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It is clear the optimal profit is 60.

$$\langle w_i \rangle = \langle 10, 7, 4, 2 \rangle$$

$$\langle p_i \rangle = \langle 60, 28, 20, 24 \rangle$$

$$\left\langle \frac{p_i}{w_i} \right\rangle = \langle 6, 4, 5, 12 \rangle$$

② ④ ③ ①

Select O<sub>4</sub>  $\Rightarrow$  remaining weight = 11 - 2 = 9      P = 24

Select O<sub>2</sub>  $\Rightarrow$  w<sub>2</sub> > 9

$\therefore$  not possible.

Select O<sub>3</sub>  $\Rightarrow$  remaining weight = 9 - 4 = 5  $\Rightarrow$  P = 24 + 20 = 44

Select O<sub>4</sub>  $\Rightarrow$  w<sub>2</sub> > 5

$\therefore$  not possible

$$\therefore V_{opt} = 60 \quad V_{greedy} = 44$$

$\therefore$  Ans: 16

## Job Sequencing with Deadlines (JSD)

$\rightarrow$  n-jobs / programs / Process

$\rightarrow$  Each job (J<sub>i</sub>) arrives at time  $a$  and needs one unit time.

$\rightarrow$  Every job is associated with a deadline d<sub>i</sub> & profit p<sub>i</sub>. The profit is obtained only if the job is completed within its deadline.

### Problem Stmt:

Select a subset of given n-jobs such that the jobs in the subset are completable within their deadlines and generate maximum profit.

→ Here the trivial case is when all the jobs can be scheduled.

→ size of soln space is  $2^n$ .

It means working out this problem using brute force,  
the time complexity is  $O(2^n)$ .

Eg:  $n=4$ ;  $(J_1 \dots J_4)$ ;

$$(P_1 \dots P_4) = \{100, 15, 10, 40\}$$

$$\{d_1, d_2, d_3, d_4\} = \{2, 1, 2, 1\}$$

feasible soln for this problem

are those subsets having all  
the jobs which can be sched  
within the deadline (Implicit  
constraint)

Let  $J$  be soln subset. Initially  $J=\emptyset$

↳ feasible soln

I.  $|J|=0$

feasible soln

profit,  $P=0$

II:  $|J|=1$

$$J = \{J_1\}$$

feasible soln;  $P=100$

$$J = \{J_2\}$$

feasible soln;  $P=15$

III:  $|J|=2$  choose two soln. no. choice of (II) def. next

$$J = \{J_1, J_2\}$$

$$J_2 | J_1$$

deadline & prof

either both feasible, soln plus both sides of  $J_1$  &  $J_2$

profit  $\rightarrow 100+15=115$

Schedule:  $J_2, J_1$

$$J_3 = \{J_1, J_3\}$$

this is not a feasible soln

Profit = 110

Schedule:  $J_1, J_3$  (or)  $J_3, J_1$

Note:

The

= r

(This

Greedy

$n=4$

Let

→ The

Step(s):

one by one

J

$$J = \{J_2, J_4\}$$

05

This is not a feasible soln.

∴ we cannot schedule  $J_2$  &  $J_4$  within their deadlines.

$$\text{IV} - |S| = 3$$

no three jobs can be scheduled

∴ ∵ there is not greatest feasible soln with  $|S|=3$ .

Note:

The maximum no of jobs that a feasible subset can have = maximum deadline.

(This rule applies only when each job takes exactly 1 unit of time.)

Greedy approach for JSD:

$$n=4; \langle j_1, j_4 \rangle; \langle p_1, p_4 \rangle = \langle 100, 15, 10, 40 \rangle; \langle d_1, d_4 \rangle = \langle 2, 4, 2, 1 \rangle$$

Let  $J$  be the soln subset

→ The job with highest profit will always be part of optimal soln

Step i): Arrange the jobs in decreasing order of profits.

i.e.,  $j_1, j_4, j_2, j_3$

One by one

Schedule the first job of sorted order such that the

job goes into maximum possible slot below its deadline.

∴ Pick  $j_1$ :

deadline is  $2 + 100 = 102$  (future)

slot 2 is free

∴ 

	$j_1$
--	-------

Pick 4:

slot deadline  $\rightarrow$  slot 4 is full

slot '1' is empty

j4	jr
----	----

Pick j2:

slot 1 is not empty.

Pick j3:

slot 2 is not empty. Now check slot 1.

slot 1 is not empty.

$\therefore$  final schedule:

j4	jr	
0	1	2

$$\therefore \text{profit} = 100 + 40 = 140$$

Eg:  $n=7$

$\langle J_1-J_7 \rangle$ :  $\{1, 2, 3, 4, 5, 6, 7\}$

$\langle d_1-d_7 \rangle = \{2, 3, 4, 5, 2, 4, 4\}$

$\langle p_1-p_7 \rangle = \{54, 92, 30, 10, 15, 60, 45\}$

decreasing order of profits:  $J_2, J_6, J_1, J_7, J_3, J_5, J_4$

Pick  $j_2$ :

	$j_2$		
0	1	2	3

Pick  $j_6$ :

	$j_2$	$j_6$	
0	1	2	3

Pick  $j_1$ :

$j_2$	$j_6$	$j_1$	
0	1	2	3

$$\text{Profit} = 54 + 92 + 10 + 60 + 45$$

$$= 261$$

Eg:  $n=6$ ;  $\{j_1, j_6\}$

$$\{d_1 - d_6\} = \{4, 4, 3, 4, 2, 3\}$$

$$\{p_1 - p_6\} = \{105, 38, 46, 160, 20, 14\}$$

$j_2$	$j_3$	$j_4$	$j_5$
0	1	2	3

$$P = 105 + 38 + 46 + 160 + 150$$

$$= 389$$

(H/37)

a)

$T_2$	$T_3$	$T_4$	$T_5$	$T_6$	$T_7$	$T_8$
0	1	2	3	4	5	6

$\therefore$  opt (d)

$$\text{b). } P = 15 + 20 + 30 + 18 + 23 + 16 + 25 \\ = 147$$

### Control Abstraction of Greedy method

Procedure GREEDY ( $A, n$ )

↳ IP size

{

1. solution  $\leftarrow \emptyset$

2. for  $i \leftarrow 1$  to  $n$

{

$x \leftarrow \text{SELECT}(A);$

if ( $\text{FEASIBLE}(x, \text{solution})$ ) // checks whether including  $x$  in soln  
is feasible or not.

ADD( $x$ , solution);

}

3. return (solution);

}

From this control abstraction,

we say minimum time complexity is  $O(n)$

i.e., when  $\text{SELECT}()$ ,  $\text{FEASIBLE}()$ ,  $\text{ADD}()$  are  $O(1)$

High-level Pseudocode for JSO:

Algorithm JSO ( $d, p, J, n$ )

// we assume that jobs are arranged in decreasing order of profits

//  $p_1 \geq p_2 \geq p_3 \dots \geq p_n$

{

1.  $J \leftarrow \{J\}$ ;

2. for  $i \leftarrow 2$  to  $n \longrightarrow O(n)$

{

if (all job in  $J \cup \{i\}$  can be completed by their deadlines) then

$J \leftarrow J \cup \{i\}$ ;

}

Time Complexity of JSO:  $O(n^2)$

→ The working of JSO is similar to the worst case behaviour of insertion sort.

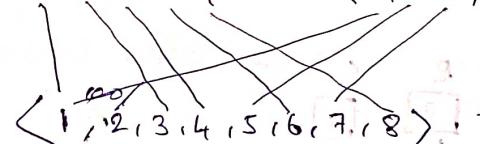
## Optimal Merge Patterns

- Merging of files: Files have records in sorted order.
- Given  $n$  files, it is required to merge them using 2-way merging to get a single sorted file with an objective of minimizing the total no of record movements.

Eg: Consider merging two file  $A$  &  $B$  with 5, 3 records respectively

$$A = \langle a_1, a_2, a_3, a_4, a_5 \rangle$$

$$A = \langle 1, 3, 4, 6, 8 \rangle \quad B = \langle 2, 5, 7 \rangle$$



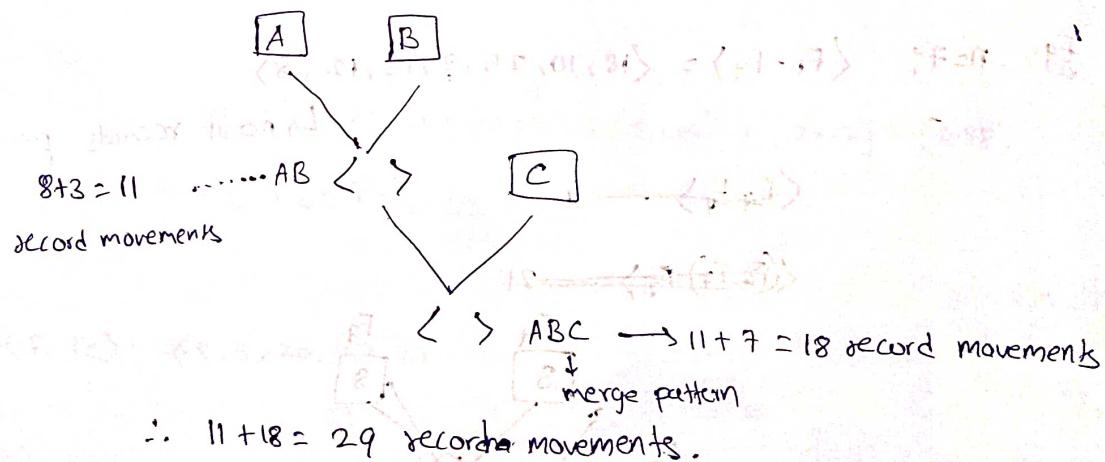
$$5+3=8 \text{ record movements}$$

$$\text{Eg: } n=3,$$

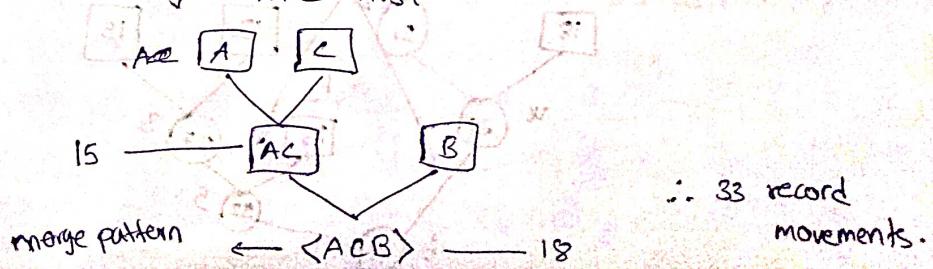
$$\langle A, B, C \rangle = \langle 8, 3, 7 \rangle$$

5+3+7  $\hookrightarrow$  no of records

→ Consider we merge  $A, B$  first



→ Now consider we merge  $A, C$  first



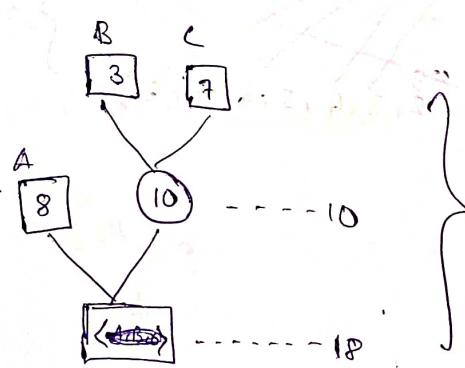
→ Here size of soln space =  $n!$   
 $\therefore TC$  with brute force =  $O(n^n)$

→ Also here every soln in the soln space is a feasible soln.

Greedy strategy for OMP will follow steps to top of program

At each step (of every  $(n-1)$  steps), select the two files with least no of records, merge them and add to the list.

$$n=3; \langle A, B, C \rangle = \langle 8, 3, 7 \rangle$$



2-way  
 Optimal binary merge tree  
 (reverse of the figure)

$$\therefore \text{Min record movements} = 10 + 18 = 28$$

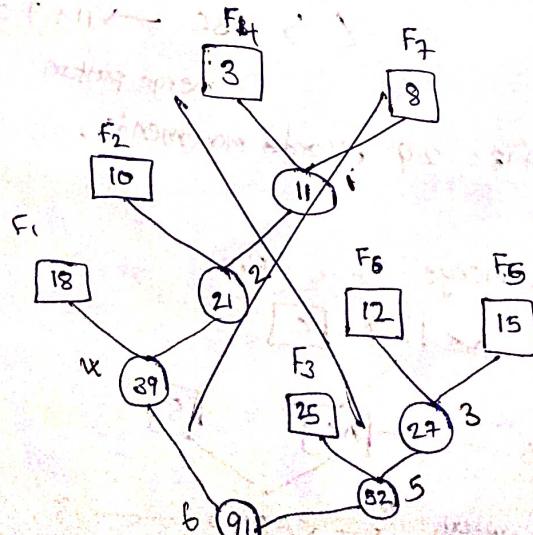
Optimal merge pattern =  $\langle B, C(A) \rangle$

$$\text{Ex: } n=7; \langle F_1 - F_7 \rangle = \langle 18, 10, 25, 3, 15, 12, 8 \rangle$$

$$\langle F_4, F_7 \rangle \rightarrow 11$$

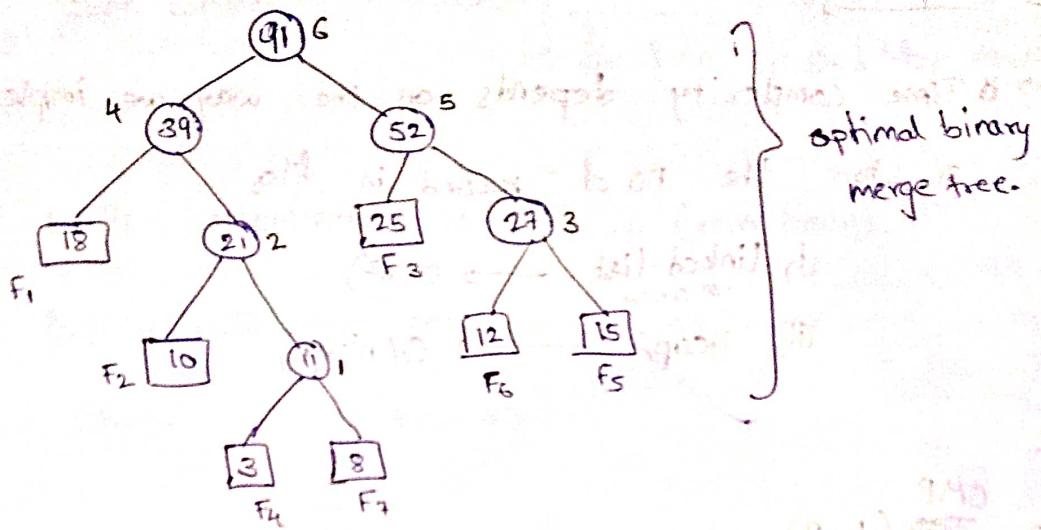
L no. of records per each file

$$\langle (F_4, F_7), F_2 \rangle \rightarrow 21$$



$$\therefore \text{no of record movements} = 11 + 21 + 39 + 26 + 27 + 52 + 91$$

$$= 241$$



$\rightarrow$  sum of internal nodes gives min. no. of record movements.

Note:

Total no. of record movements involved during QMP is given by  
weighted external path length.

$$\text{Def. } \sum_{i=1}^n q_i \cdot d_i$$

$d_i \rightarrow$  distance from root to  $F_i$

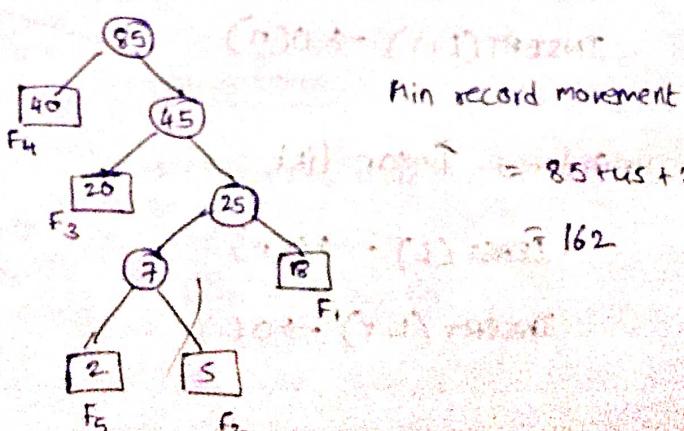
$q_i \rightarrow$  size of  $F_i$

$$= (2 \times 18) + (3 \times 10) + (2 \times 25) + (4 \times 3) + (3 \times 15) + (3 \times 12) + (4 \times 8)$$

$$= 36 + 30 + 50 + 12 + 45 + 36 + 32$$

$$= 241$$

Eg:  $n=5$ ;  $\langle F_1-F_5 \rangle = \{8, 5, 20, 40, 12\}$



## Performance Analysis:

### Time Complexity:

→ Time complexity depends on the way we implement or store the no of record in files

i) linked list →  $O(n^2)$   
 (or array)

ii) Heap →  $O(n \log n)$

11/10/20

Algo ~~OMP~~ ( $L, n$ )

//  $L$  is a list of  $n$  single node binary tree as described below

{

1. For  $i \leftarrow 1$  to  $n-1$  do
2. call `GETNODE( $T$ )` // creates a node  $T$
3.  $LCHILD( $T$ ) \leftarrow LEAST( $L$ )$
4.  $RCHILD( $T$ ) \leftarrow LEAST( $L$ )$
5.  $WEIGHT( $T$ ) \leftarrow WEIGHT(LCHILD( $T$ )) + WEIGHT(RCHILD( $T$ ))$
6. call `INSERT( $L, T$ )`
7. repeat
8. return (LEAST( $L$ ))

}

Here time complexity depends on the way we implement list  $L$

i) If  $L$  is ordered linear list

$LEAST(L) \rightarrow O(1)$

$INSERT(L, T) \rightarrow O(n)$

ii) If  $L$  is unordered linear list

$LEAST(L) \rightarrow O(n)$

$INSERT(L, T) \rightarrow O(1)$

Thus if the algorithm is implemented with a list

Time complexity  $\rightarrow O(n^2)$

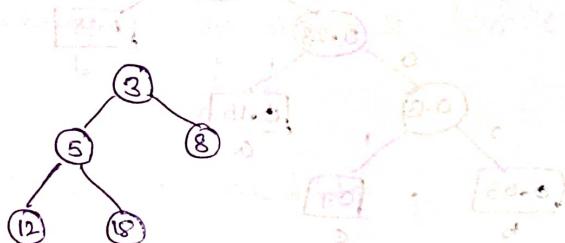
Space complexity  $\rightarrow O(n)$

$\hookrightarrow$  for tree created by nodes  $T$

(iii) If  $L$  is implemented as a heap (min heap)

first we build the heap with  $O(n)$  time

$$\{F_1 - F_5\} = \{5, 12, 8, 3, 18\}$$



LEAST( $L$ ) takes  $O(\log n)$  time

INSERT( $L, T$ ) takes  $O(\log n)$  time

$\Rightarrow$  Time complexity  $\rightarrow O(n \log n)$

Space complexity  $\rightarrow O(n)$

$\hookrightarrow$  space for heap

## Huffman Coding

$\rightarrow$  It is an application of optimal merge pattern

$\rightarrow$  Huffman coding is a data encoding technique.

### Coding

#### uniform

#### non-uniform

$\rightarrow$  Each character is represented with equal no of bits

Eg: ASCII

$\rightarrow$  Characters may be represented with different no of bits.

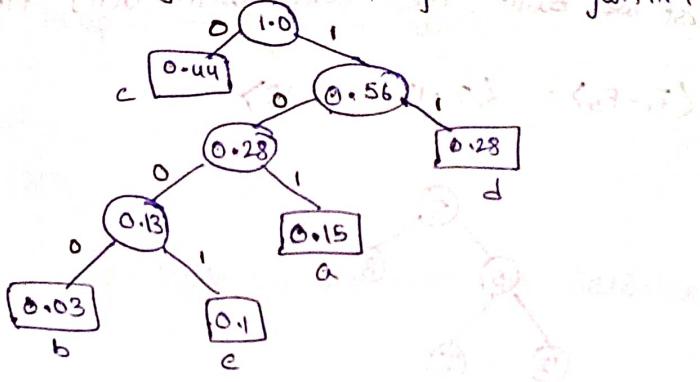
- Huffman coding is a non-uniform coding.
- Characters / elements having higher frequencies / probabilities of occurrences must be encoded with less no of bits.

Ex Cor

$$\text{Eg: } L = \langle a, b, c, d, e \rangle = \langle 0.15, 0.03, 0.44, 0.28, 0.1 \rangle$$

(first step) go to a binary search tree.

Huffman coding : to get codes, apply OMP algorithm



Mark every left edge as 0 and right edge as 1

(reverse can also be followed)

→ Find

$$\Rightarrow \begin{aligned} a &\rightarrow 101 \\ b &\rightarrow 1000 \\ c &\rightarrow 0 \\ d &\rightarrow 11 \\ e &\rightarrow 1001 \end{aligned}$$

Now consider the text cccdccdcade.  
The corresponding binary stream is 00110001110111001

i.e.

→ Disadvantages

error

→ If the

probabi

Coding

Complete

using uniform coding every character would require 3 bits  
and hence the text would require 30 bits.

~~Ch~~ Consider binary stream 1010101111

Find text & considering previous example

sol:

for i/p traverse the tree until you reach the leaf.

After reach leaf, print that character and traverse again from the root using remaining i/p.

In above stream after reading 101 we arrive at leaf 'd'

after reading 0 we arrive at leaf 'c'.

$\therefore 1010101111 \rightarrow acdedd$

→ Find avg no of bits used, per character in huffman coding.

$$(0.15)(3) + (0.08)(4) + (0.44)(1) + (0.22)(2) + (0.1)(4) = 1.97 \text{ bits}$$

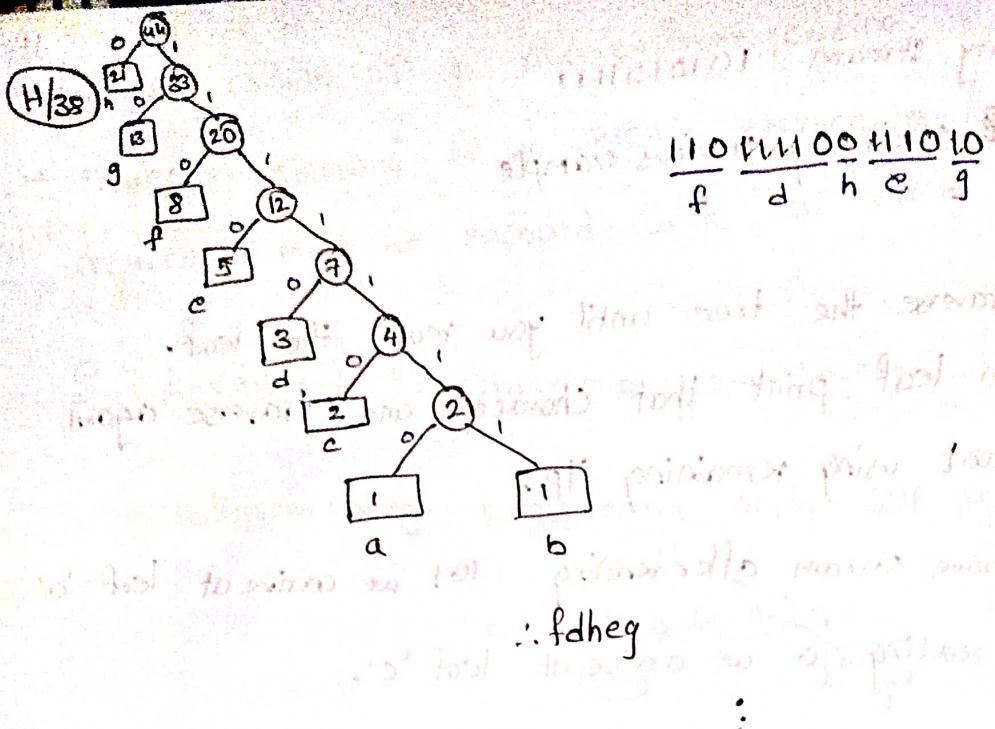
i.e.,  $\sum_{i=1}^n q_i$

i.e., avg no of bits is given by weighted external path length  $\times$  sum of internal nodes.

→ Disadvantage of huffman coding is that even a single bit error can make the whole text corrupted.

→ If there are  $2^n$  characters and if each character has equal probability (i.e.  $\frac{1}{2^n}$ ) then no of avg bits req in huffman coding is  $n$ . Also the tree formed will be a complete binary tree, with all the nodes at leaf position.

3 bits



Find avg no of characters bits per characters

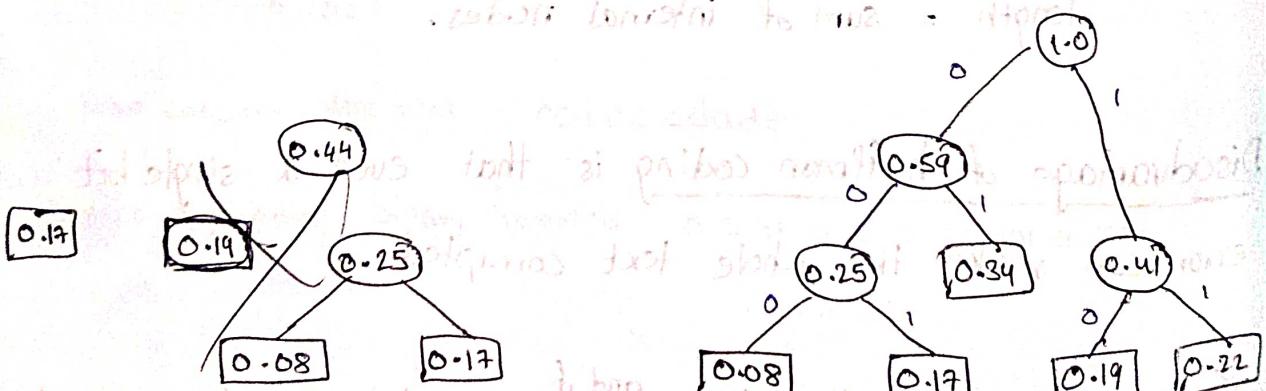
$$= \frac{\sum q_i d_i}{\sum f_i} \rightarrow \text{frequencies}$$

$$\text{Number of leaf nodes} = \frac{\text{Sum of internal nodes}}{2}$$

$$= \frac{1+1+2+3+5+8+13}{2}$$

$$= \frac{42}{2} = 21$$

H/39 stop borrothe bathsheba borrothee following it about to see prove



$$\begin{aligned}
 \text{avg no of bits} &= 3(0.08) + 3(0.17) + 2[0.34 + 0.19 + 0.22] \\
 &= 0.75 + 2(0.75) \\
 \cancel{\text{bits}} &= 2.25 \text{ bits} \\
 \therefore 225 \text{ bits}
 \end{aligned}$$

## Spanning Trees:

$$G = (V, E), \quad |V| = n; \quad |E| = e;$$

$G$  is weight undirected graph

Spanning tree: A subgraph  $T(V, E')$  of a given graph  $G = (V, E)$  where  $E' \subseteq E$  is a spanning tree iff  $T$  is

connected acyclic graph (tree)

solution space:

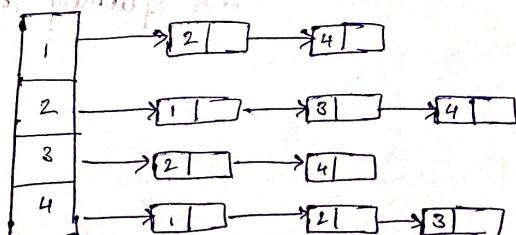
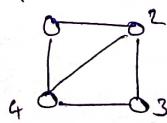
i.e., Maximum no of spanning trees =  $n^{n-2}$  2, kaley's formula  
for a complete graph of  $n$  vertices.

→ No of spanning trees possible for any graph is given by cofactor of any element of the matrix representing the graph.

Note:

→ Any graph based problem that use adjacency matrix or cost adjacency matrix has time complexity no less than  $O(n^2)$

→



undirected graph → ~~at least~~  $n+2e$  nodes.

directed graph →  $n+e$  nodes

→ Thus any graph problem which represent graph with adjacency list has atleast  $O(n+e)$

→ Max value possible for  $e$  is  $O(n^2)$

↳ In some cases,  $O(n \cdot e) = O(n^2)$

∴ For complete graphs/dense graphs, adjacency matrix representation is desirable.

For sparse graphs adj: list representation is desirable.

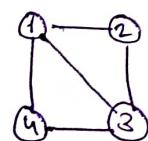
Calculating no of spanning trees for a non-complete graph:

i) Create adjacency matrix for given graph.

ii) Replace all diagonal elements with degrees of node and  
replace all non-diagonal 1's (edges) with -1.

iii) Now calculate co-factor of any element of the matrix  
and this gives no of spanning trees.

Eg:



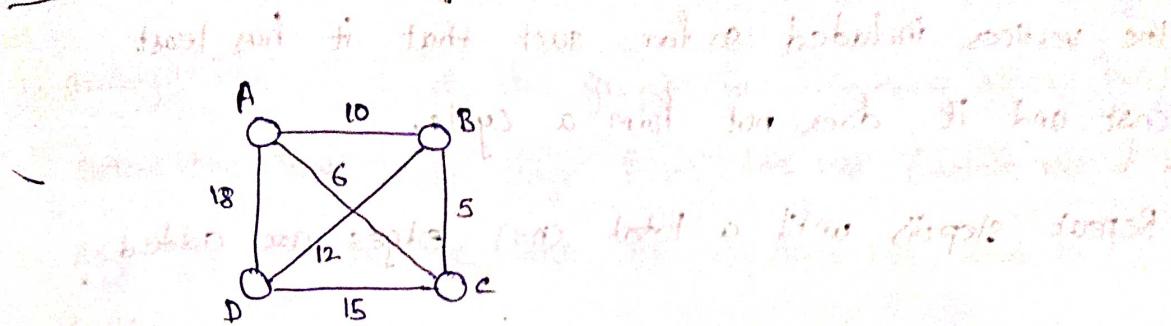
→

$$\begin{bmatrix} 3 & -1 & -1 & -1 \\ -1 & 2 & -1 & 0 \\ -1 & -1 & 3 & -1 \\ -1 & 0 & -1 & 2 \end{bmatrix}$$

Cofactor of 3 =  $\begin{vmatrix} 2 & -1 & 0 \\ 0 & -1 & 2 \end{vmatrix} = 8$

∴ 8 spanning trees.

## Minimum cost spanning Tree (MCST):

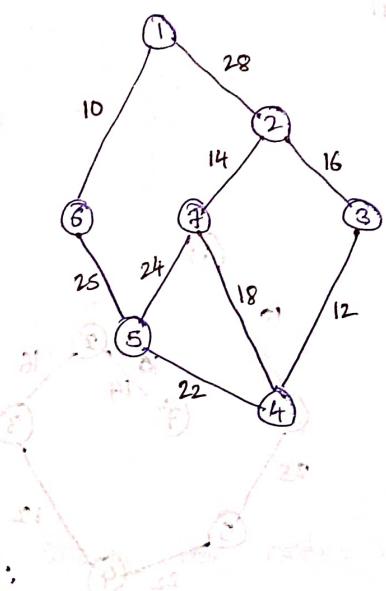


## Applications of spanning tree:

→ Used in multicast broadcast

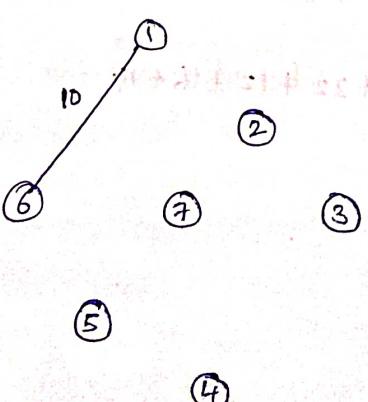
→ MCST is used in electronic & electrical applications (in circuits)

## Construction of MCST:



## Prims Algorithm:

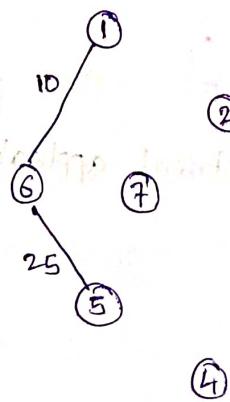
- choose the least cost edge and add to the solution.



(iii) The next edge to be added, must be adjacent from to the vertices included so far such that it has least cost and it does not form a cycle.

