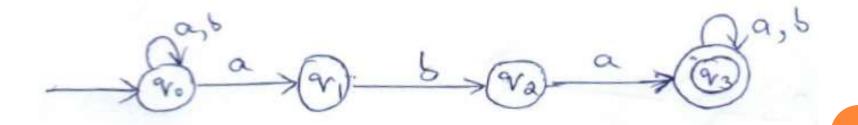


- It is an finite automata where there exists 0, 1 or more transitions on a state for a given input symbol.
- A nondeterministic finite automata (NFA) has the power to be in several states at once.
- This ability is often expressed as an ability to "guess" something about its input.
- NFA is more useful when the automaton is used to search for all possible solutions.

• When the automaton is used to search for certain sequences of characters (e.g., keywords) in a long text string, it is helpful to "guess" that we are at the beginning of one of those strings and use a sequence of states to do nothing but check that the string appears, character by character.

• Example: Design an NFA for the language of strings with 'aba' as substring.

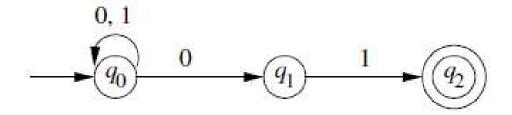


#### o Definition of Nondeterministic Finite Automata:

A finite automata is a 5 tuple. It is the machine  $M = (Q, \Sigma, \delta, q_0, F)$  where

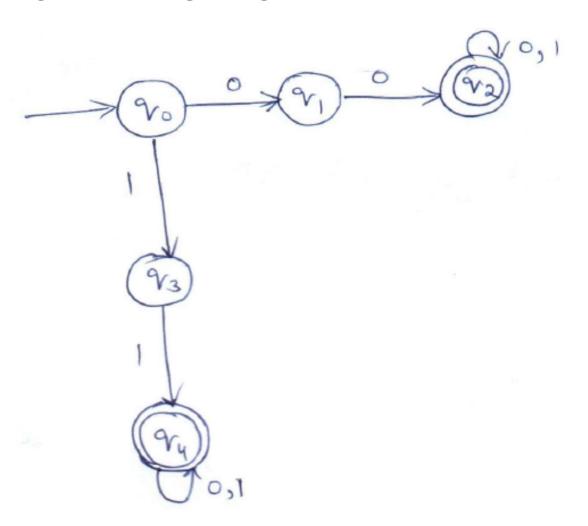
- Q Non empty set of finite number of states.
- $\Sigma$  Non empty set of finite number of symbols or Finite input alphabet.
- $\delta$  State transition function, defined as  $\delta$ :  $Q \times \Sigma \to 2^Q$  one or more states (power set of Q).
- $q_0$  It is initial or start state,  $q_0 \in Q$ .
- $F F \subseteq Q$ , It is set of Final or Accepting states.

**Example**: Let  $\Sigma = \{0, 1\}$ . Design an NFA for the language of strings end with '01'.

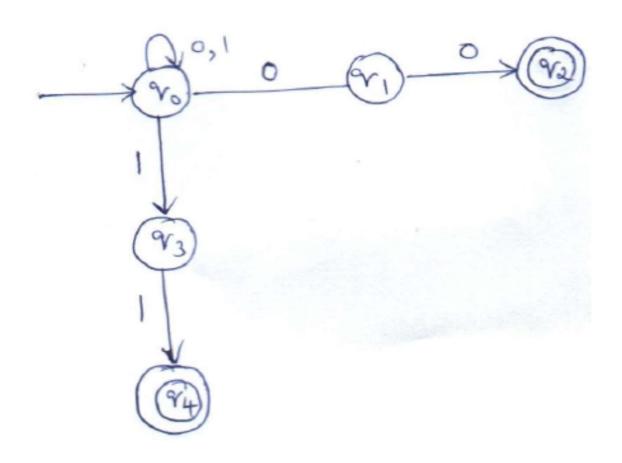


An NFA accepting all strings that end in 01

**Example**: Let  $\Sigma = \{0, 1\}$ . Design an NFA for the language of strings begin with either '00' or '11'.



**Example**: Let  $\Sigma = \{0, 1\}$ . Design an NFA for the language of strings end with either '00' or '11'.



• Acceptance of a string by NFA: A string is said to be accepted by NFA, if there exists at least one path that takes NFA from initial state to the final state.

