

# Design & Analysis of Algorithms

(10-12 N)

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## Text-books:

→ Introduction to Algorithms

- CLR (coremen)

→ Fundamentals of Algorithms

- Sahani

## Syllabus:

### 1. Analysis of Algorithms

→ Algorithm concept & lifecycle

→ Need for analysis

→ Methodology of analysis

→ Types of analysis

\*\* → Asymptotic Notations (ASN)

• Definitions & properties

• Problem solving

\* → Framework for analyzing recursive & non-recursive algorithms.

\* → Analysis of Program segments with loops.

→ Space complexities

### 2. Design Strategies:

#### i) Divide & Conquer

→ Control Abstraction

→ Max Min

→ Merge Sort

→ Quick sort

→ Binary Search

→ Matrix Multiplication

→ long integer multiplication

→ Master's theorem

(ii) Greedy Method

→ Control Abstraction

→ Job seq. with deadlines

→ knapsack problem

→ Merge patterns

→ Huffman coding

→ Spanning trees

→ Shortest paths

(iii) Dynamic Programming

→ General method

→ DP vs CM v D&C

→ Multistage Graphs

→ 0/1 knapsack

→ All pairs shortest path

→ Travelling salesperson.

→ Matrix chain Product

→ Longest common subsequence

→ Bellman Ford algorithm.

→ OBST

→ Reliable System Design

→ Kadane Algorithm.

(iv) Graph Techniques

→ Traversals

→ Parenthesization theorem

→ Components; Articulation points.

## (v) Heap algorithms

## (vi) Sorting techniques

→ Classification

→ Case studies

Algorithm: Consists of finite set of steps/stmts to solve a given problem.

- Each step/stmt may involve one/more fundamental operation.
- Every operation must be
  - (i) Definite (i.e., clear) (unambiguous)
  - (ii) Effective (i.e., Finite time)
- Every algorithm must halt in finite time.
- Every algorithm may accept 0 or more inputs and must produce atleast one output.

### Lifecycle steps:

1. Problem definition
2. Requirements.
3. Design [logic]
4. Develop the algorithm (Pseudo code)
- 5. Validation (Proving its correctness)
6. Analysis
7. Implementation
8. Testing & Debugging

# Analysis

need for analysis

to determine  
resource consumption  
<time, memory, power,  
cost (registers etc.)>

Performance Analysis  
(Comparision b/w  
diff algorithms)

Methodology of analysis: ~~methodology of analysis~~ from [2] qofz has 3 parts

A posteriori Analysis (Experimentation analysis)

→ This analysis done by converting algorithm into a program and we analyze by running it on a platform.

This analysis depends on the processor, memory speed, OS, programming language (Compiler) i.e., platform dependent.

Advantages:

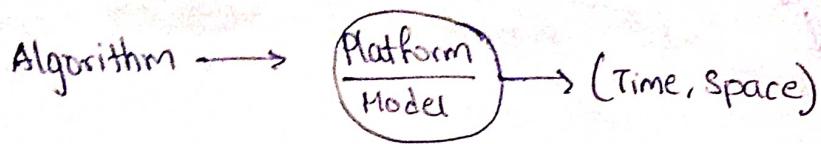
- \* Gives exact values in units of time / space.

Drawbacks:

- \* reports different values from platform to platform
- \* difficult to make performance comparision.
- \* Difficult to determine the exact times ( $\because$  OS & other programs could run in parallel).

Hence ~~reps~~

## Apriori Analysis = (Platform Independent Analysis)



Ram Model (CPU)

(Analytical model)

This is a hypothetical model with infinite memory

and we assume that it takes one unit of time for every fundamental operation.

→ This gives estimated time.

Adv:

- This analysis do help in performance comparision.
- Easy to carry out.

### Components of analytic framework used in apriori analysis

→ A language for describing algorithm (Pseudocode)

→ A computational model (ram model) that algorithms execute within it.

→ A metric for measuring running time of algorithm.

(i.e., basic / fundamental operation or primitive)

→ An approach for characterizing running times of algorithms (i.e., Asymptotic notation)

Algorithm Test

time-apriori mode (Step count method)

{

1.  $x \leftarrow y + z$  ..... 2 → assign comparisons  
2. for  $i \leftarrow 1$  to  $n$  .....  $1 + (n+1) + n$  → increments

$x \leftarrow y + z$  .....  $n + n$

3. for  $i \leftarrow 1$  to  $n$  .....  $1 + (n+1) + n$

for  $j \leftarrow 1$  to  $n$  .....  $n + n(n+1) + n \cdot n$

$a \leftarrow b + c$ ; .....  $n \cdot n + n \cdot n$

}

~~3x8~~  $5 + 8n + 4n^2$

∴ time required is

$$T(n) = 4n^2 + 8n + 5$$

↙  
input size

The running time of algorithm in apriori mode of analysis is determined by order of magnitude of statements of the algorithm.

Here, order of magnitude refers to the frequency of the fundamental operation in the statement.

Algorithm Test

order of magnitude

{

1.  $x \leftarrow y + z$

2. for  $i \leftarrow 1$  to  $n$  }  
 $\quad \quad \quad z \leftarrow y + z$

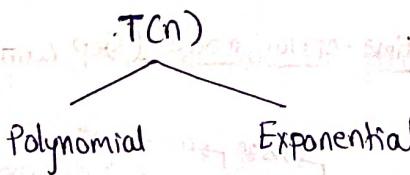
3. for  $i \leftarrow 1$  to  $n$  }  
 $\quad \quad \quad$  for  $j \leftarrow 1$  to  $n$  }  
 $\quad \quad \quad \quad \quad a \leftarrow b + c;$

}

$$T(n) = n^2 + n + 1$$

The objective of Apriori analysis representing running time of algorithm is to represent the time of an algorithm as a mathematical function of input size.

↳ derived or expressed using step count (or) order of magnitude

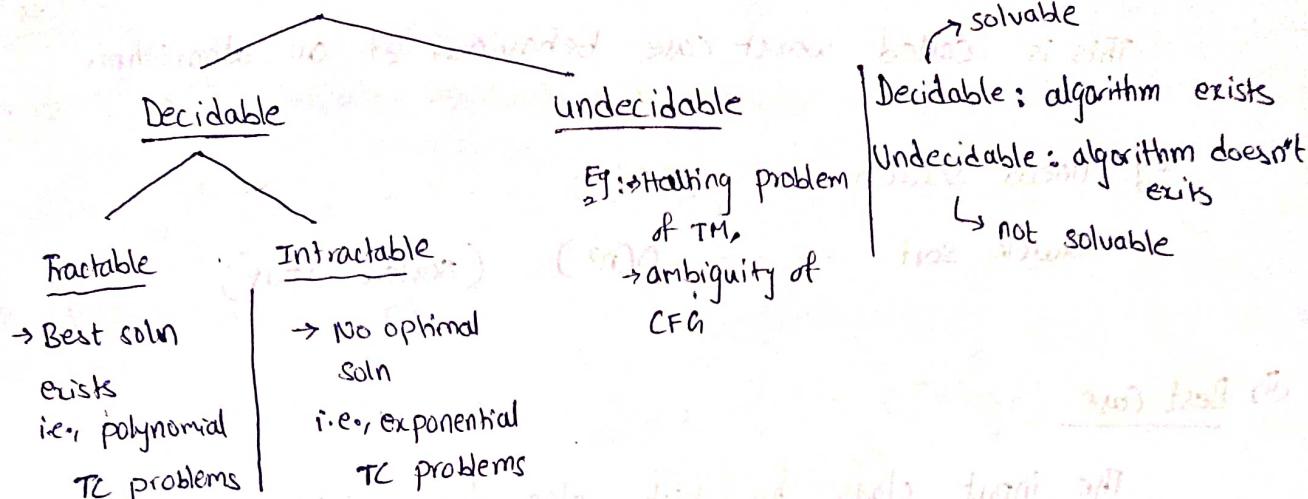


→ polynomial func have lesser rate of growth and exponential functions have faster rate of growth.

## Polynomial

constant linear quadratic cubic

## Problems



→ Tractable problems fall under the ~~cat~~ class of P (Polynomial)

→ NP Problems (Non-Deterministic Polynomial)

Those are problems that are solvable in polynomial time on non-deterministic TM.

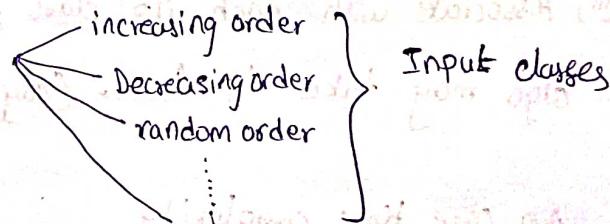
However they take exponential time on deterministic TM.

→ Most of the intractable problems are NP.

## Types of Analysis / Behaviours of algorithm:

→ Input of fixed size (say 'n')

$\langle a_1, a_2, \dots, a_n \rangle$



Let no of input classes be k.

Based on this we broadly classify the input classes into 3 categories

### (i) Worst-Case:

The input class for which algorithm does maximum work is called worst-case input. The corresponding time taken is called worst case time.

This is called worst case behaviour of an algorithm.

Eg: linear search  $\dots \dots O(n)$

Quick sort  $\dots \dots O(n^2)$  (sorted input)

### (ii) Best Case:

The input class for which algo does minimum work is best case & corresponding time is best case time.

Eg: Linear search  $\dots \dots O(1)$

Quick sort  $\dots \dots O(n \log n)$

### (iii) Average Case Analysis: (Not needed for gate)

This is carried out in 3 steps:

i) Determine all the input classes.

$\langle I_1, I_2, I_3, \dots, I_k \rangle$  (say size 'n')

ii) Determine the time taken by the algorithm for each input class. (say  $t_1, t_2, \dots, t_k$ )

iii) Associate with each i/p class, the probability with which algo may take i/p from. (say  $p_1, p_2, p_3, \dots, p_k$ )

Avg case time complexity

$$A(n) = \sum_{i=1}^k t_i * p_i$$

Let  $w(n)$ ,  $B(n)$ ,  $A(n)$  be worst case, best case, avg case times.

$$\Rightarrow B(n) \leq A(n) \leq w(n)$$

Note:

$\rightarrow B(n) = A(n) = w(n) \Rightarrow$  Uniform Behaviour

Eg: Merge Sort ...  $O(n \log n)$ .

$\rightarrow [B(n) = A(n)] < w(n)$

Eg: Quick Sort ...  $B(n) = A(n) = O(n \log n)$   
 $w(n) = O(n^2)$

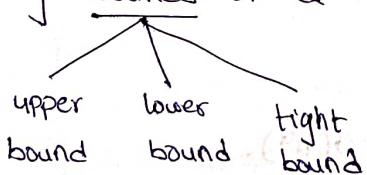
$\rightarrow B(n) < [A(n) = w(n)]$

Eg: linear search ...  $B(n) = O(1)$   
 $A(n) = w(n) = O(n)$

$\rightarrow B(n) < A(n) < w(n)$

## Asymptotic Notations (ASN):

Asymptotic notation is a mathematical tool which is used for representing bounds of a function.



### ASN

#### Big Notations

$\rightarrow$  Big-oh ( $O$ )

↳ upperbound

$\rightarrow$  Big-Omega ( $\Omega$ )

↳ lower bound

$\rightarrow$  Theta - tight bound

#### Small/little notations

$\rightarrow$  Small-oh ( $o$ ) ... Proper upperbound

$\rightarrow$  Small-omega ( $\omega$ ) ... Proper lowerbound

Let ' $f$ ' & ' $g$ ' be functions from set of real numbers to real numbers.

(ii) Big-omega  
 $f(x)$  is iff

(i) Big-Oh (O): (upper bound)

$f(x)$  is  $O(g(x))$

iff  $f(x) \leq Cg(x)$  for some  $C > 0$  whenever  $x > k$   
 $(k \text{ is a constant})$

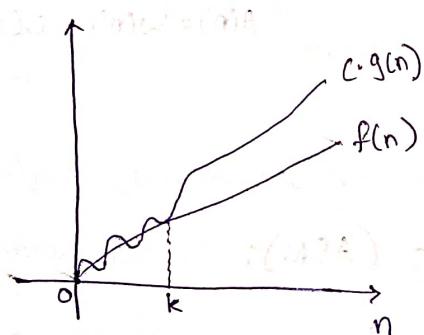
$$\therefore f(n) = 1 + n + n^2 = O(n^2)$$

$$1 + n + n^2 < n^2 + n^2 + n^2$$

$$f(n) < 3 \cdot n^2, \quad n \geq 1$$

$\leftarrow$   $\rightarrow$   
 $C \quad q(n)$

$$\therefore f(n) = O(n^2)$$



$$\text{also } f(n) = 1 + n + n^2 < 3n^3$$

$$\Rightarrow f(n) = O(n^3)$$

$\therefore f(n)$  is  $O(n^2)$ ,  $O(n^3)$ ,  ~~$O(n^4)$~~

$\therefore$  A function can have infinite number of upper bounds

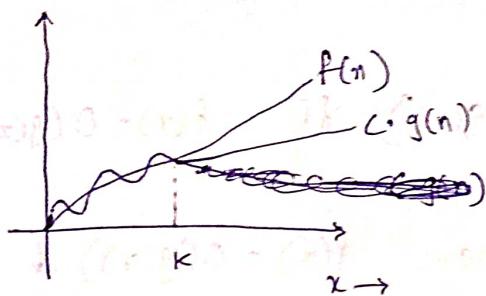
By default we consider the upper bound that is, ~~is~~ asymptotically closest to the given function.

$\therefore f(n) = O(n^2)$  is more appropriate.

(ii) Big-Omega ( $\Omega$ ): (Lower bound of a function)

$f(x)$  is called  $\Omega(g(x))$  iff  $f(x) \geq c \cdot g(x)$  for some  $c > 0$  &

whenever  $x > k$



$$f(n) = 1 + n + n^2 \geq n^2$$

$$\Rightarrow f(n) = \Omega(n^2)$$

$$\therefore f(n) = 1 + n + n^2 \geq 1 \Rightarrow f(n) = \Omega(1)$$

$$f(n) = 1 + n + n^2 \geq n \Rightarrow f(n) = \Omega(n)$$

$\therefore$  A function can have several lower bounds

$\therefore \Omega(n^2)$  is asymptotically closer to  $f(n)$

$\therefore f(n) = \Omega(n^2)$  is more appropriate.

$$\text{Ex: } f(n) = n - \begin{cases} O(n) \\ \Omega(n) \end{cases}$$

$$f(n) = 2^{100} - \begin{cases} O(1) \\ \Omega(1) \end{cases}$$

$$f(n) = n + \log n - \begin{cases} O(n) \\ \Omega(n) \end{cases} \quad \therefore n + \log n > n$$

(iii) Theta( $\theta$ ): (Tight bound)

$f(x)$  is equal to  $\Theta(g(x))$

iff  $c_1 \cdot g(x) \leq f(x) \leq c_2 \cdot g(x)$  &  $c_1 > 0$  &  $c_2 > 0$   
whenever  $x > k$

In other words

$$f(x) = \Theta(g(x)) \text{ iff } f(x) = O(g(x)) \text{ & } f(x) = \Omega(g(x))$$

∴ In the cases where  $f(x) = O(g(x))$  &  $f(x) = \Omega(g(x))$ , it  
is more appropriate representation would be

$$f(x) = \Theta(g(x))$$

Eg:

$$f(n) = 10^{10} \underset{\Omega(1)}{\llcorner} \therefore \Theta(1)$$

$$f(n) = n^2 + n \log n + 2^{100} \underset{\Omega(n^2)}{\llcorner} \therefore \Theta(n^2)$$

$$f(n) = \sum_{i=1}^{n-1} 1 \underset{\Omega(n)}{\llcorner} \therefore \Theta(n)$$

$$\therefore \sum_{i=1}^n i = n$$

$$f(n) = \sum_{i=1}^{100} 1 \underset{\Omega(1)}{\llcorner} \therefore \Theta(n)$$

$$f(n) = \sum_{i=1}^n n \cdot 1 \underset{\Omega(n^2)}{\llcorner}$$

$$\begin{aligned} \sum_{i=1}^n i &= \frac{n(n+1)}{2} \\ &\approx \frac{n^2}{2} \end{aligned}$$

$$f(n) = \sum_{i=1}^n i^2 \underset{\Omega(n^2)}{\llcorner}$$

$$\therefore \sum_{i=1}^n i^2 = O(n) \sum_{i=1}^n i = O(n^3)$$

$$f(n) = \sum_{i=1}^n O(n) \underset{\Omega(n^2)}{\llcorner}$$

$$f(n) = \sum_{i=1}^{100} O(n) \underset{\Omega(n)}{\llcorner}$$

$$\rightarrow f(x) = \sum_{i=1}^n i^2 < O(n^3)$$

~~O(n^3)~~

$$\rightarrow f(n) = \sum_{i=1}^n i^3 < O(n^4)$$

~~O(n^4)~~

$$* \rightarrow f(n) = \sum_{i=1}^n \frac{1}{x} < O(\log n)$$

~~O(\log n)~~

$$\left[ \begin{array}{l} \dots \\ \dots \\ \dots \end{array} \right] \quad \therefore \sum_{i=1}^n \frac{1}{x} = \int_1^n \frac{1}{x} dx$$

$$= (\log x)_1^n = \log n$$

$$\rightarrow f(n) = \sum_{i=1}^n 2^i$$

$$= 2^1 + 2^2 + \dots + 2^n$$

$$= 1 + 2 + 2^2 + \dots + 2^{n-1}$$

$$= \frac{2^{n+1}-1}{2-1} - 1$$

$$= 2^{n+1} - 2$$

$$\leq \cancel{O(2^n)} -$$

$$= O(2^n)$$

Note:

$$\sum_{i=1}^n O(i) \approx \text{for } i=1 \text{ to } n$$

$$a = a + b$$

$$\sum_{i=1}^n O(n) \approx \sum_{i=1}^n \sum_{j=1}^n O(j)$$

$$\text{for } i=1 \text{ to } n$$

$$\text{for } j=1 \text{ to } n$$

$$a = a + b$$

$\therefore f(n)$  is  $O(2^n)$

$f(n)$  is  $\Omega(2^n)$

$$\rightarrow f(n) = \sum_{i=1}^n i \cdot 2^i$$

$$f(n) = 1 \cdot 2 + 2 \cdot 2^2 + 3 \cdot 2^3 + \dots + n \cdot 2^n$$

$$2 \cdot f(n) = 1 \cdot 2^2 + 2 \cdot 2^3 + 3 \cdot 2^4 + \dots + n \cdot 2^{n+1}$$

$$2f(n) - f(n) = -2 - 2^2 - 2^3 - \dots - 2^n + n \cdot 2^{n+1}$$

$$\Rightarrow f(n) = 1 - (1+2+2^2+\dots+2^{n-1}) + n \cdot 2^{n+1}$$

$$f(n) = 1 + n \cdot 2^{n+1} - \frac{2^{n+1}-1}{2-1}$$

$$f(n) = 2 + n \cdot 2^{n+1} - 2^{n+1} = \underline{(n-1)2^{n+1} + 2}$$

$$\Rightarrow f(n) = O(n \cdot 2^n)$$

$$f(n) = \Omega(n \cdot 2^n)$$

$$\sum_{i=1}^n i \cdot 2^i = (n-1)2^{n+1} + 2$$

$$*\rightarrow f(n) = \sum_{i=1}^n \frac{1}{2^i}$$

$$= \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3} + \dots + \frac{1}{2^n}$$

$$= \frac{2^{n-1} + 2^{n-2} + \dots + 2^1 + 2^0}{2^n}$$

$$\sum_{i=1}^n \frac{1}{2^i} = 1 - \frac{1}{2^n}$$

$$\therefore f(n) = O(1) \quad \because 1 - \frac{1}{2^n} \leq 1$$

$$\rightarrow f(z) = \sum_{i=0}^{\infty} z^i \text{ & when } z < 1$$

$$\rightarrow f(z) = \frac{1}{1-z}$$

$$\therefore f(z) = O(1) \quad (\because \text{constant})$$

$$\rightarrow f(n) = \sum_{i=j}^n a^i = \frac{a^{n+1} - a^j}{a-1}$$

$$\Rightarrow f(n) = O(a^n)$$

$$\rightarrow f(n) = \prod_{i=1}^n 1 = O(1)$$

$$\rightarrow f(n) = \prod_{i=1}^n i$$

$$\Rightarrow f(n) = n!$$

$$= 1 \cdot 2 \cdot 3 \cdots n < n \cdot n \cdot n \cdots n \quad (\text{n times})$$