Repeat step (ii) until a total  $(n-1)$  edges are added

So add edge 1-6



Add 5-4 ... 22

Add 4-3 ... 12

Add 2-3 ... 16

Add 2-7 ... 14

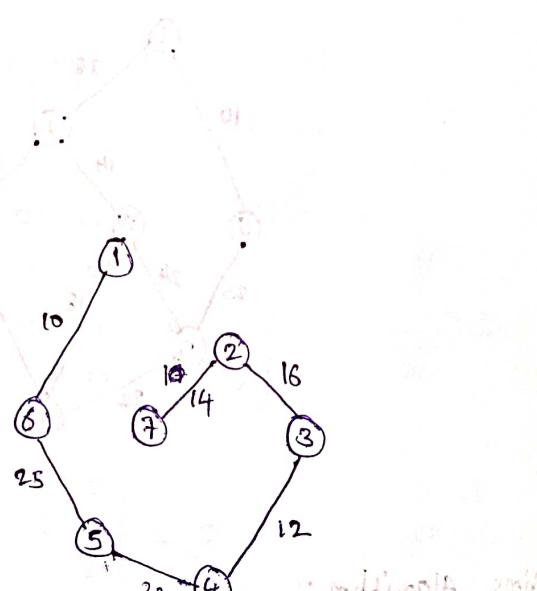
6 edges are added and we finish the process here

$\therefore \text{Min cost} =$

$$\begin{aligned}\text{Cost of MCST} &= 10 + 25 + 22 + 12 + 16 + 14 \\ &= 99\end{aligned}$$

Kruskal

1. Arrange
2. Delete
3. Add
- cycle.
4. Repeat
- add edges



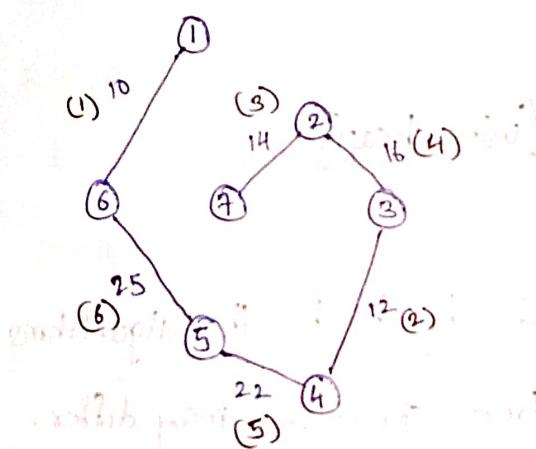
The number added.

→ At the where.

## Kruskal's algorithm

1. Arrange the edges of the graph in increasing order. (min heap)
2. Delete the least cost edge from the list. (delete root of min heap)
3. Add the edge to the soln iff it does not lead to cycle.
4. Repeat (ii) & (3) until a total of  $(n-1)$  edges are added.

edges  $\rightarrow 10, 12, 14, 16, 18, 22, 24, 25, 28$



Add 10  
Add 12

Add 14

Add 16

Adding 18 leads to a cycle

Add 22

Add 24 forms a cycle

Add 25

The numbering shows the order in which the edges are added.

→ At the time of construction elements 18, 24 are discarded whereas 28 is not considered.

## Difference b/w Prim's & Kruskal's algorithms

(i) Prim's method always maintains tree structured property at every step (correct connectedness) whereas Kruskal's algorithm may or may not.

(ii) Time complexities:

Prim's algorithm :

- $\begin{cases} \text{adjacency matrix & linear list} : O(n^2) \\ \text{adjacency list & heap} : O((n+e)\log n) \end{cases}$

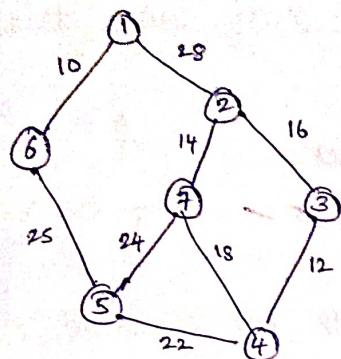
Kruskal's algorithm

$$O(e\log e) \text{ [using heap]}$$

(iii) The cost of MCST generated by both the algorithms is same. However, the tree structure may differ.

- \* The tree structure may differ when there are multiple edges of same weight (or) ~~there are two or more spanning trees with same minimum cost~~.
- \* Tree structure generated by Prim and Kruskal will if all the edge costs are distinct. ~~but there is only one spanning tree with minimum cost~~.

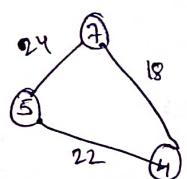
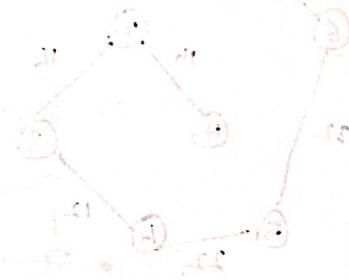
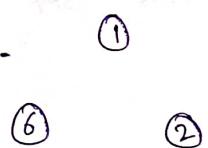
## Dijkstra's algorithm:



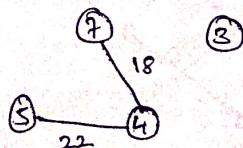
(This algorithm doesn't come  
totally under greedy)

1. Starting adding any edge and continue adding edges one by one until a cycle is formed. Once a cycle is formed remove the maximum cost edge from the cycle. Repeat the above step until ~~n=1~~ edges are added or all the edges are exhausted. (Note that no edge should be added 2nd time after its removal)

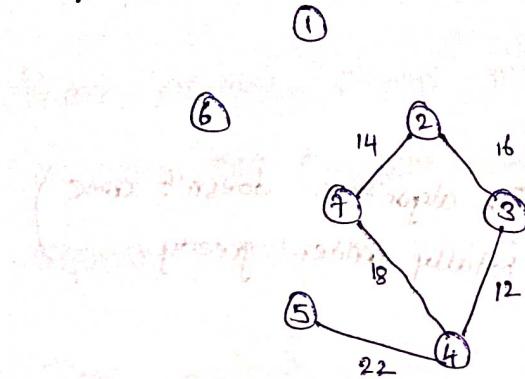
Eg: Consider adding 24, 22, 18.



Remove 24 from cycle.



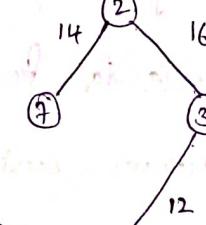
Add 12, 16, 14



Remove 18

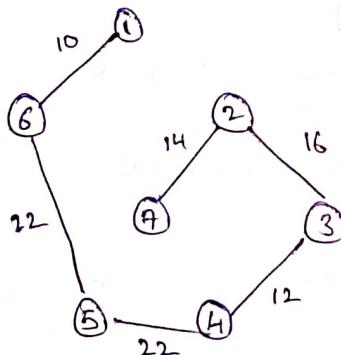


①



①

3rd time Add 25, 10  
Add 25, 10 to 6. Edges are added



6 edges are added

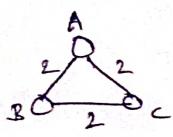
∴ Stop the process

Calculating no of MCSTs:

→ If all edges costs are distinct the graph will have a unique MCST.

- If there exist multiple edges of same cost then there may exist more than one MCST with same cost.

Ex:

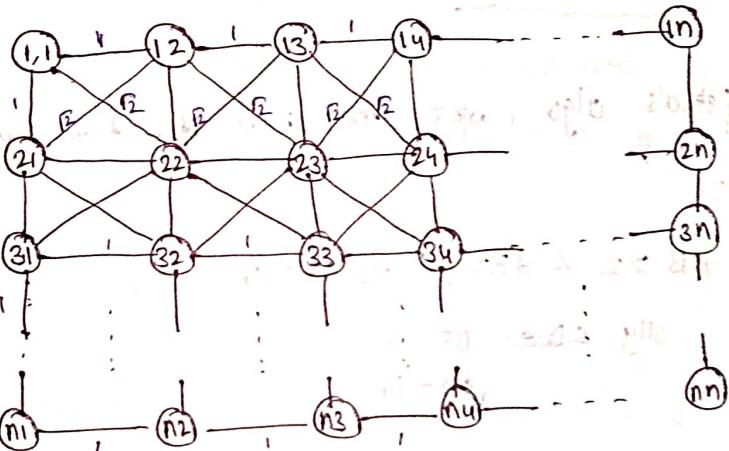


No of MCSTs possible = 3

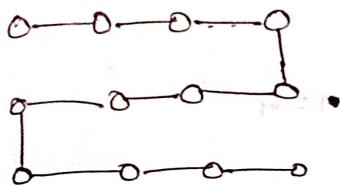
Note: There is no standard formula for calculating no of MCSTs. we just need to calculate from the graph given using permutations & combinations.

(H/40)

(i)

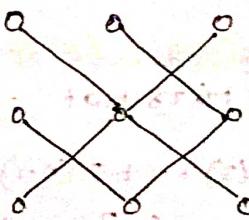


So the min cost spanning tree is



$$\therefore \text{Cost is } (n-1)(n) + (n-1) = (n+1)(n-1) = n^2 - 1$$

(ii) Find cost of max cost spanning tree.

take  $n=3$ 

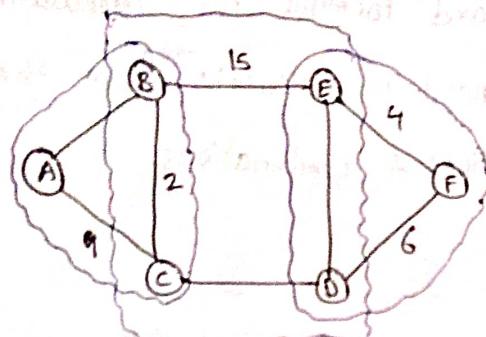
we have added 7 odd edges or each of cost  $F_2$ .

Now we can no more add any edge of cost  $F_2$ .

$\therefore$  we add one edge of cost 1.

$$\therefore \text{Cost is } (n^2 - 2)(F_2) + 1$$

(H/41)



Using dijkstra's algo (wkt that max wt. from cycle has to removed).

$$\therefore AB > 2 \text{ & } AB > 9 \Rightarrow AB = 10$$

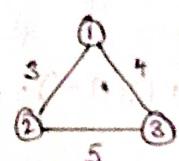
$$\text{Sly } CD \text{ & } DE = 7$$

$$eD = 16$$

$$\therefore 36 + 10 + 16 + 7 = 69$$

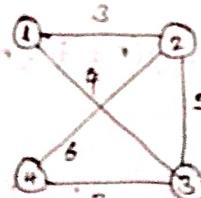
(H/42)

$$n=3$$



$$\text{i.e., } 3+4=7$$

$$n=4$$



$$\text{i.e., } 3+4+6$$

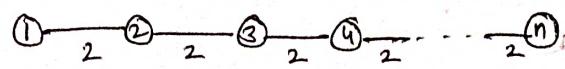
$\therefore$  the sequence is  $3 + 4 + 6 + 8 + 10 + \dots$

$$\text{i.e., } 3 + 2(2) + 2(3) + \dots + 2(n-1)$$

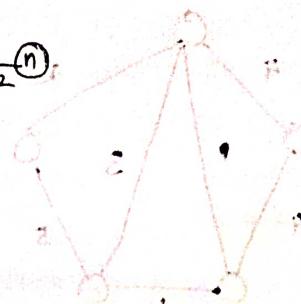
$$+ 3 - 2 + 2[1 + \dots + (n-1)] = 1 + 2 \frac{n(n-1)}{2} = n^2 - n + 1$$

(H/43)

MCST is



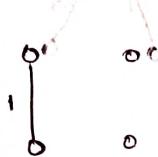
$$\therefore 2(n-1)$$



(H/44)

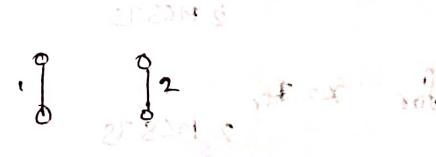
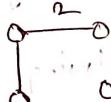
$$\{1, 2, 3, 4, 5, 6\}$$

'1' is always part of MCST



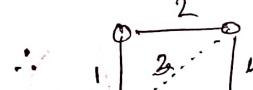
has to

Using Kruskal's algorithm, next we add 2, and this doesn't lead to cycle no matter where the edge is



Choosing '3' in first case it could be forming a cycle

so '4' will be part of MCST



MIA cost

$$\text{Max possible cost of MCST} = 1+2+4 = 7$$

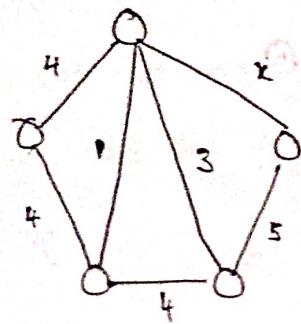
(H/45)

After modification,

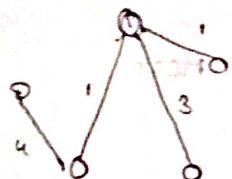
MCST's structure remains same with weights increased.

$$\therefore 500 + 99(5) = \cancel{500} 995$$

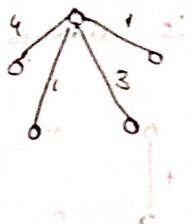
H/46



$$x=1 \Rightarrow$$



(01)



only for  $x=2$ ,

Prob of 2 MCSTS, and

for  $x=3$ , 2 MCSTS

for  $x=4$ , 2 MCSTS

steps up for  $x=5$



For this kind of problems  
use dijkstra's algo.

Having  $x=5$  will add  
to  $\geq 2$  possibilities of  
removing max. cost edge  
from its corresponding cycle

$$\therefore 2 \times 2 = 4 \text{ ways}$$

H/47

i) False for w-regular graph.

ii) ~~the~~ true

iii) True, since we can start construction of MCST  
for that <sup>edge</sup>? (kruskal's algo)

iv) true

v) False in the case of w-regular graph

vi) true

I.

H/48

12/10/20

Algo PRIM (

// E is set of

// COST(n,n)

either posi

// T[1..n-1, 1..

{

1. real co

2. integer

3. (t,i)

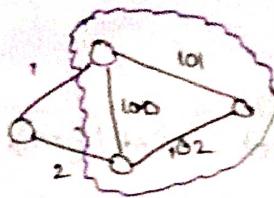
4. mincost

5. (T(i,j))

6. for i <

H/48

I.



'100' is lightest, still it is excluded cuz it is  
heaviest of another cycle (101 & 102)

$\therefore$  false | 3+2+1 = 6

. II. true from dijkstra's algo

$\therefore$  true

12/10/20

Algo PRIM (E, cost, n, T, mincost)

// E is set of edges in G

// cost(n,n) is cost adjacency matrix such that cost(i,j) is  
either positive or  $\infty$  if there is no edge b/w i, j

// T[1...n-1, 1..2] is store set of edges of MCST

{

1. real cost(n,n), mincost;

2. integer NEAR(n), n, i, j, k, l, T(n-1,2);

3.  $(k, l) \leftarrow$  edge with min cost .....  $O(e)$

4. mincost  $\leftarrow$  cost(k,l)

5.  $(T(1,1), T(1,2)) \leftarrow (k, l)$

6. for i=1 to n do .....  $O(n)$

if cost(i,k)  $<$  cost(i,l), then

NEAR(i)  $\leftarrow$  l

else

NEAR(i)  $\leftarrow$  k

7. for

of MCST

7.  $\text{NEAR}(k) \leftarrow \text{NEAR}(l) \leftarrow 0$

8. for  $i \leftarrow 2$  to  $n-1$  do  $\dots O(n)$

{  
a) let  $j$  be an index such that  $\text{NEAR}(j) \neq 0$  and  $\text{COST}(j, \text{NEAR}(j))$  is minimum.  $\dots O(n)$

b)  $(T(i,1), T(i,2)) \leftarrow (j, \text{NEAR}(j))$

c)  $\text{mincost} \leftarrow \text{mincost} + \text{COST}(j, \text{NEAR}(j))$

d)  $\text{NEAR}(j) \leftarrow 0$

e) for  $k \leftarrow 1$  to  $n$  do  $\dots O(n)$

{

if  $\text{NEAR}(k) \neq 0$  and  $\text{COST}(k, \text{NEAR}(k)) > \text{COST}(k, j)$  then

$\text{NEAR}(k) \leftarrow j$

}

9. if  $\text{mincost} \geq \infty$  then

print ("no spanning tree")

}

Time complexity

using adjacency matrix:  $O(n^2)$

using heap / Red black tree:  $O(n^2 \log n)$  due to  $n^2$  time steps  $\rightarrow (1, i) \rightarrow$

(i) creating heap with  $e$  edges  $\rightarrow O(e)$

(ii) step 3  $\rightarrow O(\log e)$

(iii) step 8  $\rightarrow O(n)$

Step 8.a)  $\rightarrow O(\log n)$  using heap with ~~near values~~ cost  $(j, \text{NEAR}(j))$  values.

Step 8.c)  $\text{NEAR}(k) \leftarrow j$  corresponds to decrease key operation and it takes  $O(\log n)$

In total we occurs  $n^2 \log n$  times (in worst case)

However in general it is  $e \log n$

$$\therefore TC = O(e \cdot \text{Floyd})$$

$$O(e) + O(\log e) + \cancel{O(n^2)} + O(n \log n) + O(\log n)$$

$\downarrow$   $\downarrow$

e.a      e.e

$$\text{i.e., } O((n+e)\log n) \approx O(e\log n)$$

↳ in worst case it is  $O(n^2 \log n)$

Hence for dense graphs we use only adjacency matrix  
but if the graph is sparse graph using heap is better.

Space Complexity:

without heap:

$$S.C. = \text{size}(T) + \text{size}(\text{near})$$

$$= O(n)$$

with heap:

$$S.C. = \text{size(heap for edges)} + \text{size(heap for near)}$$

$$S.C. = O(e+n)$$

## Shortest Paths:

I. Single pair shortest path

II. Single source shortest path

Dijkstra's

→ Greedy

→ Always works  
good for true  
wt edges

→ May or may not  
work with -ve  
edges

Bellman-Ford

→ Dynamic

→ Always works correctly with -ve wt. edges  
but not having -ve cycle reachable  
from source.

### III. All Pair shortest Paths

→ one way is

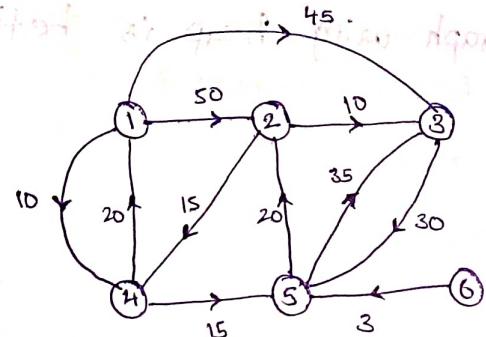
→ we can use single source shortest paths for all vertices

→ Second approach is

Floyd-warshall's algorithm

(optimal algorithm for shortest paths)

### Single Source Shortest Paths : Dijkstra's Algorithm:



This approach works for both directed & undirected graphs

Consider finding shortest path values from vertex '1'

i) Matrix form:

$d(x)$ : The value of vertex  $x$  is defined as shortest path known so far

vertex selected	1	2	3	4	5	6
{1}	-	50	45	10	$\infty$	$\infty$
{1,4}	-	50	45	10	25	$\infty$
{1,4,15}	-	45	45	10	25	$\infty$
{1,4,15,2}	-	45	45	10	25	$\infty$
{1,4,15,2,10}	-	45	45	10	25	$\infty$

This process of reducing distance is called relaxation.

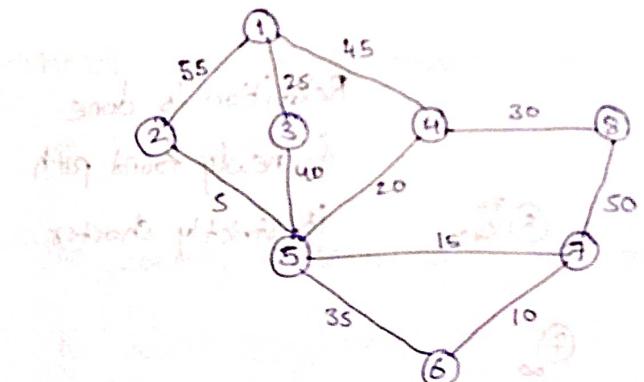
↳ ~~the~~ cost of shortest paths.

Consider finding shortest path from vertex 6

Vertex Selected	d-values					
	1	2	3	4	5	6
{6}	$\infty$	$\infty$	$\infty$	$\infty$	(3)	-
{6,5}	$\infty$	(23)	38	$\infty$	(3)	-
{6,5,2}	$\infty$	(23)	(33)	38	(3)	-
{6,5,2,3}	$\infty$	(23)	(33)	(38)	(3)	-
{6,5,2,3,4}	58	23	33	28	13	-

The limitation of this matrix form is that it shows only the cost of the shortest path but not the path.

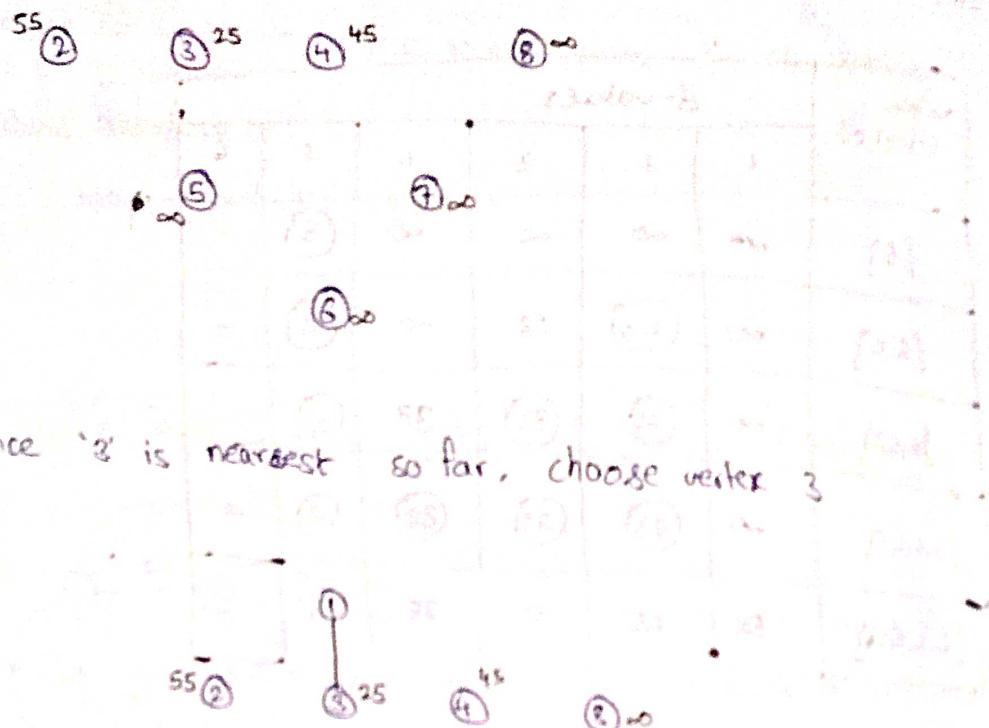
### (ii) Spanning tree approach:



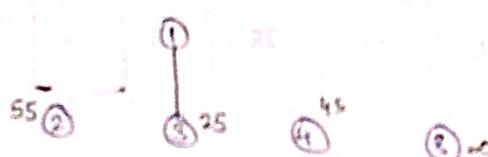
Consider finding shortest path from vertex 1.

→ write the edges of all nodes from node 1, as shown in the figure.

①



Since '2' is nearest so far, choose vertex 3.



Now mark 3 is 15 which is less than 25 so update it.

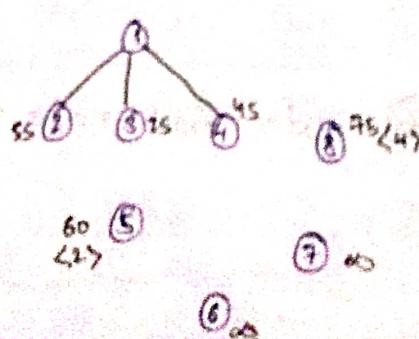
Here  
vertex 5 is  
relaxed.

Now choose vertex 4.

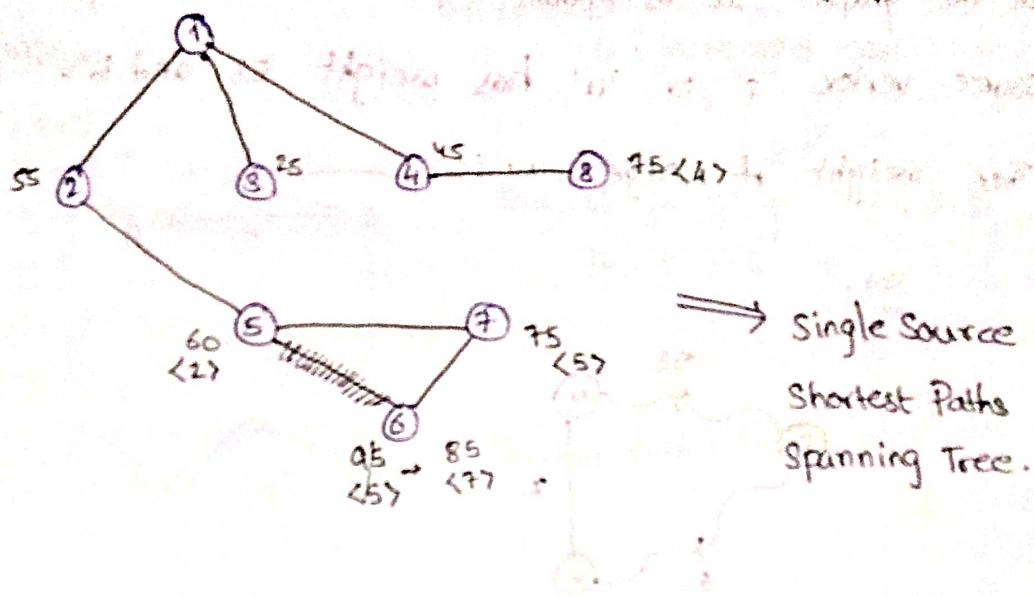


Relaxation is done  
if newly found path  
is strictly shorter.

Choose vertex 2.



choose vertex 5 (via 2)



∴ Final costs are

1	2	3	4	5	6	7	8
-	55	25	45	60	85	75	75

1-2  
1-3  
1-4  
1-2-5  
1-2-5-7  
1-2-5-7-6  
1-4-8

Note:

→ MCST and single source shortest paths spanning tree need not be same.

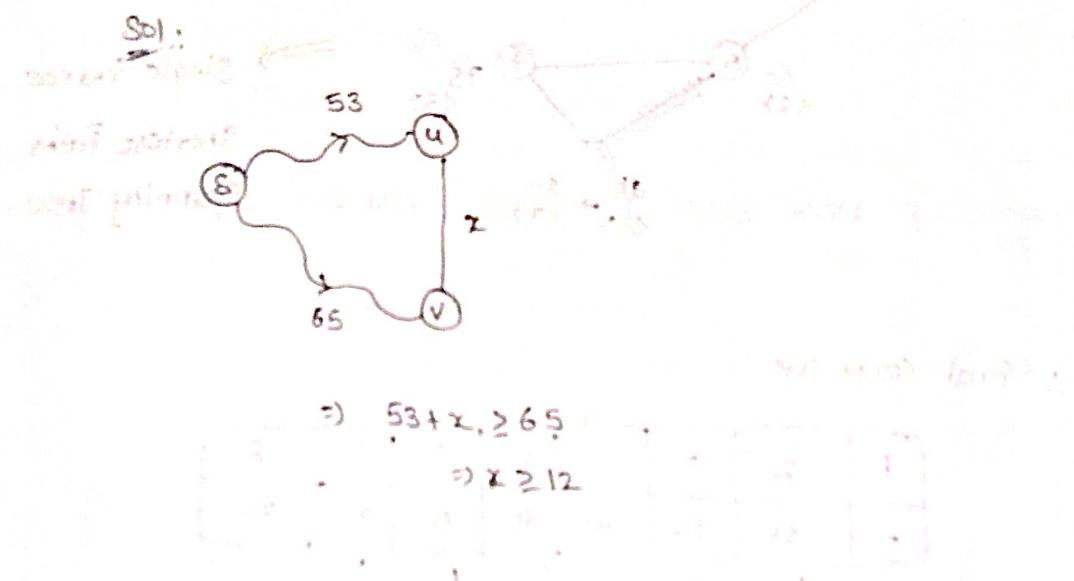
→ The working / implementation of dijkstra's algorithm is similarly to prim's algorithm.

Time Complexity

without heap:  $O(n^2)$

with heap:  $O(n \log n)$

Q) Consider weighted undirected graph. Let  $uv$  be an edge in the graph. It is known that the shortest path from the source vertex 's' to 'u' has weight 53 and to 'v' is 65. Then weight of edge  $uv$  is -



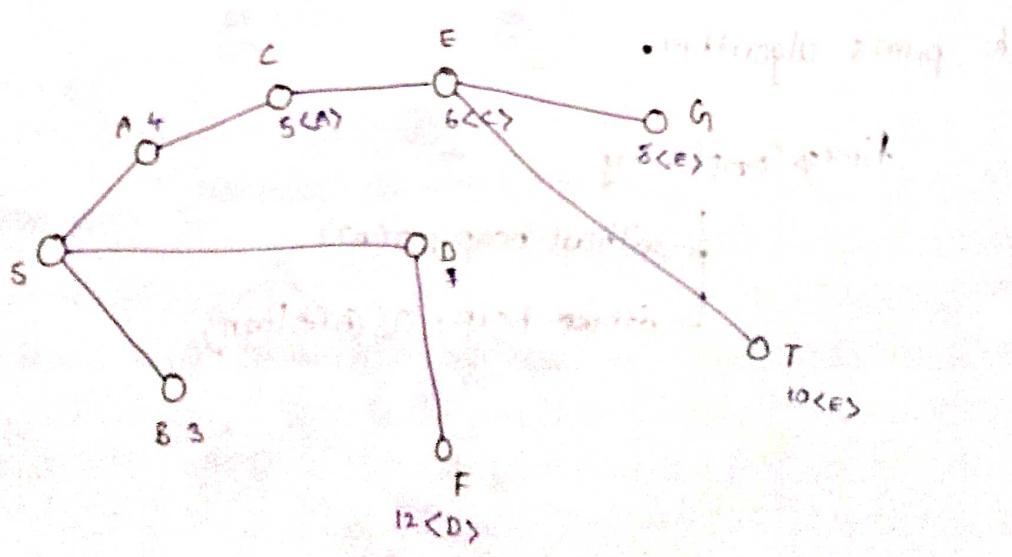
H/49

Q) Find no of MCST possible for below graph



Ans: 64

H/49

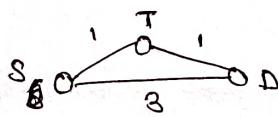


$\therefore$  SACET

(H/50)

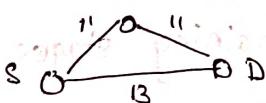
1. True ( $\because$  doing so will inc cost of every spanning tree by  $(n-1)c$ )  $\hookrightarrow$  increased cost.
2. False

Ex:



shortest path from ~~S to S~~ to D  
is S-T-D

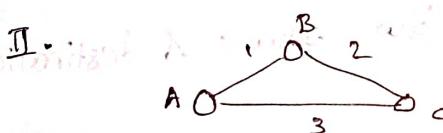
inc weight by 10



shortest path: S-D

(H/52)

- I. True (concept)



from A to C we have 2 shortest paths

i.e., A-B-C

A-C

$\therefore$  False

(Refer to 2nd final question) bottom phong writing

bottom phong below is  
the 2nd last page of the  
last page of the last page

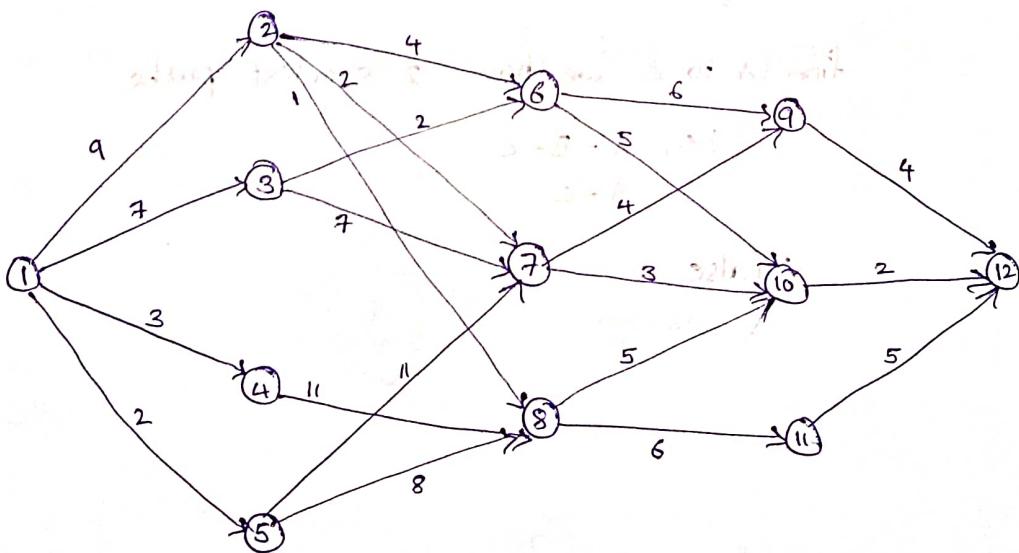
## Dynamic Programming:

→ Here programming means act of tabulating the results of subproblems.