- Step 1: The initial state of DFA is same as the initial state of NFA.
- Step 2: Apply every input symbol on the initial state of DFA following the transitions in NFA. Use the following rules:

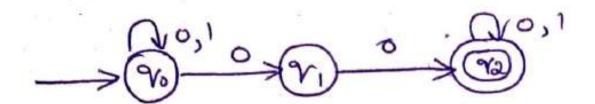
$$\delta(q_0, a) = \{q_1, q_2, , ..., q_i\}$$
 then  $\delta'(q_0', a) = [q_1, q_2, , ..., q_i]$ 

• Step 3: Apply every input symbol on the new states obtained by step2 by using the following rule.

δ'([q<sub>1</sub>, q<sub>2</sub>, ..., q<sub>i</sub>], a) = [p<sub>1</sub>, p<sub>2</sub>, , ..., p<sub>j</sub>]  
if and only if  
$$\delta(\{q_1, q_2, ..., q_i\}, a) = \{p_1, p_2, ..., p_j\}$$
  
 $\delta(\{(q_1, a) \cup (q_2, a) \cup ..., (q_i, a)\} = \{p_1, p_2, ..., p_i\}$ 

- Step 4: Repeat step3 until no more new states are generated.
- Step 5: The final states of DFA are going to be those states which contains at least one final state of NFA.

• Example 1: Convert the following NFA to DFA.

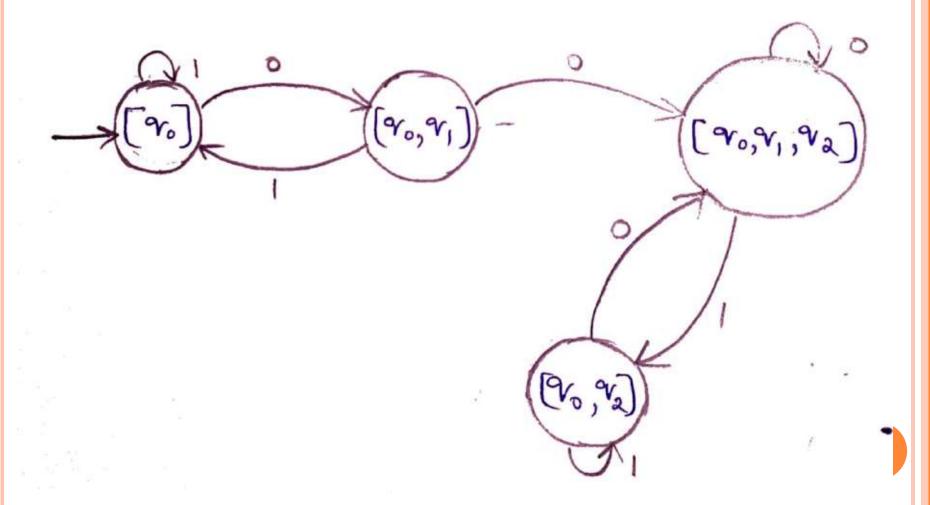


• Solution: Transition table of NFA.

• Transition table of DFA.

$$\frac{\delta'}{-} \begin{cases} 0 \\ - > [\gamma_0] \\ [\gamma_0, \gamma_1] \\ [\gamma_0, \gamma_1] \\ [\gamma_0, \gamma_1, \gamma_2] \\ [\gamma_0, \gamma_1, \gamma_2] \\ [\gamma_0, \gamma_1, \gamma_2] \\ [\gamma_0, \gamma_2] \\ [\gamma_0, \gamma_1, \gamma_2] \\ [\gamma_0, \gamma_2] \\ [\gamma_0, \gamma_2] \end{cases}$$

• Transition diagram of DFA.



• Transition table of DFA with final states.

