

KNAPSACK PROBLEM

PROBLEM & GOAL

- We are given n items $\{1, \dots, n\}$, and each has a given nonnegative weight w_i (for $i = 1, \dots, n$).
- We are also given a bound of Knapsack capacity W .
- We would like to select a subset S of the items so that $\sum_{i \in S} w_i \leq W$ and, subject to this restriction, $\sum_{i \in S} v_i$ is as large as possible.
- We will call this the Knapsack Problem.

DESIGNING THE ALGORITHM

- Let us consider an optimal solution.
- $\text{OPT}(n, W) = \text{max profit from items } 1, \dots, n \text{ with weight limit } W.$
- There can be 2 cases if we consider an n th item as follows
 - **Case 1:** OPT does not select item n i.e. $n \notin \text{OPT}$
 - OPT selects best of $\{ 1, 2, \dots, n-1 \}$ using weight limit W .
 - **Case 2:** OPT selects item n . (i.e. $n \in \text{OPT}$)
 - New weight limit $= W - w_n$
 - OPT selects best of $\{ 1, 2, \dots, n-1 \}$ using **this new weight limit**.

DESIGNING THE ALGORITHM

- Recurrence Relation

$$OPT(n, W) = \begin{cases} 0 & \text{if } n = 0 \\ OPT(n-1, W) & \text{if } w_n > W \\ \max\{OPT(n-1, W), v_n + OPT(n-1, W - w_n)\} & \text{otherwise} \end{cases}$$

KNAPSACK ALGORITHM

//Purpose: To find the maximum value or profit from the given n items and their weights w_i

//Input: A set of items $1, 2, \dots, n$, with, w_1, \dots, w_n , and values v_1, v_2, \dots, v_n with knapsack capacity W

//Output: Max Profit $M[n, W]$

```
for w = 0 to W
    M[0, w] = 0
for i = 0 to n
    M[i, 0] = 0
for i = 1 to n                // n items
    for w = 1 to W            // weights from 1 to max cap W
        if ( $w_i > w$ )
            M[i, w] = M[i-1, w]
        else
            M[i, w] = max {M[i-1, w],  $v_i + M[i-1, w - w_i]$ }
    endfor
endfor
return M[n, W]
```

KNAPSACK EXAMPLE

ITEMS	WEIGHTS	VALUES	$W = 5$
1	2	20	
2	2	10	
3	3	30	

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
1	2	1	2 > 1 TRUE	M[i, w] = M[i-1, w] = M[0, 1] = 0
		2	2 > 2 FALSE	= Max {M[i-1, w], v_i + M[i-1, w-w_i] } = Max (M [0 , 2] , 20 + M [0 , 0]) = Max(0,20) = 20

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20			
2	0					
3	0					

KNAPSACK EXAMPLE

i	w _i	w	w _i > w	M [i, w]
1	2	3	2 > 3 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [0 , 3] , 20 + M [0 , 1])$ $= \text{Max} (0 , 20)$ $= 20$
		4	2 > 4 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [0 , 4] , 20 + M [0 , 2])$ $= \text{Max} (0 , 20)$ $= 20$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	
2	0					
3	0					

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
1	2	5	2 > 5 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [0 , 5] , 20 + M [0 , 3])$ $= \text{Max} (0 , 20)$ $= 20$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0					
3	0					

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
2	2	1	2 > 1 TRUE	M[i, w] = M[i-1, w] = M [1, 1] = 0
		2	2 > 2 FALSE	= Max {M[i-1, w], v_i + M[i-1, w-w_i] } = Max (M [1 , 2] , 10 + M [1 , 0]) = Max (20 , 10) = 20

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20			
3	0					

KNAPSACK EXAMPLE

i	w _i	w	w _i > w	M [i, w]
2	2	3	2 > 3 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [1 , 3] , 10 + M [1 , 1])$ $= \text{Max} (20 , 10)$ $= 20$
		4	2 > 4 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [1 , 4] , 10 + M [1 , 2])$ $= \text{Max} (20 , 30)$ $= 30$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	
3	0					

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
2	2	5	2 > 5 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [1, 5], 10 + M [1, 3])$ $= \text{Max} (20, 30)$ $= 30$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0					

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
3	3	1	3 > 1 TRUE	M[i, w] = M[i-1, w] = M [2, 1] = 0
		2	3 > 2 TRUE	M[i, w] = M[i-1, w] = M [2 , 2] = 20

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0	0	20			

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
3	3	3	3 > 3 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [2 , 3] , 30 + M [2 , 0])$ $= \text{Max} (20 , 30)$ $= 30$
		4	3 > 4 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [2 , 4] , 30 + M [2 , 1])$ $= \text{Max} (30 , 30)$ $= 30$