## Multistage Graph:

→ A k-stage multistage graph has k stages where 1st & last stage have exactly one vertex and remaining stages have atleast one vertex.

- Every edge is from stage  $i-1$  to a vertex of stage  $i$  to a vertex of stage  $i+1$
- The problem is to find distance b/w source & destination



Applying greedy method (choosing least cost at every stage)

$$1 - 5 - 8 - 10 - 12 \Rightarrow 17 \rightarrow \text{so using greedy method}$$

Applying Dijkstra's algorithm for single pair shortest path may fail

$$1 - 3 - 6 - 10 - 12 \Rightarrow 16 \rightarrow \text{greedy method works when we need to find shortest paths to all vertices.}$$

→ DP is an algorithm design technique used for solving problems whose solutions are viewed as a result of making a set of decisions.

→ One way of solving these problems is by making decisions at every step based on local information available at that step. This is true for problems solved by greedy method.

→ But for many problems, optimal like multistage graphs, optimal solutions cannot be achieved by making decisions based on local information in a stepwise manner.

→ One way of solving the problems for which optimal solutions cannot be made based on local information is to enumerate all possible decision sequences and pick up the best. This is known as brute force approach. However for this strategy, the drawback is that it has very large time & space complexities.

→ DP is based on enumeration; but, it often tries to curtail the amount of enumeration by removing/avoiding those sequences that are not feasible or suboptimal.

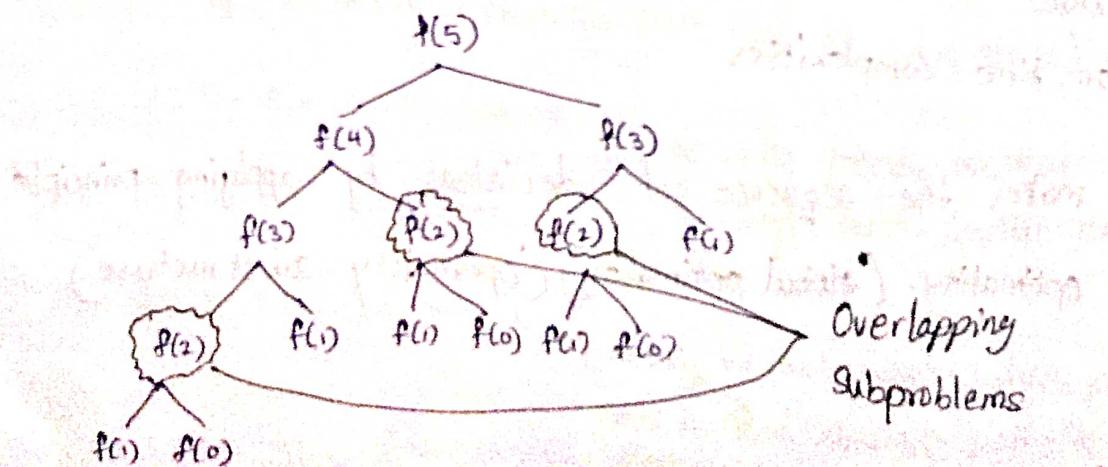
Due to this there may be a significant improvement on time complexities.

→ DP makes the sequence of decisions by applying principle of optimality. (global optimality) (optimality substructure)

→ principle of optimality states that whatever the initial state and decision are, the remaining sequence of decision must be optimal with regard to state resulting from the current decision.

- Any problem that obeys principle of optimality and overlapping subproblems can be solved by DP.
- The fundamental difference b/w greedy method and DP is that GM generates only one decision sequence whereas DP may generate multiple decision sequences.
- In DP, the results of subproblems can be tabulated and can be reused. (Memoization)
- Thus DP optimizes time by curtailing decision that lead to non-feasible or suboptimal soln and by tabulated results of subproblems.

Ex: Consider computing nth fibonacci number no feasible plan fib(0)=0  
fib(1)=1 sufficient for small examples  
fib(n)=fib(n-1)+fib(n-2)



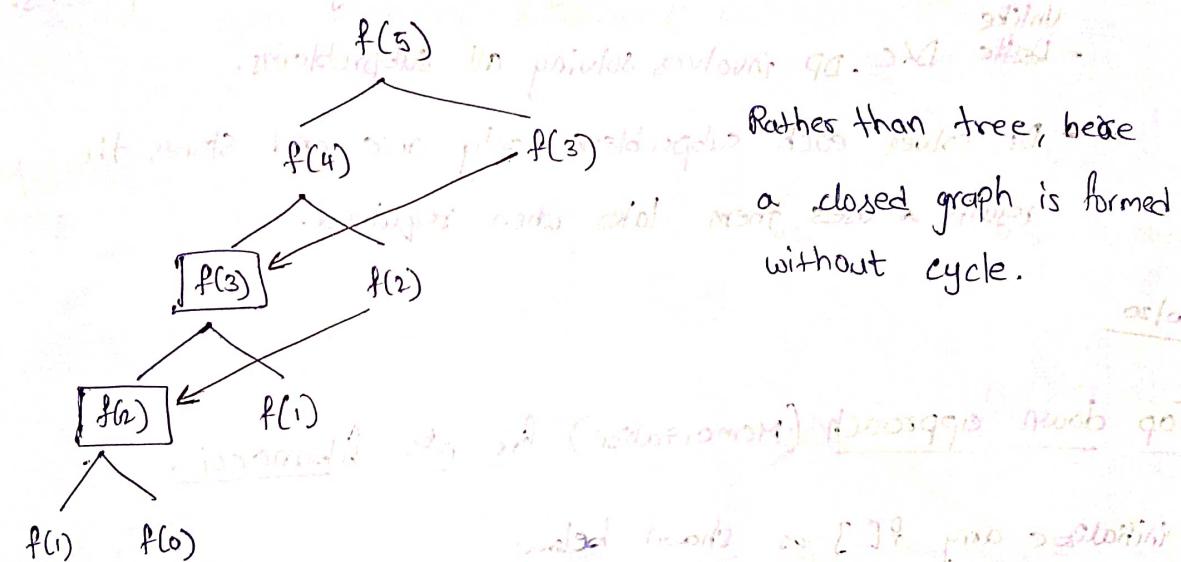
→ Regular recursive implementation

```

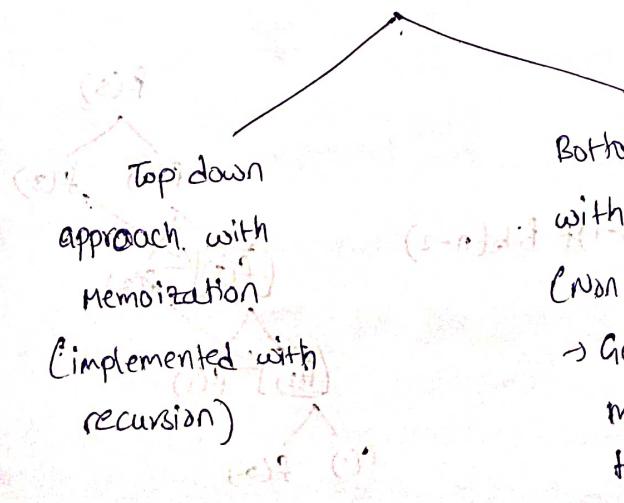
for pointer fib(n) {
    if(n ≤ 1) return n;
    else {
        value here = return fib(n-1) + fib(n-2);
    }
}
Time: O(2^n)
Space: O(n)

```

→ Top down DP with memoization:



→ DP problems can be solved in 2 ways:



→ Generally this is  
more efficient in  
terms of T.C

## Note:

Greedy: Builds up a solution incrementally by optimizing at each step some local criteria.

D&C: Break up a problem into separate subproblems and solve each subproblem independently and combining the solutions to subproblems to get soln of original problem.

DP: Break up a problem into a series of overlapping subproblems and build up solns to larger and larger subproblems.  
 Unlike D&C, DP involves solving all subproblems.  
 - DP solves each subproblem only once and stores the results & uses them later when required.

15/10/20

Top down approach (Memoization) for nth fibanacci:

initialize array  $f[ ]$  as shown below

0	1	2	3	4	5
0	1	NIL	NIL	NIL	NIL

\$ int fib(n)

{

  if( $f[n] == \text{NIL}$ )

$f[n] = \text{fib}(n-1) + \text{fib}(n-2)$

  return ( $f[n]$ );

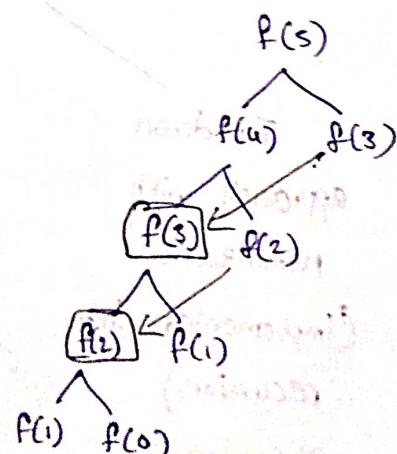
}

TC:  $O(n)$

$\because$  ~~every~~ for every number  $\text{fib}(n)$  is computed only once.

SC:  $O(n)$

i.e., size(array) + size(stack)



Bottom up approach (Tabulation) for  $n^{\text{th}}$  Fibonacci number

$f[1000]: \text{int array}$

$f[0]=0, f[1]=1;$

int fib(n)

{

    For  $i=2$  to  $n-1$

$f[i] = f[i-1] + f[i-2];$

    return ( $f[i]$ );

}

$\rightarrow$  iteration of  $(x_1, x_2) \rightarrow (x_2, x_3) \rightarrow \dots$

TC:  $O(n)$

SC:  $O(n)$  [i.e., size of array]  $\rightarrow$   $O(1)$   $\rightarrow$   $O(1)$

### Multistage Graph

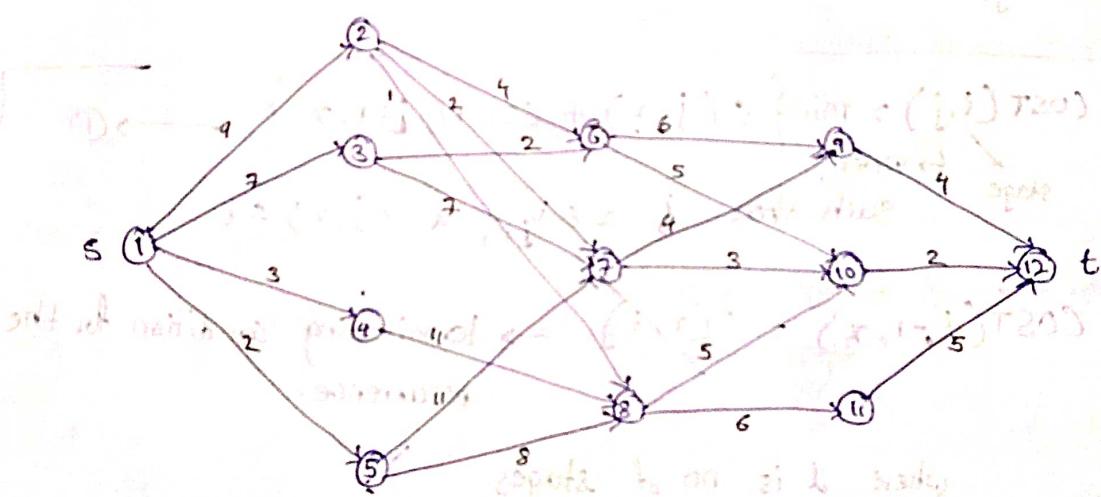
v1

v2

v3

v4

v5 ... stages



let  $C[1..n, 1..n]$  represent cost adjacency matrix.

let  $\text{cost}(i, j)$  represent cost of path from vertex  $i$  that is present in stage  $i$  to the destination vertex  $t$ .

i.e., stage  $i$



cost(j, t)

So the target is to find  $\text{cost}(1,1)$ .

from ① we go to vertex  $x$  such that  $x \in V_2$  &  $\langle 1, x \rangle \in E$   
i.e., there is an edge  
blue  $1 \rightarrow x$ .

We choose this  $x$  such that  $\text{cost}(c(1,x) + \text{remaining}$   
cost of path from  $x$  to  $t$ ) is minimum.

i.e.,  $c(1,x) + \text{cost}(2,x)$  is minimum

$$\therefore \text{cost}(1,1) = \min \{ c(1,x) + \text{cost}(2,x) \}$$

such that  $x \in V_2$  &  $\langle 1, x \rangle \in E$

∴ In general

optimal  
substructure  
property

$$\text{cost}(i,j) = \min \{ c(j,x) + \text{cost}(i+1,x) \} \rightarrow ①$$

stage      ↴ vertex

such that  $x \in V_{i+1}$  &  $\langle j, x \rangle \in E$

$$\text{cost}(l-1, x) = c(x, t) \rightarrow \text{terminating condition for the recurrence.}$$

where  $l$  is no of stages

$$D(i,j) = \text{value of } x \text{ which minimizes } \text{cost}(i,j)$$

such 'x' is the value which minimizes  $\text{cost}(i,j)$  in eq(1)

used to obtain the vertices in the shortest path.

Time complexity:  $O(n^2)$  or  $O(n+e)$

Space complexity:  $O(n)$

$$\text{COST}(1,1) = \min \{ 9 + \text{COST}(2,2), 7 + \text{COST}(2,3), 3 + \text{COST}(2,4), 21 + \text{COST}(2,5) \}$$

$\{2, 4, 5\}$

so we start from back

$$\text{Cost}(4,9) = C(9,t) = 4$$

$$\text{Cost}(4,10) = C(10,t) = 2$$

$$\text{Cost}(4,11) = C(11,t) = 5$$

Now we compute costs of stage 3 vertices

$$\text{COST}(3,6) = \min \{ C(6,9) + \text{COST}(3,9), C(6,10) + \text{COST}(3,10) \}$$

$$= \min \{ 6 + \text{COST}(4,9), 5 + \text{COST}(4,10) \}$$

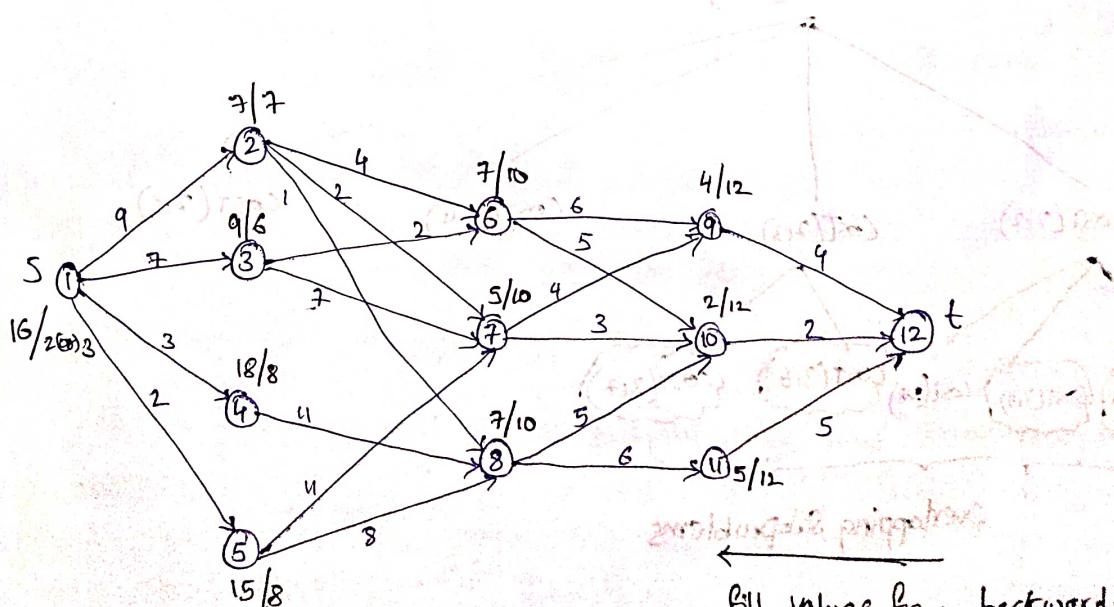
$$= \min \{ 6+4, 6+2 \} = 7$$

$$\text{also } D(3,6) = 10$$

↳ the vertex which gives shortest path from vertex 6.

$$\text{COST}(3,7) = \min \{ 5 \}$$

$D(3,7) = 10$  These values can be found directly from the graph



Fill values from backward.  
At each node we write  $\text{COST}(i,j)/D(i,i)$

$\therefore$  Min cost path's cost = 16

path can be found by using array D.

From graph we find path using the labels assigned to each

$\therefore$  path: 1 - 2 - 7 - 10 - 12

1 - 3 - 6 - 10 - 12

Formal way for path construction:

either go to first choice or another

For an l-stage graph we need to make  $l-2$  decisions

path: 1 - 2 - (Right)  $\Rightarrow$  (Right) joins - (Left)  $\Rightarrow$  (Left)

$$D(1,1) = 2$$

path: 1 - 2 -

↑  
1st decision

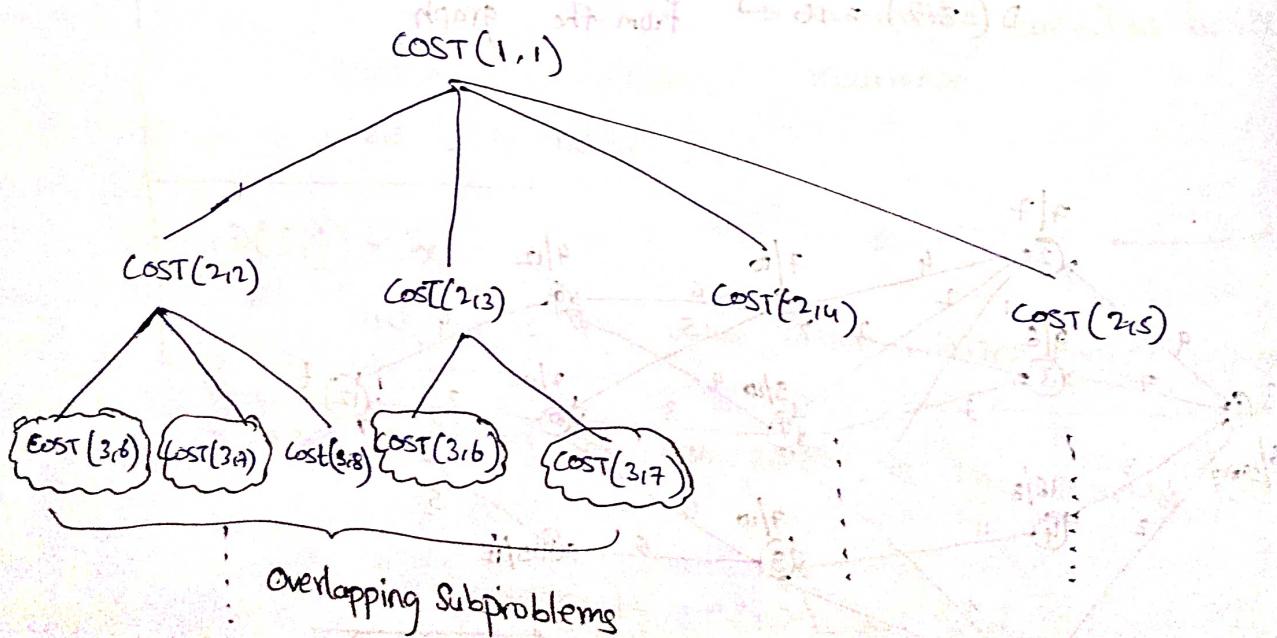
$$D(2, D(1,1)) = D(2,2) = 7 \quad \text{Left} \Rightarrow$$

path: 1 - 2 - 7 -

↑  
2nd decision

$$D(3, D(2, D(1,1))) = D(3, D(2,2)) = D(3,7) = 10$$

After this branch and join path: 1 - 2 - 7 - 10 - 12

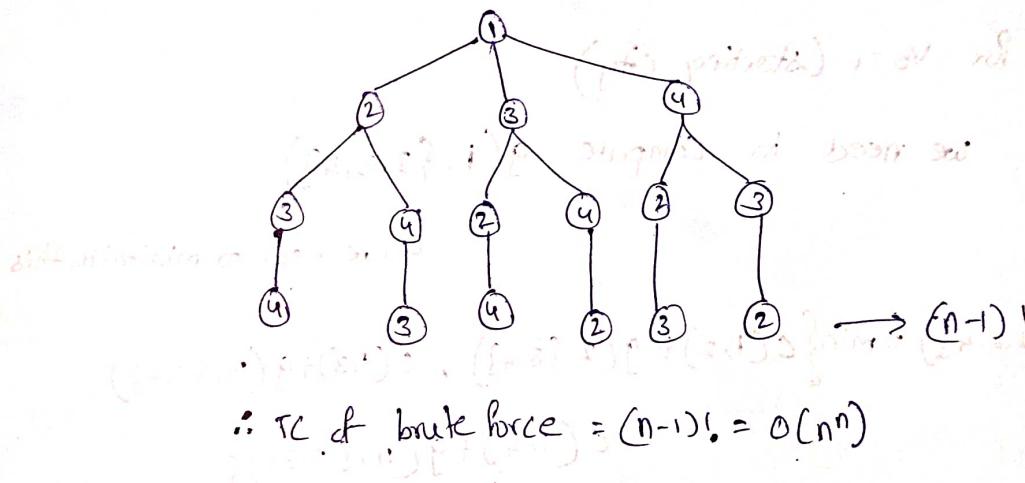


# Travelling Salesman Problem

## Problem Defn:

The TSP has to organize a tour in such a way that all the cities (except the starting city) must be visited exactly once and return to the home (starting city) with an objective of minimizing the cost of the tour.

- ⑥ Thus if there are 4 cities (including starting city) brute force approach will be



The path is like  $1 \xrightarrow{\quad} x \xrightarrow{\quad}$  Optimality substructure.

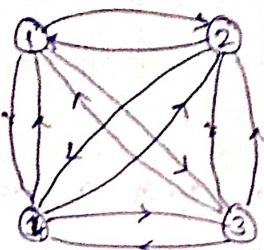
From tree, we can show overlapping subproblems.

## Recurrence formula for TSP:

Let  $c[i,j]$  be a cost adjacent matrix

Let  $g(i,s)$  represent cost of the tour from vertex  $i$ , visiting all the vertices in the set  $s$  exactly once and terminating the tour at  $v_0$  (home city)

Consider



and find the shortest distance (optimal path) between 1 and 4.

Let cost adjacency matrix  $C$  be

To build up to find (your friends) cost off of adjacency matrix

then we have  $C$  | 1 2 3 4  
1 | 0 10 15 20

2 | 5 0 9 10

3 | 6 13 0 12

4 | 8 8 9 0

for  $v_0 = 1$  (starting city)

we need to compute  $g(1, \{2, 3, 4\})$

we need to minimize this.

$$\therefore g(1, \{2, 3, 4\}) = \min \{ C(1, 2) + g(2, \{3, 4\}), C(1, 3) + g(3, \{2, 4\}), \\ C(1, 4) + g(4, \{2, 3\}) \}$$

$\therefore$  In general,  $g(i, S) = \min \{ C(i, x) + g(x, S - \{x\}) \}$

$$g(i, S) = \min \{ C(i, x) + g(x, S - \{x\}) \}$$

such that  $x \in S$  &  $\langle i, x \rangle \in E$

$$g(i, S) = C(i, v_0) \quad \text{if } S = \emptyset$$

termination condition /  
for recursion.

we use  $s(i, S)$  to compute the path

$$s(i, S) = x$$

Now let us  
 $|S| = 0^2$   
 $g(2, \emptyset)$   
 $g(3, \emptyset)$   
 $g(4, \emptyset)$

$|S| = 1$ :

$g(2, 1)$

$g(2, 2)$

$g(3, 1)$

$g(3, 2)$

$g(4, 1)$

$g(4, 2)$

$|S| = 2$ :

$g(2, 2)$

$g(3, 2)$

$g(4, 2)$

Now let us calculate  $g(1, \{2, 3, 4\})$

$|S|=0$ :

$$g(2, \emptyset) = 5$$

$$g(3, \emptyset) = 6$$

$$g(4, \emptyset) = 8$$

$|S|=1$ :

$$g(2, \{3\}) = \min \{ c(2, 3) + g(3, \emptyset) \} = 9 + 6 = 15, J(2, \{3\}) = 3$$

$$g(2, \{4\}) = \min \{ c(2, 4) + g(4, \emptyset) \} = 10 + 8 = 18, J(2, \{4\}) = 4$$

$$g(3, \{2\}) = \min \{ c(3, 2) + g(2, \emptyset) \} = 13 + 5 = 18, J(3, \{2\}) = 2$$

$$g(3, \{4\}) = 12 + 8 = 20, J(3, \{4\}) = 4$$

$$g(4, \{2\}) = 8 + 5 = 13, J(4, \{2\}) = 2$$

$$g(4, \{3\}) = 9 + 6 = 15, J(4, \{3\}) = 3$$

$|S|=2$ :

$$g(2, \{3, 4\}) = \min \{ c(2, 3) + g(3, \{4\}), c(2, 4) + g(4, \{3\}) \}$$

$$= \min \{ 9 + 20, 10 + 15 \}$$

$$= 25$$

$$J(2, \{3, 4\}) = 4$$

$$g(3, \{2, 4\}) = \min \{ 13 + 18, 12 + 13 \}$$

$$= 25$$

$$\Rightarrow J(3, \{2, 4\}) = 4$$

$$g(4, \{2, 3\}) = \min \{ 8 + 15, 9 + 18 \}$$

$$= 23$$

$$J(4, \{2, 3\}) = 23$$

|S|=1:

$$g(1, \{2, 3, 4\})$$

$$= \min \{ c(1, 2) + g(2, \{3, 4\}), c(1, 3) + g(3, \{2, 4\}), \\ c(1, 4) + g(4, \{2, 3\}) \}$$

$$= \min \{ 10 + 25, 15 + 25, 20 + 23 \} = 30 \Rightarrow J(1, \{2, 3, 4\}) = 30$$

$$J(2, \{3, 4\}) = 25 = 8 + 17 = 8(4, 3) + (4, 4) \text{ min } = 26 \Rightarrow J(2, \{3, 4\}) = 26$$

$$\cancel{J(1, \{3, 4\})} \quad J(1, \{2, 3, 4\}) = 2$$

Path Construction:

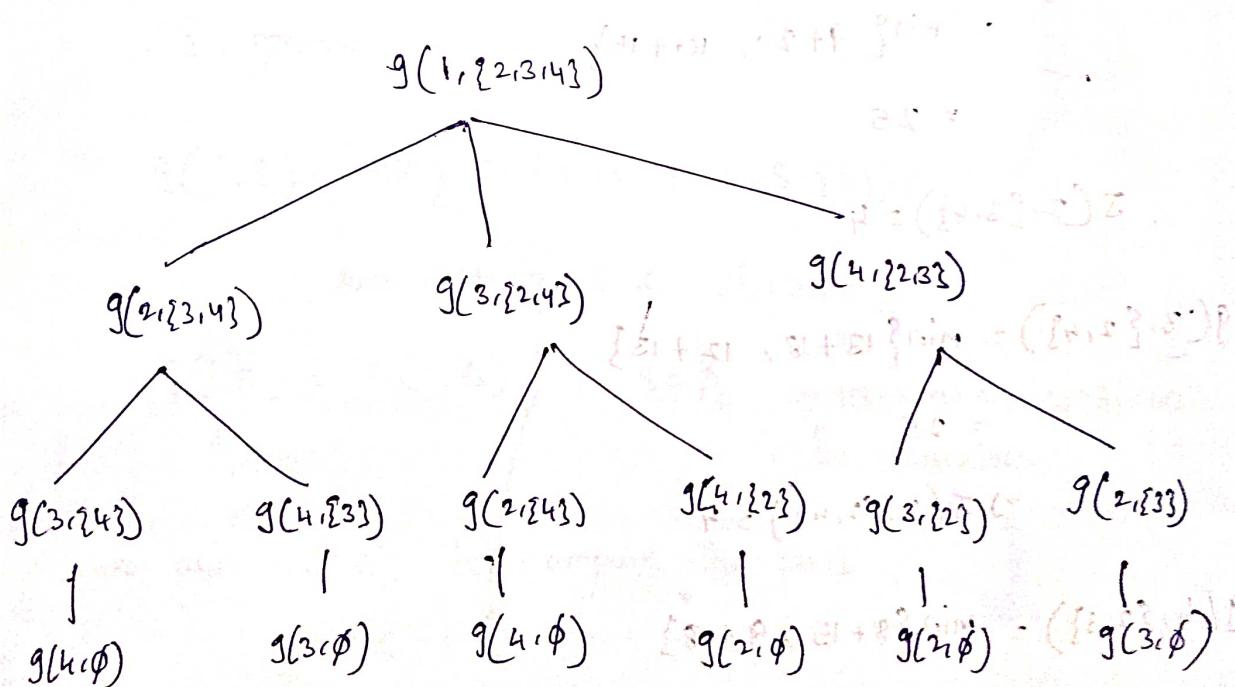
If  $J(i, s) = x$  then from  $s - x$  we go to  $J(x, s-x)$

$$J(1, \{2, 3, 4\}) = 2$$

$$J(2, \{3, 4\}) = 4$$

$$J(4, \{3\}) = 3$$

$\therefore$  path:  $1 - 2 - 4 - 3 - 1$



For larger problems we can see overlapping subproblems.

→ The algorithm for TSP is Held & Karp Algorithm

Time Complexity:  $O(n^2 \cdot 2^n)$

; we have  $2^n$  subsets and for every subset we need to check for possible edges (max possible edges =  $n^2$ )

Space complexity:  $O(n \cdot 2^n)$

↳ To store values of  $g$

because for every possible subset  $s$  and for every vertex except start vertex we calculate and store

$$g(v, s) \underset{n}{\downarrow} \underset{2^n}{\uparrow} \therefore O(n \cdot 2^n)$$

→ TSP is an intractable Problem

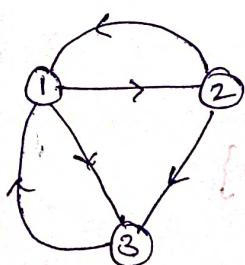
; it doesn't have a polynomial TC algorithm

so far.

∴ TSP is an NP problem (NP-complete)

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## All Pairs Shortest Paths (Floyd Warshall's Algorithm)



		1	2	3
1	0	4	11	
	6	0	2	
3	3	∞	0	

$c(i,j) \rightarrow$  edge cost

Let  $A^k(i,j)$  represent the cost of the path from vertex 'i' to

vertex 'j' NOT going through the intermediate vertex greater than  $k$ .