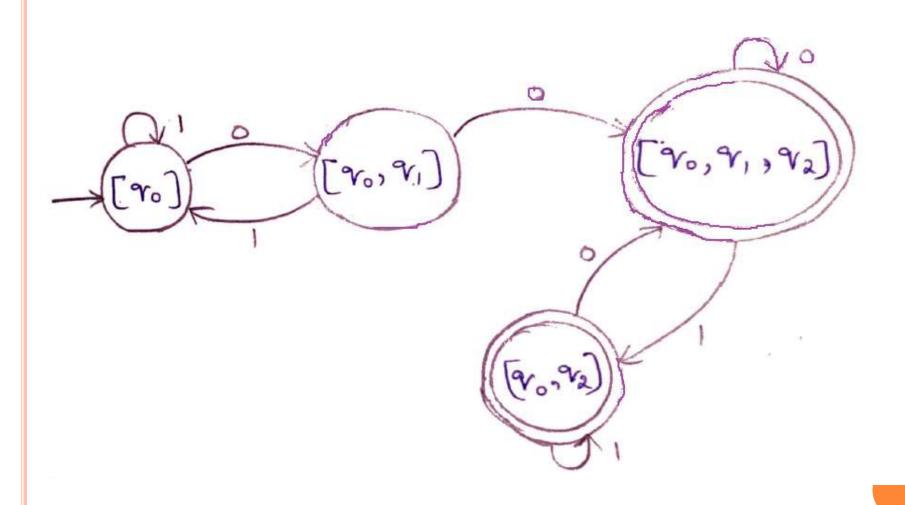
$$\frac{\delta'}{\Rightarrow} \begin{bmatrix} 0 \\ -\Rightarrow [\gamma_0] \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_1 \end{bmatrix} \begin{bmatrix} \gamma_0 \end{bmatrix}$$

$$\begin{bmatrix} \gamma_0, \gamma_1 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_1, \gamma_2 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_1, \gamma_2 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_2 \end{bmatrix}$$

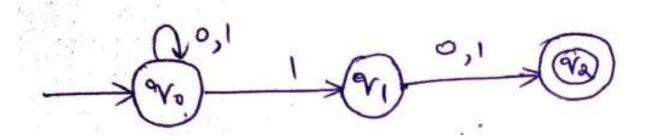
$$\frac{1}{\Rightarrow} [\gamma_0, \gamma_1, \gamma_2] \begin{bmatrix} \gamma_0, \gamma_1, \gamma_2 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_2 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_2 \end{bmatrix}$$

$$\frac{1}{\Rightarrow} [\gamma_0, \gamma_1, \gamma_2] \begin{bmatrix} \gamma_0, \gamma_2 \end{bmatrix} \begin{bmatrix} \gamma_0, \gamma_2 \end{bmatrix}$$

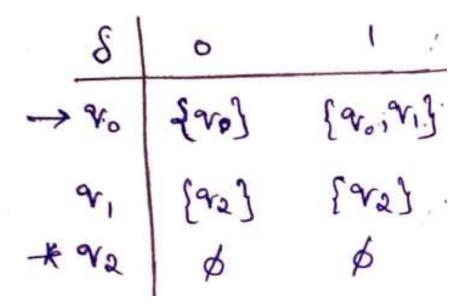
• Transition diagram of DFA with final states.



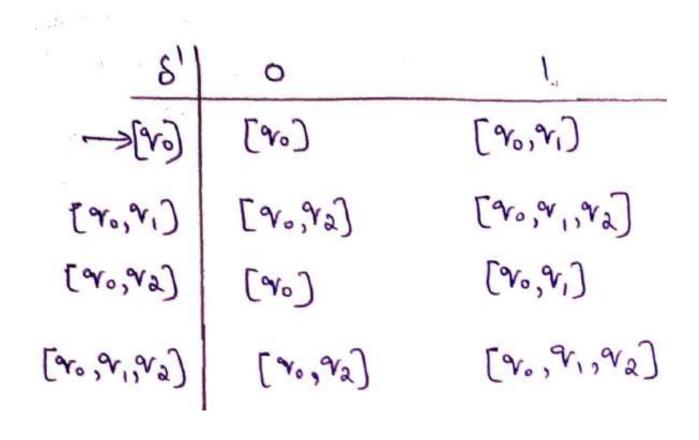
• Example 2: Convert the following NFA to DFA.