$\Rightarrow f(n) < n^n$

$$\therefore f(n) = n! = O(n^n)$$

However

$$f(n) \neq \Omega(n^n)$$

$$\begin{aligned} n! &= \Omega(n) \\ &= \Omega(n^2) \\ &= \Omega(2^n) \\ &= \Omega(3^n) \end{aligned}$$

$$\rightarrow f(n) = \log n!$$

$$\log n! < \log n^n$$

$$\Rightarrow \log n! < n \log n$$

$$\Rightarrow \log n! = O(n \log n)$$

$$\rightarrow f(n) = \sum_{i=1}^n \log i$$

$$= \log 1 + \log 2 + \cdots + \log n$$

$$= \log n!$$

$$= O(n \log n)$$

However  $f(n) \neq \Omega(n \log n)$

$$\begin{aligned} f(n) &= n! = O(n^n) \\ n! &\neq \Omega(n^n) \end{aligned}$$

$$n! = \Omega(n)$$

$$= \Omega(n^2)$$

$$= \Omega(2^n) = \Omega(3^n)$$

$$a^{\log_c b} = b^{\log_c a}$$

$$\rightarrow f(n) = (\log n)!$$

$$< (\log n)^{(\log n)}$$

$$\Rightarrow f(n) = O((\log n)^{\log n})$$

$$\sum_{i=1}^n \sqrt{i} = \sqrt{1} + \sqrt{2} + \cdots + \sqrt{n}$$

$$\therefore \int_1^n \sqrt{x} dx$$

$$\int x^{3/2} dx = \frac{2}{3} x^{3/2}$$

$$\therefore O(n^{3/2})$$

also  $(\log n)^{\log n} = n^{\log(\log n)}$

$$\Rightarrow f(n) = O(n^{\log(\log n)}) \quad (\because a^{\log_c b} = b^{\log_c a})$$

→ Smaller functions are in the order of bigger functions

$$\text{i.e., } f(x) = O(g(x))$$

↓                      ↓  
smaller                bigger function

→ Bigger functions are in the omega of smaller functions.

$$f(x) = \Omega(g(x))$$

↑                      ↓  
bigger                smaller function

Eg: if  $f(x) = O(g(x))$

$$\text{then } g(x) = \Omega(f(x))$$

Eg: Consider  $f(n) = n^2$  &  $g(n) = n \log n$

$$\Rightarrow f(n) = \Omega(g(n))$$

&

$$g(n) = O(f(n))$$

### Small / Little Notations :-

The bounds provided by big ~~o~~ notations ( $O, \Omega$ ) may or may not be asymptotically tight.

Eg:  $f(n) = n = O(n)$  is a tight upper bound

whereas  $O(n^2)$  is a loose upper bound

but  $f(n) = n = \Omega(n)$  is a tight lower bound

$f(n) = n = \Omega(\log n)$  is a loose lower bound.

However the bound provided by small notations are always loose.

E(iv) Small-oh ( $\circ$ ) : (Proper upper bound)

$f(x)$  is  $\circ(g(x))$

iff  $f(x) < c \cdot g(x)$ , for all  $c > 0$  &  $x > k$

$$\text{Ex: } f(n) = \begin{cases} O(n^2) & n \leq k \\ O(n^3) & n > k \end{cases}$$

$$\text{Ex: } f(n) = n^2 = O(n^2)$$

$$\neq \circ(n^2)$$

$$f(n) = n^2 = \circ(n^3)$$

$$= \circ(n^4)$$

$$\because n^2 < c \cdot n^3, \forall c > 0, n > 1$$

(v) Small-omega ( $\omega$ ) : (Proper lower bound)

$f(x)$  is  $\omega(g(x))$

iff  $f(x) > c \cdot g(x)$ , for  $c > 0$  &  $x > k$

$$f(n) = n^2$$

$$\Rightarrow f(n) = \Omega(n^2), \Omega(n \log n), \Omega(n), \dots$$

$$f(n) = \omega(n \log n), \omega(n), \dots$$

Analogy b/w ASNs & real numbers:

Let  $f$  &  $g$  be functions;  $a$  &  $b$  be real numbers.

$$f(n) \text{ is } O(g(n)) \Leftrightarrow a \leq b$$

$$f(n) \text{ is } \Omega(g(n)) \Leftrightarrow a \geq b$$

$$f(n) \text{ is } \Theta(g(n)) \Leftrightarrow a = b$$

$$f(n) \text{ is } \circ(g(n)) \Leftrightarrow a < b$$

$$f(n) \text{ is } \omega(g(n)) \Leftrightarrow a > b$$

Note :

$$\rightarrow f(n) = o(g(n)) \Rightarrow f(n) = O(g(n))$$

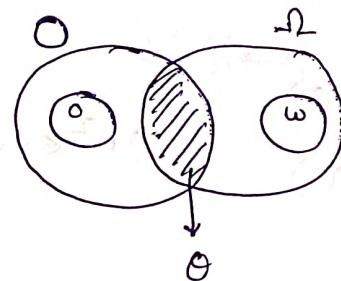
reverse need not to be true.

$$\Rightarrow o \subseteq O$$

$$\rightarrow f(n) = \omega(g(n)) \Rightarrow f(n) = \Omega(g(n))$$

reverse need not to be true

$$\Rightarrow \omega \subseteq \Omega$$



### Properties of Asymptotic Notations:

#### i) Discrete Properties:

	Big-Oh	Big-Omega	Theta	Small-oh	Small-Omega
Reflexive	✓	✓	✓	✗	✗
Symmetric	✗	✗	✓	✗	✗
Transitive	✓	✓	✓	✓	✓
Transpose Symmetry	$f(n)$ is $O(g(n))$ iff $g(n)$ is $\Omega(f(n))$			$f(n)$ is $o(g(n))$ if $g(n)$ is $\omega(f(n))$	

Reflexive:

$$f(n) = O(f(n))$$

Symmetric:

$$f(n) = \Omega(f(n))$$

If  $f(n) = O(g(n))$  then it not necessary

$$f(n) = \Theta(f(n))$$

that  $g(n) = O(f(n))$

$$f(n) \neq o(f(n))$$

∴ Big-oh is not symmetric

$$f(n) \neq \omega(f(n))$$

∴  $\Omega$  is not symmetric

Transitivity:

$$f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

$\therefore$  transpose transitive

say for  $\sim$  & theta,  $\circ, \omega$

### i) General Properties:

$\rightarrow$  if  $f(n) = O(g(n))$  then

$$a \cdot f(n) = O(g(n))$$

where  $a$  is a constant.

$\rightarrow$  If  $f(n) = O(g(n))$  and  $d(n) = O(e(n))$ , then

$$\text{i)} f(n) + d(n) = O(\max(g(n), e(n)))$$

$$\text{ii)} f(n) \cdot d(n) = O(g(n) \cdot e(n))$$

$\rightarrow \log n^c = O(\log n)$ , where  $c$  is constant

\*  $\rightarrow (\log n)^x = O(n^y)$  ( $x > 0, y > 0$ )

Proof:

$$(\log n)^x \circ n^y$$

log on both sides

$$\log((\log n)^x) \quad \log n^y$$

$$x \log(\log n) \quad y \log n$$

$$\therefore x \log(\log n) < y \log n \quad (\because x, y \text{ are constants})$$

$\therefore O(\log n)^x$

$$(\log n)^x = O(n^y)$$

$\rightarrow n^x$  is  $O(a^n)$ ,  $a > 1$

( $x$  &  $a$  are constants)

## Dominance Relation:

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Constants < logarithmic < polynomial < Exponential  
 & --- Asymptotically lesser.

Eg: which of the below is asymptotically larger?

$$f = \sqrt{n} \quad g = \log n$$

Sol: Given two functions

applying  $\log$

$$\log(f) = \log \sqrt{n} = \frac{1}{2} \log n$$

$$\log(g) = \log(\log n)$$

$$\Rightarrow \log(f) > \log(g)$$

$\Rightarrow f$  is asymptotically larger than  $g$

$$\Rightarrow g = O(f).$$

## Trichotomy Property:

trichotomy property of real numbers:

For any two real numbers  $a$  &  $b$  one of the below 3 conditions must hold.

$$(i) a > b$$

$$(ii) a < b$$

$$(iii) a = b$$

However, for any two functions it is not needed that they satisfy trichotomy property

$$\text{Eg: } f(n) = n; \quad g(n) = n^{1+\sin n}$$

$f$  &  $g$  here doesn't fall under any case

( $f \leq g$ ,  $f \geq g$ ,  $f \neq g$ )

Q1 Consider the equality  $\sum_{i=1}^n i^3 = x$  & the following choices

for  $x$

- a)  $\Theta(n^4)$  b)  $\Theta(n^5)$  c)  $\Omega(n^5)$  d)  $\Omega(n^3)$

Sol:

$$\sum_{i=1}^n i^3 = x = \frac{n^2(n+1)^2}{4} = \frac{n^4 + 2n^3 + n^2}{4}$$

$$= \Theta(n^4)$$

(or)

$$\Omega(n^4)$$

(or)

$$\Theta(n^4)$$

$\therefore$  opt a & b & c

Q2 Let  $w(n)$  &  $A(n)$  represent worst case and average case time of an algorithm of input size 'n'. which is always true?

- a)  $A(n) = \Theta(w(n))$  b)  $A(n) = \Omega(w(n))$   
 c)  $A(n) = \Theta(w(n))$  d)  $A(n) = o(w(n))$

Sol:

$w(n)$  could be sometime equal to  $A(n)$

$\therefore$  opt d is not possible.

$\therefore$  opt a

Ex: Check the correctness of below relations

i)  $100n \log n = O(n \log n)$  --- True

ii)  $2^{n+r} = O(2^n)$  --- True.

iii)  $2^{2n} = O(2^n)$  --- False

$\because 2^{2n} = (2^2)^n = 4^n > 2^n \therefore$  False

Note!

$$\rightarrow \log_b a = \frac{1}{\log_a b}$$

$$\rightarrow \log_b a = \frac{\log_x a}{\log_x b}$$

$$(i) (n+k)^m \neq O(n^m)$$

(k, m) > 0

False

(ix)  $a^n$   
Asymptotic  
2nd order

$$(v) \sqrt{\log n} = O(\log \log n)$$

True False

$$\sqrt{\log n} \leq \log \log n$$

$$\frac{1}{2}(\log \log n) \leq \log(\log \log n)$$

$$\Rightarrow \sqrt{\log n} \leq \log(\log \log n)$$

$$\therefore \sqrt{\log n} = O(\log \log n)$$

(vi) (vii) fl

$$(vi) \log n = \Omega(1/n)$$

True

$$*(vii) 2^{n^2} = O(n!)$$

False

if  $2^{n^2} = O(n!)$  then

$2^{n^2} = O(n^n)$  must be true too

$$\Rightarrow 2^{n^2} < n^n$$

$$\log 2^{n^2} < \log n^n$$

$$n^2 < n \log n$$

which is false

$$(viii) n^2 = O(2^{2\log n})$$

True

(iii)

$\Theta(n^2)$	$2^{2\log n}$
$\log n^2$	$\log 2^{2\log n}$
$2\log n$	$2\log(\log 2)$

(or)

$$2^{2\log_2 n} = 2^{\log_2 n^2} = n^2 (\log_2 2) = n^2$$

$\therefore$  true

ix)  $a^n \neq O(n^x)$   $a > 1, x > 0$  ---- True

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### Asymptotic Comparisons

Q2

(ii)  $f(n) = n^2 \log n$ ,  $g(n) = n \log^{10} n$   ~~$\log^2 n = \log(\log n)$~~

$$\begin{aligned}\log(f(n)) &= 2\log n + \log(\log n) & \log^2 n = (\log n)^2 \\ \log(g(n)) &= \log n + \log^{10} n \\ \log(f(n)) &> \log(g(n)) \\ \Rightarrow f(n) &= \Omega(g(n)) \\ \text{(or)} \\ \cancel{g(n)} &= \Theta(f(n))\end{aligned}$$

take common factors aside

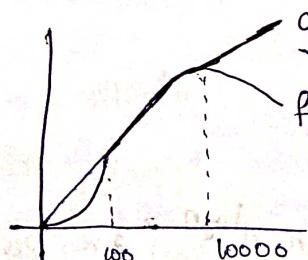
$$f(n) = (\underline{n \log n}) \underline{(n)}, g(n) = (\underline{n \log n}) (\underline{\log n})^9$$

so we compare

$$f(n) = n^{\underline{1}} \quad g(n) = (\underline{\log n})^9$$

$$\Rightarrow g(n) = \Theta(f(n))$$

(iii)  $f(n) = \begin{cases} n^3, & 0 \leq n \leq 10000 \\ n, & n > 10000 \end{cases}$   $g(n) = \begin{cases} n, & 0 \leq n \leq 100 \\ n^3, & n > 100 \end{cases}$



$$\therefore f(n) = \Theta(g(n)) \quad \forall n \geq 100$$

$$\text{also } f(n) = o(g(n)) \quad \forall n \geq 10000$$

H1/03

$$10 \cdot \log n < 0.0001 n^2$$

$$10 \cdot \log n < 10^{-4} n$$

$$\log n < 10^{-5} n$$

Let  $n = 10^x$

$$\log_{10} 10^x < 10^{-5} 10^x$$

$$x < 10^{-5}$$

$$\text{put } x=1 \Rightarrow 1 < 10^{-4}$$

$$x=2 \Rightarrow 2 < 10^{-3}$$

$$\Rightarrow x=5 \Rightarrow 5 < 10^0$$

$$\Rightarrow x=6 \Rightarrow 6 < 10^1$$

$\therefore$  Min value of  $x=6$

H1/04

$$\text{i) } n^2; n \log n; n\sqrt{n}; e^n; n; 2^n; 1/n$$

Sol:

$$n\sqrt{n} > n \log n$$

$$\sqrt{n} > \log n$$

$$\Rightarrow n\sqrt{n} > n \log n$$

( $\frac{1}{n} = O(1)$ )

ii)  $2^n; n^{3/2}; n \log n; n^{\log n}$

Sol:

$2^n$  is exponential

$n^{3/2}, n \log n, n^{\log n}$  are polynomial

$$n^{3/2} > n \log n$$

$n \log n$  &  $n^{\log n}$

log on both sides

$$\begin{array}{c|c|c} \log(n \log n) & \log(n^{\log n}) & \log(n^{3/2}) \\ \hline \log n + \log(\log n) & (\log n)(\log n) & \frac{3}{2} \log n \\ \hline O(\log n) & O((\log n)^2) & \end{array}$$

$$\therefore n^{\log n} > n \log n \text{ & } n \log n > n^{3/2}$$

$$\therefore n^{\log n} > n \log n$$

$$n \log n < n^{3/2} < n^{\log n} < 2^n$$

$$(iii) n^{1/3}; e^n; n^{3/4}; n \log^9 n; 1.001n$$

exponential

$$\begin{array}{c|c} n^{3/4} & n \log^9 n \\ \hline \log(n^{3/4}) & \log(n \log^9 n) \\ \hline \frac{3}{4} \log n & \log n + 9 \log(\log n) \\ \hline O(\log n) & O(\log n) \end{array}$$

Now we cancel out common terms &  
compare

$$\begin{array}{c|c} n^{3/4} & n \log^9 n \\ \hline \log(n^{3/4}) & \log(n \log^9 n) \\ \hline \frac{3}{4} \log n & 9 \log(\log n) \end{array} \Rightarrow n^{3/4} > n \log^9 n$$

$$\Rightarrow n^{1/3} < n \log^9 n < n^{7/4} < 1.001^n < e^n$$

H1/06

$$\begin{array}{c} g(n) \\ f(n) \end{array}$$

$$f(n) = O(g(n))$$

$$g(n) \neq O(f(n))$$

$\Rightarrow g(n)$  is loose upper bound of  $f(n)$

$$\begin{array}{c} g(n) = O(h(n)) \\ h(n) = O(g(n)) \end{array}$$

$$\therefore f < (g=h)$$

$$\therefore f(n) = O(h(n)) \quad (\text{transitive property})$$

$$f(n)+h(n) = O(g+h)$$

$$h(n) \neq O(f(n))$$

$$f(n) \cdot g(n) \neq O(g(n) \cdot h(n))$$

## Framework for analysis of non-recursive & recursive algorithm

H1/07

Naive/Brute force

Algo Leader (A[n])

{

for  $i=1$  to  $n$

{ for  $j=i+1$  to  $n$

{ if ( $A[i] < A[j]$ )

break;

}

if ( $j=n+1$ )

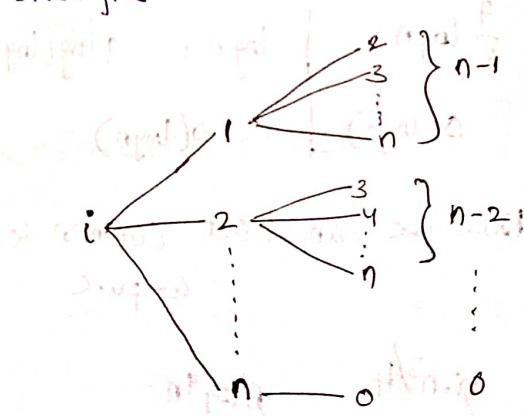
print( $A[i]$ );

}

TC:  $O(n^2)$

↓  
general problems  
without design  
strategies.

No of Comparisons



$$T(n) = 0 + 1 + 2 + \dots + n-1$$

$$= \frac{n(n-1)}{2}$$

$$\Rightarrow T(n) = O(n^2)$$

Optimized algorithm:

Algo LEADER ( $A[n]$ )

{

$L \leftarrow A[n];$

for  $i \leftarrow n-1$  down to 1

{ if ( $A[i] > L$ )

{

print( $A[i]$ );

$L \leftarrow A[i];$

}

}

}

TC:  $O(n)$

$\therefore$  Time complexity of efficient algorithm =  $O(n)$

(H1/08)

Let no of levels =  $k$

$\therefore$  height =  $k$

$$1+2+3+\dots+k = \text{no of nodes} = n$$

$$\frac{k(k+1)}{2} = n$$

$$k^2 + k - 2n = 0$$

$$k = \frac{-1 \pm \sqrt{1+8n}}{2}$$

$$\Rightarrow k = O(\sqrt{n})$$

(H1/09)

n MultiQueue operations

$$\Rightarrow O(1) + O(1) + \dots + O(1)$$

(n times)

$$\therefore O(n)$$

Assuming Queue is not initially empty

(H10)

Out of linear & circular queue circular is more efficient.

and in circular insertion & deletion can be done in  $O(1)$  time

$\therefore$  opt@

Calculate TC for below algorithm

(H11) Algorithm what( $n$ )

```
{
    if ( $n == 1$ ) return;
    else
    {
        what( $n - 1$ );
        B( $n$ )
    }
}
```

a) Assume TC of  $B(n) = O(1)$ :

(\*) TC of recursive algorithm is computed by deriving a recurrence relation.