Assume vertices are sequentially numbered from 1 to  $n$  ( $1, 2, 3, \dots, n$ )

$\therefore A^k(i,j) = \min \{ \text{Path goes through } k, \text{ path doesn't go through } k \}$

~~path to optimal ends at k~~

If path goes through  $k$

not this is taking away previous balanced

$i \dots k \dots j$

highest here highest intermediate vertex  
- possible =  $k-1$

$\therefore$  If path goes through  $k$ , it will be

$$A^{k-1}(i,k) + A^{k-1}(k,j) \rightarrow \text{in Q27.4}$$

$$\therefore A^k(i,j) = \min \{ A^{k-1}(i,k) + A^{k-1}(k,j), A^{k-1}(i,j) \}, k \neq 0$$

$$A^0(i,j) = c(i,j)$$

Eq:

$A^0$	1	2	3
1	0	4	11
2	6	0	2
3	3	$\infty$	0

$$A'(1,2) = \min \{ A^0(1,1) + A^0(1,2), A^0(1,2) \} \\ = 4$$

$$A'(1,3) = 11 \quad (1 \dots 1 \dots 2)$$

$$A'(2,1) = 6 \quad (2 \dots 1 \dots 1)$$

$$A'(2,3) = \min \{ A^0(2,1) + A^0(1,3), A^0(2,3) \} = \min \{ 17, 2 \} = 2$$



$$A'(3,1) = 3$$

$$A'(3,2) = \min\{3 + 4, \infty\} = 7$$

$$A'(3,3) = 0$$

After inserting 0 and A' to the Bellman-Ford table, we get

		$A'$			$A''$		
		1	2	3	1	2	3
	1	0	4	11	1	0	4
	2	6	0	2	2	6	0
	3	3	7	0	3	7	0

$A^3$		1	2	3
1	0	4	6	
2	5	0	2	
3	3	7	0	

Algo Floyd-Warshall ( $G, n, c, A$ )

$\{C[i..n, j..n]\}$  ... cost adjacency matrix

$\{A[i..n, j..n]\}$  ... path cost adjacency matrix

{

1. for  $i \leftarrow 1$  to  $n$

    for  $j \leftarrow 1$  to  $n$

$A[i, j] = C[i, j]$ ;

TC:  $O(n^3)$

SC:  $O(n^2)$

2. for  $k \leftarrow 1$  to  $n$

    for  $i \leftarrow 1$  to  $n$

        for  $j \leftarrow 1$  to  $n$

$A[i, j] = \min\{A[i, j], A[i, k] + A[k, j]\}$

}     { to find shortest path from  $i$  to  $j$  via  $k$

}     { to find shortest path from  $i$  to  $j$  via  $k$

### The transitive closure:

Let  $A$  be the adjacency matrix of a directed/undirected graph. Let  $A^*$  be the transitive closure of  $A$ .

The transitive closure  $A^*$  of  $A$  is a matrix with the property that  $A^*(i,j) = 1$ , iff  $G$  has a directed path from vertex  $i$  to vertex  $j$ , otherwise  $A^*(i,j) = 0$ .

Thus, transitive closure of a matrix, represent graph with  $n$  vertices, can be computed in  $O(n^3)$  time.

### Reflexive Transitive Closure:

Let  $A$  be adjacency matrix of graph  $G$  and matrix  $A^+$  be reflexive transitive closure of graph  $G$  defined as.

$A^+(i,j) = 1$  iff  $G$  has a path containing one or more edges from  $i$  to  $j$

$A^+(i,j) = 0$ , otherwise

### 0/1 knapsack:

Knapsack capacity:  $m$

(n-object:  $\langle o_1, o_2, \dots, o_n \rangle$ )

weight ( $w_i$ )      Profit ( $p_i$ )      Decision ( $x_i$ )      Explicit Constraint:  $\sum w_i \leq m$

Objective Func: Maximize the total profit ~~that we~~ subjected to the condition that total weight being put into the knapsack does not exceed its capacity.

i.e., Maximize  $\sum_{i=1}^n p_i x_i$  subjected to  $\sum_{i=1}^n w_i x_i \leq M$   
 where  $x_i = 0/1$

### Approach I:

Let  $f_n(m)$  represent the profit with  $n$ -objects and a knapsack capacity of ' $M$ '.

$f_n(m) = \max \{ \text{profit by including the object, profit by excluding the object} \}$

$$\therefore f_n(m) = \max \left\{ p_n + f_{n-1}(m - w_n), f_{n-1}(m) \right\}$$

also taking it into account that  $f_0(x) = 0$

start profit

$$\text{Eq: } n=3, M=6, \{p_1, p_2, p_3\} = \{1, 2, 5\} \text{ and } \{w_1, w_2, w_3\} = \{2, 3, 4\}$$

$$\{x_1, x_2, x_3\} = ?$$

$$\{x_1, x_2, x_3\} = ?$$

### Tuple method:

Let  $f_n$  be represented by  $S^n = \{(P, w)\}$  top row

$P \rightarrow \text{Profit}$  &  $w \rightarrow \text{weight put into the knapsack.}$

base of  $(2, 2)$  &  $(3, 3)$  so base of  $\left(\begin{matrix} 1 \\ 2 \\ 3 \end{matrix}\right)$

$$\therefore S^0 = \{(0, 0)\}$$

$S'_1$  is obtained by adding  $(P_1, w_1)$  to  $S^0$

$$\text{so } S'_1 = \{(1, 2)\}$$

Now we merge  $S^0$  &  $S'_1$  to obtain  $S'$

$$S' = \left\{ \begin{array}{l} x_1=0 \quad x_2=1 \\ (0, 0) (1, 2) \end{array} \right\}$$

$S_1^2$  is obtained by ~~then~~ adding  $(P_2 w_2)$  to  $S^1$

$$S_1^2 = \{(2,3)(3,5)\}$$

$$S^1 > S^2 = \{(0,0)(1,2)(2,3)(3,5)\}$$

Now

$$S_1^3 = \{(5,4)(6,6)\}$$

not feasible  $\Leftrightarrow$   
to add  $(5,4)$

not feasible  
to add  $(5,4)$

$$S^2$$

$$S^2 > S^3 = \{(0,0)(1,2)(2,3)(5,4)(6,6)\}$$

Here we have discarded  $(3,5)$  since  
 $(5,4)$  gives more profit with less weight.  
This is called Purging rule.  
 $\therefore (3,5)$  will never lead to optimal soln.

Purging rule:

Let  $(P_i, w_i) \notin (P_j, w_j)$  be tuple to be merged

if  $(P_i < P_j)$  and  $(w_i > w_j)$  then the tuple  $(P_i, w_i)$  may  
be purged (removed).

Computing values of  $x_i$ 's:

we got  $(6,6)$  as answer

$(6,6) \in S^3$  but  $(6,6) \notin S^2$

$\Rightarrow$  we added  $x_3$  i.e.,  $(5,4)$  is added

$$\therefore x_3=1$$

$$(6,6)-(5,4) = (1,2)$$

Now  $(1,2) \in S^2$  &  $(1,2) \in S^1$  &  $(1,2) \notin S^0$

$$\Rightarrow x_1=1$$

$$x_2 \geq 0$$

$$\therefore (x_1, x_2, x_3) = (1, 0, 1)$$

$\therefore$  If  $(P_i, w_i) \in S^i$  &  $(P_i, w_i) \notin S^{i-1}$  then  $x_{i-1} = 0$ ,  $(P_i, w_i) \leftarrow (P - P_i, w - w_i)$

if  $(P_i, w_i) \in S^i$  &  $(P_i, w_i) \in S^{i-1}$  then  $x_i = 0$

$$\text{Ex: } n=4; \langle P_1, P_2, P_3, P_4 \rangle = \langle 60, 28, 20, 24 \rangle$$

$$M=11; \langle w_1, w_2, w_3, w_4 \rangle = \langle 10, 7, 4, 2 \rangle$$

### I. Greedy fractional knapsack

$$P_i/w_i : \langle 6, 4, 5, 12 \rangle$$

$$\therefore x_4 = 1 \Rightarrow M = 11 - 2 = 9$$

$$x_1 = \frac{9}{10} = 0.9$$

$$\therefore P = (0.9)(60) + (1)(24)$$

$$= 54 + 24 = 78$$

### II. 0/1 knapsack with greedy approach

$$P_i/w_i : \langle 6, 4, 5, 12 \rangle$$

$$x_4 = 1 \Rightarrow M = 11 - 2 = 9$$

~~But~~  $w_1 = 10$  — not possible to include

$$\therefore x_1 \geq 0$$

$$x_3 = 1 \Rightarrow M = 9 - 4 = 5$$

$$w_2 \geq M \therefore x_2 \geq 0$$

$$\therefore P = 20 + 24 = 44$$

### III. o/ knapsack using DP

$$\begin{aligned}
 S^0 &= \{(0,0)\} \\
 S^1 &= \{(60,10)\} \quad S^1 = \{(0,0) (60,10)\} \\
 S^2 &= \{(28,7)\} \quad \text{Merge} \rightarrow S^2 = \{(0,0) (28,7) (60,10)\} \\
 S^3 &= \{(20,4) (48,11)\} \quad \text{④} \\
 S^4 &= \{(24,2) (44,6) (52,9)\}
 \end{aligned}$$

$$\Rightarrow S^4 = \{(0,0) (24,2) (44,6) (52,9) (60,10)\}$$

$\therefore (60,10)$  is optimal

$$\underbrace{(60,10)}_{x_0=0} \in S^4 ; \underbrace{(60,10)}_{\substack{\text{obtained} \\ \text{when } x_3=0}} \in S^3 ; \underbrace{(60,10)}_{\substack{\text{obtained} \\ \text{when } x_2=0}} \in S^2 ; \underbrace{(60,10)}_{\substack{\text{obtained} \\ \text{when } x_1=1}} \in S^1 ; \underbrace{(60,10)}_{(0,0)} \in S^0$$

$$\therefore P = (1)(60) = 60$$

Approach II : (Tabulation / bottom up approach)

KNAP  $\rightarrow k(n, m)$   
 $\downarrow$   
 no of obj  $\rightarrow$  knapsack capacity

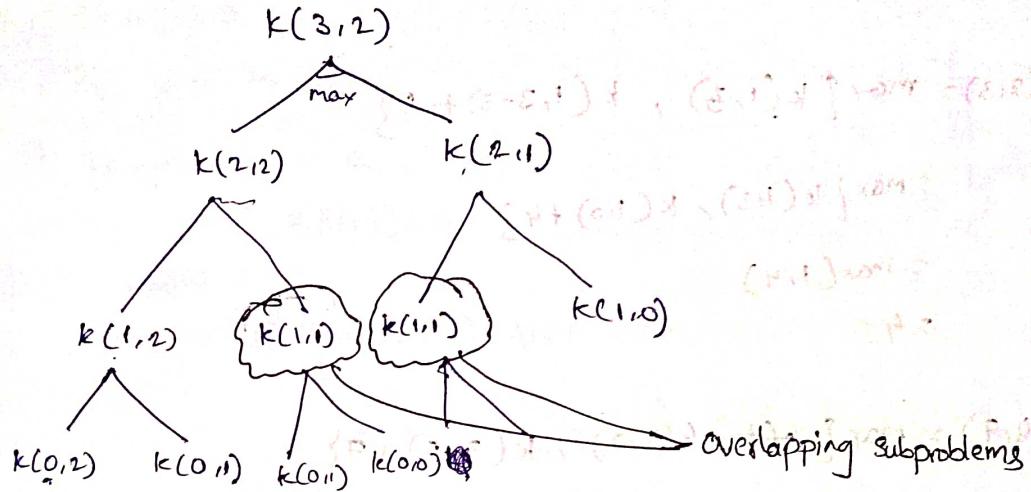
$$k(n, m) = k(n-1, m), \quad w[n] > m$$

$$\begin{aligned}
 &= \max \left\{ k(n-1, m), k(n-1, m-w_n) + p_n \right\}, \quad w[n] \leq m \\
 &\quad \underbrace{(x_n=0)}_{\text{optimality}} \quad \underbrace{(x_n=1)}_{\text{substructure}}
 \end{aligned}$$

Eg:  $n=3$ ;  $m=2$ ;

$$w_i = \{1, 4\}$$

$$p_i = \{5, 10, 15\}$$



Boundary conditions:

$$k(0, x) = 0; k(y, 0) = 0;$$

Eg:  $m=7$ ;  $n=4$ ;  $p_i = \{1, 4, 5, 7\}$ ;  $w_i = \{1, 3, 4, 5\}$

We can solve this problem using a 2-dimensional matrix.

(max)  $\rightarrow$  (min) with  $(n+1) \times (m+1)$  matrix  $k[n+1, m+1]$

$m=7$ ,  $n=4 \Rightarrow k[5, 8]$

Takeout

		Capacity							
		0	1	2	3	4	5	6	7
n	k	0	0	0	0	0	0	0	0
		1	0	1	1	1	1	1	1
		2	0	1	1	4	5	5	5
		3	0	1	1	4	5	6	6
		4	0	1	1	4	5	7	8

The cell is  $k[4, 7]$

$$k(1, 1) = \max\{k(0, 1) + k(0, 0) + 1\} = 1$$

i.e., soln

$$k(1, 2) = 1$$

$$k(2,1) = \max \{ k(0,1), k(1,-2) \}$$

~~X~~  
(not possible)

$$= k(1,1)$$

$$= 1$$

$$k(2,3) = \max \{ k(1,3), k(1,3-3)+4 \}$$

$$= \max \{ k(1,3), k(1,0)+4 \}$$

$$= \max(1,4)$$

$$= 4$$

$$k(4,7) = \max \{ k(2,7), k(3,7), k(3,2)+7 \}$$

$$= \max \{ 9, 8 \}$$

$$= 9$$

### Calculating $x_i$ values from table

$k(n,m)$  is obtained from either  $k(n-1,m)$  cell or

$k(n-1,m-w_n)$  cell.

If obtained from  $k(n-1,m)$  then  $k(n,m) = k(n-1,m)$

If obtained from  $k(n-1,m-w_n)$  then  $k(n,m) = k(n-1,m-w_n).f_{w_n}$

$\therefore$  If  $k(n,m) = k(n-1,m)$  then

$$x_i = 0 \& (n,m) \leftarrow (n-1,m)$$

If  $k(n,m) \neq k(m-1,m)$  then

$$x_i = 1 \& (n,m) \leftarrow (n-1,m-w_n)$$

and we perform this recursively.

Algo K N A P (n, m, w[], p[])

```

{
    declare k[n+1, m+1];
    for i<=0 to n
        for j<=0 to m
            if (i==0 or j==0) then k[i,j]=0;
            else if (w[i]>j) then k[i,j]=0;
            else k[i,j]=max{ k[i-1,j], k[i-1,j-w[i]]+p[i] };
}

```

- Time Complexity :  $O(n*m)$
- Time complexity with brute force :  $O(2^n)$

However for large values of  $m$ ,  $O(n*m)$  is too high.

For example if  $m=2^n$   
then TC of tabulation method =  $O(n \cdot 2^n)$

- and in this case brute force would be better.  
Thus tabulation method is good for smaller values of  $n$ .
- Space Complexity with tabulation method :  $O(nm)$

Time complexity of tabulation method is  $O(nm)$ .  
Space complexity of tabulation method is  $O(nm)$ .

Time complexity of tabulation method is  $O(nm)$ .  
Space complexity of tabulation method is  $O(nm)$ .

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## Longest Common Subsequence:

Substring: grp of one or more characters taken from the string that are contiguous

Subsequence: group of one or more characters may not be contiguous nevertheless the relative order is same.

→ Every substring is a subsequence

→ For a string of length  $n$ ,

$$\text{no of substrings} \rightarrow O(n^2)$$

$$\text{no of subsequences} \rightarrow O(2^n)$$

→ A subsequence that is common to given two string is called common subsequence.

The longest of all these common subsequences is called longest common subsequence.

### Applications of LCS:

i) Web search

ii) DNA matching

iii) Software matching

iv) Plagiarism

### Solution:

Given two strings  $X$  &  $Y$  of length ' $n$ ' & ' $m$ ' respectively. It is required to determine a subsequence of longest length that is common to both  $X$  &  $Y$ .

$$X = \langle x_1, x_2, \dots, x_m \rangle \quad Y = \langle y_1, y_2, y_3, \dots, y_n \rangle$$

→ Let 'i' & 'j' be indices into the strings  $X$  &  $Y$  as shown.

→ Let  $L[i, j]$  represent the length of common subsequence of string  $X$  &  $Y$ .

Case I: Last characters are same.

Eg:  $X = \langle G T T C C T A A T A \rangle$

$Y = \langle C G A T A A T T G A G \rangle$

so we need to find  $L[9, 11]$

if  $X[i] = Y[j]$  then

$$L[i, j] = 1 + L[i-1, j-1]$$

Case II: Last characters don't match

$X = \langle G T T C C T A A T A \rangle$

$Y = \langle C G A T A A T T G A G \rangle$

If  $X[i] \neq Y[j]$

This means that Lcs may terminate with last character of  $X$  or last character of  $Y$  or neither.

$$\text{i.e., } L[i, j] = \max \{ L[i-1, j], L[i, j-1] \}$$

∴ if  $X[i] = Y[j]$

$$L[i, j] = 1 + L[i-1, j-1]$$

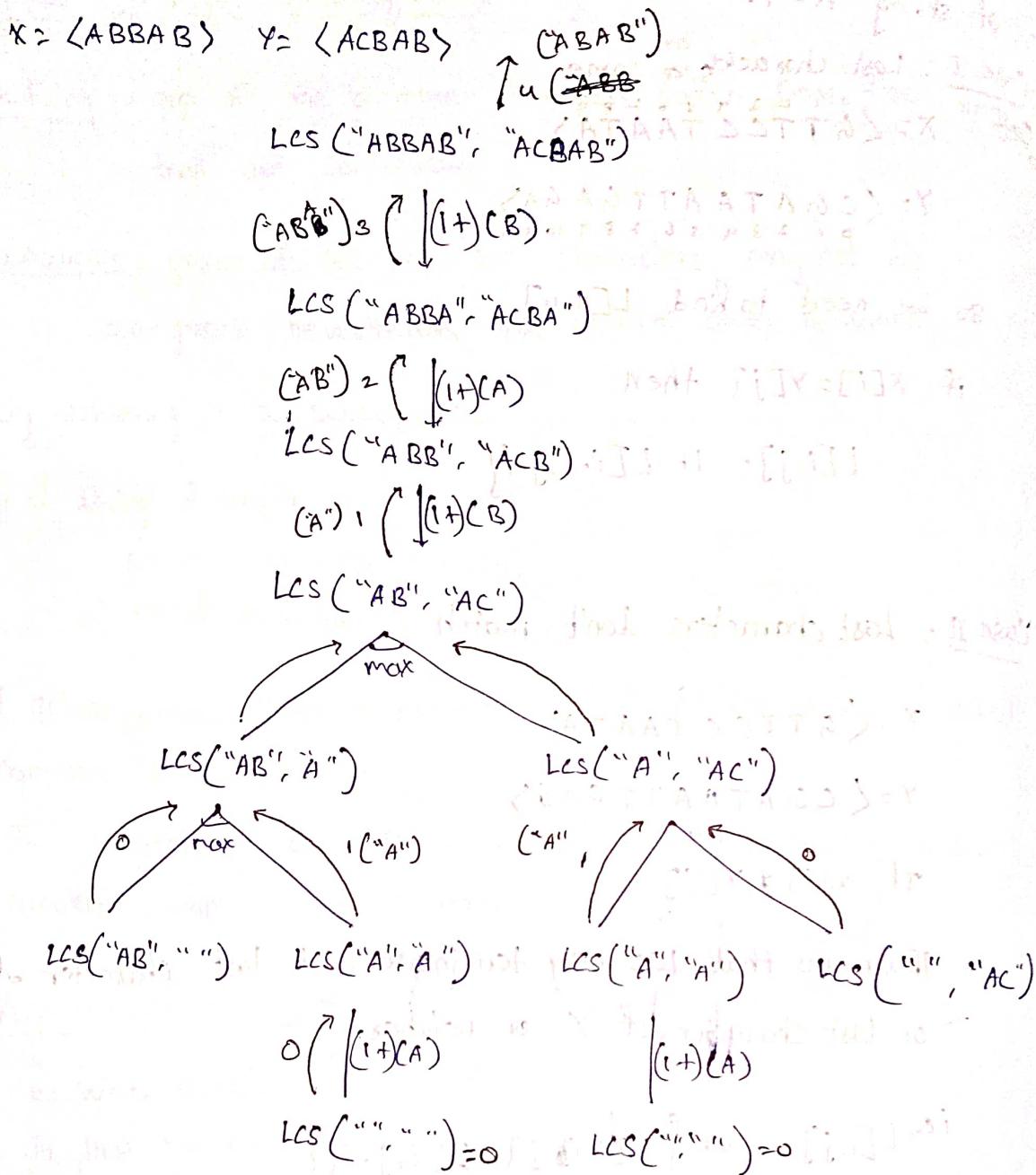
if  $X[i] \neq Y[j]$

$$L[i, j] = \max \{ L[i-1, j], L[i, j-1] \}$$

$$L[-1, j] = 0$$

$$L[i, -1] = 0$$

Tree approach to solve LCS:



Tabulation method (bottom up):

$X = \langle A, B, C, B, D, A, B \rangle \quad Y = \langle B, D, C, A, B, A \rangle$

	0	0	0	0	0	0	0
0	0	0	0	0	↑ 1	↑ 1	↑ 1
1	0	↖ 1	↖ 0	↖ 1	↖ 1	↖ 2	↖ 2
2	0	↑ 1	↖ 1	↖ 2	↖ 2	↖ 2	↖ 2
3	0	↑ 1	↖ 1	↑ 2	↖ 2	↖ 3	↖ 3
4	0	↑ 1	↖ 2	↖ 2	↖ 2	↑ 3	↖ 3
5	0	↑ 1	↑ 2	↖ 2	↖ 3	↖ 3	↖ 4
6	0	↖ 1	↑ 2	↖ 2	↑ 3	↖ 4	↖ 4

This is the soln

i.e.,  $L[6, 5]$

$$L[0,0] = \max\{L[-1,0], L[0,-1]\} = 0$$

$$L[0,1] = \max\{L[-1,1], L[0,0]\} = 0$$

If case II applies then we take  $\max\{\text{upper cell, left side cell}\}$

If case I applies we take  $L[-1,-1] + \text{upper-left diagonal cell's value.}$

$$L[0,3] = 1 + L[-1,2] = 1 + 0 = 1$$

$$\therefore L[6,5] = 4$$

To get the subsequence we backtrack while backtracking add corresponding symbol whenever you move diagonally upward.

$\therefore \text{LCS: } B D A B$

Algorithm LCS( $x, y, n, m$ )

$L[n+1, m+1]$ : array of size  $(n+1) \times (m+1)$  for storing result

{ 1. for  $i \leftarrow 0$  to  $n-1$

~~for  $j \leftarrow 0$  to  $m-1$~~

$L[i, -1] = 0$

2. for  $j \leftarrow 0$  to  $m-1$

~~if  $L[-1, j] = 0$ , break for 2. fi.~~

3. for  $i \leftarrow 0$  to  $n-1$

for  $j \leftarrow 0$  to  $m-1$

if ( $x[i] = y[j]$ )

$$L[i, j] = 1 + L[i-1, j-1]$$

else

$$L[i, j] = \max\{L[i-1, j], L[i, j-1]\}$$

}

T.C:  $O(n * m)$

S.C:  $O(n * m)$   $\rightarrow$  matrix

shorter for loop  $\rightarrow$  top of TC of LCS with brute force

is  $O(2^{n+m})$  or  $O(n \cdot 2^m)$

## Matrix Chain Product:

→ Given two ~~matrices~~ of order  $n$

time to multiply:  $O(n^3)$

operation: scalar multiplication

∴ for product of two square matrices  $n^3$  multiplications are required.

→ However to multiply two non-square matrices, the matrices have to be compatible.

i.e., no of columns of 1st matrix = no of rows of 2nd matrix

$$\begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix}_{a \times b} \times \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix}_{b \times c} = \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix}_{c \times d}$$

We need to compute  $a \times c$  element (and computation of each element require ~~a  $\times$  b~~ scalar multiplications).

⇒ We need to perform  $a \times b \times c$  no of multiplications

$$\therefore T.C = O(a \times b \times c)$$

### Problem Statement:

Given a chain of matrices, it is required to multiply them to get a resultant product matrix.

Eg: Consider  $A = BCD$

$$B: 2 \times 10; \quad C: 10 \times 54; \quad D: 54 \times 20;$$

$$\begin{array}{c}
 \text{BCD} \\
 \diagdown \quad \diagup \\
 (\text{BC})\text{D} \quad \text{B}(\text{CD})
 \end{array}$$

$$(BC)D \leftarrow BC : 2 \times 10 \times 50 = 1000$$

$$(BC)D : 2 \times 50 \times 20 = 2000$$

$$\begin{matrix} 1 \\ 2 \times 50 \\ 50 \times 20 \end{matrix}$$

$$BC : 2 \times 10 \times 50 = 1000 \quad } - 3000$$

$$BC : 10 \times 50 \times 20 : 10000 \quad } - 104000$$

$$BC : 2 \times 10 \times 20 : 400 \quad }$$

### Objective Function:

Minimizing the number of scalar multiplications.

### Problem Statement:

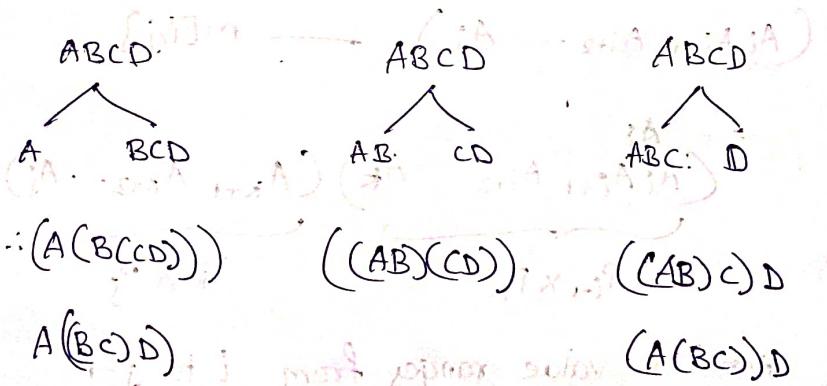
Given a chain of matrices  $\langle A_1 A_2 A_3 \dots A_n \rangle$  where matrix  $A_i$  is of order  $P_{i-1} \times P_i$ . It is required to fully parenthesize the given chain of matrices such that the no. of scalar multiplications is minimum.

ABC can be parenthesized in 2 ways

To first multiply A with B i.e.,  $(AB)C$  &  $A(BC)$

Value from left to right & vice versa

ABCD can be parenthesized in 5 ways



→ Observing this we can say: brute force method will have exponential time complexity.

### Applications

- (i) In mathematics
- (ii) Satellite communication
- (iii) Physics

Ex:  $A_1 \rightarrow 3 \times 5 \rightarrow P_0 \times P_1$   
 $A_2 \rightarrow 5 \times 8 \rightarrow P_1 \times P_2$   
 $A_3 \rightarrow 8 \times 6 \rightarrow P_2 \times P_3$   
 $A_4 \rightarrow 6 \times 2 \rightarrow P_3 \times P_4$   
 $A_5 \rightarrow 2 \times 3 \rightarrow P_4 \times P_5$   
 $A_6 \rightarrow 3 \times 7 \rightarrow P_5 \times P_6$

$$\langle A_1 A_2 \dots A_6 \rangle \rightarrow P_0 \times P_6$$

→ Let  $A_{i..j}$  be the matrix that results from multiplication of matrices  $\langle A_i A_{i+1} A_{i+2} \dots A_j \rangle$

Ex:  $A_{1..6} = \langle A_1 A_2 A_3 A_4 A_5 A_6 \rangle$

→ Let  $m[i..j]$  be the no of scalar multiplications needed to get the matrix  $A_{i..j}$

→ Any optimal parenthesization must split the given chain of matrix about matrix  $A_k$  such that the no of scalar multiplications is minimum

$$(A_i A_{i+1} A_{i+2} \dots A_j) \rightarrow m[i..j]$$

$$(A_i A_{i+1} A_{i+2} \dots A_k) (A_{k+1} A_{k+2} \dots A_j)$$

$P_{i-1} \times P_k$        $P_k \times P_j$

Here,  $k$  value ranges from  $i$  to  $j-1$

∴ If split at  $A_k$  i.e.,  $j-i$  splits are possible.

$$m[i..j] = m[i..k] + m[k+1..j] + (P_{i-1} * P_k * P_j)$$

$$\therefore m[i..j] = \min_{i \leq k \leq j} \{ m[i..k] + m[k+1..j] + (P_{i-1} * P_k * P_j) \}$$

$$m[i..i] = 0$$

## Tabulation method : (bottom up)

$$\text{Eg: } A_1 \rightarrow 3 \times 5$$

$$A_2 \rightarrow 5 \times 8$$

$$A_3 \rightarrow 8 \times 6$$

$$A_4 \rightarrow 6 \times 2$$

so we need to compute  $m[1,4]$

So in tabulation method we compute

j-i=0

$$m[1,1] = m[2,2] = m[3,3] = m[4,4] = 0$$

j-i=1

$$m[1,2] = 3 \times 5 \times 8$$

$$m[2,3] = 5 \times 8 \times 6$$

$$m[3,4] = 8 \times 6 \times 2$$

j-i=2

$$m[1,3] = \min\{m[1,2] + m[3,3] + 3 \times 8 \times 6, m[1,1] + m[2,3] + 3 \times 5 \times 8\}$$

still compute  $m[2,3]$

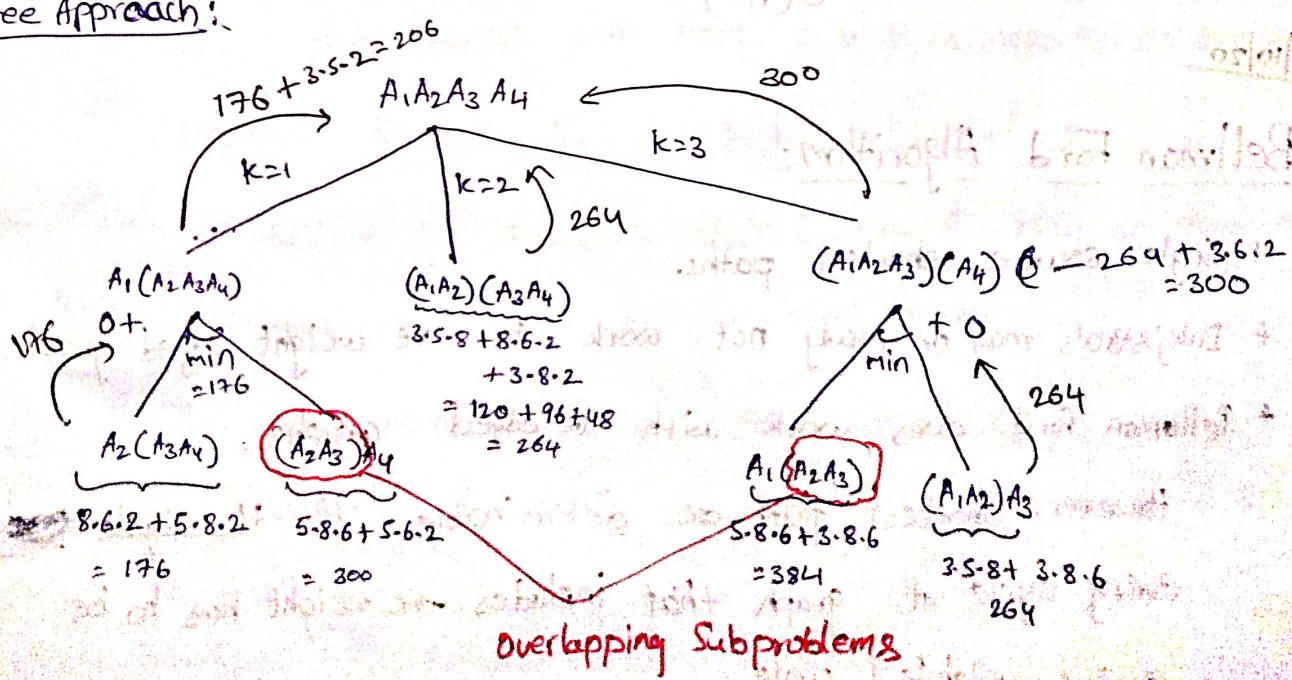
j-i=3

$$m[1,4] = \min\{m[1,1] + m[2,4] + 8 \times 5 \times 2,$$

$$m[1,2] + m[3,4] + 3 \times 8 \times 2,$$

$$m[1,3] + m[3,4] + 3 \times 6 \times 2\}$$

## Tree Approach:



$\therefore$  Minimum cost = 206

$$\text{optimal parenthesization} = (A_1 (A_2 (A_3 A_4)))$$

Given a chain of  $n$  matrices  $\langle A_1, A_2, \dots, A_n \rangle$

The time complexity to multiply them with optimal parenthesization

$$= O(n^3)$$

Proof:

Induction

In tabulation method

$$j-i = n-1 \rightarrow \text{no of comparisons} = n-1$$

$$\text{no of instances} = 1$$

$$j-i = n-2 \rightarrow \text{no of comparisons} = n-2$$

$$\text{no of instances} = 2$$

$$j-i = n-3 \rightarrow \text{no of comparisons} = n-3$$

$$\text{no of instances} = 3$$

$$\vdots$$

$$\text{no of comparisons} = (n-1) + (n-2) + \dots + (n-k) + \dots + (n-(n-1))$$

$$(n-1)(1) + (n-2)(2) + \dots + (n-k)(k) + \dots + (n-(n-1))(n-1)$$

$$= O(n^3)$$

19/10/20

### Bellman Ford Algorithm:

\* Single source shortest paths.