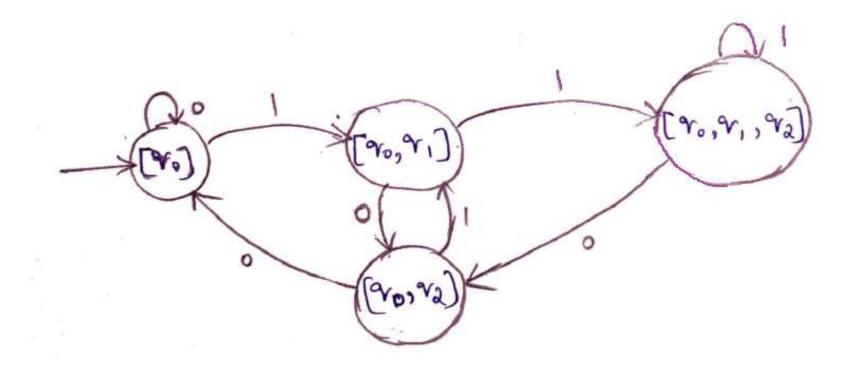
o Solution: Transition table of NFA.



• Transition table of DFA.

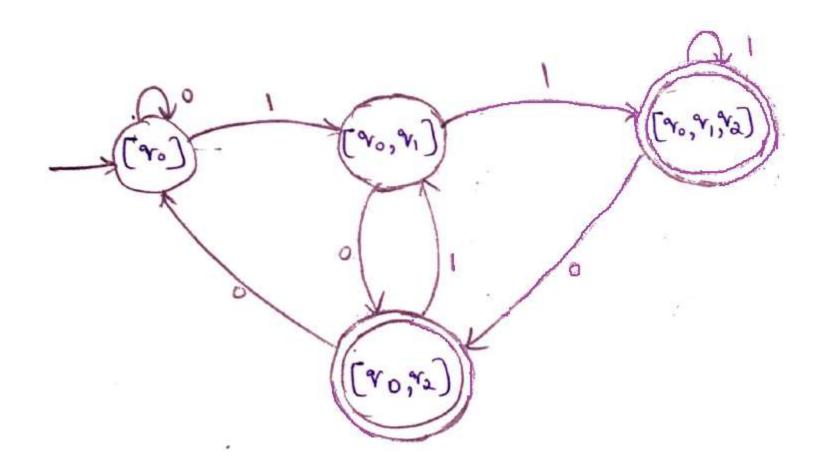


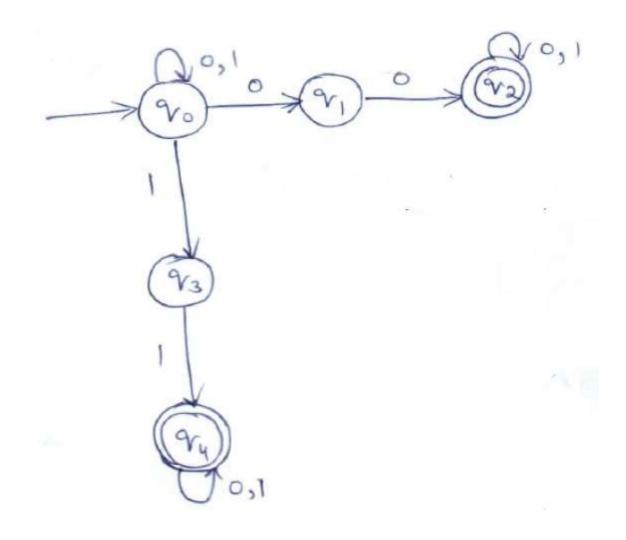
• Transition diagram of DFA.



• Transition table of DFA with final states.

• Transition diagram of DFA with final states.





• Theorem: Let 'L' be a set accepted by a non deterministic finite automata then there exists a DFA which accepts 'L'.