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0	0	20	30	30	

KNAPSACK EXAMPLE

i	w_i	w	w_i > w	M [i, w]
3	3	5	3 > 5 FALSE	$= \text{Max} \{M[i-1, w], v_i + M[i-1, w-w_i] \}$ $= \text{Max} (M [2, 5], 30 + M [2, 2])$ $= \text{Max} (30, 30 + 20)$ $= \text{Max} (30, 50)$ $= 50$

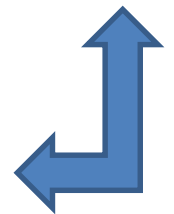
	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0	0	20	30	30	50

KNAPSACK EXAMPLE

ITEMS	WEIGHTS	VALUES	W = 5
1	2	20	
2	2	10	
3	3	30	

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0	0	20	30	30	50

SOLUTION



FIND ITEMS IN KNAPSACK

•In order to find the items that belong to the knapsack, we use the global array $M[n,W]$ computed and find the items using the following algorithm.

Algorithm: Find_items_in_knapsack()

// Input: Global array $M[n,W]$ giving the maximum value achievable for “n” set of items and knapsack capacity “W”.

//Output: The items “i” that belong to the Knapsack.

```
i = n , k = W
```

```
while i, k > 0
```

```
    if  $M[i, k] \neq M[i-1, k]$  then
```

```
        mark the ith item as in the knapsack
```

```
        i = i-1
```

```
        k = k-wi
```

```
    else
```

```
        i = i-1
```

EXAMPLE

FIND ITEMS IN KNAPSACK

ITEMS	WEIGHTS	VALUES	$W = 5$
1	2	20	
2	2	10	
3	3	30	

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	20	20	20	20
2	0	0	20	20	30	30
3	0	0	20	30	30	50

EXAMPLE

FIND ITEMS IN KNAPSACK

i	k	Global Array M[n, w]	Items in the Knapsack																																			
3	5	<table><tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td><td>20</td><td>20</td><td>20</td><td>20</td></tr><tr><td>2</td><td>0</td><td>0</td><td>20</td><td>20</td><td>30</td><td><u>30</u></td></tr><tr><td>3</td><td>0</td><td>0</td><td>20</td><td>30</td><td>30</td><td><u>50</u></td></tr></table>		0	1	2	3	4	5	0	0	0	0	0	0	0	1	0	0	20	20	20	20	2	0	0	20	20	30	<u>30</u>	3	0	0	20	30	30	<u>50</u>	<div>3</div>
	0	1	2	3	4	5																																
0	0	0	0	0	0	0																																
1	0	0	20	20	20	20																																
2	0	0	20	20	30	<u>30</u>																																
3	0	0	20	30	30	<u>50</u>																																
		$k = k - w_i = 5 - 3 = 2$ $i = i - 1 = 2$																																				

EXAMPLE

FIND ITEMS IN KNAPSACK

i	k	Global Array M[n, w]	Items in the Knapsack																																			
2	2	<table><tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td><td><u>20</u></td><td>20</td><td>20</td><td>20</td></tr><tr><td>2</td><td>0</td><td>0</td><td><u>20</u></td><td>20</td><td>30</td><td>30</td></tr><tr><td>3</td><td>0</td><td>0</td><td>20</td><td>30</td><td>30</td><td>50</td></tr></table>		0	1	2	3	4	5	0	0	0	0	0	0	0	1	0	0	<u>20</u>	20	20	20	2	0	0	<u>20</u>	20	30	30	3	0	0	20	30	30	50	<div>3</div>
	0	1	2	3	4	5																																
0	0	0	0	0	0	0																																
1	0	0	<u>20</u>	20	20	20																																
2	0	0	<u>20</u>	20	30	30																																
3	0	0	20	30	30	50																																
		<div>$i = i - 1 = 2 - 1$$i = 1$</div>																																				

EXAMPLE

FIND ITEMS IN KNAPSACK

i	k	Global Array M[n, w]	Items in the Knapsack																																			
1	2	<table><tr><td></td><td>0</td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr><tr><td>0</td><td>0</td><td>0</td><td><u>0</u></td><td>0</td><td>0</td><td>0</td></tr><tr><td>1</td><td>0</td><td>0</td><td><u>20</u></td><td>20</td><td>20</td><td>20</td></tr><tr><td>2</td><td>0</td><td>0</td><td>20</td><td>20</td><td>30</td><td>30</td></tr><tr><td>3</td><td>0</td><td>0</td><td>20</td><td>30</td><td>30</td><td>50</td></tr></table>		0	1	2	3	4	5	0	0	0	<u>0</u>	0	0	0	1	0	0	<u>20</u>	20	20	20	2	0	0	20	20	30	30	3	0	0	20	30	30	50	<div>3, 1</div>
	0	1	2	3	4	5																																
0	0	0	<u>0</u>	0	0	0																																
1	0	0	<u>20</u>	20	20	20																																
2	0	0	20	20	30	30																																
3	0	0	20	30	30	50																																
		<div>k = k - 2 = 0 i = i - 1 = 0</div>																																				

THANK YOU