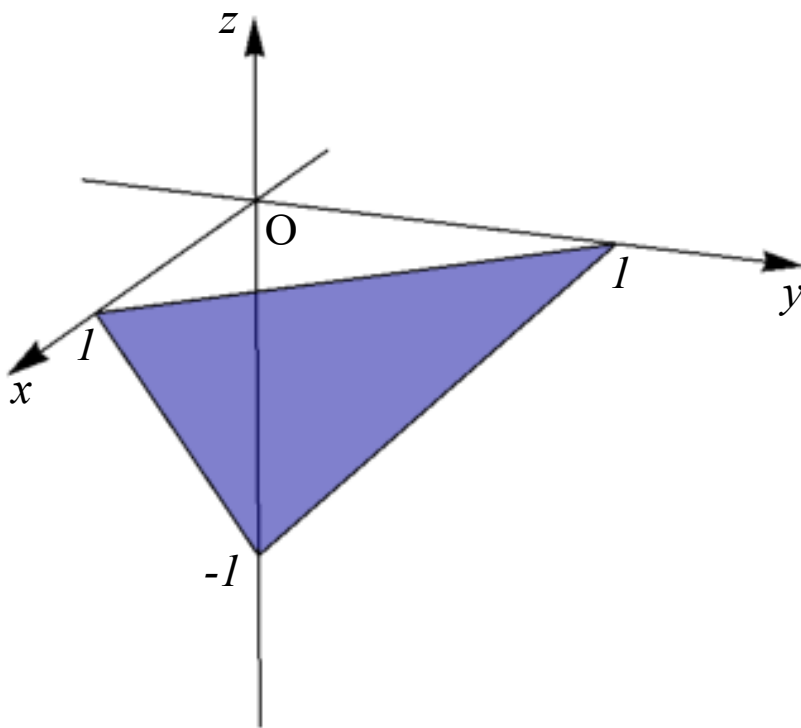


图 6: 习题13.4 7.题图示

$$\begin{aligned} \text{解: 方法1: } \iint_S z dx \wedge dy + dy \wedge dz &= \iint_S z dx \wedge dy + \iint_S dy \wedge dz \\ &= \iint_{D_{xy}} (x + y - 1)(-dx dy) + \iint_{D_{yz}} dy dz = \iint_{D_{xy}} (1 - x - y) dx dy + \iint_{D_{yz}} dy dz, \end{aligned}$$

其中 D_{xy} 与 D_{yz} 为 S 在 xOy 平面和 yOz 平面上的投影, D_{xy} 关于 $y = x$ 对称,

$$\begin{aligned} \therefore \text{上式} &= \iint_{D_{xy}} dx dy - 2 \iint_{D_{xy}} x dx dy + \iint_{D_{yz}} dy dz = \frac{1}{2} - 2 \int_0^1 x dx \int_0^{1-x} dy + \frac{1}{2} \\ &= 1 - 2 \int_0^1 x(1-x) dx = 1 - 2 \left(\frac{1}{2} x^2 - \frac{1}{3} x^3 \right) \Big|_0^1 = \frac{2}{3}. \end{aligned}$$

方法2: 平面 S 的方程可表示为 $z = x + y - 1$, $(x, y) \in D = \{(x, y) \mid 0 \leq x \leq 1, 0 \leq y \leq 1 - x\}$,

$$\therefore d\mathbf{S} = -\left(-\frac{\partial z}{\partial x}, -\frac{\partial z}{\partial y}, 1\right) dx dy = (1, 1, -1) dx dy = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$$

$$\therefore \iint_S z dx \wedge dy + dy \wedge dz = \iint_D [(x+y-1)(-dxdy) + dxdy] = \iint_D (2-x-y)dxdy,$$

\therefore 区域 D 关于 $y=x$ 对称,

$$\begin{aligned} \therefore \text{上式} &= 2 \iint_D dxdy - 2 \iint_D x dxdy = 2 \cdot \frac{1}{2} - 2 \int_0^1 x dx \int_0^{1-x} dy = 1 - 2 \int_0^1 x(1-x) dx \\ &= 1 - 2 \left(\frac{1}{2} x^2 - \frac{1}{3} x^3 \right) \Big|_0^1 = \frac{2}{3}. \end{aligned}$$

8. $\iint_S (y-z) dy \wedge dz + (z-x) dz \wedge dx + (x-y) dx \wedge dy$, S 为 $y = \sqrt{x^2+z^2}$, $y = h (h > 0)$ 所围区域的表面外侧.