#### o Proof:

- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be the NFA which accepts 'L'.
- Let us define a DFA M' = (Q',  $\Sigma$ ,  $\delta$ ',  $q_0$ ', F') be an DFA which accepts 'L'.
- The initial state of DFA is same as initial state of NFA.

$$q_0' = [q_0]$$
  
 $Q' = 2^Q$ 

The states of the DFA are subsets of the set of states of NFA.

- $\circ$  [q<sub>1</sub>, q<sub>2</sub>, , ..., q<sub>i</sub>] is an individual state in DFA, where q<sub>1</sub>, q<sub>2</sub>, , ..., q<sub>i</sub> are separate states in NFA.
- The final states of DFA are those states which contains at least one final state of NFA.
- The transition function  $\delta'$  is defined as  $\delta'([q_1, q_2, ..., q_i], a) = [p_1, p_2, ..., p_j]$  if and only if  $\delta(\{q_1, q_2, ..., q_i\}, a) = \{p_1, p_2, ..., p_j\}.$
- Now let us prove that the language accepted by the NFA and DFA are equal.
- o For this we need to prove that every string accepted by NFA is accepted by DFA.

- Let us apply the Mathematical Induction on the length of string 'x', to show that there are similar type of transitions in both NFA and DFA after processing string 'x'.
- That is, we need to prove that  $\delta'(q_0', x) = [q_1, q_2, , ..., q_i]$  iff  $\delta(q_0, x) = \{q_1, q_2, , ..., q_i\}.$
- Basis step: Consider a string of length 0  $\delta(q_0, \epsilon) = q_0$ ,  $\delta'(q_0', \epsilon) = q_0'$ , we know that  $q_0' = [q_0]$ .

- This means that on a string of length 0, both NFA and DFA are having similar type of transitions. Hence Basis step is proved.
- o Inductive Hypothesis: Let us assume that for strings of length 'k' both NFA and DFA are having similar type of transitions.

$$\delta'(q_0', x) = [p_1, p_2, , ..., p_j]$$
iff
$$\delta(q_0, x) = \{p_1, p_2, , ..., p_j\}.$$

o Inductive Step: We need to prove that for a string of length (k+1) also, NFA and DFA will be having similar type of transitions.

• i.e., we required to prove that

$$\begin{split} \delta'(q_0', \, xa) &= [r_1, \, r_2, \, , \, ..., \, r_k] \\ iff \\ \delta(q_0, \, xa) &= \{r_1, \, r_2, \, , \, ..., \, r_k\}. \end{split}$$

- Let us consider,  $\delta'(q_0', xa) = \delta'(\delta'(q_0', x), a)$ =  $\delta'([p_1, p_2, , ..., p_i], a)$
- From Inductive Hypothesis,

$$\delta'(q_0', x) = [p_1, p_2, , ..., p_j]$$
iff  $\delta(q_0, x) = \{p_1, p_2, , ..., p_j\}.$ 

$$\delta'([p_1,\,p_2,\,,\,...,\,p_j]\,\,,\,a) = [r_1,\,r_2,\,,\,...,\,r_k]\,\,(\because \,from \,\,the \\ definition \,\,of \,\,DFA)$$
 iff  $\delta(\{p_1,\,p_2,\,,\,...,\,p_j\},\,a) = \{r_1,\,r_2,\,,\,...,\,r_k\}.$ 

• From the definition

$$\begin{split} &\delta(\delta(q_0,\,x),\,a) = \{r_1,\,r_2,\,,\,...,\,r_k\} \\ &\delta(q_0,\,xa) = \{r_1,\,r_2,\,,\,...,\,r_k\}. \end{split}$$

Hence proved.

- Now we need to prove that every string 'x' accepted by NFA is also accepted by DFA.
- If x is accepted by NFA  $\delta(q_0, x) \in F$ ,
- $\circ$  i.e., one of the states  $q_1, q_2, \dots, q_i$  must be accepted by NFA.

- Form the definition, if one of the states of  $\delta(q_0, x) = \{q_1, q_2, \dots, q_i\}$  is a final state then  $[q_1, q_2, \dots, q_i]$  is a final state in DFA which implies that x is accepted by DFA.
- Hence language accepted by NFA and DFA are equal.