Let  $T(n)$  represent TC of  $what(n)$

$$T(n) = \begin{cases} C, & n=1 \\ a + T(n-1) + b, & n>1 \end{cases}$$

↓                      ↓  
time for            comparison  
                        B( $n$ )

$$T(n) = \begin{cases} C, & n=1 \\ d + T(n-1), & n>1 \end{cases}$$

$$T(n) = T(n-1) + d \quad \textcircled{1}$$

$$T(n-1) = T(n-2) + d \quad \textcircled{2}$$

$$\textcircled{2} \text{ in } \textcircled{1} \Rightarrow T(n) = T(n-2) + 2d$$

$$= T(n-3) + 3d$$

$$T(n) = T(n-k) + kd$$

To terminate the condition

$$n-k=1$$

$$\Rightarrow k=n-1$$

$$T(n) = T(1) + (n-1)d$$

$$T(n) = c + dn - d$$

$$\therefore T(n) = O(n)$$

$$T(n) = \boxed{O(n)}$$

b) Assume TC of  $B(n) = O(n)$

$$T(n) = \begin{cases} c, & n=1 \\ c+a+T(n-1)+n, & n>1 \end{cases}$$

$$T(n) = a + T(n-1) + n$$

$$= a + (a + T(n-2) + n) + n$$

$$= 2a + T(n-2) + 2n(n+1)$$

⋮

$$= ka + T(n-k) + k(n+(n-1)+(n-2)+\dots+(n-(k-1)))$$

$$n-k=1 \Rightarrow k=n-1$$

$$T(n) = (n-1)a + T(1) + \cancel{(n-2)\dots n} (2+3+4+\dots+n)$$

$$= (n-1)a + c + \cancel{(n-2)\dots n} \frac{n(n+1)}{2} - 1$$

$$= n^2 - n + an - a + c$$

$$T(n) = n^2 - (1-a)n + (c-a)$$

$$\therefore T(n) = O(n^2)$$

c) Assume TC of  $B(n) = O(1/n)$

$$T(n) = \begin{cases} c, & n=1 \\ c+a+T(n-1)+\frac{1}{n}, & n>1 \end{cases}$$

$$T(n) = a + T(n-1) + \frac{1}{n}$$

$$= a + (a + T(n-2) + \frac{1}{n-1}) + \frac{1}{n}$$

$$= 2a + T(n-2) + \frac{1}{n} + \frac{1}{n-1}$$

$$= 2a + \left( T(n-3) + a + \frac{1}{n-2} \right) + \frac{1}{n} + \frac{1}{n-1}$$

$$= 3a + T(n-3) + \left( \frac{1}{n} + \frac{1}{n-1} + \frac{1}{n-2} \right)$$

$$= ka + T(n-k) + \frac{1}{n} + \frac{1}{n-1} + \frac{1}{n-2} + \dots + \frac{1}{n-(k-1)}$$

$$n-k=1 \Rightarrow k=n-1$$

$$= (n-1)a + T(1) + \frac{1}{n} + \frac{1}{n-1} + \frac{1}{n-2} + \dots + \frac{1}{2}$$

$$= (n-1)a + c + \underbrace{1 + \frac{1}{2} + \dots + \frac{1}{n}}_{\text{constant}} = O(n)$$

$$T(n) = (n-1)a + c + \log n + O(1)$$

$$\Rightarrow T(n) = O(n)$$

Note:

$$\rightarrow \text{If } T(n) = a + T(n-1) + \frac{1}{n}, \text{ then } T(n) = O(n)$$

$$\rightarrow \text{If } T(n) = T(n-1) + \frac{1}{n} + O(1), \text{ then } T(n) = O(\log n)$$

H/n

$$T(n) = \begin{cases} c, & n=2 \\ a + T(\sqrt{n}), & n>2 \end{cases}$$

$$T(n) = a + T(n^{1/2}) \Rightarrow O(n^{1/2})$$

$$= a + T(n^{1/4})$$

$$\approx 2a + T(n^{1/4})$$

$$= 2a + T(n^{1/2(2)}) \Rightarrow O(n^{1/2})$$

$$n^{1/2k} = 2$$

$$= T(n^{1/2k}) + ka \Rightarrow \frac{1}{2k} = \log_2 2$$

$$= T(2) + \left( \frac{1}{2} \log n \right) a$$

$$2k > \frac{1}{\log_2 2} \Rightarrow 2k = \log_2 n$$

$$k = \frac{\log n}{2}$$

$$\Rightarrow T(n) = O(\log n)$$

01/10/20

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#12

$$T(n) = \begin{cases} c, & n=2 \\ a + T(\sqrt{n}), & n>2 \end{cases}$$

$$T(n) = a + T(n^{1/2}) + a$$

$$T(n) = T(n^{1/4}) + 2a$$

$$T(n) = T((n^{1/4})^{1/2}) + 3a$$

$$T(n) = T(n^{1/8}) + 3a$$

$$T(n) = T(n^{1/2^k}) + ka$$

$$T(n) = T(2) + a \cdot \log_2 \log_2 n \quad \left| \begin{array}{l} n^{1/2^k} = 2 \\ \frac{1}{2^k} = \log_2 2 \end{array} \right.$$

$$T(n) = c + a \cdot \log_2 \log_2 n \quad \left| \begin{array}{l} 2^k = \log_2 n \end{array} \right.$$

$$\Rightarrow T(n) = O(\log_2 \log_2 n) \quad \left| \begin{array}{l} \Rightarrow k = \log_2 (\log_2 n) \end{array} \right.$$

Q: Find TC for below algorithm.

Algo A(n)

{  
if ( $n=2$ ) return

else

return  $A(\sqrt{n}) + n$ ;

}

$$T(n) = \begin{cases} c, & n=2 \\ a + T(\sqrt{n}), & n>2 \end{cases}$$

$$\Rightarrow T(n) = O(\log_2 \log_2 n)$$

H/14

$$T(n) = \begin{cases} c, & n=2 \\ a + 2T(\sqrt{n}), & n>2 \end{cases}$$

$$\begin{aligned} T(n) &= 2T(n^{1/2}) + a \\ &= 2[2T(n^{1/2^2}) + a] + a \\ &= 2^2 T(n^{1/2^2}) + 2a + a \\ &= 2^3 T(n^{1/2^3}) + 3a + 2a + a \end{aligned}$$

$$\log n^{1/2^k} = \log_2 2$$

$$= 2^k T(n^{1/2^k}) + k a$$

$$(a + 2a + \dots + 2^{k-1}a) \frac{1}{2^k} \log_2 n = 1$$

$$= 2^{\log(\log n)} T(2) + a(\log \log n) \quad 2^k = \log_2 n \quad k = \log \log n$$

~~$$T(n) = 2 \log_2 n + a(\log_2 \log_2 n)$$~~

~~$$T(n) = O(\log n)$$~~

$$= 2^k T(n^{1/2^k}) + a(2^k - 1)$$

$$= 2^{\log \log n} T(2) + a(2^{\log \log n} - 1)$$

$$= c \cdot \log n + a \cdot \log n - a$$

$$\therefore T(n) = O(\log n)$$

H/15

$$T(n) = \begin{cases} c, & n=1 \\ 2T(n-1) + a, & n>1 \end{cases}$$

$$T(n) \geq 2T(n-1) + a$$

$$\geq 2[2T(n-2) + a] + a$$

$$\geq 2^2 T(n-2) + 2a + a$$

$$\geq 2^3 [2T(n-3) + a] + 2a + a$$

H/15

(i) Ans

$$\begin{aligned}
 & 2^k T(n-2) + 2^k a + 2 \cdot a + b \\
 & = 2^k T(n-k) + (2^{k-1} + 2^{k-2} + \dots + 2+1)a \\
 & = 2^k T(n-k) + (2^{k-1})a + \left(\frac{2^k - 1}{2 - 1}\right)a \\
 & = 2^{n-1} T(1) + (2^{n-1}-1)a \\
 & = c \cdot 2^{n-1} + a \cdot 2^{n-1} \\
 & = (a+c)2^{n-1} - a \\
 \therefore T(n) & \in O(2^n)
 \end{aligned}$$

H/15

i) Assume  $B(n)$  is  $\Theta(n)$  or  $O(1)$

$$\Rightarrow T(n) = \begin{cases} c, & n=1 \\ 2T\left(\frac{n}{2}\right) + a+1, & n>1 \end{cases}$$

Comparison  $B(n)$

$$T(n) = 2T\left(\frac{n}{2}\right) + a \quad (\because a+1 \text{ is const})$$

$$T \approx 2 \left[ 2T\left(\frac{n}{4}\right) + a \right] + a$$

$$= 2^2 \left[ 2T\left(\frac{n}{2^2}\right) + 2a + a \right]$$

$$= 2^2 \left[ 2T\left(\frac{n}{2^3}\right) + 2a + 2a + a \right]$$

$$= 2^3 \left[ 2T\left(\frac{n}{2^3}\right) + 2^2a + 2a + a \right]$$

$$\Rightarrow T = 2^k T\left(\frac{n}{2^k}\right) + a(2^{k-1} + 2^{k-2} + \dots + 2+1)$$

$$= 2^k T\left(\frac{n}{2^k}\right) + (2^k - 1)a \quad \frac{n}{2^k} = 1$$

$$= 2^{\log_2 n} T(1) + (2^{\log_2 n} - 1)a \quad 2^k = n$$

$$= nc + an - a$$

$$\Rightarrow T(n) = O(n)$$

Assume  $B(n)$  is  $O(n)$

(ii)  $T(n) = \begin{cases} c, & n=1 \\ 2T\left(\frac{n}{2}\right) + a + n, & n>1 \end{cases}$

q3

4/16

$$\begin{aligned} \Rightarrow T(n) &= 2T\left(\frac{n}{2}\right) + n + a \\ &= 2\left[2T\left(\frac{n}{4}\right) + \frac{n}{2} + a\right] + n + a \\ &= 2^2 T\left(\frac{n}{2^2}\right) + n + 2a + n + a \\ &= 2^2 T\left(\frac{n}{2^2}\right) + 2n + 2a + a \\ &= 2^2 \left[2T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} + a\right] + 2n + 2a + a \\ &= 2^3 T\left(\frac{n}{2^3}\right) + n + 2^2 a + 2n + 2a + a \\ &= 2^3 T\left(\frac{n}{2^3}\right) + 3n + (2^2 + 2 + 1)a \end{aligned}$$

$\Rightarrow :$

$$\begin{aligned} &= 2^k T\left(\frac{n}{2^k}\right) + kn + (2^{k-1} + 2^{k-2} + \dots + 2 + 1)a \\ &= 2^k T\left(\frac{n}{2^k}\right) + kn + (2^{k-1})a \\ &= 2^{\log_2 n} T(1) + (\log n)(n) + (2^{\log_2 n - 1})a \quad \begin{cases} \frac{n}{2^k} = 1 \\ \Rightarrow 2^k = n \\ k = \log_2 n \end{cases} \\ &= \cancel{\log(c)} + a \log n \end{aligned}$$

$$= \cancel{c} \log n + n \log n + an - a$$

$$\Rightarrow T(n) = O(n \log n)$$

W/16

$$T(n) \begin{cases} 2 & , n=2 \\ \sqrt{n} T(\sqrt{n}) + n & , n>2 \end{cases}$$

$$T(n) = n^{1/2} T(n^{1/2}) + n$$

$$= n^{1/2} \left[ n^{1/4} T(n^{1/4}) + n^{1/2} \right] + n$$

$$= n^{\frac{1}{2} + \frac{1}{4}} T(n^{1/4}) + n + n$$

$$= n^{\frac{1}{2} + \frac{1}{2^2}} T(n^{1/4}) + 2n$$

$$= n^{\frac{1}{2} + \frac{1}{2^2}} \left[ n^{\frac{1}{8}} T(n^{1/8}) + n^{1/4} \right] + 2n$$

$$= n^{\frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3}} \left[ n^{\frac{1}{16}} T(n^{1/16}) + n^{\frac{1}{8}} \right] + 2n$$

$$= n^{\frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3}} T(n^{1/16}) + 3n$$

$$= n^{\frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^k}} T(n^{1/2^k}) + kn \quad n^{1/2^k} = 2$$

$$\frac{1}{2^k} = \log_2 2$$

$$= n^{\frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^k}} T(n^{1/2^k}) + kn \quad \frac{1}{2^k} = \log_2 n$$

$$= n \cdot n^{-\frac{1}{2^k}} T(n^{1/2^k}) + kn \quad k = \log \log n$$

$$= n \cdot \frac{1}{n^{1/2^k}} T(n^{1/2^k}) + kn$$

$$= n^{\frac{1}{2}} T(2) + n \cdot \log \log n$$

$$= n + n \log \log n$$

$$T(n) = O(n \log \log n)$$

14/17

Best case:

Best case is when less no of steps are executed

$\therefore$  2 recursive calls per one call will be called

$$\text{Ans} \quad T(n) = 2T\left(\frac{n}{2}\right) + a \quad \dots (1)$$

$$\Rightarrow T(n) = 2T\left(\frac{n}{2}\right) + a \quad \dots (1)$$

$$\therefore T(n) = 2^k T\left(\frac{n}{2^k}\right) + (2^k - 1)a \quad \dots (2)$$

$$2^k = n$$

$$k = \log n$$

$$= k \log n T(1) + (n-1)a$$

$$\therefore \Rightarrow T(n) = O(n)$$

Worst case:

Worst case is when more no of recursive calls are executed per each call

$$T(n) = 8T\left(\frac{n}{2}\right) + a$$

$$= 8^2 T\left(\frac{n}{2^2}\right) + 8a + a$$

$$= 8^3 T\left(\frac{n}{2^3}\right) + 8^2 a + 8a + a$$

$$\Rightarrow 8^k T\left(\frac{n}{2^k}\right) + a(8^{k-1} + 8^{k-2} + \dots + 8a + a)$$

$$\frac{n}{2^k} = 1$$

$$\therefore k = \log n$$

$$= 8^{\log_2 n} T(1) + a\left(8^{\log_2 n} - 1\right) \quad \therefore 8^{\log_2 n} = 2^{\log_2 n}$$

$$= n^3(1) + a(n^3 - 1)$$

$$\therefore T(n) = O(n^3)$$

Note:

From previous problem (we can say)

$$\text{if } T(n) = aT(n/2) + c \text{ then}$$

either  $a > 1$  or  $a = 1$  or  $a < 1$

$$T(n) = O(n^{\log_2 a}) \quad \left\{ \begin{array}{l} a > 1 \\ a = 1 \\ a < 1 \end{array} \right.$$

$$\text{Silly if } T(n) = aT(n/b) + c \text{ then}$$

$$T(n) = O(n^{\log_b a})$$

$$\text{if } a=1, \text{ then } T(n) = O(\log_b n)$$
  
$$(in both cases)$$

(H18)

's' is a string of length  $n$

$$T(n) = \begin{cases} c, & n=0 \\ 2T(n-1) + a, & n>1 \end{cases}$$

$$T(n) = 2T(n-1) + a$$

$$= 2[2T(n-2) + a] + a$$

$$= 2^2 T(n-2) + (2^2 - 1)a$$

$$= 2^k T(n-k) + (2^k - 1)a \quad \begin{array}{l} n-k=0 \\ \Rightarrow k=n \end{array}$$

$$= 2^{n-k} T(1) + (2^{n-k} - 1)a$$

$$= 2^{n-k} \cdot c + 2^{n-k} \cdot a - a$$

$$\Rightarrow T(n) = O(2^n)$$

Note:

$$1) T(n) = T(n-1) + C \rightarrow O(n)$$

$$2) T(n) = T(n-1) + n \rightarrow O(n^2)$$

$$3) T(n) = T(n-1) + \frac{1}{n} + a \rightarrow O(n)$$

$$4) T(n) = T(n-1) + \frac{1}{n} \rightarrow O(\log n)$$

- 5)  $T(n) = 2T(n)$
- 6)  $T(n) = 2T(n/2)$
- 7)  $T(n) = T(\sqrt{n})$
- 8)  $T(n) = 2T(\sqrt{n})$
- 9)  $T(n) = 2^T$
- 10)  $T(n) = 2^{\log n}$
- 11)  $T(n) = T(\log n)$

Analysing running time

→ for  $i \leftarrow 1$  to  $n$

$c = c + 1$

    Total cost  $\rightarrow n$

→ for  $i \leftarrow 1$  to  $n$

    for  $j \leftarrow 1$  to  $n$

$c = c + 1$

        Total cost  $\rightarrow n^2$

→ for  $i \leftarrow 1$

    for  $j \leftarrow 1$

$c = c + 1$

- 5)  $T(n) = 2T(n-1) + C \dots O(2^n)$
- 6)  $T(n) = 2T(n-1) + n \dots O(n \cdot 2^n)$  (solve it)
- 7)  $T(n) = T(\sqrt{n}) + C \dots O(\log(\log n))$
- 8)  $T(n) = 2T(\sqrt{n}) + C \dots O(\log n)$
- 9)  $T(n) = 2T(n/2) + C \dots O(n)$
- 10)  $T(n) = 2T(n/2) + n \dots O(n \log n)$  (solve)
- 11)  $T(n) = T(n/2) + C \dots O(\log n)$  (n>1) slides

### Analysing running time of program segments with loops:

→ for  $i \leftarrow 1$  to  $n$  : ~~repetitions~~ n times best approach

initial value of  $C = C+1$  repeats  $n$  times

∴ ~~TC~~  $TC = O(n)$

→ for  $i \leftarrow 1$  to  $n$  : ~~repetitions~~  $n$  times

(initial)  $C = 0$  for  $j \leftarrow 1$  to  $n/2$  :  $n/2$  times

$C = C+1$ ;  $\rightarrow n \cdot \frac{n}{2}$  time

$$\text{TC} = (n)(\frac{n}{2}) = \frac{n^2}{2} = O(n^2)$$

If initial value of  $C$  is 0, then

value of  $C$  in the end will be  $\frac{n^2}{2}$

→ for  $i \leftarrow 1$  to  $n$  :  $n$  times

for  $j \leftarrow 1$  to  $n/4$  :  $n/4$  times

for  $k \leftarrow 1$  to  $n$  : 1 time (i.e.,  $n \cdot \frac{n}{4}$  times)

break;  $\rightarrow n \cdot \frac{n}{4}$  times

$$\therefore TC: n \cdot \frac{n}{4} \Rightarrow TC: O(n^2)$$

TC:  $\Theta(n^2 \cdot 2^n) = O(n^2 \cdot 2^n)$  -  $\Theta(2^n)$  (exponential) +  $O(n^2)$  (linear)

value of  $c$  in the end =  $2n^2$

Assume that above loop is repeated k times

$\Rightarrow 2^{k+1} > n$  we repeat the loop until  
 $k+1 \rightarrow \log n$  below condition is violated  
 $2^k \leq n$

$\therefore TC: O(\log n)$

$\rightarrow i=n;$        $f(n) = \frac{n}{2} + (\frac{n}{2})^{\log_2 \frac{n}{2}} = O(n)$

while( $i > 0$ )

{     $i := i/2;$        $T(n) = O(\log n)$

}

$\rightarrow$  For  $(i=1; i < n; i++)$  - ~~for~~  $i = 1 \dots n$   
 $\quad \text{for } (j=1; j < n; j = 2*j)$ ;  $j = 2^{\log n}$   $\Rightarrow$   $O(n \log n)$

$\rightarrow m = 2^n$

for ( $i=1$ ;  $i \leq n$ ;  $i++$ ) -----  $n$

for ( $j=1$ ;  $j < m$ ;  $j = 2^*j$ ) -----  $\log_2 m = n$

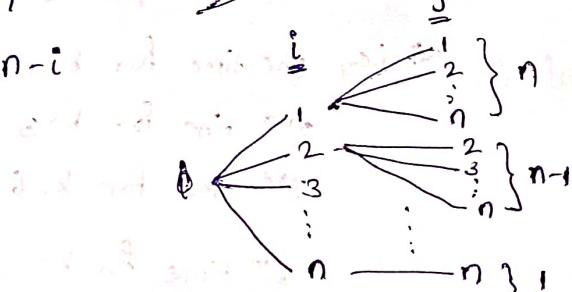
$c = c + 1$ ;

$TC: O(n^2)$

$\rightarrow$  for  $i \leftarrow 1$  to  $n$  do  $i = i - n$  loop. unfolding has to done if loop variables depend on each other

for  $j \leftarrow i$  to  $n$  -----  $n-i+1$  times

$c = c + 1$ ;



$$TC = 1 + 2 + 3 + \dots + n$$

$$= \frac{n(n+1)}{2} = O(n^2)$$

$$\text{value of } c = \frac{n(n+1)}{2}$$

$\rightarrow i = n;$

while ( $i > 0$ ) -----  $\log_2 n$

{

$j = 1$ ,

while ( $j \leq n$ ) -----  $\log_2 n$

{ into infinite loop set

solve  $j = 2^*j$ , -----  $\therefore (\log_2 n)(\log_2 n)$

$i = \lceil \frac{i}{2} \rceil$  { i is odd

} { C) back

$j = 2^*j$  has max frequency

i.e., it is executed  $(\log_2 n)^2$  times

$\therefore TC: O(\log^2 n)$

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→  $k=1; i=1;$

while ( $k \leq n$ )

{  
     $i++;$

$k = k + i;$

}

Assume that loop is repeated  $x$  times

• i.e., one time for  $k=1$

• 2nd time for  $k=3$

• 3rd time for  $k=6$

• ...  
•  $x^{\text{th}}$  time for  $k = 1+2+\dots+x$

$$\Rightarrow 1+2+3+\dots+x \leq n$$

$$\frac{x^2+x}{2} \leq n$$

$$x^2+x-2n \geq 0$$

$$x^2+x \leq 2n$$

$\therefore x$  is  $O(\sqrt{n})$

(PQW)

→ int fun(int n)

{

    int i, j, p, q = 0;

    for (i=1; i<=n; ++i)

{

        p=0;

        for (j=n; j>1; j=j/2)

            ++p;

    for (k=1; k<p; k=k\*2)

}

    return (q);

}

For the function given,

to find order of value

returned by  $q$ .