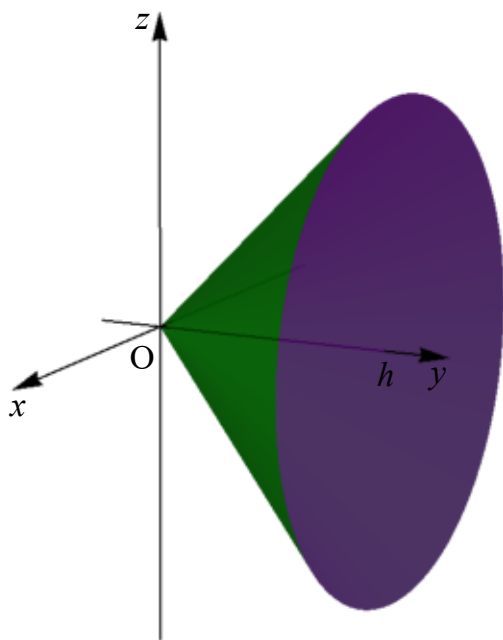


图 7: 习题13.4 8.题图示

解: S 由锥面 $S_1: y = \sqrt{x^2+z^2}, x^2+y^2 \leq h^2$ 的左侧和平面 $S_2: y = h, x^2+y^2 \leq h^2$ 的右侧组成,

$$\begin{aligned} \text{在锥面 } S_1 \text{ 的左侧 } d\mathbf{S} &= -\left(-\frac{\partial y}{\partial x}, 1, -\frac{\partial y}{\partial z}\right) dz dx = \left(\frac{x}{\sqrt{x^2+z^2}}, -1, \frac{z}{\sqrt{x^2+z^2}}\right) dz dx \\ &= (dy \wedge dz, dz \wedge dx, dx \wedge dy), \end{aligned}$$

$$\therefore \iint_{S_1} (y-z) dy \wedge dz + (z-x) dz \wedge dx + (x-y) dx \wedge dy$$

$$\begin{aligned}
&= \iint_{x^2+z^2 \leq h^2} (\sqrt{x^2+z^2} - z) \frac{x}{\sqrt{x^2+z^2}} dz dx - (z-x) dz dx + (x - \sqrt{x^2+z^2}) \frac{z}{\sqrt{x^2+z^2}} dz dx \\
&= \iint_{x^2+z^2 \leq h^2} (2x - 2z) dz dx,
\end{aligned}$$

由对称性可知上式=0,

$$\text{在平面 } S_2 \text{ 的右侧 } d\mathbf{S} = (-\frac{\partial y}{\partial x}, 1, -\frac{\partial y}{\partial z}) dz dx = (0, 1, 0) dz dx = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$$

$$\therefore \iint_{S_2} (y-z) dy \wedge dz + (z-x) dz \wedge dx + (x-y) dx \wedge dy = \iint_{x^2+z^2 \leq h^2} 0 + (z-x) + 0 dz dx,$$

由对称性可知上式=0,

$$\begin{aligned}
&\therefore \iint_S (y-z) dy \wedge dz + (z-x) dz \wedge dx + (x-y) dx \wedge dy \\
&= (\iint_{S_1} + \iint_{S_2}) (y-z) dy \wedge dz + (z-x) dz \wedge dx + (x-y) dx \wedge dy \\
&= 0 + 0 = 0.
\end{aligned}$$

9. $\oiint_S x dy \wedge dz + y dz \wedge dx + z dx \wedge dy$, S 为 $x^2 + y^2 + z^2 = R^2$ 的外侧.