\* Dijkstra's may or many not work for -ve weight edged graph.

\* Bellman Ford does work with -ve edged graphs.

However, shortest path are determinable iff the cycle ~~is~~ every cycle of graph that includes -ve weight has to be

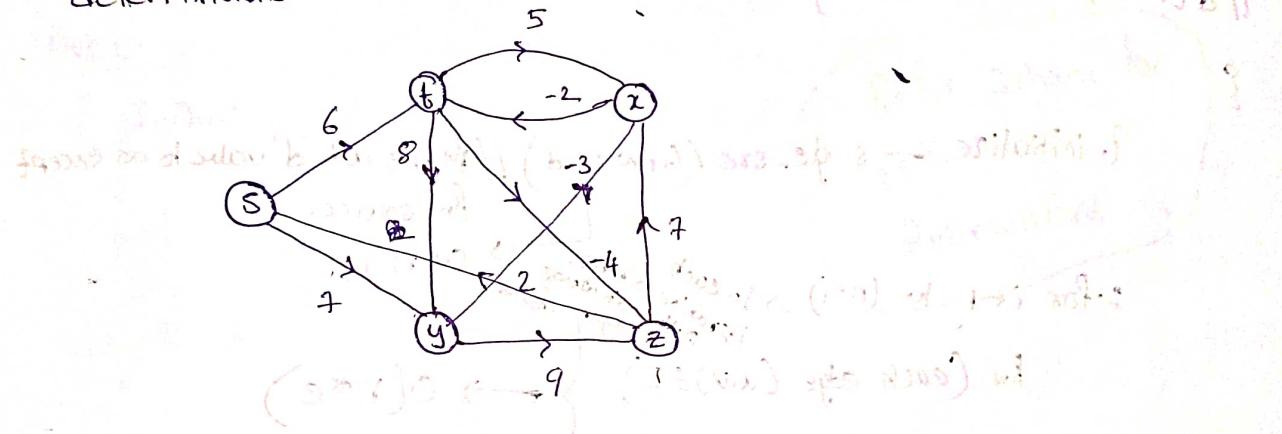
a true weighted cycle.

If the cycle containing negative edge is negative weighted  
then shortest path is not determinable.

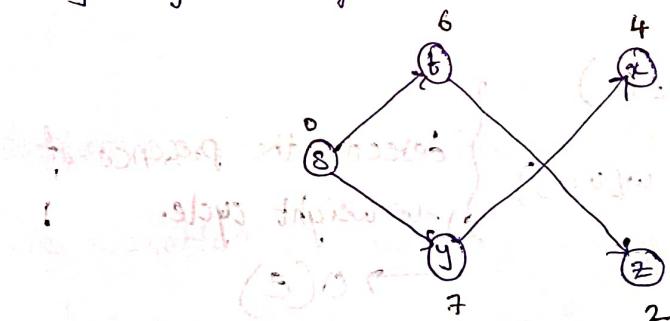
Infact it is not determinable with any algorithm.

→ The shortest path ~~out~~ of all the vertices ~~of that~~ that are reachable  
through negative weighted cycle is not determinable.

Some there could be vertices that are not part of negative  
weighted cycle but still have their shortest path not  
determinable.



Using Dijkstra's algorithm



Here shortest path from S to t is reported as ~~as~~ 6..

However the path S-y-x-t has a cost of 2.

Thus we say dijkstra ~~doesn't work~~ may or may not  
have correct soln for -ve weight graph.

( $\because$  ~~Re~~ a re-relaxation of path S-t(6) is never

(done in dijkstra's algorithm): previous

## Bellman Ford approach:

An unrestricted shortest path b/w a pair of vertices in a graph having  $n$ -vertices cannot have more than  $(n-1)$  edges.

assuming cycle/loop free path.

Algo BellmanFord( $G, V, E, w, s$ )

// $w[1...n][1...n]$  → cost adjacency matrix

// $d[1...n]$  → result array

{

1. initialize — single\_src( $G, w, s, d$ ) // Make all  $d$ 's value to  $\infty$  except for source.

2. for  $i \leftarrow 1$  to  $(n-1)$  → In each iteration shortest path with atmost  $i$  edges is found.  $O(n)$

for (each edge  $(u, v) \in E$ ) {  $\rightarrow O(n * e)$

    relax( $(u, v), w, d$ ); }  $\rightarrow$  through shortest path

3. for (each edge  $(u, v) \in E$ )

    if ( $d[v] > d[u] + w[u, v]$ ) {  $\rightarrow$  detecting the presence of -ve weight cycle.  
        return FALSE }  $\rightarrow O(e)$

4. return TRUE // No -ve weight cycle reachable from source.

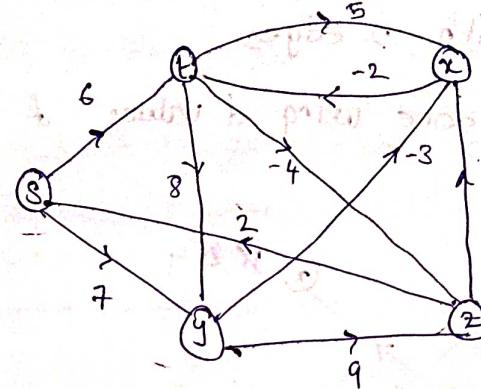
}

∴ BellmanFord algo. detects -ve weight cycle if exists ~~from source~~  
it is reachable from source.

→ Time Complexity:  $O(n * e)$  → adjacency list  
adjacency matrix  $\rightarrow O(n^3)$

→ Space Complexity:  $O(n)$

Consider the previous example again



~~Trace of point (2) in algo~~

Step 1:

Initialize  $d$  values:

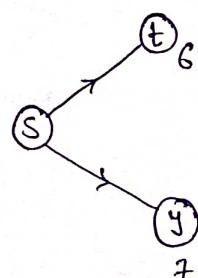


Refer Sahani for  
optimization on  
Bellmanford

Step 2: (point 'z' in algo - 1st iteration) ~~(edge path with 1 edge)~~

→ no relaxation can be done using ~~values~~  $d$  values of  
t, x, y, z ( $\because$  it is infinite)

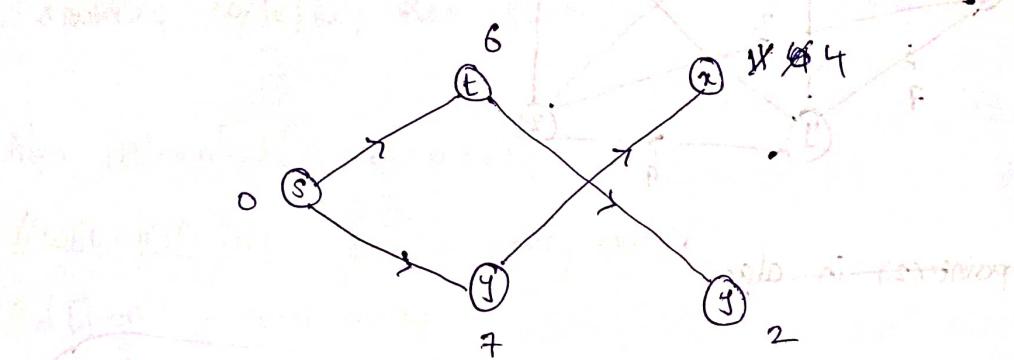
→ In this step relaxation can be done only from vertex S.



⇒ we perform this relaxation for every edge.

### Step 3:

- \* Finding shortest paths with 2 edges
- \* Now relaxation can be done using  $d$  values of 8, 9, 10, 11, 12, 13, 14



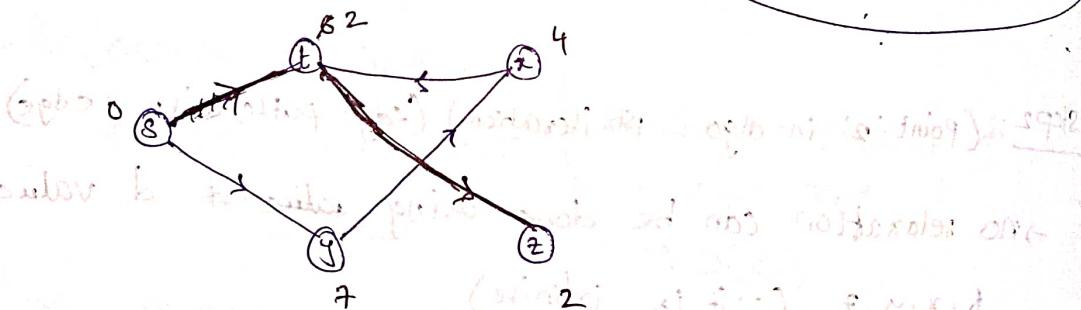
$S \rightarrow t \rightarrow z : 11$   
 $S \rightarrow y \rightarrow x : 4$

$S \rightarrow t \rightarrow y : 2$   
 $S \rightarrow y \rightarrow z : 16$

### Step 4:

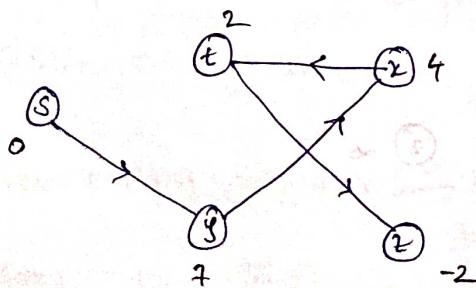
- Finding shortest paths with 3 edges.

write path names  
to avoid confusion



### Step 5:

- Find shortest paths with 4 edges



Reliable

Reliable

Design

Help

Every

→ So the  
System  
Cost

## Derivation of formula:

let  $c[i,j]$  be edge cost

$d[x] = \text{cost of the path from source 's' to vertex 'x' with atmost 'l' edges.}$

$$\therefore d^l[x] = \min \left\{ d^{l-1}[x], \min_{k \in V} \{ d^{l-1}[k] + c[k, x] \} \right\}$$

↓  
without  $l-1$  edges

$$d^l[s] = c[s, x]$$

S..... k → x  
 $l-1$  edges      1 edge  
 $d[k]$

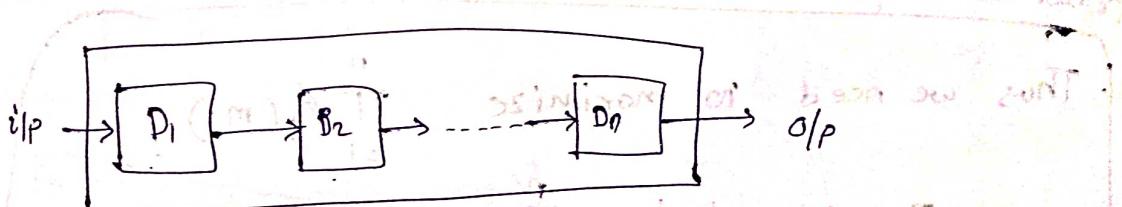
## Reliability Design:

### Reliable System Design

Design of an n-stage system

Here, design is in cascade

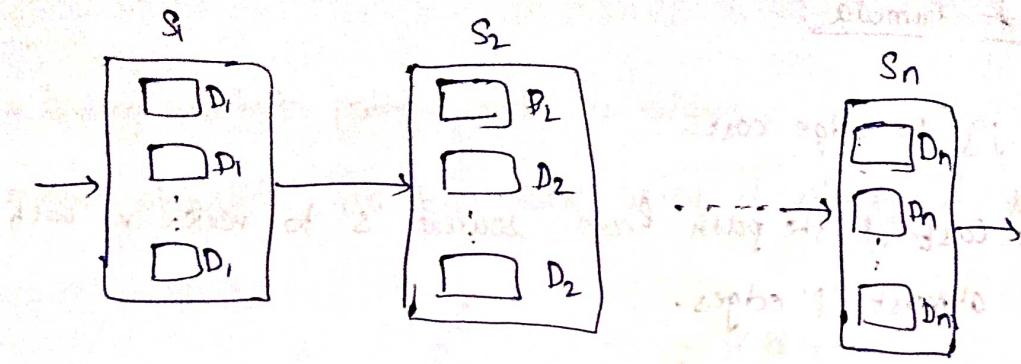
i.e., one design take o/p of another device as i/p



Every device  $D_i$  has a cost  $c_i$  and reliability  $r_i$

→ So the problem is to maximize the reliability of the system such that cost of the design is less than given cost.

→ we increase reliability by adding more devices at each stage.



let each stage, 'Si' has  $m_i$  copies of device  $D_i$  such that  
 $1 \leq m_i \leq u_i$

where  $u_i$  is an upper bound.

→ Total cost of the system =  $\sum_{i=1}^n m_i c_i$

→ Reliability of the system = :

reliability of  $S_1$

$$= 1 - (1 - g_{11})^{m_1}$$

reliability of  $S_2$

$$= 1 - (1 - g_{12})^{m_2}$$

∴ Reliability of the design =  $\prod_{i=1}^n [1 - (1 - g_{ii})^{m_i}]$

Problem Defn:

Thus we need to maximize  $\prod_{i=1}^n \phi_i(m_i)$

subjected to  $\sum_{i=1}^n m_i c_i \leq C \rightarrow$  given cost

where  $\phi_i(m_i) = 1 - (1 - g_{ii})^{m_i}$  &  $1 \leq m_i \leq u_i$

$$u_i = \left\lfloor \frac{C - \sum c_j + c_i}{c_i} \right\rfloor$$

Eg : Consider 3 stage system with

$$C=105 ; \langle c_1, c_2, c_3 \rangle = \langle 30, 15, 20 \rangle ; \langle r_1, r_2, r_3 \rangle = \langle 0.9, 0.8, 0.5 \rangle$$

→ Let  $f_n(c)$  represent reliability of an  $n$ -stage system with a total project cost of  $c$ :

$$f_n(c) = \max \left\{ \phi_n(m_n) * f_{n-1}(c - c_n m_n) \right\} \quad 1 \leq m_n \leq u_n$$

$$\phi_0(x) = 1$$

$$\therefore n=3; C=105;$$

$$\langle c_1, c_2, c_3 \rangle = \langle 30, 15, 20 \rangle$$

$$\langle r_1, r_2, r_3 \rangle = \langle 0.9, 0.8, 0.5 \rangle$$

$$u_1 = \left\lceil \frac{105 - 15 - 20}{30} \right\rceil = 2$$

$$u_2 = \left\lceil \frac{105 - 30 - 20}{15} \right\rceil = 3$$

$$u_3 = \left\lceil \frac{105 - 30 - 15}{20} \right\rceil = 3$$

$$\text{Let } f_n \sim S^n = \{(\text{reliability}, \text{cost})\}$$

∴ initially we have

$$S^0 = \{(1, 0)\}$$

for calculation  $S^1$  we compute  $S_1^1$  &  $S_2^1$

where  $S_j^i$  represents  $i$ th stage &  $j$ th copies of stage  $i$  devices.

$$S'_1 = \{(0.9, 30)\}$$

$$1 - (1 - 0.9)^2$$

$$S'_2 = \{(0.99, 60)\}$$

$$1 - (0.1)^2$$

$$= 0.99$$

using  $S'_1$  &  $S'_2$ , we compute  $S'$

$$S' = \{\cancel{(0.9, 30)} (0.99, 60)\}$$

Computing  $S^2$ :

we compute  $S^2$  by computing  $S_1^2, S_2^2, S_3^2$

$$S_1^2 = \{\cancel{(0.72, 45)}, (0.792, 75)\}$$

→ removed in merging rule

$$\phi_2(m_2=2) = 1 - (0.8)^2 = 1 - 0.64 = 0.36$$

$$= 1 - (1 - 0.8)^2 = 1 - 0.04 = 0.96$$

$$S_2^2 = \{(0.864, 60), (0.9504, 90)\}$$

(X) ↳ from here we cannot add even.

1 copy of  $D_3$ . so this path is not explored.

$$\phi_2(m_3) = 1 - (1 - 0.8)^3 = 1 - (0.2)^3$$

$$= 1 - 0.008 = 0.992$$

$$S_3^2 = \{(0.8928, 75), \text{ } \}$$

↳ infeasible

$$\therefore S^2 = \{(0.92, 45), (0.864, 60), (0.8928, 75)\}$$

Computing  $S^3$ :

→ first we calculate  $S_1^3, S_2^3, S_3^3$

$$S_1^3 = \{(0.36, 65), (0.432, 80), (0.4464, 95)\}$$

$$\phi_3(2) = 1 - (1 - 0.5)^2 = 1 - 0.25 = 0.75$$

$$S_2^3 = \{(0.54, 85), (0.648, 100), (0.648, 100)\}$$

↳ infeasible

$$\therefore \phi_3(3) = 1 - (1 - 0.5)^3 = 1 - 0.125 = 0.875$$

$$S_3^3 = \{(0.63, 105)\}$$

↳ eliminated using queuing rule

$$\therefore S^3 = \{(0.36, 65), (0.432, 80), (0.54, 80), (0.648, 100)\}$$

$$(0.648, 100)$$

$$\therefore \text{Ans: } (0.648, 100)$$

Computing no of copies at each stage.

(0.648, 100) is present in  $S_2^3$

$$\Rightarrow m_3 = 2$$

Now subtract ~~100~~  $2 * C_3 = 40$  from 100

$$100 - 40 = 60$$

Now search for tuple (-, 60) in  $S_2^2$

$$\text{i.e., } (0.864, 60)$$

It is found in  $S_2^2 \Rightarrow m_2 = 2$

Now search for  $(-, 60 - (2)(15)) = (-, 30)$  in  $S_1$   
 i.e., found in  $S_1$   
 $\therefore m_1 = 1$

$$\therefore (m_1, m_2, m_3) = (1, 2, 2)$$

$$\therefore \text{reliability} = (0.9) * (1 - (1 - 0.8)^2) * (1 - (1 - 0.5)^2) \\ = 0.648$$

### Optimal Cost Binary Search Tree:

→ BST is used in compilers to maintain the keyword table. (contains all the keywords)

Cost of

Computing cost of BST:

Let  $T$  be a BST

$$\text{Cost}(T) = \text{cost}(\text{successful searches}) + \text{cost}(\text{unsuccessful searches})$$

$$\text{Cost}(\text{successful searches}) = \sum_{i=1}^n \text{cost}(a_i)$$

where  $\text{cost}(a_i)$  is cost for searching  $a_i$  in  $T$ .

$$\therefore \text{cost}(a_i) \propto \text{level}(a_i)$$

where  $\text{level}(a_i)$  is the level of  $a_i$  in  $T$

$$\text{cost}(a_i) = p_i * \text{level}(a_i)$$

where  $p_i$  is probability of using  $a_i$  in the application.

$$\therefore \text{cost}(\text{succ. searches}) = \sum_{i=1}^n p_i * \underbrace{\text{level}(a_i)}_{\text{element comparisons}}$$

Now let us compute the cost of unsuccessful searches.  
Unsuccessful searches end at null links.

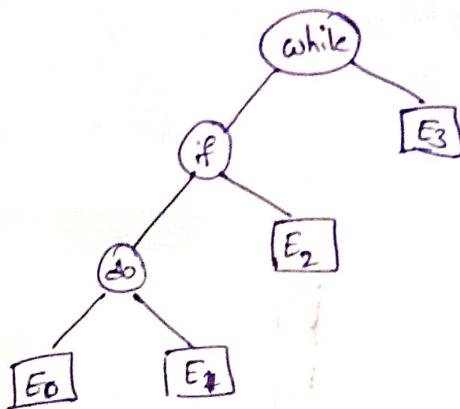
In a binary tree of 'n' nodes we have

$$\text{total links} = 2n$$

$$\text{no of used links} = n-1$$

$$\therefore \text{no of null links} = 2n - (n-1) = n+1$$

Eg: In BST with 3 nodes we have 4 null links.



whenever there is unsuccessful

search it ends at E0/E1/E2/E3

Unsuccessful search ends at E0 if search word is < do

unsuccessful search ends at E1 if search word is (< if) and (> do)

Cost of an

$$\therefore \text{cost}(\text{unsuccessful search}) = \sum_{i=0}^n \text{cost}(E_i)$$

$$\text{cost}(E_i) \propto [\text{level}(E_i) - 1]$$

$$\text{cost}(E_i) = q_i * \frac{\text{level}(E_i) - 1}{\text{no of element comparisons}}$$

where  $q_i$  is probability of searching a string from

set  $E_i$

$$\therefore \text{cost}(\text{unsuccessful search}) = \sum_{i=1}^n q_i * (\text{level}(E_i) - 1)$$

$$\therefore \text{Cost}(\tau) = \sum_{i=1}^n p_i * \text{level}(a_i) + \sum_{i=0}^n q_i * (\text{level}(E_i) - 1)$$

(cost of search up to last position in tree)

Eg:  $n=3$ ;  $\langle a_1, a_2, a_3 \rangle = \langle \text{do, if, while} \rangle$

$$\langle p_1, p_2, p_3 \rangle = \langle 0.5, 0.1, 0.05 \rangle$$

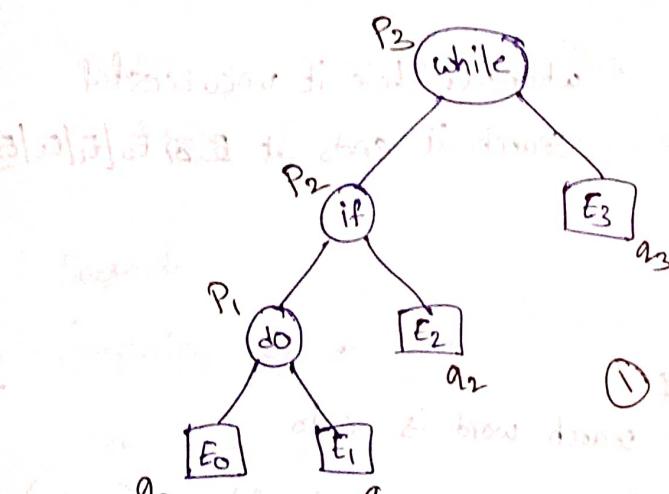
$$\langle q_0, q_1, q_2, q_3 \rangle = \langle 0.15, 0.1, 0.05, 0.05 \rangle$$

$$\sum_1^n p_i + \sum_0^n q_i = 1$$

Formally  $q(i)$  is probability of searching key  $x$  such that

$$a_i < x < a_{i+1}$$

Compute the cost below BST



Each  $E_i$  corresponds to class of string ' $x'$  such that

$$a_i < x < a_{i+1}$$

also we assume

$$a_0 = -\infty; a_{n+1} = +\infty$$

Sol:

The level of root is 1.

$$\text{Cost (succ. search)} = \sum_{i=1}^n \text{level}(a_i) * p_i$$

$$= p_1 * 1 + p_2 * 2 + p_3 * 3 = p_1 * 3 + p_2 * 2 + p_3 * 1$$

$$= 0.5 * 3 + 0.1 * 2 + 0.05 * 1 = (0.5)(3) + (0.1)(2) + (0.05)(1)$$

$$= 1.5 + 0.2 + 0.05$$

$$= \cancel{0.05} \quad 1.75$$

$$\text{Cost (unsucc. search)} = \sum_{i=0}^n (\text{level}(E_i) - 1) * q_i$$

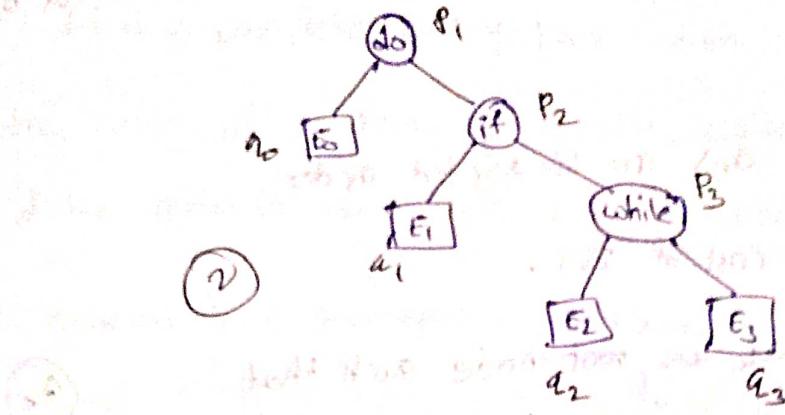
$$= (0.15)(3) + (0.1)(2) + (0.05)(2) + (0.05)(1)$$

$$= 0.45 + 0.3 + 0.1 + 0.05$$

$$= 0.9$$

$$\therefore \text{Cost(BST)} = 0.85 + 0.9 = 0.47 - 0.75 = 2.65$$

Ex: Compute cost of below tree



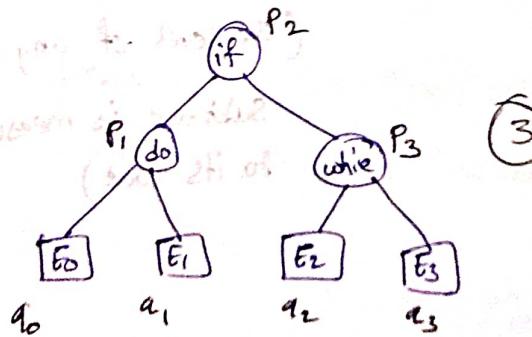
Note the error  
If Tree in previous example is considered, then ~~order~~ arrangement of  $E_0, E_1, E_2, E_3$  has to be fixed in 2nd tree

$$\begin{aligned}\text{Cost(suc)} &= 1^* 0.5 + 2^* 0.1 + 3^* 0.05 \\ &= 0.5 + 0.2 + 0.15 \\ &\approx 0.85\end{aligned}$$

$$\begin{aligned}\text{Cost(un suc)} &= (0.15)(1) + (0.1)(2) + (0.05)(3) + (0.05)(3) \\ &= 0.15 + 0.2 + 0.15 + 0.15 \\ &= 0.65\end{aligned}$$

$$\therefore \text{Cost}(T) = 0.85 + 0.65 = 1.5$$

Ex: Compute Cost of below tree



$$\text{Cost(suc)} = (0.5)(2) + (0.1)(1) + (0.05)(2) = 1 + 0.1 + 0.1 = 1.2$$

$$\begin{aligned}\text{Cost(un suc)} &= (0.15)(2) + (0.1)(2) + (0.05)(2) + (0.05)(2) \\ &= 0.3 + 0.2 + 0.1 + 0.1 = 0.7\end{aligned}$$

$$\text{Cost}(T) = 1.9$$

even though Tree 3 is complete it has higher cost than Tree 2.

## Construction of BST of optimal cost:

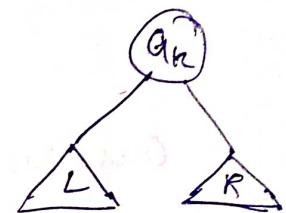
Let us consider  $n$  identifiers ~~with~~  $\{a_1, \dots, a_n\}$  associated with probabilities  $\{P_1, \dots, P_n\}$ . Let probabilities of external nodes be  $\{q_1, \dots, q_n\}$ .

Assume  $\{a_1, a_2, a_3, \dots, a_n\}$  are in sorted order.

Let  $\text{Cost}(T)$  denote Cost of BST.

Now we select  $a_k$  as root node such that

$$\text{Cost}(T) = \min_{1 \leq k \leq n} \{ P_k + \text{cost}(L) + \text{cost}(R) \}$$



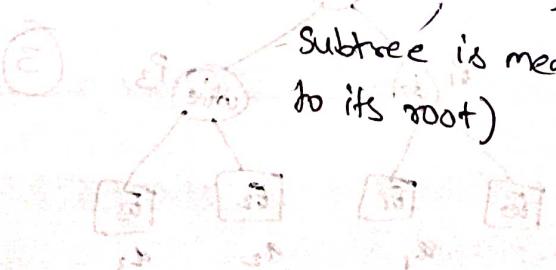
Mathematical modelling:

$$C(0,n) = \min_{k=1 \text{ to } n} \left\{ P_k + c(\text{left } k-1) + c(\text{right } n-k) + \Delta_1 + \Delta_2 \right\}$$

However the

The value calculated are calculate for subtree considering it as a tree. So level number will be less. So we add  $\Delta_1$  &  $\Delta_2$

(The level of any identifier within Subtree is measured with respect to its root)



## Heap Algorithms:

Heap is used in the implementation of priority queue.

Defn: It is a complete binary tree with the property that the value of a node is greater (smaller) than the values of the nodes in their left & right subtrees.

→ In general it is implemented as binary heap. However we may use s-ary or u-ary or k-ary heap.

### Operations:

- i) Create
- ii) Insert
- iii) Delete
- iv) Inc/Dcr key

### Insertion:

i) Inserting elements one after after other (Max Heap)

Time complexity:  $O(n \log n)$

{ Best case:  $O(n)$  (Dec order) }

worst case:  $O(n \log n)$  (Inc order)

### \* \* Framework:

→ The max no of nodes at any level 'i' is  $2^{i-1}$ . (root at level 1)

→ The no of level comparisons / movements of a node being inserted at level 'i' =  $i-1$ .

→ The total no of level comparision for all nodes : at level  $i$

$$\text{Comparisons} = (i-1) 2^{i-1}$$

→ Time for all nodes at all levels

$$\text{Total time} = \sum_{i=1}^k (i-1) 2^{i-1}$$

where 'k' is last level

$$= \frac{1}{2} \left[ \sum_{i=1}^k i \cdot 2^i - \sum_{i=1}^k 2^i \right]$$

$$= \frac{1}{2} \left[ (k-1) 2^{k+1} + 2 - (2^{k+1} - 2) \right]$$

$$\therefore \sum_{i=1}^n i \cdot 2^i = (n-1) 2^{n+1} + 2$$

$$= \frac{1}{2} \left[ k \cdot 2^{k+1} - 2^{k+1} + 2 - 2^{k+1} + 2 \right]$$

$$= \frac{1}{2} [ k 2^{k+1} (k-2) + 4 ]$$

$$= 2^k (k-2) + 2$$

for a full binary tree / complete binary tree with

if 'n' is no of nodes

$$2^k = O(n) \quad \& \quad k = O(\log n)$$

$$\Rightarrow n (\log n - 2) + 2$$

$$= O(n \log n)$$

Time taken to do comparison (comparisons done to all off)

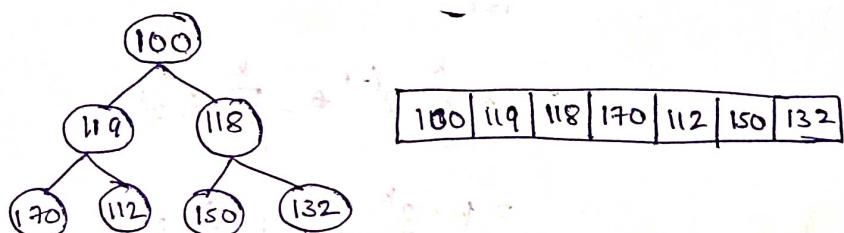
(i.e. additional to insertion point)

## Build Heap (Heapify):

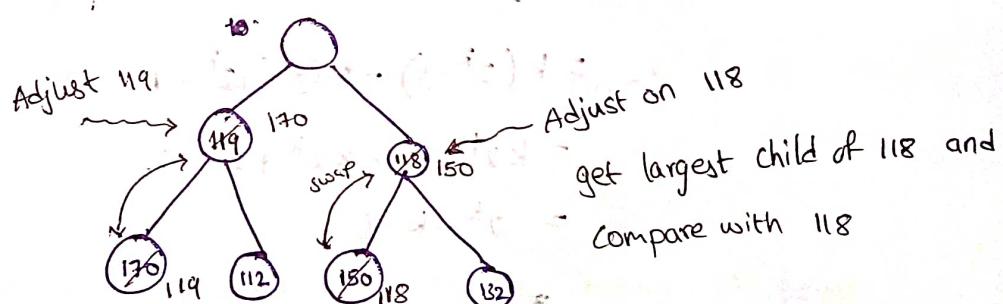
Heapify: The process of transforming a given complete binary tree (with  $n$  elements) to a heap using method of adjust.