$q = O(?)$

j loop runs  $\log_2 n$  times  
 $\Rightarrow P = \log_2 n$

k loop runs  $\log_2 p$  times

$\Rightarrow$  i.e., log logn times

$\Rightarrow$  for every  $j$  outer loops iteration  $q$  value is incremented by  $\log \log n$ .

Outer loop runs 'n' times.

$\therefore$  order of value  $q$  is  $n \log \log n$

→ int fun(&int n)

9

int i,j,p,q=0;

$$P=0^\circ$$

```
for(i=10; i<=n; i++)
```

for ( $j = n$ ;  $j > 1$ ;  $j = j/2$ )

$\frac{1}{2} + P \geq$

for ( $k=1$ ;  $k < p$ ;  $k = k + 2$ )

十一

}

return q;

}

TC of the program  
on the left side is  
 $O(n \log n)$

↳ Solve it

For every 'j' loop iteration execution p is ~~step~~ incremented by 2<sup>n</sup>

$$i=1 \quad i=2 \quad i=3 \quad \dots = \quad i=n$$

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$$q = \log p \quad q^* = \log P \quad q_t = \log p$$

$$\log \log n = \log(2\log n) = \log(3\log n) = \dots = \log(n\log n)$$

$$\Rightarrow Q = \log(\log n) + \log(2\log n) + \log(3\log n) + \dots + \log(n\log n)$$

$$= \log(\log n) + \log 2 + \log \frac{\log n}{\log 2} + (\log 3 + \log \log n) + \dots + (\log n) + \log \log n$$

$$= n \log(\log n) + \log n! \Rightarrow \text{Order } 2 = O(\log n!) = O(n \log n)$$

→ Find TC of below code

```
for (i=1; i<=n; i++) ----- n
{
    j=1; ----- 2^k steps to j=2^k
    while(j<=n) ----- log n
        j=2*j; 2^k steps to j=2^(k+1)
        for(k=1; k<=n; k++) ----- n
            c=c+1;
}
Time = n (1+logn+n)
```

$$= n + n \log n + n^2$$

⇒ TC:  $O(n^2)$

→ ~~n=2^k~~  $n=2^{2^k}$

```
for (i=1; i<=n; i++)
{
    j=2; 2^k steps to j=2^k
    while(j<=n)
    {
        j=j*j;
        print("*");
    }
}
```

for one iteration of outer loop: ~~2^k~~ steps to j=2^k

$$\begin{array}{c} j = 2 \\ | \\ j = 2^2 \\ | \\ (2^2)^2 = 2^4 \\ | \\ (2^4)^2 = 2^8 = 2^k \\ | \\ (2^8)^2 = 2^{16} \\ | \\ \dots \\ | \\ j = 2^{2^k} = n \end{array}$$

while loop runs  $k+1$  times

⇒  $k+1$  \*'\*'s are printed

∴ total no of stars printed  $\geq n(k+1)$

$$n=2^{2^k}$$

$$n(k+1) \geq n(\log \log n + 1) \Rightarrow n \log \log n + n \geq n \log \log n + 1$$

$$k > \log \log n$$

101

→ A: Array [1...n] of binary digits. Total no. of bits = m

$$f(m) = \Theta(m)$$

```

count : integer;
count = 1;
for i := 1 to n
{
    if (A[i] == 1) count++;
    else
    {
        f(count);
        count = 1; // line 1
    }
}

```

i) What is best case & worst case T.C. for above program?

Sol:

Case I:

all bits are 1

$$TC: O(n)$$

Case II: all bits are 0

$$TC: O(n)$$

Case III:  $A[1 \text{ to } n-1] = 1 \text{ & } A[n] = 0$

$$TC: (n-1) + O(n) = O(n)$$

Case IV: first ~~that~~  $A[1 \text{ to } n/2] = 1 \text{ & } A[n/2+1 \text{ to } n] = 1$

~~time~~:  $i = 1 \text{ to } n/2 \quad i = n/2 + 1 \quad i = n/2 + 2 \text{ to } n$

$$\text{time} : n/2 + \frac{n}{2} + 1 + O(n/2 - 1)$$

$$\Rightarrow TC: O(n), \text{ i.e., } O(n/2 + n/2 + 1)$$

Case V:

Alternative 1's & 0's

$$TC: O(n)$$

\* Here we can observe that irrespective of the sequence the TC is  $O(n)$ .

$\therefore$  Best case:  $O(n)$

Worst case:  $O(n)$

(ii) First Deleting line 1, determine the best & worst case TC.

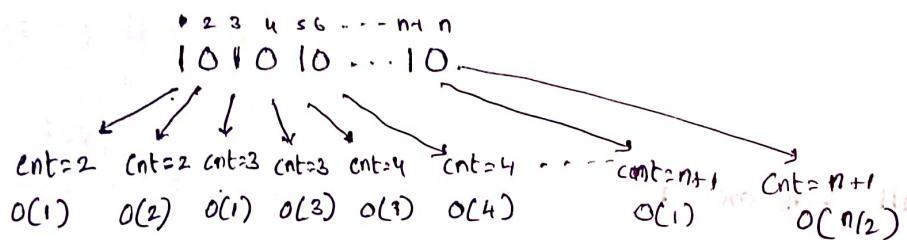
Sol:

If all inputs are 1 - then

$O(n)$  --- Best case.

Worst case:

i) Considering case with alternate 1's & 0's



$$\Rightarrow O(1) \frac{n}{2} + O\left[2+3+4+\dots+n/2\right]$$

$\Rightarrow O(n^2)$  --- Worst case

ii) Consider case with first half are 1's and next half are 0's.

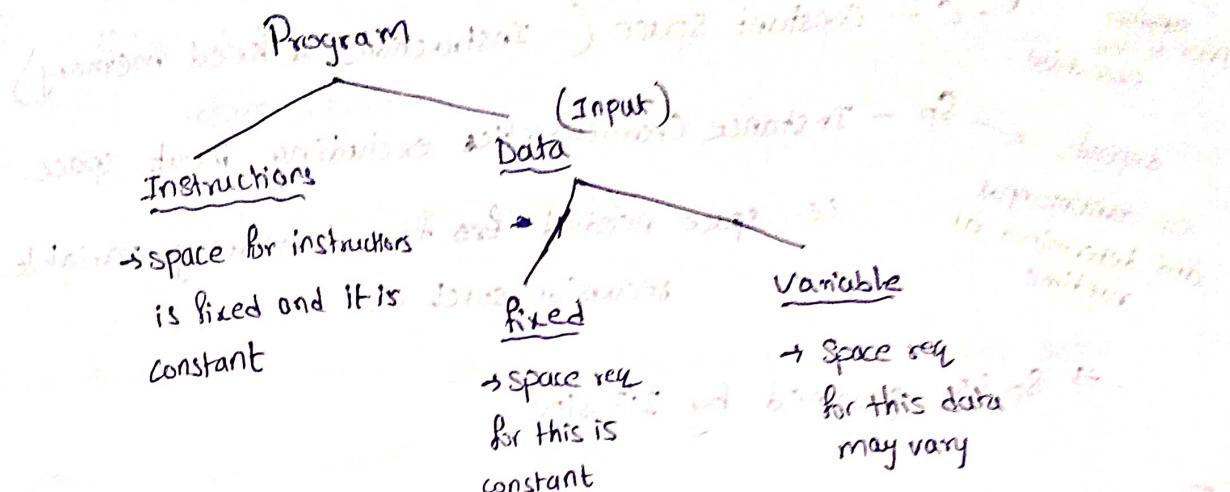
$$i=1 \text{ to } n/2 \quad i=n/2+1 \quad (n/2+1) \dots n \quad i=n/2+n/2=n$$

$$O(n/2) \quad O(n/2) \quad O(n/2) \quad \dots \quad O(n/2)$$

$$\therefore \text{time} = O(n/2) + \frac{n}{2} \cdot O(n/2)$$

$$= O(n^2) \text{ --- Worst case}$$

## Space Complexity



→ space complexity is not for program instructions or not for data.

Defn:

The space used by an algorithm is defined as the number of memory words needed to carry out the computational steps required to solve an instance of the problem, excluding the space allocated to hold the input. In other words, it is only workspace required by the algorithm.

- All definitions of order of growth & asymptotic bounds pertaining to time complexity equally applies to space complexity.
- The workspace (space complexity) cannot exceed the running time of an algorithm, since writing into each memory cell requires atleast a constant amount of time.

i.e., if  $T(n)$  = time complexity &

then if  $S(n)$  = space complexity then

$$S(n) = O(T(n))$$

Space Complexity ( $S$ ) =  $C + S_p$

Determined  
prior to the  
execution

$\hookrightarrow C$  - Constant space (Instructions & Read memory)

$S_p$  - Instance characteristics excluding input space.  
depends on machine input  
and determined at runtime  
 $\hookrightarrow$  space needed for temporary variable,  
recursion stack etc.

$\hookrightarrow S_p$  is governed by IIP size

Eg: void swap(int a, int b)

{ int t;

$t = a;$

$a = b;$        $\hookrightarrow$  additional workspace used  
     $b = t;$       variable

TC:  $O(1)$

initialization of local variables is constant time complexity  
so it contributes to space complexity

size(t) : workspace = time complexity

size(t) : 2 bytes =  $O(1)$

$$\therefore S = C + S_p$$

$$= 2 + 0$$

constant workspace usage =  $O(1)$  time complexity

①

Eg: Algorithm sum(A,n) for n: number of elements in array A

A[1...n], n: int

TC:  $O(n)$

int i, sum = 0;  $\hookrightarrow$  function's local variable

for i ← 1 to n

    sum = sum + A[i];

A[1...n] are inputs

$\therefore A[1...n]$  doesn't contribute to Space complexity

variables i, sum contribute to space complexity

$$S = C + S_p = 4 \text{ bytes} + 0$$

$$= O(1)$$

Eg: Algo Rsum(A, n)

(II)  $\{$

- $\quad \text{if } (n == 1)$
- $\quad \quad \text{return } A[1];$
- $\quad \text{else}$
- $\quad \quad \text{return } (\text{Rsum}(A, n-1) + A[n]);$

$\}$

Since recursion is used we need consider size of stack used.

stack contains activation records.

Activation record has formal parameters, local variables, return address etc.

$\therefore$  size of activation record is constant.

Here we need  $O(n)$  activation records.

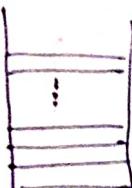
$\therefore$  amount of space used in stack

$$= O(n) * C = O(n)$$

$$\therefore \text{Space complexity} = C + Sp$$

$$= O(1) + O(n)$$

$$= O(n)$$



+ workspace  
of this algorithm

Time complexity =  $O(n)$

Observation:

Both algo I & algo II does the same job of computing sum of array elements.

Algo I  $\leftarrow$  TC:  $O(n)$   
SC:  $O(1)$

Algo II  $\leftarrow$  TC:  $O(n)$   
SC:  $O(n)$

$\therefore$  Algo I is space efficient.

Algo II is space inefficient.

## General rule:

An algorithm is said to be space efficient, if its space requirement is  $O(1)$  or atmost  $O(\log n)$  in case of recursive algorithm.

Eg: Algo Test ( $A, n$ )

$A[1..n, 1..n]$ ,  $n$ : int  $\leftarrow$  input

{

function ( $B[1..n], i$ ) { and choose initial state}

for  $i \leftarrow 1$  to  $n$

    if  $B[i] = A[i, i]$  then addition to  $B[i]$

}

:

    else

$Tc: O(n)$  take a step to  $B[i]$

$B, i$  are considered workspace.

$$S = c + sp \quad \text{initial activation record}$$

$$= \underbrace{\text{size}(i)}_{\downarrow} + \underbrace{\text{size}(B)}_{\downarrow}$$

$$= k + O(n)$$

$$\therefore SC = O(n) \quad (O(n) = \text{activation record})$$

Eg: Algo A( $n$ )

{

    if ( $n=1$ ) then return; else  $TC: O(\log n)$

    else

{

        A( $n/2$ );

}

}

space complexity is calculated by amount of space used by stack.

$\Rightarrow$  no of recursive calls =  $\log n$

$\Rightarrow$  space =  $(\log n)(\text{size of activation record})$

$$S = c + sp = c + \log n * c = O(\log n)$$

Eg:

recur( $n$ )

{

if (

else

{

space  
recursive

Eg: A(n)  
{ if ( $n=2$ ) return;  
else  
    return (A( $\frac{n}{2}$ ));  
}

Space complexity in this case is order of no of rec. calls.

let  $S(n)$  denote no of recursive calls.

$$\begin{aligned} \Rightarrow S(n) &= 1 + S(\frac{n}{2}) \\ &= 1 + S(n^{1/2}) \\ &= 1 + 1 + S(n^{1/2^2}) \\ &= 2 + S(n^{1/2^2}) \\ &\vdots \\ &= k + S(n^{1/2^k}) \end{aligned}$$

If  $k$  is no of recursive calls.

$$\begin{aligned} \text{then } \frac{n}{2^k} &= 2 \\ \Rightarrow n &= 2^{k+1} \\ \Rightarrow 2^k &= \log_2 n \end{aligned}$$

$\therefore$  Space Complexity  $\approx O(\log \log n)$

Eg: recur(n)  
{  
if ( $n=1$ ) return;  
else  
{  
    recur( $n/2$ );  
    recur( $n/2$ );  
    B(n);  
}  
}

let  $S(n)$  denote no of recursive calls.

of space

~~s(n) = order of no of recursive calls~~

$$= 1 + 2s(n/2)$$

$$= 2[2 \cdot s(n/2) + 1] + 1$$

$$= 2^2 s(n/2) + 2^2 - 1$$

$$= 2^k s(n/2^k) + 2^k - 1$$

If there is one recursive call then

$$\frac{n}{2^k} = 1$$

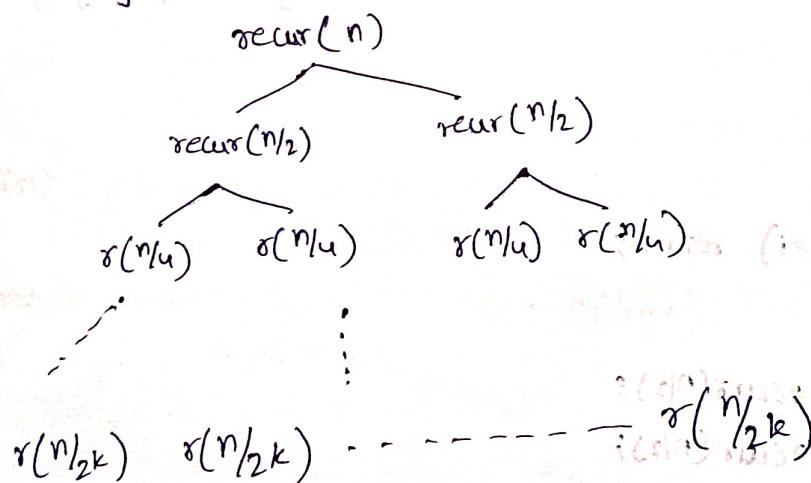
$$\Rightarrow k = \log_2 n$$

$$\begin{aligned}s(n) &= 2^{\log_2 n} s(1) + 2^{k-1} 2^k - 1 \\&= n + n - 1 \\&= 2n - 1\end{aligned}$$

$\therefore$  no of recursive calls =  $2n - 1$

However observing the recursion stack, the depth of recursion

stack is only  $\approx k$  i.e.  $\log_2 n$



$\therefore$  space complexity = depth of recursion stack

$$= O(k) = O(\log n)$$

# Design Strategies

## Divide & Conquer

→ Find value of c, d time complexity for below code segment.

for  $i \leftarrow 1$  to  $n-1$ , ~~name~~ ad of ~~binary search~~  $A[i]$

    for  $j \leftarrow i+1$  to  $n$ , ~~name~~ ad of ~~binary search~~  $A[j]$

        for  $k \leftarrow j+1$  to  $n$ , ~~name~~ ad of ~~binary search~~  $A[k]$

$c = c + 1$ ; ~~name~~ ad of ~~binary search~~  $A[k]$

TC:  $O(n^3)$  ~~name~~ ad of ~~binary search~~  $A[i]$

$$c = \frac{(n-1)n(n+1)}{6}$$

→ Find value of c & TC for below code segment

~~name~~ ad of ~~binary search~~  $A[i]$  ~~name~~ ad of ~~binary search~~  $A[j]$

    for ( $i=1$ ;  $i \leq n$ ;  $i++$ ) ~~name~~ ad of ~~binary search~~  $A[i]$

        for ( $j=1$ ;  $j \leq i \cdot i$ ;  $j++$ )

            if  $((j \% i) == 0)$

                for ( $k=1$ ;  $k \leq j$ ;  $k++$ )

$c = c + 1$ ;

TC:  $O(n^4)$

$$c = \frac{n(n+1)(3n^2 + 7n + 2)}{48}$$

04/10/20

## Divide & Conquer

- This strategy is used when the problem (its input) is large / complex.
- so we divide it into subproblems ~~and~~ until the subproblem is small.
- A subproblem is said to be small, if it can be solved in one/two fundamental (basic) operations.
- Combine (conquer) the results of smaller problems to get the result of the original ~~prob~~ problem.

This step of combining is optional. It is done only if it is needed.

Eg: quicksort uses only dividing but doesn't perform combining.

- Division can be done into 2 or more problems and each subproblem can be of different sizes.

### Control Abstraction:

A procedure whose flow of control is clear but the inner details are abstracted.

Algorithm DandC( $P$ )

{  
if ( $\text{SMALL}(P)$ ) then

    return ( $S(P)$ ); //  $S(P)$  is soln of smaller problem.

else

{  
    Divide  $P$  into smaller problems  $P_1, P_2, \dots, P_k$  ( $k \geq 1$ ) // mandatory

    Apply DandC to each subproblems

    return (COMBINE(DandC( $P_1$ ), ... DandC( $P_k$ ))); // optional

no. of  
problem into  
below  
Proced

{

TC of

DandC recall

let  $T(n)$  represe

Consider we divide

$T(n) \left\{ \begin{array}{l} f(n) \\ 2 T(n/2) + \end{array} \right.$

$f(n)$   
 $f(n)$   
 $f(n)$

TC

→ The below procedure is a general procedure for dividing problem into 2 subproblems.