解: 球面 S 的外向单位法向量为 $\mathbf{n} = \frac{1}{R}(x, y, z)$,

$$\begin{aligned}
&\therefore d\mathbf{S} = \frac{1}{R}(x, y, z) dS, \\
&\therefore \oiint_S x dy \wedge dz + y dz \wedge dx + z dx \wedge dy = \oiint_S (x \cdot \frac{x}{R} + y \cdot \frac{y}{R} + z \cdot \frac{z}{R}) dS = \oiint_S \frac{x^2+y^2+z^2}{R} dS \\
&= R \oiint_S dS = 4\pi R^3.
\end{aligned}$$

10. $\oiint_S yz dy \wedge dz + zxdz \wedge dx + xydx \wedge dy$, S 为区域 $\begin{cases} x+y+z \leq a, a > 0 \\ x \geq 0, y \geq 0, z \geq 0 \end{cases}$ 表面的外侧.

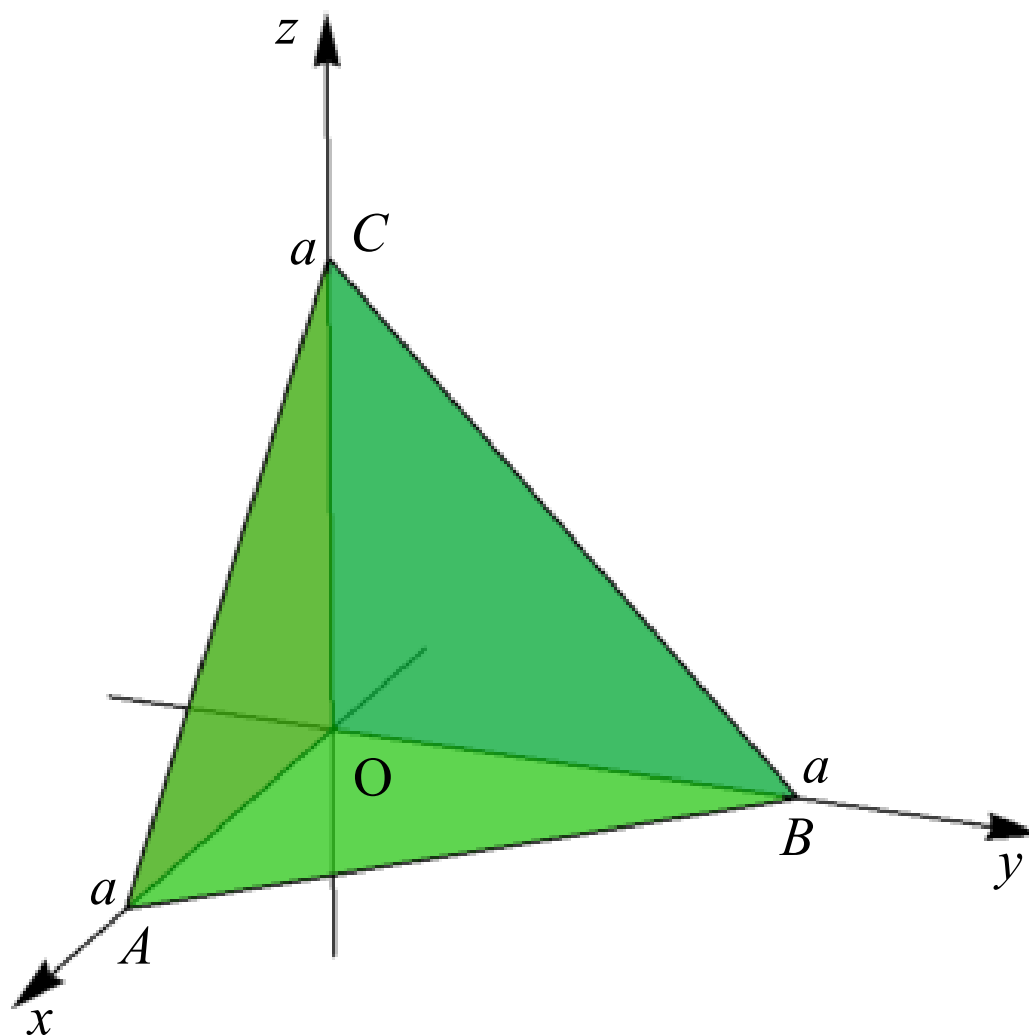


图 8: 习题13.4 10.题图示

解: 如图 8 所示, S 由四个平面 ABC , OBC , OCA , OAB 组成,

$$\therefore \iint_S yz dy \wedge dz + zx dz \wedge dx + xy dx \wedge dy$$

$$= \left(\iint_{OBC} + \iint_{OCA} + \iint_{OAB} + \iint_{ABC} \right) yz dy \wedge dz + zx dz \wedge dx + xy dx \wedge dy,$$

\therefore 在平面 OBC 的后侧 $x = 0$,

$$\therefore \iint_{OBC} yz dy \wedge dz + zx dz \wedge dx + xy dx \wedge dy = \iint_{OBC} yz dy \wedge dz = - \iint_{OBC} yz dy dz,$$

这里的 OBC 同时表示 yOz 平面上积分域,

$$\text{同理, } \iint_{OCA} yzdy \wedge dz + zxdz \wedge dx + xydx \wedge dy = \iint_{OCA} zxdz \wedge dx = - \iint_{OCA} zxdzdx,$$

$$\iint_{OAB} yzdy \wedge dz + zxdz \wedge dx + xydx \wedge dy = \iint_{OAB} xydx \wedge dy = - \iint_{OAB} xydx dy,$$

\therefore 平面 ABC 的上侧可表示为 $x = a - y - z, (y, z) \in OBC$,

$$\therefore \iint_{ABC} yzdy \wedge dz = \iint_{OBC} yzdydz,$$