Adjustment procedure is carried out at level by level starting from level  $k-1$  then  $k-2$  upto level 1 where  $k$  is last level

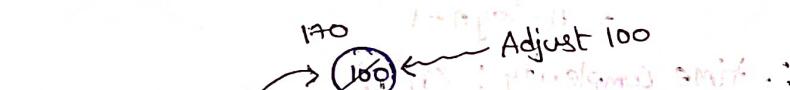
Eg : A :  $\langle 100, 119, 118, 170, 112, 150, 132 \rangle$



↓  
Heapify

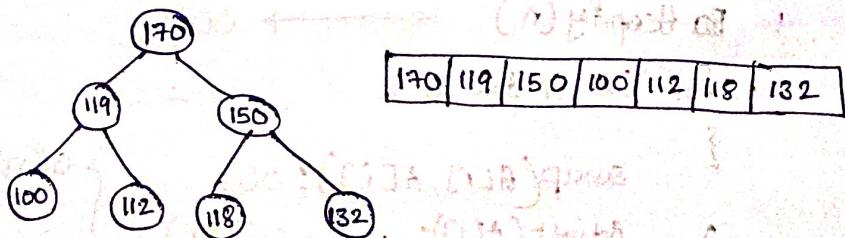


↓  
Heapify completed



Adjust 100

↓



## Time Complexity:

→ Max no. of nodes at any level is  $2^{i-1}$

→ Max no. of level comparisons for a node that is getting adjusted at level  $i$  is  $k-i$

→ Min. cost where  $k$  is height (0) last level)

→ for all node at level  $i$ , total comparisons =  $(k-i) 2^{i-1}$

→ Time Complexity = total comparision for all nodes at all levels

$$= \sum_{i=1}^k (k-i) 2^{i-1}$$

$$= k \sum_{i=1}^k 2^{i-1} - \sum_{i=1}^k i \cdot 2^{i-1}$$

$$= k \cdot \frac{2^k - 1}{2-1} - \frac{1}{2} \sum_{i=1}^k i \cdot 2^i$$

$$= k(2^k - 1) - \frac{1}{2} [(k-1) \cdot 2^{k+1} + 2]$$

$$= k(2^k - 1) - (k-1)2^k - 1$$

$$= k2^k - k - k2^k + 2^k - 1$$

$$= 2^k - k - 1$$

$$k = \text{height} = O(\log n)$$

$$= n - \log n - 1$$

∴ time complexity:  $O(n)$

## Heap Sort:

Algo Heap-Sort( $n$ )

{

1. ~~Build~~ Heapify( $n$ )  $\longrightarrow O(n)$

2. : for  $i \leftarrow n$  down to 2

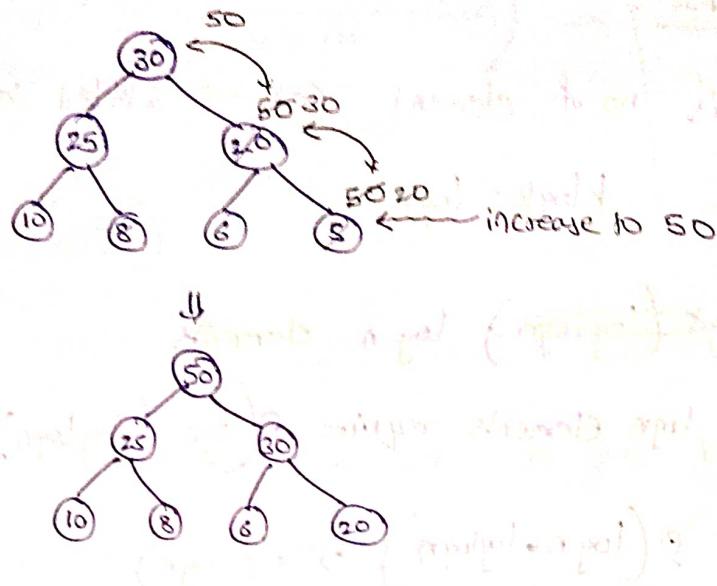
{ swap( $A[i], A[i-1]$ );  $O(1)$  }  $O(n \log n)$

{ Adjust( $A[i]$ );  $\longrightarrow O(\log n)$  }

$\therefore$  Time complexity of heap sort:  $O(n \log n)$

### Increasing | Decreasing value of a Node:

Consider Max heap



Thus if it is increase operation, the node may go from leaf to root in worst case and if it is delete operation, the node may go from root to leaf.

$\therefore$  TC for inc/dec operation:  $O(\log n)$

H/61

1<sup>st</sup> smallest element cannot be below 1<sup>st</sup> level

2<sup>nd</sup> smallest element cannot be below 2<sup>nd</sup> level

slly 7<sup>th</sup> smallest cannot be below 7<sup>th</sup> level.

$\therefore$  we need to search all 1<sup>st</sup> to 7 levels.

$\therefore 2^7 = 128 - 1 = 127$  nodes have to be searched

$\therefore O(1)$

H/63

Q

options are

- a)  $\log n$    b)  $\sqrt{\log n}$    c)  $O(1)$    d)  $\frac{\log n}{\log \log n}$

option verification:

If  $k$  is no of element can be sorted in  $O(\log n)$  then

$$k \log k = \log n$$

a)  ~~$\log(\log n)$~~   $\log n$  elements

$\log n$  elements require  $O(\log n (\log \log n))$  time.

$$O(\log n \cdot \log \log n) > O(\log n)$$

b) ~~Sort of part start w/ false~~  $\log n$  elements

b)  $\sqrt{\log n}$  elements

$\sqrt{\log n}$  elements require  $O(\sqrt{\log n} (\log \sqrt{\log n}))$  time

$$O\left(\frac{1}{2} \sqrt{\log n} \cdot \log \sqrt{\log n}\right) \neq O(\log n)$$

c) ~~Sort of part start w/ false~~  $O(n)$  elements

c) ~~constant time is~~

$O(1)$  --- Constant elements.

To sort constant no of elements Constant time is required

$$O(1) \neq O(\log n)$$

d) To sort  $\frac{\log n}{\log \log n}$  we need  $O\left(\frac{\log n}{\log \log n} \cdot \log\left(\frac{\log n}{\log \log n}\right)\right)$

$$\begin{aligned}
 &= O\left(\frac{\log n}{\log \log n} (\log \log n - \log(\log \log n))\right) \\
 &= O\left(\log n \left(1 - \frac{\log(\log \log n)}{\log \log n}\right)\right) \\
 &= O\left(\log n - \frac{(\log n)(\log \log \log n)}{\log \log n}\right) \\
 &\quad \underbrace{\qquad\qquad\qquad}_{< \log n} \\
 &= O(\log n)
 \end{aligned}$$

$\log \log \log n < \log \log n$

$$\Rightarrow \frac{\log \log \log n}{\log \log n} < 1$$

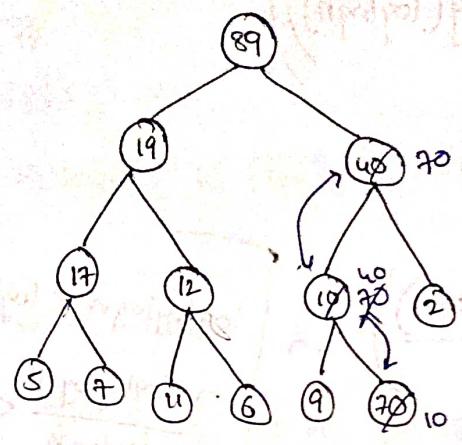
$$\Rightarrow \frac{\log n (\log \log \log n)}{\log \log n} < \log n$$

- H/64
- i) Take 1st element from each list and build a ~~help~~ heap. ~~and in heap we can't put the sorted elements~~  
this takes  $\log \log n$  time.
  - ii) Delete an element from this heap and put it in ~~the~~ the array (the array in which we want to store the sorted result)  $\sim O(\log \log n)$ .
  - iii) Now take an element from ~~a~~ list for whose element got added in the array and insert into heap H  
 $\sim O(\log \log n)$ .

Repeat step ~~ii~~ step ii) & iii) until all the elements ~~are~~ from the list are finished i.e.,  $n$  times

i.e.,  $n \log \log n$

$$\therefore TC: O(n \log \log n)$$



$\therefore 2$  interchanges

## Graph Techniques (Traversals):

Traversal: Visiting all the nodes of the tree/graph in an order & processing the information only once is traversal.

Tree traversal vs Graph traversal:

→ The traversal of tree (inorder or preorder or postorder) is unique whereas graph traversal need not be unique.

### Framework:

1. Status of a node:

During the traversal, any node ~~can~~ must be in one of the 3 states

a) E-Node:

The node that is currently under exploration.

There is only one E-node during traversal.

b) Live - Node :

The Node which has not yet been explored fully or all of whose children has not yet been explored.

- Stack in DFS & Queue in BFS are used to store these node

c) Dead - Node :

The node which has been explored fully.

2. Timing values during traversal:

→ every node is associated with 2 timing values

$d$  = discovery time (Pre-value)

$f$  = finishing time (Post-value)

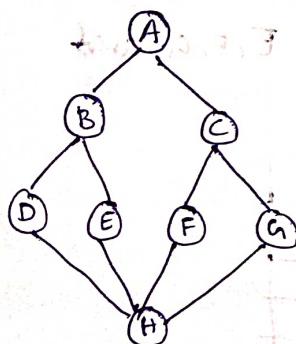
$$d \& f \geq 0$$

$d$ : the time at which node is first visited.

$f$ : the time at which node becomes dead.

DFS :

1) DFS in undirected connected graph:



Let us start DFS from A

so initially we give discovery time of  $A = 1$

when node is currently under exploration (E-node) it is not pushed onto the stack.  
Node pushed only after it becomes fire node

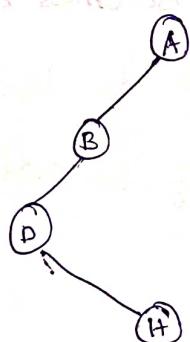
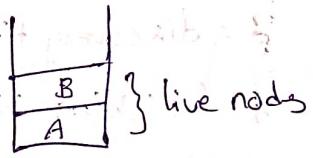
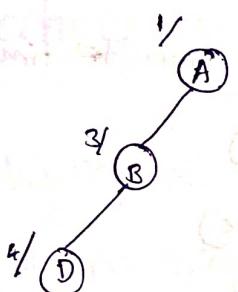
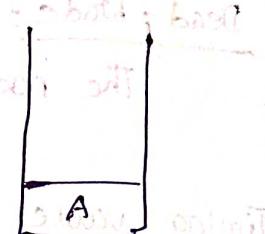
If node become E-node only after it is popped

(However we can have any value greater than 0)

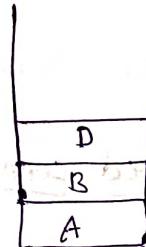
Now current A is E-Node.



Now B becomes E-node, & A becomes live node  
and A is stored in stack

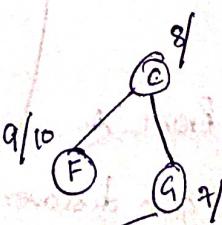
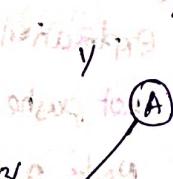


A; B; D; H

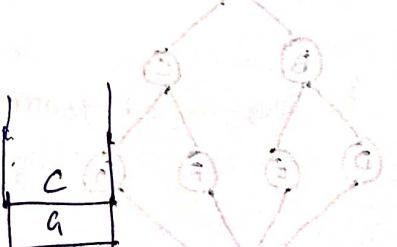


Here D is parent of H and E, F, G are

children of H



A; B; D; H; G; C; F

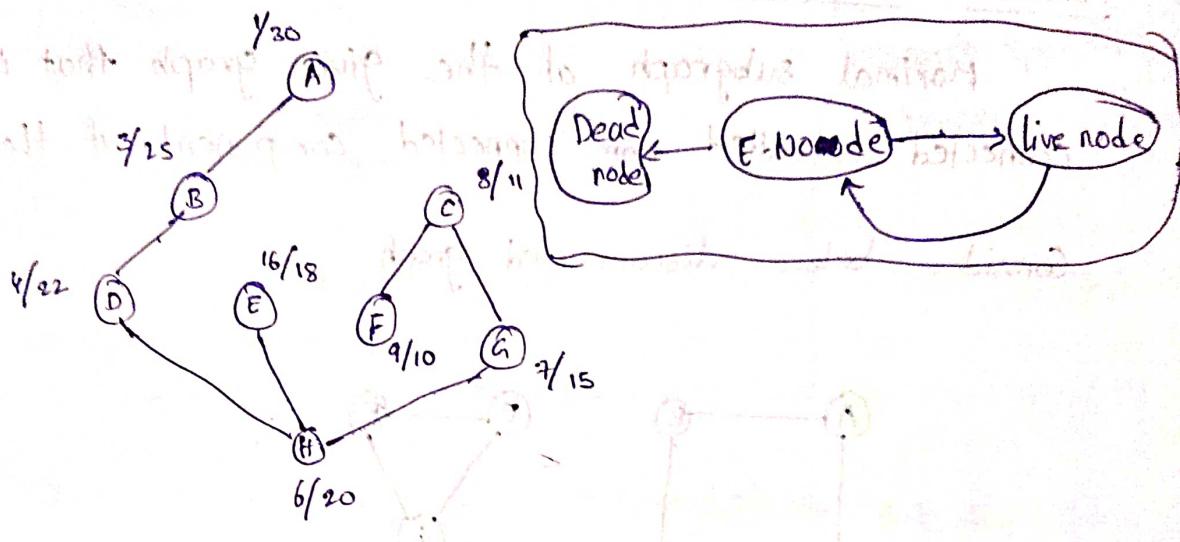


now 'F' has no adjacent unexplored nodes.

so we assign it finish time and 'F' becomes dead node.

now pop off 'C' from the stack.

Now 'C' becomes the E-node



In this traversal 'H' becomes E-node thrice

∴ traversal : A, B, D, H, G, C, F, E

Note :

→ The graph is now set to the mode of visit tree

→ The undirected graph is connected

↔ finish time of first node is the highest

↔ traversal finishes when the stack become empty  
for first time.

↔ traversal finishes when the first node becomes dead node

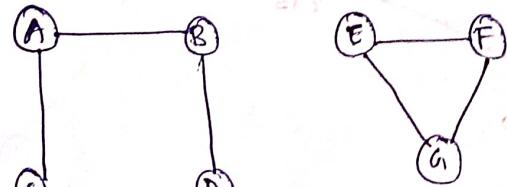
## 2) DFS in undirected disjoint (disconnected graph):

→ DFS when carried out on disconnected graph is called Depth First Tree (DFT).

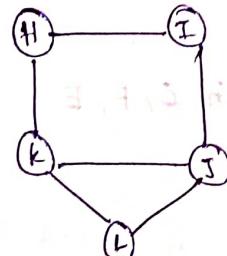
### Connected Component:

Maximal subgraph of the given graph that is connected is called connected component of the graph.

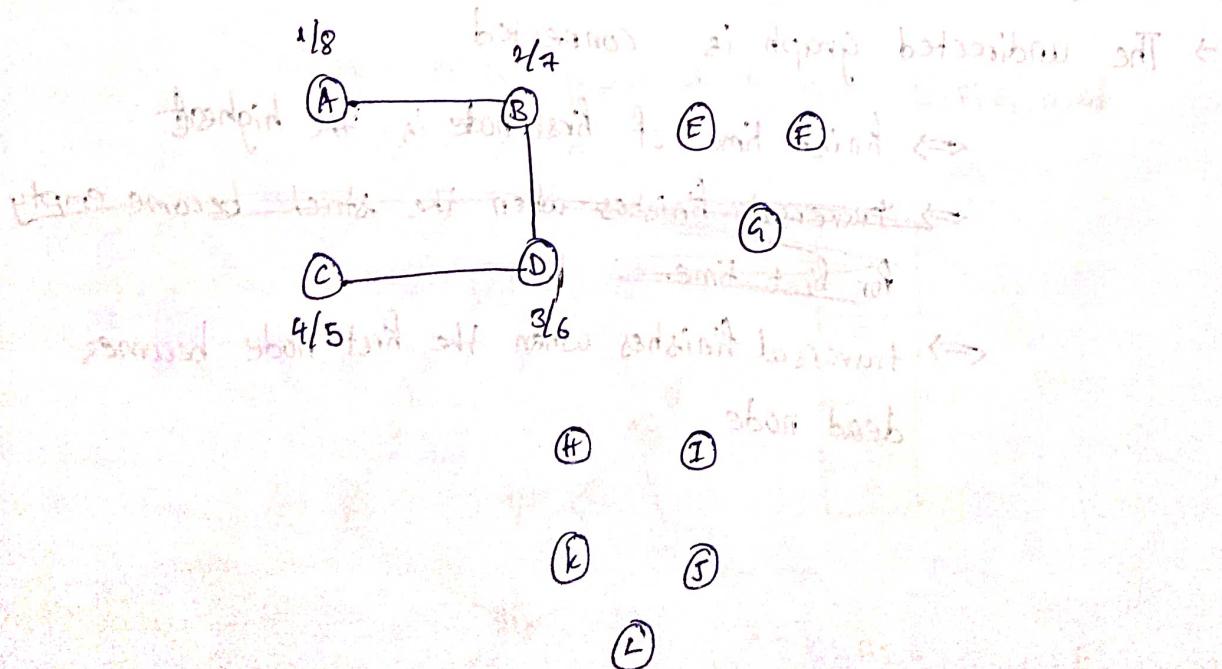
Consider below disconnected graph



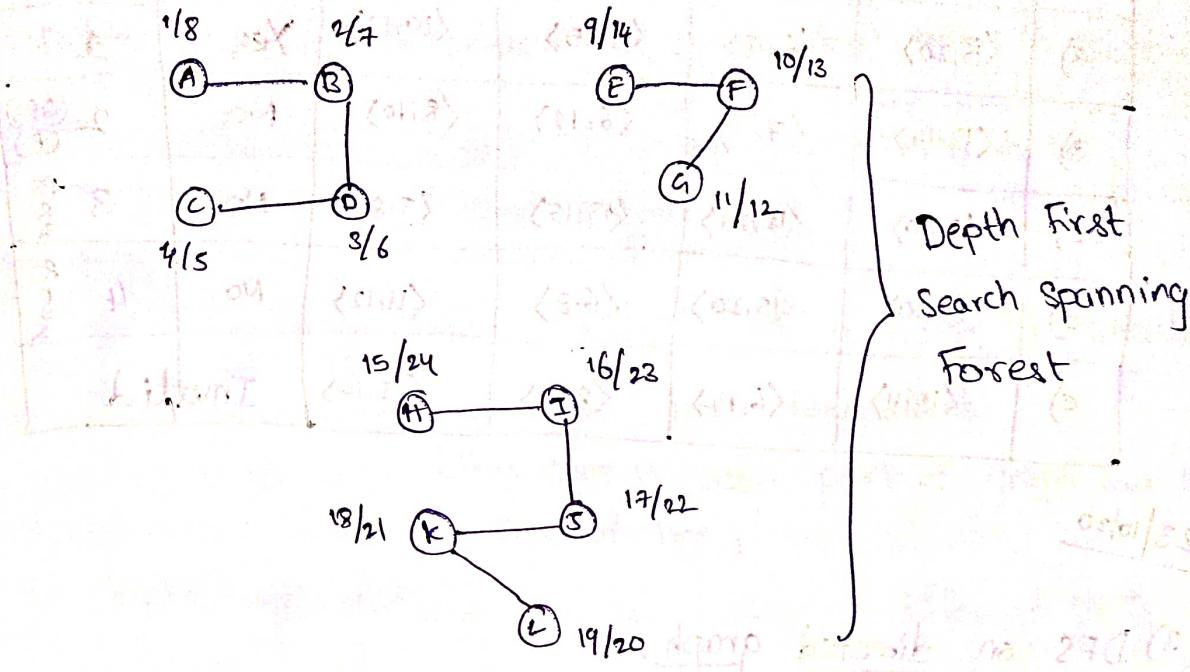
Start when has formed 4 disjoint subgraph



Start traversal from any of the unexplored node



now stack has become empty but traversal is not finished since we have unexplored nodes.



Here, the finishing time of first node (A) is not the highest finishing time of the graph individually.

$\therefore$  disconnected

Also, graph is disconnected if there are more than one spanning trees.

no of disconnected components = no of spanning trees in depth first traversal.

- Q. Given an undirected graph with 4 vertices (P, Q, R, S) and their (df) values as follows. Indicate for each option whether the graph is connected or disconnected and no of connected components.

	P	Q	R	S	Connected	no of Connected components
	$\langle d, f \rangle$					
a)	$\langle 5, 20 \rangle$	$\langle 6, 18 \rangle$	$\langle 8, 15 \rangle$	$\langle 10, 12 \rangle$	Yes	1
b)	$\langle 13, 14 \rangle$	$\langle 7, 11 \rangle$	$\langle 6, 12 \rangle$	$\langle 8, 10 \rangle$	No	2 (QRS) (P)
c)	$\langle 3, 10 \rangle$	$\langle 18, 20 \rangle$	$\langle 13, 15 \rangle$	$\langle 5, 8 \rangle$	No	3 P R S
d)	$\langle 9, 10 \rangle$	$\langle 15, 20 \rangle$	$\langle 6, 8 \rangle$	$\langle 11, 12 \rangle$	No	4 R P S
e)	$\langle 10, 11 \rangle$	$\langle 6, 12 \rangle$	$\langle 8, 13 \rangle$	$\langle 15, 14 \rangle$	Invalid	

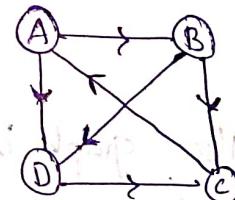
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### 3) DFS on directed graph:

→ DFS when carried out over a directed graph leads to the following types of edges:

a) Tree edge:

part: The edges that are part of subtrees of depth first search tree / forest



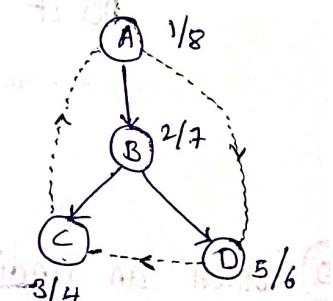
b) Forward Edge:

It is an edge that leads from a node to its non-child descendent.

(This edge is part of graph but not of spanning tree / forest)

Eg: AD is the only forward in the spanning tree

C: D is non-child descendent of A)



### c) Back Edge :

It is an edge that leads from a node  $\Rightarrow$  to its ancestor (This edge is present in graph but not in spanning tree).  
 Eg : CA

d)

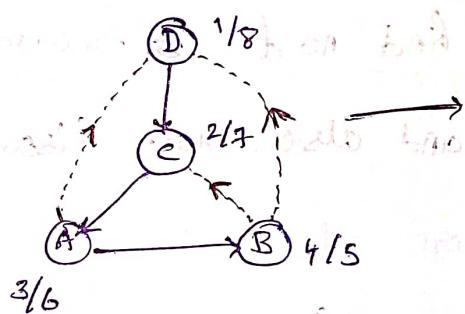
### d) Cross Edge :

It is an edge that leads from a node to another node which is neither ancestor nor descendent.

Eg : DC (This edge is also part of graph but not of tree)

$\rightarrow$  Cross edge may even be b/w vertices of different DFTs

Eg : Consider below DFS on node 'D'



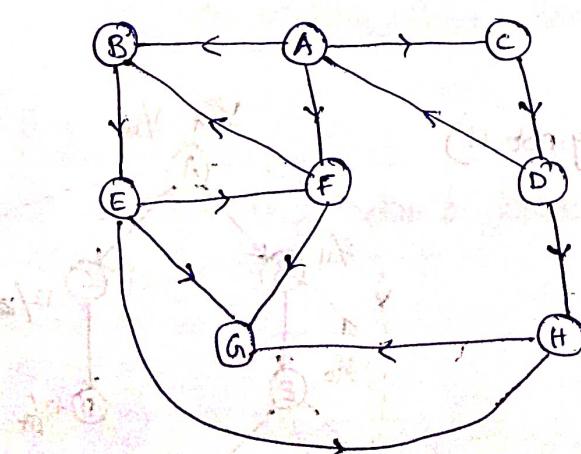
Fully decorated DFS spanning tree

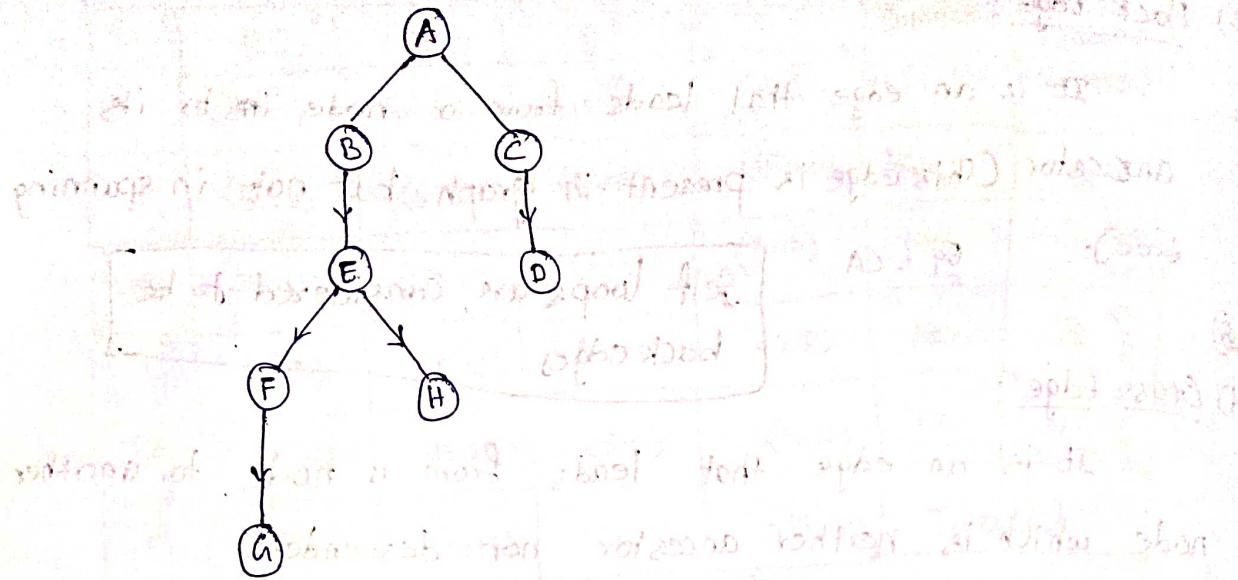
(The DFS spanning tree in which all the types of edges are drawn)

DC, CA, AB are tree edges

AD, BC, BD are back edges

Eg:





For above given graph and a spanning tree,

check whether the given spanning tree is valid or not.

If it is valid find no. of forward edges, backward edges and cross edges. and also mark discovery & finishing times.

It is a valid depth first traversal spanning tree.

The order in which nodes are visited is

A; B; E; F; G; H; C; D

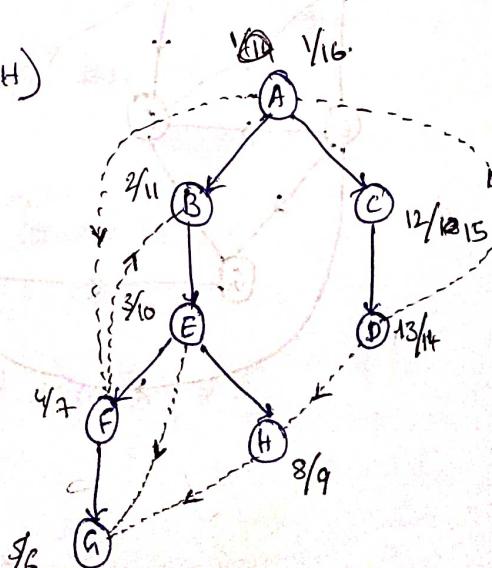
(Think why not C)

(Think why not H)

Forward Edges: AF; EG;

Back Edges: DA; FB;

Cross edges: DH; HG;



## Parenthesis Theorem:

In any DFS of a directed graph  $G = (V, E)$ ,  
for any two vertices 'u' & 'v' having <sup>a<sup>n</sup> directed</sup> edge ~~b/w them~~ ;  
exactly one of the condition holds

- I.  $\boxed{[ \quad ]}$  → The (D/F) time interval of vertex 'v' is enclosed within (D/F) time interval of vertex 'u';  
d[u] < d[v] < f[v] < f[u]  
inclusive
- II.  $\boxed{[ \quad ]}$  → Then edge  $uv$  is either  
a forward edge (or) a tree edge  
d[u] < d[v] < f[u] < f[v]  
valid
- III.  $\boxed{[ \quad ]} \quad \boxed{[ \quad ]}$  → Same as previous case but  
Here  $vu$  is either  
Here  $uv$  is forms a back edge.  
d[u] < f[v] < d[v] < f[u]  
exclusive

## 4) DFS on DAG (Directed Acyclic Graph) (Precedence Graph)

Every DAG is characterized by two kinds of vertices

### i) source vertices:

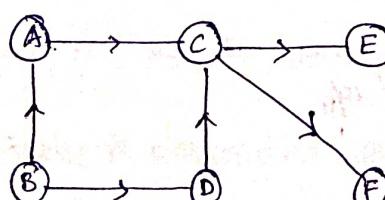
Vertices that doesn't have any precedences.

i.e., vertices that don't have any incoming edges

### ii) sink vertices:

Vertices that don't have any out bounded (outgoing) edges.

Eg:



B → source vertex

E, F → sink vertices.

→ One of application of DAG is to perform topological sort (or) topological ordering.

→ Topological sort:

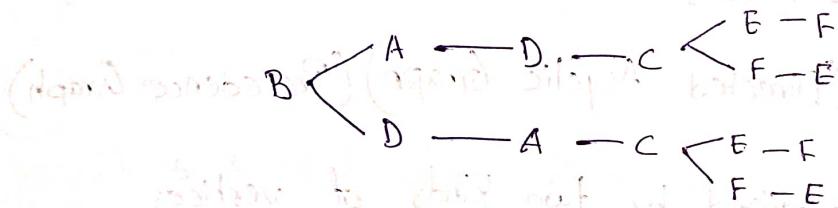
It is a linear ordering that exhibits the precedences of the vertices in the graph.

DAG can be viewed as task where edges represents dependencies or precedences and vertices represent activities.

Eg: In the example graph

Activity C is should be done only after finishing activity A & D.

Eg: For example graph taken topological order is



∴ topological sorts possible are

B A D C E F

B A D C F E

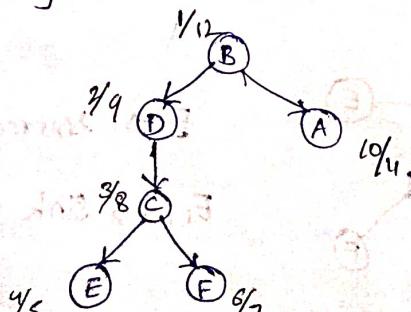
B D A C E F

B D A C F E

∴ no of topological orders possible = 4

Finding topological sort from DFS

Consider starting DFS from 'B'

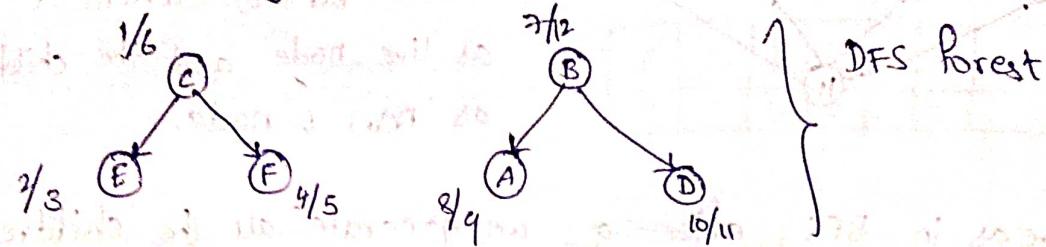


The decreasing order of finishing times gives topological sort.

i.e.,  $B A D C F E$  for this traversal

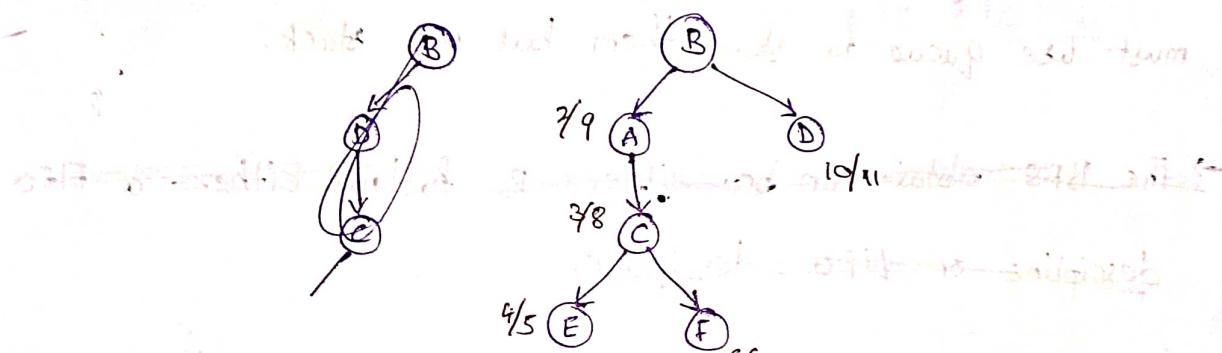
Note that to obtain topological sort we can start from any vertex.