Procedure DandC(P,l,h)

{

if ( SMALL(l,h) )

return G(P,l,h);

else

{

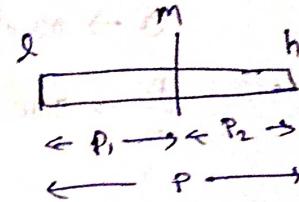
m ← Divide(l,h);

Soln1 ← DandC(P,l,m);

Soln2 ← DandC(P,m+1,h);

return COMBINE(Soln1, Soln2);

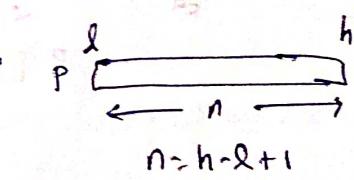
}



→ The TC of D&C problems is expressed in the form of DandC recurrence equations.

let  $T(n)$  represent time complexity of  $DandC(n)$

Consider we divide the problem into 2 subproblems



$$T(n) \begin{cases} f(n), & n \text{ is small} \\ 2T(n/2) + g(n), & n \text{ is large} \end{cases}$$

$f(n) \rightarrow$  time for SMALL & function's (in most of the cases)  
this is  $O(1)$

$g(n) \rightarrow$  time for SMALL, dividing & combining

The general form of D and C Recurrence: (for symmetric ~~D and C~~ D and C)  
 i.e., equal size subproblems.

$$T(n) = \begin{cases} f(n) & , n \text{ is small} \\ a \cdot T(n/b) + g(n) & , n \text{ is large} \end{cases}$$

$a \rightarrow$  no of subproblems  $\therefore a \geq 1$

$\frac{n}{b} \rightarrow$  size of each subproblem  $\therefore b \geq 1$  ( $\because$  problem size has to reduce when it is divided)

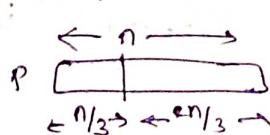
$g(n) \rightarrow$  time for ~~the~~ SMALL divide, combine

$\therefore g(n) \geq 0$

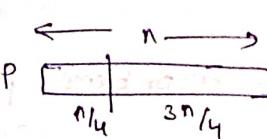
For valid recurrence:  $\therefore a \geq 1; b \geq 1; g(n) \geq 0$

Variation of D and C recurrence: (asymmetric)

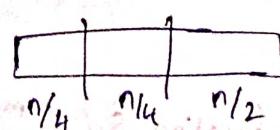
↳ unequal sized subproblems



$$\Rightarrow T(n) = T(n/3) + T(2n/3) + g(n)$$



$$\Rightarrow T(n) = T(n/4) + T(3n/4) + g(n)$$



$$\Rightarrow T(n) = 2 \cdot T(n/4) + T(n/2) + g(n)$$

~~Sum of sizes of subproblems~~

~~= size of original problem.~~

→ sometimes it's possible that sum of size of subproblems not equal to size of original ~~program~~ problem.

$$\text{Eg: } T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{4}\right) + g(n)$$

$$\text{Eg: } T(n) = T\left(\frac{n}{2}\right) + g(n)$$

general form:  $T(n) = T(\alpha n) + T(\beta n) + T(\gamma n) + \dots + g(n)$   
where  $0 < \alpha, \beta, \gamma, \dots < 1$

Note:

$$T(n) = 8T\left(\frac{n}{2}\right) + g(n)$$

The above eq is valid even though size of subproblem is  $n/2$  and no of subproblems are 8.

Reason: It could be the case the a subproblem is solved multiple times

(or)

It is possible when the input data structure is non-linear

for example, for input being  $n \times n$  matrix  
dividing ~~it~~ into 4 subproblems where each is  $\frac{n}{2} \times \frac{n}{2}$  matrix.

### i) Max-Min:

The problem is finding minimum and maximum element in an array of size 'n' elements.

#### Naive approach (Non-DC):

Procedure Non-DC ( $A[n]$ ,  $f_{max}$ ,  $f_{min}$ )

{

1.  $f_{max} \leftarrow f_{min} \leftarrow A[1];$

2. for  $i \leftarrow 2$  to  $n$

{ if ( $A[i] > f_{max}$ )

$f_{max} \leftarrow A[i]$

if ( $A[i] < f_{min}$ ) // line1

$f_{min} \leftarrow A[i];$

}

}

TC:  $O(n)$

→ Here the metric based on which we measure the time is comparision. (Also we consider comparisions involving array element but we ignore comparision of index in for loop)

Here total no of comparisions =  $2(n-1)$

↳ (best, avg, worst cases)

→ Here by replacing line1 with "else", for certain elements we can reduce no of comparisions.

best case  $\rightarrow n-1$  (increasing order)

worst case  $\rightarrow 2(n-1)$  (decreasing order)

#### Divide and Conquer approach

If  $n=1$

in that

respectively

g:

Avg. case  $\rightarrow$  On an average assume that the first comparison fails for  $1/2$  of given elements.

It is given that comparison fails for  $1/2$  elements

$\Rightarrow$  for  $n/2$  elements comparison fails

$\Rightarrow n/2$  comparisons out of ~~n~~  $n-1$  comparisons fail

In this case no of comparisons

$$req = \frac{n}{2} * 2 = n$$

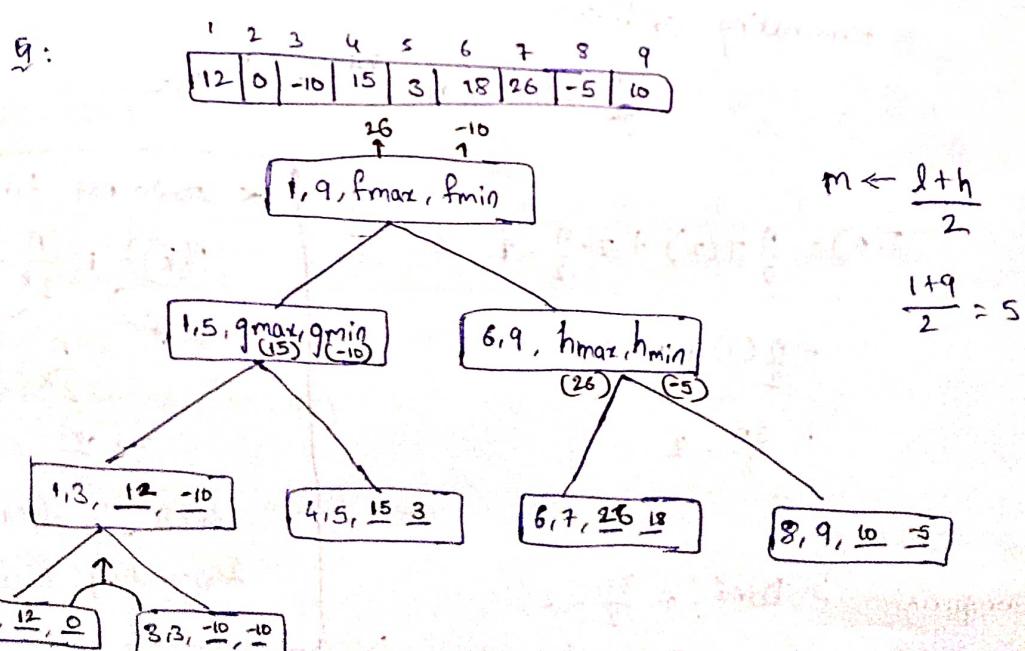
$\Rightarrow$  for  $n-1-n/2$  comparison. or success

$\Rightarrow$  total comparisons  $\rightarrow n + n-1-n/2$

$$\frac{3n}{2} - 1$$

### DandC approach:

If  $n=1$  or  $n=2$  then we say the problem is small. since in that case no of comparisons will be either 0 or 1 respectively.



$\therefore$  every level of combine requires 2 comparisons

Let  $T(n)$  represent the no. of comparisons made in DandC MaxMin( $n$ )

$$T(n) = \begin{cases} 0 & , n=1 \\ 1 & , n=2 \\ 2T(n/2) + 2 & , n \geq 2 \end{cases}$$

$$T(n) = 2T(n/2) + 2$$

$$= 2[2T(n/4) + 2] + 2$$

$$= 2^2 T(n/4) + 2^2 + 2$$

$$= 2^3 T(n/4) + 2^3 + 2^2 + 2$$

$$= 2^k T(n/2^k) + 2^k + 2^{k-1} + \dots + 2$$

$$\Rightarrow 2^k T(n/2^k) + 2 \frac{(2^k - 1)}{2 - 1}$$

$$= 2^k T(n/2^k) + 2 \cdot 2^k - 2$$

$$= nT(1) + 2n - 2$$

terminating condition

$$\frac{n}{2^k} = 2 \Rightarrow n = 2^{k+1} \Rightarrow 2^k = n/2$$

$$T(n) = \frac{1}{2} T(2) + 2 \cdot \frac{n}{2} - 2$$

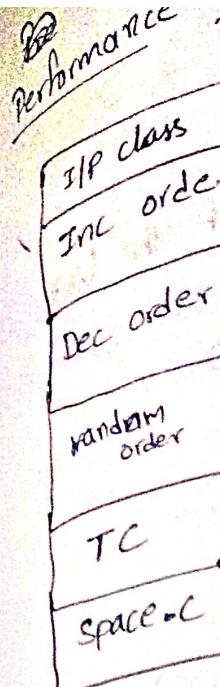
$$= \frac{n}{2}(1) + n - 2$$

$$= \frac{3n}{2} - 2$$

$$\rightarrow \text{no. of comparison in DandC} = \frac{3n}{2} - 2$$

$$\rightarrow \text{Time complexity: } O(n)$$

↳ (All cases)



Space Complexity

Non-DC:

DC:

~~Next 5~~

~~Next 5~~

~~Next 5~~

we should not take

$$T(n/2^k) \geq 1 \quad \frac{n}{2^k} = 1$$

$$\therefore \frac{n}{2^k} = 1$$

$$\Rightarrow \frac{n}{2^{k-1}} = 2$$

which was already a  
terminating condition

In most of the cases  
it terminates with  $n=2$ .

Merge Sort

A: 

310	2
-----	---

Merging Process

→ The below  
lists.

Problem Stmt  
them in

## Performance Comparison

I/P class	NON-DC	DC
INC order	$(n-1)$	$\frac{3n}{2} - 2$
Dec order	$2(n-1)$	$\frac{3n}{2} - 2$
random order	$\frac{3n}{2} - 1$	$\frac{3n}{2} - 2$
TC	$O(n)$	$O(n)$
Space = C	$O(1)$	$O(\log n)$

## Space Complexity

NON-DC:  $O(1)$  --- memory for i

DC:

Here we need consider the space for stack.

The stack grows upto a depth of  $\log n$

$$\therefore O(\log n)$$

## Merge Sort

	1	2	3	4	5	6	7	8	9	10
A:	810	285	179	652	351	423	861	254	450	526

## Merging Process (conquer)

→ The below is about 2-way merging i.e., we merge 2 sorted lists.

Problem stmt: Given two sorted lists  $L_1$  &  $L_2$ , it is req to merge them into single sorted list.

Lists

$$L_1: \langle 4, 5, 8, 12, 20 \rangle \quad L_2: \langle 3, 7, 11, 18, 25, 30 \rangle$$

Assume  $\text{size}(L_1) \leq \text{size}(L_2)$

let combined list be  $L$ .

let  $i, j$  be indices pointing to the start of the list.

$$L_1: \langle 4, 5, 8, 12, 20 \rangle, \quad L_2: \langle 3, 7, 11, 18, 25, 30 \rangle$$

$i$

$$L_1[i] \geq L_2[j]$$

$$\therefore L[1] = L_1[i]; \quad j++$$

$$L_1: \langle 4, 5, 8, 12, 20 \rangle \quad L_2: \langle 3, 7, 11, 18, 25, 30 \rangle$$

$i$

$j$

$$L: \langle 3, \dots \rangle$$

$$L_1[i] < L_2[j]$$

$$\therefore L[2] = L_1[i]; \quad i++$$

$$L_1: \langle 4, 5, 8, 12, 20 \rangle \quad L_2: \langle 3, 7, 11, 18, 25, 30 \rangle$$

$i$

$j$

$$L: \langle 3, 4, \dots \rangle$$

Continuing this process, we obtain

~~Let  $L_1, L_2$~~

one of the two arrays will be completely traversed.

Now append the elements of array that is not completely traversed to the end of  $L$ .

Finally we obtain

$$L: \langle 3, 4, 5, 7, 8, 11, 12, 20, 25, 30 \rangle$$

~~Let L1 be size of L1 & L2 be size of L2~~

## Analysis of Merge process:

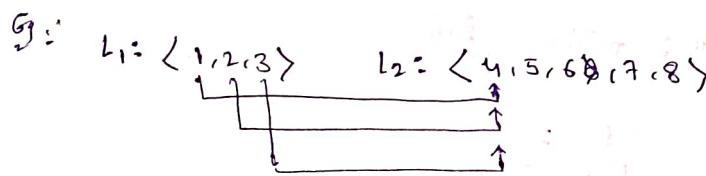
### Finding no of comparisons:

→ Here we consider comparison only b/w array elements

let n be size(L<sub>1</sub>) & m be size(L<sub>2</sub>)

#### Case I: (best case)

Every element of L<sub>1</sub> < starting element of L<sub>2</sub>



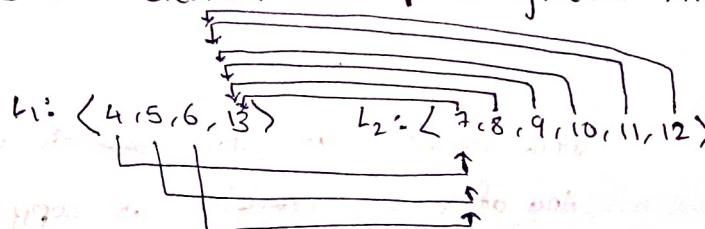
∴ no of comparison req = ~~size~~ 3 = size of L<sub>1</sub>

#### Case III: (worst case)

~~every L<sub>1</sub> except last element of L<sub>1</sub> is greater than all elements of L<sub>2</sub>~~

n-1 of list L<sub>1</sub> are less than 1<sup>st</sup> elements of L<sub>2</sub>.

and nth element of L<sub>1</sub> is greater than all elements of L<sub>2</sub>



∴ no of comparisons = (n-1) + m

$$= n + m - 1$$

#### Time complexity

No of comparisons in best case = min(n, m)

No of comparisons in worst case = n + m - 1

$$\therefore \text{TC: } O(n+m)$$

Now we see how mergesort works

1	2	3	4	5	6	7	8	9	10
A: 310	285	179	652	351	423	861	254	450	520

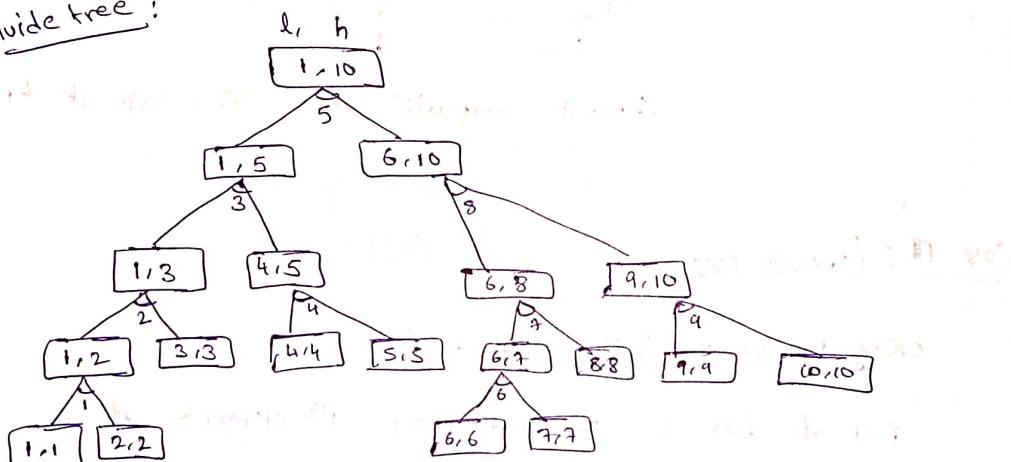
Merge sort uses a temporary array which is of the same size of A. Let this temporary array be B.



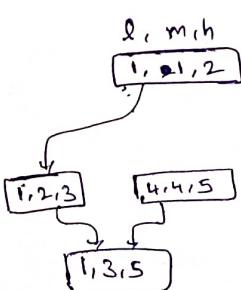
→ we say the problem is small when there is 1 element in the list.

$$\text{Division: } \text{mid} \leftarrow \frac{l+h}{2}$$

Divide tree:



Conquer tree:



while combining we store ~~store~~ the elements in B.

And after every combine, we copy those combined elements from B to A.

$$B[1] = 285 \quad B[2] = 310$$

Now copy them into A

$$A: \langle 285, 310, 179, 652, \dots \rangle$$

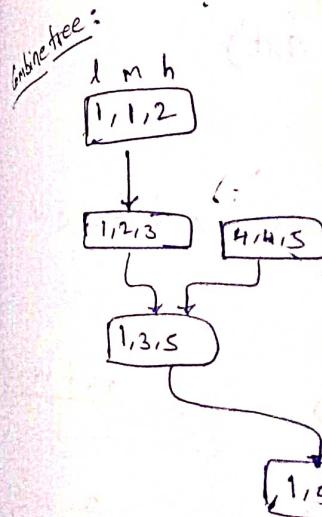
Combine(1, 2, 3):

$$B: \langle 179, 285 \rangle$$

$$\langle 285, 310 \rangle \quad \langle 179 \rangle$$

$$B: \langle 179, 285, 310, \dots \rangle$$

$$A: \langle 179, 285, 310, \dots \rangle$$



Performance Analysis:

let  $T(n)$  represent

$$T(n) = \begin{cases} C & n \leq 1 \\ 2T(n/2) + O(n) & n > 1 \end{cases}$$

Combine (4, 4, 5) :

B: ~~(351)~~ ~~(652)~~  
B: (17)

{652} {351}  $\Rightarrow$  (351, 652)

B: (179, 285, 310, 351, 652, ...)

A: ~~(179, 285, 310, 351, 652, ...)~~

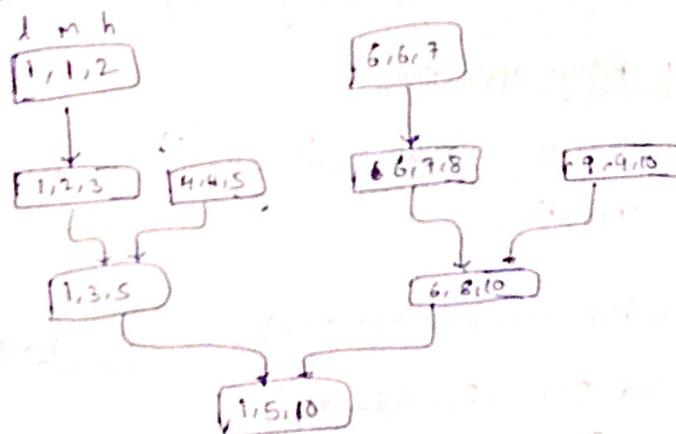
Combine (1, 3, 5) :

(179, 285, 310) {351, 652}

B: (179, 285, 310, 351, 652, ...)

A: (179, 285, 310, 351, 652, ...)

Combine tree:



Performance Analysis:

Let  $T(n)$  represent time complexity of DandC + MS(n)

$$T(n) = \begin{cases} C_1, & n=1 \\ C_2, & n>1 \text{ (base case)} \\ 2T(n/2) + bn, & n>1 \end{cases}$$

We can also have

$$T(n) = 2T(n/2) + O(n)$$

for no process of merging, checking if the problem is small etc.

bn in best case  $\rightarrow n/2$

worst case  $\rightarrow n/2 + n/2 - 1 = n-1$

∴ Time complexity of merge sort

$$T(n) = O(n \log n)$$

Space Complexity:

$$S = C + S_p$$

$C$  is some ~~constant~~ constant and

$S_p \rightarrow$  sum of stack size + additional ~~array~~ array B

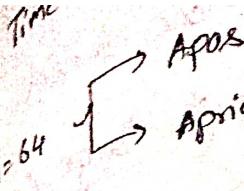
$$\rightarrow O(\log n) + O(n)$$

$$\therefore S = O(n)$$

space.