$$\text{同理, } \iint_{ABC} zxdz \wedge dx = \iint_{OCA} zxdzdx, \iint_{ABC} xydx \wedge dy = \iint_{OAB} xydx dy,$$

$$\begin{aligned} \therefore \iint_{ABC} yzdy \wedge dz + zxdz \wedge dx + xydx \wedge dy &= \iint_{ABC} yzdy \wedge dz + \iint_{ABC} zxdz \wedge dx + \iint_{ABC} xydx \wedge dy \\ &= \iint_{ABC} yzdydz + \iint_{ABC} zxdzdx + \iint_{ABC} xydx dy, \end{aligned}$$

$$\begin{aligned} \therefore \oint_S yzdy \wedge dz + zxdz \wedge dx + xydx \wedge dy &= - \iint_{OBC} yzdydz - \iint_{OCA} zxdzdx - \iint_{OAB} xydx dy \\ &+ \iint_{ABC} yzdydz + \iint_{ABC} zxdzdx + \iint_{ABC} xydx dy \\ &= 0. \end{aligned}$$

11. $\oint_S \frac{x}{r^3} dy \wedge dz + \frac{y}{r^3} dz \wedge dx + \frac{z}{r^3} dx \wedge dy$, 其中 $r = \sqrt{x^2 + y^2 + z^2}$, S 为球面 $x^2 + y^2 + z^2 = a^2$ 的外侧.

解: 球面 S 的外向单位法向量可表示为 $\mathbf{n} = \frac{1}{a}(x, y, z)$,

$$\therefore d\mathbf{S} = \mathbf{n}dS = \frac{1}{a}(x, y, z)dS = (dy \wedge dz, dz \wedge dx, dx \wedge dy),$$

$$\begin{aligned} \therefore \oint_S \frac{x}{r^3} dy \wedge dz + \frac{y}{r^3} dz \wedge dx + \frac{z}{r^3} dx \wedge dy &= \oint_S \frac{x}{r^3} \cdot \frac{x}{a} dS + \frac{y}{r^3} \cdot \frac{y}{a} dS + \frac{z}{r^3} \cdot \frac{z}{a} dS = \oint_S \frac{x^2 + y^2 + z^2}{ar^3} dS \\ &= \oint_S \frac{a^2}{a^3} dS = \frac{1}{a^2} \oint_S dS = \frac{1}{a^2} \cdot 4\pi a^2 = 4\pi. \end{aligned}$$