Consider starting from vertex 'C'



$\therefore$  Topological sort:  $B D A C F E$

Consider another traversal



$\therefore$  Topological sort:  $B D A C F E$

Consider another traversal



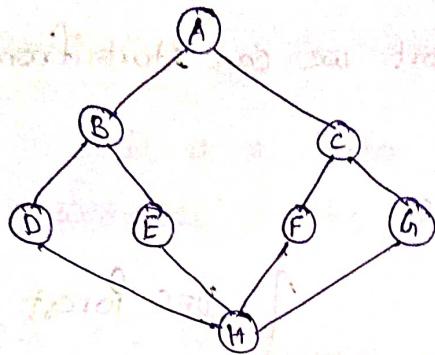
Topological sort:  $B A D C F E$

Algo. topological\_sort( )

$DFS(v); // v can be any vertex.$

Print nodes in descending order of their finishing times

## Breadth First Search (BFS)



In DFS, when we explore a node, we generate all a child node of current E-node and make the current E-node as live node and the child node as new E-node.

whereas in BFS, after we generate all the children of current E-node and the unexplored nodes of these nodes are made live nodes (stored in the queue).

Since a single E-nodes generates multiple live nodes, we must use queue to store them but not stack.

→ The BFS ~~order~~ can be either follow either a FIFO discipline or LIFO discipline.

→ The Queue may follow any of the below 3 disciplines.

- (i) FIFO (FIFO BFS) → taken as default
  - (ii) LIFO (LIFO BFS or Dsearch)
  - (iii) Priority Queue (Least Cost BFS)
- } Corresponding BFS

### FIFO BFS

A	Q				
live					
parent	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td>B</td><td>C</td></tr> <tr> <td>A</td><td>A</td></tr> </table>	B	C	A	A
B	C				
A	A				

In BFS, the 1st vert never gets onto Q. The vertices in Q are visited but unexplored vertices.

explore a child node and return E-node  
the child nodes

children of these  
e)

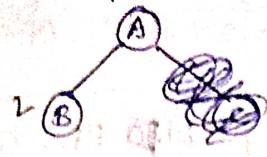
nodes, we

disciplines.

ording BFS

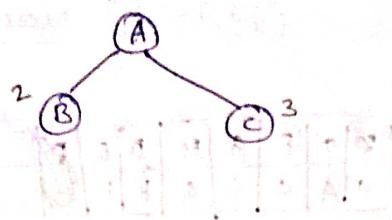
3, the 1st vertex  
gets onto &  
vertices in Q at  
d but unexplored

es



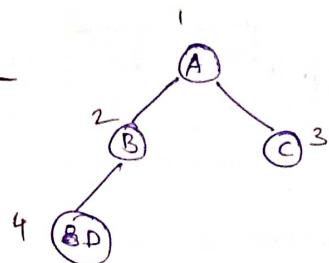
live	A	C	D	E
parent	A	A	B	B

Now obtain C from queue and add to tree  
(as E-node)



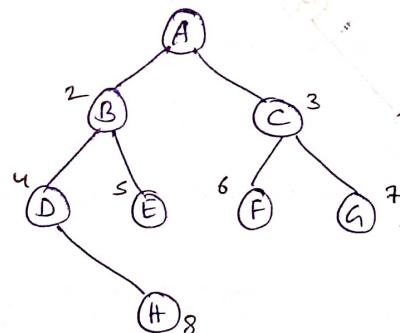
A	B	C	D	E	F	G
A	A	B	B	C	C	C

Now obtain D as next E-node



B	C	D	E	F	G	H
A	A	B	B	C	C	D

Choose E as next E-node



B	C	D	E	F	G	H
A	A	B	B	C	C	D

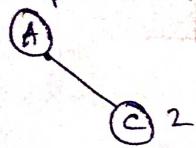
Breadth First Search Spanning tree

This is FIFO-BFS and the order of visiting will be the order in which they are pushed in queue

Note:

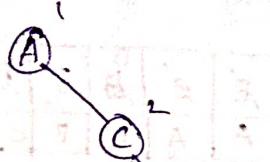
BFS can be used to find shortest cycle containing given vertex v.  
(think how)

## LIFO BFS:

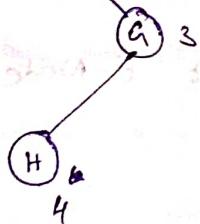


B	E	F	G
A	A	C	C

LIFO BFS can be implemented using a stack also

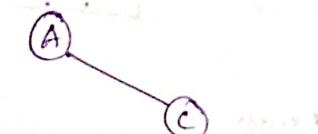


B	E	F	G	H	D	E	F
A	A	C	C	G	H	H	H

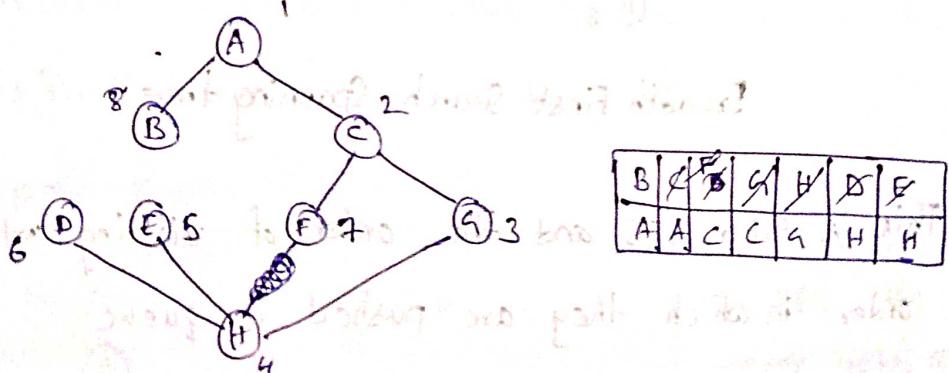


choose E

B	E	F	G	H	D	E
A	A	C	C	G	H	H



choose D



At every node we move to depth.

Hence we call it D-search (depth-search)

D-search sequence is AC G H E D F B

↳ In (This is invalid DFS)

node:

Even D-search need not to be a depth first search.

### Priority Queue (LC-BFS)

→ This is used in gaming algorithms

Eg: Consider 15-puzzle problem

1	3	4	15
2		5	12
7	6	11	14
8	9	10	13

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Initial arrangement      Goal Arrangement

The go objective is to reach goal arrangement with min possible moves.

Now we LC-BFS for this

Based empty slot we may have 4 (1) 3 (or) 4 (2) moves at each step

Step

①

1	2	3	4
5	6		8
9	10	7	11
13	14	15	12

up

right

down

left

1	2		4
5	6	3	8
9	10	7	11
13	14	15	12

②

1	2	3	4
5	6	8	
9	10	7	11
13	14	15	12

③

1	2	3	4
5	6	7	8
9	10		11
13	14	15	12

④

1	2	3	4
5	6	8	
9	10	7	11
13	14	15	12

⑤

→ Using FIFO or LIFO, here, is a blind search

→ So we need to prioritize one of the live nodes and make it to an E-node.

Thus to perform every BLC-BFS, every live node is associated with a cost.

We select a node with minimum cost and hence the priority queue is min-heap.

→ Cost function of live node is indicated as  $\hat{C}(x)$

$$\hat{C}(x) = f(x) + h(x)$$

$f(x) \rightarrow$  cost of reaching node 'x' from root.

$h(x) \rightarrow$  estimated cost of reaching goal state from node x.

Formulating  $h(x)$  may vary from node to node.

→ One way to estimate  $h(x)$  in this problem is

$h(x) = \text{no. of non-blank tiles that are not in goal position.}$

$h(2)$  for node 2 is 4

$h(2) = 4$

$$h(3) = 4$$

$$h(4) = 3$$

$$h(5) = 4$$

$$\Rightarrow \hat{C}(2) = 5; \hat{C}(3) = 5; \hat{C}(4) = 3; \hat{C}(5) = 5;$$

Thus we explore only node 4.

i.e., make 4 as E-node.

→ After exploring node '4' we get 3 more live nodes

and total live nodes at this point = 6.

Now we choose least cost node and continue the process.

### Time complexities:

→ The time complexity of both DFS & BFS with

(i) adjacency matrix -  $O(n^2)$

(ii) Adjacency list -  $O(n+e)$

DFS on undirected graph has either tree edge or backedge or forward edge

BFS on undirected graph contains either tree edge or cross edge

→ Both BFS & DFS can be used to determine the presence of cycle in graph.

The presence of backedge in directed (back/forward in undirected) confirms the presence of cycle.

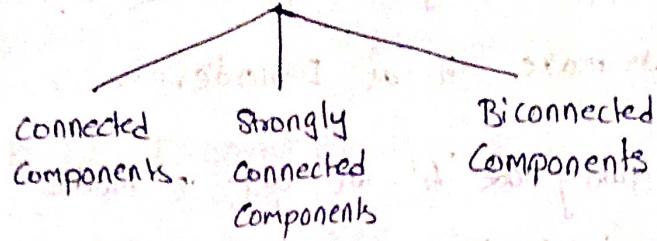
→ DFS & BFS can be used to know existence of a path b/w a given pair of vertices.

→ DFS is used as a searching strategy in backtracking design strategy.

→ BFS is used as a searching strategy in branch & bound strategy.

→ For undirected graph, the BFS spanning tree will act as the solution for the single source shortest path problem by considering unit wt. cost graph. (Optimal algorithm)

→ DFS is used to find ~~connected~~ components & Articulation points



Components: It is the place that two nodes are connected.

### i) Connected Components:

Maximal subgraph that is connected is called connected component of given graph.

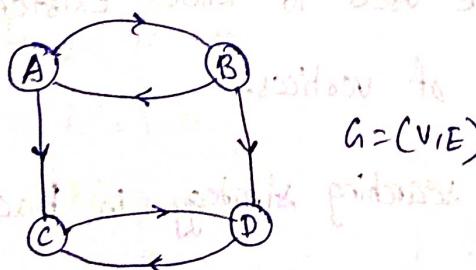
This concept applies only of undirected graphs.

### ii) Strongly Connected Components (This concept applied to directed graphs)

Two vertices  $u$  &  $v$  in a directed are said to be connected, iff there is a path from  $u$  to  $v$  and  $v$  to  $u$ .

→ This relation of being connected partitions the vertex set  $V$  of the graph into maximal disjoint sets known as strongly connected components.

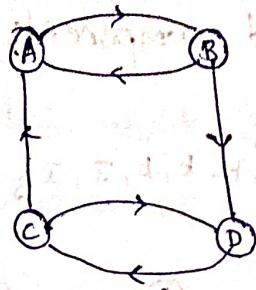
Eg.: In connected word of been so no, 2 is a 270 t



The above graph has 2 strongly connected components based on strongly connected components i.e.,  $\{A, B\}$   $\{C, D\}$

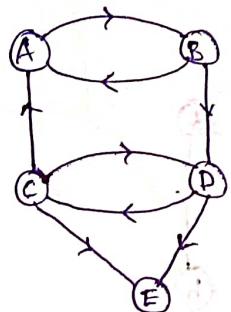


Eg:



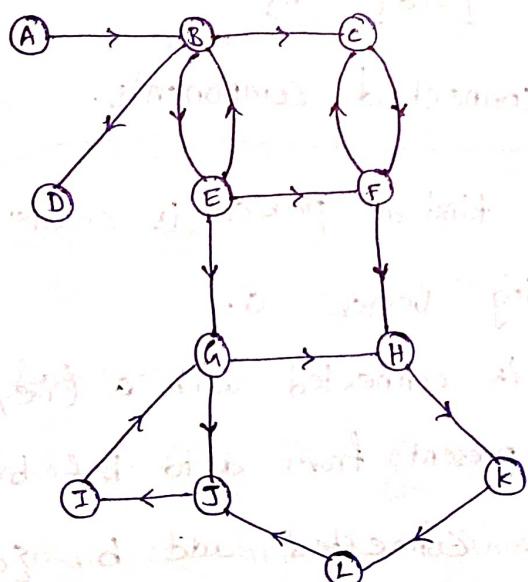
no of strongly connected components = 1  
i.e., {A, B, C, D}

Eg:



no of strongly connected components = 2  
i.e., {A, B, C, D} & {E}

Eg:



Sol:

A has 1 out going edge & D has only 1 incoming edge

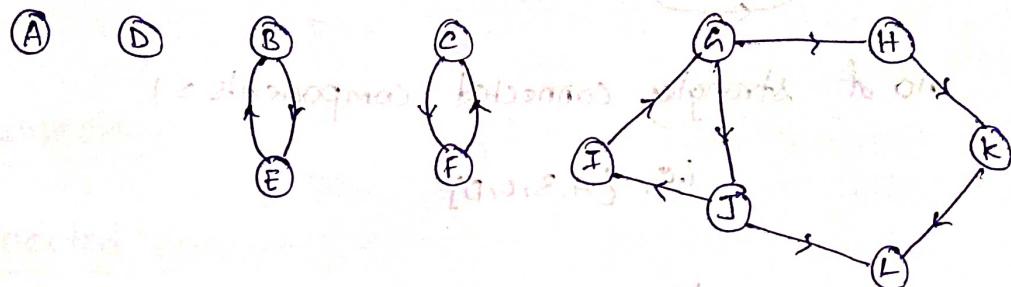
$\therefore \{A\}, \{D\}$  are 2 strongly connected components

G H K L J I is a cycle  $\therefore$

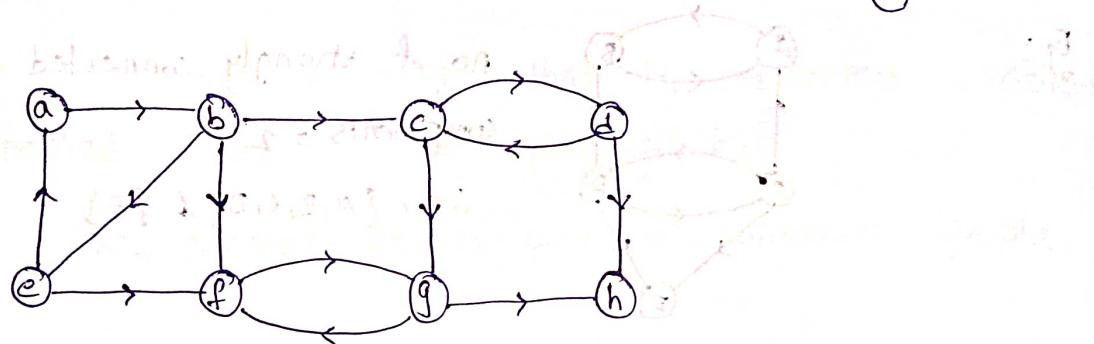
$\therefore \{G, H, K, L, J, I\}$  is a strongly connected component

$\therefore$  we have 5 strongly connected components

$$\{A\} \quad \{D\} \quad \{B, E\} \quad \{C, F\} \quad \{G, H, K, L, J, I\}$$



Eg:



$$\{a,b,e\} \quad \{f,g\} \quad \{c,d\} \quad \{h\}$$

$\therefore$  4 strongly connected components.

Approach for this kind of problem is create a set initially containing vertex a.

Now check if 'b' is connected with 'a' (i.e. path present from a to b & b to a). Since b & a are connected add b.  $\{a, b\}$ .

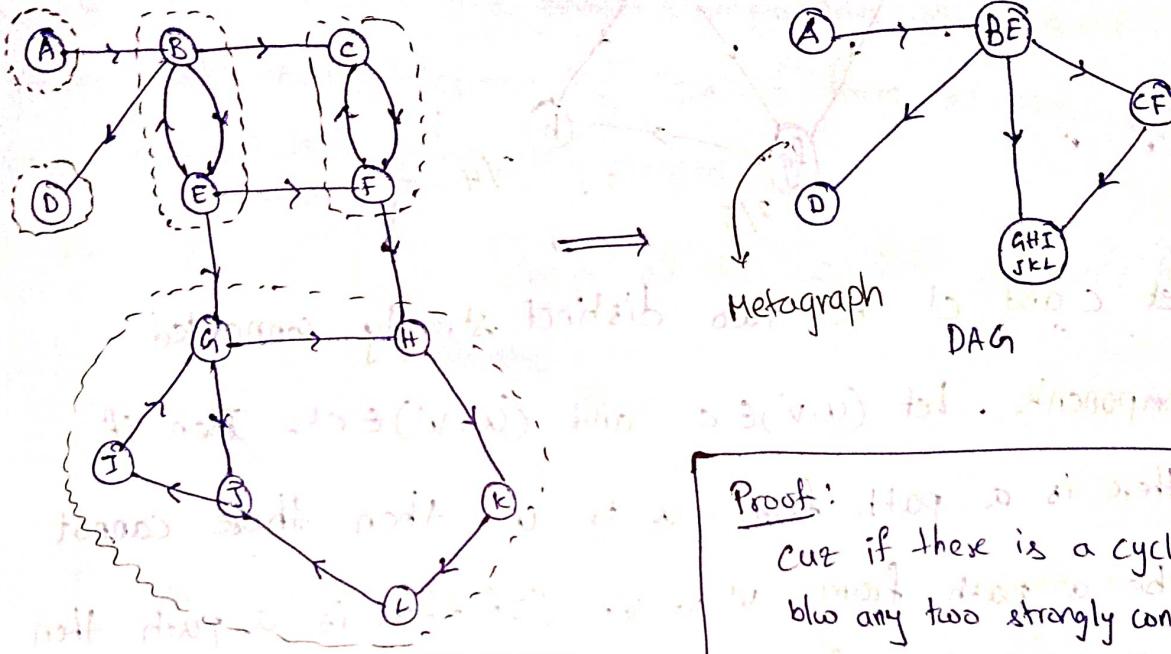
Now check with c.

C is not connected with 'a'. So create a new set containing c.

Continue this process for all the vertices.

## Properties:

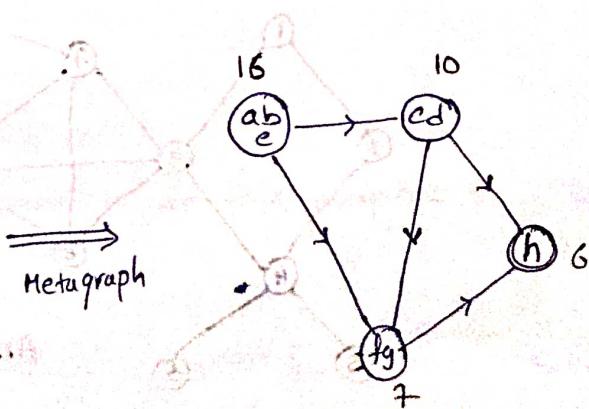
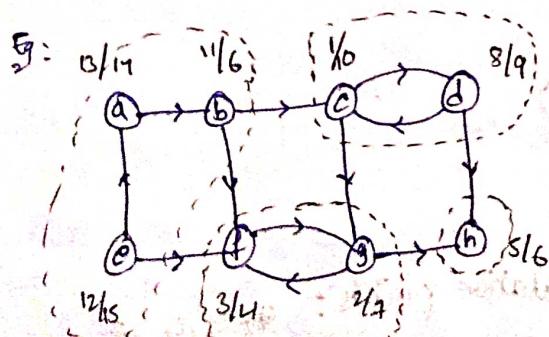
- i) Every directed graph is a DAG of its strongly connected components.



Metagraph: If  $G = (V, E)$  is a graph then  $G' = (V, E')$  such that  $E' \subseteq E$  is called metagraph i.e. Metagraph is a subgraph with all the vertices in graph  $G$ .

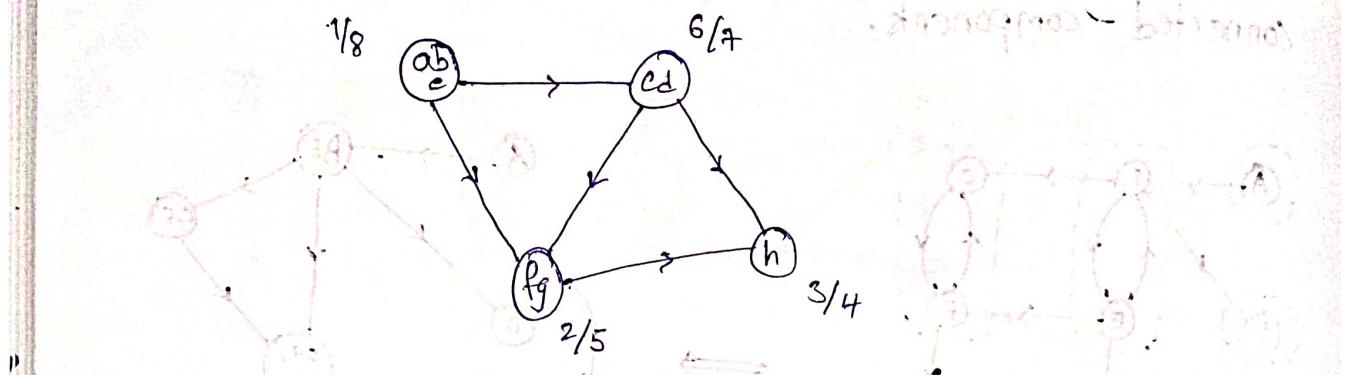
Proof: If  $G$  is acyclic  
cuz if there is a cycle  
b/w any two strongly connected  
components then ~~the~~ the two  
components would become  
one

- ii) Let  $C$  &  $C'$  be two strongly connected components. If there is a path ~~from~~ from a vertex in  $C$  to a vertex in  $C'$ , then the highest finishing time of ' $C$ ' will always be greater than the highest finishing time (of any vertex) of  $C'$ . (Think why)



The property can be verified from above graph

Consider carrying DFS on DAG of connected components, of a directed graph. The same property holds again.



- 3) Let  $c$  and  $c'$  be two distinct strongly connected components. Let  $(u, v) \in c$  and  $(u', v') \in c'$ . Then if

there is a path from  $u$  to  $u'$  then there cannot be a path from  $v'$  to  $v$ . (If there is a path then the whole thing forms a single connected component)

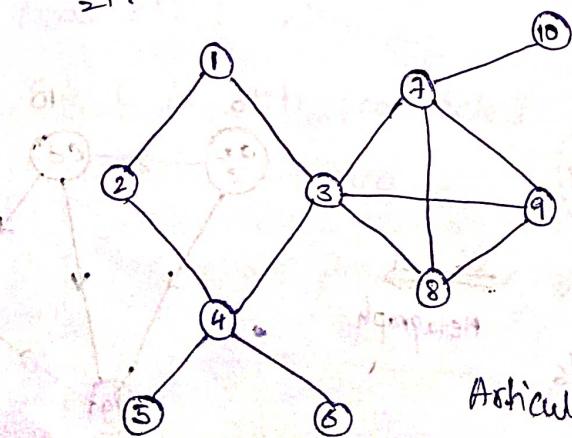
24/10/20

### Articulation Point (cut vertex): A Biconnected Component

The vertex whose removal makes an undirected graph ~~is~~ disconnected is called articulation point.

→ The graph is said to be biconnected if it does not have articulation point.

Eq:



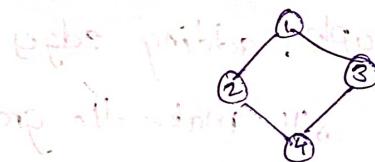
Articulation points: 3, 4, 7

### Biconnected Components:

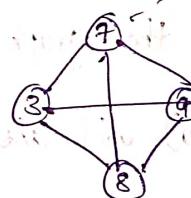
Maximal subgraph that is biconnected is called biconnected component of graph  $G$ .

To find no of biconnected components in a given graph note that biconnected points form at the point where articulation points are present.

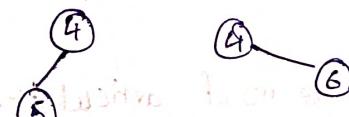
i.e., for 3 & 4 draw all the vertices adjacent to 3 &



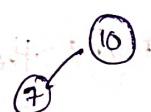
Now choose 3 again and it also gets added since it is adjacent to 3.



Choose 4

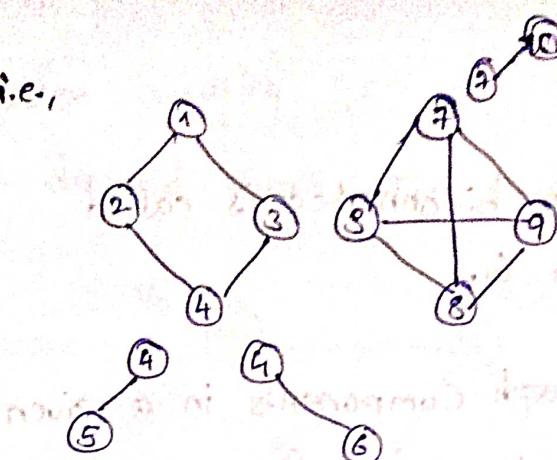


choose 7



∴ no of biconnected components for this graph = 5

i.e.,



→ Minimum no of edges required to be added to make a graph biconnected  $\leq$  no of articulation points.

Eg: In the previous graph, adding edges

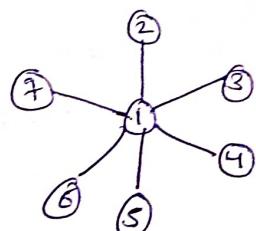
(1,10) (2,5) (8,6) will make the graph biconnected.

However adding only two edges (5,10) & (6,8) will also make the graph biconnected.

(c) adding (1,5) & (6,10) will also make the graph biconnected.

→ This formula generally applies to all graph except to star graph or graph of that kind.

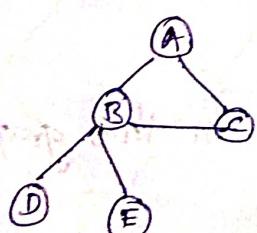
Eg:



Here no of articulation points = 1.

However adding ( $\leq 1$ ) edges won't make the graph biconnected.

Eg,

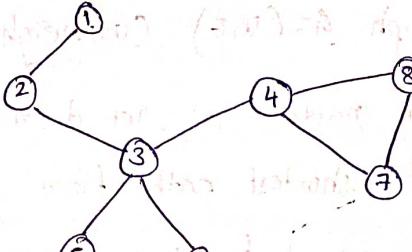


B is the articulation point.

No of articulation points = 1.

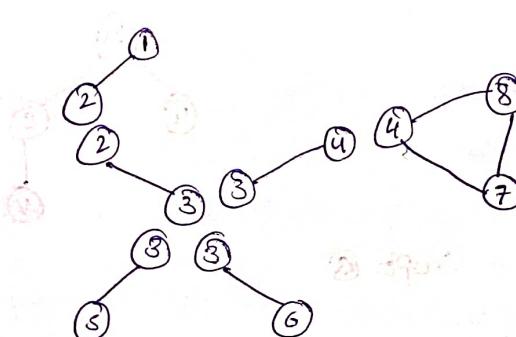
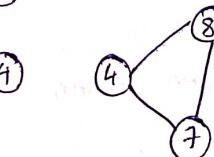
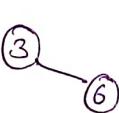
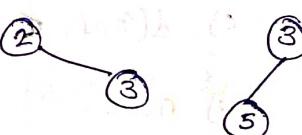
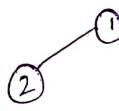
However min no of edges req to make the graph biconnected = 2

Eg: Identify the articulation points and draw the biconnected components of graph and find min no of edges to be added to make the graph biconnected.



~~biconnected~~ Articulation points  $\rightarrow$  2, 3, 4

### Biconnected Components



$\therefore$  6 biconnected Components

Min no of edges to be added to make the graph biconnected = 3  
i.e., Add ~~(2,5)~~ ~~(6,7)~~  $(1,4)$

## Bridge

The edge whose removal makes the graph disconnected.

- Q) Consider an undirected graph  $G = (V, E)$  (unweighted). If BFS of  $G$  is done from a node  $r$ , let  $d(r, u)$  and  $d(r, v)$  be the lengths of shortest paths from  $r$  to  $u$  &  $v$  respectively. If ' $u$ ' is visited before ' $v$ ' during the traversal then which is true.

a)  $d(r, u) \leq d(r, v)$

b)  $d(r, u) > d(r, v)$

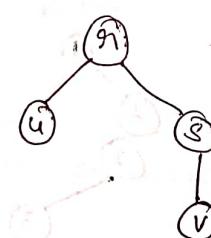
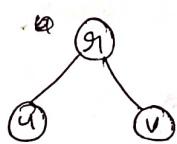
c)  $d(r, u) \leq d(r, v)$

d) none.

Sol:

This can happen when

$$d(r, u) = d(r, v) \quad \text{or} \quad d(r, u) < d(r, v)$$



$\therefore$  opt @

- Q) In a DF-traversal of a graph  $G$  with  $n$ -vertices, ' $k$ ' edges are marked as tree edges, the no. of connected components of ' $G$ ' is \_\_\_\_\_

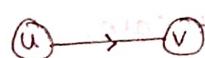
- a)  $k$    b)  $k+1$    c)  $n-k+1$    d)  $n-k$

If a forest of  $n$  vertices have  $k$  edges then  
no of trees in (components) in forest =  $n-k$ .

Q) A DFS is performed on a DAG -  $d(x)$  is discovery time  
and  $f(x)$  is finishing time of a vertex ' $x$ ' - which is  
always true for all edges  $(u,v)$  in the graph?

- a)  $d[u] < d[v]$
- b)  $d[u] < f[v]$
- c)  $f[u] < f[v]$
- d)  $f[u] > f[v]$

Sol:



opt a & b fails if we start traversal from  $v$ .  
opt c

opt d will be successful in any case.

## Sorting Techniques

Sorting techniques are classified into two types: as

i) Stable vs unstable

ii) Internal vs external

iii) Inplace vs not inplace

iv) Comparision based vs non-comparision based.

v) Recursive vs non-recursive.

$n$ -vertices,  $k$  edges  
disconnected components

## Comparison based:

The sorting algorithm that use comparison b/w elements is called comparison based sort.

Eg: Quick sort, heap sort etc.

## Non-Comparison based:

The sorting technique that doesn't use comparison.

Eg: radix sort, counting sort, address calculation sort.

→ Non comparison based sorting takes time less than ~~of n log n~~  $O(n \log n)$  for any best case.

## Inplace vs Not-inplace:

Sorting technique is said to be inplace if its space complexity is  $O(1)$ . However for recursive sorting technique it can be  $O(\log n)$  for recursion stack.

Otherwise it is said to be not inplace.

Eg: Inplace  $\rightarrow$  Quicksort

not inplace  $\rightarrow$  Merge sort.

## Internal vs External:

The sorting technique which puts a constraint that all elements must be present in memory before the sorting begins.

Whereas external sorting technique allows partial no of elements to be present in memory or allows

~~new~~ new elements to be added to the list of the numbers to be sorted.

Eg: Insertion sort behaves like external sorting. But it is not completely external sorting.

### Stable vs Unstable

A sorting method is said to be stable iff the relative order of ~~as~~ non-distinct elements is maintained in the sorted list.

otherwise it is unstable.

Eg: Merge sort  $\rightarrow$  stable

Quick sort, heap sort  $\rightarrow$  unstable.

Generally stable sorting techniques are more desirable.

### Note:

→ Time complexity of a comparison based sort depends on no of comparisons and no of swaps.

∴ time complexity =  $O(\max\{\text{no of comparison, no of swaps}\})$

→ No of swaps depends on inversions in the list to be sorted.

if  $i < j$  and if  $A[i] > A[j]$  then the pair  $(i, j)$  is known as inversion of the array.