∴ MergeSort is not efficient. ~~with~~ only  $\Theta(n^2)$

MergeSort is not in-place sorting.



### 2-way / bottom-up MergeSort (variation of merge sort)

→ This sorting uses only merge operations.

→ Here we consider array is already divided, and hence we perform only merge operations

$$A: \langle 310, 285, 179, 652, 351, 423, 261, 254 \rangle$$

no of merge operation

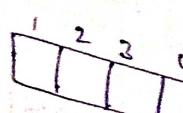
$$\text{Pass 3} \rightarrow [179, 254, 285, 310, 351, 423, 652, 861]$$

$$\xrightarrow{\quad [179, 285, 310, 652] \quad [254, 351, 423, 861]} \xrightarrow{\frac{8}{2^3} = \frac{2}{2} = 1}$$

$$\text{Pass 2} \rightarrow \xrightarrow{\quad [285, 310] \quad [179, 652], \quad [351, 423] \quad [254, 861]} \xrightarrow{\frac{8}{2^2} = \frac{4}{2} = 2}$$

$$\text{Pass 1} \rightarrow \xrightarrow{\quad [285] \quad [179] \quad [652] \quad [351] \quad [423] \quad [254] \quad [861]} \xrightarrow{8/2 = 4}$$

$$A: [285] [179] [652] [351] [423] [254] [861]$$



Time Complexity :  $O(n \log n)$

↳  $n \log n$  passes & every pass has comparisons less than  $n$ .

### Binary Search:

Binary search requires consider a list of size

H/85

Time complexity of mergesort =  $n \log n$ 

$$n=64 \quad \begin{cases} \text{Apoteriori time} = 30s \\ \text{Apriori time} = 64 \log_2 64 = 64 \times 6 \text{ units} \end{cases}$$

$$\Rightarrow 64 \times 6 \text{ units} \rightarrow 30 \text{ s}$$

$$1 \text{ unit} \rightarrow \frac{30}{64 \times 6} \text{ s}$$

$$\Rightarrow 360s \rightarrow 64$$

$$\Rightarrow 1s \rightarrow \frac{64 \times 4}{30}$$

$$6 \text{ min} \Rightarrow 360 \text{ s} \rightarrow \frac{64 \times 4 \times 360}{30} = 4608$$

Let  $n$  be no of elements sorted in 360s

$$\text{then } n \log n = 4608$$

$$\text{let } n=2^k$$

$$\Rightarrow 2^k \cdot k = 4608$$

$k=9$  satisfies the equation

$$\therefore n=2^k = 512 \text{ elements.}$$

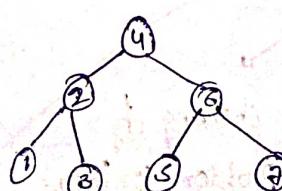
no of comparisons

$$\begin{aligned} &\text{in 2 way merge sort} \\ &= 2^k - 1 \\ &= n \log n - n + 1 \end{aligned}$$

## Binary Search:

→ Binary search requires that the list is sorted

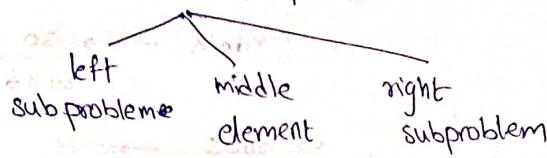
Consider a list of size 7



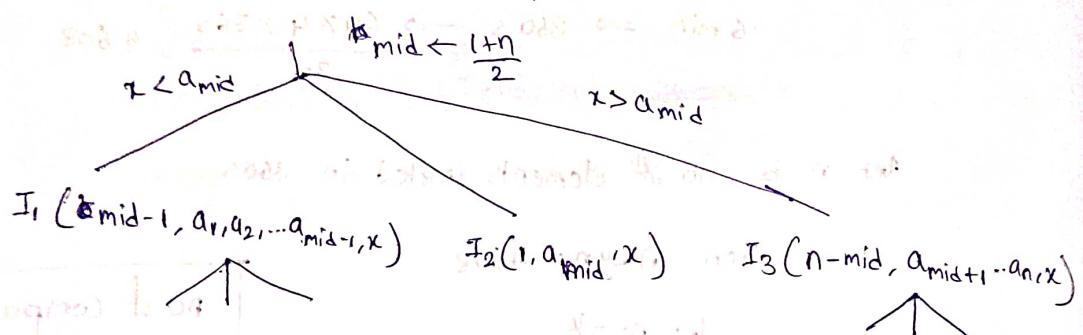
Search path ~~is~~ using binary search corresponds to one of the path from root to leaf in the above tree.

## DandC - BS(n, x)

- we say a problem is small when there is only one element
- In binary search, the ~~binary~~ when problem is large ( $n > 1$ ), the problem is divide into 3 subproblems



$I: \langle n, a_1, a_2, a_3, \dots, a_n, x \rangle$



Out of these 3 subproblems, we solve only 2

i.e.,  $I_2$  and/or  $(I_1 \text{ or } I_3)$

- Binary search ~~also~~ doesn't perform combine operation.

## Performance Analysis:

time complexity: Let  $T(n)$  represent time complexity of DandC-BS( $n$ )

$$T(n) = \begin{cases} c, & n=1 \\ T(n/2) + a+b+c, & n>1 \end{cases}$$

Solving  $I_2$       checking if problem is large

time for divide

$$T(n) = T(n/2) + k, n>1, k>0$$

$$\therefore T(n) = O(\log n)$$

→ Best case TC of binary search =  $O(1)$  during max. intervals

→ space complexity:

$$\begin{aligned} S &= C + Sp \\ &= C_1 + \text{size of stack used} \\ &= C_1 + \log n \\ S &= O(\log n) \end{aligned}$$

However for non-recursive implementation of binary search,

Space complexity,  $S = O(1)$

Linear Search:

→ Best case  $TC = O(1)$

→ worst case & avg case  $TC = O(n)$

→ The avg no of key comparisons involved in a successful sequential search with  $n$ -elements is  $\frac{n+1}{2}$

$$\text{i.e., } \frac{1+2+3+\dots+n}{n} = \frac{n(n+1)}{2n} = \frac{n+1}{2}$$

Matrix Multiplication [square matrices]

$$\rightarrow C = A \times B$$

naive approach:

```
for i ← 1 to n
    for j ← 1 to n
        { C[i,j] = 0;
          for k ← 1 to n
            { C[i,j] += A[i,k] * B[k,j]; }
```

- Q) Calculate the total no of minimum & maximum no of element comparisons involved in 2-way / bottom up merge-sort with  $n=2^k$  elements.

Sol:

### Minimum Comparision:

$$\left( \frac{2^k}{2} \times 1 \right) + \left( \frac{2^{k-1}}{2} \times 2 \right) + \left( \frac{2^{k-2}}{2} + 2^2 \right) + \dots + \left( \frac{2^{k-i}}{2} \times 2^i \right)$$

At the termination, no of ~~clutter~~ merge operations will be one.

$$\Rightarrow \text{no of lists} = 2$$

$$\text{i.e., } 2^{k-i} = 2$$

$$\Rightarrow k-i=1$$

$$\Rightarrow i=k-1$$

$$\Rightarrow \frac{2^k + 2^{k-1} + \dots + 2^k}{2} \text{ (k times)} = \frac{k \cdot 2^k}{2} = k \cdot 2^{k-1}$$

$$\therefore \text{Min no of comparisons} = \frac{n \log n}{2}$$

### Maximum Comparisions:

$$\left( \frac{2^k}{2} \times 1 \right) + \left[ \frac{2^{k-1}}{2} \times (2^2 - 1) \right] + \left[ \frac{2^{k-2}}{2} + (2^3 - 1) \right] + \dots + \left[ \frac{2^{k-i}}{2} \times (2^{i+1} - 1) \right]$$

$$= \left[ \frac{2^k}{2} \times 2^1 - 1 \right] + \left[ \frac{2^{k-1}}{2} \times (2^2 - 1) \right] + \dots + \left[ \frac{2^i}{2} \times (2^{k-1} - 1) \right]$$

$\begin{array}{|c|c|} \hline & C \\ \hline & K \\ \hline \end{array}$ 
 $= \frac{1}{2} \int k \cdot 2^{k+1}$

$\therefore \text{Here we say prob}$

$T(r)$

$8 \text{ multiplications}$

$\text{where each multiplication is } 1$

5/10/20

Consider

$$A = \begin{array}{|c|c|} \hline A_{11} & A_{12} \\ \hline 4 & 5 \\ 8 & 9 \\ \hline 3 & 9 \\ 6 & 7 \\ \hline A_{21} & A_{22} \\ \hline \end{array}$$

Here

merge.

$$\begin{aligned}
 & \frac{1}{2} \left[ \underbrace{(2^{k+1} + 2^{k+1} + \dots + 2^{k+1})}_{k \text{ times}} - (2^k + 2^{k-1} + \dots + 2) \right] \\
 &= \frac{1}{2} [k \cdot 2^{k+1} - 2(2^k - 1)] = 2^k(k-1) + 1 \\
 &= n \log n - n + 1
 \end{aligned}$$

$\therefore$  Max no of comparision =  $n \log n - n + 1$

05/10/20

operation

Consider

$$A = \begin{array}{c|cc}
 \begin{array}{cc} A_{11} & A_{12} \end{array} & \\
 \hline
 \begin{array}{cc} 4 & 5 \\ 8 & 9 \end{array} & \begin{array}{cc} 6 & 7 \\ 1 & 2 \end{array} \\
 \hline
 \begin{array}{cc} 3 & 9 \\ 6 & 7 \end{array} & \begin{array}{cc} 1 & 5 \\ 2 & 3 \end{array} \\
 \hline
 \begin{array}{c} A_{21} \\ A_{22} \end{array} &
 \end{array} \times B = \begin{array}{c|cc}
 \begin{array}{cc} B_{11} & B_{12} \end{array} & C \\
 \hline
 \begin{array}{cc} 9 & 6 \\ 4 & 1 \end{array} & \begin{array}{cc} 5 & 2 \\ 3 & 9 \end{array} \\
 \hline
 \begin{array}{cc} 8 & 6 \\ 4 & 1 \end{array} & \begin{array}{cc} 3 & 2 \\ 3 & 5 \end{array} \\
 \hline
 \begin{array}{c} B_{21} \\ B_{22} \end{array} &
 \end{array} = \begin{array}{c|cc}
 \begin{array}{cc} C_{11} & C_{12} \end{array} & \\
 \hline
 \begin{array}{c} C_{21} \\ C_{22} \end{array} & C_{22}
 \end{array}$$

Here

$$C_{11} = A_{11}B_{11} + A_{12}B_{21}$$

$A, B, C \rightarrow n \times n$

$$C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$A_{ij}, B_{ij}, C_{ij} \rightarrow \frac{n}{2} \times \frac{n}{2}$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$

$\rightarrow$  Here we say problem is small when the matrix is  $2 \times 2$  or  $1 \times 1$

$$T(n) = \begin{cases} c, & n \leq 2 \quad (c > 0) \\ 8T\left(\frac{n}{2}\right) + bn^2, & n > 2 \end{cases}$$

8 multiplications  
where each multiplication  
is b/w two  $\frac{n}{2} \times \frac{n}{2}$  matrices

time for  
addition

Graham's  
→ The idea  
reducing no  
subtraction

let A, B, C

A<sub>ij</sub>, B<sub>ij</sub>

P, Q, R, S, T

P = (1)

Q = (1)

R = (1)

S = (1)

T = (1)

U =

V =

C<sub>11</sub> = P

C<sub>12</sub> =

C<sub>21</sub> =

C<sub>22</sub> =

How to compute

$$\begin{aligned} T(n) &= 8T(n/2) + bn^2 \\ &= 8 \left[ 8T(n/2^2) + b(n/2)^2 \right] + bn^2 \\ &= 8^2 T(n/2^2) + \frac{8bn^2}{4} + bn^2 \\ &= \cancel{8^2 T(n/2^2)} + \frac{\cancel{8^2} + bn^2}{7} \end{aligned}$$

$$= \cancel{8^k T(n/2^k)} + \frac{\cancel{8^k - 1}}{7} bn^2$$

$$\frac{n}{2^k} = 1 \Rightarrow 2^k = n \Rightarrow 8^k = n^3$$

$$= n^3 T(1) + \cancel{n^3 - 1}$$

$$= 8^2 \left[ 8T(n/2^3) + b(n/2^3)^2 \right] + 2bn^2 + bn^2$$

$$= 8^3 T(n/2^3) + 2^2 bn^2 + 2bn^2 + bn^2$$

$$= 8^3 T(n/2^3) + (2^3 - 1) bn^2$$

$$= 8^k T(n/2^k) + (2^k - 1) bn^2$$

$$\frac{n}{2^k} = 1 \Rightarrow 2^k = n \Rightarrow 8^k = n^3$$

$$= n^3 T(1) + (n-1) bn^2$$

$$= cn^3 + bn^3 - bn^2$$

$$\therefore T(n) = O(n^3)$$

$$\text{Space Complexity} = O(\log n)$$

∴ So this approach of matrix multiplication didn't reduce the time complexity.

## Strassen's Matrix Multiplication

→ The idea behind strassen's matrix multiplication is reducing no of multiplication by increasing addition & subtraction of operations.

Let  $A, B, C \rightarrow n \times n$

$$A_{ij}, B_{ij}, C_{ij} \rightarrow \frac{n}{2} \times \frac{n}{2}$$

$$P, Q, R, S, T, U, V \rightarrow \frac{n}{2} \times \frac{n}{2}$$

$$P = (A_{11} + A_{22}) \cdot (B_{11} + B_{22})$$

$$Q = (A_{21} - A_{22}) \cdot B_{11}$$

$$R = (A_{11}) \cdot (B_{12} - B_{22})$$

$$S = (A_{22}) \cdot (B_{21} - B_{11})$$

$$T = (A_{11} + A_{12}) \cdot (B_{22})$$

$$U = (A_{21} - A_{11}) \cdot (B_{11} + B_{12})$$

$$V = (A_{12} - A_{22}) \cdot (B_{21} + B_{22})$$

$$C_{11} = P + S - T + V$$

$$C_{12} = R + T$$

$$C_{21} = Q + S$$

$$C_{22} = P + R - Q + U$$

Here to compute  $C_{11}, C_{12}, C_{21}, C_{22}$  we perform:

7 multiplications & 18 add/sub

$$T(n) = \begin{cases} c, & n \leq 2 \ (c > 0) \\ 7T(n/2) + bn^2, & n > 2 \end{cases}$$

$$T(n) = 7T(n/2) + bn^2$$

$$= 7(7T(n/2) + b(n/2)^2) + bn^2$$

$$= 7^2T(n/2^2) + 7b(n/2)^2 + bn^2$$

$$= 7^2[7T(n/2^3) + b(n/2^2)^2] + 7b(n/2)^2 + bn^2$$

$$= 7^3T(n/2^3) + 7^2(n/2^2)^2 + 7^1b(n/2)^2 + bn^2$$

:

$$= 7^kT(n/2^k) + 7^{k-1}(n/2^{k-1})^2 + 7^{k-2}b(n/2)^2 + 7^0b(n/2)^2$$

$$= 7^kT(n/2^k) + n^2\left(\left(\frac{7}{2^2}\right)^{k-1} + \left(\frac{7}{2^2}\right)^{k-2} + \dots + 1\right)$$

$$= 7^kT(n/2^k) + n^2\left[\frac{\left(\frac{7}{4}\right)^k - 1}{\frac{7}{4} - 1}\right]$$

$$\text{as } n/2^k = 1 \Rightarrow 2^k = n \Rightarrow k = \log_2 n$$

$$\Rightarrow 7^k = 7^{\log_2 n}$$

$$= 7^{\log_2 n}T(1) + \frac{4}{3}n^2\left[\left(\frac{7}{4}\right)^{\frac{\log_2 n}{2}} - 1\right]$$

$$= n^{\log_2 7}T(1) + \frac{4}{3}n^2\left[n^{\log_2 7 - \log_2 4} - 1\right]$$

$$= n^{\log_2 7} \cdot c + \frac{4}{3}n^2\left[n^{\log_2 7 - 2} - 1\right]$$

$$= cn^{\log_2 7} + \frac{4}{3}n^{\log_2 7 - 2} - 1$$

$$\therefore T(n) = \mathcal{O}(\log n) \mathcal{O}(n^{\log_2 7})$$

$$\Rightarrow T(n) = \mathcal{O}(n^{2.81})$$

Space Complexity,  $S = \mathcal{O}(\log n) \mathcal{O}(n^2)$

↳ space for matrices

## Quick Sort [Partition - Exchange Sort]

→ Quick sort is based on the principle of partitioning (pivoting)

$$\text{Input: } A: [65 \ 70 \ 75 \ 80 \ 85 \ 60 \ 55 \ 50 \ 45]$$

partitioning

Partitioning:

i) Select & pivot (partitioning element)

ii) Pivot the partitioning element at its correct place (fix)

iii) All elements that are less than pivot has to be on left of the pivot.

iv) All elements that are greater than pivot has to be on right of the pivot.

```
int PARTITION (A,l,h)
```

```
{
```

```
    i ← l; j ← h; // h is n+1
```

```
    v ← A[l]; // v contains partitioning element.
```

```
    loop
```

```
{    loop i ← i+1 until (A[i] ≥ v);
```

```
    loop j ← j-1 until (A[j] ≤ v);
```

```

if (i < j)
    SWAP(A[i], A[j]);
else
    break;
} until (false);
A[i] = A[j]; A[j] = v; return j;
}
unit (false); } position of pivot

```

next iteration  
A: [ ]

(a)  $i=1$

$A: [65 \ 45 \ 75 \ 80 \ 85 \ 60 \ 55 \ 50 \ 70]$   $\rightarrow$  Having infinity

$i \leftarrow i+1 \Rightarrow i=2$

$A[i] \geq v \Rightarrow A[2] \geq 65$ .

$\downarrow$

true  $\therefore$  exit loop

$j \leftarrow j-1 \Rightarrow j=9$

A: [ ]

next iteration:

A: [ ]

and since  $A[j] \leq v \Rightarrow A[9] \leq 65$ .

$\downarrow$

and for going next and true  $\therefore$  exit loop  $\Rightarrow$  (b)

if ( $i < j$ )  $\therefore$  true doing  $i \leftarrow i+1$  as

and just swap  $\therefore$  swap( $A[i], A[j]$ )  $\therefore$  swap( $A[2], A[9]$ )

next iteration:

A: [ ]

A: [65 45 75 80 85 60 55 50 70]

$i \leftarrow i+1 \Rightarrow i=3$

$i < i+1 \Rightarrow i=3$   $\therefore$  true  $\therefore$   $i \leftarrow i+1$  as

$A[3] \geq 65 \checkmark$

$j \leftarrow j-1 \Rightarrow j=8$ .

$A[8] \leq 65 \checkmark$

if ( $i < j$ )  $\therefore$  true

$\therefore$  SWAP( $A[8], A[8]$ )

Now we need  
This

Pivot

> Having infinity here helps when pivot is the largest element. Sometimes, if we don't use  $\infty$  the program may go to infinite loop

$$A: [65 \ 70 \ 50 \ 80 \ 85 \ 60 \ 55 \ 75 \ 70]$$

$i$        $j$

next iteration

$$\cancel{A: [65 \ 70 \ 60, 80 \ 85, 50 \ 55 \ 75 \ 70]}$$

$i$        $j$

$$\cancel{A: [65 \ 70 \ 50, 60, 80, 85, 50, 55, 75, 70]}$$

$$A: [65 \ 45 \ 50 \ 80 \ 85 \ 60 \ 55 \ 75 \ 70]$$

$i$        $j$

next iteration:

$$A: [65 \ 45 \ 50 \ 55 \ 85 \ 60 \ 80 \ 75 \ 70]$$

$i$        $j$

next iteration:

$$A: [65 \ 45 \ 50, 55, 60, 85, 80, 75, 70]$$

partitioned array and current step is analog to previous one

$$i \boxed{5} \quad j \boxed{6}$$

$$i < i+1 \Rightarrow i \boxed{6}$$

$$A[i] \geq 65 \checkmark$$

$$j \leftarrow j - 1 \quad j \boxed{5}$$

$$A[j] \leq 65$$

$$60 \leq 65 \checkmark$$

if ( $i < j$ ) ... false.

Now we need to put partitioning element in its position.

This position is present in  $j$ .

$A: [(60 \ 45 \ 50 \ 55) \boxed{65} \ (85 \ 80 \ 75 \ 70)]$

→ In quick sort, problem is said to be small if ~~if~~ <sup>if</sup> ~~low.~~ is greater than or equal to h (i.e., no of elements are 1)

```
void Quicksort (A, l, h)
{
    if (l < h) // Problem is large
    {
        m ← PARTITION (A, l, h);
        Quicksort (A, l, m-1);
        Quicksort (A, m+1, h);
    }
}
```

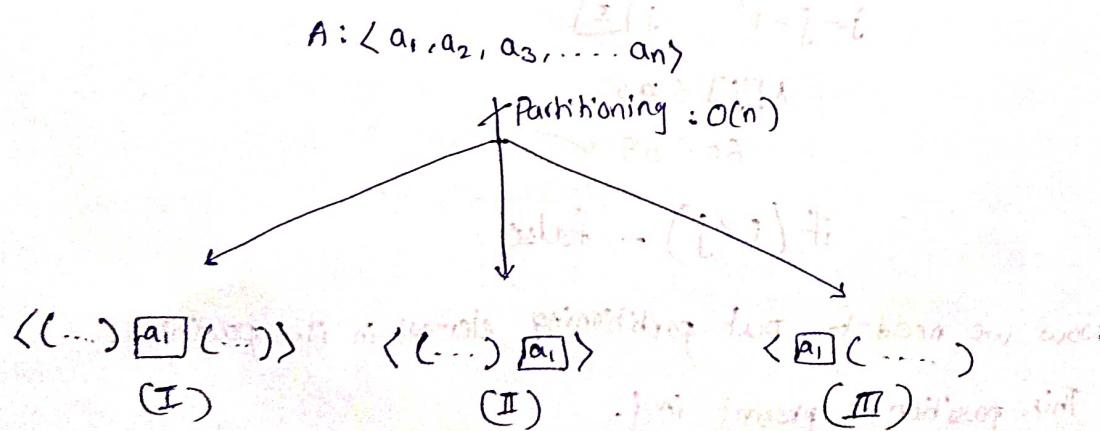
### Analysis:

#### TC of PARTITION:

→ In both loops which are inside loop-until loop, we perform a total ~~n~~<sup>n+1</sup> comparison b/w array elements and  $n$  (pivot).

$$\therefore \text{TC of partition} = O(n)$$

#### TC of quicksort:



Case I: Solving recurrence relation of avg partition

$$T(n) = \begin{cases} c, & n=1 \\ 2T(n/2) + O(n), & n>1 \end{cases}$$

After writing  $\dots \Rightarrow T(n) = O(n \log n)$

Case II & III:

$$T(n) = \begin{cases} c, & n=1 \\ T(n-1) + n, & n>1 \end{cases}$$

$$T(n) = O(n^2)$$

(for partition and swap)

Note:

→ avg case TC of Quick sort =  $O(n \log n)$

i. for QS,

best case TC = avg case TC =  $O(n \log n)$

worst case TC =  $O(n^2)$

→ worst case of TC happens when elements are already sorted (i.e., either increasing or decreasing)

Space Complexity:

best case space complexity  $\rightarrow O(\log n)$

worst case space complexity  $\rightarrow O(n)$

↳ sorted list

H/76

Partitioning has to start choosing pivot element from  
one of the end elements of array.

So we swap central element with any other end  
elements of array & finally the worst case TC is  $O(n^2)$

H/77

time to find median  $O(n)$

~~to~~ time to partition wrt to median  $O(n)$

$\therefore$  total time for partitioning  $O(n)$

Here every time pivot goes to middle of list  
and hence forms best case.

$\therefore O(n \log n)$

H/78

$$T(n) = O(n) + O(n) + T\left(\frac{n}{4}\right) + T\left(\frac{3n}{4}\right)$$

Case I:

If  $f(x)$

Note:

$$T(n) = T(\alpha n) + T((1-\alpha)n) + O(n), \quad 0 < \alpha < 1$$

Case II:

If  $f(n)$

$$\Rightarrow T(n) = O(n \log n)$$

H/79

~~T(n)~~

$$T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{4n}{5}\right) + n$$

a)  
b)  
c)

H/85

both are worst case

the question talks abt realistic time. ( $\because$  the word program is given)

$\therefore t_1 < t_2$

H/86

$t_1 \rightarrow$  worst case

$t_2 \rightarrow$  best case

$\therefore t_1 > t_2$

H/87

Both are worst case & they are symmetric

$\therefore c_1 = c_2$

Master Theorem for solving D and C Recurrences:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n), \quad n > d, \quad a \geq 1, b > 1, \quad f(n) \text{ is true}$$

$\begin{cases} n^{\log_b a} & \text{if } f(n) = O(n^{\log_b a - \epsilon}), \epsilon > 0 \\ c & \text{if } f(n) = O(n^{\log_b a}) \\ n^{\log_b a} & \text{if } f(n) = \Theta(n^{\log_b a}) \end{cases}$

Case I:

If  $f(n)$  is  $O(n^{\log_b a - \epsilon})$ ,  $\epsilon > 0$  then

$$T(n) = \Theta(n^{\log_b a})$$

Case II:

If  $f(n)$  is  $\Theta(n^{\log_b a} \cdot \log^k n)$  for some ' $k'$

a)  $k \geq 0$  then  $T(n) = \Theta(n^{\log_b a} \cdot \log^{k+1} n)$

b)  $k = -1$  then  $T(n) = \Theta(n^{\log_b a} \cdot \log \log n)$

c)  $k < -1$  then  $T(n) = \Theta(n^{\log_b a})$

### Case III:

If  $f(n)$  is  $\Omega(n^{\log_b a + \epsilon})$ ,  $\epsilon > 0$ , and

$a \cdot f(n/b) \leq s \cdot f(n)$  whenever  $s < 1$ , then

$$T(n) = \Theta(f(n))$$

### Examples on Master theorem:

$$\rightarrow T(n) = 4T(n/2) + n$$

$$a=4, b=2, f(n)=n;$$

$$\log_2 4 = 2$$

$$\therefore T(n) = \Theta(n^2)$$

$$\text{Case (i)} : n = O(n^{2-\epsilon})$$

$$\text{for } \epsilon > 0, \quad 4^{\log_2 n} = (n^2)^{1+\epsilon} = n^{2+\epsilon}$$

$$\text{say } \epsilon = 0.5$$

the equation satisfies

$$\therefore T(n) = O(n^{\log_2 4})$$

$$= O(n^2)$$

$$\rightarrow T(n) = 2T(n/2) + n \log(n)$$

$$a=2, b=2, f(n)=n \log n$$

$$\log_2 b = 1$$

$$\text{Case (i)} : n \log n = O(n^{\log_2 2 - \epsilon})$$

$$n \log n = O(n^{1-\epsilon})$$

$$\therefore \text{for } \epsilon > 0$$

the above equation is false

Case (ii):

$$n \log n = \Theta(n \log^k n)$$

For  $k=1$ , the above equation is satisfied.

Also  $k \geq 0$

$$\therefore T(n) = \Theta(n^{\log_b a} \cdot \log^{k+1} n)$$

$$= \Theta(n^0 \cdot \log^2 n)$$

$$= \Theta(n \cdot \log n \cdot \log n)$$

$$\rightarrow T(n) = T(n/3) + n$$

$$a=1, b=3; f(n)=n$$

$$\log_b a = \log_3 1 = 0 \geq 0$$

Case I:  $n = O(n^{0-\epsilon})$

false

Case II:  $n = \Theta(n^{\log_b a} \cdot \log^k n)$

$$n = \Theta(n^{\log_b a} \cdot \log^k n)$$

$$n = \Theta((\log n)^k)$$

Case III:  $n = \Omega(n^{\log_b a + \epsilon})$

$$n = \Omega(n^\epsilon), \epsilon > 0$$

$$\theta \neq 0, 0 < \theta < 0, 0 < \epsilon \leq 1$$

above eqn satisfies

Now

$$af(n_b) \leq 8f(n), 8 < 1$$

$$1 \cdot n_b \leq 8 \cdot n$$

$$\frac{n}{3} \leq 8n$$

for  ~~$\frac{n}{3} \leq 8n$~~

for  $\frac{1}{3} \leq 8 < 1$  the above inequality holds

$$\Rightarrow T(n) = O(f(n))$$

$$\Rightarrow T(n) = O(n)$$

$$\rightarrow T(n) = 9T(n/3) + n^{2.5}$$

$$a=9, b=3, f(n)=n^{2.5}$$

$$\log_b a = 2$$

Case I:

$$f(n) = n^{2.5} = O(n^{\log_b a - \epsilon})$$

$$n^{2.5} = O(n^{2-\epsilon})$$

Case II:

$$f(n) = n^{2.5} = O(n^{\log_b a} \log^k n)$$

$$n^{2.5} = O(n^2 \log^k n)$$

For no  $k$ , the above

eqn will be satisfied ( $\because \log^k n = O(n^\alpha)$ )

Case III:

$$n^{2.5} = \Omega(n^{\log_b a + \epsilon})$$

$$= \Omega(n^{2+\epsilon})$$

now consider

$$a \cdot f(n/b) \leq \gamma \cdot f(n)$$

$$9 \cdot f(n/3) \leq \gamma \cdot n^{2.5}$$

$$9 \cdot \left(\frac{n}{3}\right)^{2.5} \leq \gamma n^{2.5}$$

$$\frac{n^{2.5}}{\sqrt{3}} \leq \gamma n^{2.5}$$

$$\Rightarrow \frac{1}{\sqrt{3}} \leq \gamma$$

$$\Rightarrow \gamma \geq \frac{1}{1.732}$$

$$\gamma \geq 0.577$$

∴ The above eqn satisfies for  $\gamma \leq 1$

$$\therefore T(n) = O(f(n))$$

$$= O(n^{2.5})$$

→ MaxMin:

$$T(n) = 2T(n/2) + 2$$

$$\text{Case(i)}: 2 = O(n^{\log_2 2} - \epsilon)$$

$$2 = O(n^{1-\epsilon})$$

true for  $\epsilon = 1$

$$\therefore T(n) = O(n^{\log_2 2}) = O(n)$$

→ MergeSort:

$$T(n) = 2T(n/2) + bn$$

$$\text{Case(i)}: bn = O(n^{1-\epsilon})$$

$$\text{Case(ii)}: bn = O(n^{\log_k n})$$

true for  $k > 0$

k<sub>20</sub>

$$\therefore T(n) = \Theta\left(n^{\log_b} \cdot \log^{k+1} n\right) = \Theta(n^{\log_2} \cdot \log^{k+1} n)$$

$$T(n) = \Theta(n \log n)$$

→ Matrix multiplication:

$$T(n) = 8T(n/2) + n^2$$

$$n^2 = O(n^{3-\epsilon}) \checkmark$$

$$\therefore T(n) = \Theta(n^{\log_2 8}) = \Theta(n^3)$$

• Strassen's Matrix mul:

$$T(n) = 7T(n/2) + bn^2$$

$$bn^2 = O(n^{2.81-\epsilon}) \checkmark$$

$$\therefore T(n) = \Theta(n^{\log_2 7}) = \Theta(n^{2.81})$$

→ Binary search:

$$T(n) = T(n/2) + c$$

$$(i) \quad c = O(n^{\log_2 1 - \epsilon})$$

$$c = O(n^{-\epsilon})$$

$$T(n) \stackrel{(ii)}{=} \Theta(n^0 \log^k n)$$

for k=0 eqn is satisfied

now k>0

$$\Rightarrow T(n) = \Theta(n^{\log_b a} \cdot (\log^{k+1} n))$$

$$= \Theta(n^0 \log n)$$

$$\therefore T(n) = \Theta(\log n)$$

$$\xrightarrow{*} T(n) = 2 \cdot T(\sqrt{n}) + \log n$$

Here we cannot directly apply master theorem.  
so we perform appropriate transformations.

$$\text{let } n = 2^k$$

$$\Rightarrow T(2^k) = 2 \cdot T(2^{k/2}) + k$$

$$\text{let } T(2^k) \rightarrow s(k)$$

$$s(k) = 2 \cdot s(k/2) + k$$

~~TRANSFORM~~

$$\Rightarrow s(k) = \Theta(k \log k)$$

$$\Rightarrow T(n) = \Theta(\log n \cdot \log \log n)$$

~~\* Case (ii)~~

$$T(n) = 2T(n/2) + \frac{n}{\log n}$$

$$\xrightarrow{\text{(base)}} \frac{n}{\log n} \geq \Theta(n^{1-\epsilon})$$

Follows from induction hypothesis to right point out

$$\xrightarrow{\text{Case (ii)}} \frac{n}{\log n} = \Theta(n \cdot \log \log n)$$

$$\Rightarrow k = -1$$

$$\therefore T(n) = \Theta(n^{\log_a b} \cdot \log \log n)$$

$$\Rightarrow T(n) = \Theta(n \cdot \log \log n)$$

$$** \rightarrow T(n) = T(n/2) + T(n/4) + n$$

(and it's  $O(n)$  time)

Recursion tree method,  
gives  $O(n)$

$\therefore T(n/4) < T(n/2)$

$\Rightarrow T(n) < 2T(n/2) + n$

$\Rightarrow T(n) = O(n \log n)$

### Long Integer Multiplication:

we represent long integers using 1-D array

$\rightarrow$  ~~Best~~ In general time for addition or multiplication  
is  $O(1)$ .

However representing with array ~~use~~ time complexity  
for addition or multiplication will not be  $O(1)$ .

$\rightarrow$  TC for add / sub of two long integers =  $O(n)$

$\rightarrow$  TC for mul of two long integers =  $O(n^2)$  (naive approach)

$\because$  for every digit of one number we multiply  
it with the other number.

### D&C approach:

let  $u, v$  be  $n$  digit numbers

$$m \leftarrow \left\lfloor \frac{n}{2} \right\rfloor$$

. now we divide  $u$  as follows into  $w$  &  $x$  ( $2(n/2)$  integers)

$$u = w * 10^m + x$$

$$\text{where } w = u / 10^m$$

$$x = u \% 10^m$$

say we divide  $v$  into 2 integers

$$v = y \times 10^m + z$$

$$y = v/10^m$$

$$z = v \cdot 10^m$$

$w, x, y, z$  are  $n/2$  sized integers

$$\Rightarrow uv = (w \times 10^m + x)(y \times 10^m + z)$$

$$= (wy \times 10^{2m}) + (wz + yx)10^m + xz$$

+ multiplications of size  $n/2$

$$T(n) = \begin{cases} c & , n=1 \\ 4T(n/2) + bn & , n>1 \end{cases}$$

↓  
additions

$$\therefore T(n) = 4T(n/2) + bn$$

$$\text{Case i)}: bn = O(n^{2-\epsilon})$$

$$\therefore T(n) = O(n^2)$$

∴ time complexity  $\rightarrow O(n^2)$

~~complexity  $O(O(n^2))$~~

Anatoli Karatsuba's Optimization for LTM:

→ The ideology behind the optimization is reducing no of multiplication operations

$$uv = (wy \times 10^m) + (wz + xy) \cdot 10^m + xz$$

'Let  $t$  be an  $n/2$  sized integer'

$$t = (w+x)(y+z)$$

$$t = wy + \underline{wz + xy} + xz$$

$$\Rightarrow wz + xy = t - (wy + xz)$$

from this we define

$$P_1 = wy$$

$$P_2 = xz$$

$$P_3 = (w+x)(y+z)$$

$$\Rightarrow wz + xy = P_3 - (P_1 + P_2)$$

now we write

$$uv = (P_1 \times 10^m) + (P_3 - (P_1 + P_2)) \times 10^m + P_2$$

This approach requires only 3 multiplication.

However no of add/sub operations are increased.

$$\therefore T(n) = 3T(n/2) + O(n), n > 1$$

$$= C \quad (n=1)$$

$$\Rightarrow T(n) = (n^{\log_2 3}) = O(n^{1.58})$$

Toom & Cook Optimization for LIM:

→ This approach uses multi-way split.

$$(a+b)(c+d) = ac + ad + bc + bd$$

3-way split:

→  $(a+b+c)(d+e+f)$  split of size of input const.

$$m \leftarrow \lfloor n/3 \rfloor$$

$$(a+b+c) = (a+b)+c = (a+b)+\underbrace{c}_{= \text{size } 2m}$$

$$u = a \times 10^{2m} + b \times 10^m + c$$

$$v = d \times 10^{2m} + e \times 10^m + f$$

$$uv = (a+10^{2m} + b \times 10^m + c)(d+10^{2m} + e \times 10^m + f)$$

⇒ no of multiplication seq =  $3^2 = 9$  with each one being size  
each one  $\leq n/3$  sized integers multi.

$$\Rightarrow T(n) = 9T(n/3) + O(n), \quad n > 1$$

$$= C \quad n=1$$

$$\Rightarrow T(n) = O(n^2)$$

using Anatoli's optimization we get

$$T(n) = 8T(n/3) + O(n)$$

↓

In Anatoli's optimization value of ' $\alpha$ ' is always  
reduced by 1.

$$\Rightarrow T(n) = O(n! \cdot 8^9)$$

Ideology behind Toom & Cook optimization

Let  $x$  be no of subproblems solved

$$\Rightarrow T(n) = xT(n/3) + O(n)$$

Now target is to have  $T(n) < O(n^{1.58})$

Here  $T(n) = \cancel{O(n)} = O(n^{\log_3 x}) < O(n^{1.58})$

$$\Rightarrow \log_3 x < 1.58$$

$$\Rightarrow x \leq 5$$

Now the conclusion is that if we solve only 5 subproblems then we can have  $T(n)$  which is less than  $O(n^{1.58})$ .