~~This no of inversions forms a lower bound for no of swaps.~~

## Bubble Sort

Algo BS (A,n)

// A[1...n]: array

{

for pass  $\leftarrow n$  down to 1

{

for i  $\leftarrow 1$  to (pass-1)

begin {if A[i] > A[i+1]

if (A[i] > A[i+1])

swap(A[i], A[i+1]);

}

}

end if for right pass

Eg: A: 85 65 25 15 40 5

1<sup>st</sup> pass: 85 65 25 15 40 5

i=1: 65 85 25 15 40 5

i=2: 65 25 85 15 40 5

i=3: 65 25 15 85 40 5

swap i=4: 65 25 15 40 85 5

i=5: 65 25 15 40 85 85

2<sup>nd</sup> pass:

i=1: 25 65 15 40 5 85

i=2: 25 15 65 40 5 85

i=3: 25 15 40 65 5 85

i=4: 25 15 40 5 65 85

3<sup>rd</sup> pass:

i=1: 15 25 40 5 65 85

i=2: 15 25 40 5 65 85

i=3: 15 25 5 40 65 85

4th pass:

$i=1 : 15 \ 25 \ 5 \ 40 \ 65 \ 85$

$i=2 : 15 \ 5 \ 25 \ 40 \ 65 \ 85$  ~~not sorted~~

( $i=1$ )  $\Rightarrow$  not sorted

5th pass:

$i=1 : 15 \ 25 \ 5 \ 40 \ 65 \ 85$  ~~not sorted~~

Final array:  $15 \ 25 \ 5 \ 40 \ 65 \ 85$

Note:

→ No of comparision in bubble sort =  $\frac{n(n-1)}{2}$  (irrespective of data set)

→ No of swaps = no of inversions  $\rightarrow [n-1] + [n-2] + \dots + 1$

∴ Time complexity:  $O(n^2)$

→ Even if the elements are in increasing order, we still make  $\frac{n(n-1)}{2}$  comparision.

To overcome this we keep track of whether swap has occurred in a pass or not. If no swap has occurred then the algorithm terminates. The below is the modified algorithm.

```

for pass n  $\leftarrow n$  down to 1
    {
        flag = 0;
        for i  $\leftarrow 1$  to (pass - 1)
            {
                if ( $A[i] > A[i+1]$ )
                    {
                        swap( $A[i], A[i+1]$ );
                        flag = 1;
                    }
                if (flag == 0) break;
            }
    }
}

```

→ Now for this modified B.S

best case  $T(n) = O(n)$

worst case  $T(n) = O(n^2)$

The disadvantage is that it requires flag and this is overcome by insertion sort.

→ The recurrence relation for ~~no of comparisons~~  $T(n)$  of BS is given by

$$[1 + T(n-1) + O(1)] T(n) = T(n-1) + O(n)$$

$$\Rightarrow T(n) = O(n^2)$$

### Selection Sort:

Algorithm SS(A,n)

{

for i ← 1 to n-1 do { find smallest of

rest } min ← i; for j ← i+1 to n do { if A[j] < A[min] then

min ← j; } swap(A[min], i); } repeat all This algorithm

{ if (A[j] < A[min]): selects ith smallest element in i<sup>th</sup> pass and places if in i<sup>th</sup> place. So we repeat this for n-1 times.

}

Ex: A: 80 60 20 15 40 10

i=1: A: 10 60 20 15 40 80

i=2: 10 15 20 60 40 80

i=3: 10 15 20 60 40 80

i=4: 10 15 20 40 60 80

i=5: 10 15 20 40 60 80

## Time complexity:

Time = no of comp + no of swaps

$$= \frac{n(n-1)}{2} + (n-1)$$

$$T.C = O(n^2)$$

→ Selection ~~is~~ the sort requires least no of swaps compared to any other ~~sorting tech~~ comparison based sorting technique.

→ Rec. relation:

$$T(n) = T(n-1) + O(n)$$

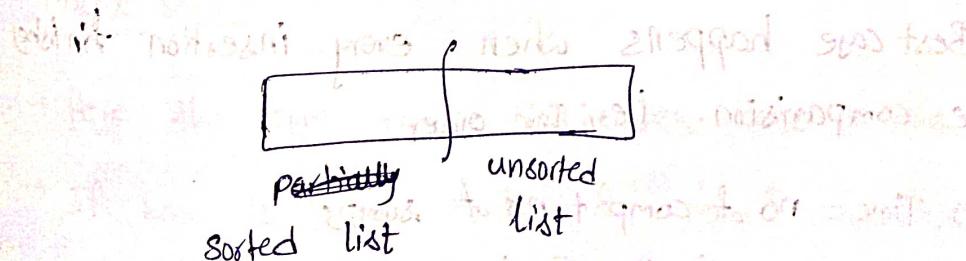
$$\Rightarrow T(n) = O(n^2)$$

## Insertion Sort:

working: Insert one element at a time from an unsorted list to a partially sorted list.

→ It works in  $(n-1)$  passes

→ At every pass, we have the below scenario



Take an element from unsorted list and put it in the sorted list in its position

~~Eg~~ : A: 4 3 8 6 9 2

initially size of sorted list = 1

A: < 4 | 3 8 6 9 2 >

Now insert 3 into partially sorted list

pass 1  $\rightarrow$  A: < 3 4 | 8 6 9 2 >

pass 2  $\rightarrow$  A: < 3 4 8 | 6 9 2 >

pass 3  $\rightarrow$  A: < 3 4 6 8 | 9 2 >

pass 4  $\rightarrow$  A: < 3 4 6 8 9 | 2 >

pass 5  $\rightarrow$  A: < 2 3 4 6 8 9 >

A: < 2 3 4 6 8 9 >

~~Eg~~ From this we say insertion sort works as external sorting.

Since at any point of time insertion sort doesn't bother about where the unsorted list is stored.

### Time Complexity:

#### i) Best Case:

Best case happens when: every insertion finishing with one comparison. i.e. Inc order

$$\Rightarrow \text{Time} = \text{no of comp} + \text{no of swaps}$$

$$= O(n-1) + O(0)$$

$$\Rightarrow T.C = O(n)$$

### iii) Worst Case:

worst case happens when elements are in descending order.

$$\text{time} = \text{no of comp} + \text{no of swaps} = O(n^2)$$

$$\frac{n(n-1)}{2} + \frac{n(n-1)}{2}$$

→ In general, it is found that TC of insertion sort depends on no of inversions.

$$\Rightarrow TC = O(n+d)$$

where  $d$  is no of inversions.

Thus if  $d=0$  (i.e., no inversions)

$$TC = O(n)$$

Eg: Consider a list with  $n$  inversions. Then, TC for insertion sort is \_\_\_\_\_?

$$TC = O(n+d)$$

$$= O(n+n) = O(n)$$

25/10/20

### Non-Comparision based sorting techniques

#### ii) Radix Sort:

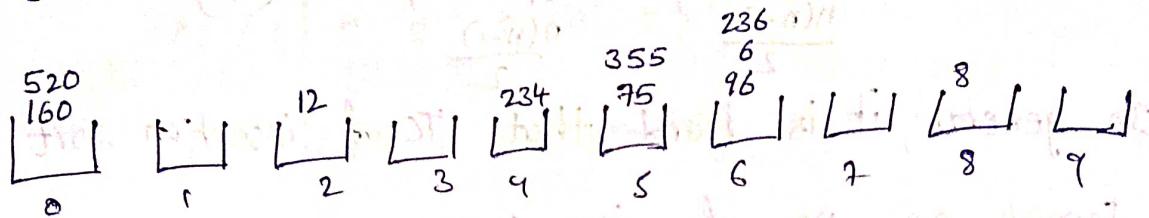
→ Here the term radix indicates base of the system.

→ If base is 'b' we take 'b' no of buckets.

Eg: A: 234 60 96 12 8 75 6 355 520 236

Pass 1:

Distribute the numbers into buckets based on unit digit.

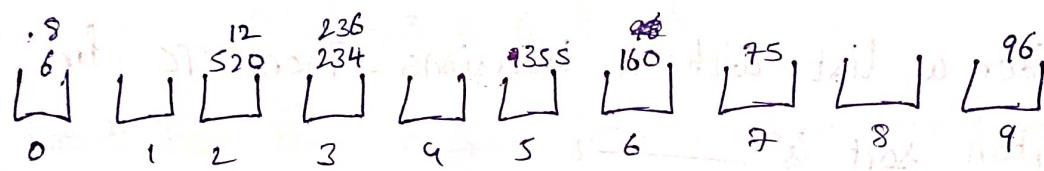


∴ Elements after pass 1

160 520 12 234 75 355 96 6 236 8

Pass 2:

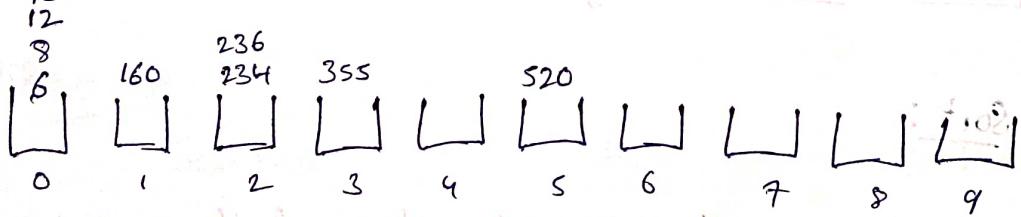
Distribute the digits into buckets based on digit at 10's place



∴ 0 6 8 520 12 234 236 355 160 75 96

Pass 3:

Distribute the digits into buckets based on digit at 100's place.



∴ 6 8 12 75 96 160 234 236 355 520

Drawback:

→ Special implementation is needed if we need to sort negative numbers.

→ Sorting fractional numbers is not possible.

### Time complexity :

$$T.C = O(d(n+b)) \quad \begin{array}{l} \text{since } b \text{ is const we can} \\ \text{also write } T.C = O(dn) \end{array}$$

$d \rightarrow$  max digits in a number

$n \rightarrow$  size of list

$b \rightarrow$  base

If ' $x$ ' is max number possible in the base, then

$$d = \lceil \log_b x \rceil$$

$$T.C = O((n+b) \log_b x)$$

$$= O(n \log_b x)$$

let  $x \leq n^c$

$c$  is a constant

$$T.C = O(n \log_b n)$$

Thus radix sort performs good when  $b \geq n$

If  $b \geq n$

$$O(n \log_b n) = O(n)$$

H/82

### Method 1:

we ~~use~~ use heap for this (similar to problem H/64)

we ~~use~~ have  $mn$  elements.

size of heap = no of lists = ~~no~~  $n$

$\therefore$  del & insert on heap  $\rightarrow \log n$

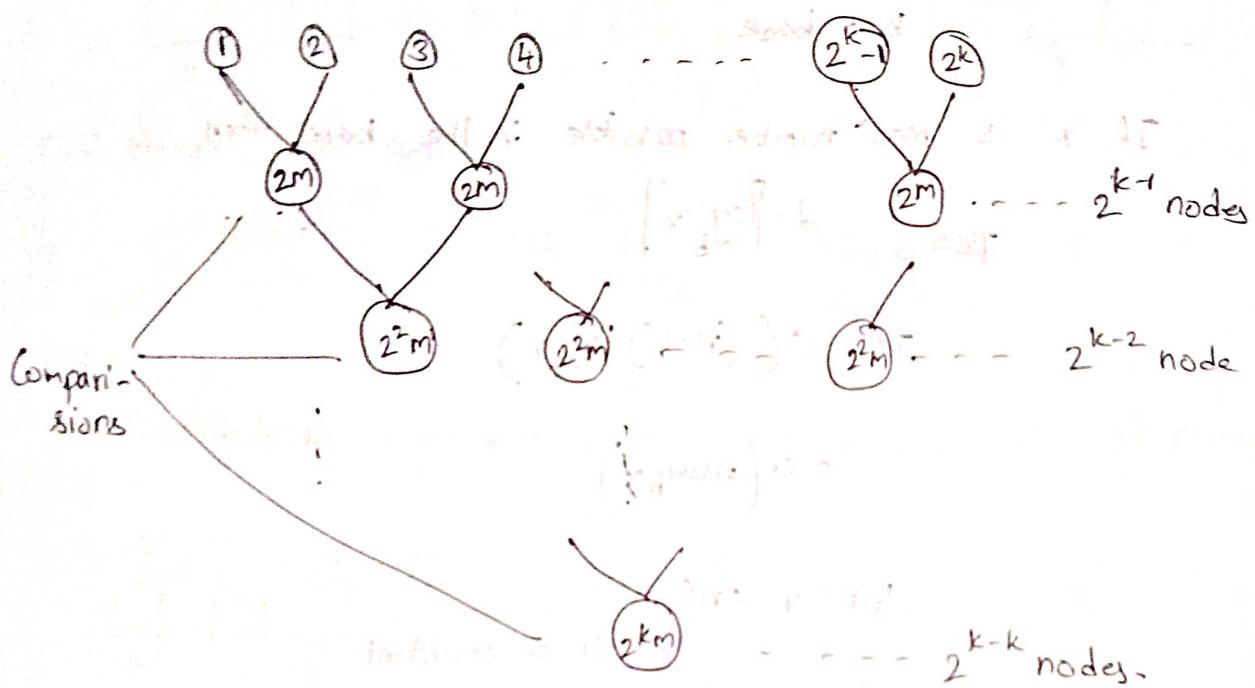
$$\therefore O(mn \log n)$$

sort

## Method 2:

This can also be solved by considering optimal merge pattern.

Let  $n = 2^k$



$\therefore$  total comparisons required

$$\begin{aligned}
 & (2^1m)(2^{k-1}) + (2^2m)(2^{k-2}) + \dots + (2^km)(2^{k-k}) \\
 &= (2^k m + 2^{k-1} m + \dots + 2^1 m) k \\
 &= \Theta(nm \log n)
 \end{aligned}$$

#183

Let  $b$  be a base.

$$\text{no of digits } d = \log_b n^k = k \log_b n$$

$$\text{TC of radix sort} = O(d(n+b))$$

$$= O(dn)$$

$$= O(n \cdot k \log n)$$

$$\geq O(n \log n)$$

If assume base is  $n$

H/85 then TC of radix sort =  $O(n)$

### Disjoint Set Data Structure

Operations:

i) Create(): Create the set with required element

ii) Union(): Combining the two sets into one.

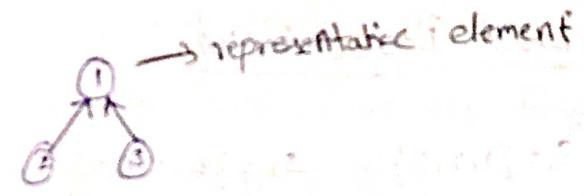
iii) Find(): returns the representative element of the set.

Every set has a representative element

Representation:

i) forest:

Eg:  $S_1 = \{1, 2, 3\}$



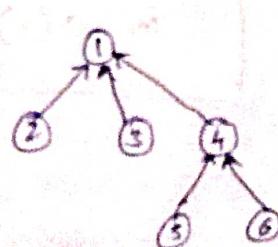
$S_2 = \{4, 5, 6\}$



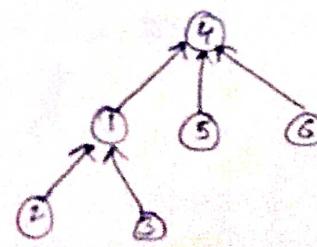
$S_3 = \{7, 8, 9\}$



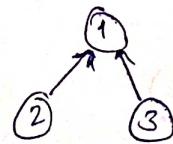
$S_1 \cup S_2$ : This can be done by choosing one at ~~two~~ the two representative element as new representative element  
Similarly for  $S_1 \cup S_3$



(a)



Find operation:



Here

$$\text{Find}(1) = 1$$

$$\text{Find}(2) = 1$$

$$\text{Find}(3) = 1$$

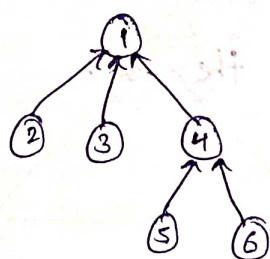


Here

$$\text{Find}(4) = 4$$

$$\text{Find}(5) = 4$$

$$\text{Find}(6) = 4$$



Here  $\text{Find}(1) = 1$

$$\text{Find}(2) = 1$$

~~$$\text{Find}(3) = 1$$~~

$$\text{Find}(4) = 1$$

$$\text{Find}(5) = 1$$

$$\text{Find}(6) = 1$$

TC of find depends on highest height of the tree.

### (ii) Array based Representation:

$$S_1 = \{1, 2, 3\}$$

$$S_2 = \{4, 5, 6, 7\}$$

$$S_3 = \{8, 9\}$$

Let 1, 4, 8 be corresponding representative elements.

Array:

Parent element	1	2	3	4	5	6	7	8	9
	-1	1	1	-1	4	4	4	-1	8
	1	2	3	4	5	6	7	8	9

with amortized analysis  
it is found that TC  
of both union & find  
is  $O(1)$

## Kruskal's algorithm for MST

Algo Kruskal(E, COST, n, T, mincost)

```

    {
        // E is edge set in G, G has n vertices
        // COST[1..n, 1..n] is cost matrix
        // T is set of edges in MST
        1. real mincost COST(1..n, 1..n);
        2. integer Parent(1:n), T(1:n, 1:2), no.
        3. Construct a heap out of edge cost using heapify — O(e)
        4. Parent ← -1
        5. i ← mincost ← 0
        6. while i < n-1 and heap not empty — O(e)
            a) delete a min cost edge (u,v) from the heap { → O(log e)
                and reheapify using ADJUST
            b) j ← FIND(u); k ← FIND(v);
            c) if j ≠ k then i ← i+1
                T(i,1) ← u; T(i,2) ← v
                mincost ← mincost + cost(u,v).
                call UNION(j,k)
            } → O(1)
            endif
        repeat
        7. if i ≠ n-1 then print ("no spanning tree") end if
        8. return;
    }
    ∴ TC = O(e log e)
    8-C = O(n) {i.e., space for parent array}
  
```

## Algorithm to find connected components:

CONNECTED COMPONENTS(G)

{

1. For each vertex  $v \in V(G)$

    do MAKE-SET(v)

2. for each edge  $(u,v) \in E(G)$

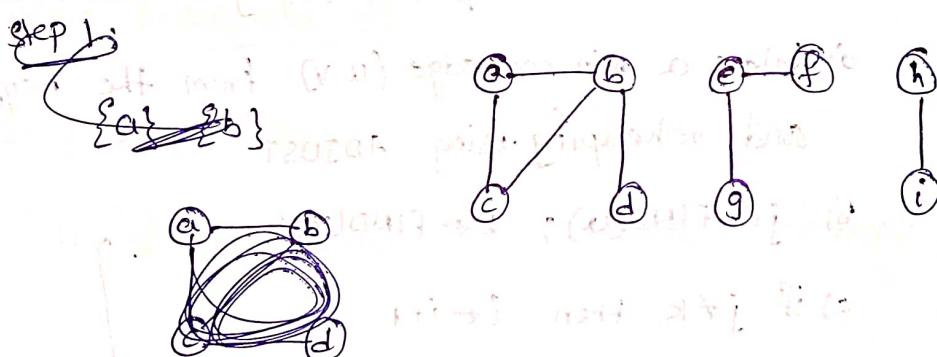
    if  $\text{find}(u) \neq \text{find}(v)$  then

        union(u,v)

} ← step 1: Tracing from left to right, it's a connected component.

~~EG DFR~~

Eg: Consider below graph & its tracing



Step 1.

$\{a\} \{b\} \{c\} \{d\} \{e\} \{f\} \{g\} \{h\} \{i\} \{j\}$

Step 2.

edge (a,b)

$\{a,b\} \{c\} \{d\} \{e\} \{f\} \{g\} \{h\} \{i\} \{j\}$

edge (b,c)

$\{a,b,c\} \{d\} \{e\} \{f\} \{g\} \{h\} \{i\} \{j\}$

edge (b,d)

$\{a,b,c,d\} \{e\} \{f\} \{g\} \{h\} \{i\} \{j\}$

edge  $e(a,c)$ :

$\text{find}(a) = \text{find}(c)$

∴ no union will be performed

edge  $e(f,g)$  & edge  $e(g,h)$

$\{a,b,c,d\}$   $\{e,f,g\}$   $\{h,i,j\}$   $\{k,l\}$

edge  $e(h,i)$

$\{a,b,c,d\}$   $\{e,f,g\}$   $\{h,i\}$   $\{j,k,l\}$

∴ 4 connected components.

TC =  $O(e)$  [assuming that find & union can be done in  $O(1)$ ]

Sum of Subsets (SOS):

Given a set of  $n$ -elements and another positive integer  $M$

It is required to determine whether there exists a subset of given numbers whose sum equals  $M$ .

TC of brute force  $\rightarrow O(2^n)$

$\text{sos}(n, M) = \text{True}$  if  $M=0, n \geq 0$

= False if  $n < 0, M > 0$

=  $\{\text{sos}(n-1, M) \text{ || } \text{sos}(n-1, M - a[n])\}$

only if  $a[n] \leq M$

## Tabulation Method for SOS

$$n=5; A = \{2, 8, 4, 11, 9\}; M=6$$

$S[0 \dots n, 0 \dots M]$

	0	1	2	3	4	5	6
0	T	F	F	F	F	F	F
1	T	F	T	F	F	F	F
2	T	F	T	F	F	F	F
3	T	F	T	F	T	F	T
4	T	F	T	F	T	F	T
5	T	F	T	F	T	F	T

sln

i.e.,  $SOS(5, 6)$

$$SOS[1, 1] = SOS[0, 1] \text{ || } SOS[0, -1]$$

= F

$$SOS[1, 2] = SOS[0, 1] \text{ || } SOS[0, 0]$$

Think how to backtrack  
and obtain the  
subset required

$$\therefore SOS[5, 6] = T$$

Note:

If  $s(i, j)$  is true then  $s(k, j)$  is true  $\forall k \geq i$

Algo  $SOS(A, n, M)$

{  $SOS(A, n, M) \leftarrow$  implement code for recursive eqn }

{ for  $i \leftarrow 0$  to  $n$  do  $SOS(A, n, M)$  }

{ { for  $j \leftarrow 0$  to  $M$  do  $SOS(A, n, M)$  }

$SOS(i, j) \leftarrow$  implement code for recursive eqn

} }

TC:  $O(nM)$

However if value of  $n$  is too large like  $2^n$  (or)  $n^n$  then

$T_C$  will be  $O(n \cdot 2^n)$  (or)  $O(n \cdot n^n)$

and this case brute time complexity is better.

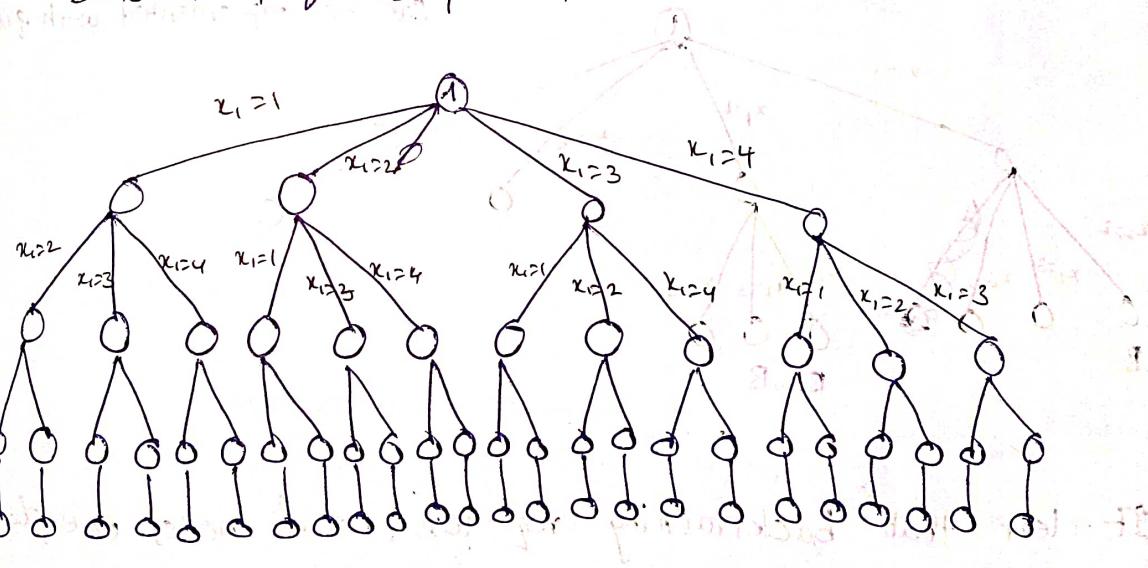
→ ~~seen~~ The time complexities like  $O(n \cdot M)$  are called pseudo-polynomial TCs.

### Introduction to Backtracking and Branch & Bound

Backtracking is ~~an~~ algorithm design strategies that uses depth first searching & to find feasible/optimal soln in solution space (state space tree)

Eg: n-queens

Consider 4-queens problem



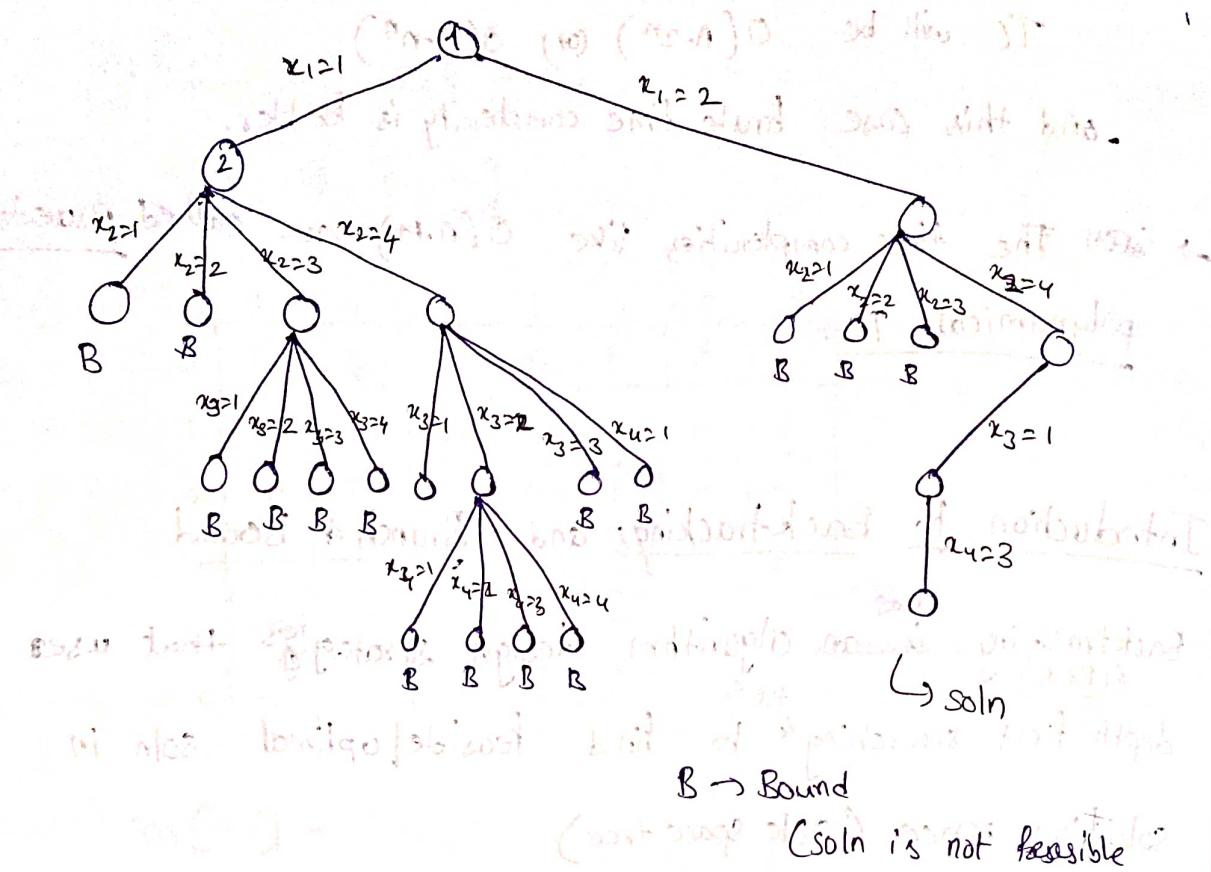
State Space tree

If DFS is used to search for soln in state space tree

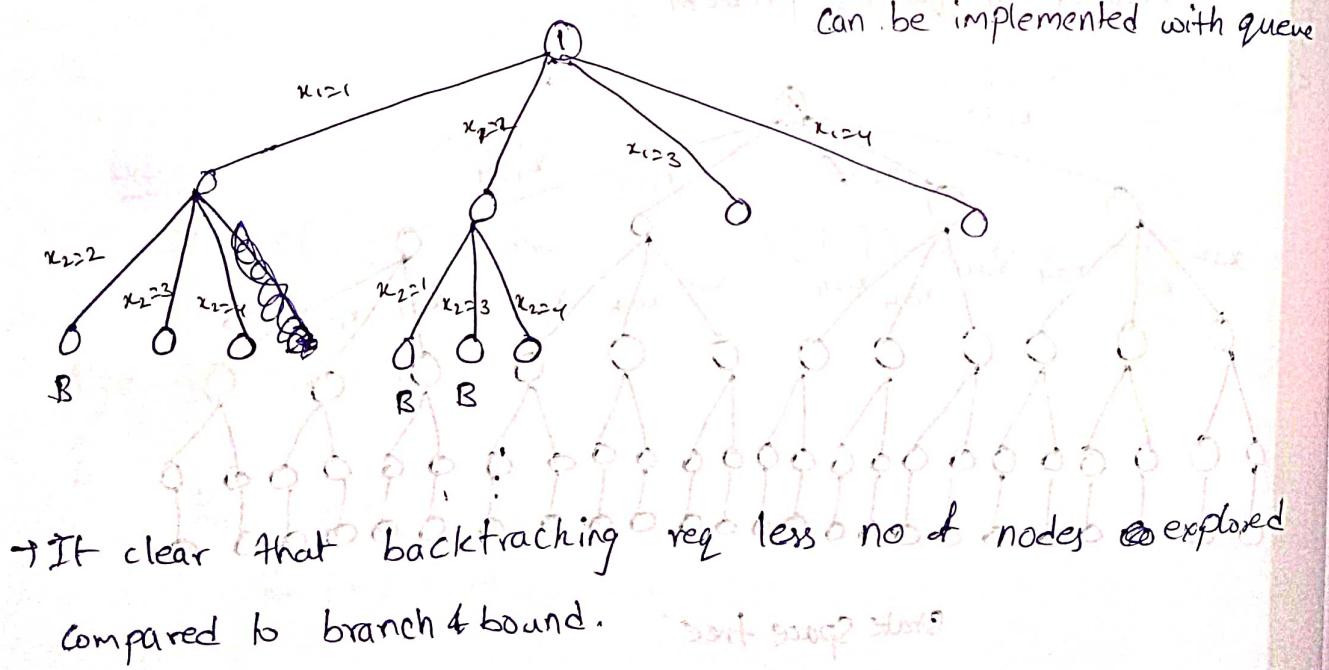
then it is called Backtracking.

If BFS is used then it is called Branch & Bound.

## DFS : Backtracking



## BFS : Branch & Bound



Thus n-queens can be efficiently implemented using backtracking.

→ Similarly we can also solve backtracking in this approach.

→ Also TSP can be more efficiently solved using branch & bound.

## Kadane's algorithm for max sum subarray

→ Brute force TC :  $O(n^2 \cdot n) = O(n^3)$

↓  
all subarray computing sum

→ DP approach (Kadane's algo)

~~we maintain 8 variable~~ with prices in a sequence

~~we perform n iterations (n is no. of elements)~~

~~we maintain 3 variables max-sum-so-far, current-sum, index.~~

~~For index says from which iteration we start summing.~~

~~thus n iterations correspond to index value from 1 to n.~~

Index says till which index max sum is obtained.

Algo Kadane ( )

{

// start-index, end-index // soln.

// MaxSum

for i=1 to n

{

temp\_start = i; Max-sum-so-far = 0; curr-sum = 0; index = 0; i

for j=i to n

{

curr-sum += a[j];

If (curr-sum > Max-sum-so-far)

{

Max-sum-so-far = curr-sum;

index = j;

}

if (Max-sum-so-far > MaxSum)

{ MaxSum = Max-sum-so-far;

```

        start_index = i;
        end_index = index;
    }
}

// Time Complexity: O(n^2)
// Space Complexity: O(n)

```

### LIS:

→ one approach is solving this using LCS.

→ let A be given array and store the sorted array of A in another array B.

Now  $\text{LCS}(A, B)$  will be the LIS(A).

→ Also we can use pure DP approach and solve this.