→ Toom & Cook were successful in devising equation in which we require to ~~solve only 5~~ perform only 5 multiplication operations.

$$\Rightarrow T(n) = 5T(n/3) + O(n)$$

$$\Rightarrow T(n) = O(n^{\log_3 5})$$

$$\Rightarrow T(n) = O(n^{1.46})$$

4-way split: To below, multiply top with bottom

normal DFC approach

$$T(n) = 16T(n/4) + O(n)$$

Anostoli's optimization

$$T(n) = 15T(n/4) + O(n)$$

$$\Rightarrow T(n) = O(n^{1.9})$$

Toom & Cook's Optimization :

$$\Rightarrow T(n) = 2T(n/4) + O(n) \in O(n^{\log_4 2}) \in O(n^{1.40})$$

$$\Rightarrow T(1) =$$

$$\Rightarrow x = 7$$

$$\Rightarrow T(n) = 7T(n/4) + O(n)$$

$$\Rightarrow T(n) = O(n^{1.40})$$

k-way split:

DC:  $T(n) = k^2 T(n/k) + O(n) \in O(n^2)$

AK:  $T(n) = (k^2 - 1) T(n/k) + O(n) \in O(n^{\log_k(k^2-1)})$

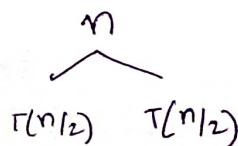
TLC:  $T(n) = (2k-1) T(n/k) + O(n) \in O(n^{\log_k(2k-1)})$

07/10/20

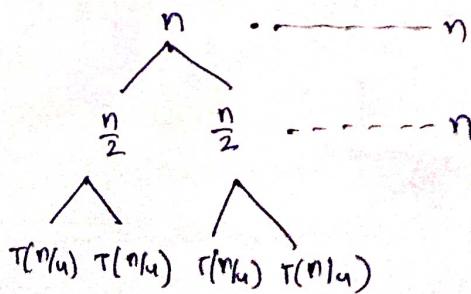
Solving D and C Recurrences using Recursion tree method:

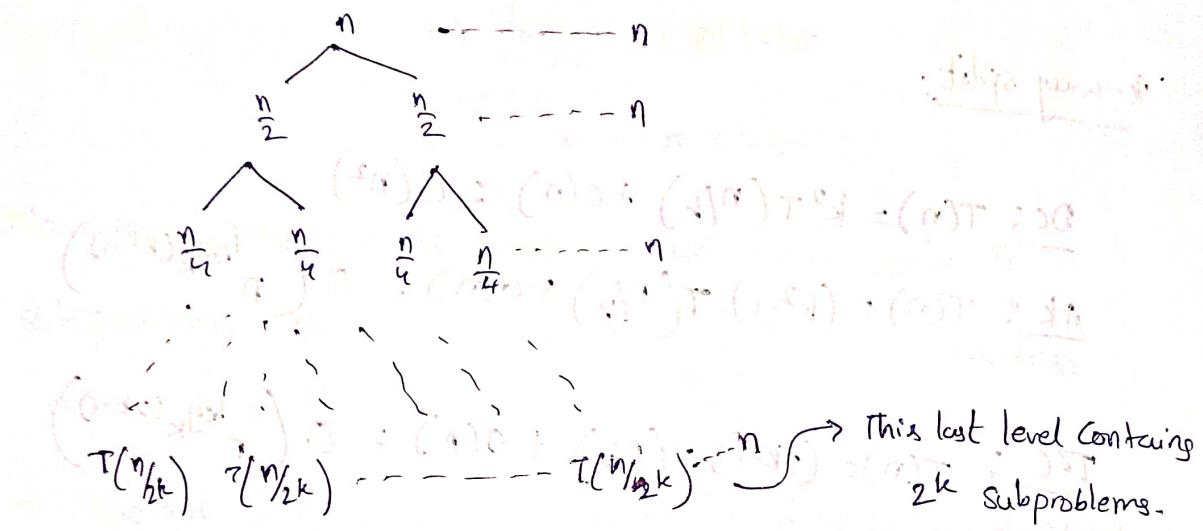
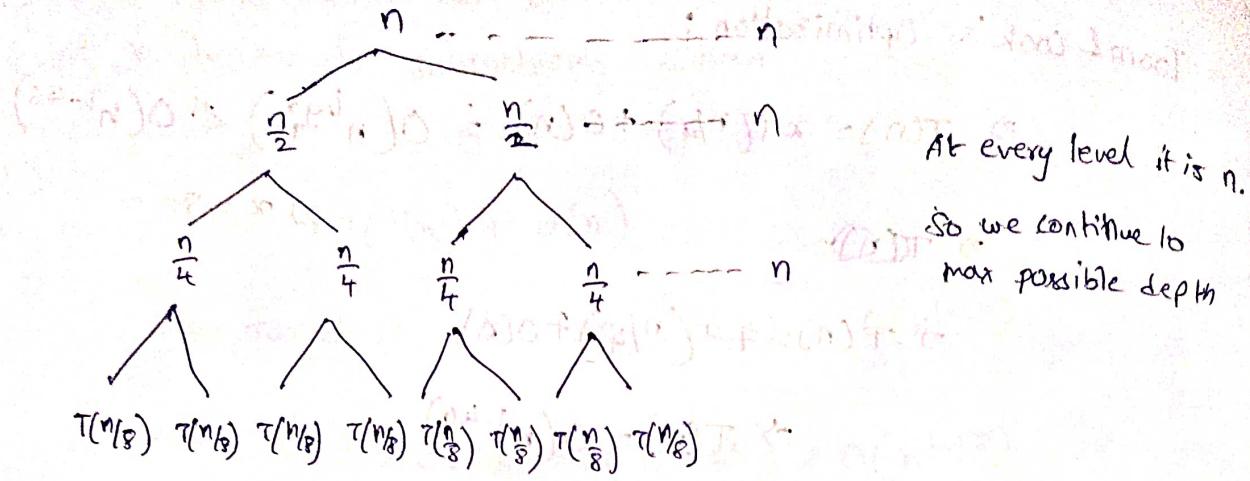
→ Recursion tree can be used to solve ~~asymetric~~ asymmetric recurrences.

E:  $T(n) = 2T(n/2) + n$



$$\Rightarrow T(n) = 2T(n/2) + n$$





$$\frac{n}{2^k} = 1 \Rightarrow 2^k = n \Rightarrow k = \log n$$

no of ~~levels~~ levels ~~are~~ are

$$\frac{n}{2^0}, \frac{n}{2^1}, \frac{n}{2^2}, \dots, \frac{n}{2^{k-1}}, \frac{n}{2^k}$$

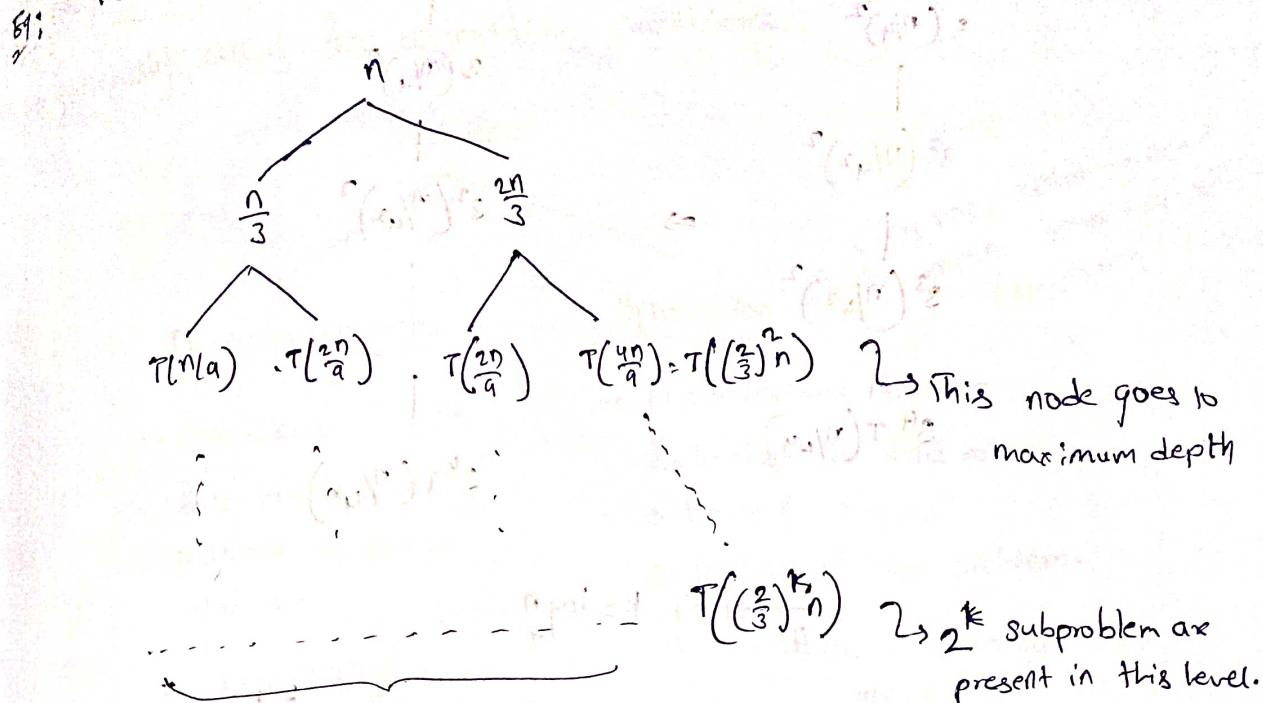
~~∴ levels~~, ∴  $k+1$  levels

$$\therefore T(n) = n(k+1)$$

$$= n(\log n + 1)$$

$$\Rightarrow T(n) = O(n \log n)$$

$$T(n) = T(\frac{n}{3}) + T\left(\frac{2n}{3}\right) + n; \quad T(1) = 1$$



we assume all nodes have reached this depth and get an approximate answer

$$\therefore \left(\frac{2}{3}\right)^k n = 1$$

$$k = \log_{\frac{3}{2}} n$$

$$k = \log_{\frac{3}{2}} n$$

total of  $k+1$  levels.

$$\therefore T(n) = n(k+1)$$

$$= n \left( \log_{\frac{3}{2}} n + 1 \right)$$

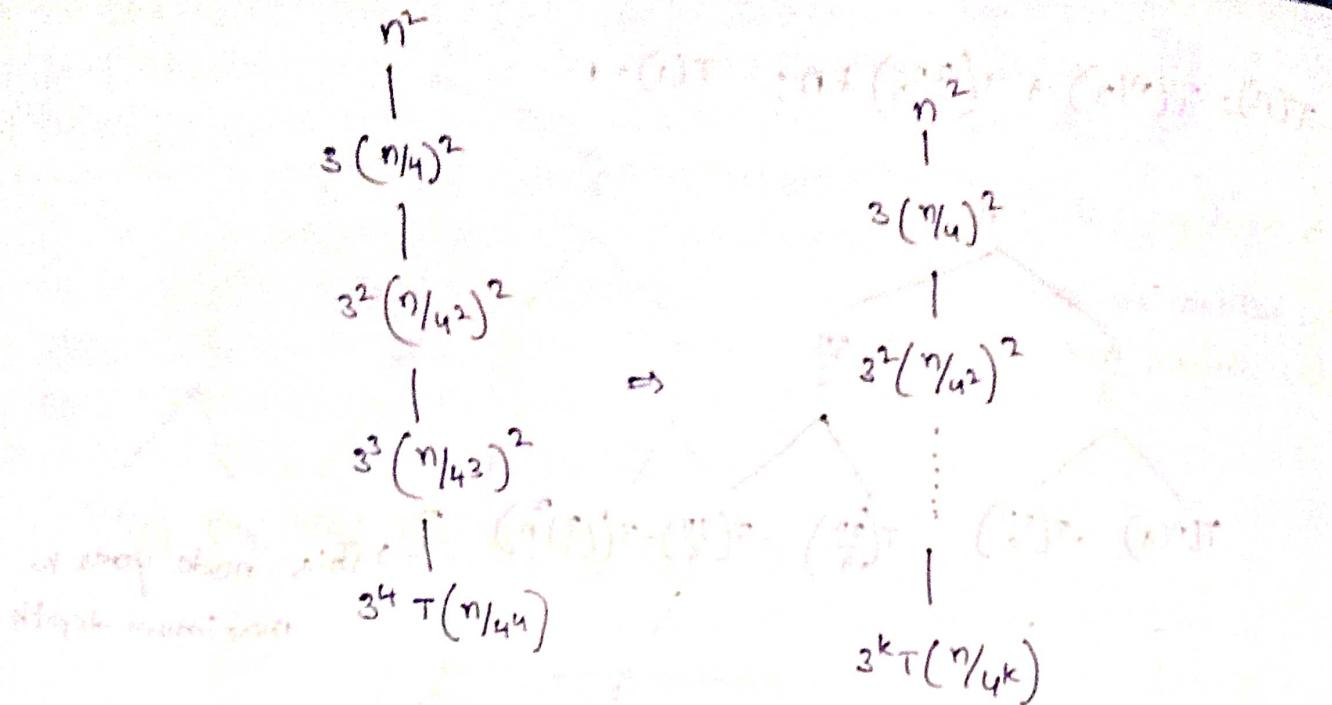
$$\therefore T(n) = O(n \log_{\frac{3}{2}} n) = O(n \log n)$$

$$\therefore T(n) = 3T(n/4) + n^2$$

$$\begin{array}{ccc} n^2 & & n^2 \\ | & \Rightarrow & | \\ 3T(n/4) & & 3(n/4)^2 \\ & & | \\ & & 3^2 T(n/4^2) \end{array}$$

$$T(n) = 3T(n/4) + (n/4)^2$$

$$\begin{array}{c} n^2 \\ | \\ 3(n/4)^2 \\ | \\ 3^2 (n/4^2)^2 \\ | \\ 3^3 T(n/4^3) \end{array}$$



$$\text{so we have } \frac{n^2}{4^k} = 1 \Rightarrow k = \log_4 n$$

$$\therefore n^2 + \frac{3}{4^2} n^2 + \left(\frac{3}{4^2}\right)^2 n^2 + \left(\frac{3}{4^2}\right)^3 n^2 + \dots + \left(\frac{3}{4^2}\right)^{k-1} n^2 + 3^k$$

$$= n^2 \left( 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \dots + \left(\frac{3}{16}\right)^{k-1} \right) + 3^k$$

$$= n^2 \left( \frac{1}{1 - 3/16} \right) + 3^{\log_4 n}$$

$$= n^2 \left( \frac{16}{13} \right) + n^{\frac{\log_3 3}{\log_3 4}}$$

$$\Rightarrow T(n) = O(n^2)$$

$$\rightarrow T(n) = T(n/2) + T(n/4) + n$$

$$\text{Ans: } O(n) \xrightarrow{\text{solve}}$$

## Greedy Method:

generally used for optimization problem.

### Problems

#### Decision problems

→ characterized by the fact that its result/outcome is either yes/no.

Eg: Divisibility,

prime number etc.

#### Optimization

→ characterized by the fact that it tries to optimize a given criterion of the problem.

Eg: Knapsack, Job sequencing, etc.

Eg: Graph coloring → optimization problem

Travelling salesman → optimization problem

shortest path → optimization problem

n-Queens → Decision (whether queens can be placed in non-attacking position or not)

Sorting → Decision

Banker's algo → Decision

CPU scheduling → Optimization

Memory allocation → Optimization.

by optimization, it never means optimizing time complexity.

## Terminology:

i) Problem Definition: Defining problem Eg: n-queens  
we define what the problem is.

ii)

### Constraints

Implicit (local constraints to the problem.)

Eg: no two queens should be in attacking positions

Explicit (depends on instance of problem.)

For example solving 4-queens, so array size is 4)

2.

(iii) Solution Space:

All possible ways of organizing inputs but satisfying explicit constraints.

i.e. it may or may not meet implicit constraint.

	1	2	3	4
q <sub>1</sub>	•			
q <sub>2</sub>		•		
q <sub>3</sub>			•	
q <sub>4</sub>				•

⇒ This part of soln space

i.e.  $\langle 1, 2, 3, 4 \rangle$  is part of soln space.

considering all 4 columns

However  $\langle 1, 3, 5, 2 \rangle$  is not a part of soln space

because 4-queens problem's explicit constraints is that queens could be place in locations from 1 to 4.

∴ Having 5 doesn't meet explicit constraint.

(iv) So for n-queens problems size of soln space = ~~n~~ ~~n~~ ~~n~~ ~~n~~ n!

If problem is constructing binary search tree with  $2^n$  nodes,

then size of soln space =  $\frac{1}{n!} 2^n C_n$

→ Working with entire p soln space is called brute force method.

(v) Feasible solution:

The solutions in solutions space, that satisfy the implicit constraints of the problem is/are called feasible solutions.

	1	2	3	4
q <sub>1</sub>		•		
q <sub>2</sub>			•	
q <sub>3</sub>	•			
q <sub>4</sub>			•	

4-queens has 2 feasible solns

## (v) Objective Function:

refers to min/max of given criterion of the problem.

Problems may have objective function or may not have.

↳ n-queens problem

doesn't have objective function.

Eg: Consider shortest path problem

→ soln space has all paths b/w any two vertices

→ feasible soln has only those paths that connect source and destination vertex.

→ Here objective function is to minimize the cost of path.

## (vi) Optimal Solution:

It is the feasible solution that satisfies objective function.

→ optimal soln is always unique.

### Note:

→ If a problem doesn't have objective function then we find only feasible soln.

→ Decision problems don't have objective function, hence for decision problems we find only feasible solutions.

- Greedy method is an algorithm design strategy used for solving problems, whose outcomes are seen as a result of making a sequence of decisions.
- Greedy method makes these decisions in a stepwise manner by applying the principle of local optimality (greedy choice property)
- Principle of local optimality says that whatever the initial state & available options, greedily select that option that satisfies the objectivity function (this give feasible soln)

Eg: SJF algorithm, Shortest seek time first

- i) Knapsack Problem:
- given a knapsack of capacity : M
  - given n-objects ( $O_i$ ) & each object is associated with weight ( $w_i$ ) and profit ( $p_i$ )
  - Maximizing the profit such that the total weight that put into knapsack should not exceed its capacity (M).
  - For every object we make decision to find  $x_i$  (fraction of  $O_i$  put into knapsack)
    - for  $x_i$  the weight put into knapsack =  $w_i \cdot x_i$
    - the profit object =  $p_i \cdot x_i$

$\therefore$  we need to maximize  $\sum_{i=1}^n p_i \cdot x_i$  such that

$$\sum_{i=1}^n w_i \cdot x_i \leq M$$

$x_i$  can be real value  
real knapsack  
fractional knapsack  
greedy knapsack

This type of knapsack problem is called

→ size of soln space of real knapsack problem infinite.  
→ for 0/1 knapsack the size of soln space is  $2^n$ .

Consider below instance of knapsack problem.

$$n=3; m=20; \quad \langle p_1, p_2, p_3 \rangle = \langle 25, 24, 15 \rangle \\ \langle w_1, w_2, w_3 \rangle = \langle 18, 15, 10 \rangle \\ \langle x_1, x_2, x_3 \rangle = ?$$

Explicit constraint  
& knapsack problem is

$$\sum_{i=1}^n w_i \leq M$$

(i) Greedy about profit:

$p_1$  has highest profit

$$\Rightarrow x_1 = 1$$

$$\text{remaining capacity} = 20 - 18 = 2$$

$p_2$  has next highest profit

$$\Rightarrow x_2 = \frac{2}{15} \quad \text{as capacity is 2}$$

Here profit  $P = P_1x_1 + P_2x_2 + P_3x_3$

$$= 25(1) + 24\left(\frac{2}{15}\right) = 28.3$$

(ii) Greedy about weight:

$w_3$  is least.

$$\therefore x_3 = 1$$

$$\text{remaining capacity} = 20 - 10 = 10$$

$w_2$  has next least weight

$$\Rightarrow x_2 = \frac{10}{15} = \frac{2}{3}$$

$$\therefore \text{profit} = P_1x_1 + P_2x_2 + P_3x_3$$

$$= 0 + \frac{2}{3}(24) + 0(15)$$

$$= 31$$

(iii) Greedy about object that has highest profit per weight ratio:

$$\frac{P_1}{w_1} = \frac{25}{18} = 1.3 \Rightarrow x_1=1$$

$$\frac{P_2}{w_2} = \frac{24}{15} = 1.6 \Rightarrow x_2=1$$

$$\frac{P_3}{w_3} = \frac{15}{10} = 1.5 \Rightarrow x_3 = \frac{5}{10}$$

$$\text{Profit } P = P_1 x_1 + P_2 x_2 + P_3 x_3$$

$$= 24 + 24(1) + 15\left(\frac{5}{10}\right)$$

$$= 24 + 7.5 = 31.5$$

→ 3rd approach is optimal.

→ So, arrange the objects in decreasing order of  $P/w$  ratio &

keep on including the objects, until a  $k$ th object cannot be placed  
and for this object we take proportionate fraction.

Time complexity after sorting →  $O(n)$

Time complexity including sorting →  $O(n \log n)$

$$\text{Ex: } n=7, m=15; \langle P_i - P_7 \rangle = \langle 10, 5, 15, 7, 6, 18, 13 \rangle$$

$$\langle w_r - w_7 \rangle = \langle 2, 3, 15, 7, 14, 11 \rangle$$

$$\langle \frac{P_i}{w_i} - \frac{P_7}{w_7} \rangle = \langle 5, 1.66, 3, 1, 6, 4.5, 3 \rangle$$

$$\Rightarrow \begin{array}{c} \downarrow \\ x_1=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_2=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_3=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_4=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_5=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_6=1 \end{array} \quad \begin{array}{c} \downarrow \\ x_7=1 \end{array}$$

$$P = 10 + \frac{2}{3}(5) + 15 + 0 + 6 + 18 + 3$$

$$= 55.33$$